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2	Mechanical ventilation affects the microecology of the rat respiratory tract
3	Mechanical ventilation and respiratory microecology
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13 Abstract

Background The most common 'second strike' in mechanically ventilated patients is a pulmonary infection caused by the ease with which bacteria can invade and colonize the lungs due to mechanical ventilation. At the same time, metastasis of lower airway microbiota may have significant implications in the development of intubation mechanical ventilation lung inflammation. Thus, we establish a rat model of tracheal intubation with mechanical ventilation and explore the effects of mechanical ventilation on lung injury and microbiological changes in rats.

20 Methods Sprague-Dawley rats were randomized into control, Spontaneously Breathing (1, 3, 6 hours),

Mechanical ventilation(1, 3, 6 hours) groups. Lung wet to dry weight ratio (W/D weight ratio) and Lung histopathological injury score were evaluated.16SrDNA sequencing was performed to explore respiratory flora changes.

Results Bacterial diversity was comparable between healthy and intubation mechanical ventilation rats, with time relation. Ordination analyses revealed that samples clustered more dispersing by tracheal intubation and mechanical ventilation. Finally, predicted metagenomes suggested a substantial increase in biofilm formation phenotype during early tracheal intubation and mechanical ventilation.

Conclusion Collectively, these results establish a link between the duration of mechanical ventilation and alterations to the respiratory tract microecology. In future studies, we hope to discover the effectiveness of new immunomodulatory or probiotic bacteria to prevent airway diseases associated with ventilator therapy.

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34 Keywords: Mechanical ventilation, lung injury, Respiratory tract, Microecology, Floraimbalance

37 **1. Introduction**

Ventilator mechanical ventilation is widely used to manage general anesthesia during surgery, 38 respiratory maintenance in intensive care, and perioperative treatment of critically ill patients. However, 39 mechanical ventilation can also lead to lung injury or exacerbate existing lung injury, known as 40 ventilator-induced lung injury (VILI)^{1,2}. Also, lung inflammation can occur during ventilator therapy. 41 Current studies have found that mechanical ventilation induces upregulation of cytokine expression in 42 a pro-inflammatory state to the body. Thus, patients are more susceptible to "second strikes" 43 (prolonged mechanical ventilation, aspiration, shock, sepsis, pulmonary infections)³. The most 44 common "second strike" in mechanically ventilated patients is pulmonary infections. The effects of the 45 46 body's immune system from the own comorbidities and malnutrition in patients receiving mechanical ventilation under anesthesia6 can also increase the morbidity and mortality of respiratory infections⁴. 47

Respiratory microecology is one of the critical factors in the function of the respiratory tract. Studies 48 49 over the past few years have demonstrated that the lower respiratory tract is not "sterile" and that, in healthy conditions, the lung microbiota is less dense but harbors a remarkable diversity of interacting 50 microbiota. The ribosomal DNA of Actinobacteria, Aspergillus, Bacteroides, and Bacteroides is present 51 in the lungs of healthy individuals ^{5–8}. The "steady state" of the lung microbiome during health may be 52 a process of continuous influx and continuous elimination of unfavorable growth conditions. Studies 53 have confirmed that the balance between immigration and elimination during pulmonary disease is 54 disturbed. The pulmonary microbiota is altered, with bacteria exhibiting competitive dominance 9, 55 resulting in an imbalance in the host immune system $^{7,10-12}$. 56

The imbalance of respiratory flora may lead to local or even systemic bacterial infections, and the 57 microecological regulatory mechanisms are complex. Identification of microbial colonization by 58 alveolar lavage collected primarily from critically ill patients is currently used clinically to guide 59 anti-infection protocols, while few studies have been reported on the relationship between lung injury 60 and inflammatory response to mechanical ventilation and changes in respiratory flora. Therefore, this 61 study investigated the relationship between lung injury and microbiological changes in rats with 62 tracheal intubation mechanical ventilation and contributed to the study of flora regulation of the 63 64 respiratory tract in lung injury and inflammation.

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67 2. Materials and Methods

To investigate the relationship between lung injury from mechanical ventilation and changes in respiratory flora, we assessed the inflammatory response and microbial changes in the rat airways by pathophysiology and 16SrDNA sequencing.

