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Constraints on the evolution of toxin-resistant Na,K-ATPases have limited
dependence on sequence divergence.

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Abstract

A growing body of theoretical and experimental evidence suggests that intramolecular epistasis is a major determinant of rates and patterns of protein evolution and imposes a substantial constraint on the evolution of novel protein functions. Here, we examine the role of intramolecular epistasis in the case of the recurrent evolution of resistance to cardiotonic steroids (CTS) across diverse groups of tetrapods, which occurs via specific amino acid substitutions to the α-subunit family of Na,K-ATPases (ATP1A). First identifying a series of recurrent substitutions at two key sites of ATP1A that are predicted to confer CTS resistance in diverse tetrapods, we then performed protein engineering experiments to test the functional consequences of introducing these substitutions onto divergent species backgrounds. In line with previous results, we find that substitutions at these sites can have substantial background-dependent effects on CTS resistance. Globally, however, these substitutions also have pleiotropic effects that are consistent with additive rather than background-dependent effects. Moreover, the magnitude of a substitution's effect on activity does not depend on the overall extent of ATP1A sequence divergence between species. Our results suggest that epistatic constraints on the evolution of CTS-resistant forms of Na,K-ATPase likely depends on a small number of sites, with little dependence on overall levels of protein divergence. We propose that this dependence on a limited number sites may account for the observation of parallel CTS resistance substitutions observed among taxa with highly divergent Na,K-ATPases.

Significance Statement

Individual amino acid residues within a protein work in concert to produce a functionally coherent structure that must be maintained even as orthologous proteins in different species diverge over time. Given this dependence, we expect identical mutations to have more similar effects on protein function in more closely related species. We tested this hypothesis by performing protein-engineering experiments on ATP1A, an enzyme mediating target-site insensitivity to cardiotonic steroids (CTS) in diverse animals. These experiments reveal that that the phenotypic effects of substitutions can sometimes be background-dependent, but also that the magnitude of these phenotypic effects does not correlate with overall levels of ATP1A sequence divergence. Our results suggest that epistatic constraints are determined by states at a small number of sites, potentially explaining the frequent parallel CTS resistance substitutions among Na,K-ATPases of highly divergent taxa.

69 **Main Text**

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71 **Introduction**

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Instances of parallel and convergent evolution represent a useful paradigm to examine the factors
that limit the rate of adaptation and the extent to which adaptive evolutionary paths are predictable
(1, 2). The evolution of resistance to cardiotonic steroids (CTS) in animals represents one of the
clearest examples of convergent molecular evolution. CTS are potent inhibitors of Na,K-ATPase
(NKA, Fig. 1A), a protein that plays a critical role in maintaining membrane potential and is
consequently vital for the maintenance of many physiological processes and signaling pathways in
animals (3). CTS inhibit NKA function by binding to a highly conserved domain of the protein's α-
subunit (ATP1A) and blocking the exchange of Na^+ and K^+ ions (3). NKA is thus often the target
of parallel evolution of CTS resistance across widely divergent species, including insect herbivores
that feed on toxic plants (4, 5) as well as predators that feed on toxic prey (6–10).

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Patterns of parallelism and convergence in adaptive protein evolution are influenced by the
mutational target size (i.e. the number of potentially adaptive mutations), the degree of pleiotropy
(i.e. the effect of a given mutation on multiple phenotypes) and intramolecular epistasis (i.e.
nonadditive interactions between mutant sites in the same protein) (11–19). If the phenotypic and
fitness effects of mutations depend on the protein sequence background on which they arise (i.e.
there is intramolecular epistasis), a given mutation is expected to have more similar phenotypic
and fitness effects in orthologs from closely-related species. Therefore, the probability of parallel
or convergent substitution is expected to decrease with increasing sequence divergence between
orthologous proteins in different species. Consistent with this expectation, such a decline is
observed in broad-scale phylogenetic comparisons of mitochondrial (20) and nuclear (21, 22)
proteins. While these results suggest epistasis is an important global determinant of patterns of
parallel and convergent protein evolution, studies linking these broad-scale observations with
functional data at the level of individual proteins are lacking.

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Functional investigations of CTS resistance-conferring substitutions at two key sites (111 and 122)
in ATP1A orthologs of Drosophila (23, 24) and Neotropical grass frogs (25) revealed associated
negative pleiotropic effects on protein function and showed that evolution at other sites in the
protein mitigate these detrimental effects. In light of these pleiotropic and epistatic constraints, it is
curious that parallel CTS-resistant substitutions are often observed among ATP1A orthologs of
highly divergent species. Due to limited comparative functional data, the generality of pleiotropic

