

1 **Title: Inactivation mechanism and efficacy of grape seed extract for Human Norovirus**  
2 **surrogate**

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4 Running title: Grape seed extract inactivates Tulane viruses

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34 **Abstract**

35 Proper disinfection of harvested food and water is critical to minimize infectious disease. Grape  
36 seed extract (GSE), a commonly used health supplement, is a mixture of plant-derived  
37 polyphenols. Polyphenols possess anti-microbial and -fungal properties, but anti-viral effects are  
38 not well-known. Here we show that GSE outperformed chemical disinfectants (e.g., free chlorine  
39 and peracetic acids) in inactivating Tulane virus, a human norovirus surrogate. GSE induced  
40 virus aggregation, an event that correlated with a decrease in virus titers. This aggregation and  
41 disinfection was not reversible. Molecular docking simulations indicate that polyphenols  
42 potentially formed hydrogen bonds and strong hydrophobic interactions with specific residues in  
43 viral capsid proteins. Together, these data suggest that polyphenols physically associate with  
44 viral capsid proteins to aggregate viruses as a means to inhibit virus entry into the host cell.  
45 Plant-based polyphenols like GSE are an attractive alternative to chemical disinfectants to  
46 remove infectious viruses from water or food.

47

48 **Keywords:** polyphenols; grape seed extract; Human norovirus surrogate Tulane virus; virus  
49 aggregation; Molecular docking simulations

50

51 **Importance**

52 Human noroviruses are major food- and water-borne pathogens, causing approximately 20% of  
53 all cases of acute gastroenteritis cases in developing and developed countries. Proper sanitation  
54 or disinfection are critical strategies to minimize human norovirus-caused disease until a reliable  
55 vaccine is created. Grape seed extract (GSE) is a mixture of plant-derived polyphenols that is  
56 used as a health supplement. Polyphenols are known for antimicrobial, antifungal, and  
57 antibiofilm activities, but antiviral effects are not well-known. In studies here, plant-derived  
58 polyphenols outperformed chemical disinfectants (e.g., free chlorine and peracetic acids) in  
59 inactivating Tulane virus, a human norovirus surrogate. Based on data from additional molecular  
60 assays and molecular docking simulations, the current model is that the polyphenols in GSE bind  
61 to the Tulane virus capsid, an event that triggers virion aggregation. It is thought that this  
62 aggregation prevents Tulane virus from entering host cells.

63

## 64 **Introduction**

65 Human noroviruses cause approximately 20% of all cases of acute gastroenteritis in  
66 developing and developed countries (1). In the United States, human noroviruses cause about 5.5  
67 million cases of food borne illnesses per year, and about 2 billion dollars in economic loss (2, 3).  
68 Noroviruses are transmitted primarily by the fecal-oral route, including ingestion of  
69 contaminated food and water or via person-to-person contacts (4). Thus, inactivating human  
70 noroviruses present in contaminated food or water is important.

71 Sodium hypochlorite (NaClO) is regarded as the cheapest and most effective disinfectant  
72 to inactivate noroviruses on produce surfaces (5), stainless steel surfaces (6), or in liquid  
73 solutions (7). Fresh and fresh-cut produce are generally sanitized with residual chlorine  
74 concentrations of 50-200 µg/mL (8). Unfortunately, NaClO is toxic, and produces carcinogenic  
75 disinfection by-products (9–11). Thus, both consumers and industry are seeking methods to  
76 naturally or minimally process foods with minimal/zero chemical additives (12, 13).

77 Plants and fruits synthesize polyphenols, chemicals that protect them against damage  
78 from external stresses such as infection. Grape seed extract (GSE) is a by-product of grape juice  
79 and wine production, and is mass-produced at an affordable price (14, 15). GSE already is sold  
80 as an FDA-approved health supplement (16). GSE polyphenols have antimicrobial effects (17)  
81 and are considered popular alternatives to chemical disinfectants. GSE possesses anti-viral  
82 activity against feline calicivirus (FCV-F9), murine norovirus (MNV-1), bacteriophage MS2,  
83 and hepatitis A virus (14, 18, 19). However, the mechanism of virus inactivation is unclear (16).  
84 This information is critical because, if GSE is to be used by industries as a natural disinfectant,  
85 then researchers must understand how GSE inactivates different types of viruses.

86           The objective of this study was to examine GSE-induced inactivation of Tulane virus, a  
87 surrogate for human norovirus. Tulane virus is an ideal surrogate for norovirus because of its  
88 structural similarities to human noroviruses (20–22). Thus, Tulane virus has been used to provide  
89 more information about potential inactivation of norovirus (7, 23–27). Once GSE disinfection  
90 was established, we identified the mechanism of this inactivation using both molecular assays  
91 and computer modeling. Studies showed that GSE’s main disinfection action occurred due to  
92 virus aggregation. Molecular docking simulations identified potential interactions between GSE  
93 polyphenols and viral capsids. This study is the first observation that shows plant-derived  
94 polyphenols can outperform chemical disinfectants in safely and sustainably controlling water-  
95 borne and food-borne pathogens, and also provides the first mechanism for GSE-induced virus  
96 inactivation. Thus, GSE may be an attractive disinfectant for industry because of its safety,  
97 efficacy and lower impact on the environment.

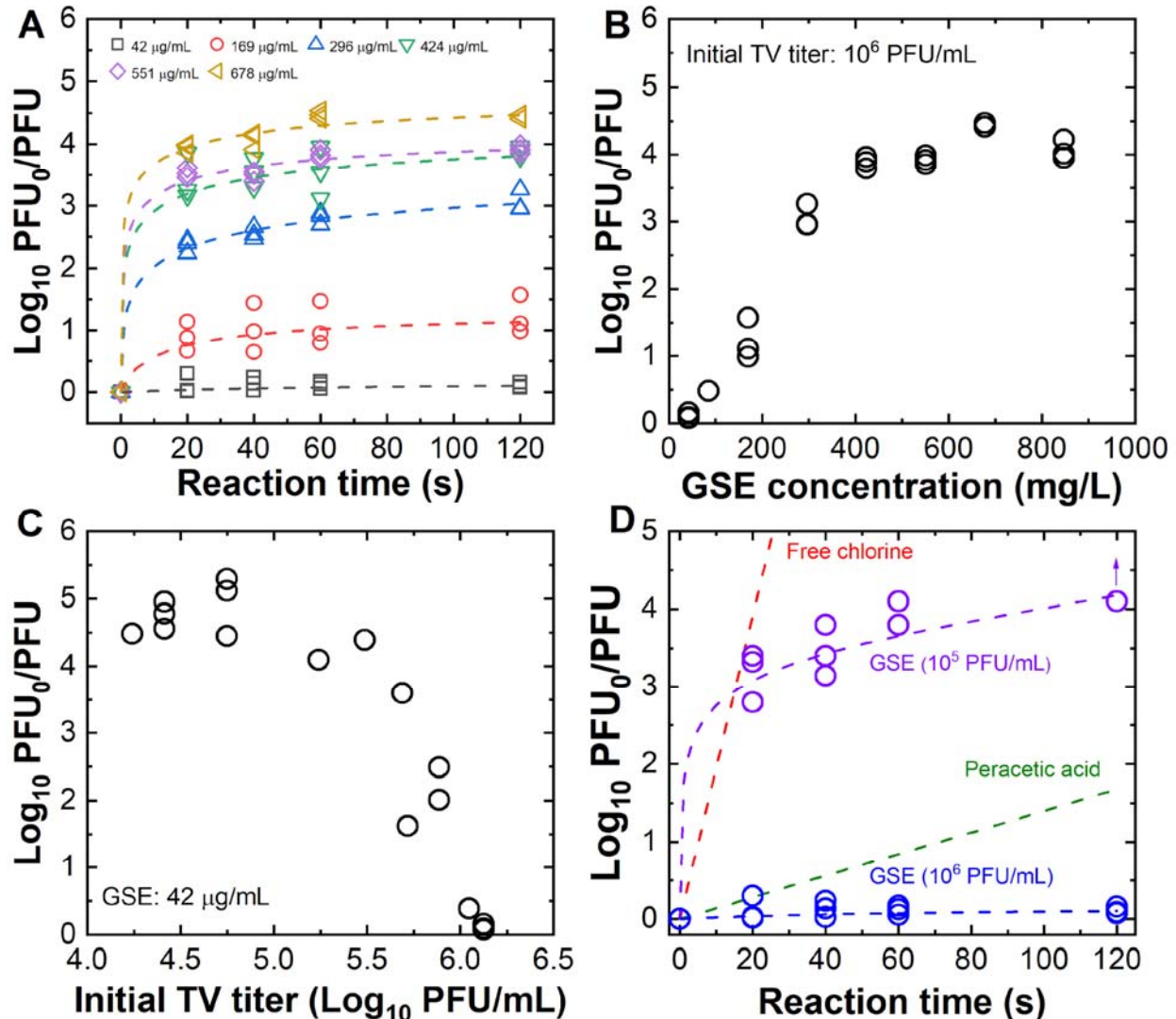
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## 99 **Results**

