

## **Delta breakthrough infections elicit potent, broad and durable neutralizing antibody responses**

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**The SARS-CoV-2 Delta variant is currently responsible for most infections worldwide, including among fully vaccinated individuals. Although these latter infections are associated with milder COVID-19 disease relative to unvaccinated subjects, the specificity and durability of antibody responses elicited by Delta breakthrough cases remain unknown. Here, we demonstrate that breakthrough infections induce serum binding and neutralizing antibody responses that are markedly more potent, durable and resilient to spike mutations observed in variants of concern than those observed in subjects who were infected only or received only two doses of COVID-19 vaccine. However, we show that Delta breakthrough cases, subjects who were vaccinated after SARS-CoV-2 infection and individuals vaccinated three times (without infection) have serum neutralizing activity of comparable magnitude and breadth indicate that multiple types of exposure or increased number of exposures to SARS-CoV-2 antigen(s) enhance spike-specific antibody responses. Neutralization of the genetically divergent SARS-CoV, however, was moderate with all four cohorts examined, except after four exposures to the SARS-CoV-2 spike, underscoring the importance of developing vaccines eliciting broad sarbecovirus immunity for pandemic preparedness.**

The SARS-CoV-2 Delta (B.1.617.2) variant of concern emerged at the end of 2020 and became dominant globally by mid-2021. Mutations in the spike (S) glycoprotein (Johnson et al., 2021; Walls et al., 2020a; Wrapp et al., 2020) and in the nucleoprotein (N) have been suggested to account for its enhanced transmissibility, replication kinetics and viral loads in oropharyngeal and nose-throat swabs of infected individuals relative to the ancestral Wuhan-Hu-1 virus and other variants (Li et al., 2021; Liu et al., 2021; Mlcochova et al., 2021; Saito et al., 2021; Syed et al., 2021). Moreover, multiple S mutations in the N-terminal domain and receptor-binding domain have been shown to promote immune evasion (McCallum et al., 2021a, 2021b; Mlcochova et al., 2021; Suryadevara et al., 2021; Ying et al., 2021). These characteristics combined with waning of serum neutralizing antibody titers over time in vaccinated individuals has resulted in Delta breakthrough infections that are usually associated with much milder

symptoms than infection of unvaccinated individuals (Levine-Tiefenbrun et al., 2021; Mlcochova et al., 2021).

Understanding the magnitude and breadth of immune responses following a breakthrough infection is key to guiding vaccination policies and pandemic preparedness efforts (Collier et al., 2021). Serum neutralizing antibody titers represent the current best correlate of protection against SARS-CoV-2 in animal challenge studies (Arunachalam et al., 2021; Case et al., 2020a; Corbett et al., 2021; Hassan et al., 2021; Khoury et al., 2021; McMahan et al., 2021; Winkler et al., 2020) and multiple clinical trials have shown the benefits of therapeutic administration of monoclonal antibodies in humans (Corti et al., 2021). Furthermore, serum neutralizing antibodies are used in ongoing comparative clinical trials as key success metrics for the next generation of vaccines (e.g., NCT05007951 and NCT04864561 comparing GBP510 and VLA2001 to AZD1222, respectively). To understand whether the order of infection and/or vaccination as well as repeated exposures alter the specificity, magnitude, and breadth of antibody responses, we followed and compared serum antibodies in individuals who were vaccinated, who were previously infected and then vaccinated, or who were first vaccinated and then infected with the SARS-CoV-2 Delta variant.

We compared serum binding titers following infection, vaccination, or both in groups of ~15 individuals enrolled through the longitudinal cohort study, HAARVI, at the University of Washington in Seattle (**Table S1-S4**). Individuals in the Delta breakthrough group (n=1 with Johnson and Johnson Ad26.CO2.S, n=2 with Moderna mRNA-1273, n=13 with Pfizer Cominarty), in the infected then vaccinated (infected/vaccinated) cohort (n=1 with Johnson and Johnson Ad26.CO2.S, n=3 with Moderna mRNA-1273, n=11 with Pfizer Cominarty), and in the vaccinated-only group (n=3 with Moderna mRNA-1273, n=12 with Pfizer Cominarty) (**Table S1-S3**) were compared to human convalescent sera (HCS) which were collected prior to October 2020 in Washington State (all samples were obtained prior to July 2020 except one which was drawn in September 2020), indicating these infections were not with any variants of concern (VOC) (according to outbreaks.info) (**Table S4**). Eight individuals from the infected/vaccinated or vaccinated-only groups received a third vaccine dose (i.e. booster, designated 3X). All these samples were compared to SARS-CoV-2 naive individuals who had blood drawn prior to vaccination (**Table S5**) as confirmed by the lack of SARS-CoV-2 nucleocapsid (N) reactivity using the Roche Elecsys anti-N immuno assay (only convalescent samples were positive) (**Table S1-S5**).

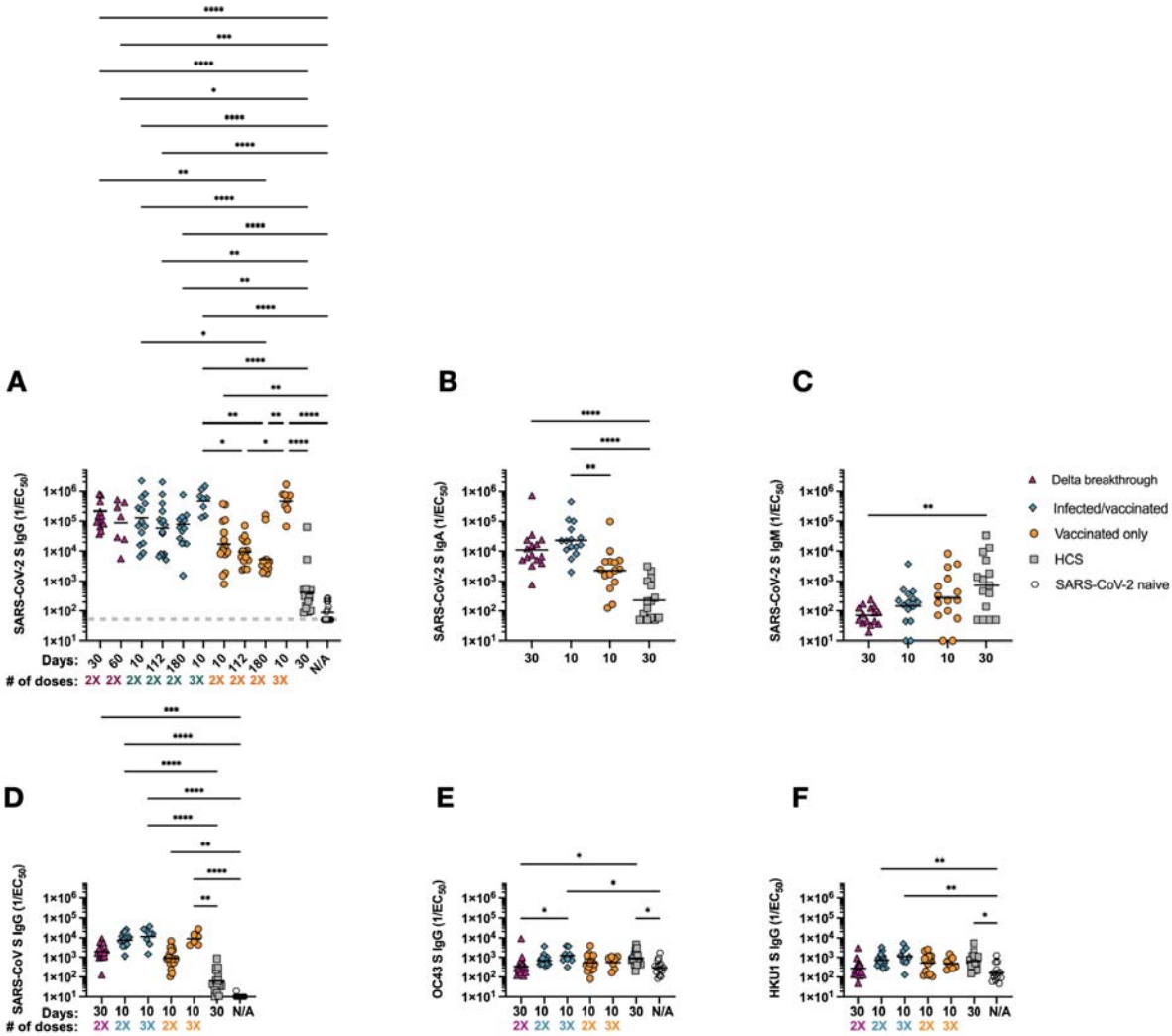
Serum IgG, IgA, and IgM binding titers were evaluated using ELISAs with the SARS-CoV-2 Hexapro S antigen (Hsieh et al., 2020). The cohorts were followed longitudinally for up to 6 months/180 days after initial blood draws to evaluate differences in durability of antibody responses. Responses were highest amongst individuals who were exposed to SARS-CoV-2 S three or four times through vaccination-only or a combination of infection and vaccination. The magnitude of IgG responses for vaccinated individuals who experienced a breakthrough infection with the Delta variant had geometric mean titers (GMT) of  $2.1 \times 10^5$  and  $8.8 \times 10^4$  30 days and 60 days after positive PCR test, respectively (**Figure 1A** and **Figure S1**). Infected/vaccinated individuals had binding GMTs of  $1.3 \times 10^5$ ,  $5.8 \times 10^4$  and  $7.8 \times 10^4$  at 10 days,

112 days and 180 days after the second dose and  $4.6 \times 10^5$  10 days after a third vaccine shot (**Figure 1A and Figure S1**), corresponding to a 3.6 fold increase when comparing titers 10 days after the second and third vaccination. Vaccinated-only individuals had binding GMTs of  $1.7 \times 10^4$ ,  $9.5 \times 10^3$  and  $5.4 \times 10^3$  at 10 days, 112 days and 180 days after receiving a second vaccine dose which rose to  $4.6 \times 10^5$  10 days following a boost with a third vaccination (**Figure 1A and Figure S1**), amounting to a 26 fold increase between the peak titers after the second and third vaccination. We determined GMTs of  $4.2 \times 10^2$  for infected individuals that were not vaccinated and  $8.8 \times 10^1$  (just above the limit of detection) for SARS-CoV-2 naive individuals, as confirmed by the lack of reactivity in a SARS-CoV-2 N immunoassay (**Figure 1A, Figure S1, Table S5**). These data suggest that repeated exposures through vaccination, infection or a combination of both induce potent and durable polyclonal plasma antibody binding titers. Furthermore, we observed a greater durability of binding GMTs over 180 days for the infected/vaccinated relative to the vaccinated-only (two doses) cohorts, which could result from increased number of exposures or due to actual infection.

We determined peak S-specific IgA binding GMTs of  $1.1 \times 10^4$  for Delta breakthrough cases,  $2.3 \times 10^4$  for infected/vaccinated individuals,  $2.2 \times 10^3$  for vaccinated-only individuals and  $2.3 \times 10^2$  for HCS (**Figure 1B and Figure S2**), following a magnitude trend similar to IgG binding titers. Conversely, IgM binding titers were roughly an order of magnitude higher for HCS (GMT of  $7 \times 10^2$ ) relative to other cohorts (**Figure 1C**), presumably as a result of extensive immunoglobulin class switching and affinity maturation occurring for the latter cases, mirroring findings made with memory B cells in vaccinated individuals (Cho et al., 2021). Collectively, these data indicate that breakthrough infections, vaccination following infection and triple vaccination trigger similarly robust anamnestic responses.