71 2.2 Animals

Male Sprague - Dawley rats (weighing between 230-330g and aged 8-9 wk) were housed at the Southern Hospital Experimental Centre of Southern Medical University, under the same temperature and humidity environment, and received the same food and water. Animal care and experimental protocols were guidelines by the National Science Council of the Republic of China(NSC1997). The animal studies were reviewed and approved by the Ethical Committee on Animal Experimentation of

77 Nanfang Hospital, Southern Medical University, Guangzhou, China (NFYY-2021-0245).

Twenty-eight male SD rats were randomly divided into seven groups (n=4): control group (group C), 78 tracheal intubation with spontaneous breathing for one hour (group SV1), tracheal intubation with 79 spontaneous breathing for three hours (group SV3), tracheal intubation with spontaneous breathing for 80 six hours (group SV6), tracheal intubation with mechanical ventilation for one hour (group MV1), 81 tracheal intubation with mechanical ventilation for three hours (group MV3), tracheal intubation with 82 mechanical ventilation for six hours (group MV6) (MV6 group). After weighing, we anesthetized the 83 rats with 2% pentobarbital sodium (60 mg/kg) by intraperitoneal injection. We placed our homemade 84 85 tracheal into the trachea and connected a small animal ventilator (SuperV1.0, HYB, China) for

86	mechanical ventilation. A room temperature of 26-28°C was maintained throughout the procedure. The
87	control group was directly executed after tracheal intubation, while the SV group was intubated and left
88	to breathe independently. The MV group was mechanically ventilated at 1, 3, and 6 hours, respectively.
89	Mechanical ventilation parameters: tidal volume (VT) 7 ml/kg, PEEP = 0 mmHg, respiratory rate 80
90	breaths/min (adjusted according to respiration during anaesthesia), inhalation-expiration ratio (I: E) =
91	1:2, inhaled gas was air (oxygen concentration 21%).

92 2.3 Experiments

93 2.3.1 Rat lung injury assay

94 2.3.1.1 Lung tissue W/D

To investigate the effect of the duration of mechanical ventilation on the edema of lung tissue, we calculated the wet to dry weight ratio (W/D) value of lung tissue. The tissue was weighed on an electronic balance and recorded as wet weight. The tissue was then baked in an oven at 80°C and weighed to a constant weight, and recorded as dry weight, and the lung tissue was baked for ≥48 h.

99 2.3.1.2 Histopathological examination of the lung

To observe the pathological damage of lung tissue at different ventilation duration, we performed HE-stained light microscopy of lung tissue. The anterior lobe of the right lung of rats was fixed in 4% paraformaldehyde solution. After paraffin embedding, sectioning, and HE staining, the lung was placed under a light microscope to observe the histopathological changes. Five high magnification views were randomly selected to observe the lung tissue morphology, and the pictures were taken and saved.

- Scores included lung tissue congestion, septal edema, erythrocyte infiltration, alveolar cavity destruction, capillary destruction, and other pathological changes, and the mean values were taken after scoring ^{13,14}.
- 108 **2.2.4 Detection of respiratory flora in rats**

109 Specimen collection

- 110 The trachea and left lung of 28 SD rats were removed, snap-frozen in liquid nitrogen, and stored in a
- 111 -80°C refrigerator to sequence the 16SrDNA colonies.

112 **PCR amplification**

- 113 The 16S rDNA target region of the ribosomal RNA gene were amplified by PCR (95 °C for 5 min,
- followed by 30 cycles at 95 °C for 1 min, 60 °C for 1 min, and 72 °C for 1 min and a final extension at
- 115 72 °C for 7 min) using primers listed in the table ¹⁵. PCR reactions were performed in triplicate 50 μ L
- 116 mixture containing 10 μL of 5 × Q5@ Reaction Buffer, 10 μL of 5 × Q5@ High GC Enhancer, 1.5 μL of
- 117 2.5 mM dNTPs, 1.5 μL of each primer (10 μM), 0.2 μL of Q5@ High-Fidelity DNA Polymerase, and 50
- ng of template DNA. Related PCR reagents were from New England Biolabs, USA.

119 DNA sequencing and analysis

Illumina Novaseq 6000 sequencing Amplicons were extracted from 2% agarose gels and purified using the AxyPrep DNA Gel Extraction Kit (Axygen Biosciences, Union City, CA, U.S.) according to the manufacturer's instructions and quantified using ABI StepOnePlus Real-Time PCR System (Life Technologies, Foster City, USA). Purified amplicons were pooled in equimolar and paired-end sequenced (PE250) on an Illumina platform according to the standard protocols. The raw reads were deposited into the NCBI Sequence Read Archive (SRA) database (Accession Number: SRP*****).

126 2.2.5 Statistical Methods

For the ratio of Lung tissue W/D and lung injury score, One-way ANOVA test was completed. For 127 Observe species index and Simpson index, comparisons were performed by the Turkey HSD test. The 128 significant difference of Beta diversity was tested with Adonis non-parametric test. The Turkey HSD 129 test compared the relative abundance of respiratory microbiota between groups. P-values less than 130 0.05 were considered statistically significant. One-way ANOVA test was performed by SPSS software, 131 version 25.0 (IBM Corp, New York, NY, USA). The Adonis non-parametric test was performed, and the 132 Turkey HSD test was performed by the OmicShare tools, a free online platform for data analysis 133 (http://www.omicshare.com/tools). 134

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137 3.Results

138 Comparison of lung tissue W/D values between groups

- 139 The W/D values of lung tissue in the SV and MV groups were significantly higher after three hours. (P<
- 140 0.05) (Table 1).

