1 A comparison of methodological approaches to the study of young sex chromosomes: A case study in 2 *Poecilia*

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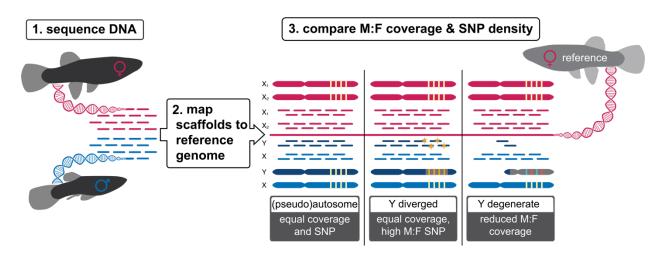
14 Abstract

15 Studies of sex chromosome systems at early stages of divergence are key to understanding the initial process and underlying causes of recombination suppression. However, identifying signatures of 16 17 divergence in homomorphic sex chromosomes can be challenging due to high levels of sequence similarity 18 between the X and the Y. Variations in methodological precision and underlying data can make all the 19 difference between detecting subtle divergence patterns or missing them entirely. Recent efforts to test 20 for X-Y sequence differentiation in the guppy have led to contradictory results. Here we apply different 21 analytical methodologies to the same dataset to test for the accuracy of different approaches in 22 identifying patterns of sex chromosome divergence in the guppy. Our comparative analysis reveals that 23 the most substantial source of variation in the results of the different analyses lies in the reference 24 genome used. Analyses using custom-made *de novo* genome assemblies for the focal species successfully 25 recover a signal of divergence across different methodological approaches. By contrast, using the distantly 26 related Xiphophorus reference genome results in variable patterns, due to both sequence evolution and 27 structural variations on the sex chromosomes between the guppy and *Xiphophorus*. Changes in mapping 28 and filtering parameters can additionally introduce noise and obscure the signal. Our results illustrate how 29 analytical differences can alter perceived results and we highlight best practices for the study of nascent 30 sex chromosomes.

31 Introduction

Substantial recent attention in sex chromosome research has focused on the earliest stages of X-Y divergence in order to glean the initial processes of recombination suppression (Wright et al. 2016). Studies of nascent sex chromosome divergence will by definition result in subtle patterns of X-Y sequence differentiation as substantial differences have not yet sufficiently accumulated. Given the expected subtlety, methodology and underlying data can be quite important, and small changes may make all the difference between identifying a delicate pattern or missing it entirely.

For example, several recent tests for divergence between the guppy X and Y chromosomes have revealed 38 39 contradictory results. Full genomic analysis of the *Poecilia reticulata* sex chromosomes was originally 40 presented in Wright et al. (2017) based on comparisons between male and female genomes (Fig. 1). This 41 approach can be used to identify what, if any, regions of the Y chromosome are diverged from the X, and 42 to compare across populations to determine intra-specific variation. Wright et al. (2017) found a relatively 43 small region (10Mb) of significant Y degeneration, designated Stratum I. This region was characterized by 44 a reduction in the number of male reads that mapped compared to females, consistent with the concept of Y degeneration. Moreover, the same pattern was observed in all six of the natural populations assayed 45 as well as a captive lab population, and the rules of parsimony therefore suggest that Stratum I is ancestral 46 47 to the colonization of Trinidad. Wright et al. (2017) also observed evidence of a second region of nascent divergence, Stratum II, that appeared to have formed independently in three upstream populations, but 48 49 was smaller in downstream populations. This region was characterized by an increase in male single 50 nucleotide polymorphism (SNP) density compared to females but no degradation of the Y. This pattern is 51 consistent with either greatly reduced or complete loss of male recombination in this region, or selection 52 against recombinant males.



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54 Figure 1. Genomic comparisons of male and female DNA data can be used to identify X-Y divergence. 55 Step (1) Multiple males and females are sequenced, and female reads (red) are assembled, with resulting scaffolds ordered and oriented to the nearest available full reference genome. Step (2) Male (blue) and 56 57 female (red) reads are mapped to this assembly. Step (3) Y divergence leads to male-specific SNPs, and 58 therefore elevated male:female SNP density. As the Y degenerates, Y reads will no longer map to the X 59 chromosome assembly, leading to reduced male:female coverage. Method adapted from Vicoso & 60 Bachtrog 2013 and Vicoso and Bachtrog 2015. Figure courtesy of Jacelyn Shu (jacelyndesigns.com). Almeida et al. (2021) built on these initial findings with a greatly expanded dataset, again recovering 61 62 concordant patterns of Stratum I across the same six natural populations of *P. reticulata*. The expanded

dataset incorporated 10X genomics linked-reads, allowing for far more sophisticated analyses. Namely by
phasing X and Y haplotypes, it was possible to discern that Stratum I is comprised of two smaller separate
regions of reduced male:female read depth. This region is also enriched for male-specific sequences, malespecific SNPs, and repetitive elements, the presence of which necessitate recombination suppression
from the X chromosome. Importantly, there was also evidence of phylogenetic clustering of phased Y
sequence in this region, indicating ancestral recombination suppression. Finally, this replication recovered
evidence of parallel expansion of Stratum II in upstream populations.

