It ain't what you do, it's what drives why you do it: how the basis of growers' decision making affects the effectiveness of disease control

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Abstract While the spread of plant disease depends strongly on biological factors controlling transmission, epidemics clearly also have a human dimension. Disease control depends on decisions made by individual growers, who are in turn influenced by a broad range of factors. Despite this, human behaviour has rarely been included in plant epidemic models. Considering Cassava Brown Streak Disease, we model how the perceived benefit of disease control influences participation in clean seed systems (CSS). Our models are rooted in game theory, with growers making strategic decisions based on the expected profitability of different control strategies. We find that both the information used by growers to assess profitability and the perception of economic and epidemiological parameters influence long-term participation in the CSS. Over-estimation of infection risk leads to lower participation in the CSS, as growers perceive that paying for the CSS will be futile. Additionally, though the CSS can reduce the burden of disease, and allow a scenario in which all growers control, disease is not eliminated from the system. These results are robust to stochastic and spatial effects. Our work highlights the importance of including human behaviour in plant disease models, but also the significance of how that behaviour is included.

Introduction

Human behaviour is intuitively important in the control of infectious disease, as individuals often face choices when adopting infection-limiting behaviours. This has been widely considered in the context of human (e.g. *Bauch and Earn* (*2004*); reviewed in *Chang et al.* (*2020*)) and animal (e.g. *Delabouglise and Boni* (*2020*), *Mohr et al.* (*2020*)) diseases, though there have been fewer studies for plant diseases (*Milne et al.* (*2016*), *McQuaid et al.* (*2017a*), *Szyniszewska et al.* (*2019*), *Milne et al.* (*2020*), *Bate et al.* (*2021*)). Where studies do consider individual growers' decisions there is little justification of how decision-making is modelled, although it clearly will affect the outcomes of their decisions.

Decisions regarding disease control are intrinsically linked to disease prevalence. Clearly, they must also depend upon considerations such as the cost of control, the consequences of infection and the perceived risk of becoming infected. Additionally, and crucially, decisions made by one grower will influence the decisions of another. Voluntary disease control programmes can be viewed as a collective action problem (also termed social dilemmas; *Siegal et al.* (*2009*)) as they generate externalities that affect the outcomes of those not involved in the programme. By re-

ducing or eliminating their own chance of infection, growers also lower the probability that their neighbours will be infected, thereby generating a positive externality that disincentives their neighbours' engagement in disease prevention (*Geoffard and Philipson* (*1997*)).

Such "free-riding" behaviour is indirectly encouraged when there is higher overall participation in control schemes, as growers are less likely to become infected whenever the proportion controlling is high. Yet if too many growers engage in free-riding behaviour, there will be a resurgence of infections, making disease control more likely. These feedback loops are believed to be a major reason why some public health schemes for human disease, such as subsidised vaccination campaigns, have failed to lead to disease eradication (*Geoffard and Philipson* (*1997*)). Consequently, the success of voluntary control policies may be self-limiting.

The strategic nature of these behaviours lends itself to game-theoretic analysis. Game theory is used to study decision making in settings where an individual (or "player") can choose from a variety of strategies, with the aim of choosing one that will maximise their desired outcome ("payoff") (*Morris* (*2012*)). No individual makes a decision in isolation, and the best strategy to play will depend on the strategy choices of others.

Game theoretic approaches have been used in human and veterinary disease modelling, often based on evolutionary game theory (for example, *Bauch* (*2005*) and *Delabouglise and Boni* (*2020*) both use replicator-dynamic inspired approaches to model vaccination uptake). In this formalism, in a two-strategy model, the performance of the player's own strategy is compared against that of the average performance of the population. If the payoff is less than that of the population, the focal player changes strategy; if it is higher, they remain with their current strategy. For plant disease, this would involve growers comparing the average profit of all of those using their own control strategy with the average profit growers in the population (we call this the "strategy vs. population" model). Of course this assumes, perhaps unrealistically, that growers will consider the profit of all those using the same control strategy.

