1	Omicron escapes the majority of existing SARS-CoV-2 neutralizing antibodies
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34	Abstract

The SARS-CoV-2 B.1.1.529 variant (Omicron) contains 15 mutations on the receptor-35 binding domain (RBD). How Omicron would evade RBD neutralizing antibodies 36 (NAbs) requires immediate investigation. Here, we used high-throughput yeast display 37 screening^{1,2} to determine the RBD escaping mutation profiles for 247 human anti-RBD 38 NAbs and showed that the NAbs could be unsupervised clustered into six epitope 39 groups (A-F), which is highly concordant with knowledge-based structural 40 classifications³⁻⁵. Strikingly, various single mutations of Omicron could impair NAbs 41 of different epitope groups. Specifically, NAbs in Group A-D, whose epitope overlap 42 with ACE2-binding motif, are largely escaped by K417N, G446S, E484A, and Q493R. 43 Group E (S309 site)⁶ and F (CR3022 site)⁷ NAbs, which often exhibit broad 44 sarbecovirus neutralizing activity, are less affected by Omicron, but still, a subset of 45 NAbs are escaped by G339D, N440K, and S371L. Furthermore, Omicron pseudovirus 46 neutralization showed that single mutation tolerating NAbs could also be escaped due 47 to multiple synergetic mutations on their epitopes. In total, over 85% of the tested NAbs 48 are escaped by Omicron. Regarding NAb drugs, the neutralization potency of LY-49 CoV016/LY-CoV555, REGN10933/REGN10987, AZD1061/AZD8895, and BRII-50 196 were greatly reduced by Omicron, while VIR-7831 and DXP-604 still function at 51 52 reduced efficacy. Together, data suggest Omicron would cause significant humoral immune evasion, while NAbs targeting the sarbecovirus conserved region remain most 53 effective. Our results offer instructions for developing NAb drugs and vaccines against 54 Omicron and future variants. 55 56

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The severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) variant B.1.1.529 59 was first reported to the World Health Organization (WHO) on 24 November 2021. It 60 appears to be rapidly spreading, and the WHO classified it as a variant of concern 61 (VOC) only two days after, designating it as Omicron 8,9 . An unusually large number 62 of mutations are found in Omicron, including over 30 in the spike protein (Extended 63 Data Fig. 1a). The receptor-binding domain, responsible for interacting with the 64 65 Angiotensin-Converting Enzyme 2 (ACE2) receptor, bears 15 of these mutations, including G339D, S371L, S373P, S375F, K417N, N440K, G446S, S477N, T478K, 66 E484A, Q493R, G496S, Q498R, N501Y, and Y505H. Some of these mutations are 67 very concerning due to their well-understood functional consequences, such as K417N 68 and N501Y, which contribute to immune escape and higher infectivity ¹⁰⁻¹³. Many other 69 mutations' functional impacts remain to be investigated. 70

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72 The S protein is the target of essentially all NAbs found in the convalescent sera or elicited by vaccines. Most of the N-terminal domain (NTD) neutralizing antibodies 73 74 target an antigenic "supersite" in NTD, involving the N3 (residues 141 to 156) and N5 (residues 246 to 260) loops ^{14,15}, and are thus very prone to NTD mutations. Omicron 75 carries the Δ 143-145 mutation, which would alter the N3 loop and most likely result in 76 immune escape of most anti-NTD NAbs (Extended Data Fig. 1b). Compared to NTD 77 targeting NAbs, RBD targeting NAbs are particularly abundant and potent, and display 78 diverse epitopes. Evaluating how Omicron affects the neutralization capability of anti-79 RBD NAbs of diverse classes and epitopes is urgently needed. 80

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RBD-directed SARS-CoV-2 NAbs can be assigned into different classes or binding 82 sites based on structural analyses by cryo-EM or high-resolution crystallography ³⁻⁵; 83 however, structural data only indicates the contacting amino acids, but does not infer 84 the escaping mutations for a specific antibody. Recent advances in deep antigen 85 mutation screening using FACS (fluorescence-activated cell sorting)-based yeast 86 display platform has allowed the quick mapping of all single amino acid mutations in 87 the RBD that affect the binding of SARS-CoV-2 RBD NAbs ^{1,16}. The method has 88 proven highly effective in predicting NAB drug efficacy toward mutations². However, 89 to study how human humoral immunity may react to highly mutated variants like 90

Omicron requires mutation profiling of a large collection of NAbs targeting different 91 regions of RBD, and FACS-based yeast display mutation screening is limited by low 92 experimental throughput. Here we further developed a MACS (magnetic-activated cell 93 sorting) -based screening method which increases the throughput near 100-fold and 94 could obtain comparable data quality like FACS (Fig 1a, Extended Data Fig. 2). Using 95 this method, we quickly characterized the RBD escaping mutation profile for a total of 96 247 NAbs (Supplementary Data 1). Half of the NAbs were part of the antibodies 97 identified by us using single-cell VDJ sequencing of antigen-specific memory B cells 98 99 from SARS-CoV-2 convalescents, SARS-CoV-2 vaccinees, and SARS-CoV-1 convalescents who recently received SARS-CoV-2 vaccines (Supplementary Data 2). 100 The other half of NAbs were identified by groups worldwide ^{3,5,6,11,17-40} (Supplementary 101 Table 1). 102

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The high-throughput screening capability allowed us to classify these NAbs into six 104 Epitope Groups (A-F) using unsupervised clustering without dependence on structural 105 studies, and the grouping is highly concordant with the knowledge-based structural 106 classifications ³⁻⁵ (Fig. 1b, c). In particular, Group A-D NAbs largely correspond to the 107 RBS A-D NAbs described by Yuan et al.⁴ and overlap with the class 1-2 NAbs 108 described by Barnes et al.³ in general. The epitopes of these NAbs largely overlap with 109 RBD residues involved in the binding to ACE2. Group A and B NAbs, represented by 110 LY-CoV016 and AZD8895, respectively, usually can only bind to the 'up' RBD; 111 whereas most of the Group C and D members, such as LY-CoV555 and REGN-10987, 112 bind to RBDs regardless of their 'up' and 'down' conformations. Group E and F NAbs 113 are very similar to the class 3 and 4 NAbs described by Barnes et al.³ and target the 114 S309/VIR-7831 site and CR3022 site, which could exhibit pan-sarbecovirus 115 neutralization capacity (Fig 1e). Most of these NAbs neutralize SARS-CoV-2 using 116 mechanisms other than directly interfering with ACE2 binding. 117

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Inferred from the escaping mutation profiles, various single mutations of Omicron
could impair NAbs of different epitope groups (Extended Data Fig. 3). Specifically,
NAbs in Group A-D, whose epitope overlaps with ACE2-binding motif, are largely
escaped by single mutations of K417N, G446S, E484A, and Q493R. Also, a subset of
NAbs of Group E and F are escaped by single mutations of G339D, N440K, S371L,
S375F. However, due to the extensive mutations accumulated on Omicron's RBD,

studying NAb's response to Omicron only in the single mutation context is insufficient.
Indeed, Omicron pseudovirus neutralization and spike enzyme-linked immunosorbent
assay (ELISA) showed that single mutation tolerating NAbs could also be escaped by
Omicron due to multiple synergetic mutations on their epitopes (Fig 1d, Extended Data
Fig. 3). In total, over 85% of the tested human NAbs are escaped, suggesting that
Omicron could cause significant humoral immune evasion and potential antigenic
shifting.