### 100 **GSE outperforms chemical disinfectants by 3- $\log_{10}$ TV titer reduction**

101           **Fig. 1** shows results from the examination of disinfection properties of GSE against  
102 Tulane virus, using a range of different GSE concentrations, Tulane virus (TV) concentrations,  
103 and incubation times. For all experiments, GSE was incubated with purified TV. GSE activity  
104 was halted by the addition of FBS, and plaque-forming units (PFUs) were quantified as a  
105 measure of virus inactivation. We tested GSE concentrations ranging from 42  $\mu\text{g}/\text{mL}$  to 678  
106  $\mu\text{g}/\text{mL}$  because similar GSE concentrations were shown to inactivate other enteroviruses (14, 18,  
107 19).

108



109  
 110 **Fig. 1. Inactivation effects of GSE on Tulane virus.** 10<sup>6</sup> PFU Tulane virus was incubated with  
 111 the indicated amount of GSE. FBS was added to quench each reaction at the indicated times.  
 112 Initial virus titer (PFU<sub>0</sub>) was divided by the virus titer at each indicated time (PFU). Thus, the y-  
 113 axis (Log<sub>10</sub> PFU<sub>0</sub>/PFU) indicates the decrease in virus titer caused by GSE on log<sub>10</sub> scale. For all  
 114 experiments, each symbol represents one molecular replicate. (A) The dashed lines were trend  
 115 lines calculated by the pseudo-second-order model. TV inactivation as a function of GSE  
 116 concentration and time. (B) TV inactivation as a function of GSE concentration. In this  
 117 experiment 10<sup>6</sup> PFU TV was incubated for 120 seconds with the indicated GSE concentrations.  
 118 (C) TV inactivation as a function of GSE concentration. Varying amounts of TV (indicated on  
 119 the x-axis) were added to reactions containing 42 µg/mL GSE. All reactions were quenched with  
 120 FBS after a 120 second incubation. (D) A comparison of GSE versus peracetic acid and free  
 121 chlorine inactivation. 10<sup>5</sup> or 10<sup>6</sup> PFU TV was incubated with GSE (42 µg/mL) for 120 sec. The  
 122 trend lines for GSE were determined by the pseudo-second-order model. The arrow indicates a  
 123 detection limit. Trend lines for 42 µg/mL free chlorine or peracetic acid were derived by Chick's  
 124 law with the rate constants determined by our previous studies(7, 27).  
 125

126 TV inactivation increased when GSE concentration and duration of incubation increased  
127 (**Fig. 1A**). The inactivation curves were fitted to both the pseudo-second-order model and  
128 Chick's law. The correlation coefficients ( $R^2$  values), which reflect the goodness of fit, obtained  
129 by the pseudo-second-order model (0.99 to 1.00) were higher than those by the Chick's law (0.34  
130 to 0.54) except for the lowest GSE concentration (42  $\mu\text{g}/\text{mL}$ ) where no significant reduction in  
131 virus titers was detected (one-way ANOVA,  $p>0.05$ ). Parameters from the inactivation kinetics  
132 experiments are also listed in **Supplementary Table 1**. The fitting to the pseudo-second-order  
133 model showed that it took less than 120 seconds to reach a 95% of  $\log_{10}$  PFU reductions at  
134 equilibrium state (i.e.,  $t_{95}<120$  seconds) for all tested conditions except for the 42  $\mu\text{g}/\text{mL}$  case.

135 In **Fig. 1A**, better fitting achieved by the pseudo-second-order model suggested that  
136 chemisorption is the dominant reaction between the polyphenols and virus particles (28–30).  
137 This finding suggested there were optimal GSE:virion ratios for disinfection, as supported by  
138 data in **Fig. 1B** and **1C**. **Fig. 1B** showed that TV inactivation increased as GSE concentrations  
139 increased, with GSE efficacy reaching a plateau at 424  $\mu\text{g}/\text{mL}$ . This plateau in GSE efficacy  
140 shows a maximal number of GSE binding sites on TVs because GSE disinfection could be  
141 outcompeted by the addition of TV. **Fig. 1C** showed that GSE disinfection efficiency decreased  
142 when more TVs were added to a reaction, supporting the hypothesis that chemisorption occurs  
143 between GSE and TV. Interestingly, while 42  $\text{mg}/\text{mL}$  GSE showed no disinfection activity for  
144  $10^6$  PFU TV (in **Fig. 1A**), it does indeed possess anti-viral properties when lower numbers of TV  
145 are present, further supporting the model that GSE polyphenols associate with TV.

146 We compared GSE inactivation rates to those of two commercially available chemical  
147 disinfectants (free chlorine and peracetic acid) based on Chick's law and the rate constants  
148 obtained from a previous study (27) (**Fig. 1D**). GSE inactivated TV to a 3- $\log_{10}$  virus titer

149 reduction within 16 seconds, a time identical to that of inactivation by free chlorine. In contrast,  
150 peracetic acid took much longer (211 seconds) to achieve the same levels of inactivation.