and epistatic constraints on ATP1A-mediated CTS resistance, and specifically the predicted
dependence on evolutionary distance, remain poorly understood.
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To achieve a clearer picture of the phylogenetic distribution of CTS resistance substitutions, a more
complete and consistent sampling of ATP1A is needed in vertebrates. Broad phylogenetic
comparisons in vertebrates have primarily focused on the H1-H2 extracellular loop of ATP1A, which
represents only a subset of the CTS-binding domain. Further, most vertebrates possess three
paralogs of ATP1A that have different tissue-specific expression profiles and are associated with
distinct physiological roles (Fig. 1B) (3, 26). Previous studies of the ATP1A paralogs of vertebrate
taxa focused on ATP1A3 in reptiles (7, 8, 27, 28), ATP1A1 and/or ATP1A2 in birds and mammals
(10, 28), and either ATP1A1 or ATP1A3 in amphibians (6, 28). We therefore lack a comprehensive
and systematic survey of amino acid variation in the ATP1A protein family across vertebrates.
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To bridge this gap, we first surveyed variation in near full-length coding sequences of the three
NKA α-subunit paralogs (ATP1A1, ATP1A2, ATP1A3) that are shared across major extant tetrapod
groups (mammals, birds, non-avian reptiles, and amphibians), and identified substitutions that
occur repeatedly among divergent lineages. Focusing on two key sites implicated in CTS resistance
across animals (111 and 122), we tested whether substitutions at these sites have increasingly
distinct phenotypic effects on more divergent genetic backgrounds. If the phenotypic effects of
these substitutions depend on states at a large number of sites throughout the protein, we expect
that identical substitutions should have increasingly distinct effects on more highly divergent
proteins. To test this, we engineered several common substitutions at sites 111 and 122 of ATP1A1
that differ between species to reveal potential 'cryptic' epistasis (16, 29). By quantifying the level of
CTS resistance conferred by these substitutions on different backgrounds, as well as their
pleiotropic effects on enzyme function, we evaluate the extent to which overall protein sequence
background has constrained the evolution of CTS-resistant forms of ATP1A1 across tetrapods.
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132   **Results**
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134   **Patterns of ATP1A sequence evolution across species and paralogs.**
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To obtain a more comprehensive portrait of ATP1A amino acid variation among tetrapods, we
created multiple sequence alignments for near full-length ATP1A proteins for the three ATP1A
paralogs shared among vertebrates. In addition to publicly available data, we generated new RNA-
seq data for 27 non-avian reptiles (PRJNA754197) (Table S1-S2). We then *de novo* assembled

full-length transcripts of all ATP1A paralogs using these and RNA-seq data from 18 anuran species

(25) (PRJNA627222) to achieve better representation for these groups. In total, this dataset

comprises 429 species for ATP1A1, 197 species for ATP1A2 and 204 species for ATP1A3 (831

sequences total; Supplemental Dataset 1, Fig. S1).

Our survey reveals numerous substitutions at sites implicated in CTS resistance of NKA (Fig. 2;

Supplementary Dataset 2; for comparison to insects, see Supplemental file 1 of ref. (23)). As

anticipated from studies of full-length sequences in insects (4, 5, 23), most amino acid variation

among species and paralogs is concentrated in the H1-H2 extracellular loop (residues 111-122;

Fig 1A). Despite harboring just 28% of 43 sites previously implicated in CTS resistance (30), the

H1-H2 extracellular loop contains 81.4% of all substitutions identified among the three ATP1A

paralogs (Fig. S2).

Our survey reveals several clade- and paralog-specific patterns. Notably, ATP1A1 exhibits more

variation among species at sites implicated in CTS resistance (Fig. 2). Most of the variation in

ATP1A2 at these sites is restricted to squamate reptiles and ATP1A3 lacks substitutions at site 122

altogether, despite the well-known potential for substitutions at this site to confer CTS resistance

(25, 31). Looking across species and paralogs, the extent of parallelism at sites 111 and 122 is

remarkable (Figs. 2-3): for example, the substitutions Q111E, Q111T, Q111H, Q111L, and Q111V

all occur in parallel in multiple species of both insects and vertebrates. N122H and N122D also

frequently occur in parallel in both of these major clades. The frequent parallelism of CTS-sensitive

(i.e. Q111 and N122) to CTS-resistant states at these sites has been interpreted as evidence for

adaptive significance of these substitutions (4–7), but may also reflect mutational biases (32) and

the nature of physico-chemico constraints (21, 33).

In contrast, some parallelism is restricted to specific clades: for example, Q111R occurs in parallel

across tetrapods but has not been observed in insects. Similarly, the combination Q111R+N122D

has evolved three times independently in ATP1A1 of tetrapods but is not observed in insects.

Conversely, insects have evolved Q111V+N122H independently four times, but this combination

has never been observed in tetrapods. These patterns suggest that the fitness effects of some

CTS-resistant substitutions depend on genetic background (i.e. epistatic constraints), with the

result that CTS-resistance evolved via different mutational pathways in different lineages.

Beyond known CTS-resistant substitutions at sites 111 and 122, some taxa have evolved other

paths to CTS resistance. For example, the Pacman frog (genus *Ceratophyrs*) is known to prey on

CTS-containing toads (34) and its ATP1A1 harbors a known CTS-resistant substitution at site 121

(D121N, Supplementary Dataset 2). This substitution is rare among vertebrates but has been

previously reported in CTS-adapted milkweed bugs (4, 5). Similarly, the known CTS resistance
substitution C104Y is observed among many natricid snakes (Supplementary Dataset 2) and CTS-
adapted milkweed weevils (5). Chinchilla (*Chinchilla lanigera*) and yellow-throated sandgrouse
(*Pterocles gutturalis*) show distinct single-amino acid insertions in the H1–H2 extracellular loop, a
characteristic that has been previously associated with CTS resistance in pyrgomorphid
grasshoppers (30, 35). Further, *in lieu* of variation at site 122, ATP1A3 of tetrapods harbors
frequent parallel substitutions at site 120 (G120R, see also (7)). Interestingly, this site also shows
substantial parallel substitution in the ATP1A1 paralog of birds (where N120K occurs eight times
independently) but is mostly invariant in ATP1A1 of other tetrapods.

Context-dependent CTS resistance of substitutions at sites 111 and 122

The clade- and paralog-specific patterns of substitution among ATP1A paralogs outlined above
suggest that the evolution of CTS resistance may be highly dependent on sequence context.
However, the functional effects of the vast majority of these substitutions on the diverse genetic
backgrounds in which they occur remain largely unknown (25, 27, 31). Given the diversity and
broad phylogenetic distribution of parallel substitutions at sites 111 and 122, and the documented
effects of some of these substitutions on CTS resistance, we experimentally tested the extent to
which functional effects of substitutions at these sites are background-dependent.