To assess how vaccination and infection affect the breadth of binding antibody responses, we performed ELISAs using prefusion diproline-stabilized (2P) SARS-CoV S as antigen (Kirchdoerfer et al., 2018; Pallesen et al., 2017). At 30 days post infection or 10 days post second vaccination (2X), we determined GMTs of  $1.9 \times 10^3$  for Delta breakthrough patients,  $7.7 \times 10^3$  for infected/vaccinated individuals, and  $9.3 \times 10^2$  for vaccinated-only individuals (**Figure 1D**). Upon receiving a booster immunization, both infected/vaccinated (3X) and vaccinated-only (3X) SARS-CoV S-specific responses increased to  $1.2 \times 10^4$  (1.5 fold increase from 2X) and  $8.9 \times 10^3$  (9.5 fold increase from 2X), respectively. We observed the lowest SARS-CoV S responses for HCS ( $6.3 \times 10^1$ ), which are subjects who had only been infected once by SARS-CoV-2, and for naive individuals (limit of detection), who were never exposed. These results suggest that antibody cross-reactivity within the sarbecovirus subgenus improves markedly with repeated exposures to SARS-CoV-2 S (**Figure 1D**). We also investigated antibody cross-reactivity beyond the sarbecovirus subgenus using the prefusion-stabilized S trimers of the common-cold causing embecoviruses OC43 (Tortorici et al., 2019) and HKU1 as ELISA antigens. We determined GMTs of  $3.6 \times 10^2$  (OC43 S) and  $2.8 \times 10^2$  (HKU1 S) for Delta breakthrough,  $7.2 \times 10^2$  (OC43 S) and  $7.6 \times 10^2$  (HKU1 S) for 2X infected/vaccinated,  $1.2 \times 10^3$  (OC43 S) and  $1.1 \times 10^3$  (HKU1 S) for 3X infected/vaccinated,  $5.7 \times 10^2$  (OC43 S) and  $5.5 \times 10^2$  (HKU1 S) for 2X vaccinated-only, and  $5.8 \times 10^2$  (OC43 S) and  $5.1 \times 10^2$  (HKU1 S) for 3X vaccinated-only cohorts (**Figure 1E-F**). Individuals who were infected with SARS-CoV-2 had

GMTs of  $9.2 \times 10^2$  (OC43 S) and  $6.7 \times 10^2$  (HKU1 S) and those who were SARS-CoV-2 naive had GMTs of  $3.1 \times 10^2$  (OC43 S) and  $1.7 \times 10^2$  (HKU1 S) (**Figure 1E-F**). These findings show that exposure to SARS-CoV-2 S does not enhance emebecovirus cross-reactive antibody responses even compared to SARS-CoV-2 naive individuals, who might have been previously exposed to seasonal coronaviruses.



**Figure 1: Repeated exposures to SARS-CoV-2 antigens through vaccination or infection enhance S-specific serum IgG and IgA binding titers. (A)** Serum IgG binding titers at 30 or 60 days post infection or 10, 112, or 180 days post second or third vaccine dose were evaluated for longitudinal samples by ELISA using prefusion-stabilized SARS-CoV-2 S Hexapuro as antigen. Serum samples were obtained from individuals who had a Delta breakthrough infection (n=15, magenta triangle), were previously infected then vaccinated (n=15, teal diamond), have only been vaccinated (n=15, orange circle), were infected in 2020 in Washington State (n=15, gray square), or were SARS-CoV-2 naive (samples taken prior to vaccination, n=15, open hexagon). **(B)** Serum IgA binding titers at 30 days post infection or 10 days post second vaccine dose were evaluated by ELISA using prefusion-stabilized SARS-CoV-2 S Hexapuro as antigen. **(C)** Serum IgM binding titers at 30 days post infection or 10 days post second vaccine

dose were evaluated by ELISA using prefusion-stabilized SARS-CoV-2 S Hexapro as antigen. **(D)** Serum IgG binding titers were evaluated by ELISA at 30 days post infection, 10 days post second or third vaccine dose or prior to SARS-CoV-2 exposure (SARS-CoV-2 naive) using prefusion-stabilized SARS-CoV 2P S as antigen. **(E-F)** Serum IgG binding titers were evaluated at 30 days post infection, 10 days post second or third vaccine dose, or prior to SARS-CoV-2 exposure (SARS-CoV-2 naive) by ELISA using OC43 S (E) or HKU1 2P S (F) as antigen. # of doses: number of vaccine doses received. Statistical significance was determined by Kruskal Wallis and Dunn's multiple comparisons test and shown only when significant. \* $P < 0.05$ ; \*\* $P < 0.01$ ; \*\*\* $P < 0.001$ ; and \*\*\*\* $P < 0.0001$ . LOD is shown as a gray horizontal dotted line when above the x axis. Raw fits are shown in Figure S1 and S2.

To understand the magnitude and durability of neutralizing antibody responses among the different groups, we determined serum neutralizing activity for all samples obtained longitudinally using vesicular stomatitis virus (VSV) pseudotyped with SARS-CoV-2 G614 S (Kaname et al., 2010; Lempp et al., 2021). Delta breakthrough infections resulted in neutralizing GMTs of  $2.7 \times 10^3$  after 30 days and  $2.9 \times 10^3$  after 60 days (**Figure 2A, Figures S3-S4**). We also observed potent neutralizing activity for infected/vaccinated subject sera with GMTs of  $3.1 \times 10^3$ ,  $2.6 \times 10^3$  and  $1.8 \times 10^3$  at 10 days, 112 days and 180 days post second vaccine dose, respectively, and increased 2.5 fold to  $7.6 \times 10^3$  10 days post third vaccine dose (**Figure 2A, Figures S5-S8**). Vaccinated-only individuals had serum neutralizing activity of  $3.7 \times 10^2$ ,  $1.4 \times 10^2$  and  $1.3 \times 10^2$  at 10, 112 and 180 days post second vaccine dose which rose to  $3.1 \times 10^3$  after a third vaccine dose (**Figure 2A, Figures S9-S12**). This corresponds to an 8.2 fold increase when comparing 10 days after each dose, yielding a potency comparable to Delta breakthrough cases 30 days after infection and infected/vaccinated subjects 10 days post second vaccine dose. HCS had weak neutralizing potency (GMT  $3.5 \times 10^1$ ) (**Figure 2A, Figure S13**). These data suggest that the number of exposures to S is more important than the type of exposure (infection vs. vaccination) to determine the magnitude of serum neutralizing activity. However, the approximately ten fold greater and constant neutralizing antibody GMTs measured over time for Delta breakthrough and infected/vaccinated cohorts set these 2 groups apart compared to vaccinated-only individuals (who experienced a neutralizing GMT decay of ~55% over 6 months after the second vaccine dose). These findings are in agreement with studies of individuals infected and subsequently vaccinated with mRNA vaccines or Jansen Ad26.COVS.2 (Keeton et al., 2021; Krammer et al., 2021; Saadat et al., 2021; Stamatos et al., 2021). Hence, multiple types of exposure or increased number of exposures to SARS-CoV-2 antigen(s) enhance the magnitude and durability of serum neutralizing activity possibly due to sustained antibody production by long lived plasma cells, increased affinity maturation yielding antibodies with greater neutralization potency, or a combination of both.

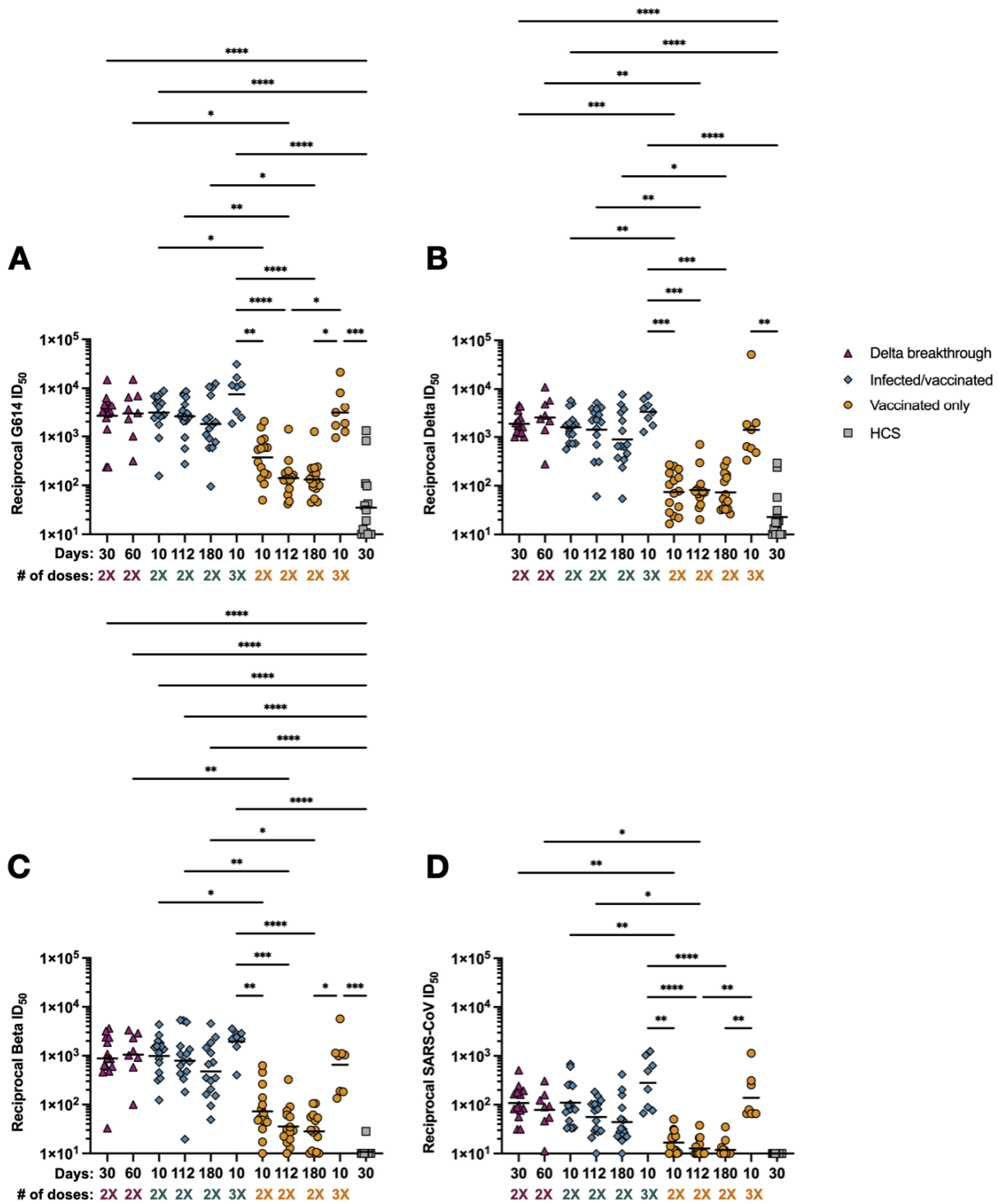
We also observed durable neutralizing activity for the Delta breakthrough serum samples against both Delta S and Beta S VSV pseudoviruses for which we determined GMTs of  $1.9 \times 10^3$  (Delta S) and  $8.8 \times 10^2$  (Beta S) after 30 days and  $2.5 \times 10^3$  (Delta S) and  $1.0 \times 10^3$  (Beta S) after 60 days (**Figure 2B-C**). The dampening in neutralization potency relative to G614 S VSV for both Delta S (1.2-1.4 fold GMT reduction) and Beta S (2.9-3.0 fold GMT reduction) was smaller than previous findings with vaccinated-only or convalescent cohorts (Cele et al., 2021;



Madhi et al., 2021; McCallum et al., 2021a; Planas et al., 2021; Walls et al., 2021a; Wibmer et al., 2021). For infected/vaccinated subjects, we determined GMTs of  $1.6 \times 10^3$ ,  $1.4 \times 10^3$ , and  $8.9 \times 10^2$  at 10, 112 and 180 days post second vaccine dose against Delta S VSV, respectively, corresponding to a 1.8-2.0 fold GMT reduction relative to G614 S VSV (**Figure 2A-B**). After receiving a third vaccine dose, these individuals experienced a 2.0 fold increase in neutralizing titers against Delta S VSV (GMT  $3.3 \times 10^3$ ), corresponding to a 2.3 fold GMT reduction relative to G614 S VSV. Therefore, although the magnitude of neutralizing GMT increased, the breadth of broadly neutralizing antibody responses remains similar against Delta S VSV (**Figure 2A-B**). Beta S VSV neutralizing GMTs were  $9.9 \times 10^2$ ,  $7.9 \times 10^2$  and  $4.8 \times 10^2$  at 10, 112 and 180 days post second vaccine dose, respectively, corresponding to a 3.1-3.8 GMT fold change compared to G614 S VSV (**Figure 2A,C**). Following the third vaccination, these individuals experienced a 2.0 fold increase in neutralizing titers against Beta S VSV (GMT  $1.9 \times 10^3$ ), yielding a 3.9 fold GMT reduction relative to G614 S VSV. The vaccinated-only cohort had neutralizing GMTs against Delta S VSV of  $7.5 \times 10^1$ ,  $8.2 \times 10^1$  and  $7.4 \times 10^1$  at 10, 112 and 180 days post second vaccine dose, respectively, corresponding to a 1.7-5 fold GMT reduction relative to G614 S VSV (**Figure 2B**). Triple vaccinated-only subjects had neutralizing antibody GMTs of  $1.4 \times 10^3$ , an 18.8 fold increase compared to the same individuals after receiving only two vaccine doses at the same time point (**Figure 2B**). Beta S VSV pseudovirus neutralizing GMTs were  $7.3 \times 10^1$ ,  $3.6 \times 10^1$  and  $2.8 \times 10^1$  at 10, 112 and 180 days post second vaccine dose, respectively (**Figure 2C**). This corresponds to a 4.0-5.1 fold GMT reduction of serum neutralizing activity relative to G614 S VSV. Triple vaccinated-only subjects displayed a 9.0 fold neutralizing GMT increase ( $6.6 \times 10^2$ ) compared to the same time point after receiving only two vaccine doses, consistent with enhanced resilience to this variant. These data suggest that repeated exposures, even to a distinct antigen, improves the potency and resilience of serum neutralizing activity to variants, including against Beta S VSV (Walls et al., 2021b) for which an average ~7 fold dampening of potency has been reported in the literature (mostly comprising infected-only and vaccinated-only subjects) (<https://covdb.stanford.edu/>), in line with previous studies of infected/vaccinated individuals (Keeton et al., 2021; Stamatatos et al., 2021). Moreover, we observed a subtle advantage of mixed cohorts, including subjects who experienced both infections and vaccination (irrespective of the order) in terms of neutralization breadth against SARS-CoV-2 variants relative to triple vaccinated-only individuals. It remains to be determined if waning of binding and neutralizing GMTs will occur with similar kinetics after the third immunization of vaccinated-only subjects than after two doses or if they will mirror the durable antibody responses observed in Delta breakthrough and infected/vaccinated cohorts.