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It is crucial to analyze how each group of NAbs reacts to Omicron to instruct the 133 development of NAb drugs and vaccines. Group A NAbs mainly contains the VH3-134 53/VH3-66 germline gene-encoded antibodies, which are abundantly present in our 135 current collection of SARS-CoV-2 neutralizing antibodies ^{17,21,22,26,41-43}, including 136 several antibodies that have obtained emergency use authorization (CB6/LY-CoV016) 137 ¹⁹ or are currently being studied in clinical trials (P2C-1F11/BRII-196, BD-604/DXP-138 604) ^{18,44} (Fig. 2a, Extended Data Fig. 4a). Group A NAbs often exhibit less somatic 139 mutations and shorter CDR3 length compared to other groups (Extended Data Fig. 5a, 140 b). The epitopes of these antibodies extensively overlap with the binding site of ACE2 141 142 and are often evaded by RBD mutations on K417, D420, F456, A475, L455 sites (Fig. 2d, Extended Data Fig. 6a,7a). Most NAbs in Group A were already escaped by B.1.351 143 (Beta) strain (Extended Data Fig. 5d), specifically by K417N (Extended Data Fig. 8a), 144 due to a critical salt bridge interaction between Lys417 and a negatively charged residue 145 in the antibody (Fig. 2g). The NAbs that survived Beta strain, such as BRII-196 and 146 DXP-604, are insensitive to the K417N single site change but could also be heavily 147 affected by the combination of K417N and other RBD mutations located on their 148 epitopes, like S477N, Q493R, G496S, Q498R, N501Y, and Y505H of Omicron, 149 causing lost or reduction of neutralization (Fig 2d; Extended Data Fig. 7a). 150

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The *VH1-58* gene-encoded NAbs are enriched in Group B (Extended Data Fig. 4b). These NAbs such as AZD8895 ³⁶, REGN-10933 ⁴², and BD-836 ⁴⁵ bind to the left shoulder of RBD, often focusing on the far tip (Fig. 2h). These NAbs are very sensitive to the change of F486, N487, and G476 (Fig 2b, Extended Data Fig. 6b). Fortunately, F486 and a few other major targeting sites of these NAbs are critically involved in ACE2-binding, and therefore they are generally harder to be escaped. A subset of NAbs in Group B, such as AZD8895 and BD-836, could survive Beta (Fig 2e); however, Omicron significantly reduced Group B NAbs' binding affinity to RBD, potentially
 through S477N/T478K/E484A on their epitope (Extended Data Fig. 7b) ⁴⁶, resulting in
 the loss of neutralization.

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Group C NAbs are frequently encoded by VH1-2 and VH1-69 (Extended Data Fig. 4c). 163 The majority of NAbs in this group could bind to both "up" and "down" RBDs, 164 resulting in higher neutralization potency compared to other groups (Fig. 2c, Extended 165 Data Fig. 5c). Several highly potent antibodies are found in Group C, including BD-166 368-2/DXP-593⁴⁴, C002³, and LY-CoV555⁴⁷. They bind to the right shoulder of RBD 167 (Fig. 2i), and are mostly prone to the change of E484 (Extended Data Fig. 6c, 7c), such 168 as the E484K mutation found in Beta (Fig. 2f). The E484A mutation seen in Omicron 169 elicited a similar escaping effect, although the change to Ala is slightly subtler, and 170 could be tolerated by certain antibodies in this group (Extended Data Fig. 8b). All 171 Group C NAbs tested are escaped by Omicron. 172

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Group D NAbs consist of diverse IGHV gene-encoded antibodies (Extended Data Fig. 174 4d). Prominent members in this group include REGN-10987⁴² and AZD1061³⁶ (Fig. 175 176 3a). They further rotate down from the RBD right shoulder towards the S309 site when compared to Group C NAbs (Fig. 3g). As a loop formed by residues 440-449 in RBD 177 is critical for the targeting of this group of NAbs, they are sensitive to the changes of 178 N440, K444, G446, and N448 (Extended Data Fig. 6d, 7d). Most NAbs of Group D 179 remain active against Beta; however, G446S would substantially affect their 180 neutralization capability against Omicron (Fig. 3d). Also, for those NAbs that could 181 tolerate G446S single mutation, the N440K/G446S combination may significantly 182 reduce their binding affinity, resulting in that most Group D NAbs are escaped by 183 Omicron. 184

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Group E and F NAbs are rarer when compared to the other four groups. The archetypical member of each group was originally isolated from a SARS-CoV-1 convalescent, and displays SARS-CoV-2 cross-neutralizing activity. There is no clear VDJ convergent effect compared to Group A, B, and C (Extended Data Fig. 4e, f), and the mutation rate and CDR3 length are larger than other groups. NAbs in Group E and F rarely compete with ACE2; thus, their average half-maximal inhibitory concentration (IC50) is higher than NAbs in Group A-D (Extended Data Fig. 5c). NAbs in Group E,

such as VIR-7831/S309, may recognize a mixed protein/carbohydrate epitope, 193 involving the N-linked glycan on N343⁶ (Fig. 3h). Inferred from the escaping mutation 194 profiles (Fig. 3b), Group E NAbs are often sensitive to changes of G339, T345, and 195 R346 (Extended Data Fig 6e, 7e). The G339D mutation would affect a subset of NAbs' 196 neutralization performance (Fig. 3e). Also, part of Group E NAbs' epitope would 197 extend to the 440-449 loop, making them sensitive to N440K in Omicron (Fig. 3e). 198 Noticeably, the population of Omicron with R346K is continuously increasing, which 199 may severely affect the neutralization capacity of Group E NAbs. 200

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Group F NAbs such as S304 target a cryptic site in RBD that is generally not exposed 202 (Fig. 3i), therefore their neutralizing activities are generally weaker ⁷. Group F NAbs 203 are often sensitive to changes of F374, T376, and K378 (Extended Data Fig. 6f, 7f). A 204 loop involving RBD residues 371-375 lies in the ridge between the E and F sites; 205 therefore, a subset of Group F NAbs, including some Group E NAbs, could be affected 206 by the S371L/S373P/S375F mutations if their epitopes extend to this region (Fig. 3c, 207 f). Interestingly, a part of Group F NAbs is highly sensitive to V503 and G504, similar 208 to the epitopes of S2X259 (Fig. 3f, j), suggesting that they can compete with ACE2. 209 210 Indeed, several NAbs, such as BD55-5300 and BD55-3372, exhibit higher neutralization potency than other NAbs in Group F (Fig. 3c, 4b). However, These 211 antibodies' neutralization capability might be undermined by N501Y and Y505H of 212 Omicron (Fig. 3j). 213

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As for NAb drugs, consistent with their escaping mutation profiles, the neutralization 215 potency of LY-CoV016/LY-CoV555, REGN-10933/REGN-10987, and AZD1061 are 216 greatly reduced by Omicron (Fig. 4a, Extended Data Fig. 9). The binding affinity of 217 AZD8895 and BRII-196 toward Omicron RBD is also significantly reduced, likely due 218 to multiple mutations accumulating on their epitopes, such that AZD8895 and BRII-219 196 failed to neutralize Omicron (Extended Data Fig. 10). BRII-198 was not tested 220 since the antibody sequence was not released. VIR-7831 retains strong RBD binding 221 capability, although G339 is part of its epitope, the G339D mutation in Omicron does 222 not appear to affect VIR-7831's binding; however, VIR-7831's IC50 is reduced to 181 223 ng/mL, and may be subject to further reduction against Omicron with R346K. DXP-224 604's binding affinity against Omicron RBD is largely reduced compared to wildtype 225 RBD; nevertheless, it can still neutralize Omicron at an IC50 of 287 ng/mL, a nearly 226

30-fold reduction compared to wildtype (Fig. 4a). Additionally, several NAbs in Group
E and F have shown high potency against Omicron and broad pan-sarbecovirus
neutralization ability, promising for NAb drug development (Fig. 4b). Many more
NAbs identified from vaccinated SARS-CoV-1 convalescents are waiting to be
characterized.

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The high-throughput yeast screening method provides a laboratory means for quickly 233 examining the epitope of a certain NAb; however, the current throughput using FACS 234 235 is limited and can not be used to evaluate a large NAb library. By virtue of MACS, we are able to increase the throughput by two orders of magnitude. In doing so, we were 236 able to gain statistical confidence for the survival proportion of anti-RBD NAbs in each 237 epitope group against Omicron. The experimental accuracy for predicting the 238 neutralization reduction for single amino acid mutations is relatively high (Extended 239 Data Fig. 8a, b); however, current mutation screening through yeast display could not 240 effectively probe the consequence of multiple mutations simultaneously, which 241 242 requires further technical optimization.