We focused functional experiments on ATP1A1, because it is the most ubiquitously expressed
paralog and exhibits both the most sequence diversity and the broadest phylogenetic distribution
of parallel substitutions. Specifically, we considered ATP1A1 orthologs from nine representative
tetrapod species that possess different combinations of wild-type amino acids at 111 and 122 (Fig.
4A). Our taxon sampling includes two lizards, two snakes, two birds, two mammals and previously
published data for one amphibian (Fig. S5; Fig. S6; Table S3). The ancestral amino acid states of
sites 111 and 122 in tetrapods are Q and N, respectively. We found that the sum of the number of
derived states at positions 111 and 122 is a strong predictor of the level of CTS-resistance (Fig 4B,
IC_{50}, Spearman's r_S=0.85, p=0.001). Nonetheless, we also found greater than 10-fold variation in
CTS-resistance among enzymes that had identical paired states at 111 and 122 (e.g., compare
chinchilla (CHI) versus red-necked keelback snakes (KEE) or compare rat (RAT) versus the
resistant paralog of grass frogs (GRA_R)). These differences suggest that substitutions at other sites
also contribute to CTS resistance.

To test for epistatic effects of common CTS-resistant substitutions at sites 111 and 122, we used
site-directed mutagenesis to introduce 15 substitutions (nine at position 111 and six at position 122)

in the wildtype ATP1A1 backgrounds of 9 different species (Fig. S5). The specific substitutions
chosen were either phylogenetically broadly-distributed parallel substitutions and/or divergent
substitutions that distinguish closely related clades of species. We expressed each of these 24
ATP1A1 constructs with an appropriate species-specific ATP1B1 protein (Table S3). For each
recombinant NKA protein complex, we characterized its level of CTS resistance (IC_{50}) and
estimated enzyme activity as the rate of ATP hydrolysis in the absence of CTS (Table S4).
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Among the 12 cases for which IC_{50} could be measured, substitutions had a 15-fold effect on
average (Fig. 4C, Table S4) and were equally likely to increase or decrease IC_{50}. To assess the
background-dependence of specific substitutions, we examined five cases in which a given
substitution (e.g., E111H), or the reverse substitution (e.g., H111E), could be evaluated on two or
more backgrounds. In the absence of intramolecular epistasis, the effect of a substitution on
different backgrounds should remain unchanged and the magnitude of the effect of the reverse
substitution should also be the same but with opposite sign. This analysis revealed substantial
background dependence for IC_{50} in two of the five informative cases (Fig. 4E; Table S5). In one
case, the N122D substitution results in a 200-fold larger increase in IC_{50} when added to the
chinchilla (CHI) background compared to the grass frog (GRA) background (p=1.2e-3 by ANOVA).
In the other case, the E111H substitution and the reverse substitution (H111E) produced effects in
the same direction (reducing CTS-resistance) when added to different backgrounds (false fer-de-
lance (FER) and red-necked keelback (KEE) snakes, respectively, p=1e-7 by ANOVA). Overall,
these results suggest that the effect of a given substitution on IC_{50} can be strongly dependent on
the background on which it occurs. The remaining three substitutions (H111T, Q111R and H122D)
showed no significant change in the magnitude of the effect on IC_{50} when introduced into different
species' backgrounds. These results suggest that, while some substitutions can have strong
background-dependent effects, strong intramolecular epistasis with respect to CTS resistance is
not universal.
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237   **Pleiotropic effects on NKA activity exhibit no evidence for background-dependence.**
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We next tested whether substitutions at sites 111 and 122 have pleiotropic effects on ATPase
activity. Because ion transport across the membrane is a primary function of NKA and its disruption
can have severe pathological effects (36), mutations that compromise this function are likely to be
under strong purifying selection. As suggested by previous work (23–25), CTS-resistant
substitutions at sites 111 and 122 can decrease enzyme activity. We evaluated the generality of
these effects across a broader phylogenetic scale by comparing enzyme activity of the 15 mutant
NKA proteins to their corresponding wild-type proteins.

Interestingly, the wild-type enzymes themselves exhibit substantial variation in activity, from 3-18

nmol/mg*min (P = 6e-7 by ANOVA, Fig 4D; Table S4). On average, substitutions at sites 111 and

122 on divergent orthologous protein backgrounds changed enzyme activity by 60% (Fig 4D; Fig

S4). In two cases, amino acid substitutions at position 122 (N122H and H122D) nearly inactivate

lizard NKAs and, in one case, a substitution at position 111 (Q111T) resulted in low expression of

the recombinant protein in the transfected cells (Fig S6; Fig. S7). A test of uniformity of pairwise t-

test p-values across substitutions suggests a significant enrichment of low p-values (Fig 4D inset;

p=2.5e-4, chi-squared test of uniformity). Thus, globally, this set of substitutions has significant

effects on NKA activity, but they were surprisingly not more likely to decrease than increase activity

(10 decrease: 5 increase, p>0.3, binomial test, Fig. 4D, Table S5).

We next asked to what extent pleiotropic effects of CTS resistant substitutions at positions 111 and

122 are dependent on genetic background. This question is motivated by recent studies in insects

which revealed that deleterious pleiotropic effects of some resistance-conferring substitutions at

sites 111 and 122 are background-dependent (23, 24). Likewise, recent work on ATP1A1 of toad-

eating grass frogs showed that effects of Q111R and N122D on NKA activity are background-

dependent (25). In contrast, among the five informative cases in which we compared the same

substitution (or the reverse substitution) on two or more backgrounds, there is no evidence for

background dependence (Fig 4E; Table S5). For example, N122D has similar effects on NKA

activity in grass frog and chinchilla despite the substantial divergence between the species' proteins

(8.4% protein sequence divergence; Fig. 4D). Similarly, the effects of Q111R in ostrich or the

reverse substitution R111Q in sandgrouse were not significantly different from the effect of Q111R

in grass frog (7.5% and 8% protein sequence divergence, respectively).