To assess the breadth of serum neutralizing activity among these different cohorts against viruses belonging to a different sarbecovirus clade than SARS-CoV-2, we used a SARS-CoV S VSV pseudotype. Delta breakthrough cases had neutralizing GMTs of  $1.1 \times 10^2$ , and  $7.9 \times 10^1$  at 30 and 60 days post positive PCR test (**Figure 2D**) whereas the 2X infected/vaccinated cohort had GMTs of  $1.1 \times 10^2$ ,  $5.6 \times 10^1$  and  $4.4 \times 10^1$  at 10, 112 and 180 days post second vaccine dose, respectively (**Figure 2D**). This corresponds to GMT reductions of 24-36- fold (Delta breakthrough) and 28-46 fold (infected/vaccinated) compared to G614 S VSV. After receiving a third vaccine dose, infected/vaccinated individuals had ~2.5 fold enhanced serum neutralizing responses against SARS-CoV S VSV (GMT of  $2.8 \times 10^2$ ) relative to the same

subjects at 10 days post second vaccination. Although this amounts to a 26 fold reduction compared to peak neutralizing G614 S VSV GMT,, this is a similar neutralizing activity than that of vaccinated-only individuals against G614 after 2 doses (GMT  $3.7 \times 10^2$ ). The vaccinated-only cohort had neutralizing GMTs of  $1.7 \times 10^1$ ,  $1.3 \times 10^1$  and  $1.2 \times 10^1$  at 10, 112 and 180 days post second vaccine dose, respectively, which were boosted to  $1.4 \times 10^2$  after the third vaccination (**Figure 2D**). The latter GMT matches serum neutralizing activity of Delta breakthrough cases or of infected/vaccinated individuals (after two vaccinations),, further suggesting that the number of exposures is more important than the type of exposure. No SARS-CoV neutralization was detected for HCS, in line with the weak overall serum neutralizing activity of this cohort across any sarbecoviruses (**Figure 2D**).



**Figure 2: Delta breakthrough, infected/vaccinated and triple vaccinated individuals have exceptionally high serum neutralizing activity.** Serum samples were obtained from individuals who had a Delta breakthrough infection (n=14, magenta triangle), who were previously infected then vaccinated (n=15, teal diamond, infected/vaccinated), who have been vaccinated only (n=15, orange circle), or who were infected only in 2020 in Washington State (n=15, gray square, HCS). All neutralization assays were performed using VeroE6-TMPRSS2



as target cells at least in duplicate. **(A)** SARS-CoV-2 G614 S VSV pseudotype neutralization. **(B)** SARS-CoV-2 Delta S VSV pseudotype neutralization. **(C)** SARS-CoV-2 Beta S VSV pseudotype neutralization. **(D)** SARS-CoV S VSV pseudotype neutralization. # of doses: number of vaccine doses received. Statistical significance was determined by Kruskal Wallis and Dunn's multiple comparisons test and shown only within group or at matched timepoint for ease of viewing when significant. \* $P < 0.05$ ; \*\* $P < 0.01$ ; \*\*\* $P < 0.001$ ; and \*\*\*\* $P < 0.0001$ . Normalized curves and fits are shown in Fig. S3-S13.

We show that Delta breakthrough cases or infected/vaccinated subjects are endowed with greater potency, breadth and durability of serum neutralizing activity relative to individuals who received 2 doses of COVID-19 vaccine or those who were only infected by SARS-CoV-2 in 2020. Serum neutralizing GMTs for the Delta breakthrough cases and infected/vaccinated subjects were greater against the Delta and Beta S variants at all time points than that of vaccinated-only individuals (after two doses) against G614 S pseudovirus at peak titers. The latter levels of neutralization potency were associated with ~95% protection from symptomatic COVID-19 disease in phase 3 clinical trials of the Pfizer Cominarty (Polack et al., 2020) and Moderna mRNA-1273 (Baden et al., 2021) vaccines and are reminiscent of observations made with infected/vaccinated samples (Stamatatos et al., 2021). The marked enhancement of serum neutralizing activity for vaccinated-only subjects after three doses, to levels comparable to Delta breakthrough and infected/vaccinated cases, suggest that the number of exposures to SARS-CoV-2 S, whether through vaccination or infection, correlates with the strength of neutralizing antibody responses as well as resilience to variants. It remains to be determined whether the number of exposures also correlates with durability of antibody responses for vaccinated-only individuals, as shown here with Delta breakthrough cases up to 60 days and infected/vaccinated subjects for 180 days. The reduction of serum neutralizing activity against SARS-CoV presented here following up to 3 SARS-CoV-2 S exposures, along with previous studies (Martinez et al., 2021; Stamatatos et al., 2021; Walls et al., 2021a), suggest that combinations of COVID-19 disease and vaccination would leave the population vulnerable to infection by a genetically divergent sarbecovirus, due to the more extensive sequence differences between SARS-CoV-2 S and SARS-CoV S compared to any two SARS-CoV-2 S variants. Four exposures to SARS-CoV-2 S, through infection followed by three vaccinations, elicit SARS-CoV serum neutralizing titers with a magnitude that is equivalent to protective levels for SARS-CoV-2 based on clinical trial evaluation of COVID-19 vaccines (Baden et al., 2021; Polack et al., 2020). These findings suggest that repeated exposures may improve elicitation of broadly neutralizing sarbecovirus antibodies, but not broadly reactive beta-coronavirus antibodies based on the comparable cross-reactive responses to OC43 and HKU1 S observed for all cohorts. Moreover, a recent study indicated that survivors of SARS-CoV infection who subsequently received a COVID-19 vaccine had broader sarbecovirus neutralizing antibody responses than subjects only exposed to SARS-CoV-2 virus or vaccine (Tan et al., 2021). These data lend further support to the ongoing development of several vaccine candidates (Cohen et al., 2021; Martinez et al., 2021; Walls et al., 2021a) designed to specifically elicit broad sarbecovirus immunity and could protect against SARS-CoV-2 variants as well as putative future zoonotic sarbecoviruses.

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**Author contributions:** A.C.W., J.E.B., and D.V. conceived the project. A.C.W. and D.V. designed the experiments and supervised this study. K.R.S., M.J.N., A.J., and C.S. carried out ELISAs. A.C.W, K.R.S, and M.J.N produced pseudovirus and ran pseudovirus neutralization assays. J.E.B., A.J., and M.M. expressed and purified proteins. E.A.G., E.J.D.A., and A.G. coordinated and ran Roche Anti N experiments. E.N.F., J.L., A.L., and H.C. coordinated collection of and provided clinical samples. A.C.W., K.R.S, M.J.N., A.J., C.S., J.E.B., and D.V. analyzed the data. A.C.W. and D.V. wrote the manuscript with input from all authors.

**Competing interests:** The Veesler laboratory has received an unrelated sponsored research agreement from Vir Biotechnology Inc. A.C.W and D.V. are named as inventors on patent applications filed by the University of Washington for SARS-CoV-2 and sarbecovirus receptor binding domain nanoparticle vaccines. H.Y.C. is a consultant for Merck, Pfizer, Ellume, and the Bill and Melinda Gates Foundation and has received support from Cepheid and Sanofi-Pasteur. The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

**Data and materials availability:** Materials generated in this study will be made available on request after signing a materials transfer agreement with the University of Washington. This work is licensed under a Creative Commons Attribution 4.0 International (CC BY 4.0) license, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. To view a copy of this license, visit <https://creativecommons.org/licenses/by/4.0/>. This license does not apply to figures/photos/artwork or other content included in the article that is credited to a third party; obtain authorization from the rights holder before using such material.

**Table S1: Patient demographics for Delta breakthrough samples.**

Participant ID	Was this participant infected with a VOC?	Age	Sex	Is participant Hispanic or Latino	Race/Ethnicity	Which vaccine did you receive?	Days post second dose of 30 day blood draw	30 day blood draw, days from symptom onset	Roche 30 day Anti-N reactivity (yes or no)	Days post symptom onset of 60 day draw	Days post second vaccine dose of 60 day draw	Roche 60 day Anti-N reactivity (yes or no)	Included in the 30 day group?	Included in the 60 day group?
267C	B.1.617.2	28	Male	No	White	Pfizer	80	38	No	63	105	No	Yes	Yes
268C	B.1.617.2	27	Female	No	Asian	Pfizer	94	28	Yes	65	131	Yes	Yes	Yes
273C	B.1.617.2	20	Female	No	Asian, White	Moderna	207	28	Yes	64	243	Yes	Yes	Yes

274C	S-Gene Dropout	21	Female	No	White	Pfizer	194	26	Yes	N/A	N/A	N/A	Yes	No
275C	B.1.617.2	22	Female	No	White	Pfizer	N/A	N/A	N/A	89	142	Yes	No	Yes
276C	B.1.617.2	68	Male	No	White	Pfizer	212	24	No	65	253	Yes	Yes	Yes
277C	B.1.617.2	20	Female	No	White	Pfizer	243	27	Yes	N/A	N/A	N/A	Yes	No
278C	S-Gene Dropout	26	Female	No	White	Pfizer	148	30	Yes	66	184	Yes	Yes	Yes
279C	B.1.617.2	27	Female	No	White	Pfizer	231	25	Yes	N/A	N/A	N/A	Yes	No
281C	B.1.617.2	51	Male	No	White	Pfizer	202	17	Yes	N/A	N/A	N/A	Yes	No
282C	B.1.617.2	21	Female	No	Asian, Black or African American	Pfizer	164	25	Yes	N/A	N/A	N/A	Yes	No
284C	S-Gene Dropout	38	Female	No	White	Johnson & Johnson	166	20	Yes	N/A	N/A	N/A	Yes	No
285C	B.1.617.2	25	Female	No	White	Moderna	186	20	Yes	53	199	Yes	Yes	Yes
286C	S-Gene Dropout	33	Female	No	White	Pfizer	174	33	Yes	N/A	N/A	N/A	Yes	No
289C	B.1.617.2	Not Supplied	Male	No	Black or African American, White	Pfizer	N/A	N/A	N/A	77	193	Yes	No	Yes
		Age					Days post second dose of 30 day blood draw	30 day blood draw, days from symptom onset		Days post symptom onset of 60 day draw	Days post second vaccine dose of 60 day draw		Included in the 30 day group?	Included in the 60 day group?
Median:		26.5					186	26		65	188.5		n=14	n=8