Alternatively, in both *Milne et al.* (*2016*) and *McQuaid et al.* (*2017a*), growers compare their own profit with the average profit of those playing the alternative strategy (henceforth the "grower vs. alternative" model). Ostensibly this seems a more likely comparison a grower will make, as it requires less information. Other models combine elements of both approaches, since growers compare their strategy with the expected profit of the alternative strategy ("strategy vs. alternative" model) or compare their own profit with the average of the population ("grower vs. population" model). To our knowledge, the "grower vs." models have never been compared to date in publication.

To examine the effects of these different models of growers' decision making, we use a simple model describing the spread of Cassava Brown Streak Disease (CBSD) based on the model presented in *McQuaid et al.* (*2017a*). Cassava (*Manihot esculenta*) is a staple food in Sub-Saharan Africa, where it is predominantly grown as a subsistence crop. Cassava production is threatened by CBSD, a viral disease caused by either cassava brown streak virus (CBSV, *Monger et al.* (*2001*)) or Ugandan CBSV (UCBSV; *Patil et al.* (*2011*)). Infection results in necrosis of the stems and tubers, leading to yield losses of up to 70% (*Hillocks et al.* (*2001*)). Coupled with its increasing spread from east to west Africa, this positions CBSD as a major challenge to cassava cultivation across the region (*Legg et al.* (*2014*)).

Both viruses are transmitted horizontally by a whitefly vector (*Bemisia tabaci*, *Maruthi et al.* (*2005*)) and vertically through the replanting of infected stem cuttings. Informal trade of cassava cuttings is widespread amongst growers, though the subtlety of symptoms often means that the practice often contributes to vegetative propagation of infected material (*Chipeta et al.* (*2016*)) and long-range spread of CBSD between growers (*McQuaid et al.* (*2017b*)).

These transmission pathways mean that clean seed systems (CSSs) are a plausible CBSD management strategy (*Legg et al.* (*2017*). CSSs disseminate virus-free planting material, thereby avoiding the vegetative propagation of infected material. The success of CSSs will depend on grower behaviour, as participation will be governed by the balance of costs and benefits of the scheme.

This, and the combination of trade- and whitefly-mediated pathogen transmission, means that a grower's profit will depend on the action of others, making the system amenable to game theoretic analysis.

The overall objective of this work is to investigate how including grower behaviour in plant epidemic models affects the outcome of control schemes, and how the factors influencing behaviour can best be manipulated to encourage control uptake. We use our behavioural model alongside the CBSD case study to address the following: (1) When a control strategy provides incomplete protection from disease, can a scenario where all growers adopt control be attained and maintained in a population? (2) How does grower participation in the CSS depend on epidemiologically and economically important parameters? (3) How do these results differ when the quantities of comparisons driving growers' decision-making change? (4) How does systemic uncertainty in epidemiological parameters affect participation in the CSS? (5) Are the results affected by adding stochasticity and space to the model?

Materials and Methods

Non-spatial epidemiological model

Our underlying non-spatial model (Figure 1(A)) is a simplified representation of a CSS. Fields are classified by infection status (susceptible, S, or infected, I), as well as whether their growers currently control, i.e. used certified clean seed the last time the field was planted (subscripts: controller, C, or non-controller, N). We assume each grower cultivates only a single field, and so use the terms "grower" and "field" synonymously. Infection status and control status are binary classifications; we do not model within-field spread of disease, and assume controllers plant only certified virus-free material.