To further examine the evidence for background dependence, we tested whether changes to the

same amino acid state (regardless of starting state) at 111 and 122 produce different changes in

NKA activity (e.g., R111E on the rat background versus H111E on the false fer-de-lance

background). If epistasis is prevalent, involving a large number of sites, we expect that the

difference in effects of substitutions to a given amino acid state should increase with increasing

sequence divergence compared to ATP1A1 backgrounds in which that state is wild-type. However,

across the 11 possible comparisons, we found no relationship between the difference in the effect

of substitutions to the same state and the extent of amino acid divergence between the orthologous

proteins (Fig. 5). This pattern suggests that, while pleiotropic effects can be background dependent

(23, 25), these effects are not pervasive across species and do not correlate with overall sequence

divergence.

The overall rate of convergence across ATP1A proteins does not depend on sequence
divergence.

If intramolecular epistasis is pervasive, rates of convergent substitution are predicted to decrease
as a function of overall sequence divergence (20–22). In contrast to this expectation, our
experiments suggest that, for ATP1A1, the extent of background sequence divergence is a poor
predictor of the magnitude of effects of substitutions at sites 111 and 122 on CTS resistance and
enzyme activity. Since our experiments were necessarily limited in scope, we carried out a broad
phylogenetic analysis to evaluate how well our findings align with global estimates of rates of
convergence for the ATP1A family beyond ATP1A1 and beyond sites implicated in CTS resistance.

Using a multisequence alignment of 831 ATP1A protein sequences, including the three ATP1A
paralogs shared among tetrapods (i.e., amphibians, non-avian reptiles, birds, and mammals), we
inferred a maximum likelihood phylogeny of the gene family (Fig. S1). We then used ancestral
sequence reconstruction to infer the history of substitution events on all branches in the tree and
counted the number of convergent amino acid substitutions per site along the entire protein (see
Materials and Methods). Convergent substitutions are defined as substitutions on two branches at
the same site resulting in the same amino acid state. Interestingly, we do not detect a correlation
between the relative number of convergent substitutions with background ATP1A divergence
across the tree (Fig. 6A). This result also holds true when considering only substitutions to the key
CTS resistance sites 111 and 122 (Fig. S6).

To gain more insight into the factors that determine convergent evolution in ATP1A, we looked
more closely at patterns of individual convergent substitutions at sites 111 and 122 by extracting
each convergent substitution and visualizing its distribution along the sequence divergence axis
(Fig. 6B). Under the expectation that rates of convergence should tend to decrease as a function
of sequence divergence, the distribution of pairwise convergent events along the sequence
divergence axis should be left-skewed, with a peak towards lower sequence divergence. In contrast
to this expectation, the distribution is bimodal, with one peak at 0.33 and the other at 0.69
substitutions/site (Fig. 6B bottom panel). Parallel and convergent substitutions have occurred
almost across the full range of protein divergence estimates. For example, if X is any starting state,
the substitution X111R has occurred independently in 13 tetrapod lineages and X111L
independently in 20 lineages. Both substitutions have a broad phylogenetic distribution, suggesting
that their effects do not strongly depend on sequence states at a large number of sites throughout

the protein. Interestingly, however, the distributions for X111H and X111E substitutions are

relatively right-skewed, in line with epistasis for CTS resistance that we observed in experiments

for H111E/E111H (Fig 4E).

Discussion

Previous work has shown that the adaptive evolution of NKA-mediated CTS resistance in animals

is constrained by pleiotropy and epistasis (i.e. background-dependence) (23–25, 30, 37). Further,

based on broad phylogenetic analysis of proteomes, our *a priori* expectation is that epistasis should

represent a stronger constraint with increasing levels of divergence between species and ATP1A

paralogs (20). In light of these considerations, our broad survey of the ATP1A gene family in

tetrapods reveals two striking and seemingly contradictory patterns. The first is that some

substitutions underlying CTS resistance in tetrapods are broadly distributed phylogenetically and

even shared with insects (e.g., N122H is widespread among snakes and found in the monarch

butterfly and other insects; see Fig. 3 for more examples). Patterns like these suggest that epistatic

constraints have a limited role in the evolution of CTS resistance, as the same mutation can be

favored on highly divergent genetic backgrounds. On the other hand, there is also substantial

diversity in resistance-conferring states at sites 111 and 122, and some combinations of these

substitutions appear to be phylogenetically restricted. For example, the CTS-resistant combination

of Q111R+N122D has evolved multiple times in tetrapods but is absent in insects, whereas the

CTS-resistant combination Q111V+N122H evolved multiple times in insects but is absent in

tetrapods (Fig 3). Additionally, some substitutions also appear to be paralog-specific in tetrapods

(Fig 3). These phylogenetic signatures suggest at least some role for epistasis as a source of

contingency in the evolution of ATP1A-mediated CTS resistance in animals (i.e., that the fitness

effects of substitutions depend on the order in which they occur). How can these disparate patterns

be reconciled? To what extent do genetic background and contingency limit the evolution of CTS

resistance in animals?

In our functional analysis of diverse ATP1A1 proteins, we find that derived substitutions at sites

111 and 122 have largely predictable effects on CTS resistance, with salient exceptions that tend

to be in size rather than direction (Fig. 4). For example, Q111R contributes to CTS resistance on

many species' backgrounds, but not on that of sandgrouse (Fig. 4E). It is also notable that species

with identical paired states at 111 and 122 can vary in CTS resistance by more than an order of

magnitude (Fig 4B). Together, these patterns point to background determinants of CTS resistance

that may be additive rather than epistatic. Despite this, there are some broadly phylogenetically
distributed substitutions, such as N122D, that nonetheless do exhibit background-dependent
effects on CTS resistance (Fig. 4C and 4E).