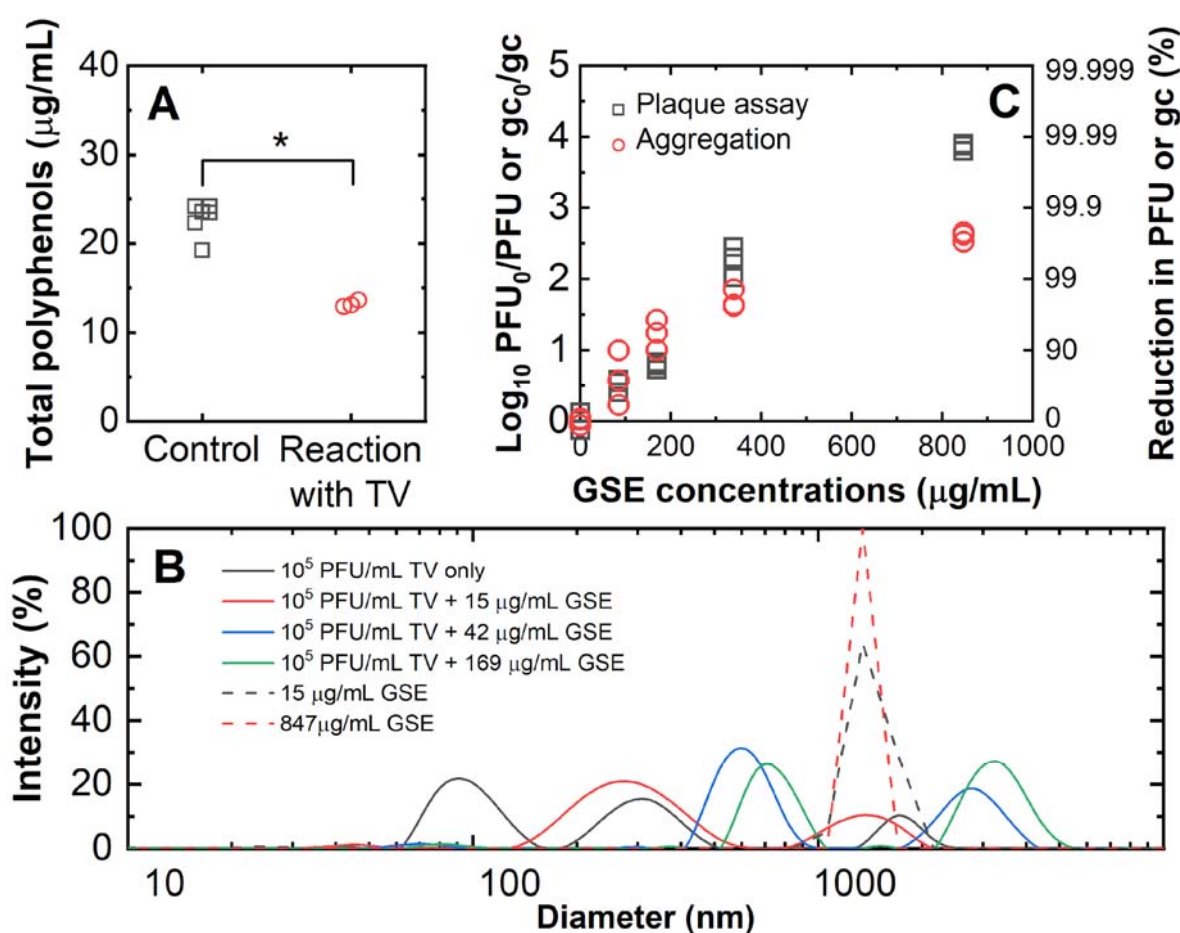
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152 **GSE causes viral aggregation, and this is likely the main mechanism of GSE-**  
153 **induced virus inactivation**

154 **Fig. 1** data showed that GSE inactivated TV, and data suggested that there was  
155 chemisorption of GSE to virions. Thus, one possibility is that GSE binds directly to TV to  
156 prevent virus-host interactions. **Fig. 2A** quantifies GSE polyphenols in the absence or presence  
157 of TV as a means to examine if GSE indeed binds to TV. We incubated 847 µg/mL GSE with  
158 10<sup>6</sup> PFU TV. The 2 mL mixture then subjected to ultracentrifugation to separate free versus  
159 virus-bound GSE, and GSE in supernatants of samples were quantified. As shown in **Fig. 2A**,  
160 there was a statistically significant lower GSE concentration in supernatants when TV was  
161 present, implying that GSE indeed binds to TV. Next, we used a light scattering analyzer  
162 (DelsaMax Pro, Beckman Coulter) to examine particle size distributions of intact TV and GSE  
163 (**Fig. 2B**). Untreated TV showed a polydispersed multimodal size distribution with a major peak  
164 at 97 nm and two relatively smaller peaks at 309 and 1755 nm. A single TV virion has a diameter  
165 of 40 nm (31), thus most of the TVs in solution were present as dimers, and some populations of  
166 viruses were present as trimers. Virus populations at 1755 nm were likely multi-virus aggregates.  
167 When TV was incubated with 15 µg /ml GSE, there was a shift in the size of the TV peak at 94  
168 nm to approximately 300 nm and 1000 nm, implying that this concentration of GSE causes  
169 aggregation of TV into trimers and perhaps 10-mers. Experiments using higher concentrations of  
170 GSE resulted in greater shifts in sizes, suggesting that GSE is causing TV aggregation. GSE  
171 showed a strong single peak at around 1000 nm regardless of GSE concentrations. This peak is



172 believed to represent insoluble polyphenols that are self-aggregated (32). When the GSE  
173 solutions were filtered with 0.1  $\mu\text{m}$  filter, the monodispersed peak disappeared from the particle  
174 size distribution.  
175



176

177 **Fig. 2. GSE-induced TV aggregation.** (A) Solutions either lacking (control) or containing 10<sup>6</sup>  
178 PFU TV were each incubated with 847  $\mu\text{g/mL}$  of GSE followed by ultracentrifugation (150700  
179 g) for 3 hours. GSE concentrations were quantified and statistical analysis was performed using  
180 the Mann-Whitney test ( $P < 0.05$ ) (B) The particle size distribution of TV in the presence or  
181 absence of GSE. (C) A dose-response curve for plaque and aggregation assays. Plaque assay  
182 results were normalized to the initial virus titer and presented in Log<sub>10</sub> PFU<sub>0</sub>/PFU to indicate the  
183 decrease in virus titer with different total polyphenol concentrations. Aggregation assay was  
184 analyzed by the one-step RT-qPCR and presented in the normalized gene copy number (Log<sub>10</sub>  
185 gc<sub>0</sub>/gc) to show the reduction in the number of virions. Equivalent reductions in PFU or gc (%)  
186 were also presented on the right y-axis for plaque assay and aggregation assay, respectively. For  
187 these experiments, 10<sup>6</sup> PFU TV was incubated with varying concentrations of GSE for 2 minutes

188 followed by quenching the reaction with FBS. Each symbol indicates one separate experiment  
189 where one plaque assay or three qPCR analyses were conducted.  
190

191

192 To further test if GSE induces virus aggregation, virus aggregation and virus titers were  
193 quantified in parallel to compare the numbers of virus aggregates and inactivated virus particles  
194 after the GSE treatment. For assays shown in **Fig. 2C**,  $10^6$  PFU TV was incubated with the  
195 indicated concentrations of GSE (85 to 847  $\mu\text{g}/\text{mL}$ ) for 2 minutes followed by quenching the  
196 reaction with FBS. Viruses were then either quantified by plaque assay or tested using an  
197 aggregation assay. Aggregation assays were designed as follows: untreated or GSE-treated TV  
198 were subjected to filtration using a 0.1  $\mu\text{m}$  sized pore filter. Because a single TV virion has a  
199 diameter of 40 nm (31), we expect that single and dimeric TV would easily filter through the  
200 pore while virus aggregates with three or more virions would be trapped in the filter. Note that  
201 our aggregation assay cannot determine a specific number of virions in virus aggregates that can  
202 pass through the filter. Viruses that passed through the filter were quantified by one-step RT-  
203 qPCR. The ratio of genome copies for untreated versus treated viruses ( $\text{Log}_{10} \text{gc}_0/\text{gc}$ ) were  
204 plotted on the y-axis in **Fig. 2C**. Similar to **Fig. 1**, plaque assays measured virus titers in  
205 untreated samples ( $\text{PFU}_0$ ) versus GSE-treated samples (PFU), and data are presented as the ratio  
206 of virus titers before and after exposure to GSE ( $\text{Log}_{10} \text{PFU}_0/\text{PFU}$ ) on the y-axis in **Fig. 2C**.  
207 Virus aggregates and plaque were very similar when GSE concentrations below 400 ng/ml were  
208 used. However, virus aggregates were significantly lower than inactivated virus titers when 847  
209  $\mu\text{g}/\text{mL}$  GSE was present. This discrepancy shows the number of virus particles that are larger  
210 than 0.1  $\mu\text{m}$  does not fully explain the number of inactivated virus particles determined by the  
211 plaque assay. Nevertheless, paired t-test revealed that there was no significant difference

212 between the plaque assay and aggregation assay results over the different GSE concentrations  
213 ( $P > 0.05$ ). Thus, our current model is that GSE interacts with TV capsid, causing TV aggregation.  
214 In turn, aggregation would likely prevent TV from entering host cells. We also demonstrate that  
215 GSE aggregation was not reversible; removal of GSE from TV-containing solutions did not  
216 make aggregated TV return back into single particle solutions (**Supplementary Text 1**).