Expanding phylogenetically, Darolti et al (2019) uncovered consistent patterns of sex chromosome 70 71 topology in *P. wingei*. Initial karyotype analysis suggested that the X and Y chromosomes are ancestral to 72 the common guppy (P. reticulata) and Endler's Guppy (P. wingei) (Nanda et al. 1993). Furthermore, Darolti 73 et al. (2019) found the same small region of Y chromosome degeneration consistent with Stratum I, 74 although somewhat more pronounced in the degree of divergence from the X than P. reticulata. The 75 region of degeneration matched nearly perfectly with P. reticulata, suggesting Stratum I was in fact 76 present in the common ancestor of *P. wingei* and *P. reticulata*. Consistent with this, Morris et al (2018) 77 found evidence of male-specific sequence shared between P. reticulata and P. wingei, possible only if 78 recombination between the X and Y was halted in the common ancestor of these species. Moreover, 79 Darolti et al. (2020) used SNP segregation patterns from RNA-seq data across pedigrees to determine X 80 and Y sequence, and found four genes that showed phylogenetic evidence of recombination suppression 81 in the ancestor of *P. wingei* and *P. reticulata*. Although the bootstrap values for any one locus were not 82 excessively high, it is telling that all four were in Stratum I. The ancestral origin of Stratum I was further 83 supported by conserved patterns of male-hypomethylation within this region in both species (Metzger et 84 al. 2020), consistent with sexualization of gene regulation. Finally, Darolti et al. (2019) found evidence for another independent origin of Stratum II based on SNP data in *P. wingei*. Work in outgroup species 85 revealed the same chromosome is a sex chromosome in P. picta and P. parae (Darolti et al. 2019; Sandkam 86 87 et al. 2021), although diverged to a far greater degree in both these species.

88 Crucially, all of these analyses were based on custom genome or transcriptome assemblies generated 89 bespoke from the underlying data (Wright et al. 2017; Darolti et al. 2019; Darolti et al. 2020; Almeida et 90 al. 2021), although they did use existing related reference genomes to physically place and orient 91 scaffolds. This is in contrast to other studies which have used existing resources derived from different 92 populations or species, resulting in potential mismatches between the underlying data and the genome 93 to which it is compared. Taking a bespoke approach is critical as it reduces the phylogenetic distance 94 between the sequence reads and the reference to which they are mapped, which can increase the 95 proportion of reads that are accurately mapped and reduce issues arising from structural variation and 96 repetitive sequence. Secondly, an important step in identifying diverged regions in sex chromosomes is 97 ensuring stringent mapping parameters (Caravalho and Clark 2013; Smeds et al. 2015; Vicoso and 98 Bachtrog 2013; Vicoso and Bachtrog 2015; Palmer et al. 2019). This is particularly relevant for 99 homomorphic sex chromosomes as they still retain sequence orthology between the X and Y, and 100 incorrectly mapped reads can mask coverage differences between the sexes and lead to the 101 misclassification of sex-linked sequences as autosomal. Wright et al. (2017), Darolti et al. (2019) and 102 Almeida et al. (2021) used stringent mapping limits, removed minor alleles with low frequency, which 103 likely represent sequencing errors, and focused on coding sequence to minimize issues with repetitive 104 elements (Table 1). This was based on the reasoning that young sex chromosomes would exhibit subtle 105 divergence signatures, and stringency would be required to detect it (Palmer et al. 2019; Vicoso and 106 Bachtrog 2015).

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| Study | Evidence for Stratum I from M:F read depth | Phylogenetic clustering of Y sequences in Stratum I | Evidence for Stratum II from M:F Fst | Genome Assembly | Read depth analysis | SNP analysis |
|-------------------------------|-----------------------------------------------------|--------------------------------------------------------------|--------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|
| Wright et al. (2017) | Yes | n/a | Yes | Bespoke (P. reticulata) | Uniquely mapping reads | Limited to coding sequence; Site coverage > 10; SNI frequency > 0.3 x site coverage |
| Bergero et al. (2019) | No | n/a | Yes | Kunstner et al. (2016) (P. reticulata) | Default mismatch parameters, duplicate reads excluded | Quality score > 30 Minimum coverag 20; Biallelic SNPs |
| Darolti et al. (2019) | Yes | n/a | Yes | Bespoke (P. reticulata and P. wingei) | Uniquely mapping read | Limited to coding sequence; Site coverage > 10; SN frequency > 0.3 x site coverage |
| Charlesworth et al. (2020) | No | n/a | Yes | Kunstner et al. (2016) (<i>P. reticulata</i>) | Not reported* | Not reported* |
| Fraser et al. (2020) | Yes | n/a | No | Bespoke (P. reticulata) | Default mismatch parameters | MAF > 0.05 |
| Kirkpatrick et al. (2020) | No | Yes | No | Schartl et al. (2013) (Xiphophorus maculatus) | Default read mapping parameters with local argument, duplicate reads excluded | Quality score > 20 Minimum read depth 3; Biallelic SNPs |
| Almeida et al. (2021) | Yes | Yes | Yes | Bespoke (<i>P. reticulata,</i> river-specific) | Uniquely mapping reads, duplicate reads excluded | MAF > 0.1; excluding extremely high coverage sites |

*Mapping criteria not reported in methods and bioinformatic code not publicly available. Defaults assumed. MAF = minor allele frequency.