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244 To date, a large number of SARS-CoV-2 anti-RBD NAbs have been identified from convalescents and vaccinees. The most potent NAbs are frequently found in Groups A-245 D as we described above, which tend to directly interfere with the binding of ACE2. 246 Nevertheless, the neutralizing powers of these NAbs are often abrogated by RBD 247 mutations in the evolutionary arms race between SARS-CoV-2 and human humoral 248 immunity. Indeed, we showed that Omicron would escape the majority of SARS-CoV-249 2 NAbs in this collection (Extended Data Fig. 5e). On the other hand, Groups E and F 250 NAbs are less affected by Omicron, likely because they are not abundant in population 251 ⁴⁸, hence exerting less evolutionary pressure for RBD to mutate in the corresponding 252 epitope groups. These NAbs target conserved RBD regions in sarbecovirus and 253 therefore are ideal targets for future development of pan-sarbecovirus NAb drugs. 254

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398		
399		
400	Figur	re legends
401		
402	Fig. 1	1: Omicron greatly reduces the neutralization potency of NAbs of diverse
403	epito	pes.
404	a, Sc	hematic of MACS-based high-throughput yeast display mutation screening. b,

Representative NAb structures of each epitope group. c, t-SNE embedding and 405 unsupervised clustering of SARS-CoV-2 human NAbs based on each antibody 406 escaping mutation profile. A total of 6 epitope groups (Group A-F) could be defined. 407 d, Neutralization of Omicron variant (spike-pseudotyped VSV) by 247 RBD NAbs. 408 Shades of red show IC50 fold change compared with D614G of each NAb. e, 409 Neutralization of SARS-CoV-1 (spike-pseudotyped VSV) by 247 RBD NAbs. Shades 410 of red show the IC50 value (μ g/mL) of each NAb. All pseudovirus neutralization assays 411 are conducted in biological duplicates or triplicates. 412

413

Fig. 2: The neutralizing abilities of Group A-C NAbs are mostly abolished by Omicron.

a-c, Escaping mutation profiles of representative NAbs for group A-C, respectively. 416 For each site, the height of a letter indicates the detected mutation escape score of its 417 corresponding residue. Sites mutated in Omicron are highlighted. d-f, Heatmaps of site 418 escape scores for NAbs of epitope group A-C, respectively. ACE2 interface residues 419 are annotated with red blocks, and mutated sites in Omicron are marked red. 420 Annotations on the right side of heatmaps represent pseudovirus neutralizing IC50 fold 421 422 change (FC) for Omicron and Beta compared to D614G. g-i, Representative structures of group A-C antibodies in complex with RBD. Residues involved in important contacts 423 are labeled. Omicron mutations are marked as blue. NAb escaping mutations (Omicron) 424 inferred from yeast display are labeled with squares. 425

426

427 Fig. 3: The majority of Group D-E NAbs are escaped by Omicron.

a-c, Escaping mutation profiles of representative NAbs for group D-E, respectively. 428 For each site, the height of a letter indicates the detected mutation escape score of its 429 corresponding residue. Sites mutated in Omicron are highlighted. d-f, Heatmaps of site 430 escape scores for NAbs of epitope group D-E, respectively. ACE2 interface residues 431 are annotated with red blocks, and mutated sites in Omicron are marked red. 432 Annotations on the right side of heatmaps represent pseudovirus neutralizing IC50 fold 433 change (FC) for Omicron and Beta compared to D614G. g-j, Representative structures 434 of group D-E antibodies in complex with RBD. Residues involved in important contacts 435 are labeled. Omicron mutations are marked as blue. NAb escaping mutations (Omicron) 436 inferred from yeast display are labeled with squares. 437

438

439 Fig. 4: Omicron escapes most NAb drugs.

a, Neutralization of SARS-CoV-2 variants of concern (pseudotyped VSV) by 9 NAb
drugs. The pseudovirus neutralization assays for every VOC were performed in
biological triplicates. IC50 labeled is the average of three replicates shown in Extended
Data Fig. 9. b, The sarbecovirus neutralization and binding capability of selected potent
Omicron-neutralizing antibodies. Monoclonal antibody HG1K (IgG1 antibody against
Influenza A virus subtype H7N9) was used as the negative control.

- 446
- 447 Methods
- 448

449 Human peripheral blood mononuclear cells isolation

SARS-CoV-2 convalescents, SARS-CoV-1 convalescents, and SARS-CoV-2 450 vaccinees were recruited on the basis of prior SARS-CoV-2 infection or SARS-CoV-1 451 452 infection or SARS-CoV-2 at Beijing Youan and Ditan hospital. Relevant experiments regarding SARS-CoV-2 convalescents and vaccinees were approved by the Beijing 453 454 Youan Hospital Research Ethics Committee (Ethics committee archiving No. LL-2020-010-K). Relevant experiments regarding SARS-CoV-1 convalescents were approved 455 456 by the Beijing Ditan Hospital Capital Medical University (Ethics committee archiving No. LL-2021-024-02). All participants provided written informed consent for the 457 collection of information, and that their clinical samples were stored and used for 458 research. Data generated from the research were agreed to be published. The detailed 459 information of SARS-CoV-2 convalescents and vaccinees was previously described ¹¹. 460 Briefly, short-term convalescents' blood samples were obtained at day 62 on average 461 after symptoms onset. Long-term convalescents' blood samples were obtained at day 462 371 on average after symptoms onset. No vaccination was received before blood 463 collection. SARS-CoV-2 vaccinees' blood samples were obtained 2 weeks after 464 complete vaccination of ZF2001 (RBD-subunit vaccine). For vaccinated SARS-CoV-465 1 convalescents (average age 58, n = 21), all recruited participants were previously 466 identified for SARS-CoV-1 infection in 2003, and received two-dose vaccination of 467 CoronaVac and a booster dose of ZF2001 with a 180-day-interval. 20mL of blood 468 samples of the vaccinated SARS-CoV-1 convalescents were obtained 2 weeks after the 469 booster shot. Three Healthy vaccinated donor (average age 25) were also included to 470 serve as negative control for FACS gating. Peripheral Blood Mononuclear Cells 471 (PBMCs) were separated from whole blood samples based on the detailed protocol 472

described previously ¹¹. Briefly, blood samples were first diluted with 2% Fetal Bovine

- 474 Serum (FBS) (Gibco) in Phosphate Buffer Saline (PBS) (Invitrogen) and subjected to
- 475 Ficoll (Cytiva) gradient centrifugation. After red blood cell lysis and washing steps,
- 476 PBMCs were resuspended with 2% FBS in PBS for downstream B cell isolation or 10%
- 477 Dimethyl sulfoxide (Sigma-Aldrich) in FBS for further preservation.
- 478

479 Antigen-specific B cell sorting and sequencing

Starting with freshly isolated or thawed PBMCs, B cells were enriched by positive 480 481 selection using a CD19+ B cell isolation kit according to the manufacturer's instructions (STEMCELL). The enriched B cells were stained in FACS buffer (1× PBS, 482 2% FBS, 1 mM EDTA) with the following anti-human antibodies and antigens: For 483 every 10⁶ cells, 3 µL FITC anti-CD19 Antibody (Biolegend, 392508), 3 µL FITC anti-484 CD20 Antibody (Biolegend, 302304), 3.5 µL Brilliant Violet 421 anti-CD27 Antibody 485 (Biolegend, 302824), 3 µL PE/Cyanine7 anti-IgM(Biolegend, 314532), and 486 fluorophore-labelled Receptor-Binding Domain (RBD) and ovalbumin (Ova) for 30 487 min on ice. Cells were stained with 5 µL 7-AAD (eBioscience, 00-6993-50) for 10 488 minutes before sorting. Biotinylated receptor binding domain (RBD) of SARS (Sino 489 490 biological, 40634-V27H-B) or SARS-CoV-2 (Sino biological, 40592-V27H-B) were multimerized with fluorescently labeled Streptavidin (SA) for 1 hour at 4°C. RBD was 491 mixed with SA-PE (Biolegend, 405204) and SA-APC (Biolegend, 405207) at a 4:1 492 molar ratio. For every 10^6 cells, 6 ng SA was used to stain. Single CD19 or CD20+, 493 CD27+, IgM-, Ova-, RBD-PE+, RBD-APC+, live B cells were sorted on an Astrios EQ 494 (BeckMan Coulter) into PBS containing 30% FBS (Supplementary Data 2). FACS 495 sorting were controlled by Summit 6.0 (Beckman Coulter). FACS data analyses were 496 done by FlowJo 10.8. Cells obtained after FACS were sent for 5'-mRNA and V(D)J 497 libraries preparation as previously described¹¹, which were further submitted to 498 Illumina sequencing on a Hiseq 2500 platform, with the 26x91 pair-end reading mode. 499 500

501 V(D)J sequence data analysis

The raw FASTQ files were processed by Cell Ranger (version 6.1.1) pipeline using GRCh38 reference. Sequences were generated using "cellranger multi" or "cellranger vdj" with default parameters. Antibody sequences were processed by IMGT/DomainGapAlign (version 4.10.2) to obtain the annotations of V(D)J, regions of complementarity determining regions (CDR), and the mutation frequency^{49,50}.
Mutation count divided by the length of the V gene peptide is defined as the amino acid

508 mutation rate of the V gene.