Susceptible fields are vulnerable to horizontal infection (at rate β) due to viruliferous vectors spreading from infected fields (fields of class I_C and I_N) (Table 1). Vertical infection also occurs via infected propagation material. We assume the clean seed program is effective, and so the propagation material used by controllers is never infected. The probability of a non-controller becoming vertically infected ($p_N(\text{Vert})$, Equation 19) depends jointly on the probability of acquiring infected planting material from an individual infected field (p) and the prevalence of disease at the time of planting $\left(\frac{I_C + I_N}{N} \right)$. The season length ($1/\gamma$) is independent of the control strategy adopted in, and infection status of, any given field. Since we use a continuous time model, fields are asynchronously harvested and replanted (*Madden et al., 2007*), a plausible assumption for cassava cultivation in much of Sub-Saharan Africa (*Szyniszewska, 2020*).

To integrate behavioural dynamics, we represent the decisions made by growers at the time of planting by *switching terms*. These reflect the probability of growers switching strategy for their following crop, based on their current strategy and the current state of the system, as well as, potentially, whether their previous crop was infected. In general, we distinguish four switching terms (Figure 1(A)).

$$z_{SC} = \mathbb{P}\left(\text{switch from } C \text{ to } N | \text{previous crop remained uninfected } (S)\right), \tag{1}$$

$$z_{IC} = \mathbb{P}\left(\text{switch from } C \text{ to } N | \text{previous crop was infected } (I)\right), \tag{2}$$

$$z_{SN} = \mathbb{P}\left(\text{switch from } N \text{ to } C | \text{previous crop remained uninfected } (S)\right), \tag{3}$$

$$z_{IN} = \mathbb{P}\left(\text{switch from } N \text{ to } C | \text{previous crop was infected } (I)\right). \tag{4}$$

As described below, the probabilities encoded in the switching terms depend on growers' assessments of likely profits, and thus depend on the comparisons we assume are made by growers.

Table 1. Summary of parameter values. Parameters below the horizontal line are only required in the spatially-explicit version of the model.

Parameter	Meaning	Value	Reference
$1/\gamma$	Length of the growing season	300 days	*McQuaid et al.* (*2017a*); *Jeger et al.* (*2004*)
β	Rate of secondary infection	8.93×10^{-6} day^{-1} field^{-1}	Calibrated to *McQuaid et al.* (*2017a*)
p	Probability of planting infected cuttings	0.8	Assumed (see main text)
η	Responsiveness of growers	10	Assumed (see main text)
Y	Maximum yield	1	All values scaled relative to yield
L	Loss due to infection	0.6	*Hillocks et al.* (*2001*); *Ephraim et al.* (*2015*)
ϕ	Cost of control	0.25	*McQuaid et al.* (*2017a*)
N	Total number of fields/growers	750	Illustrative
$S_C(0)$	Initial proportion of susceptible controllers	$0.1N$	Illustrative
$I_C(0)$	Initial proportion of infected controllers	0	Illustrative
$S_N(0)$	Initial proportion of susceptible non-controllers	$0.89N$	Illustrative
$I_N(0)$	Initial proportion of infected non-controllers	$0.01N$	Illustrative
α	Dispersal scale parameter for whitefly	150 m	*McQuaid et al.* (*2017a*); *Byrne* (*1999*)
β_S	Effective rate of secondary infection (spatial)	110 day^{-1} field^{-1}	Calibrated to *McQuaid et al.* (*2017a*)

Our general non-spatial model is

$$\frac{dS_C}{dt} = \gamma\left(S_C(1-z_{SC}) + I_C(1-z_{IC}) + S_N z_{SN} + I_N z_{IN}\right) - \beta S_C\left(I_C + I_N\right) - \gamma S_C, \quad (5)$$

$$\frac{dI_C}{dt} = \beta S_C\left(I_C + I_N\right) - \gamma I_C, \quad (6)$$

$$\frac{dS_N}{dt} = \gamma\left(S_C z_{SC} + I_C z_{IC} + S_N(1-z_{SN}) + I_N(1-z_{IN})\right)\left(1 - \frac{p(I_C + I_N)}{N}\right) - \beta S_N\left(I_C + I_N\right) - \gamma S_N, \quad (7)$$

$$\frac{dI_N}{dt} = \gamma\left(S_C z_{SC} + I_C z_{IC} + S_N(1-z_{SN}) + I_N(1-z_{IN})\right)\left(\frac{p(I_C + I_N)}{N}\right) + \beta S_N\left(I_C + I_N\right) - \gamma I_N. \quad (8)$$

Strategies, outcomes and profits

For simplicity, we assume only a single control strategy is available, the CSS. Thus, there are only two pure strategies available to growers at the beginning of each season: to control for disease, or to not control (Figure 1(B)). Within each strategy, there are two outcomes realised at the time of harvesting: the grower's field may remain uninfected or have become infected.