141 Pathological changes in lung tissue

The alveolar structure of group C was normal, with a small number of inflammatory cells infiltrating the lung. Six hours into the SV group, there was significant hemorrhage, widening of the alveolar septum, lymphocytic infiltration, and massive destruction of the alveolar structure. Three hours into the MV group, diffuse intra-pulmonary hemorrhage was seen. Six hours into ventilation, massive diffuse hemorrhage was seen, widening of the alveolar septum, more exudate in the alveolar cavity, destruction of the tissue structure, fusion of the alveoli and solid lung tissue. The degree of lung tissue damage in the SV and MV groups increased with time (Figure 1).

149 Analysis of respiratory microbiological changes

150 Analysis of the composition of the respiratory flora

Dominant species largely determine the ecological and functional structure of the microbial community and understand the community's species composition. We analyzed the bacterial composition using 16S rDNA gene sequencing. After filtering and screening at uniform depth, a total of 24 phyla and 244

genera were identified in the entire sample. To investigate the phylum and genus that accounted for 154 most sequences, we selected species ranked top10 in abundance mean among all samples to show in 155 detail. Others refer to other known species in the figure, and unclassified refer to unknown species ^{16,17}. 156 The dominant phyla of rats were Proteobacteria, Firmicutes, Bacteroidetes, Cyanobacteria, 157 Actinobacteria. In healthy rats, the dominant genus was Lactobacillus. In mechanically ventilated rats, 158 the doaminant genus was Acinetobacter at the beginning. The proportion of the dominant genus 159 Acinetobacter decreased, and that of Prevotella 9 increased as the duration of ventilation increased. 160 The predominant genus in the respiratory tract of the rats was also Acinetobacter at the early stage of 161 tracheal intubation, while the proportion of Streptococcus gradually increased with time (Figure 2a, 162 Figure 2b). To further investigate the main flora specific to each group, we applied LEFse to analyze 163 the differences in flora between the seven rat groups ¹⁸. As shown in the figure (Figure 2c), only the 164 normal rats and the 6hours group showed the main differential flora, Parvibacter for 6 hours of 165 autonomic breathing, Megamonas for 6hours of mechanical ventilation, and normal rats 166 Acinetobacter radioresistens. 167

As expected, the two operations of tracheal intubation and mechanical ventilation resulted in alterations in the respiratory microecology of rats. The main strains of normal adult BALF are Actinobacteria, Proteobacteria, Bacteroidetes, and Firmicutes ^{5–8}. The strains of rats in this study cover the main human strains and are similar to humans. It has been demonstrated that representatives of the genus Acinetobacter come from the lung microbiome of mechanically ventilated patients with suspected pneumonia ¹⁹. In the present study, the elevated proportion of Acinetobacter as the dominant species during the initial phase of mechanical ventilation with tracheal intubation may

have contributed to the gradual pathological damage of lung tissue. As the duration of ventilation 175 increased, the proportion of Prevotella_9 gradually increased, and it was considered that the 176 subclinical lung inflammatory manifestations caused by mechanical ventilation created an environment 177 for Prevotella 9 to be retained in the lungs^{20,21}. Two classes of probiotics, Parvibacter and 178 Megamonas, are often present in the intestinal tract of humans and animals, and the induction of 179 mechanical ventilation by tracheal intubation itself may be associated with changes in intestinal 180 microbial composition and diversity. There is now strong evidence that the gut microbiota can influence 181 the microbiology of the lung through direct inoculation of bacteria or the distribution of SCFAs ^{22,23}. 182 Characterization of lower airway probiotic bacteria associated with lung injury from tracheal intubation 183 with mechanical ventilation may be necessary for in-depth exploration of methods to prevent such 184 injury. 185

Interestingly, although many taxa are shared between samples, the absence of pathogens (e.g., 186 dominant genera) in one sample does not predict their absence in paired sample sequence data. For 187 example, samples V1-1 and V3-1, despite not detecting Sediminibacterium and Curvibacter, showed 188 trends consistent with other genus compared to controls. It is important to note that the dominant 189 genus in samples V1-2 was Streptococcus and the second-highest proportion was Acinetobacter. 190 These examples show that different pathogens can vary in different individuals of the same organism, 191 and therefore, 16S rDNA sequence data are not always representative of lower respiratory tract 192 infections. Lower airway Bacterial diversity varies in healthy and ventilator-assisted breathing rats. 193

194 Alpha Diversity

As mentioned above, in each sample, a few taxa dominate the majority of the sequences. Two alpha

196	diversity metrics, Observed species, and Simpson diversity were used to investigate this issue further
197	to measure species richness and homogeneity ^{24,25} . The OTU was highest in the mechanically
198	ventilated 6hours group, indicating the highest abundance. However, the difference between the
199	control and autonomic breathing 6hours groups was not significant, and OUT abundance decreased at
200	1hour and 3hours in both ventilated and autonomic breathing groups (Figure 3a). Using the Simpson
201	diversity index, the abundance and homogeneity of the respiratory flora decreased in each
202	experimental group compared with the control group, but the differences were not significant (Figure
203	3b and Figure 3c). These data suggest that mechanical ventilation tracheal intubation alters the
204	abundance and homogeneity of lower airway microecology with time influenced.