509

510 **Recombinant antibody production**

Paired immunoglobulin heavy and light chain genes obtained from 10X Genomics V(D)J sequencing and analysis were submitted to recombinant monoclonal antibody synthesis. Briefly, heavy and light genes were cloned into expression vectors, respectively, based on Gibson assembly, and subsequently co-transfected into HEK293F cells (ThermoFisher, R79007). The secreted monoclonal antibodies from cultured cells were purified by protein A affinity chromatography. The specificities of these antibodies were determined by ELISA.

518

519 ELISA

520 ELISA plates were coated with RBD (SARS-CoV-2 WT, SARS-CoV-2 Omicron, SARS-CoV-1 RBD, Sino Biological Inc.) at 0.03 µg/mL and 1 µg/mL in PBS at 4°C 521 overnight. After standard washing and blocking, 100 µL 1µg/mL antibodies were added 522 to each well. After a 2 h incubation at room temperature, plates were washed and 523 incubated with 0.08 µg/mL goat anti-human IgG (H+L)/HRP (JACKSON, 109-035-524 525 003) for 1 h incubation at room temperature. Tetramethylbenzidine (TMB) (Solarbio) was then added, and the reaction was stopped by adding H₂SO₄. OD450 was measured 526 by an ELISA microplate reader. An antibody is defined as ELISA-positive when the 527 OD450 (1 µg/mL RBD) is three times larger than the negative control, which utilizes 528 an H7N9 specific human IgG1 antibody (HG1K, Sino Biology Cat #HG1K). 529

530

531 Peudovirus neutralization assay

Pesudovisurs neutralization assay was performed to evaluate neutralizing ability of antibodies. The detailed process was previously described by Cao et al.¹². Briefly, serially diluted antibodies were first incubated with pseudotyped virus for 1h, and the mixture was then incubated with Huh-7 cells. After 24h incubation in an incubator at 37°C, cells were collected and lysed with luciferase substrate (PerkinElmer), then proceeded to luminescence intensity measurement by a microplate reader. IC50 was determined by a four-parameter non-linear regression model using PRISM (v9.0.1).

539 Omicron pseudovirus contains the following mutations: A67V, H69del, V70del, T95I,

540 G142D, V143del, Y144del, Y145del, N211del, L212I, ins214EPE, G339D, S371L,

541 S373P, S375F, K417N, N440K, G446S, S477N, T478K, E484A, Q493R, G496S,

542 Q498R, N501Y, Y505H, T547K, D614G, H655Y, N679K, P681H, N764K, D796Y,

- 543 N856K, Q954H, N969K, L981F.
- 544

545 **Biolayer interferometry**

Biolayer interferometry assays were conducted on Octet® R8 Protein Analysis System
(Fortebio) following the manufacturer's instruction. Briefly, after baseline calibration,
Protein A biosensors (Fortebio) were immersed with antibodies to capture the antibody,
then sensors were immersed in PBS with 0.05% Tween-20 to the baseline. After
association with different concentrations of RBD of SARS-CoV-2 variants (Omicron
RBD: 40592-V08H85), disassociation was conducted. Data were recorded using Octet
BLI Discovery (12.2) and analyzed using Octet BLI Analysis (12.2).

553

554 RBD Deep Mutational Scanning Library construction

555 The yeast-display RBD mutant libraries used here were constructed as described by Starr et al.,¹² based on the spike receptor-binding domain (RBD) from SARS-CoV-2 556 (NCBI GenBank: MN908947, residues N331-T531) with the modifications that instead 557 of 16-neuclotide barcode (N16), a unique 26-neuclotide (N26), barcode was appended 558 to each RBD variant as an identifier in order to decrease sequencing cost by eliminating 559 the use of PhiX. Briefly, three rounds of mutagenesis PCR were performed with 560 designed and synthesized mutagenetic primer pools; in order to solid our conclusion, 561 we constructed two RBD mutant libraries independently. RBD mutant libraries were 562 then cloned into pETcon 2649 vector and the assembled products were electroporated 563 into electrocompetent DH10B cells to enlarge plasmid vield. Plasmid extracted form E. 564 coli were transformed into the EBY100 strain of Saccharomyces cerevisiae via the 565 method described by Gietz and Schiestl⁵¹. Transformed yeast population were screened 566 on SD-CAA selective plate and further cultured in SD-CAA liquid medium at a large 567 scale. The resulted yeast libraries were flash frozen by liquid nitrogen and preserved at 568 -80°C. 569

570

571 PacBio library preparation, sequencing, and analysis

The correspondence of RBD gene sequence in mutant library and N26 barcode was 572 obtained by PacBio sequencing. Firstly, the bacterially-extracted plasmid pools were 573 digested by NotI restriction enzyme and purified by agarose gel electrophoresis, then 574 proceed to SMRTbell ligation. Four RBD mutant libraries were sequenced in one 575 SMRT cell on a PacBio Sequel ll platform. PacBio SMRT sequencing subreads were 576 converted to HiFi ccs reads with pbccs, and then processed with a slightly modified 577 version of the script previously described¹² to generate the barcode-variant dictionary. 578 To reduce noise, variants containing stop codons or supported by only one ccs read 579 were removed from the dictionary and ignored during further analysis. 580

581

582 Magnetic-activated cell sorting (MACS)-based mutation escape profiling

ACE2 binding mutants were sorted based on magnetic beads to eliminate non-583 functional RBD variants. Briefly, the biotin binder beads (Thermo Fisher) were washed 584 and prepared as the manufacturer's instruction and incubated with biotinylated ACE2 585 protein (Sino Biological Inc.) at room temperature with mild rotation. The ACE2 bound 586 587 beads were washed twice and resuspend with 0.1% BSA buffer (PBS supplemented with 0.1% bovine serum albumin), and ready for ACE2 positive selection. Transformed 588 589 yeast library were inoculated into SD-CAA and grown at 30°C with shaking for 16-18h, then back-diluted into SG-CAA at 23°C with shaking to induce RBD surface 590 expression. Yeasts were collected and washed twice with 0.1% BSA buffer and 591 incubated with aforementioned ACE2 bound beads at room temperature for 30min with 592 593 mild rotating. Then, the bead-bound cells were washed, resuspend with SD-CAA media, and grown at 30°C with shaking. After overnight growth, the bead-unbound 594 yeasts were separated with a magnet and cultured in a large scale. The above ACE2 595 positive selected yeast libraries were preserved at -80°C in aliquots as a seed bank for 596 antibody escape mapping. 597

598

599 One aliquot of ACE2 positive selected RBD library was thawed and inoculated into 500 SD-CAA, then grown at 30°C with shaking for 16-18h. 120 OD units were back-diluted 501 into SG-CAA media and induced for RBD surface expression. Two rounds of 502 sequential negative selection to sort yeast cells that escape Protein A conjugated 503 antibody binding were performed according to the manufacturer's protocol. Briefly, Protein A magnetic beads (Thermo Fisher) were washed and resuspend in PBST (PBS with 0.02% Tween-20). Then beads were incubated with neutralizing antibody and rotated at room temperature for 30min. The antibody-conjugated beads were washed and resuspend in PBST. Induced yeast libraries were washed and incubated with antibody-conjugated beads for 30min at room temperature with agitation. The supernatant was separated and proceed to a second round of negative selection to ensure full depletion of antibody-binding yeast.