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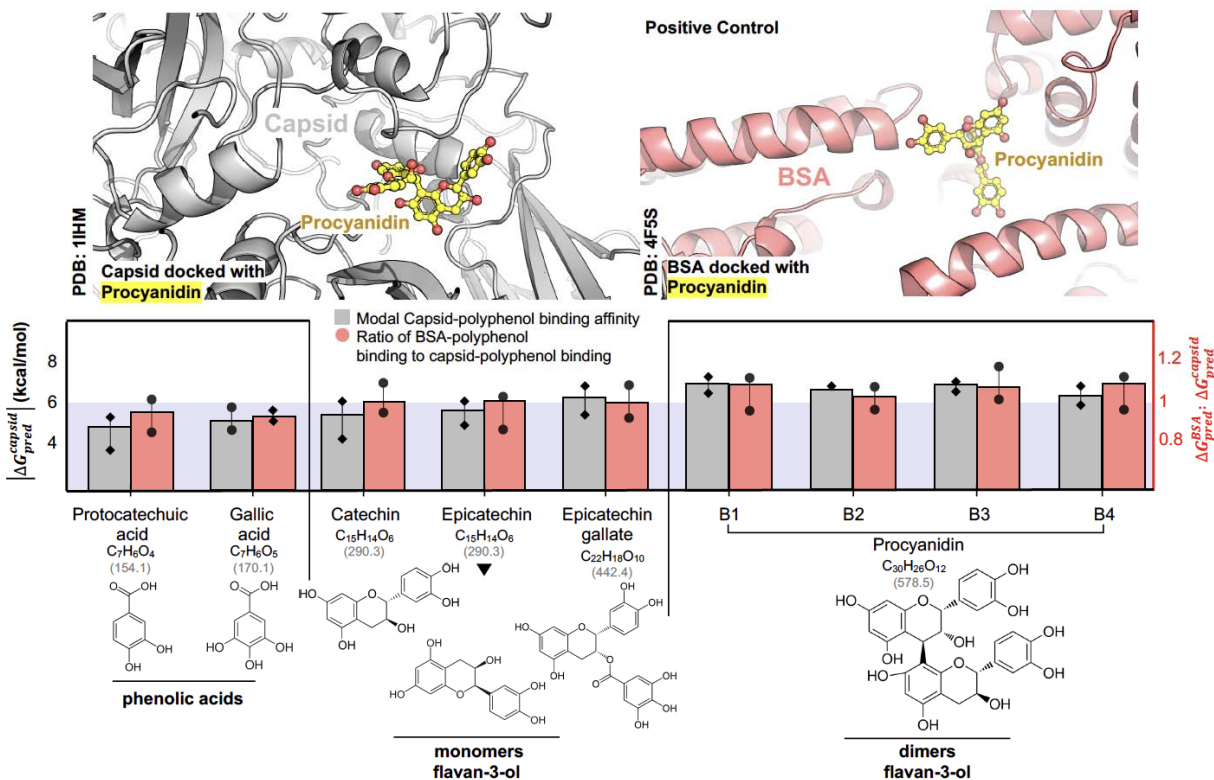
### 218 **Molecular docking indicated polyphenols strongly bound to capsid proteins**

219 Data from **Fig. 2** indicated that GSE is physically associated with TV and induced virus  
220 aggregation, and that this event likely prevents TV from entering the host cell to begin the virus  
221 life cycle. To further investigate this possibility, we conducted molecular docking simulations,  
222 an approach that was used to identify interactions between polyphenolic compounds and proteins  
223 (33, 34). GSE is a mixture of various polyphenolic compounds (35, 36). We selected nine  
224 polyphenolic compounds present in GSE for molecular docking experiments. We chose these  
225 compounds based on abundance (36), and this included two phenolic acids (gallic acid and  
226 protocatechuic acid), three monomer flavan-3-ols (catechin, epicatechin, and epicatechin  
227 gallate), and four dimer flavan-3-ols based on LC-MS analysis (procyanidin B1, B2, B3, and B4)  
228 (**Supplementary Table 2**). Although we conducted inactivation experiments using TV, we could  
229 not perform molecular docking simulations with the TV capsid because there is no entry for the  
230 TV capsid in the Protein Data Bank (PDB, <https://www.rcsb.org/>). Cryo-EM analysis has shown  
231 similarity between the TV and human norovirus (HuNoV) capsids (31), and the HuNoV capsid  
232 structure is present in the PDB. Thus, we studied the interaction of the GSE polyphenols with the  
233 HuNoV VP1 capsid proteins to understand how GSE may interact with similar TV capsid  
234 proteins.

235 We performed flexible molecular docking simulations (37, 38) between each of the nine  
236 GSE polyphenolic compounds and HuNoV VP1 proteins to discern stable docking  
237 conformations, across the four domains of VP1 (S, S-P1 hinge, P1, and P2 domain;  
238 **Supplementary Fig. 2**) to identify where each of the polyphenols tended to bind in order of  
239 domain preference. For these simulations, we used the HuNoV icosahedral asymmetric unit  
240 (PDB ID: 1IHM) that comprised three VP1 proteins because the complete HuNoV capsid  
241 consists of 180 identical icosahedral asymmetric units. Residue-level domain definitions have  
242 been described by Campillay-Véliz et al. (39). Flexible molecular docking simulations are shown  
243 in **Fig. 3**. The expected binding strength of each polyphenol (in increasing order of  
244 size/molecular weight) with HuNoV capsid protein has been reported where the error bars  
245 indicate variance across top 15 polyphenol binding poses within the same capsid groove. The  
246 scale on the right indicates fractional capsid-binding affinity of a given polyphenol with respect  
247 to the positive control – BSA. Larger polyphenols tend to show increased affinity towards both  
248 the positive control and target capsid of HuNoV. Analysis of binding affinities from docking  
249 experiments reveal greater electrostatic stabilization of the larger conjugated electron systems  
250 within the bigger polyphenols by the polar grooves of HuNoV capsid and bovine serum albumin  
251 alike. Data demonstrated that each of the nine polyphenolic compounds examined from GSE  
252 likely bound to different residues or regions of the HuNoV capsid protein. In addition, these  
253 polyphenols appeared to bind to a location at the dimeric interface of the trimeric capsid protein.  
254 The scores obtained from the simulation reflect the enthalpic contribution of binding (kcal/mol)  
255 between the polyphenols and the capsid (40). Fifteen independent trajectories of docking were  
256 performed using the docking protocol from OptMAVEN-2.0 suite (38) and the Rosetta energy  
257 function (41) was used to score the docked poses. The expected binding score was reported to be

258 the modal value (i.e., most probable score) across the fifteen recorded values per complex. Since  
259 the polyphenols tend to show a conjugated electron system, larger polyphenols showed better  
260 electrostatic stabilization by the polar binding groove offered by the capsid proteins.  
261 Consequently, flavan-3-ol dimers showed stronger binding affinities to the capsid protein than  
262 smaller groups of polyphenols (**Fig. 3**). The polyphenol-capsid interaction profiles were  
263 composed primarily of hydrogen bonds along with hydrophobic interactions, accounting for the  
264 efficient capsid capture seen in experiments (**Fig. 4**). For example, the smallest polyphenol  
265 protocatechuate establishes three hydrogen bonded and three hydrophobic contacts while  
266 procyanidin (the largest polyphenolic compound) shows up to ten hydrogen bonded contacts yet  
267 maintaining the same number of hydrophobic interactions with the capsid (**Supplementary Fig.**  
268 **3**). In addition to being concordant with a previous experimental study showing that  
269 polyphenols' binding affinity to proteins (e.g., BSA and human salivary  $\alpha$ -amylase) increased  
270 with their molecular weights (42), we also provide key biophysical insights for the same in this  
271 work (**Fig. 4**). Binding affinities for respective polyphenols with the HuNoV capsid were near-  
272 equal to that of bovine serum albumin, our positive control (**Fig. 3**). The bovine serum albumin  
273 is known as the major protein in FBS (43), with reported quenching activity towards  
274 polyphenolic compounds. Thus, our results indicated that the GSE polyphenols tend to promote  
275 capture of viral capsids with high efficiency.