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Despite observing remarkable concordance of these patterns across multiple datasets, species and analytical methods, other recent studies have differed substantially in their approach and reported some different results (Table 1). For example, Bergero et al. (2019) did not report evidence for Stratum I in their own *P. reticulata* data, and although they did uncover a pattern that is broadly consistent with Stratum II, it was not statistically different across populations (Charlesworth et al. 2020). Fraser et al. (2020) identified small male-specific regions largely consistent with the regions identified by Almeida et al. (2021), although because of scaffold orientation differences and population-specific inversions, they are

in different physical locations. Finally, Kirkpatrick et al. (2020), reanalyzing data from Darolti et al. (2019)
found evidence of Stratum I and Stratum II in *P. wingei*, but not in *P. reticulata*. Notably, they did find
phylogenetic evidence of recombination suppression in the ancestor of these two species in Stratum I,
consistent with Darolti et al. (2020) and Almeida et al. (2021).

120 Importantly Bergero et al. (2019), Charlesworth et al. (2020) and Kirkpatrick et al. (2020) relied on existing 121 reference genomes for all their analyses and did not use genomic reads to build custom-made assemblies 122 for the target species. Importantly, Kirkpatrick et al. (2020) mapped reads from P. wingei and P. reticulata 123 to Xiphophorus, which last shared a common ancestor 40 mya (Kumar et al. 2017). Additionally, Bergero 124 et al. (2019) used less stringent mapping criteria, and Charlesworth et al. (2020) and Fraser et al. (2020) 125 used default mapping parameters. Together, this produces two sources of potential methodological noise 126 in replication efforts. First, noise can arise from accumulated mutations due to phylogenetic distance 127 between the samples used to generate sequence reads and the genome that they are mapped to. Second, permissive default mapping parameters allow for mismapping, and therefore potentially result in 128 129 significant noise in genomic comparisons between males and females. In addition to this, many of these 130 studies used different underlying datasets that varied in sample origin, number and read depth, and so it 131 is difficult to distinguish the role of sample variation from methodological differences in these 132 discrepancies.

The proliferation of studies on this system with different levels of analytical sophistication allow for a remarkable comparison of the role of genomic methodology in pattern discovery. We tested various methods on the same underlying data with the goal of determining the methodological reasons for inconsistent findings across these studies, and to develop best practices moving forward to the genomic study of nascent sex chromosome systems.

138 Methods

139 Datasets

Using the *P. reticulata* data from Almeida et al. (2021) and the *P. wingei* data from Darolti et al. (2019), we ran multiple analyses of guppy sex chromosome evolution, following the various analytical methods used by Wright et al. (2017), Bergero et al. (2019) and Kirkpatrick et al. (2020), as summarized in Table 1 and detailed below. We were unable to include the methodology of Charlesworth et al. (2020) as mapping criteria was not reported in methods and bioinformatic code is not publicly available. The datasets for *P. reticulata* and *P. wingei* included paired-end DNA-seq reads from three males and three females from the 146 Quare upstream population (EBI ENA under BioProject PRJEB39998) and from our lab population (NCBI 147 SRA under BioProject PRJNA528814), respectively. We assessed read quality using FastQC v0.11.9 148 (www.bioinformatics.babraham.ac.uk/projects/fastqc/, last accessed 8 November 2021), trimmed using 149 Trimmomatic v0.36 (Bolger et al. 2014) and concatenated reads as in Darolti et al. (2019) and Almeida et 150 al. (2021). To replicate previous studies, all analyses were repeated using several different genomes and 151 their respective gene annotations, which included the *P. reticulata* Quare *de novo* genome assembly from 152 Almeida et al. (2021), the P. reticulata reference genome from Kunstner et al. (2016) (NCBI accession GCF_000633615.1), the P. wingei de novo genome assembly from Darolti et al. (2019) and the Xiphophorus 153 154 maculatus reference genome from Schartl et al. (2013) (NCBI accession GCF 002775205.2, v5.0).

155 *Coverage analysis*

156 For each focal species, we used three separate methodological pipelines to map and filter reads and to 157 estimate read depth. The first method followed the analysis in Wright et al. (2017), which used bwa 158 v0.7.15 aln/sampe (Li and Durbin 2009) to map reads, removed reads that were not uniquely mapping 159 and estimated coverage with soap.coverage v2.7.7 (http://soap.genomics.org.cn, last accessed 1 April 2019). The second method followed the pipeline in Kirkpatrick et al. (2020), which mapped reads using 160 161 bowtie2 v2.2.9 with default parameters and the -local argument (Langmead and Salzberg 2012), removed PCR duplicates using Picard v2.0.1 (http://broadinstitute.github.io/picard, last accessed 8 November 162 163 2021) and calculated coverage with BEDtools v2.26 (Quinlan and Hall 2010). Lastly, the third method 164 followed the analysis in Bergero et al. (2019), which mapped reads with bwa mem and the -M argument 165 (Li and Durbin 2009), removed PCR duplicates with BEDtools (Quinlan and Hall 2010) and estimated 166 coverage using SAMtools v1.3.1 (Li et al. 2009).

167 For all three methodological pipelines, average coverage values were calculated separately for males and 168 females, and average male:female coverage for each non-overlapping window was calculated as 169 log₂(average male coverage) – log₂(average female coverage). A window size of 50kb was used for all P. 170 reticulata analyses and P. wingei analyses based on the X. maculatus genome, while 10kb windows were 171 used for P. wingei analyses using the more fragmented de novo P. wingei genome. Moving averages of 172 coverage were plotted in R v4.0.5 (R Core Team 2019) based on sliding window analyses using the roll_mean function. Ninety-five percent confidence intervals for the moving average plots were obtained 173 174 by randomly sampling autosomal values 1,000 times without replacement.