611

To eliminate yeast that did not express RBD, MYC-tag based RBD positive selection was conducted according to the manufacturer's protocol. First, anti-c-Myc magnetic beads (Thermo Fisher) were washed and resuspend with 1X TBST (TBS with Tween-20), then the prepared beads were incubated for 30min with the antibody escaping yeasts after two rounds of negative selection. Yeasts bound by anti-c-Myc magnetic beads were wash with 1X TBST and grown overnight in SD-CAA to expand yeast population prior to plasmid extraction.

619

Overnight cultures of MACS sorted antibody-escaped and ACE2 preselected yeast
populations were proceed to yeast plasmid extraction kit (Zymo Research). PCRs were
performed to amplify the N26 barcode sequences as previously described¹³. The PCR
products were purified with 0.9X Ampure XP beads (Beckman Coulter) and submitted
to 75bp single-end Illumina Nextseq 500 sequencing.

625

627

626 Deep mutational scanning data processing

Raw single-end Illumina sequencing reads were trimmed and aligned to the reference 628 barcode-variant dictionary generated as described above to get the count of each variant 629 with dms variants Python package (version 0.8.9). For libraries with N26 barcodes, we 630 slightly modified the *illuminabarcodeparser* class of this package to tolerate one low 631 sequencing quality base in the barcode region. The escape score of variant X is defined 632 as $F \times (n_{X,ab} / N_{ab}) / (n_{X,ref} / N_{ref})$, where $n_{X,ab}$ and $n_{X,ref}$ is the number of detected barcodes 633 for variant X, N_{ab} and N_{ref} are the total number of barcodes in antibody-selected (ab) 634 library and reference (ref) library respectively as described by Starr et al. ¹². Different 635 from FACS experiments, as we couldn't measure the number of cells retained after 636 MACS selection precisely, here F is considered as a scaling factor to transform raw 637

escape fraction ratios to 0-1 range, and is calculated from the first and 99th percentiles 638 of raw escape fraction ratios. Scores less than the first percentile or larger than the 99th 639 percentile are considered to be outliers and set to zero or one, respectively. For each 640 experiment, barcodes detected by <6 reads in the reference library were removed to 641 reduce the impact of sampling noise, and variants with ACE2 binding below -2.35 or 642 RBD expression below -1 were removed as previously described ¹². Finally, we built 643 global epistasis models with dms variants package for each library to estimate single 644 mutation escape scores, utilizing the Python scripts provided by Greaney et al.¹⁶. To 645 reduce experiment noise, sites are retained for further analysis only if its total escape 646 score is at least 0.01, and at least 3 times greater than the median score of all sites. For 647 antibodies measured by 2 independent experiments, only sites which pass the filter in 648 both experiments are retained. Logo plots in Fig. 2, Fig. 3, Extended Data Fig. 2 and 649 Supplementary Data 1 are generated by Python package *logomaker* (version 0.8). 650

651

652 Antibody clustering

Antibody clustering and epitope group identification were performed based on the 653 654 N×M escape score matrix, where N is the number of antibodies which pass the quality controlling filters, and M is the number of informative sites on SARS-CoV-2 RBD. 655 656 Each entry of the matrix A_{nm} refers to the total escape score of all kinds of mutations on site m of antibody n. The dissimilarity between two antibodies is defined based on 657 the Pearson's correlation coefficient of their escape score vectors, i. e. D_{ii}=1-658 $Corr(A_i, A_i)$, where $Corr(A_i, A_i) = x_i \cdot x_i / |x_i| |x_i|$ and vector $x_i = A_i$ -Mean (A_i) . Sites with at 659 least 6 escaped antibodies (site escape score >1) were considered informative and 660 selected for dimensionality reduction and clustering. We utilized R function *cmdscale* 661 to convert the cleaned escape matrix into an N×6 feature matrix by multidimensional 662 scaling (MDS) with the dissimilarity metric described above, followed by unsupervised 663 k-medoids clustering within this 6-dimensional antibody feature space, using pam 664 function of R package *cluster* (version 2.1.1). Finally, two-dimensional t-Distributed 665 Stochastic Neighbor Embedding (tSNE) embeddings were generated with Rtsne 666 package (version 0.15) for visualization. 2D t-SNE plots are generated by ggplot2 667 (version 3.3.3), and heatmaps are generated by ComplexHeatmap package (version 668 2.6.2). 669

670

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683 684

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694

695 Author contributions

696 Y.C. and X.S.X designed the study. Y.C. and F.S coordinated the characterizations of 697 the NAbs. J.W., F.J., H.L., H.S. performed and analyzed the yeast display mutation

698 screening experiments. T.X., W.J., X.Y., P.W., H.L. performed the pseudovirus

neutralization assays. W.H., Q.L., T.L., Y.Y., Q.C., S.L., Y.W. prepared the VSV-based

- SARS-CoV-2 pseudovirus. A.Y., Y.W., S.Y., R.A., W.S. performed and analyzed the
- antigen-specific single B cell VDJ sequencing. X.N., R.A. performed the antibody BLI
- studies. Z.C., S.D., P.L., L.W., Z.Z., X.W., J.X. performed the antibody structural
- analyses. P.W., Y.W., J.W, H.S, H.L. performed the ELISA experiments. X.H. and R.J.
- coordinated the blood samples of vaccinated SARS-CoV-1 convalescents. Y.C., X.W.,
- J.X., X.S.X wrote the manuscript with inputs from all authors.
- 706

707 **Declaration of interests**

X.S.X. and Y.C. are inventors on the patent application of DXP-604 and BD series

antibodies. X.S.X. and Y.C. are founders of Singlomics Biopharmaceuticals Inc. Other

- 710 authors declare no competing interests.
- 711

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- 714 Xiaoliang Sunney Xie. Request for materials described in this study should be directed
- 715 to Xiaoliang Sunney Xie.
- 716

717 Data availability

- 718 Data availabilityProcessed escape maps for NAbs are available in Supplementary Data
- 1 (as figures), or at https://github.com/sunneyxielab/SARS-CoV-2-RBD-Abs-HTDMS
- 720 (as mutation escape score data). Raw Illumina and PacBio sequencing data are available
- 721 on NCBI Sequence Read Archive BioProject PRJNA787091. We used
- vdj_GRCh38_alts_ensembl-5.0.0 as the reference of V(D)J alignment, which can be
- 723 obtained from
- 724 <u>https://support.10xgenomics.com/single-cell-vdj/software/downloads/latest.</u>
- 725 IMGT/DomainGapAlign is based on the built-in lastest IMGT antibody database, and
- we let the "Species" parameter as "Homo sapiens" while kept the others as default.
- 727 FACS-based deep mutational scanning datasets could be downloaded from
- 728 <u>https://media.githubusercontent.com/media/jbloomlab/SARS2_RBD_Ab_escape_map</u>
- 729 <u>s/main/processed_data/escape_data.csv</u>.
- 730 Processed data of this study has been added to this repository as well.
- 731

732 Code availability

- 733 Scripts for analyzing SARS-CoV-2 escaping mutation profile data and for reproducing
- figures in this paper are available at <u>https://github.com/sunneyxielab/SARS-CoV-2-</u>
 RBD-Abs-HTDMS.
- 736

737 Extended Data Fig. 1: Illustration of SARS-CoV-2 spike with Omicron's 738 mutations.

- **a**, SARS-CoV-2 D614G spike protein structure overlayed with Omicron mutations.
- 740 Omicron's (BA.1) popular mutations are marked by red (for substitutions), blue (for
- insertions) and gray balls (for deletions). b, NTD-binding NAbs shown together in

complex with NTD. Substitutions and deletions of Omicron NTD are colored blue andred, respectively.

744

745 Extended Data Fig. 2: Comparison between FACS and MACS-based deep 746 mutational scanning.

Deep mutational scanning maps with MACS-based (left) and FACS-based assays (right) of seven therapeutic neutralizing antibodies that have received emergency use authorization. Sites mutated in the Omicron variant are highlighted. Mutation amino acids of each site are shown by single letters. The heights represent mutation escape score, and colors represent chemical properties. FACS-based data were obtained from public datasets by Jesse Bloom.

753

Extended Data Fig. 3: Omicron neutralization IC50 fold-change distribution of 247 NAbs of diverse epitopes.