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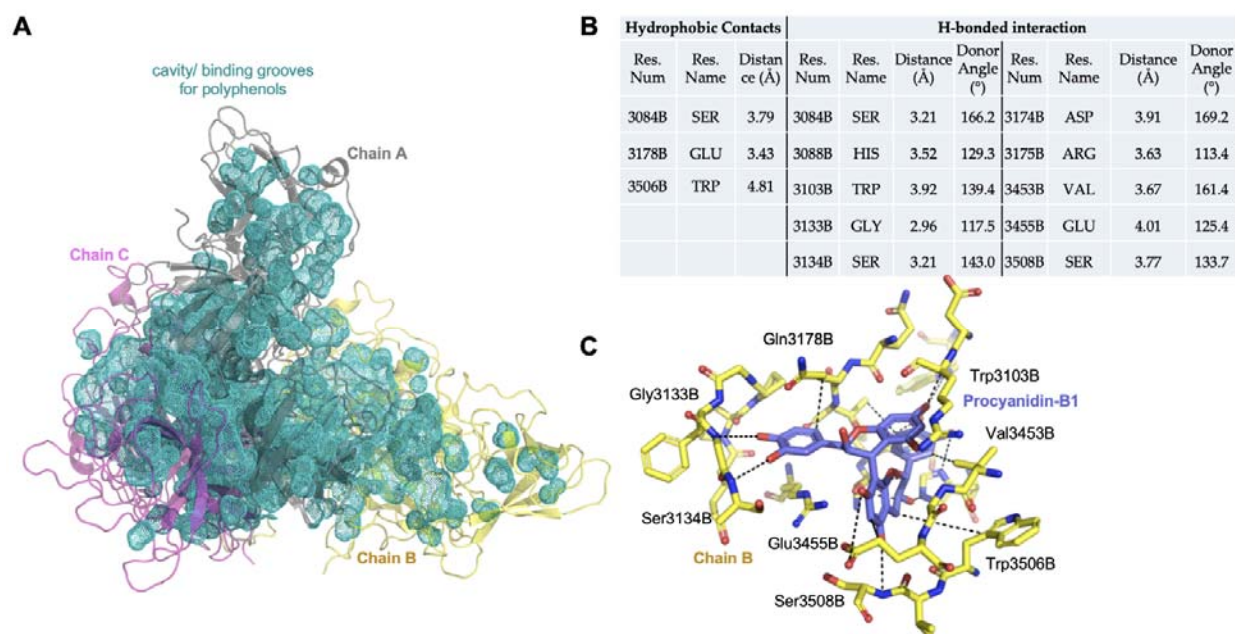


277  
 278 **Fig. 3.** Summary of molecular docking analysis between HuNoV capsid and GSE selected  
 279 polyphenols found in GSE. BSA, which is known to bind strongly with polyphenols (14), was  
 280 used as a positive control. The expected binding strength of each polyphenol (in increasing order  
 281 of size/molecular weight) with HuNoV capsid protein (PDB id: 1IHM) has been reported where  
 282 the error bars indicate variance across top 15 polyphenol binding poses within the same capsid  
 283 groove. The scale on the right indicates fractional capsid-binding affinity of a given polyphenol  
 284 with respect to the positive control – BSA.

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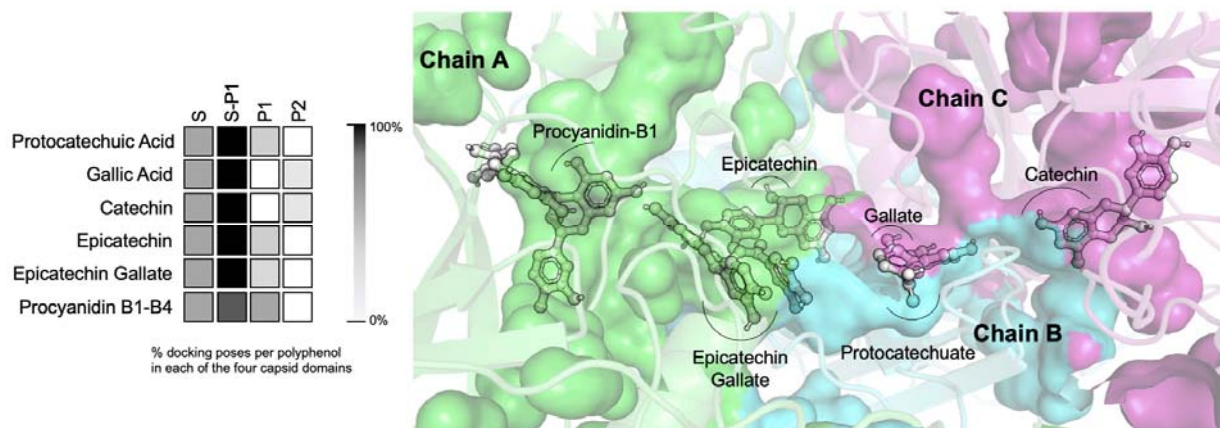




287  
 288 **Fig. 4.** Larger polyphenols show greater electrostatic stabilization with the viral capsid. **(A)**  
 289 HuNoV capsid shows a trigonal-symmetric polyphenol accessible groove (indicated in teal),  
 290 which includes three intra-chain pockets, and three inter-chain binding crevices. Smaller  
 291 polyphenols can access the inter-chain crevices, while the bigger ones remain localized to the  
 292 more solvent-exposed intra-chain pockets. **(B)** Residue level interactions of Procyanidin-B1 with  
 293 the HuNoV capsid are listed. **(C)** A graphical overview of the exact docked pose of Procyanidin-  
 294 B1 in the capsid groove with most of the interactions is illustrated.  
 295

296 It is noteworthy that as the size of the polyphenol increases, it tends to bind to a less-  
 297 buried, more solvent exposed pocket (owing to steric clashes) (**Fig. 5**) yet binding to the  
 298 interface of two chains of the trimeric capsid (located around the S-P1 hinge domain;  
 299 **Supplementary Fig. 2**). Only the largest Procyanidin (B1-B4) polyphenols cannot access the  
 300 inter-chain interface pockets and are primarily surface binders with most interactions limited to a  
 301 single chain (**Fig. 5**). We thereby hypothesize that larger polyphenols tend to be surface binders  
 302 but stronger capsid binders, while smaller ones preferably bind to inter-chain interfaces of the  
 303 viral capsid. In terms of domains bound, our docking simulations, in concordance with the  
 304 experimental data, show that most polyphenols bind to the S or S-P1 hinge domains - while trace  
 305 binding is observed in the P1 domain and P2 domain is mostly unbound.