175 SNP density analysis

To further assess patterns of Y divergence, for both *P. reticulata* and *P. wingei*, we compared three methodological approaches of estimating SNP density differences between males and females.

178 First, based on Wright et al. (2017), we mapped reads to each genome using bowtie2 with default 179 parameters (Langmead and Salzberg 2012). After file sorting, we used bow2pro v0.1 (http://guanine.evolbio.mpg.de, last accessed 8 November 2021) to generate a profile for each sample, 180 181 representing counts for each of the four nucleotide bases at each site. We then applied a minimum site 182 coverage threshold of 10 and kept SNPs with a frequency of 0.3 times the site coverage. We further used 183 gene annotation information to remove SNPs from the analysis if they were not located within coding 184 sequences. For each sample, we calculated average SNP density for each gene as the sum of all SNPs 185 divided by the sum of filtered sites in that gene, excluding those with zero filtered sites.

Second, following Kirkpatrick et al. (2020), we called variants from files previously filtered for PCR duplicates (see *Coverage analysis* section above) using BCFtools v.1.3.1 (Li 2011). We then filtered variants using VCFtools v0.1.12b (Danecek et al. 2011), removing indels and variants with a quality score lower than 20, and selecting for biallelic SNPs and a minimum read depth of 3. For each sample, we then used BEDtools counts to count the number of SNPs within 50kb windows across the genome.

Third, we used the pipeline in Bergero et al. (2019) to call SNPs from the PCR duplicates filtered files (see *Coverage analysis* section above) using GATK HaplotypeCaller v4.1.9 (Poplin et al. 2017) with the parameters --emit-ref-confidence GVCF and -stand-call-conf 30. Further genotyping was done with GATK GenotypeGVCFs with default parameters and SelectVariants to keep SNPs with a minimum coverage of 20, minimum quality of 30 and selecting for biallelic SNPs only. For each sample, we then used BEDtools counts to count the number of SNPs within 50kb windows across the genome.

Lastly, in each of these three methodological approaches, average SNP density across all males and across
all females was calculated separately. For each gene or window, we calculated male:female SNP density
as log₂(average male SNP density) – log₂(average female SNP density). We then divided male:female SNP
density estimates into autosomal and sex-linked based on chromosomal position. The distributions of
male:female SNP density for the autosomes and the sex chromosomes were plotted in R (R Core Team
2019) and differences between them were tested using Wilcoxon rank sum tests.

203 Pairwise synteny analyses

We used LAST v1256 (Kielbasa et al. 2011) to perform pairwise synteny analyses between the *P. reticulata* sex chromosome (chromosome 12) from the reference genome (Kunstner et al. 2016), the *P. reticulata* sex chromosome from the Quare de novo assembly (Almeida et al. 2021) and the *X. maculatus* syntenic chromosome 8. For alignments involving the *X. maculatus* sequence, we used LAST with the HOXD70 seeding scheme designed for a higher rate of substitution, whereas for alignments involving *P. reticulata* sequences only we used the uNEAR seeding scheme for aligning sequences with lower rate of substitutions.

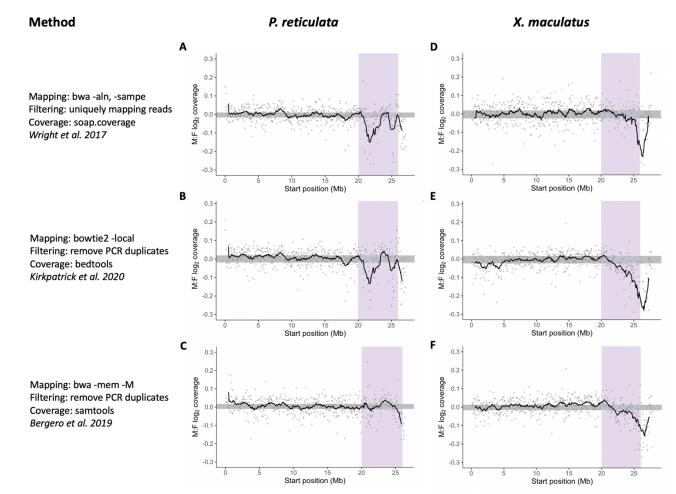
211 Results

Using the same dataset across different genomes and methods, we first assessed the role of various genomic analysis parameters (Table 1) in detecting Stratum I on the *P. reticulata* and *P. wingei* sex chromosomes, previously reported in Wright et al. (2017), Darolti et al. (2019) and Almeida et al. (2021), summarized in Fig. 2 and Fig. 3.

216 Analyses on P. reticulata sequencing data that used the custom-made de novo P. reticulata genome 217 assembly show a significantly lower male to female coverage, indicative of X-Y degeneration, at the distal 218 end of the chromosome, in the previously estimated location of Stratum I (Fig. 2A, B). This pattern is 219 evident from both the analysis that followed the pipeline from Wright et al. (2017) (Fig. 2A) and the 220 analysis based on the methodology in Kirkpatrick et al. (2020) (Fig. 2B). All three analyses that relied on 221 the X. maculatus reference genome also show a region with decreased male coverage relative to that in 222 females, however, this region is shifted closer to the end of the chromosome and only partially overlaps with the syntenic region of the estimated location of P. reticulata Stratum I (Fig. 2D, E, F). Pairwise 223 224 alignments revealed several structural rearrangements between the P. reticulata sex chromosome 225 (chromosome 12) and the syntenic X. maculatus chromosome 8, particularly in the region of the predicted guppy Stratum I (Fig. 4), which may explain the shifted position of the region with low male coverage in 226 227 analyses that use the X. maculatus genome. In addition, different methodological parameters can have a 228 significant impact on the proportion of reads mapped. Mapping efficiency is substantially reduced when 229 using the X. maculatus reference (Table 2), which decreases power to detect a signal of X-Y differentiation.