Fold-change of IC50 (VSV pseudovirus neutralization) compared to D614G by Beta
and Omicron (BA.1) are shown for all 247 NAbs tested. The impact of each RBD
mutation of Omicron on NAbs' binding is inferred from yeast display mutation
screening. Each NAb's binding to Omicron RBD was validated through ELISA. All
neutralization and ELISA assays were conducted in biological duplicates.

761

762 Extended Data Fig. 4: Heavy chain V/J segment recombination of NAbs of each 763 epitope group.

a-f, Chord diagrams showing the heavy chain V segment and J segment recombination
of epitope group A(a), B(b), C(c), D(d), E(e) and F(f). The width of the arc linking a V
segment to a J segment indicates the antibody number of the corresponding
recombination. The inner layer scatter plots show the V segment amino acid mutation
rate, while black strips show the 25%~75% quantile of mutation rates.

769

Extended Data Fig. 5. Neutralization potency, heavy chain CDR3 length, and mutation rate distribution for NAbs of each epitope group.

a, The length of H chain complementarity-determining region 3 (HCDR3) amino acid
sequence for NAbs in each epitope group (n=66, 26, 57, 27, 39, 32 antibodies for

epitope group A, B, C, D, E, F, respectively). HCDR3 lengths are displayed as mean \pm

s.d. **b**, The V segment amino acid mutation rate for NAbs in each epitope group (n=66,

26, 57, 27, 39, 32 antibodies for epitope group A, B, C, D, E, F, respectively). Mutation rates are calculated are displayed as mean \pm s.d. **c-e**, The IC50 against D614G(c), Beta(d), and Omicron(e) variants for NAbs in each epitope group (n=66, 26, 57, 27, 39, 32 antibodies for epitope group A, B, C, D, E, F, respectively). IC50 values are displayed as mean \pm s.d. in the log10 scale. Pseudovirus assays for each variant are biologically replicated twice. Dotted lines show the detection limit, which is from 0.0005 µg/mL to 10 µg/mL. IC50 geometric means are also labeled on the figure.

783

784 Extended Data Fig. 6: Escape hotspots of different epitope groups on the RBD 785 surface.

a-f, Aggregated site escape scores of antibodies for epitope group A-F, respectively.

787 Epitope groups are distinguished by distinct colors, and the shades show normalized788 site escape scores. Escape hotspots of each epitope group are annotated by arrows.

789

790 Extended Data Fig. 7: Antibody-RBD interface distribution for NAbs of each 791 epitope group.

a-f, Aggregated antibody-antigen interface of antibodies for epitope group A-F,
 respectively. Antibody-antigen interface was indicated from publicly available
 structures of neutralizing antibodies in complex with SARS-CoV-2 RBD. Different
 colors distinguish epitope groups, and the shade reflects group-specific site popularity
 to appear on the complex interface. Shared interface residues (Omicron) of each group
 are annotated.

798

Extended Data Fig. 8: Comparison between mutation escape scores estimated from yeast display and neutralization of variants carrying corresponding mutations.

a, K417N escape scores and corresponding K417N pseudovirus neutralizing IC50 fold
change compared to D614G pseudovirus of antibodies within epitope group A. b,

- E484K/E484A escape scores and corresponding E484K pseudovirus neutralizing IC50
- fold change compared to D614G pseudovirus of antibodies within epitope group C.
- 806

807 Extended Data Fig. 9: Pseudovirus neutralization of NAb drugs against SARS808 CoV-2 variants of concern.

809 Pseudovirus (VSV-based) assays were performed using Huh-7 cells. Data are collected

- 810 from three biological replicates and represented as mean \pm s.d.
- 811

812 Extended Data Fig. 10: BLI response between NAb drugs and the RBD of SARS-

813 CoV-2 wildtype, Beta, or Omicron strain.

814 Antibodies were captured by Protein A sensor. The concentrations of RBD are shown

- 815 in different colors. Dissociation constant (K_D), association constant (ka), and
- 816 dissociation rate constant (kd) are labeled. NAbs without binding are marked as 817 "Escaped".
- 818
- 819

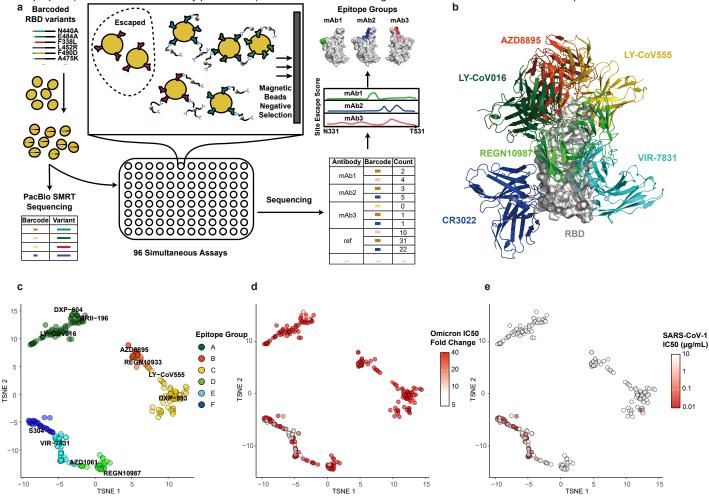


Figure 1

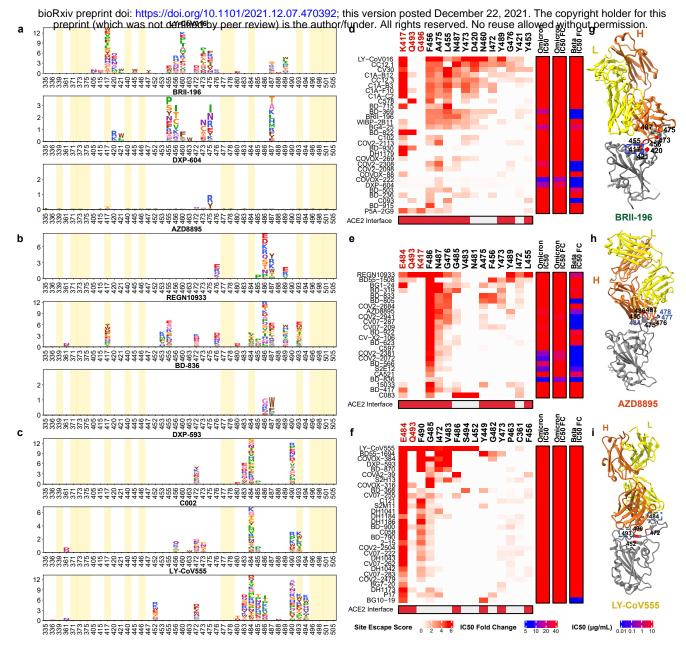


Figure 2

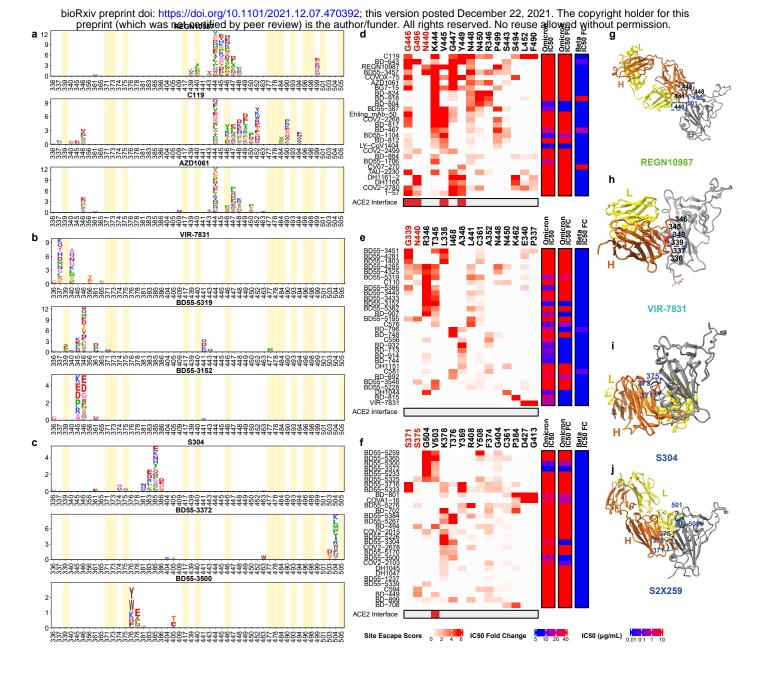
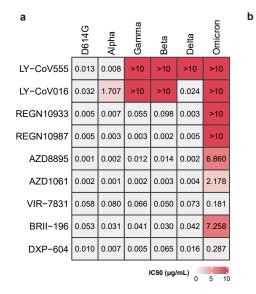
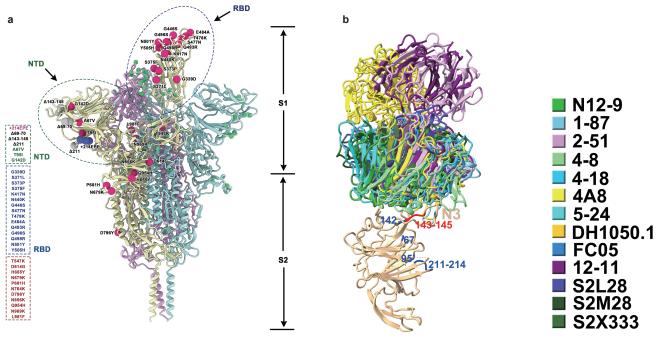