Each strategy × outcome combination results in a different payoff (Figure 1(B)). An uninfected field generates yield Y, with loss of income, L, in infected fields. Control incurs a cost ϕ. The profit for each outcome is (Table 3):

$$P_{SN} = \text{Profit for non-controller who remains susceptible} = Y, \quad (9)$$

$$P_{IN} = \text{Profit for non-controller who becomes infected} = Y - L, \quad (10)$$

$$P_{SC} = \text{Profit for controller who remains susceptible} = Y - \phi, \quad (11)$$

$$P_{IC} = \text{Profit for controller who becomes infected} = Y - \phi - L, \quad (12)$$

Rational growers will never control if $L < \phi$, since then the cost of clean seed exceeds the loss due to infection. We therefore only consider $L > \phi$, from which it follows that:

$$P_{SN} > P_{SC} > P_{IN} > P_{IC}. \quad (13)$$

Figure 1. Epidemiological and behavioural models. (A) The epidemiological model distinguishes growers who control (i.e. plant clean seed) from those who do not. Only non-controllers can become infected via vertical transmission, although all growers are subject to horizontal transmission due to movement of vectors from infected fields (Equations 5-8). When replanting growers potentially switch strategies. (B) Strategies, outcomes and profits; the latter account for the loss of yield if infected and the cost of participating in the control scheme. (C) Decisions to switch are based on a switching function, parameterising the probability of switching strategy z as a function of Δ as difference in predicted profits. Figures (A) and (B) were created with BioRender.com.

Risks of infection

We initially focus on the case in which growers can estimate risks of infection relatively precisely. In particular, we assume that growers use their current instantaneous probability of infection as an estimate of their instantaneous probability of horizontal infection over the next season:

$$\text{Instantaneous probability of horizontal infection} = \frac{\text{Instantaneous infection rate}}{\text{Instantaneous infection rate} + \text{Harvesting rate}}, \tag{14}$$

$$= \frac{\beta(I_C + I_N)}{\beta(I_C + I_N) + \gamma}. \tag{15}$$

For controllers, clean seed rules out vertical transmission. Equation 15 therefore sets a grower's estimate of the probability of infection next season if they were to choose to control.

$$q_C = \text{Grower's estimate of the probability of infection next season if control is adopted,} \tag{16}$$

$$= \frac{\beta(I_C + I_N)}{\beta(I_C + I_N) + \gamma}. \tag{17}$$

For non-controllers, vertical infection must also be considered. We again assume growers can estimate the relevant instantaneous probability of vertical transmission ($p_N(\text{Vert})$) with perfect accuracy:

$$p_N(\text{Vert}) = \frac{\text{Probability of vertical transmission}}{\text{Total number of fields}}, \tag{18}$$

$$= \frac{p(I_C + I_N)}{N}. \tag{19}$$

A non-controller's instantaneous probability of horizontal infection ($p(\text{Horiz})$) must account for the fact that they may first be infected via vertical transmission, leading to:

$$p_N(\text{Horiz}) = \frac{\text{Instantaneous probability of horizontal transmission}}{} \times \left(1 - \frac{\text{Instantaneous probability of vertical transmission}}{}\right), \tag{20}$$

$$= \left(\frac{\beta(I_C + I_N)}{\beta(I_C + I_N) + \gamma}\right)\left(1 - \frac{p(I_C + I_N)}{N}\right). \tag{21}$$