We find no clear pattern of Stratum I when mapping reads to the *P. reticulata* reference genome based on the methodology in Bergero et al. (2019) (Fig. 2C). While we cannot disentangle between the reference genome used and the methodology in this analysis, our other data suggests that the absence of a Stratum I signal is largely due to the *P. reticulata* reference genome. Specifically, when mapping *P. reticulata* reads

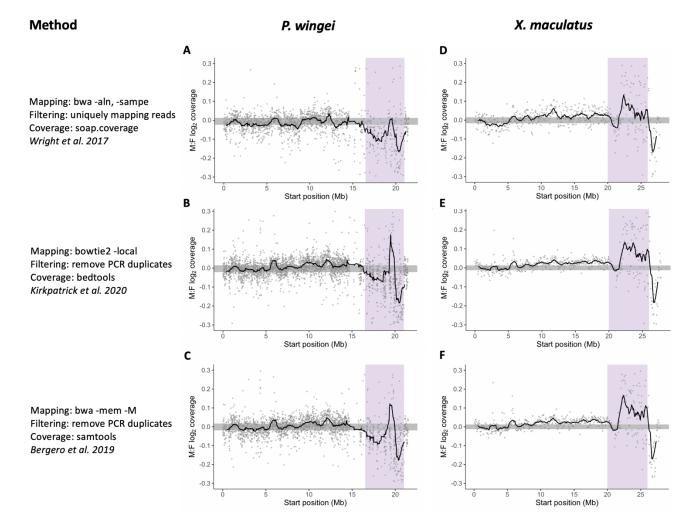
to the *X. maculatus* genome we recover qualitatively the same coverage pattern across all three methodological approaches (Fig. 2D, E, F). Similarly, our *P. wingei* analyses detailed below reveal that, when using the same genome, the Bergero et al. (2019) pipeline produces very similar patterns to the other two methodological approaches (Fig. 3). Previous work has reported several inversions and assembly errors on the sex chromosome of the first draft of the *P. reticulata* reference genome (Bergero et al. 2019; Darolti et al. 2020; Charlesworth et al. 2020; Fraser et al. 2020), which may be obscuring a signal of Stratum I.



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242 Figure 2. Signal for P. reticulata Stratum I using comparative methodological approaches. P. reticulata DNA-seq 243 reads were mapped in turn to a *P. reticulata* genome assembly and the *X. maculatus* reference genome assembly 244 (Schartl et al. 2013). For replicating previous studies, the P. reticulata reference genome from Kunstner et al. (2016) 245 was used in the analysis based on the methods from Bergero et al. (2019), while the high quality Quare de novo 246 assembly from Almeida et al. (2021) was used in the other two analyses. Moving average plots represent male to 247 female coverage differences across the guppy sex chromosome (P. reticulata chromosome 12, and syntenic X. 248 maculatus chromosome 8) in non-overlapping windows of 50kb. 95% confidence intervals, based on bootstrapping 249 autosomal values, are shown in grey, and predicted boundaries for Stratum I from Almeida et al. (2021) are 250 highlighted in purple.

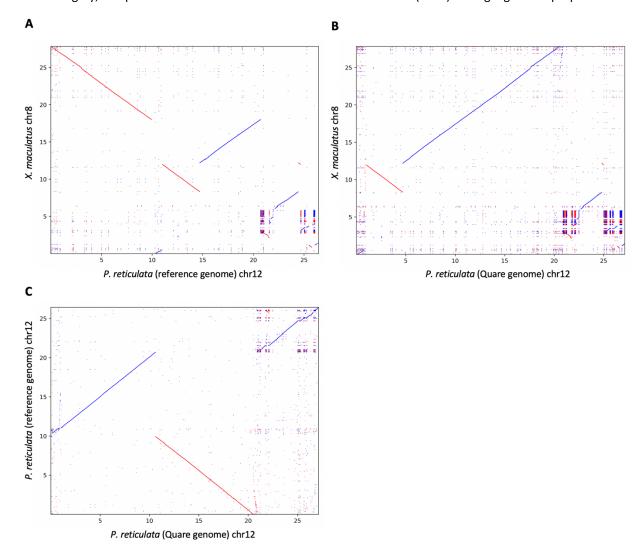
251 The analyses for P. wingei also reveal a lower male to female coverage at the distal end of the 252 chromosome, however, this pattern is only observed in analyses that mapped reads to the de novo P. 253 wingei assembly (Fig. 3A, B, C). By contrast, the analyses that used the X. maculatus genome all show a 254 significantly elevated read depth in males compared to females, similar to the results in Kirkpatrick et al. 255 (2020) (Fig. 3D, E, F). Previous cytogenetic work has shown that the P. wingei Y chromosome is the largest 256 chromosome in the genome, having accumulated a large heterochromatin block (Nanda et al. 2014). 257 However, in addition to the expansion of repetitive sequence, duplication events from the rest of the genome could have also contributed to the remarkable size of the P. wingei Y chromosome. Duplications 258 from the X chromosome to the Y chromosome would explain a signal of elevated coverage in males 259 260 relative to females in this species.