Beta Diversity 205

Beta diversity of the respiratory microbiota was visualized using a ranking method. We were interested 206 in whether the bacterial community aggregates tightly due to tracheal intubation or mechanical 207 ventilation. Previous evidence suggests that three main factors determine the composition of the lower 208 respiratory microbiota, namely microbial migration, microbial elimination, and the relative replication 209 rate of its members.⁹. The oral and pulmonary have similar microbiomes community^{1,9,26}. A study has 210 investigated that the oral microbiome is part of the homeostatic processes regulating lung 211 inflammation ²⁶. Mechanical ventilation operations with tracheal intubation may dysregulate 212 microecology by impairing lower airway defense mechanisms ^{27,28}. We hypothesized that samples are 213 more intensively clustered by tracheal intubation and mechanical ventilation based on previous studies. 214 confirm this hypothesis, we used unweighted Unifrac and weighted Unifrac, 215 two To abundance-sensitive, phylogenetically relevant diversity metrics, to compare samples²⁹. The two 216

metrics were calculated to determine the pairwise phylogenetic distances between each sample, then 217 plotted using principal coordinate analysis (PCoA)³⁰. From the unweighted Unifrac, contrary to our 218 hypothesis, samples from the SV and MV groups did not cluster more closely with healthy rats 219 (PERMANOVA, P=0.001) (Figure 4a). The graph shows that the mechanically ventilated group 220 (PERMANOVA, R2=0.3737, P=0.002) and the autonomously ventilated group (PERMANOVA 221 R2=0.4702, P=0.002) both exhibited moderate phylogenetic differences(Figure 4b, Figure 4c). 222 Although experimental groups were better aggregated with healthy rats in terms of weighted Unifrac 223 224 (PERMANOVA, P=0.122), the autonomic breathing group exhibited more significant phylogenetic differences than the mechanically ventilated group (PERMANOVA, R2=0.6939, P=0.001) (Figure 4d, 225 Figure 4e, Figure 4f). These analyses suggest that the overall community structure of the rat lower 226 airway is influenced by tracheal intubation and mechanical ventilation. 227

228 Predicted functional categories (功能预测分析)

To gain insight into the function of the bacterial community, PICRUSt2³¹ was implemented to infer 229 bacterial metagenomes based on 16S rDNA gene content. There were 33 unique KEGG pathways 230 231 among the inferred metagenomes and showed more remarkable similarities between normal rats and each ventilated group (Figure 5). This shows that mechanical ventilation by tracheal intubation does 232 not significantly alter the functional capacity of the bacterial community in the lower respiratory tract of 233 rats. To further summarize the output of PICRUSt, we utilized BugBase, a bioinformatics tool that 234 allows inference of community-wide phenotypes from predicted metagenomes³².BugBase found that 235 gene function associated with anaerobiosis was enriched in the lower airway samples and, 236 interestingly, gene function was reduced early in mechanical ventilation (CvsMV1 P= 0.013). The 237

important genus attributed changed, with Proteobacteria being the dominant genus for 1hour of 238 mechanical ventilation, and this dominance tended to decrease with time (Figure 6A). Biofilm 239 formation and Gram-negative phenotypes were bacterial genus phenotypes frequently associated with 240 respiratory pathogenicity. Our study suggested that biofilm formation phenotypes were substantially 241 elevated during early tracheal intubation and mechanical ventilation, with Proteobacteria being the 242 predominant cause of this phenotype. Moreover, Gram-negative phenotypes have no significant 243 differences between groups, but phenotypes were more diverse in samples from 6hours of mechanical 244 245 ventilation. Biofilm-forming bacteria were observed in the predicted metagenomes of each group. With the increasing understanding of the microbiota, we recognize interactions between the microbiota and 246 the immune system of the airway epithelium. For example, asthma and allergy¹¹ vary with the 247 occurrence of airway infections. Studies have confirmed that microinhalation, external pressure, etc., 248 favor the establishment of microbial biofilms. Biofilms have significant antimicrobial tolerance, evading 249 host immune system attack and persisting in the host ³³. In the present study, biofilm-associated gene 250 phenotypes were enhanced in rats undergoing mechanical ventilation with tracheal intubation, 251 indicating enhanced biogenesis, consistent with previous studies ³⁴. Overall, these data suggest that 252 the initial phase of mechanical ventilation by tracheal intubation disrupts the ecotone. Anaerobic 253 254 phenotypic function decreased, and other phenotypes associated with bacterial pathogenicities also However, it is also difficult to explain whether the reduced diversity of the were influenced. 255 microbiome is a causative factor for disease or whether diversity is simply a response to disease 256 inflammation. 257