**Table S2: Patient demographics for SARS-CoV-2 infected/vaccinated samples.**

Participant ID	Age	Sex	Is participant Hispanic or Latino?	Race/Ethnicity	Vaccine type	Draw 1 days since symptom onset	Draw 1 days since second vaccine dose	Roche Anti-N reactivity draw 1 (yes or no)	Draw 2 days since symptom onset	Draw 2 days since second vaccine dose	Roche Anti-N reactivity draw 2 (yes or no)	Draw 3 days post second symptom onset	Draw 3 days post second vaccine dose	Roche Anti-N reactivity draw 3 (yes or no)	Draw 4 days post second dose	Draw 4 days post third dose
6C	63	Female	No	White	Pfizer	326	8	Yes	409	91	Yes	473	155	Yes	N/A	N/A
12C	59	Male	No	White	Pfizer	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	213	15
26C	25	Female	No	White	Pfizer	312	8	Yes	396	92	No	465	161	No	254	12
30C	60	Female	No	Asian	Pfizer	364	11	Yes	444	91	Yes	518	165	Yes	N/A	N/A
44C	54	Female	No	White	Pfizer	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	259	22
57C	56	Female	No	White	Johnson & Johnson	372	17	Yes	471	116	Yes	561	206	Yes	N/A	N/A
73C	60	Male	No	White	Pfizer	361	32	Yes	417	88	Yes	480	151	Yes		N/A
77C	29	Male	No	White	Pfizer	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	222	16
87C	77	Male	No	White	Moderna	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	235	10
100C	37	Female	No	White	Pfizer	356	11	Yes	440	95	Yes	513	168	Yes	N/A	N/A
110C	52	Male	No	White	Pfizer	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	229	12
120C	47	Female	No	White	Pfizer	327	10	Yes	405	88	Yes	484	167	Yes	N/A	N/A
141C	67	Female	No	White	Moderna	381	39	Yes	425	83	No	494	152	No	N/A	N/A
149C	25	Male	No	White	Pfizer	291	10	No	376	95	No	446	165	No	N/A	N/A
154C	62	Female	No	White	Pfizer	279	6	Yes	363	90	Yes	438	165	Yes	N/A	N/A
161C	58	Female	No	White	Pfizer	289	11	Yes	393	115	Yes	442	164	Yes	N/A	N/A
163C	38	Female	No	White	Moderna	341	9	Yes	416	94	Yes	485	153	Yes	N/A	N/A
184C	57	Female	No	Asian	Pfizer	382	64	Yes	413	95	Yes	476	158	Yes	247	22
187C	56	Male	No	White	Pfizer	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	261	14
239C	42	Female	No	White	Pfizer	163	7	Yes	254	98	Yes	373	217	Yes	N/A	N/A
240C	26	Male	No	Asian	Moderna	233	9	Yes	316	92	Yes	373	149	Yes		N/A
	Age					Draw 1 days since symptom onset			Draw 2 days since symptom onset	Draw 2 days since second vaccine dose		Draw 3 days post second symptom onset	Draw 3 days post second vaccine dose		Draw 4 days post second dose	Draw 4 days post third dose
Median:	56					327	10		409	92		476	164		241	14.5

**Table S3: Patient demographics for vaccinated only samples.**

Participant ID	Age	Sex	Is participant Hispanic or Latino?	Race/Ethnicity	Vaccine type	Days since second vaccine dose draw 1	Days since second vaccine dose draw 2	Days post second vaccine dose draw 3	Roche Anti-N reactivity for all time points (yes or no)	Draw 4 days post second dose	Draw 4 days post third dose
8H	79	Male	No	White	Moderna	11	75	150	No		
12H	42	Female	No	White	Moderna	10	110	179	No		
14H	67	Female	No	White	Pfizer	9	91	168	No		
16H	46	Female	No	Black or African American	Pfizer	6	90	157	No		
17H	76	Male	No	White	Pfizer	17	87	185	No		
20H	33	Female	No	Asian	Moderna	6	112	160	Untested for 10 days due to low sample volume, No		
23H	34	Female	No	White	Pfizer	15	99	148	No		
29H	64	Male	No	White	Pfizer	12	104	160	No	182	13
35H	61	Male	No	White	Pfizer	N/A	N/A	N/A	N/A	200	47
36H	37	Male	No	White	Pfizer	9	93	156	No		
45H	34	Female	No	Asian	Pfizer	12	94	164	No		
50H	32	Female	No	White	Pfizer	5	90	217	No		
51H	50	Female	No	White	Pfizer	6	90	160	No	267	25
53H	38	Female	No	White	Pfizer	12	78	148	No	251	14
54H	34	Female	No	White	Pfizer	9	93	161	No	274	15
55H	52	Female	No	White	Pfizer	5	96	166	No	271	18
72H	36	Female	No	White	Pfizer	N/A	N/A	N/A	N/A	216	9
	Age					Days since second vaccine dose	Days since second vaccine dose draw 2	Days post second vaccine dose draw 3		Draw 4 days post second dose	Draw 4 days post third dose
Median:	42					9	93	160		246	15

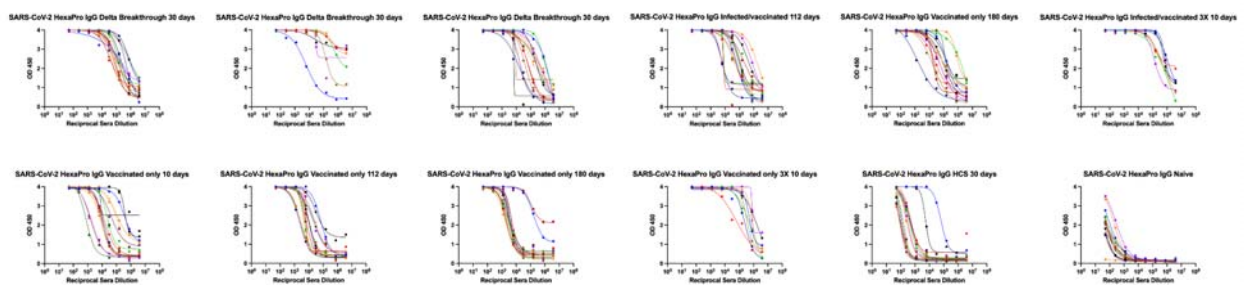
**Table S4: Patient demographics for SARS-CoV-2 infected only samples (HCS).**

Participant ID	Age	Illness Severity	What is your sex?	Hispanic or Latino?	Race/Ethnicity	Comorbidities	30 day blood draw, days from test date	Roche Anti N reactivity (yes or no)
9C	37	7	Female	No	White	None	36	Yes
10C	31	7	Female	No	White	None	30	Yes
26C	25	7	Female	No	White	None	26	Yes
28C	64	7	Female	No	White	None	31	Yes
30C	60	7	Female	No	Asian	None	32	Yes
47C	45	7	Female	No	White	None	25	Yes
54C	37	7	Male	No	White	None	42	Yes
65C	73	7	Male	No	White	None	40	Yes
73C	59	7	Male	No	White	None	35	Yes
114C	54	7	Female	No	White	None	34	Yes
144C	29	7	Male	No	Other	None	37	Yes
149C	24	7	Male	No	White	Unknown	34	No
151C	68	7	Female	No	White	None	41	Yes
182C	75	7	Male	No	White	Hypertension, Cardiovascular disease (heart attack, stroke, coronary artery disease)	29	Yes
235C	65	7	Male	No	White	Chronic kidney disease	Unknown	No
	Age						30 day blood draw, days from test date	
Median:	54						34	

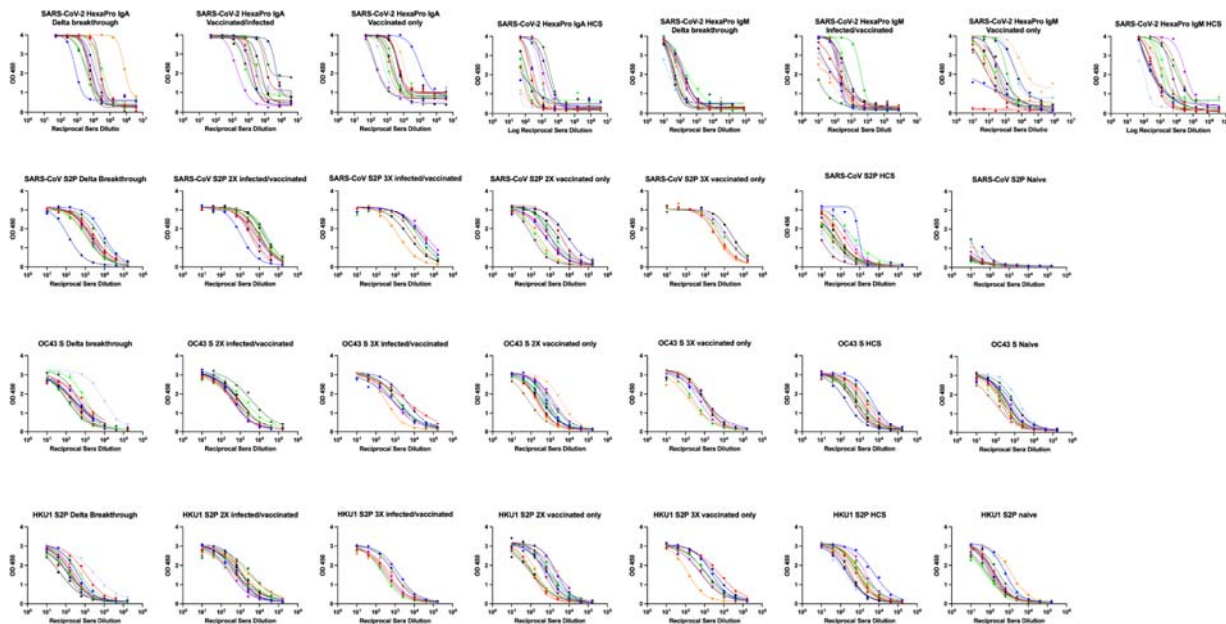
**Table S5: Patient demographics for SARS-CoV-2 naive samples.**

Participant ID	SEX	Age of enrollee (years)	Roche Anti N reactivity (yes or no)
PIC-0038	Female	29	No
PIC-0040	Female	51	No

PIC-0041	Female	39	No
PIC-0043	Male	47	No
PIC-0045	Female	48	No
PIC-0047	Female	31	No
PIC-0048	Female	37	No
PIC-0049	Female	36	No
PIC-0051	Female	29	No
PIC-0052	Male	46	No
PIC-0053	Female	37	No
PIC-0054	Female	29	No
PIC-0056	Female	46	No
PIC-0057	Female	45	No
PIC-0058	Female	28	No
		Age	
Median:		37	



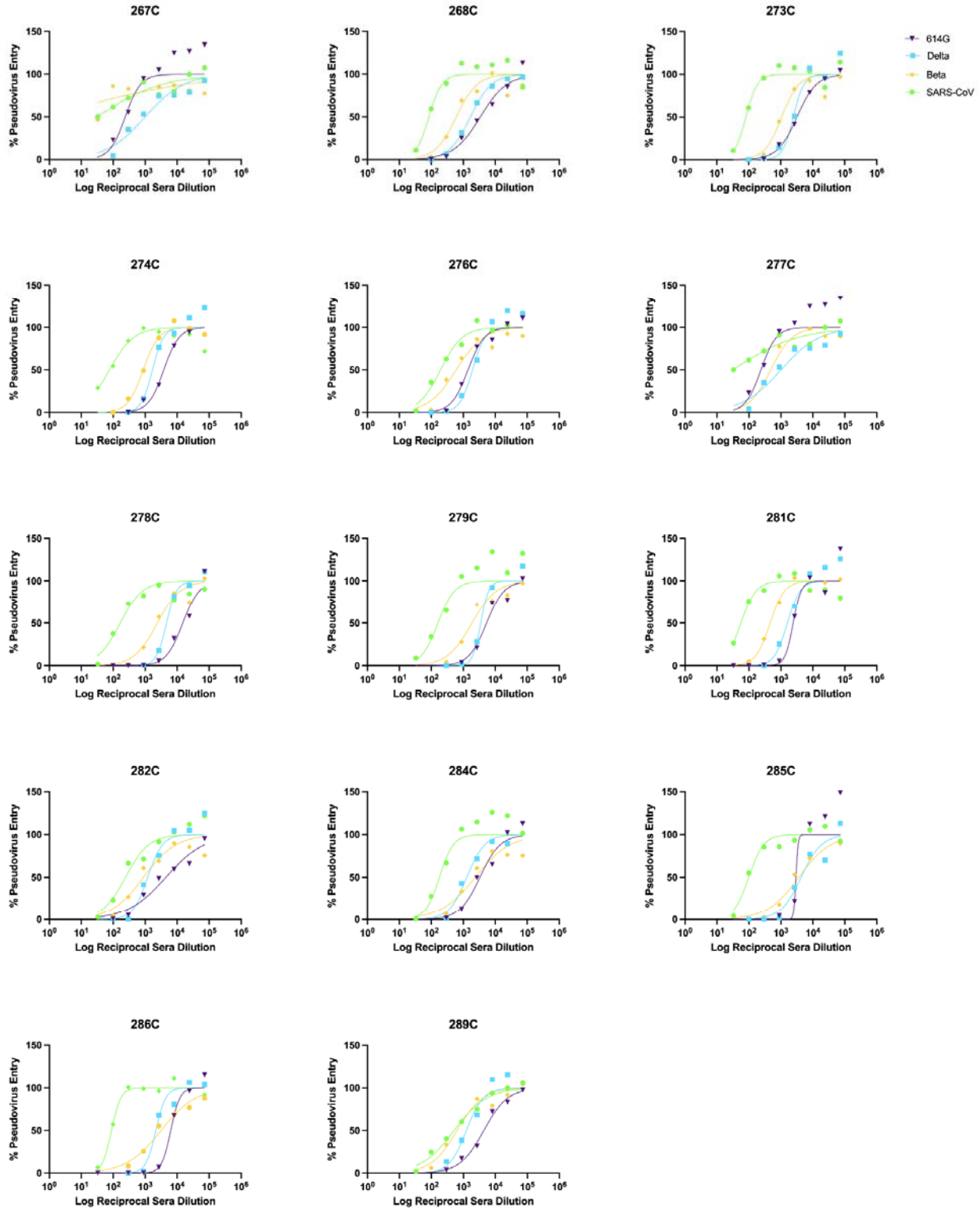
**Figure S1:** Raw SARS-CoV-2 HexaPro IgG ELISA curves and fits associated with Figure 1A, broken out by group.