Combining these gives us the instantaneous probability of infection for a non-controller:

$$q_N = \text{Grower's estimate of the probability of infection next season if control is not adopted,} \tag{22}$$

$$= p_N(Vert) + p_N(Horiz), \tag{23}$$

$$= \frac{p(I_C + I_N)}{N} + \left(\frac{\beta(I_C + I_N)}{\beta(I_C + I_N) + \gamma}\right)\left(1 - \frac{p(I_C + I_N)}{N}\right). \tag{24}$$

Expected profits

Our behavioural models assume that – at the time of planting – individual growers estimate the expected profit for the next season of adopting each strategy. These depend on the payoffs for each strategy × outcome combination (Equations 9-12) and the estimated probabilities of infection under each strategy (Equations 17 and 24). In particular, the estimated expected profit for a grower who controls would be

$$P_C = \text{Grower's estimate of the expected profit next season if control is adopted,} \tag{25}$$

$$= q_c P_{IC} + (1 - q_c)P_{SC}, \tag{26}$$

$$= Y - \phi - L\left(\frac{\beta(I_C + I_N)}{\beta(I_C + I_N) + \gamma}\right). \tag{27}$$

The corresponding estimate without control is

$$P_N = \text{Grower's estimate of the expected profit next season if control is not adopted,} \tag{28}$$

$$= q_N P_{IN} + (1 - q_N)P_{SN} \tag{29}$$

$$= Y - L\left(\frac{p(I_C + I_N)}{N} + \left(\frac{\beta(I_C + I_N)}{\beta(I_C + I_N) + \gamma}\right)\left(1 - \frac{p(I_C + I_N)}{N}\right)\right). \tag{30}$$

Table 2. Summary of the information required by growers to carry out each means of comparison. "Controller prevalence" refers to the proportion of growers that adopted control the last time their field was planted (i.e. $\frac{S_C + I_C}{N}$) and "disease prevalence" is the proportion of growers that are currently infected (i.e. $\frac{I_N + I_C}{N}$).

	Disease prevalence	Controller prevalence	Own outcome
Strategy vs population	✓	✓	X
Strategy vs alternative	✓	X	X
Grower vs population	✓	✓	✓
Grower vs alternative	✓	X	✓

The expected profit averaged over all growers is

$$P = \text{Grower's estimate of the expected profit next season averaged over the population,} \quad (31)$$

$$= P_C \left(\frac{S_C + I_C}{N} \right) + P_N \left(\frac{S_N + I_N}{N} \right). \quad (32)$$

Estimating P therefore requires growers to know the proportion of the population controlling, and the estimate is instantaneous, i.e. based on the state of the system at the time of planting.

Behavioural models

We systematically examine different ways to construct the switching terms in Equations 5 - 8. All set z, the probability of an individual grower switching strategy, as a function of Δ, an expected difference in profit, via

$$z = \max\left(0, 1 - e^{-\eta\Delta}\right) = \begin{cases} 1 - e^{-\eta\Delta} & \text{if } \Delta \geq 0 \\ 0 & \text{otherwise.} \end{cases} \quad (33)$$

Since growers aim to maximise their profit, they only consider switching strategy when the comparison appears to offer a higher payoff (i.e. when $\Delta > 0$; Figure 1(C)). The parameter η sets the responsiveness of growers to a unit difference in profit.

Differences between behavioural models turn on how Δ is estimated. We consider four possible comparisons.

- **Strategy vs. population.** Growers compare the expected profit for their current strategy with the expected profit across the entire population of growers.
- **Strategy vs. alternative strategy.** Growers compare the expected profit for their current strategy with the expected profit of the alternative strategy.
- **Grower vs. population.** Growers compare their own outcome during the previous season with the expected profit across the entire population of growers.
- **Grower vs. alternative strategy.** Growers compare their own outcome during the previous season with the expected profit of the alternative strategy.