Figure 3

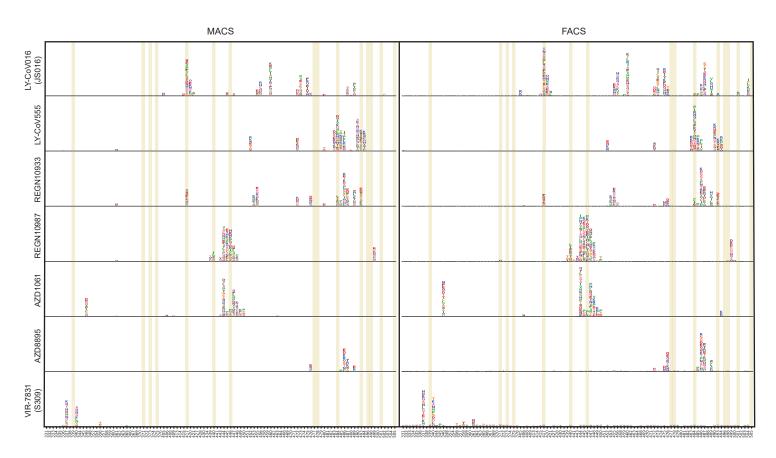


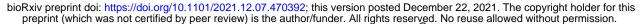
Antibody			BD55						- HG1K
			3152	5319	5386	5300	3372	3500	- HOIK
	Epitope G	roup	Е	E	Е	F	F	F	/
Deer		D614G	0.011	0.015	0.004	0.005	0.007	0.105	>10
Pseudovirus IC50 (µg/mL)		Omicron	0.014	0.369	0.058	0.066	0.010	0.261	>10
		SARS-CoV-1	0.023	0.013	0.009	0.006	0.014	0.052	>10
ELISA EC50 (µg/mL)	SARS-CoV-2 clade (1b)	SARS-CoV-2	0.009	0.014	0.013	0.018	0.010	0.007	>5
		RaTG13	>5	>5	>5	>5	>5	0.008	>5
		Pangolin-GD	0.313	0.126	1.037	0.011	0.009	0.007	>5
C 50		Pangolin-GX	>5	>5	0.377	>5	0.196	0.007	>5
SAE	SARS-CoV-1 clade (1a)	SARS-CoV-1 PC4-127	0.010	0.010	0.010	0.007	0.007	0.006	>5
		SARS-CoV-1 Sin852	0.009	0.011	0.010	0.006	0.006	0.006	>5
s RB		WIV1	0.373	0.771	0.138	0.005	0.005	0.004	>5
Sarbecovirus RBD	Africa and Europe	BM48-31	>5	0.120	>5	0.020	0.022	0.011	>5
becc	Clade (3)	BtKY72	>5	>5	>5	0.025	0.023	0.020	>5
Sar	Asia non- ACE2-	Shaanxi2011	>5	>5	>5	>5	>5	0.026	>5
	utilizing clade (2)	YN2013	>5	>5	>5	>5	>5	0.004	>5

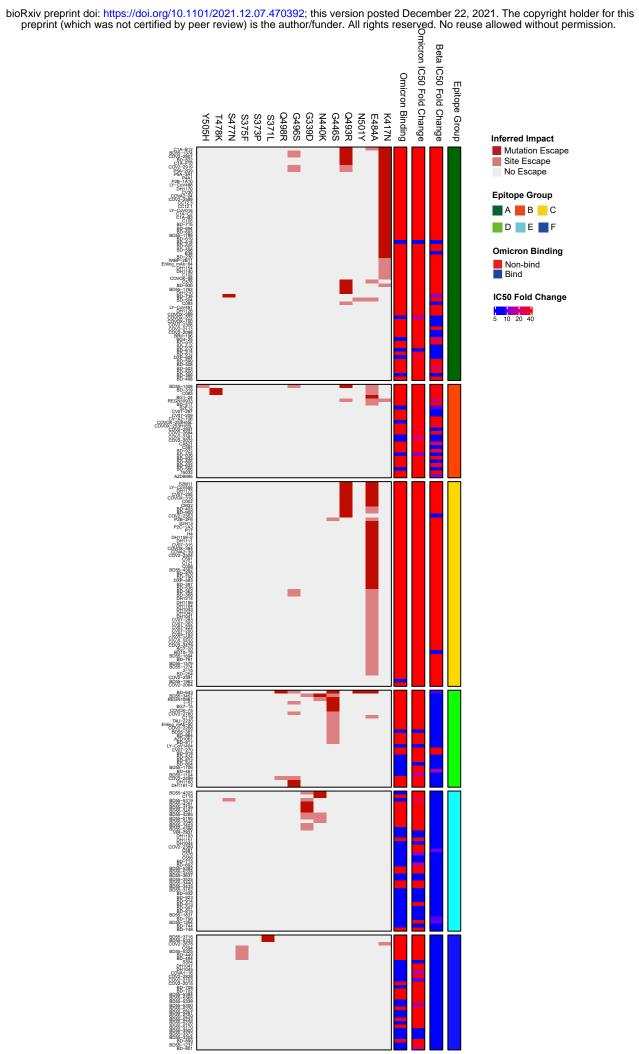
Figure 4



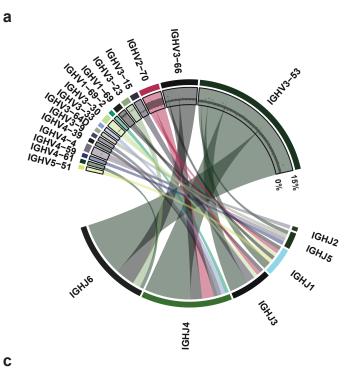
B.1.1.529







Extended Data Figure 3



1GHV3-23

IGHV1-8

1GHN3-30

IGH_{J4}

IGHN3-7 IGHNN-NS.