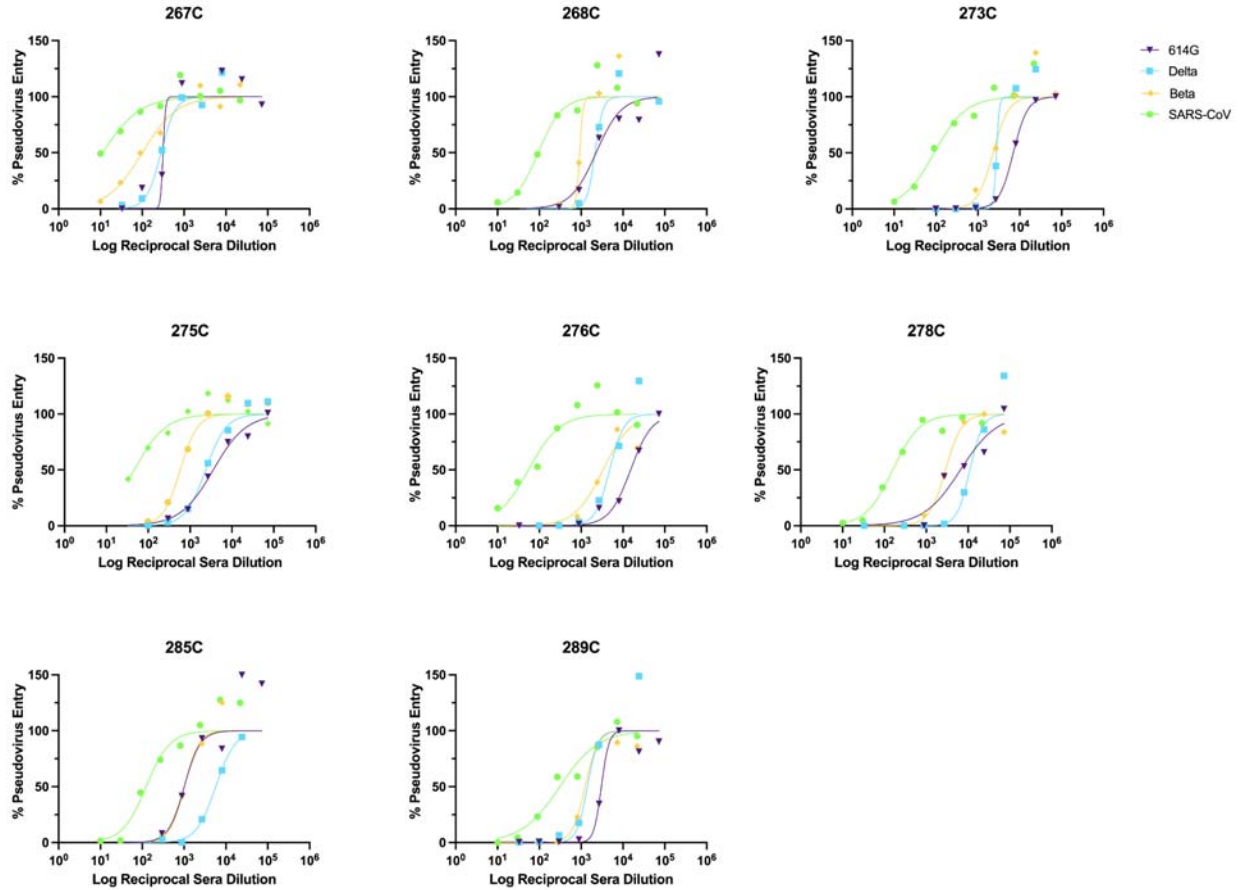
**Figure S2:** Raw ELISA curves and fits associated with figure 1B-F, broken out by group, antigen and secondary IgG. In the first row, SARS-CoV-2 HexaPro anti IgA ELISAs of Delta breakthrough, infected/vaccinated, vaccinated only, and HCS samples. In the second row, SARS-CoV-2 HexaPro anti IgM ELISAs of Delta breakthrough, infected/vaccinated, vaccinated



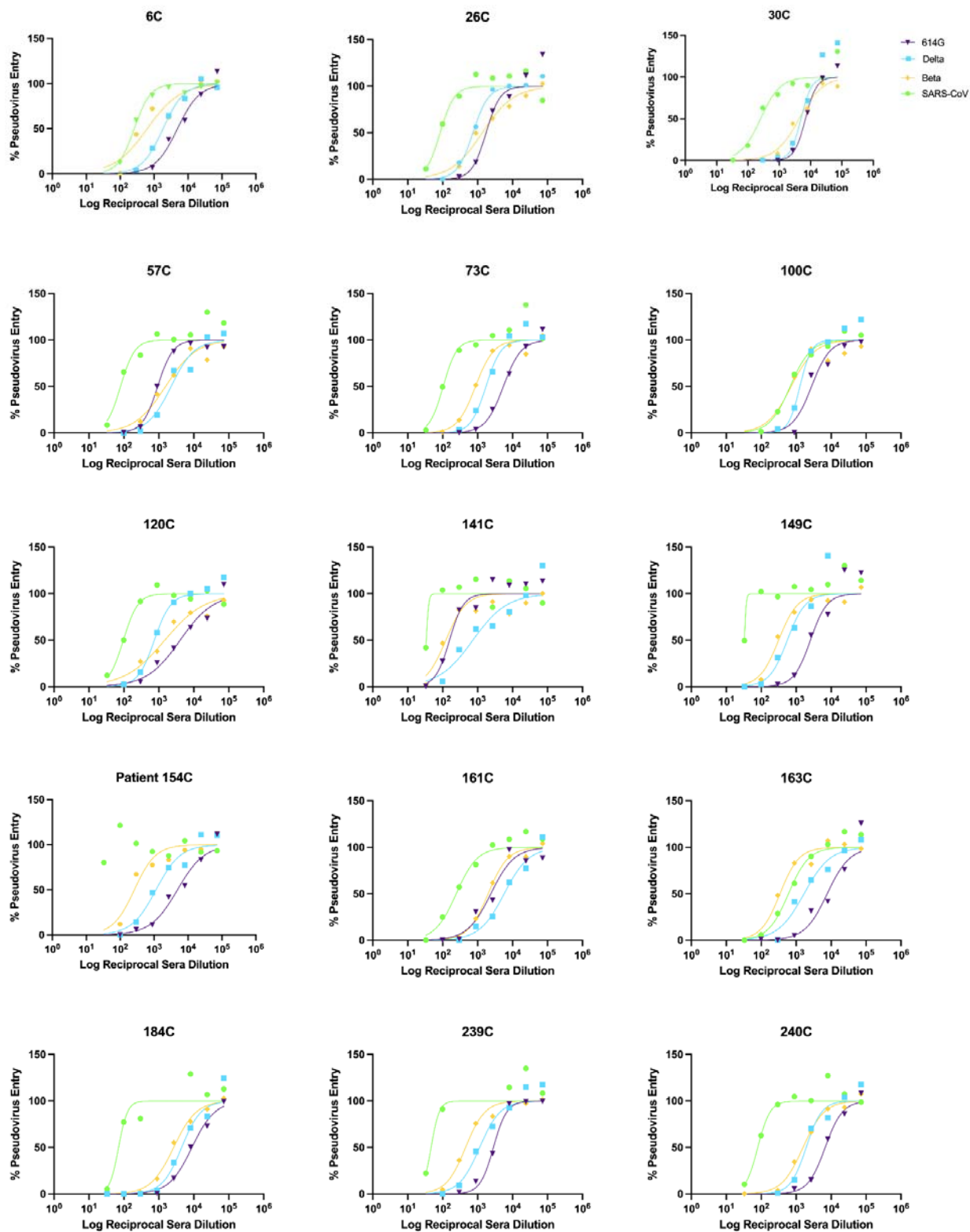
only, and HCS samples. In the third row, SARS-CoV S 2P ELISAs of Delta breakthrough, infected/vaccinated, vaccinated only, HCS, and naive cohorts. In the fourth row, OC43 S ELISAs of Delta breakthrough, infected/vaccinated, vaccinated only, and naive cohorts. In the last row, HKU1 S2P ELISAs of Delta breakthrough, infected/vaccinated, vaccinated only, and naive samples.



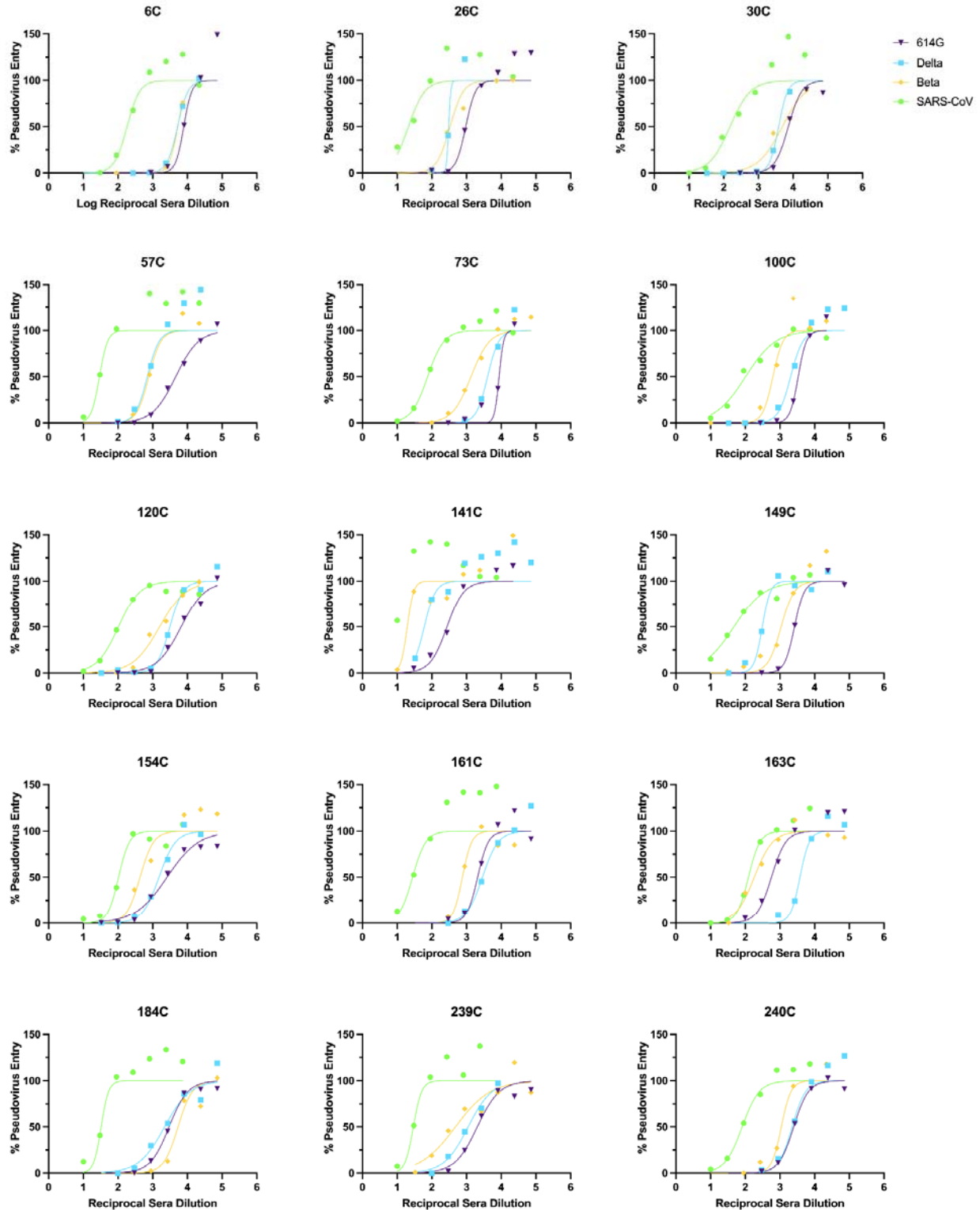
**Figure S3:** Normalized neutralization curves using VSV pseudovirus on VeroE6-TMPRSS2 cells for Delta breakthrough cases 30 days post infection.