A summary of the information required for each means of comparison is provided in Table 2. The "strategy vs." models are inspired by evolutionary game theory (e.g. *Bauch* (*2005*) and *Delabouglise and Boni* (*2020*)), with Δ depending on the expected profit for the strategy currently adopted. The "grower vs." models are closer to previous usage in plant disease epidemiology (e.g. *McQuaid et al.* (*2017a*) and *Milne et al.* (*2016*)), with the outcome obtained by the grower for their last crop used as the point of comparison. Table 3 provides a summary of the symbols used in the behavioural model.

Strategy vs. population

In the "strategy vs." models, the switching probabilities are decoupled from the outcome during the previous season, i.e. $z_{SC} = z_{IC} = z_C$ and $z_{SN} = z_{IN} = z_N$. The probability of a controller switching

Table 3. Summary of symbols used in defining the behavioural models. The outcomes $i \in \{S, I\}$ represent – at the end of the season – fields that are (S)usceptible and (I)nfected, respectively. The strategies $j \in \{C, N\}$ correspond to (C)ontrollers (i.e. use clean seed) and (N)on-controllers (i.e. do not use clean seed), respectively.

Symbol	Meaning	Defined in
z_{ij}	Switching probability for grower in infection class $i \in \{S, I\}$ who used strategy $j \in \{C, N\}$	Equations 1-4
P_{ij}	Profit for grower with outcome $i \in \{S, I\}$ who used strategy $j \in \{C, N\}$	Equations 9-12
q_j	Estimated probability at time of planting of infection if go onto use strategy $j \in \{C, N\}$	Equations 17 and 24
$p_N(\text{Vert})$	Estimated probability of vertical infection for non-controllers	Equation 19
$p_N(\text{Horiz})$	Estimated probability of horizontal infection for non-controllers	Equation 21
P_j	Estimated profit at time of planting if go onto use strategy $j \in \{C, N\}$	Equations 27 and 30
P	Estimated profit at time of planting averaged over entire population	Equation 32
Δ	Estimated difference in expected profit	Equation 33
q_β	Perceived value of the rate of horizontal transmission (β)	Equation 46
q_I	Perceived number of infected fields	Equation 47
q_j^k	Estimated value of q_j for grower k (only differs by grower in the spatial model)	Equations 55 and 56
q_α	Perceived value of the whitefly dispersal scale parameter α	Equations 57
q_{β_S}	Perceived value of horizontal transmission in the stochastic, spatial model (β_S)	Equations 58

to no longer use clean seed is

$$z_{SC} = z_{IC} = z_C = \max\left(0, 1 - e^{-\eta(P - P_C)}\right). \tag{34}$$

This probability is only non-zero when the expected profit for a controller is assessed to be smaller than the expected profit over the entire population (i.e. when $P_C < P$). Conversely, the probability of a non-controller switching is

$$z_{SN} = z_{IN} = z_N = \max\left(0, 1 - e^{-\eta(P - P_N)}\right), \tag{35}$$

which takes a non-zero value only when $P_N < P$. Since P is a convex combination of P_C and P_N (the two bracketed terms in Equation 32 are complementary probabilities), only one of P_C or P_N can be greater than P at any time, meaning z_C and z_N cannot simultaneously be non-zero (although if $P_C = P_N$, i.e. the expected profits are equal, then $P = P_C = P_N$ and so $z_C = z_N = 0$).

Strategy vs. alternative strategy

This model is similar to the "strategy vs. population" model, but now instead of comparing to the expected profit of the population, P, growers compare to the expected profit of the alternative strategy (i.e. P_C or P_N, as appropriate). The probability of a controller switching is

$$z_{SC} = z_{IC} = z_C = \max\left(0, 1 - e^{-\eta(P_N - P_C)}\right), \tag{36}$$

which takes a non-zero value only when $P_N > P_C$, i.e. when non-controllers are expected to be more profitable next season. Conversely, the probability of a non-controller switching is

$$z_{SN} = z_{IN} = z_N = \max\left(0, 1 - e^{-\eta(P_C - P_N)}\right), \tag{37}$$

₂₀₄ which is non-zero only when $P_C > P_N$. Here it is clear that only one of z_C and z_N can be non-zero at
₂₀₅ any time, since either $P_C > P_N$ or $P_C < P_N$ (or $P_C = P_N$, in which case no grower would switch since
₂₀₆ the expected profits of the two strategies are equal, and $z_C = z_N = 0$).