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267 4. Discussion

With the continuous medical advances, tracheal intubation mechanical ventilation has been widely 268 used in managing general anesthesia during surgery, intensive care units, and emergency 269 resuscitation of critically ill patients. However, mechanical ventilation is also a "double-edged sword," 270 which may lead to lung injury or aggravate existing lung injury ^{35,36}. Volume injury due to lung 271 hyperinflation and shear injury due to repeated opening and closing of the terminal airway play an 272 essential role in the pathogenesis of VILI³. On the other hand, respiratory inflammation may occur 273 during ventilatory therapy. As an open cavity between the human body and the outside world, the 274 respiratory tract has a normal microbiota that is an integral part of the human body's natural defense. 275 Respiratory microbiota provides a biological barrier against the invasion of foreign bodies or 276 pathogenic bacteria through mechanisms such as spatial occupancy, healthy competition, and 277 secretion of antibacterial or bactericidal substances^{37–40}. As one of the most frequently contacted parts 278 of the human body, the respiratory micro-ecosystem is essential in maintaining human health and is 279 also most vulnerable to damage. In recent years, the lower respiratory tract microbiota has been 280 extensively studied and reported to be similar to that of the oropharynx^{1,2,41}. However, studies 281 exploring the relationship between mechanical ventilation and lower airway microecology have mainly 282 explored at the level of ventilator-associated pneumonia (VAP) ⁴²⁻⁴⁵. Changes in lower airway 283 microecology during the initial phase of mechanical ventilation have not been reported. To investigate 284 the in vivo response of tracheal intubation mechanical ventilation on lower respiratory microecology in 285 SD rats in this study, we obtained pathological findings of lung inflammation and injury and data on 286 bacterial community structure. 287

In the present study, we observed that three hours after the administration of mechanical ventilation 288 with tracheal intubation, hemorrhagic spots appeared in the lung tissue, widening of the alveolar 289 septum, large amounts of exudate in the alveolar cavity, and altered alveolar structural damage, which 290 aggravated with time. Correspondingly, the highest percentage of Proteobacteria was present in the 291 experimental and control groups. At the onset of mechanical ventilation, the percentage of 292 Proteobacteria in the lower airways increased more than twofold compared to controls. At the same 293 time, the number of Firmicutes and Bacteroidetes decreased about fourfold. When six hours of 294 295 mechanical ventilation had elapsed, Actinobacteria was more than four times that of the control group. These results suggest that mechanical ventilation with tracheal intubation alters the structure of the 296 microecology while inducing lung tissue damage. However, how bacterial changes and tissue damage 297 interact and require further study. We observed a significant amount of Acinetobacter within three 298 hours of mechanical ventilation; therefore, Acinetobacter may be one of the factors involved in 299 mediating the proinflammatory phase of lung tissue injury. It has been demonstrated that 300 Acinetobacter may be the predominant bacteria in the lung microbiota of mechanically ventilated 301 patients with pneumonia¹⁹. The excessive growth of this pathogen may promote airway injury and 302 exacerbate microecological dysregulation. Lactobacillus and Streptococcus gradually increase with 303 304 prolonged ventilation. Lactobacillus and Streptococcus act as probiotic strains. On the one hand, altering the composition of the host-microbiome dysregulate the microecology. On the other hand, they 305 act indirectly through the standard mucosal immune system (CMIS) ⁴⁶. These probiotic strains may 306 play a positive role in alleviating respiratory impairment and the corresponding microecological 307 imbalance. In the mechanically ventilated 6-hour group, the main differential bacteria genus 308

Prevotella 9 likewise belongs to probiotic bacteria and is often present in the intestine. Current studies 309 have confirmed the existence of an intestinal-pulmonary microbiome axis in the organism⁴⁷. AS the 310 metabolically active bacteria. Bacteroides and Firmicutes produce SCFA. We know that the gut 311 microbiota can influence the microbiology of the lung through the distribution of SCFAs. SCFAs play a 312 key role in maintaining mucosal immunity in the gut and lung tissues as a bridge between the 313 microbiota and the immune system. ^{22,23}. Our findings may be relevant to understanding the 314 mechanisms between tracheal intubation mechanical ventilation and lung tissue injury. Mechanical 315 316 ventilation by tracheal intubation leads to an inflammatory response characterized by impaired airway defense mechanisms and alters in the microenvironment structure of the lower airways. In the future, 317 we will further investigate probiotics to assess the prognostic relevance of microbial imbalance and the 318 effectiveness of specific therapeutic interventions. 319