1GH13-1.1

ICHIV'S

IGHV3-34 IGHV3-34 IGHV3-34 IGHV3-34 IGHV4-33 IGHV4-38-51 IGHV4-51 IGHV5-51

IGHJ6

e

IGHU1-69

1GHW1-2

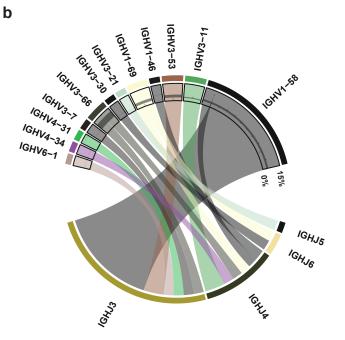
IG_{HJ1}

IGHJZ

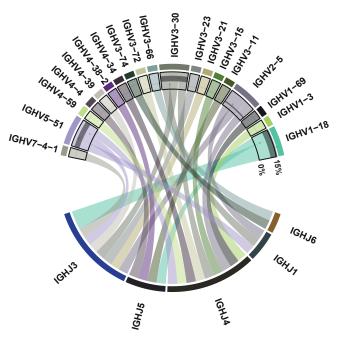
0% 15%

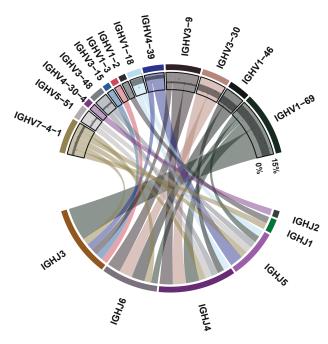
(GHJ5

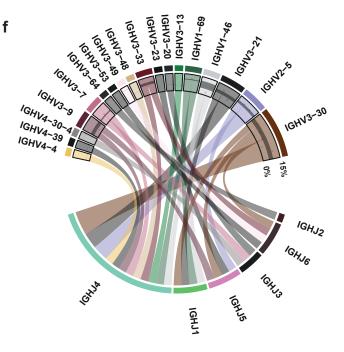
IGHJ3

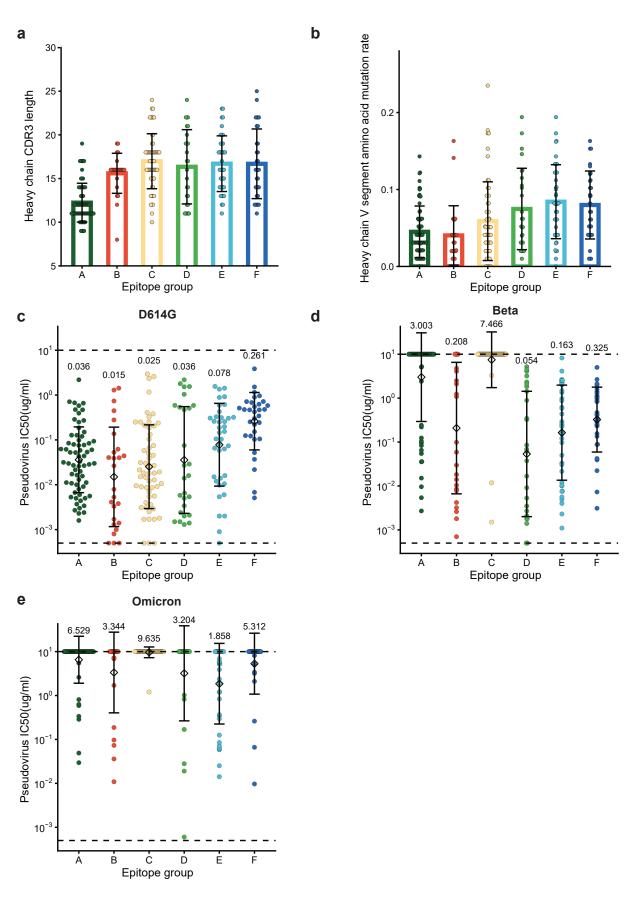


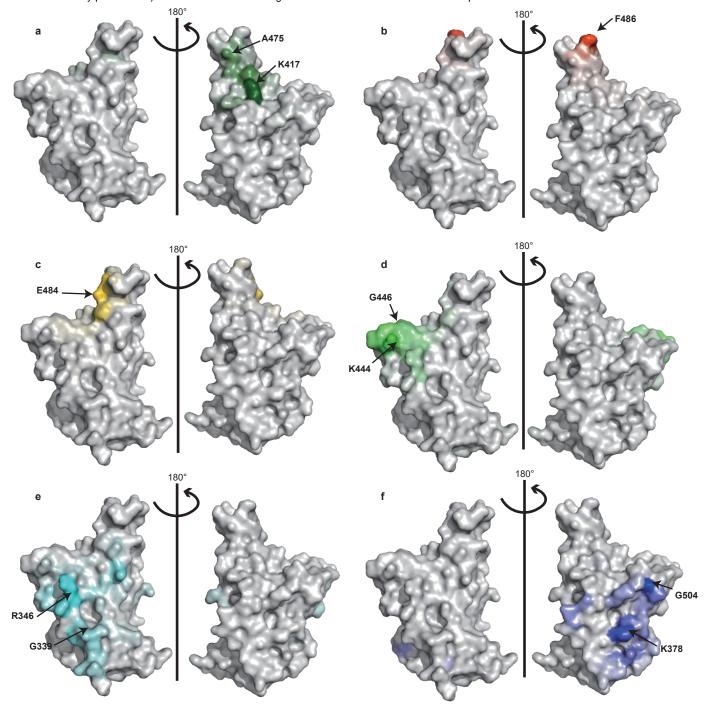
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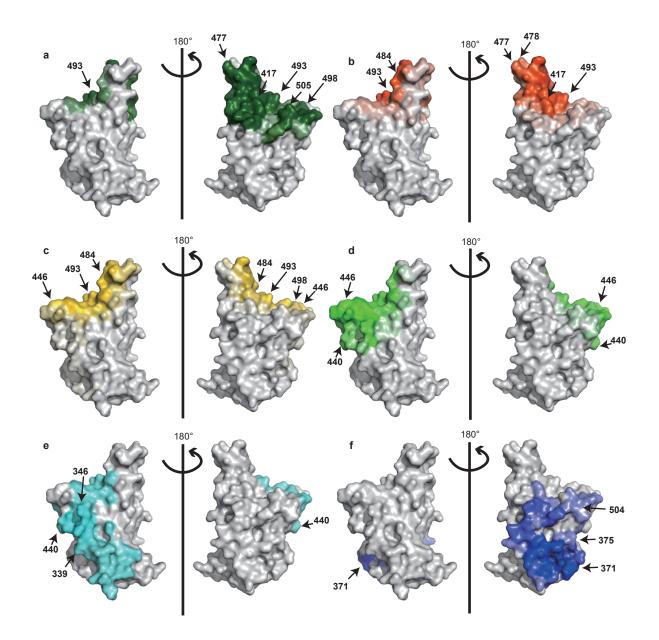




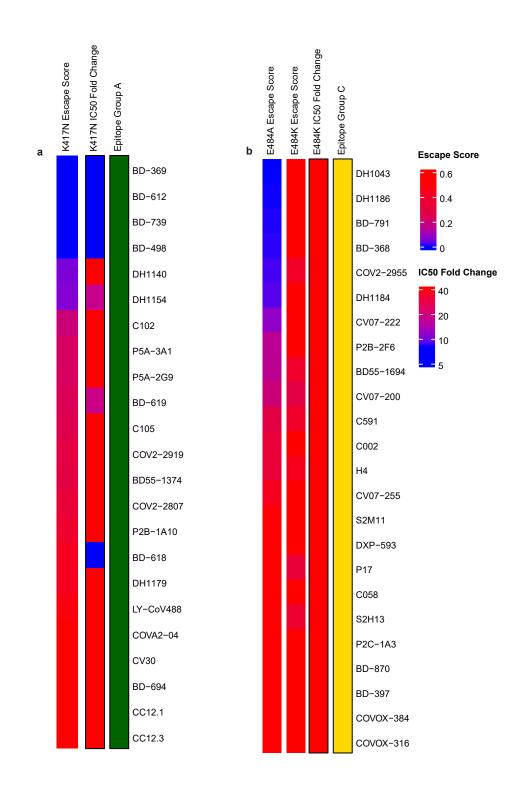


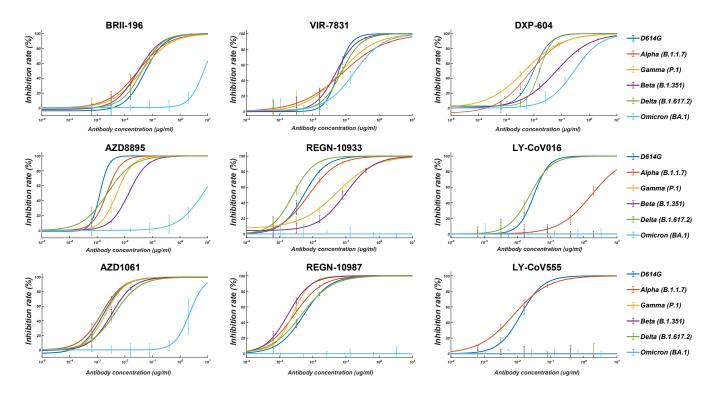






Extended Data Figure 7





Extended Data Figure 9