Grower vs. population

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₂₀₈ In the "grower vs." models, decisions are made on the basis of grower's own outcome for the last
₂₀₉ crop, and so all four switching probabilities can differ. The ordering of profits in Equation 13 means
₂₁₀ that an uninfected non-controller (i.e. class S_N) should never switch strategy, since these "success-
₂₁₁ ful free-riders" (*Fu et al., 2011*) obtained the highest possible profit for the last crop. Similarly,
₂₁₂ having obtained the "suckers' pay off", a grower who controlled for disease but was nevertheless
₂₁₃ infected (i.e. class I_C) will always have a non-zero probability of switching, since they must have
₂₁₄ obtained a lower than average profit. Whether or not S_C and I_N growers switch strategy depend
₂₁₅ on their profit relative to the expectation over the whole population, P. The switching terms are
₂₁₆ therefore

$$z_{SN} = 0, \tag{38}$$
$$z_{IN} = \max\left(0, 1 - e^{-\eta(P - P_{IN})}\right), \tag{39}$$
$$z_{SC} = \max\left(0, 1 - e^{-\eta(P - P_{SC})}\right), \tag{40}$$
$$z_{IC} = 1 - e^{-\eta(P - P_{IC})}. \tag{41}$$

Grower vs. alternative strategy

₂₁₇
₂₁₈ This model is similar to the "grower vs. population" model, but now growers compare to the ex-
₂₁₉ pected profit of the alternative strategy (i.e. P_C or P_N) rather than that of the population, P, with

$$z_{SN} = 0, \tag{42}$$
$$z_{IN} = \max\left(0, 1 - e^{-\eta(P_C - P_{IN})}\right), \tag{43}$$
$$z_{SC} = \max\left(0, 1 - e^{-\eta(P_N - P_{SC})}\right), \tag{44}$$
$$z_{IC} = 1 - e^{-\eta(P_N - P_{IC})}. \tag{45}$$

Systematic uncertainty

₂₂₀
₂₂₁ The models as presented thus far presume that all growers can perfectly perceive the risk of in-
₂₂₂ fection by knowing the true values of β and the total number of infected individuals (I). However,
₂₂₃ these quantities must actually be estimated by growers. To account for this, we introduce two new
₂₂₄ parameters:

$$q_\beta = v_\beta \beta, \tag{46}$$
$$q_I = v_I(I_N + I_C). \tag{47}$$

₂₂₅ Therefore v_β and v_I scale the values of β and $(I_C + I_N)$ respectively, amounting to either an over- or
₂₂₆ underestimate of their values. This modifies their contributions to the estimated profits in Equation
₂₂₇ 27 and Equation 30, which become:

$$\tilde{P}_C = Y - \phi - L\left(\frac{q_\beta q_I}{q_\beta q_I + \gamma}\right). \tag{48}$$

₂₂₈ and

$$\tilde{P}_N = Y - L\left(\frac{p q_I}{N} + \left(\frac{q_\beta q_I}{q_\beta q_I + \gamma}\right)\left(1 - \frac{p q_I}{N}\right)\right). \tag{49}$$

₂₂₉ Importantly, these changes to how growers perceive the risk of infection do not change the epi-
₂₃₀ demiology of the system, only the behaviour of the growers. If the value of $v_I(I_N + I_C) > N$, we
₂₃₁ assume that growers estimate that all fields in the system are infected and thus set $v_I(I_N + I_C) = N$.