We analyzed lower airway microorganisms' alpha and beta diversity. We found that both tracheal 320 intubation and mechanical ventilation cause changes in the microbial composition of the lower airways. 321 At the beginning of tracheal intubation mechanical ventilation, bacterial species diversity decreased. 322 indicating that intubation ventilation impaired the ecological niche balance in the lower airways of rats 323 and disturbed bacterial diversity. Six hours after ventilation, bacterial diversity was elevated, and 324 species composition remained different from healthy rats, considering that it may be related to 325 pathogen overgrowth and stimulation of the host immune system that promotes disease 326 development⁴⁸. It is noteworthy that the experimental and control groups differed in bacterial species 327 abundance but were more homogeneous. As seen in this study, the samples from the experimental 328 and control groups were not well aggregated, suggesting that the overall community structure of the 329

rat lower airway is influenced by tracheal intubation and mechanical ventilation. However, the sample size of the groups in this experiment was small, and there were subject differences between individuals^{37,39,49}. The sample size needs to be increased in subsequent experiments to explore the relationship between the two further.

There may be a relationship between mechanical ventilation status and airway immune function. 334 Previous studies have shown that lower respiratory microbes are essential in the immune system's 335 maturation, education, and function. Especially, the regulation of the inflammatory response in 336 respiratory diseases such as asthma⁵⁰, chronic obstructive pulmonary disease (COPD) ⁵¹, cystic 337 fibrosis⁵², and respiratory infections⁵³ plays a key role. Ecological dysregulation leads to overgrowth 338 of pathogenic bacteria, loss of symbiotic microbial diversity, and, ultimately, an inflammatory response 339 in the host, leading to disease ⁵⁴ ⁵⁵. In the present study, after stimulation by mechanical ventilation 340 with tracheal intubation, the microecological balance in the lower airways was disturbed, the loss of 341 symbiotic microbial diversity, the immune system was affected, and inflammatory pathological changes 342 began to develop in the lung tissue. The inflammatory cascade response and pro-inflammatory 343 cytokines are hyperactivated with prolonged mechanical ventilation. Eventually, lung tissue increased 344 damage. In BugBase functional analysis, we found biofilm formation gene-function phenotypes 345 346 elevated abundance compared to the control group. It may be associated with damage to airway epithelial cells and disruption of defense mechanisms due to stimulation by tracheal intubation 347 mechanical ventilation maneuvers. Biofilms have significant antimicrobial tolerance and can persist in 348 the host and evade the host immune system ^{33,56}. We know that specialized respiratory epithelial cells 349 are necessary to maintain immune homeostasis in the lower airway tract. As the first line of defense 350

against potentially harmful environmental stimuli, respiratory epithelial cells are involved in maintaining immune homeostasis. Thus, epithelial cell dysfunction may influence the development of many airways and pulmonary inflammatory diseases^{57,58}. In addition, it has been demonstrated that NF-κB, TINCR, and PGLYRP4 (rs3006458) are involved in airway immune mechanisms^{59,60}. In future studies, we hope to investigate further the relationship between the mechanical ventilation status of tracheal intubation alone and epithelial cell alterations. We will make predictions of relevant metabolic pathways by metatranscriptomic metabolomic and proteomic studies.

358 In conclusion, our findings may be relevant to understanding the altered immune system mechanisms between tracheal intubation mechanical ventilation and lung tissue injury. Such operations as tracheal 359 intubation ventilator-assisted ventilation lead to lung injury and inflammatory responses characterized 360 by changes in the microbial community of the lower airways that become temporally correlated. The 361 present study provides new ideas for functional studies on the role of pulmonary commensals in 362 airway morphogenesis development, epithelial homeostasis, and the immune system. Particularly, it 363 allows a more systematic study of bacteria's colonization and growth characteristics on pulmonary 364 epithelial cells, their impact on lung infection and inflammation. Future studies hope to discover the 365 effectiveness of new immunomodulatory or probiotic bacteria to prevent airway diseases associated 366 with intraoperative or postoperative short-term intubation. 367

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516 Figure legend

517	Figure 1. Effect of mechanical ventilation on lung tissues injury from rats. (a) Rats were executed
518	directly after tracheal intubation under anesthesia. (b-d) Rats were kept under anesthesia after
519	tracheal intubation with spontaneous breathing for 1, 3, and 6 h. (e-g). Rats were mechanically
520	ventilated for 1, 3, and 6 h after tracheal intubation. (h) Lung tissue injury score. Data are
521	representative of at least four different experiments. Results are mean \pm S, for four rats. *p<0.05,
522	**p<0.01, ***p<0.001, compared with control animals or between mechanical ventilation six hours and
523	spontaneous breathing six hours.
524	Figure 2. Changes in the lower respiratory tract flora of rats during mechanical ventilation. (a) At the
525	phylum level, the main dominant phyla were Proteobacteria, Firmicutes, Bacteroidetes, Cyanobacteria,
526	and Actinobacteria. (b) At the genus level, the main dominant genera were Acinetobacter,
527	Lactobacillus, and Staphylococcus. Streptococcus. (c) LEFse analysis (LDA>2.0) was performed for
528	all groups, with corresponding differential strains for control, MV6, SV6, Acinetobacter_radioresistens
529	for control, Megamonas for MV6, and Parvibacter for SV6. In summary, tracheal intubation mechanical
530	ventilation caused changes in the lower respiratory tract flora ratio in rats.
531	Figure 3. Alpha diversity of rat lower respiratory tract flora. (a) The observed species index decreased
532	in the first 3 hours of mechanical ventilation and gradually increased as ventilation was prolonged. (b)
533	Simpson index was not statistically different among groups, and the homogeneity of species was more
534	consistent among groups. (c) Alpha diversity dilution curves leveled off in all groups, indicating a
535	sufficient amount of data in each group.