**Figure S4:** Normalized neutralization curves using VSV pseudovirus on VeroE6-TMPRSS2 cells for Delta breakthrough cases 60 days post infection.

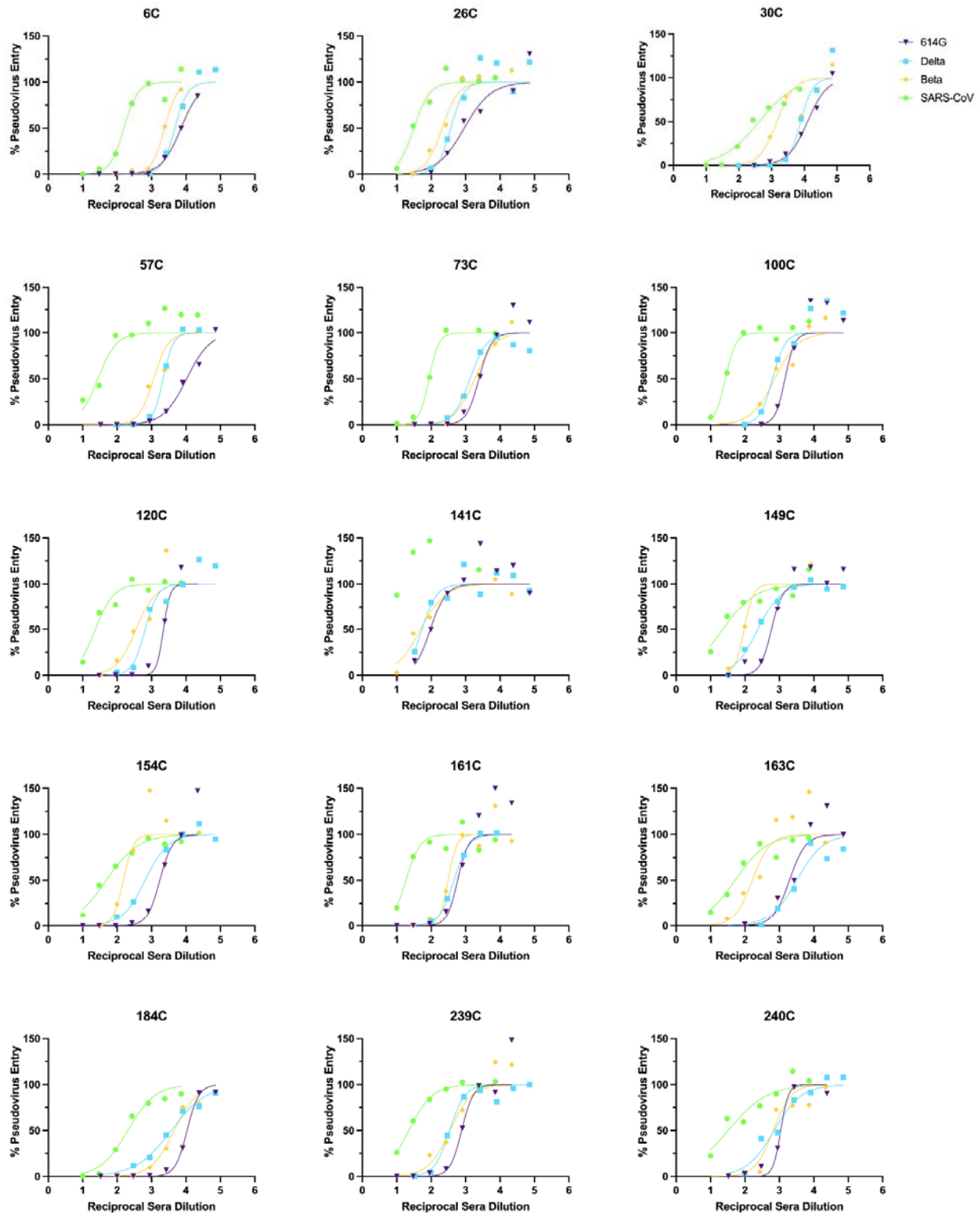


**Figure S5:** Normalized neutralization curves using VSV pseudovirus on VeroE6-TMPRSS2 cells for 2X infected/vaccinated subjects 10 days post second vaccination.

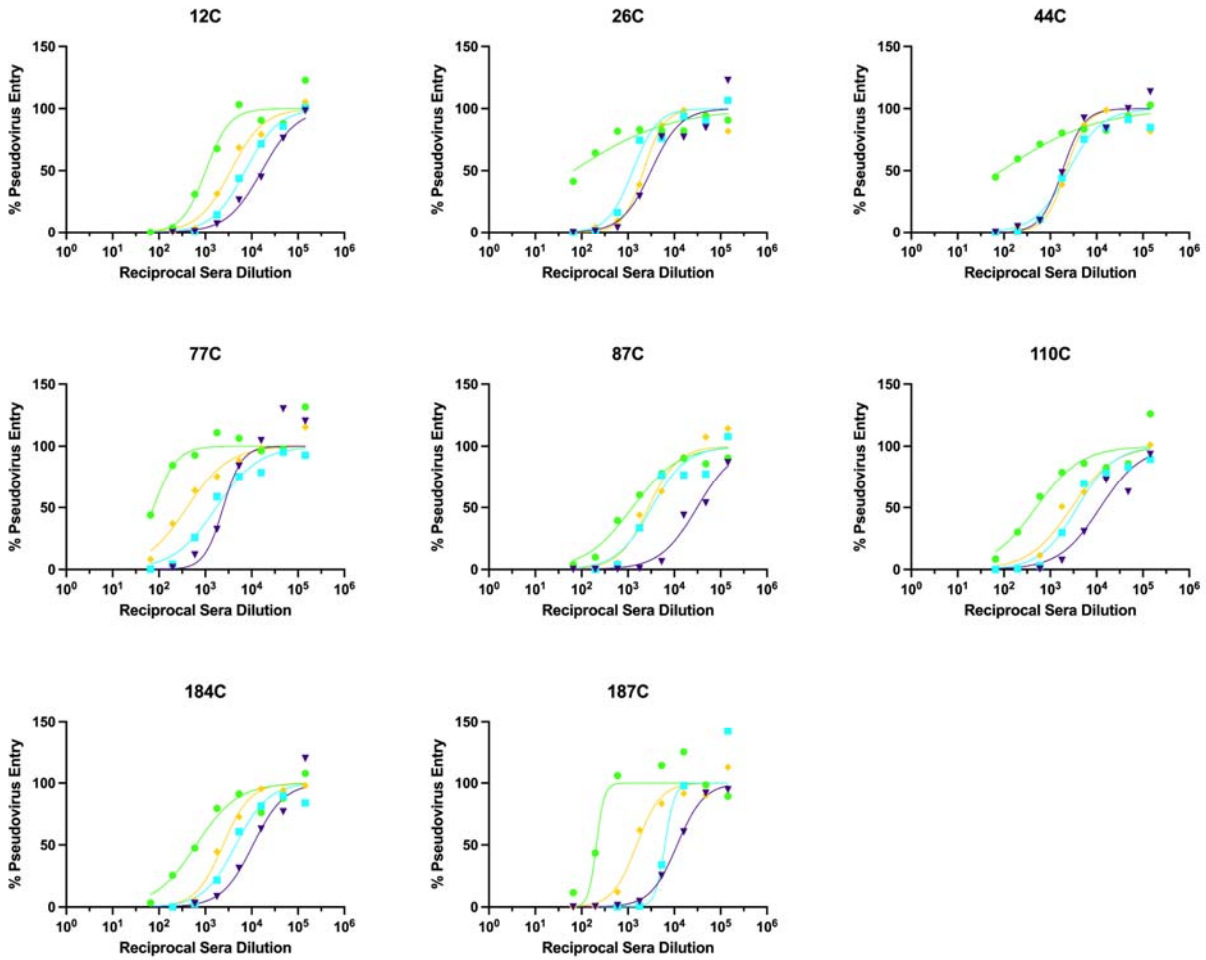


**Figure S6:** Normalized neutralization curves using VSV pseudovirus on VeroE6-TMPRSS2 cells for 2X infected/vaccinated subjects ~112 days post second vaccination.