With respect to pleiotropic effects on NKA activity, our functional analysis of substitutions at sites
111 and 122 on diverse ATP1A1 backgrounds suggest that interactions between these
substitutions and those backgrounds are largely additive. Specifically, we find that the effect of a
particular CTS-resistance substitution on NKA activity is no more severe if added to a protein
background that is ~49 or 86 amino acid substitutions away from the protein background on which
that substitution naturally occurs (Fig. 5). In light of previous results demonstrating background-
dependent effects of similar substitutions on NKA activity (23–25), our findings suggest that the
extent of epistasis does not have a monotonic dependence on the extent of ATP1A1 divergence.
Our findings further support increasing evidence that while epistasis is likely to be a pervasive
feature of protein evolution, many mutational effects on structural and functional properties of
proteins nonetheless seem to be additive (e.g., (38–40)).

We propose that our observations can be reconciled with previous results demonstrating epistatic
constraints if epistasis with respect to protein function is confined to a small number of sites in the
protein. If so, we might expect that the magnitude of epistasis may have little dependence on the
extent of protein-wide ATP1A1 divergence but would instead be better predicted by divergence at
a few key sites. In support of this view, Mohammadi et al. (25) showed that decreases in ATP1A1
enzyme activity due to substitutions Q111R and N122D can be rescued by 10 (or fewer) of the 19
amino acid differences distinguishing the backgrounds of CTS-resistant and sensitive ATP1A1
paralogs of grass frogs. Further, studies in *Drosophila melanogaster* (23, 24) show that severe
neural dysfunction associated with CTS resistance substitutions at sites 111 and 122 can largely
be rescued with one additional substitution (A119S) to the *Drosophila melanogaster* ATP1A
background.

It is worth noting that phenotypes like enzyme activity are not equivalent to organismal fitness and
that there may be a complex mapping between the two. The discussion above assumes that
changes in enzyme activity are most likely detrimental to organismal fitness, but this need not be
the case. Interestingly, we found that the activity of wildtype NKAs vary 6-fold among the species
surveyed (Fig. 4E), suggesting that most species are either robust to changes in NKA activity or
that changes have occurred in other genes (including other ATP1A paralogs) to compensate for
changes in NKA activity associated with ATP1A1. Thus, it may be that protein activity itself is either
not an important pleiotropic constraint on the evolution of CTS resistance of NKA or that constraint

depends not just on the protein background, but also on the broader genetic background of the
organism (e.g., other interacting proteins; see (23)).
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Our work highlights the utility of comparative functional work in understanding the nature of epistatic
constraints on the evolution of novel protein functions. In this case-study of the evolution of CTS-
resistant Na,K-ATPases, we find that epistatic constraints are more likely depend on divergence at
a small number of key sites in the protein rather than overall levels of protein divergence. The
circumscribed nature of these constraints may account for the remarkable parallelism of CTS-
resistance substitutions observed among the Na,K-ATPases of highly divergent species.
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402 **Materials and Methods**

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Sample collection and data sources.

In order to carry out a comprehensive survey of vertebrate ATP1A paralogs (ATP1A1, ATP1A2 and

ATP1A3), we collated a total of 831 protein sequences for this study (Supplementary Dataset 1).

Mammals possess a fourth paralog (ATP1A4) that is expressed predominantly in testes (41) that

we don't consider here. In addition to publicly available data, we also generated RNA-seq data for

27 species of non-avian reptiles (Table S1; PRJNA754197) to achieve a better representation of

some previously underrepresented lineages. These included field-caught and museum-archived

specimens as well as animals purchased from commercial pet vendors. Purchased animals were

processed following the procedures specified in the IACUC Protocol No. 2057-16 (Princeton

University) and implemented by a research veterinarian at Princeton University. Wild-caught

animals were collected under Colombian umbrella permit *resolución No.* 1177 granted by the

Autoridad Nacional de Licencias Ambientales to the Universidad de los Andes and handled

according to protocols approved by the Institutional Committee on the Care and Use of Laboratory

Animals (abbreviated CICUAL in Spanish) of the Universidad de los Andes. In all cases, fresh

tissues (brain, stomach, and muscle) were taken and preserved in RNAlater (Invitrogen) and stored

at -80ºC until used.

Reconstruction of ATP1A paralogs.

RNA-seq libraries were prepared either using TruSeq RNA Library Prep Kit v2 (Illumina) and

sequenced on Illumina HiSeq2500 (Genomics Core Facility, Princeton, NJ, USA) or using NEBNext

Ultra RNA Library Preparation Lit (NEB) and sequenced on Illumina HiSeq4000 (Genewiz, South

Plainfield, NJ, USA) (Table S2). All raw RNA-seq data generated for this study have been deposited

in the National Center for Biotechnology Information (NCBI) Sequence Read Archive (SRA) under

bioproject PRJNA754197. Together with SRA datasets downloaded from public database, reads

were trimmed to Phred quality ≥ 20 and length ≥ 20 and then assembled *de novo* using Trinity

v2.2.0 (42). Sequences of ATP1A paralogs 1, 2 and 3 were pulled out with BLAST searches (blast-

v2.26), individually curated, and then aligned using ClustalW. Complete alignments of ATP1/2/3

can be found in Supplementary Dataset 1.