536 Figure 4. Beta diversity of rat lower respiratory tract flora. (a-c) In unweighted Unifrac analysis,

- 537 tracheal intubation mechanical ventilation made the rat's lower respiratory flora more fragmented. (d-f)
- 538 In the weighted Unifrac analysis of all groups, tracheal intubation mechanical ventilation did not make
- 539 the rat respiratory flora more dispersed. However, in comparing normal rats with the spontaneously
- 540 breathing group, a more significant dispersion of the rat lower respiratory flora occurred.
- 541 Figure 5. PICRUSt2 analysis of rat lower respiratory tract flora. Thirty-three unique KEGG pathways
- 542 among the inferred metagenomes. It is showed more remarkable similarities between normal rats and
- 543 each ventilated group.
- **Figure 6.** Bugbase analysis of rat lower respiratory tract flora. (a) With the associated representative
- 545 genera changes, anaerobic activity is diminished at the beginning of mechanical ventilation by tracheal
- 546 intubation. (b) Forms_Biofilm is enhanced at the beginning of mechanical ventilation by tracheal
- 547 intubation, and the associated representative genera are altered. (c) Gram_Negative formation did not
- vary much between groups, slightly increased at the beginning of the experiment, and the proportion
- slightly decreased with the prolongation of the experiment.
- 550
- 551

552 Table

Table 1. Effect of mechanical ventilation on lung wet to dry weight ratio (W/D) from rats (n=4, \overline{X} ±SD)

554

	Control	Mechanical Ventilation			Spontaneously Breathing		
		1h	3h	6h	1h	3h	6h
W/	5.579±0.39	5.958±0.56	6.839±1.04	11.618±1.63 [*]	5.873±0.28	6.895±0.16	8.561±1.34
D	3	9 [#]	7 #	#	1 #	3#	8*

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556 Data are means and(sd) for control, Mechanical Ventilation(1, 3, 6 h) and Spontaneously Breathing (1,

557 3, 6 h) rats.

558 Value are mean ± SD.

559 *p<0.05 vs control . #p<0.05 vs mechanical ventilaition 6

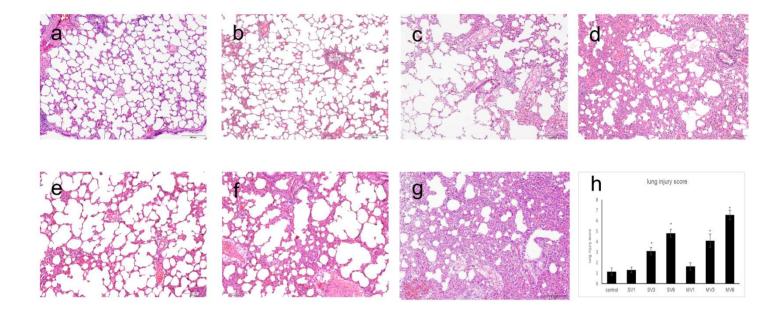
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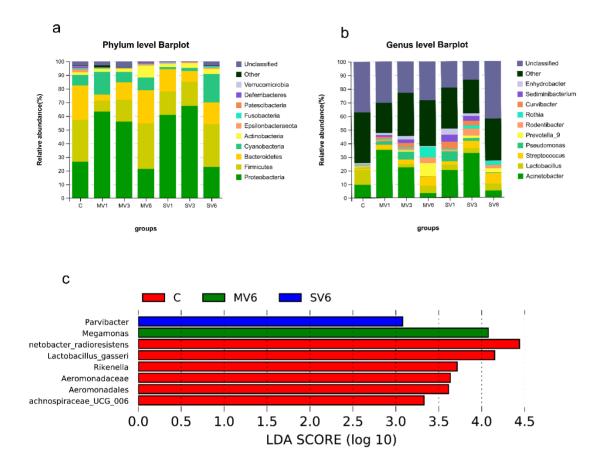
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- 2 Figure 1.