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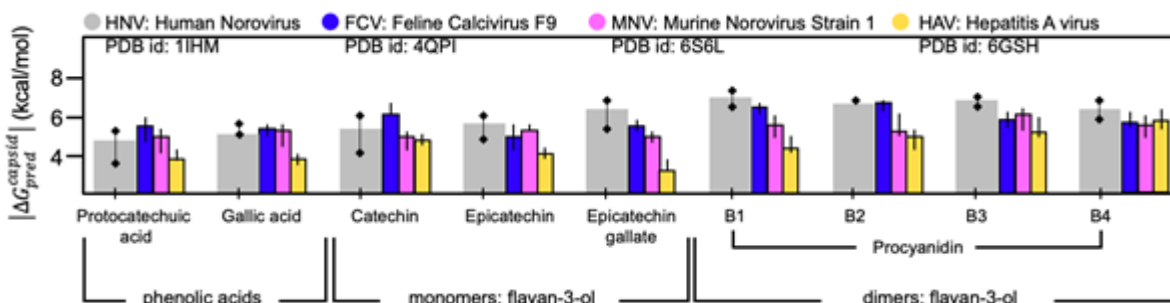
308 **Fig. 5.** All polyphenol binding is primarily localized around the S and S-P1 hinge domains of the  
309 HuNoV capsid - while the P2 domain remains mostly unbound. Smaller polyphenols can access  
310 more buried inter-chain pockets of the HuNoV capsid, while larger Procyanidins bind to surface-  
311 exposed pockets with a single chain. Pockets guarded by each chain have been indicated by a  
312 different color (green – A, cyan – B, magenta – C). Smaller polyphenols – protocatechuate,  
313 gallate, and catechin bind best at the interface of chains B and C. Slightly larger epicatechin and  
314 epicatechin gallate bind best at the interface of chain A and B, while larger procyanidins (all B1  
315 through B4) bind best to a solvent-exposed cavity within chain A only.  
316

317 We extended the molecular docking simulations to other enteric viruses such as feline  
318 calicivirus (FCV-F9), murine norovirus (MNV-1), and hepatitis A virus (HAV) that were  
319 experimentally studied in previous works (14, 18, 19). Although the three sets of experiments  
320 were performed in different conditions such as different virus media (water or produce) and  
321 different pH, they presented a similar trend that FCV-F9 is more susceptible to the polyphenols  
322 in GSE than MNV-1 and HAV. Our molecular docking simulation agreed with the experimental  
323 data with these enteric viruses. The binding energy of the polyphenols to FCV-F9 capsid protein  
324 were stronger than those of MNV-1 and HAV ( $P < 0.05$ ) (**Fig. 6**). Note that the binding energy  
325 alone will not be sufficient information to evaluate the antiviral effect of the polyphenols because



326 the binding energy does not necessarily indicate the following reactions such as viruses being  
327 aggregated and losing infectivity.

328



329

330 **Fig. 6.** Expected binding energies (absolute modal values) between each polyphenolic compound  
331 and the four viral capsids (HuNoV: human norovirus, FCV: feline calicivirus F9, MNV: murine  
332 norovirus strain 1, and HAV: hepatitis A virus) were computed using the Rosetta binding energy  
333 function from top 15 docking poses per complex. The error bars indicate variance from the 15  
334 docked poses for each complex. The reported energy scores were compared by a paired sample t-  
335 test indicating a statistically significant higher polyphenol binding activity by HuNoV and FCV  
336 in contrast to MNV ( $P < 0.05$ ) and HAV ( $p < 0.001$ ) capsids.

337

## 338 Discussion

339 Anti-bacterial, anti-biofilm, and anti-fungal effects of plant-derived polyphenols are well-  
340 known. In this study, we systematically evaluated antiviral efficacy of the polyphenols in GSE to  
341 TV, a surrogate virus for HuNoV, and the antiviral mechanisms. Data shown here suggest that  
342 GSE can inactivate TV and perhaps other enteric viruses. In addition, data show that the  
343 polyphenols in GSE aggregate the virus particles, an event that is likely responsible for TV  
344 inactivation. Indeed, this concept that TV adsorbs polyphenols was also supported by the binding  
345 energy calculated by molecular docking analysis. Our current model is that TV aggregation  
346 prevents proper virus-host interactions or virus entry into host cells. There is at least one other  
347 report of aggregation of herpes simplex virus, prevents virus entry into cells while allowing virus  
348 attachment (44).

349           It should be noted that the TV concentrations used in this study are likely much higher  
350 than that found in contaminated produce or water. For example, rotavirus concentration in river  
351 water, treated wastewater, and untreated wastewater are reported to be  $10^{-3.0}$ ,  $10^{-2.2}$ , and  $10^{-1.3}$   
352 FFU/mL respectively (45, 46). Given that GSE was efficacious using these artificially high TV  
353 concentrations used in the laboratory, it is reasonable to expect that GSE would be effective as  
354 an anti-viral for contaminated food or produce. Also, Tulane virus is more resistant to chemical  
355 disinfectants than the other viruses in the *Caliciviridae* family (47). Thus, if GSE were an  
356 effective disinfectant of Tulane virus then it is likely GSE would be efficacious against other  
357 caliciviruses, and this is an avenue of future research. GSE is also attractive because it is safe for  
358 consumption by humans. Thus, GSE or plant-derived polyphenols could be advantageous over  
359 the chemical disinfectants for direct addition to end-products that people ingest such as drinking  
360 water and foods.

361           There are still several important questions to answer before polyphenols can be widely  
362 used to inactivate the water-borne and food-borne pathogens. Water contains other organic  
363 matter which could outcompete viruses for binding to polyphenolic compounds. For example,  
364 Joshi et al. (14) showed the antiviral efficacy decreased when milk (which has high proteins) was  
365 added to an inactivation reaction. Thus, further studies need to be performed to understand how  
366 effective GSE is in water that has different properties. Other water properties (e.g., pH, ionic  
367 strength, and temperature) are important for adsorption reactions, and additional studies need to  
368 address how these properties may affect the efficacy of GSE. If GSE or individual polyphenols  
369 were to be used for foods (e.g., apples) then we must also understand how the surface properties  
370 of foods (e.g., wax contents of produce surface) may affect the efficacy of GSE (27).

371

## 372 **Materials and Methods**

### 373 **Commercially available grape seed extract and its plant-derived polyphenols**

374 We purchased a commercial grape seed extract (GSE) solution for this research (7832, Natures  
375 plus, USA). The total polyphenol (TP) concentration in each GSE sample was quantified by the  
376 colorimetric method using Folin-Ciocalteu reagent. A mixture of 100  $\mu\text{L}$  GSE sample and 500  
377  $\mu\text{L}$  of 10% Folin-Ciocalteu phenol reagent (Sigma-Aldrich, MO, USA) was prepared in a 10 mm  
378 path length polymethyl methacrylate (PMMA) cuvette (BrandTech Scientific, CT, USA). Within  
379 3 to 8 min of mixture preparation, 400  $\mu\text{L}$  of 7.5% sodium carbonate solution was added to the  
380 mixture and homogenized by pipetting. After incubating at room temperature for 60 minutes, UV  
381 absorbance at 765 nm was measured by a spectrophotometer (UV-2450, Shimadzu, Japan).  
382 Distilled water was used as a reference solution. The same procedure was conducted with gallic  
383 acid solutions with different concentrations prepared by diluting gallic acid monohydrate  
384 (Sigma-Aldrich, MO, USA) in distilled water. The TP concentrations of the gallic acid solutions  
385 were used for a calibration curve (**Supplementary Fig. 4**) which determined TP concentration of  
386 the GSE samples. GSE with TP concentrations ranging from 84 to 1694  $\mu\text{g}/\text{mL}$  was prepared by  
387 diluting the initial GSE with 1X PBS and used for inactivation experiments.