Character state mapping and parameter estimation for the ATP1A1-3 paralogs

Protein sequences from ATP1A1 (N=429), ATP1A2 (N=197) and ATP1A3 (N=205) including main

tetrapod groups (amphibians, non-avian reptiles, birds, and mammals) and lungfish+coelacanth as

outgroups were aligned using ClustalW with default parameters. The optimal parameters for

phylogenetic reconstruction were taken from the best-fit amino acid substitution model based on

Akaike Information Criterion (AIC) as implemented in ModelTest-NG v.0.1.5 (43), and was inferred

to be JTT+G4+F. An initial phylogeny was inferred using RAxML HPC v.8 (44) under the

JTT+GAMMA model with empirical amino acid frequencies. Branch lengths and node support

(aLRS) were further refined using PhyML v.3.1 (45) with empirical amino acid frequencies and

maximum likelihood estimates of rate heterogeneity parameters, I and Γ. Phylogeny visualization

and mapping of character states for each paralog was done using the R package ggtree (46).

Ancestral sequence reconstruction and convergence calculations

Ancestral sequence reconstruction (ASR) was performed in PAML using codeml (47) under the

JTT+G4+F substitution model. Statistical confidence in each position's reconstructed state for each

ancestor was determined from the posterior probability (PP), and only states with PP>0.8 were

considered. Ancestral sequences from all nodes in the ATP1A phylogeny were retrieved from the

codeml output, resulting in an alignment of 1,660 ATP1A proteins (831 extant species and 829

inferred ancestral sequences; Fig. S1). For each branch in the tree, we determined the occurrence

of substitutions by using the ancestral and derived amino acid states at each site using only states

with PP>0.8. All branch pairs were compared, except sister branches and ancestor-descendent

pairs (20, 21). When comparing substitutions on two distinct branches at the same site,

substitutions to the same amino acid state were counted as convergences, while substitutions away

from a common amino acid were counted as divergences. An alignment of 1,040 amino acids was

used to calculate the number of molecular convergences and divergences, excluding a putative 30

amino acid-long alternative spliced region (positions 834-864). Model-based estimates of sequence

divergence, number of convergences, number of divergences, and total number of substitutions

since the common ancestor were recorded for each pairwise comparison. We calculated the

proportion of observed convergent events per branch as (number of convergences +1) / (number

of divergences +1). The line describing the trend was calculated as a running average with window

size of 0.05 substitutions/site. 95% confidence intervals were calculated based on 100 bootstrap

replicates per window, resampling only variable sites.

For sites 111 and 122, molecular convergence was coded as "1" when the substitution along

branch$_i$ was to the same amino acid state as the substitution along branch$_j$, and "0" if substitutions

were to different states. Model-based estimates of sequence divergence, amino acid state, and

convergence event were recorded for each pairwise comparison (when convergence was "0",

amino acid state was set to "NA"). A logistic regression between molecular convergence (0 or 1)

and genetic distance was used to test for the correlation between variables (Fig 6B; Fig. S4)

Construction of expression vectors.

ATP1A1 and ATP1B1 wild-type sequences for the eight selected tetrapod species (Fig 4) were synthesized by Invitrogen™ GeneArt. The β1-subunit genes were inserted into pFastBac Dual expression vectors (Life Technologies) at the p10 promoter with XhoI and PaeI (FastDigest Thermo Scientific™) and then control sequenced. The α1-subunit genes were inserted at the PH promoter of vectors already containing the corresponding β1-subunit proteins using In-Fusion® HD Cloning Kit (Takara Bio, USA Inc.) and control sequenced. All resulting vectors had the α1-subunit gene under the control of the PH promoter and a β1-subunit gene under the p10 promoter. The resulting eight vectors were then subjected to site-directed mutagenesis (QuickChange II XL Kit; Agilent Technologies, La Jolla, CA, USA) to introduce the codons of interest. In total, 21 vectors were produced (Table S3).

Generation of recombinant viruses and transfection into Sf9 cells.

Escherichia coli DH10bac cells harboring the baculovirus genome (bacmid) and a transposition helper vector (Life Technologies) were transformed according to the manufacturer's protocol with expression vectors containing the different gene constructs. Recombinant bacmids were selected through PCR screening, grown, and isolated. Subsequently, Sf9 cells (4 x 10^5 cells*ml) in 2 ml of Insect-Xpress medium (Lonza, Walkersville, MD, USA) were transfected with recombinant bacmids using Cellfectin reagent (Life Technologies). After a three-day incubation period, recombinant baculoviruses were isolated (P1) and used to infect fresh Sf9 cells (1.2 x 10^6 cells*ml) in 10 ml of Insect-Xpress medium (Lonza, Walkersville, MD, USA) with 15 mg/ml gentamycin (Roth, Karlsruhe, Germany) at a multiplicity of infection of 0.1. Five days after infection, the amplified viruses were harvested (P2 stock).

Preparation of Sf9 membranes.

For production of recombinant NKA, Sf9 cells were infected with the P2 viral stock at a multiplicity of infection of 10^3. The cells (1.6 x 10^6 cells*ml) were grown in 50 ml of Insect-Xpress medium (Lonza, Walkersville, MD, USA) with 15 mg/ml gentamycin (Roth, Karlsruhe, Germany) at 27°C in 500 ml flasks (35). After 3 days, Sf9 cells were harvested by centrifugation at 20,000 x g for 10 min. The cells were stored at -80 °C and then resuspended at 0 °C in 15 ml of homogenization buffer (0.25 M sucrose, 2 mM EDTA, and 25 mM HEPES/Tris; pH 7.0). The resuspended cells were sonicated at 60 W (Bandelin Electronic Company, Berlin, Germany) for three 45 s intervals at 0 °C. The cell suspension was then subjected to centrifugation for 30 min at 10,000 x g (J2-21 centrifuge, Beckmann-Coulter, Krefeld, Germany). The supernatant was collected and further centrifuged for 60 m at 100,000 x g at 4 °C (Ultra- Centrifuge L-80, Beckmann-Coulter) to pellet the cell membranes. The pelleted membranes were washed once and resuspended in ROTIPURAN® p.a.,

ACS water (Roth) and stored at -20 °C. Protein concentrations were determined by Bradford assays
using bovine serum albumin as a standard. Three biological replicates were produced for each
NKA construct.