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13 Figure 2



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Figure 2. Changes in the lower respiratory tract flora of rats during mechanical ventilation. (a) At the phylum level, the main dominant phyla were Proteobacteria, Firmicutes, Bacteroidetes,

17 Cyanobacteria, and Actinobacteria. (b) At the genus level, the main dominant genera were

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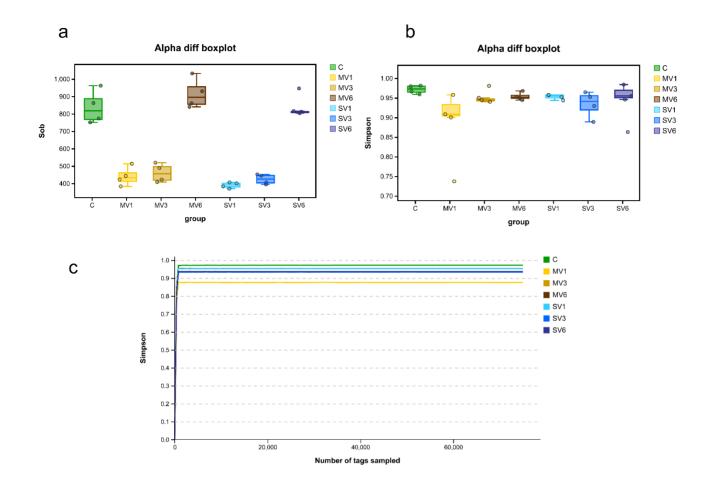
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20 Acinetobacter_radioresistens for control, Megamonas for MV6, and Parvibacter for SV6. In summary,

tracheal intubation mechanical ventilation caused changes in the lower respiratory tract flora ratio in

22 rats.

23 Figure3

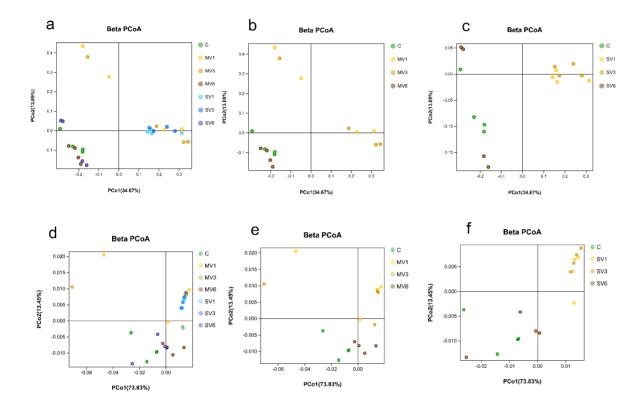


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Figure 3. Alpha diversity of rat lower respiratory tract flora. (a) The observed species index decreased in the first 3 hours of mechanical ventilation and gradually increased as ventilation was prolonged. (b) Simpson index was not statistically different among groups, and the homogeneity of species was more consistent among groups. (c) Alpha diversity dilution curves leveled off in all groups, indicating a sufficient amount of data in each group.

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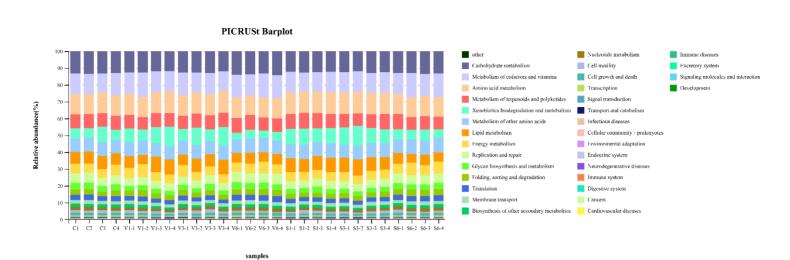
32 Figure 4



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Figure 4. Beta diversity of rat lower respiratory tract flora. (a-c) In unweighted Unifrac analysis, tracheal intubation mechanical ventilation made the rat's lower respiratory flora more fragmented. (df) In the weighted Unifrac analysis of all groups, tracheal intubation mechanical ventilation did not make the rat respiratory flora more dispersed. However, in comparing normal rats with the spontaneously breathing group, a more significant dispersion of the rat lower respiratory flora occurred.

41 Figure 5.



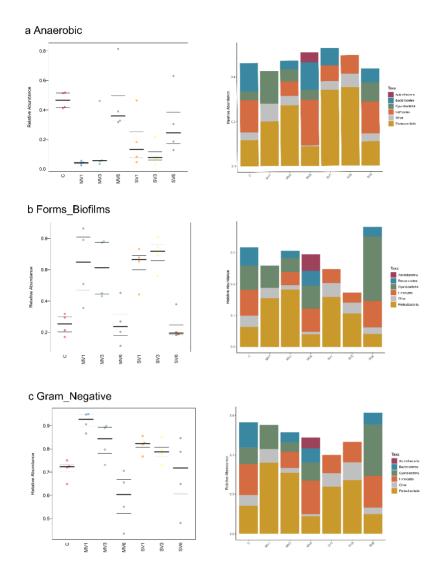
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58 **Figure 6**.



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