388 The polyphenol composition of GSE was analyzed by liquid chromatography-mass  
389 spectrometry (Q Exactive™ Plus Hybrid Quadrupole-Orbitrap™ Mass Spectrometer, Thermo  
390 scientific, USA), and numerous peaks were discovered by untargeted comprehensive metabolite  
391 profiling (**Supplementary Table 2**). Although the LC/MS analysis could not quantify each  
392 polyphenol and differentiate polyphenolic isomers with the same  $m/z$  values, such as  
393 catechin/epicatechin at 291 of  $m/z$  ratio for  $[\text{M}+\text{H}]^+$  and B type procyanidins at 579 of  $m/z$  ratio  
394 for  $[\text{M}+\text{H}]^+$ , the major peaks agreed with the primary polyphenolic compounds in GSE that were

395 confirmed by other studies (35, 36). With the mass spectrometry and the references  
396 characterizing polyphenols in GSE, we chose nine target polyphenolic components, which  
397 include three monomers flavan-3-ols (catechin, epicatechin, and epicatechin gallate), four dimers  
398 flavan-3-ols (Procyanidin B1, Procyanidin B2, Procyanidin B3, and Procyanidin B4), and two  
399 phenolic acid (gallic acid and protocatechuic acid). These nine polyphenolic compounds were  
400 further studied through molecular docking simulations.

401

## 402 **Tulane virus propagation**

403 Tulane virus (TV) was used as a surrogate for human norovirus (HuNoV). Both TV and  
404 HuNoV are members of *Caliciviridae* and share structural similarities. TV and HuNoV have a  
405 positive-sense, single-stranded genomic RNA of 6.7 kb and 7.5 kb, respectively. About 23-26%  
406 of the genome sequences are identical in both viruses (20). The capsid of TV has T=3  
407 icosahedral symmetry and is 40 nm in diameter. The capsid comprises 180 copies of major  
408 capsid protein (VP1) or 90 dimers of A/B or C/C. The VP1 is also sub-divided into S, P1, and P2  
409 domains. When the VP1 proteins are assembled, the S domains comprise the bottom surface of  
410 the capsid while P1 and P2 domains protrude out of the bottom surface, which is responsible for  
411 binding to receptor proteins (**Supplementary Fig. 1**). TV recognizes Histo-blood group antigens  
412 (21) and sialic acids (22) as cellular receptors similar to HuNoVs. Besides, TV is considered  
413 more resistant to disinfectants than the other viruses in *Caliciviridae* (47). Therefore, TV has  
414 been widely used in virus inactivation experiments as a surrogate for HuNoVs (7, 23–27).

415 TV was a gift from Cincinnati Children's Hospital Medical Center (22) and the TV  
416 genome was sequenced as quality control. The genome sequence was 100% identical to those of  
417 a wild-type TV strain in Genbank (Access number: EU391643) (7). TV was propagated in

418 MA104 cells, which was purchased from ATCC (CRL-2378.1), and grown in complete culture  
419 medium (i.e., 1X minimum essential medium (MEM; Thermo Fisher Scientific, USA), 10% fetal  
420 bovine serum (FBS; Thermo Fisher Scientific, USA), 1X antibiotic-antimycotic (Thermo Fisher  
421 Scientific, USA), 17 mM of NaHCO<sub>3</sub>, 10 mM of HEPES, and 1 mM of sodium pyruvate  
422 (Thermo Fisher Scientific, USA). When greater than 90% of MA014 cellular monolayers  
423 showed cytopathic effects (about 2 days after the inoculation), cells were harvested and collected  
424 by centrifugation, and TV was released from host cells by three cycles of freeze and thaw. Virus  
425 was separated from cellular debris by centrifugation at 2000 rpm (556 g) for 10 min (Sorvall  
426 Legend RT Plus, Thermo Fisher Scientific, USA). The supernatant was treated by filtration with  
427 a 0.22 µm bottle top filter (Milliporesigma, USA) to remove additional cellular debris. The virus-  
428 containing filtrate was then further purified using an ultracentrifuge (Optima XPN-90  
429 Ultracentrifuge, Beckman Coulter, USA) with a cycle of 1,000 rpm (116 g) for 5 min followed  
430 by 36,000 rpm (150700 g) at 4°C for 3 hours. The virus pellet was resuspended in 1X PBS,  
431 aliquoted, and stored at -80°C before use.

432

### 433 **Tulane virus inactivation experiments using GSE**

434 Virus inactivation experiments were initiated by adding 250 µL of TV solution containing 2.5  
435 x10<sup>5</sup> PFU TV to 250 µL of GSE-containing solution, in which GSE concentrations ranged from  
436 84 to 1694 µg/mL. After the incubation times indicated in the manuscript (i.e., 10 to 120  
437 seconds), 70 µL of the mixture was added to 70 µL of FBS to quench the polyphenolic  
438 activity(14). Thus, the volumetric ratio of TV, GSE, and FBS in the final solution was 1:1:2. The  
439 FBS quenching activity was confirmed in **Supplementary Fig. 5**. Negative controls were  
440 prepared for every virus inactivation experiment. In this case, the negative controls were

441 prepared by mixing TV, GSE, and FBS in the ratio of 1:1:2, but in a different mixing order.  
442 Specifically, 35  $\mu$ L of GSE was mixed with 70  $\mu$ L of FBS to quench the polyphenolic activity  
443 followed by adding 35  $\mu$ L of TV to the mixture (**Fig. 1A**). The final mixture of TV, GSE, and  
444 FBS was used for further analysis.

445

#### 446 **Plaque assays**

447 The MA104 cell line was grown in 175 cm<sup>2</sup> flasks (Thermo Fisher Scientific, USA) with the  
448 complete culture medium. Cells were seeded into 6-well plates (CC7682-7506, USA Scientific,  
449 USA) resulting in cellular monolayers with more than 90% confluency. Cell culture supernatants  
450 were aspirated using a vacuum-connected pasteur pipette. 100  $\mu$ L of TV samples were serially  
451 diluted by 10-fold dilutions. Cellular monolayers were incubated with each of the serially-diluted  
452 viruses for 1 hour at 37°C with 5% CO<sub>2</sub> to facilitate virus attachment to the MA104 cells. Viruses  
453 were aspirated from cellular monolayers and 2 mL of overlay solution containing 1X MEM, 1%  
454 agarose, 7.5% sodium bicarbonate, 15 mM HEPES, and 1X antibiotic-antimycotic was added to  
455 each well. The overlay solution was solidified at 4°C for 10 minutes. Plates were incubated for 2  
456 days at 37°C with 5% CO<sub>2</sub> to allow infectious viruses to form plaques. Next, 2 mL of 10%  
457 formaldehyde (VWR, USA) in 1X PBS was added to each well and incubated at room  
458 temperature for 1 hour to fix cells. The agarose and the formaldehyde were removed and  
459 replaced with a 0.05% crystal violet dye solution. The solution was washed away after 10  
460 minutes and the number of plaque-forming units (PFU) was counted on a lightbox (ULB-100,  
461 Scienceware). The detection limit of the plaque assay was one plaque on the least diluted sample  
462 (i.e., 10-fold dilution), which was equivalent to 10<sup>1.1</sup> PFU/mL.

463

464 **Models for virus inactivation kinetics**

465 TV inactivation kinetics were interpreted by a first-order reaction of Chick's law (Eqs. 1-3) and  
466 pseudo-second-order model (Eqs. 4-6). Chick's law assumes the activity (i.e., concentration) of  
467 available disinfectant remains constant during the reaction. Chick's law has been widely used to  
468 describe virus inactivation kinetics by free chlorine, peracetic acid, monochloramine, heat,  
469 ozone, and UV(7, 48–51).

470

471 
$$\frac{dN}{dt} = -kcN \quad (\text{Eq. 1})$$

472 
$$\ln \frac{N}{N_0} = -kct \quad (\text{Eq. 2})$$

473 
$$\log_{10} \frac{N_0}{N} = k'ct \quad (\text{Eq. 3})$$

474

475 Where  $N$  referred to viral infectivity (PFU/mL),  $c$  indicated the TP concentration ( $\mu\text{g/mL}$ ),  $t$  was  
476 treatment time (s),  $k'$  meant an inactivation rate constant from Chick's law ( $\text{L}/\mu\text{g}\cdot\text{s}$ ).