Verification by SDS-PAGE/western blotting.
For each biological replicate, 10 μg of protein were solubilized in 4x SDS-polyacrylamide gel
electrophoresis sample buffer and separated on SDS gels containing 10% acrylamide.
Subsequently, they were blotted on nitrocellulose membrane (HP42.1, Roth). To block non-specific
binding sites after blotting, the membrane was incubated with 5% dried milk in TBS-Tween 20 for
1 h. After blocking, the membranes were incubated overnight at 4 °C with the primary monoclonal
antibody α5 (Developmental Studies Hybridoma Bank, University of Iowa, Iowa City, IA, USA).
Since only membrane proteins were isolated from transfected cells, detection of the α subunit also
indicates the presence of the β subunit. The primary antibody was detected using a goat-anti-
mouse secondary antibody conjugated with horseradish peroxidase (Dianova, Hamburg,
Germany). The staining of the precipitated polypeptide-antibody complexes was performed by
addition of 60 mg 4-chloro-1 naphtol (Sigma-Aldrich, Taufkirchen, Germany) in 20 ml ice-cold
methanol to 100 ml phosphate buffered saline (PBS) containing 60 μl 30% H_2O_2. See Fig. S7.

Ouabain inhibition assay.
To determine the sensitivity of each NKA construct against cardiotonic steroids (CTS), we used the
water-soluble cardiac glycoside, ouabain (Acrōs Organics), as our representative CTS. 100 ug of
each protein was pipetted into each well in a nine-well row on a 96-well microplate (Fisherbrand)
containing stabilizing buffers (see buffer formulas in (48)). Each well in the nine-well row was
exposed to exponentially decreasing concentrations (10^{-3} M, 10^{-4} M, 10^{-5} M, 10^{-6} M, 10^{-7} M, 10^{-8} M,
dissolved in distilled H_2O) of ouabain, distilled water only (experimental control), and a combination
of an inhibition buffer lacking KCl and 10^{-2} M ouabain to measure background protein activity (48).
The proteins were incubated at 37°C and 200 rpms for 10 minutes on a microplate shaker
(Quantifoil Instruments, Jena, Germany). Next, ATP (Sigma Aldrich) was added to each well and
the proteins were incubated again at 37°C and 200 rpms for 20 minutes. The activity of NKA
following ouabain exposure was determined by quantification of inorganic phosphate (Pi) released
from enzymatically hydrolyzed ATP. Reaction Pi levels were measured according to the procedure
described in Taussky and Shorr (49) (see Petschenka et al. (48)). All assays were run in duplicate
and the average of the two technical replicates was used for subsequent statistical analyses.
Absorbance for each well was measured at 650 nm with a plate absorbance reader (BioRad Model
680 spectrophotometer and software package). See Table S4.

ATP hydrolysis assay.

To determine the functional efficiency of different NKA constructs, we calculated the amount of Pi hydrolyzed from ATP per mg of protein per minute. The measurements were obtained from the same assay as described above. In brief, absorbance from the experimental control reactions, in which 100 μg of protein was incubated without any inhibiting factors (i.e., ouabain or buffer excluding KCl), were measured and translated to mM Pi from a standard curve that was run in parallel (1.2 mM Pi, 1 mM Pi, 0.8 mM Pi, 0.6 mM Pi, 0.4 mM Pi, 0.2 mM Pi, 0 mM Pi). See Table S4.

Statistical analyses of functional data.

Background phosphate absorbance levels from reactions with inhibiting factors were used to calibrate phosphate absorbance in wells measuring ouabain inhibition and in the control wells measuring non-inhibited NKA activity (48). For ouabain sensitivity measurements, calibrated absorbance values were converted to percentage non-inhibited NKA activity based on measurements from the control wells (48). These data were plotted and log IC_{50} values were obtained for each biological replicate from nonlinear fitting using a four-parameter logistic curve, with the top asymptote set to 100 and the bottom asymptote set to zero. Curve fitting was performed with the nlsLM function of the minipack.lm library in R. For comparisons of recombinant protein activity, the calculated Pi concentrations of 100 μg of protein assayed in the absence of ouabain were converted to nmol Pi/mg protein/min. IC_{50} values were log-transformed. We used pairwise *t*-tests with Bonferroni corrections to identify significant differences between constructs with and without engineered substitutions. We used a two-way ANOVA to test for background dependence of substitutions (i.e., interaction between background and amino acid substitution) with respect to ouabain resistance (log IC_{50}) and protein activity. Specifically, we tested whether the effects of a substitution X->Y are equal on different backgrounds (null hypothesis: X->Y (background 1) = X->Y (background 2)). We further assumed that the effects of a substitution X->Y should exactly match that of Y->X. All statistical analyses were implemented in R. Data were plotted using the ggplot2 package in R.