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Figure 3. Signal for *P. wingei* Stratum I using comparative methodological approaches. *P. wingei* DNA-seq reads were mapped in turn to a *de novo P. wingei* genome assembly (Darolti et al. 2019) and the *X. maculatus* reference genome assembly (Schartl et al. 2013). Moving average plots represent male to female coverage differences across the sex chromosome (*P. wingei* chromosome 12, and syntenic *X. maculatus* chromosome 8) in non-overlapping

windows of 50kb for the analyses that rely on the *X. maculatus* genome and windows of 10kb for the analyses that
use the *de novo P. wingei* genome. The 95% confidence intervals, based on bootstrapping autosomal values, are
shown in grey, and predicted boundaries for Stratum I from Darolti et al. (2019) are highlighted in purple.



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Figure 4. Structural rearrangements and duplications between *P. reticulata* and *X. maculatus* genomes. Dot-plots of alignments between (A) *X. maculatus* chromosome 8 and *P. reticulata* chromosome 12 from the reference genome assembly (Kunstner et al. 2016), (B) *X. maculatus* chromosome 8 and *P. reticulata* chromosome 12 from the Quare *de novo* genome assembly (Almeida et al. 2021), and (C) *P. reticulata* chromosome 12 from the reference genome assembly and chromosome 12 from the Quare *de novo* genome assembly. Forward alignments are shown in blue and reverse alignments in red.

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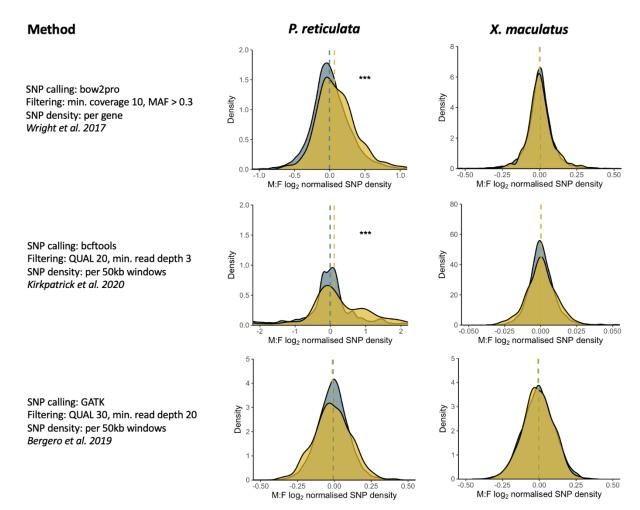
Table 2. Percentage of concordant, properly paired* read alignments

| Data | Sequencing data | P. ret | iculata | P. wingei | |
|--------|--------------------------------------------------|---------------|--------------|-----------|--------------|
| Data | Genome assembly | P. reticulata | X. maculatus | P. wingei | X. maculatus |
| Method | bwa -aln/-sampe Wright et al. 2017 | 59 | 5 | 75 | 17 |
| | bowtie2 -local <i>Kirkpatrick et al. 2020</i> | 83 | 56 | 87 | 71 |
| | bwa -mem -M <i>Bergero et al. 2019</i> | 83 | 72 | 84 | 79 |

*Both mates of a read pair map to the same chromosome or scaffold, with the expected insert size and read orientation.

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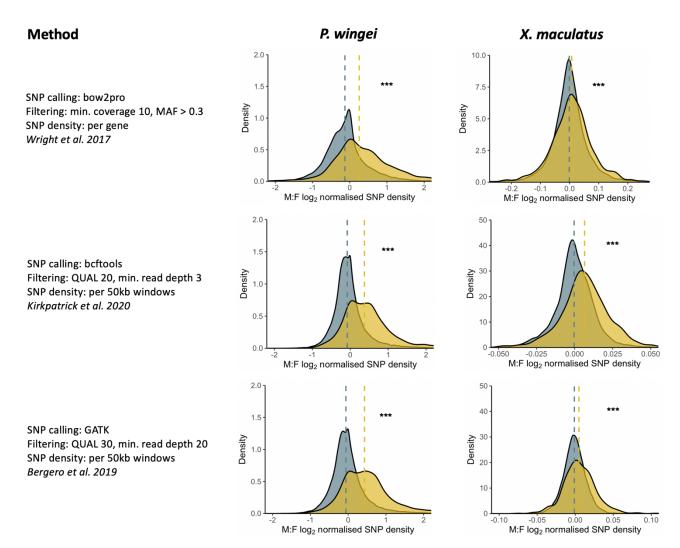
278 Regions of the sex chromosomes where recombination has recently been halted or greatly suppressed 279 still retain a high degree of similarity between X and Y sequences. They are also expected to show an 280 elevated SNP density in males compared to females, as Y-linked reads carrying Y-specific polymorphisms 281 will still align to the homologous X region of the female reference genome (Fig. 1; Vicoso et al. 2013). We 282 observed this pattern in P. wingei (Darolti et al. 2019) and in replicate upstream populations of P. 283 reticulata (Wright et al. 2017; Almeida et al. 2020), and we designated this as Stratum II. It is important to 284 note that in contrast to Stratum I, Stratum II appears to have formed independently several times. 285 Therefore, to further quantify divergence between the sex chromosomes we investigated SNP density 286 differences between the sexes using several methodological approaches. In P. reticulata, we observe a 287 significantly elevated male SNP density on the sex chromosomes in both of the analyses that aligned reads 288 to the *de novo P. reticulata* genome (Wilcoxon rank sum test p < 0.001, Fig. 5A, B). By contrast, the SNP 289 density profiles of the autosomes and the sex chromosomes were indistinguishable in all the analyses that 290 used X. maculatus as the reference genome (Fig. 5D, E, F), due to the accumulation of numerous fixed 291 differences between P. reticulata and X. maculatus which conceal the subtle polymorphisms differences 292 between P. reticulata males and females. The P. wingei X and Y chromosomes have previously been 293 suggested to be more diverged than those of *P. reticulata*, as shown through more pronounced coverage 294 and SNP density differences between the sexes (Darolti et al. 2019) and a greater accumulation of 295 repetitive sequences on the sex chromosomes in *P. wingei* compared to *P. reticulata* (Morris et al. 2018; 296 Almeida et al. 2021). Our results here confirm this, as we find a significantly higher male:female SNP 297 density for the sex chromosomes compared to the autosomes across all methodological analyses, as well 298 as when using either one of the P. wingei de novo or the X. maculatus genomes (Wilcoxon rank sum test 299 *p* < 0.001, Fig. 6).