477 The pseudo-second-order model has been widely used to describe reactions where  
478 chemisorption between adsorbent and adsorbate is the rate-determining step (28). In this study,  
479 TV and TP were considered as adsorbate and adsorbent, respectively.

480

481 
$$\frac{dC_t}{dt} = k_2(C_e - C_t)^2 \quad (\text{Eq. 4})$$

482 
$$\frac{t}{C_t} = \frac{1}{C_e^2 k_2} + \frac{1}{C_e} t \quad (\text{Eq. 5})$$

483 
$$t_{95\%} = \frac{19}{C_e k_2} \quad (\text{Eq. 6})$$

484

485 Where  $t$  is the reaction time (s),  $k_2$  is the rate constant from the pseudo-second-order model  
486 (mL/PFU·s),  $C_t$  and  $C_e$  are inactivated TV concentration (PFU/mL) at time  $t$  and equilibrium  
487 state, respectively. Also,  $t_{95\%}$  is a reaction time at which  $C_t$  reaches 95% of  $C_e$ .

488

#### 489 **Virus particle size**

490 The particle size distribution of TV was measured by a light scattering analyzer  
491 (DelsaMax Pro, Beckman Coulter). TV, GSE, and FBS either alone or in combination were  
492 prepared in 200  $\mu$ L aliquots, placed in a PMMA cuvette (BrandTech Scientific, USA). Particle  
493 size was measured 20 times for each sample, and the averaged % intensity was presented with  
494 the particle diameter. According to the manufacturer, particle size analysis is reliable within a  
495 range from 0.4 to 10,000 nm, and all our measurements were in this detection range.

496

#### 497 **Virus aggregation assay**

498 An assay was developed to quantify TV virions that are less than 100  $\mu$ m in diameter. After the  
499 TV inactivation experiments were conducted, the mixture containing viruses, GSE, and FBS was  
500 filtered with a 0.1  $\mu$ m syringe filter (Sartorius, Germany). The number of virus particles in the  
501 initial mixture and the filtrate was quantified by one-step RT-qPCR. RNA was extracted from  
502 samples using Viral RNA Mini Kit (QIAGEN, Germany) in a final 60  $\mu$ L volume. RT-qPCR  
503 samples were prepared in 96-well plates (4306737, Applied Biosystems, USA) by mixing 5  $\mu$ L  
504 of  $2 \times$  iTaq universal SYBR green reaction mix, 0.125  $\mu$ L of iScript reverse transcriptase from  
505 the iTaq universal SYBR green reaction mix (Bio-Rad Laboratories, USA), 3  $\mu$ L of the RNA, 0.3  
506  $\mu$ L of 10  $\mu$ M TV-NSP1-qPCR-F primer, 0.3  $\mu$ L of 10  $\mu$ M TV-NSP1-qPCR-R primer, and 1.275  
507  $\mu$ L of nuclease-free water. The one-step RT-qPCR was run using a qPCR system (QuantStudio



508 3, Thermo Fisher Scientific, USA) with the following thermocycle: 10 minutes at 50°C and 1  
509 minute at 90°C followed by 40 cycles of 30 seconds at 60°C and 1 minute at 90°C. Detailed  
510 information for primers and synthetic DNA controls is summarized in **Supplementary Table 3**.  
511 We obtained a calibration curve by plotting the viral infectivity ( $\text{Log}_{10}$  PFU/mL) on the x-axis  
512 and the outcome of the one step RT-qPCR assay ( $\text{Log}_{10}$  gc/mL) on the y-axis for the same viral  
513 solution (**Supplementary Fig. 6**). The calibration curve was used to determine the reliable range  
514 of the binding assay. The calibration curve for the binding assay was linear (a slope=0.95 and  
515  $R^2=1.00$ ) between  $10^3$  and  $10^7$  PFU/mL. The PCR standard curves were obtained with 10-fold  
516 serial dilutions of the synthetic DNA oligonucleotide (Integrated DNA technologies, USA) and  
517 the PCR efficiency for this one-step RT-qPCR ranged from 85 to 95% ( $R^2>0.99$ ). Inhibitory  
518 effect of GSE was also tested (**Supplementary Fig. 7**). We found 100-fold dilution can reduce  
519 the inhibitory effect of GSE to an insignificant level, so the samples were diluted in molecular  
520 grade water by 100-fold before the RT-qPCR analysis. Information for RT-qPCR was  
521 summarized following the MIQE guidelines (52) in **Supplementary Table 4**.

522

523

### 524 **Molecular docking simulation for polyphenols and capsid proteins interaction**

525 We selected nine polyphenolic compounds that are present in GSE, including two  
526 phenolic acids, three monomer flavan-3-ols, and four dimer flavan-3-ols (36). The structural  
527 information on the polyphenols were obtained from PUBChem  
528 (<https://pubchem.ncbi.nlm.nih.gov/>). Although we conducted inactivation experiments with  
529 Tulane virus, we obtained HuNoV capsid protein instead of TV from the Protein Data Bank  
530 (PDB, <https://www.rcsb.org/>) to study interactions with the polyphenols because the database of

531 PDB does not provide TV capsid information. Cryo-EM structure analysis showed that the TV  
532 capsid structure closely resembles that of HuNoV(31).

533 We downloaded the HuNoV icosahedral asymmetric unit (PDB ID: 1IHM) which is a  
534 basic building block for the HuNoV capsid. This icosahedral unit comprised three VP1 proteins  
535 (i.e., chain A, B, and C in PDB format). The complete HuNoV capsid consists of 180 identical  
536 icosahedral asymmetric units. We also used a deep-learning-based structure prediction tool -  
537 trRosetta(53), to predict the 3D-structure of the TV capsid (**Supplementary File 1**) from its  
538 sequence and a multiple-sequence alignment of related sequences. We studied molecular docking  
539 of the polyphenols to HuNoV and to other different enteric viruses including hepatitis A virus  
540 (PDB: 4QPI), murine norovirus-1 (PDB: 6S6L), and feline calicivirus-F9 (PDB: 6GSH). Bovine  
541 serum albumin (BSA) is a major component for FBS, and BSA showed a strong binding affinity  
542 to the polyphenols as confirmed by the quenching effect in the virus inactivation experiments.  
543 BSA (PDB: 4F5S) was used as a positive control for molecular docking with the polyphenolic  
544 compounds. Next, we used the target proteins (capsids or BSA) and flexible conformations of all  
545 the aforementioned nine polyphenol ligands to discern stable docking conformations, record  
546 binding affinity scores, and report across four capsid domains where each of the polyphenols  
547 tend to bind in order of domain preference. The flexible docking protocol is similar to the Z-  
548 Dock protocol(37) as implemented within OptMAVEN-2.0(38).

549

## 550 **Statistical analysis**

551 All experiments were repeated three times with distinct virus samples (i.e., three biological  
552 replications), each of which was analyzed by three separate RT-qPCR measurements (i.e., three  
553 technical replications). Paired sample t-test was used to compare results of plaque assay and

554 aggregation assay in **Fig. 2C** and binding energies of different viral species (HuNoV, FCV,  
555 MNV, and HAV) to polyphenolic compounds in **Fig. 6**. We confirmed that all data for statistical  
556 analysis satisfied assumptions of paired sample t-test (i.e., no outliers and normality). For  
557 example, all data for statistical analysis were between  $Q1-1.5IQR$  and  $Q3+1.5IQR$  range, which  
558 means there were no outliers. Also, differences between two data sets (e.g., plaque assay versus  
559 aggregation assay in **Fig. 2C** or HuNoV versus MNV or HAV in **Fig. 6**) were normally  
560 distributed ( $P>0.05$ ).

561

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566

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