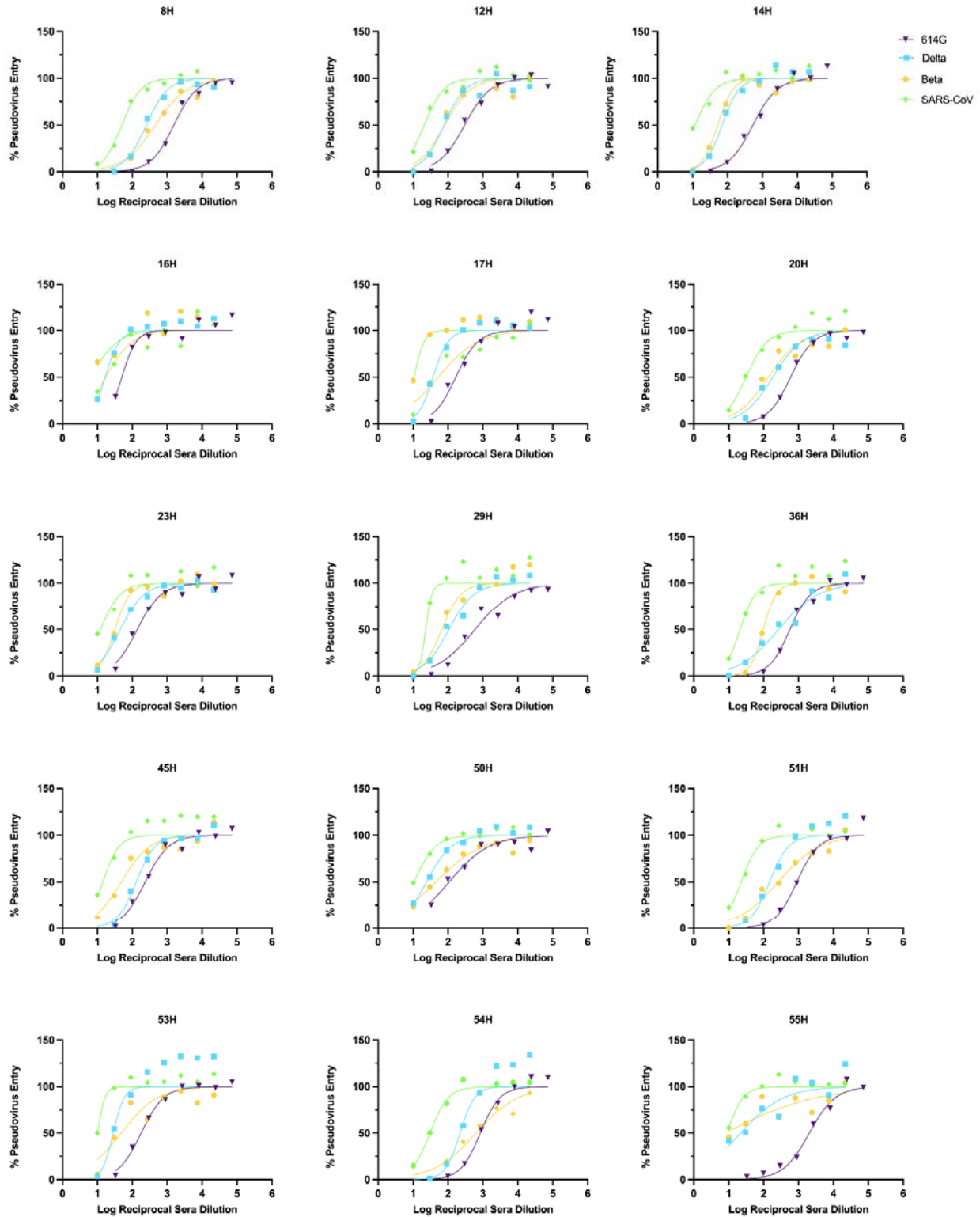




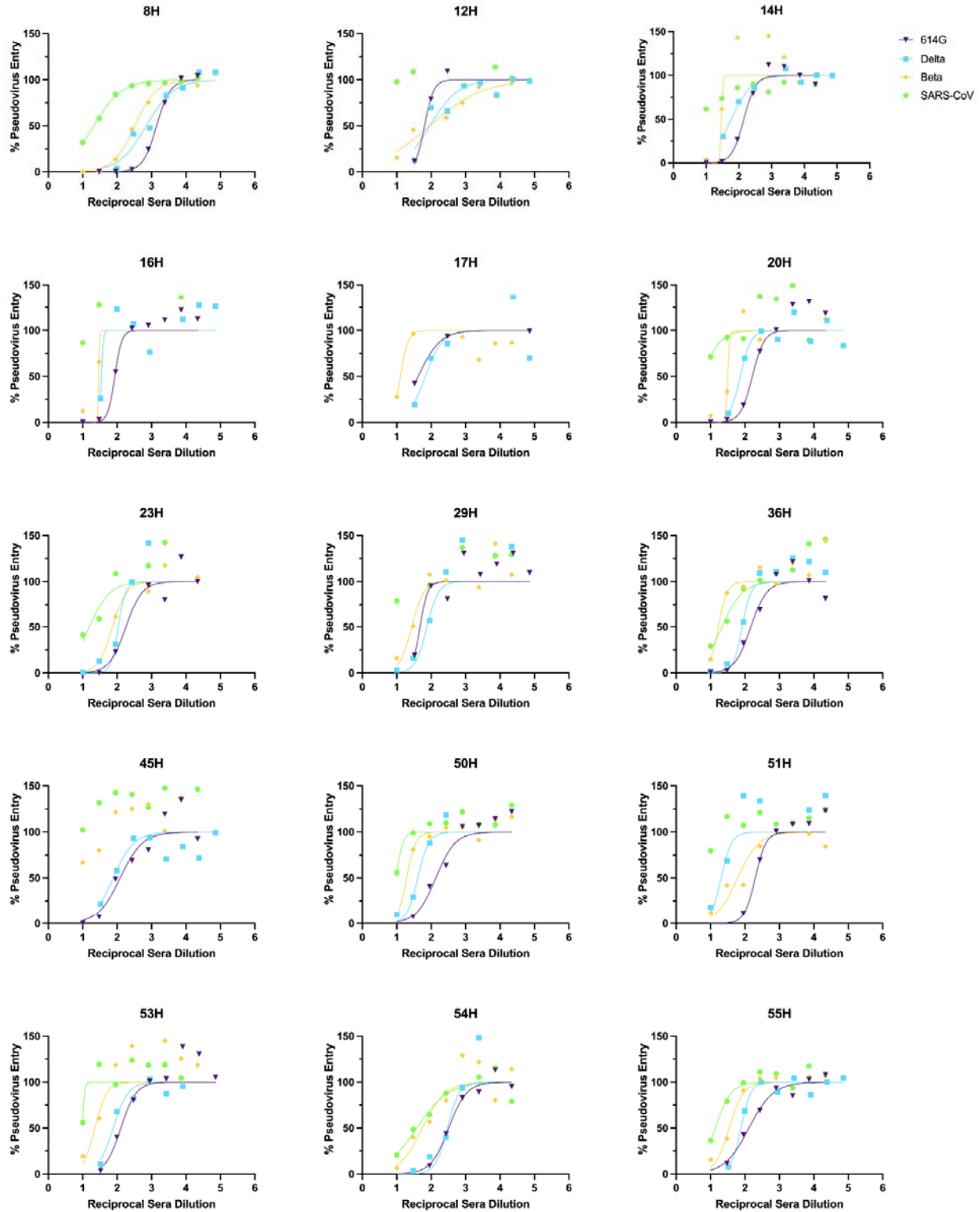
**Figure S7:** Normalized neutralization curves using VSV pseudovirus on VeroE6-TMPRSS2 cells for infected/vaccinated subjects ~180 days post second vaccination.



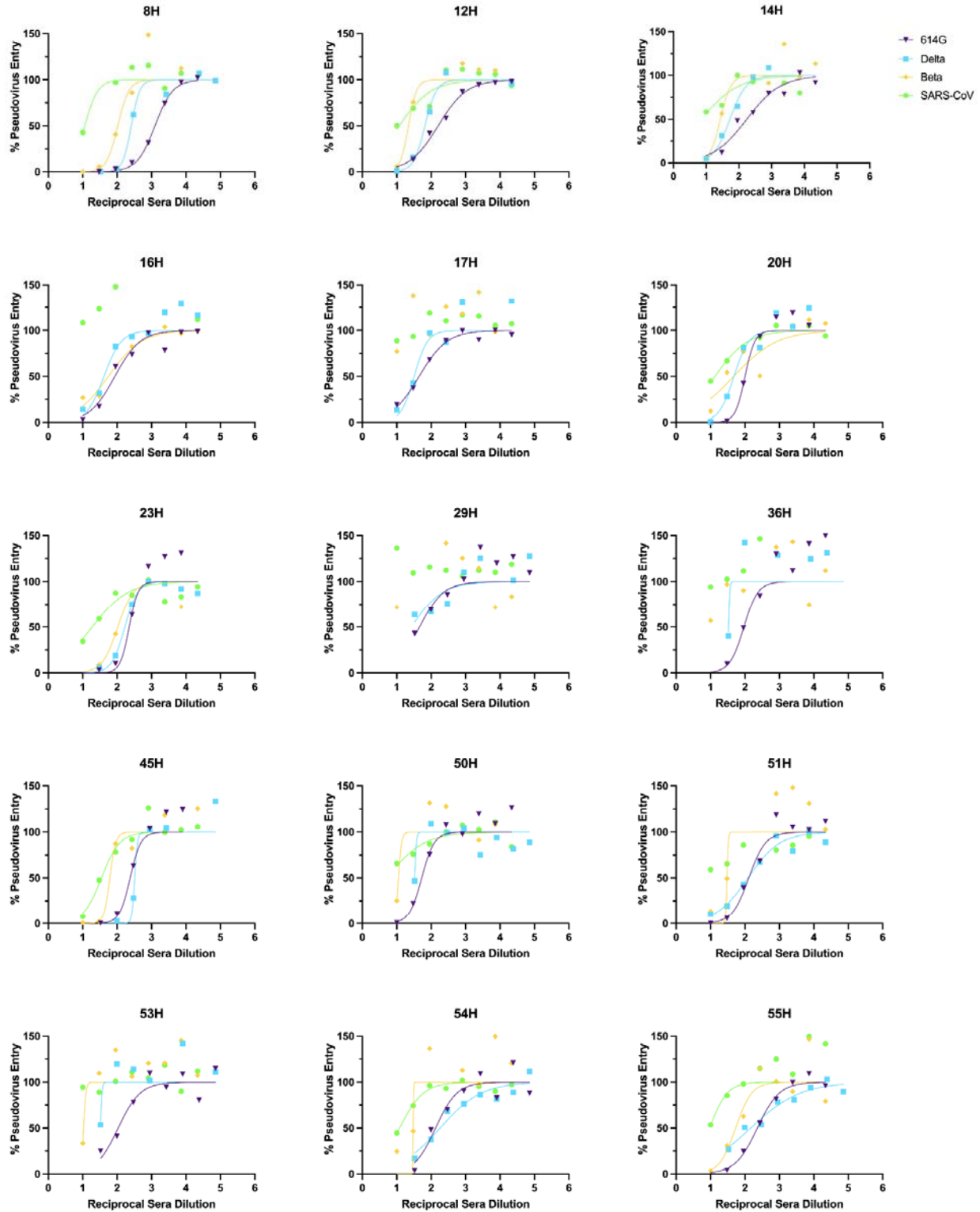
**Figure S8:** Normalized neutralization curves using VSV pseudovirus on VeroE6-TMPRSS2 cells for 3X infected/vaccinated subjects ~10 days post third vaccination.



**Figure S9:** Normalized neutralization curves using VSV pseudovirus on VeroE6-TMPRSS2 cells for 2X vaccinated-only subjects 10 days post second vaccination.

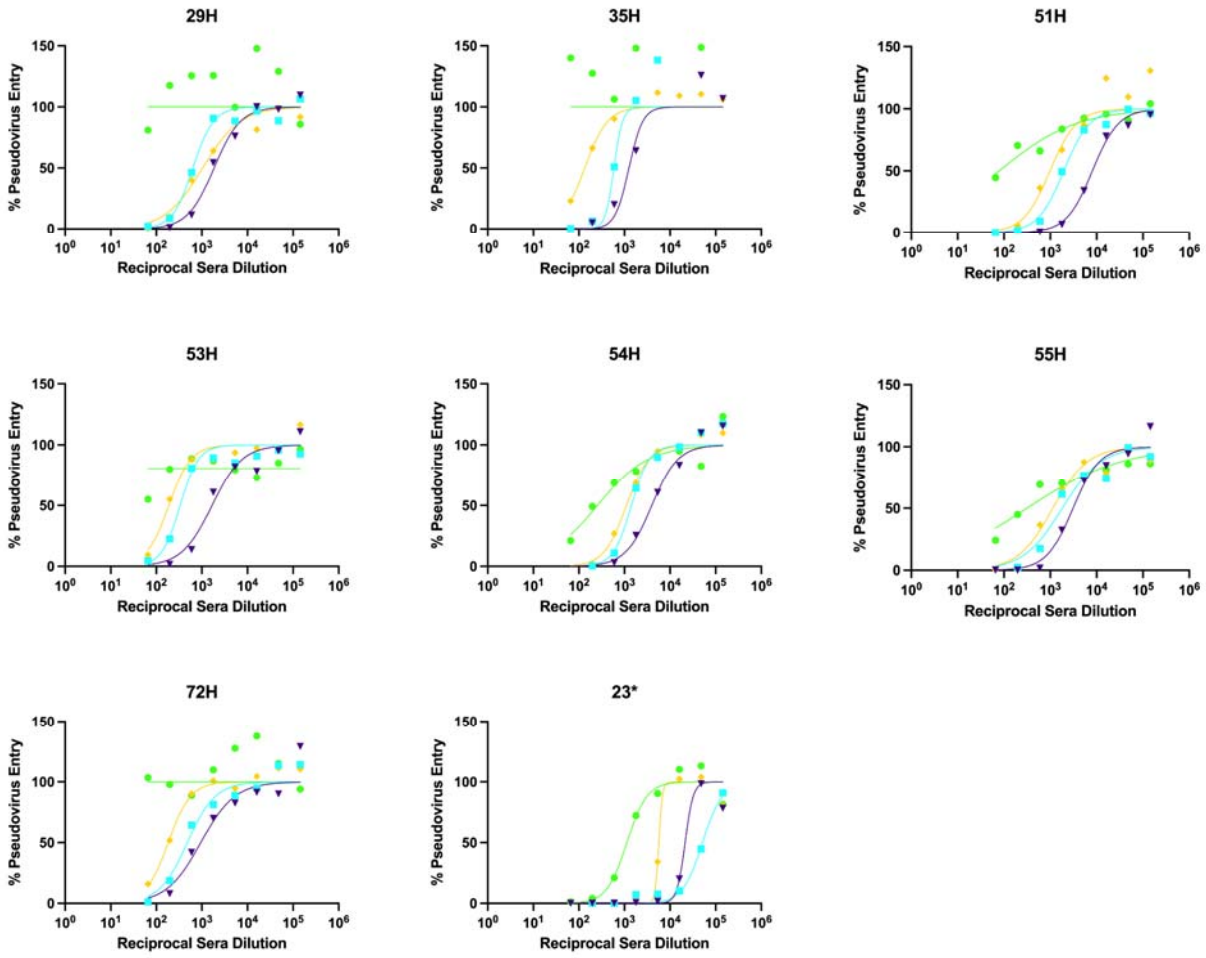


**Figure S10:** Normalized neutralization curves using VSV pseudovirus on VeroE6-TMPRSS2 cells for 2X vaccinated only subjects ~112 days post second vaccination.