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- 301 Figure 5. Distribution of *P. reticulata* male:female SNP density for the autosomes (gray) and the sex
- 302 chromosomes (yellow). Dashed vertical lines indicate median SNP densities and significant differences between

the autosomes and the sex chromosomes are shown (*** *p*-value < 0.001).



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Figure 6. Distribution of *P. wingei* male:female SNP density for the autosomes (gray) and the sex chromosomes
 (yellow). Dashed vertical lines indicate median SNP densities and significant differences between the autosomes

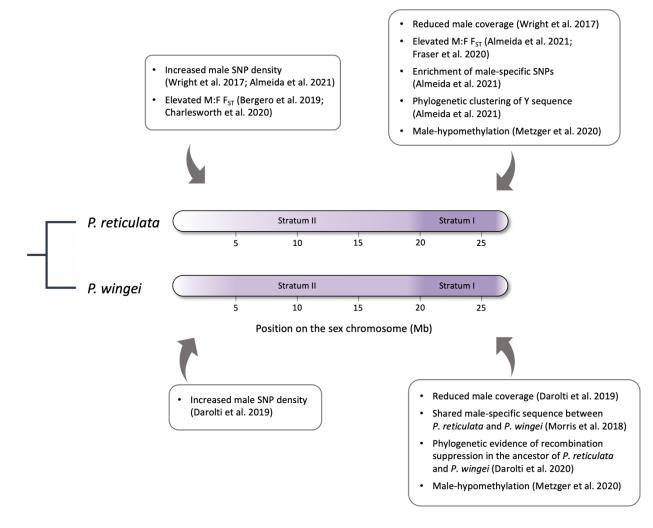
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309 Discussion

310 Replication is fundamental to scientific pursuits, and confirmation is necessary to build a robust understanding of the natural world. The expansion of public data efforts has greatly aided transparency 311 312 and replication efforts, and this remarkable and rapid shift in the scientific culture is exemplified by 313 genomics research, where most of the major journals require deposition of sequencing data as a condition of publication. Failures to replicate results are concerning, and necessitate further work to validate or 314 315 nullify. However, it is important to understand that different replication approaches will have different 316 risks of Type II errors, or erroneous negative results. This is especially problematic for the detection of 317 subtle, small effect patterns, such as with initial divergence between X and Y chromosomes.

³⁰⁷ and the sex chromosomes are shown (*** *p*-value < 0.001).

Here we used the same dataset across various methodologies and genome assemblies to test the 318 319 sensitivity and accuracy of different approaches. Our results show how small changes in the precision of 320 methods can lead to the failure to detect patterns of sex chromosome differentiation in the guppy. The 321 low overall divergence between the X and Y can make detection difficult, but it has nonetheless been 322 observed across multiple datasets, spanning DNA, RNA and methylation data, as well as multiple methods, 323 including comparisons of male and female coverage and SNP density (Wright et al. 2017; Darolti et al. 324 2019; Almeida et al. 2021), identification of male-specific sequence (Morris et al. 2018; Almeida et al. 2021), phylogenetic analysis of recombination suppression (Darolti et al. 2020; Almeida 2021), and 325 326 comparative epigenomics (Metzger et al. 2020) (Fig. 7).



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Figure 7. Structure of the *P. reticulata* and *P. wingei* sex chromosomes as predicted by multiple methods, including comparisons of male and female coverage, SNP density, phylogenetic and methylation analyses.

330 By using the same sample data across multiple methods and genomes, our results illustrate how 331 important methodological differences can alter perceived results, and highlight the need for replication 332 studies to at minimum replicate the analysis using identical methods on the original or equivalent dataset. 333 When possible, replication efforts should go beyond minimum, and expand the analysis by employing 334 more sophisticated methods on existing or expanded datasets. Despite this, some replication efforts use 335 less sophisticated approaches, and in these cases, there is a real concern that a perceived failure of 336 replication is instead the result of a lack of precision or statistical power. This is particularly problematic 337 in the field of genomics, as there is little consensus about the gold standard in methodologies, particularly 338 with regard to data processing and filtering procedures. The lack of standardized practices, coupled with 339 the rich nature of genomic data and the complexity of genomes can make it difficult to discern subtle but 340 important patterns.