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696   **Figures and Tables**

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A   B

Figure 1. Na,K-ATPase structure and phylogenetic relationships of ATP1A paralogs among
vertebrates. (A) Crystal structure of an Na$^+$,K$^+$-ATPase (NKA) with a bound the representative CTS
bufalin in blue (PDB 4RES). The zoomed-in panel shows the H1-H2 extracellular loop, highlighting
two amino acid positions (111 and 122 in red) that have been implicated repeatedly in CTS
resistance. We highlight key examples of convergence in amino acid substitutions at sites in the
H1-H2 extracellular loop associated with CTS resistance in Fig 3. (B) Phylogenetic relationships
among ATP1A paralogs of vertebrates and ATPα of insects.
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Figure 2. Patterns of molecular evolution in the α(M1–M2) extracellular loop of ATP1A paralogs shared among tetrapods. (A) Maximum likelihood phylogeny of tetrapod ATP1A1, (B) ATP1A2, and (C) ATP1A3. The character states for eight sites relevant to CTS resistance in and near the H1-H2 loop of the NKA protein are shown at the node tips. Yellow internal nodes indicate ancestral sequences reconstructed to infer derived amino acid states across clades to ease visualization; nodes reconstructed: MRCA of mammals, reptiles, and amphibians. *Top right*, each semi-circle indicates the site mapped in the main phylogeny with the inferred ancestral amino acid state for each of the three yellow nodes (posterior probability >0.8). In ATP1A1, site 119 was inferred as Q119 for amphibians and mammals, and N119 for reptiles (Table S6); in ATP1A2-3 site 119 was inferred as A119 for amphibians and reptiles, and S119 for mammals (Table S6). Site number corresponds to pig (*Sus scrofa*) reference sequence. Higher number and variation of substitutions in ATP1A1 stand out in comparison to the other paralogs.

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Figure 3. Parallel and divergent patterns of CTS-resistant substitutions across ATPα1 of insects and the shared ATP1A paralogs of tetrapods. Examples of convergence in ATPα1 across insects (A). Convergence in the **(B)** ATP1A1, **(C)** ATP1A2, and **(D)** ATP1A3 paralogs, respectively, across tetrapods. Numbers indicate the number of independent substitutions in each major clade depicted. For ATP1A3, resistance-conferring amino acid substitutions have been identified at site 120, and not 122. A full list of amino acid substitutions can be found in Supplementary Dataset 2 for tetrapods, and Taverner et al. (23) for insects.

Figure 4. Functional properties of wild-type and engineered ATP1A1. **(A)** Cladogram
relating the surveyed species. GRA: Grass Frog (*Leptodactylus*); RAT: Rat (*Rattus*); CHI:
Chinchilla (*Chinchilla*); OST: Ostrich (*Struthio*); SNG: Sandgrouse (*Pterocles*); MON: Monitor
lizard (*Varanus*); TEG: Tegu lizard (*Tupinambis*); FER: False fer-de-lance (*Xenodon*); KEE: Red-
necked keelback snake (*Rhabdophis*). Two-letter codes underneath each avatar indicate native
amino acid states at sites 111 and 122, respectively. Data for grass frog from Mohammadi et al.
(2021). **(B)** Levels of CTS resistance (IC50) among wild-type enzymes. The x-axis distinguishes
among ATP1A1 with 0, 1 or 2 derived states at sites 111 and 122. The subscripts S and R refer
to the CTS-sensitive and CTS-resistant paralogs, respectively. **(C)** Effects of changing the
number of substitutions at 111 or 122 on CTS resistance (IC50). Substitutions result in
predictable changes to resistance except in the reversal R111Q in Sandgrouse (SNG). GRA$_S$
represents Q111R+N122D on the sensitive paralog background. **(D)** Effects of single
substitutions on Na$^+$,K$^+$-ATPase (NKA) activity. Each modified ATP1A1 is compared to the wild-
type enzyme for that species. The inset shows the distribution of *t*-test p-values for all 15
substitutions, with the dotted line indicating the expectation. **(E)** Evidence for epistasis for CTS
resistance (IC50, upper panel) and lack of such effects for enzyme activity (lower panel). Each
line compares the same substitution (or the reverse substitution) tested on at least two
backgrounds. Thicker lines correspond to substitutions with significant sequence-context
dependent effects (Bonferroni-corrected ANOVA p-values < 0.05, Table S5).

Figure 5. No relationship between the effect of substitution to a given amino state on activity and the extent of divergence between ATP1A1 orthologs. Each point represents a comparison between the effect (% change in activity relative to the wild-type enzyme) of a given amino acid state (e.g., 122D) on two different genetic backgrounds. For example, the effect of 122D between chinchilla and false fer-de-lance is measured as % change [chinchilla vs. chinchilla+N122D] minus the % change [false fer-de-lance vs. false fer-de-lance+H122D]. Comparisons were measured as the difference between the two effects. In total, 11 comparisons were possible. Note that comparisons are not independent. The x-axis represents the number of amino acid differences between two ATP1A1 proteins being compared. Assuming intramolecular epistasis for protein function is prevalent, a positive correlation is predicted. However, no such relationship is observed (Spearman's correlation, r_S = -0.42, p = 0.19).

Figure 6. Rate of convergence across ATP1A sequences as a function of increasing sequence divergence. (A) Change in the rate of convergence (protein wide) over time for the ATP1A protein family. The proportion of convergent (C) over divergent (D) substitutions along the entire protein sequence was estimated for all pairs of branches in the ATP1A phylogeny, except for sister branches or ancestor-descendant pairs. Color scale shows the density of dots for both axes. The distance between branches corresponds to the expected number of amino acid substitutions per site between protein pairs being compared (under the JTT+G4+F model). The red line shows a running average with a window size of 0.05 substitutions/site. Dashed lines show the 95% confidence interval based on 100 bootstrap replicates per window. **(B)** For each derived amino acid state at sites 111 and 122, the histograms show the distribution of pairwise convergent events along the sequence divergence axis (expected number of substitutions per site). Substitutions are color coded as in Figure 2. The histogram at the bottom shows the combined distribution of pairwise convergent events for both sites.