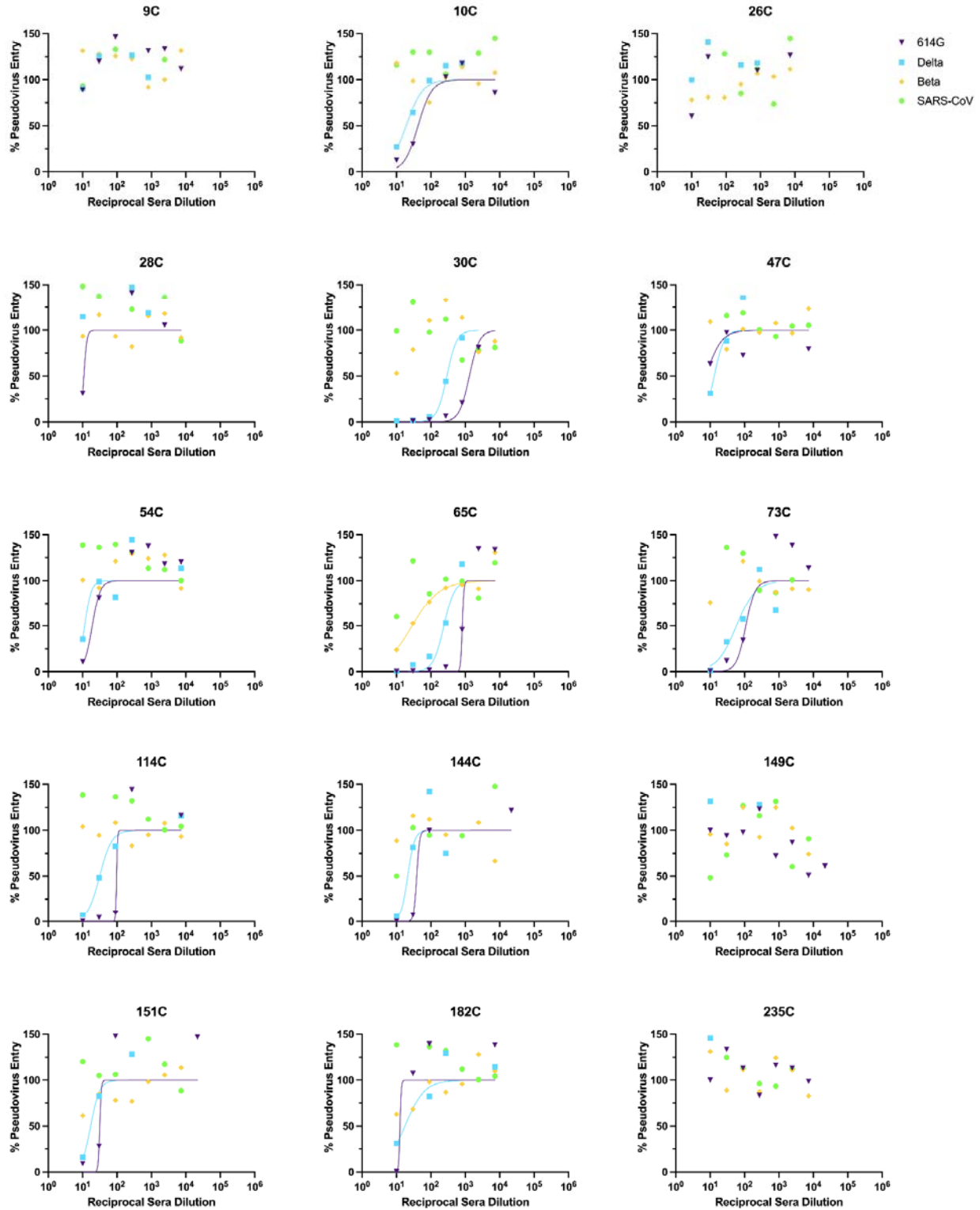


**Figure S11:** Normalized neutralization curves using VSV pseudovirus on VeroE6-TMPRSS2 cells for 2X vaccinated-only subjects ~180 days post second vaccination.





**Figure S12:** Normalized neutralization curves using VSV pseudovirus on VeroE6-TMPRSS2 cells for 3X vaccinated-only subjects ~10 days post third vaccination.



**Figure S13:** Normalized neutralization curves using VSV pseudovirus on VeroE6-TMPRSS2 cells for HCS 30 days post vaccination.

## Methods:

### Human Sera/Plasma:

Blood samples were collected from participants as part of the Hospitalized or Ambulatory Adults with Respiratory Viral Infections (HAARVI) study and was approved by the University of Washington Human Subjects Division Institutional Review Board (STUDY00000959). Baseline socio-demographic and clinical data for these individuals are summarized in Tables S1-S5. All samples were sera except for the 60 day Delta breakthrough samples which were ACD plasma.

### Sequencing of Delta Breakthrough Individuals:

Participants were enrolled after SARS-CoV-2 positive RT-PCR results with S-Gene Dropout. SARS-CoV-2 genome sequencing was then conducted using a targeted enrichment approach to confirm Delta variant status. RNA from positive specimens was converted to cDNA using random hexamers and reverse transcriptase (Superscript IV, Thermo) and a sequencing library was constructed using the Illumina TruSeq RNA Library Prep for Enrichment kit (Illumina). The sequencing library was enriched for SARS-CoV-2 using the Twist Respiratory Virus Research Panel (Twist). Libraries were sequenced on a MiSeq or NextSeq instruments. The resulting reads were assembled against the SARS-CoV-2 reference genome Wuhan-Hu-1/2019 (Genbank accession MN908947) using the bioinformatics pipeline <https://github.com/seattleflu/assembly>. Consensus sequences were deposited to Genbank and GISAID (Bedford et al., 2020). Sequence quality was determined using Nextclade version 1.0.0-alpha.8 (<https://clades.nextstrain.org/>). Lineage was assigned using the Pangolin COVID-19 Lineage Assigner version 3.1.11 (<https://pangolin.cog-uk.io/>)(Paredes et al., 2021).

### Recombinant Protein Expression and purification:

The SARS-CoV-2 Hexaprop S (Hsieh et al., 2020), SARS-CoV-2 Delta VFLIP S with the native furin cleavage site (McCallum et al., 2021a; Olmedillas et al., 2021), SARS-CoV S 2P (Pallesen et al., 2017; Walls et al., 2020b), HKU1 S2P, and OC43 S(Tortorici et al., 2019) ectodomains were produced in Expi293F Cells (ThermoFisher Scientific) grown in suspension using Expi293 Expression Medium (ThermoFisher Scientific) at 37°C in a humidified 8% CO<sub>2</sub> incubator with constant rotation at 130 rpm. Cells grown to a density of 3 million cells per mL were transfected using the ExpiFectamine 293 Transfection Kit (ThermoFisher Scientific) and cultivated for four days at which point the supernatant was harvested. SARS-CoV-2 Hexaprop S, SARS-CoV-2 Delta VFLIP S, SARS-CoV S2P, and HKU1 S2P ectodomains were purified from clarified supernatants using a HisTrapHP column (Cytiva) and washed with 10 column volumes of 25 mM sodium phosphate pH 8.0 and 150 mM NaCl before elution on a gradient up to 500 mM imidazole. OC43 S fused to strep tag, the supernatant was clarified by 10 minute centrifugation at 800xg and brought to 100 mM Tris-HCl pH 8, 150 mM NaCl, and 18.1 mL/liter biotin blocking solution (BioLock). After a 20-minute incubation, supernatant was further centrifuged at 10,000xg for 20 minutes. Supernatant was then bound to a 1 mL StrepTrap HP column (Cytiva) and washed with 10 column volumes of 100 mM Tris-HCl, 150 mM NaCl, and 1 mM EDTA pH 8 before elution in wash buffer supplemented with 2.5 mM desthiobiotin. Purified protein was buffer exchanged into 20 mM Tris-HCl pH 8.0 and 100 mM NaCl, concentrated using 100 kDa MWCO centrifugal filters (Amicon Ultra) to 1-2 mg/mL, and flash frozen.

#### ELISA:

For anti-S ELISA, 50  $\mu$ L of 2-6  $\mu$ g/mL S was plated onto 384-well Nunc Maxisorp (ThermoFisher) plates in PBS and sealed overnight at 4°C. The next day plates were washed 4 x in Tris Buffered Saline Tween (TBST- 20mM Tris pH 8, 150mM NaCl, 0.1% Tween) using a plate washer (BioTek) and blocked with Casein (ThermoFisher) for 1 h at 37°C. Plates were washed 4 x in TBST and 1:5 serial dilutions of human sera or plasma were made in 50  $\mu$ L TBST starting at 1:10, 1:50, or 1:250 and incubated at 37°C for 1 h. Plates were washed 4 x in TBST, then anti-human (Invitrogen) horseradish peroxidase-conjugated antibodies were diluted 1:5,000 and 50  $\mu$ L added to each well and incubated at 37°C for 1 h. Plates were washed 4 x in TBST and 50  $\mu$ L of TMB (SeraCare) was added to every well for 5 min at room temperature. The reaction was quenched with the addition of 50  $\mu$ L of 1 N HCl. Plates were immediately read at 450 nm on a VarioSkanLux plate reader (ThermoFisher) and data plotted and fit in Prism (GraphPad) using nonlinear regression sigmoidal, 4PL, X is log(concentration) to determine EC<sub>50</sub> values from curve fits. Where the curve did not reach an OD450 of 4, a constraint of OD450 4 was placed on the upper bounds of the fit.

#### VSV Pseudovirus Production:

G614 SARS-CoV-2 S (YP 009724390.1), Delta S, Beta S, and SARS-CoV S pseudotyped VSV viruses were prepared as described previously (McCallum et al., 2021a; Walls et al., 2021b). Briefly, HEK293T cells in DMEM supplemented with 10% FBS, 1% PenStrep seeded in 10-cm dishes were transfected with the plasmid encoding for the corresponding S glycoprotein using lipofectamine 2000 (Life Technologies) following the manufacturer's instructions. One day post-transfection, cells were infected with VSV(G\* $\Delta$ G-luciferase)(Kaname et al., 2010) and after 2 h were washed five times with DMEM before adding medium supplemented with anti-VSV-G antibody (I1- mouse hybridoma supernatant, CRL- 2700, ATCC). Virus pseudotypes were harvested 18-24 h post-inoculation, clarified by centrifugation at 2,500 x g for 5 min, filtered through a 0.45  $\mu$ m cut off membrane, concentrated 10 times with a 30 kDa cut off membrane, aliquoted and stored at -80°C.

#### VSV Pseudovirus Neutralization:

VeroE6-TMPRSS2 (Lempp et al., 2021) were cultured in DMEM with 10% FBS (Hyclone), 1% PenStrep and 8  $\mu$ g/mL puromycin (to ensure retention of TMPRSS2) with 5% CO<sub>2</sub> in a 37°C incubator (ThermoFisher). Cells were trypsinized using 0.05% trypsin and plated to 40,000 cells/well. The following day, cells were checked to be at 80% confluence. In an empty half-area 96-well plate, a 1:3 serial dilution of sera was made in DMEM and diluted pseudovirus was then added and incubated at room temperature for 30-60 min before addition of the sera-virus mixture to the cells at 37°C. 2 hours later, 40  $\mu$ L of a DMEM solution containing 20% FBS and 2% PenStrep was added to each well. After 17-20 hours, 40  $\mu$ L/well of One-Glo-EX substrate (Promega) was added to the cells and incubated in the dark for 5-10 min prior to reading on a BioTek plate reader. Measurements were done at least in duplicate using distinct batches of pseudoviruses and one representative experiment is shown. Relative luciferase units were plotted and normalized in Prism (GraphPad). Nonlinear regression of log(inhibitor) versus normalized response was used to determine IC<sub>50</sub> values from curve fits. Normality was tested

using the D'agostino-Pearson test and in the absence of a normal distribution, kruskal-wallis tests were used to compare two groups to determine whether differences reached statistical significance. Fold changes were determined by comparing individual animal IC<sub>50</sub> and then averaging the individual fold changes for reporting.

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