Our approach of evaluating the same underlying data with multiple methods and genomes does not account for natural variation across samples and populations, which is substantial (Wright et al. 2017; Almeida et al. 2021). For our *P. reticulata* samples, we chose individuals from an upstream low predation Quare population which we have previously shown to have an intermediate signal of sex chromosome divergence (Wright et al. 2017; Almeida et al. 2021). Samples from populations with greater or lesser signal, or sampling variation due to differences in inversions, duplications and divergence among individuals may also contribute to observed differences.

348 Stratum I

We have previously observed evidence for a small region of ancestral recombination suppression in *P. wingei* and *P. reticulata* (Wright et al. 2017; Darolti et al. 2019; Almeida et al. 2021). This has been replicated in some studies, for example Fraser et al. (2020) also found evidence of small regions of Y divergence, and Kirkpatrick et al. (2020) confirmed the phylogenetic clustering of Y sequence in this stratum. However, other studies (Bergero et al. 2019; Charlesworth et al. 2020; Kirkpatrick et al. 2021) did not fully replicate these findings.

It is worth noting that Stratum I region of the guppy Y chromosome is enriched for repetitive elements (Almeida et al. 2021), and reads from this region may, depending on the parameters used, map to repetitive elements across the genome, obscuring real read depth differences between males and females if non-coding sequence is included in the analysis. Focusing on uniquely mapping reads when comparing coverage differences between males and females can minimize issues associated with Y repetitive regions.

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However, our comparative analysis revealed that a pattern of X-Y differentiation can still be recovered without restricting the analysis to uniquely mapping reads (Fig. 2, Fig. 3). More stringent SNP filtering parameters can also help eliminate noise in genomic comparisons, and this is particularly important when studying young sex chromosomes as they are expected to exhibit subtle divergence signatures. We were, however, able to identify a signal of elevated male SNP density on the sex chromosomes relative to the autosomes, indicative of Y divergence, across several methodological approaches using different degrees of filtering stringency (Fig. 5, Fig. 6).

367 Beyond mapping parameters, by far the most substantial source of variation in the results of the different 368 pipelines we compared lies in the reference genome used. This is in part due to the extensive structural 369 variation across populations and species (Fig. 4), but also due to sequence evolution. These two factors 370 combined mean that error compounds over phylogenetic distances, and as the distance between the 371 samples and the genome they are mapped to increases, the ability to detect reduced male:female read 372 depth decreases. This is most evidenced in the strategy by Kirkpatrick et al. (2020), who mapped reads 373 from *Poecilia* species to the *Xiphophorus* genome. They argued that changes over the 40 my phylogenetic 374 distance separating these genera was outweighed by the fact that the Xiphorphorus genome is more 375 complete. However, the read mapping rate in Table 2 reveals instead that this strategy is less accurate 376 than using less-complete species- or population-specific genome assemblies, as a significantly smaller 377 proportion of *Poecilia* reads map to the *Xiphophorus* genome across all methods, thereby reducing usable 378 data. This problem is exacerbated by the substantial structural differences between Xiphophorus and 379 Poecilia on the sex chromosome (Fig. 4), further complicating the comparison. Interestingly, their mapping 380 and filtering methods would have detected Stratum I if they had mapped to a con-specific genome (Fig. 381 2B, Fig. 3B). To a lesser extent, this is also a problem when mapping data to genomes assembled on 382 different *P. reticulata* populations. The genome used can also greatly affect the perceived patterns of SNP 383 diversity, and relying on the distantly related Xiphophorus genome can obscure a signal of elevated male 384 SNP density on the sex chromosomes due to fixed differences between the target species reads and the 385 Xiphophorus sequence (Fig. 5).

386 Stratum II

As recombination is increasingly suppressed in nascent regions of a sex chromosome, we expect the accumulation of Y-specific SNPs, and we observed this in replicate upstream populations of *P. reticulata* (Wright et al 2019; Almeida et al. 2021) and in *P. wingei* (Darolti et al. 2019), consistent with convergent evolution across populations and species (Darolti et al. 2020). Whether this is due to the important environmental effects on recombination rate (Plough 1917; Grell 1971; Stevison et al. 2019), sexual
conflict (Wright et al. 2017), neutral shifts in male recombination hotspots (Wright et al 2016; Bergero et
al. 2019) or selection against recombinants in the wild remains an important area of further work.

Additionally, given that many mechanisms of recombination suppression only accumulate over time (Furman et al. 2020 and references cited), it also remains unclear how complete recombination suppression is in this region, and whether rare recombination events observed in this region in lab-reared males (Bergero et al. 2019) occur in wild populations. Regardless, it is important to note that suppressed recombination does not necessarily mean that recombination never occurs between the X and Y chromosomes, but rather that it is at least exceedingly rare or recombinant individuals are selected against.

Because of the expected heterogeneity observed in the initial stages of the divergence process (Bergero et al. 2013; Natri et al. 2013; Reichwald et al 2015), sliding window approaches may be insufficient to reveal overall patterns of elevated male SNP density expected in these regions. Density distributions or direct statistical comparisons between species may be required. This is evidenced by our observation of elevated male:female SNP density across nearly all methods (Fig. 5 and 6), with the exception of *P. reticulata* data mapped to the *Xiphophorus* genome, again illustrating the problems with mapping over vast evolutionary distances.

408

409 *Concluding remarks*

410 Here we have used the same data to compare methods and genomes in the discovery of nascent sex

411 chromosomes. We hope that our results provide a gold standard for future work in other study systems,

412 and resolve some of the recent controversy over the sex chromosomes in *Poecilia*.

413

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