Though the "strategy vs." models are mathematically tractable and therefore allow for the derivation of analytical expressions, it may be unlikely that growers will make their assessment of profitability based on every other grower using the same strategy as they are, rather than just their own outcomes. Thus, to investigate the effect of systematic uncertainty, we used the "grower vs. alternative" model, which has been used in plant epidemiological models that include behaviour (*Milne et al.* (*2016*), *McQuaid et al.* (*2017a*)).

Spatial, stochastic model
Host landscape and initial conditions
Disease spreads on a 1,000 Ha square landscape containing $N = 750$ identically-sized fields (a similar density to *McQuaid et al.* (*2017a*)), placed at uniform random locations. All simulations are started with 75 growers using clean seed (i.e. 10% of the total population), distributed randomly across the landscape. A random selection of eight non-controllers is set to be infected initially ($1\tilde{\%}$ total population). The location of the growers was kept constant, though the growers that were either initially infected or used the clean seed system differed.

Dispersal and force of infection
Since horizontal transmission is a consequence of the movement of whitefly, the probability that a particular infected field leads to infection of a susceptible field depends upon the distance d between them. We model this using an exponential dispersal kernel

$$K(d, \alpha) = \frac{1}{2\pi\alpha^2} \exp\left(-d/\alpha\right),$$ (50)

in which α is the dispersal scale (*Cunniffe and Gilligan, 2020*; *Fabre et al., 2021*). This sets the force of infection, Γ_k, upon the field belonging to grower k

$$\Gamma_k = \beta_S \sum_{\substack{l \neq k \\ l \text{ is infected}}} K(d_{k,l}, \alpha),$$ (51)

in which the sum runs over all infected fields (l), $d_{k,l}$ is the distance between fields k and l, and β_S is the effective rate of infection in the spatial version of our model (see "Parameterisation" in main text). The force of infection sets the rate at which grower k becomes infected; it varies in both time and space (since it depends not only on the instantaneous number of infected fields, but also on their spatial arrangement).

Model dynamics
Each individual grower is characterised by their current strategy as adopted on planting (i.e. controller or non-controller), as well as their current infection status (i.e. susceptible or infected). Between harvesting and replanting events, dynamics are independent of the strategy adopted. We simulate the model using Gillespie's algorithm (*Keeling and Rohani, 2008*).

The only event that can affect an infected grower (i.e. class I_C or I_N) is harvesting; this occurs at rate γ. As well as harvesting, susceptible growers (i.e class S_C or S_N) can also become horizontally infected. This occurs, for grower k, at rate Γ_k (Equation 51). As noted above, the force of infection not only depends on time, but also takes different values for each grower.

Replanting and grower behaviour
Replanting is assumed to occur immediately after harvesting, and potentially leads to changes in both strategy and infection status. Different growers make different assessments of the probability of horizontal infection next season depending on their instantaneous force of infection, with

$$\frac{\text{Estimated probability of}}{\text{horizontal infection for grower } k} = \frac{\text{Force of infection on grower } k}{\text{Force of infection on grower } k + \text{Harvesting rate}},$$ (52)

$$= \frac{\Gamma_k}{\Gamma_k + \gamma},$$ (53)

We assume growers make decisions according to spatial analogues of Equations 17 and 24

$$q_C^k = \text{Grower } k\text{'s estimate of the probability of infection next season if control is adopted,} \quad (54)$$

$$= \frac{\Gamma_k}{\Gamma_k + \gamma}, \quad (55)$$

and

$$q_N^k = \text{Grower } k\text{'s estimate of the probability of infection next season if control is not adopted,}$$

$$= \frac{p(I_C + I_N)}{N} + \left(\frac{\Gamma_k}{\Gamma_k + \gamma} \right) \left(1 - \frac{p(I_C + I_N)}{N} \right). \quad (56)$$

All other aspects of the behavioural models follow essentially unchanged, although the switching terms now enter the model via a single Bernoulli trial at the time of planting to determine whether a grower switches strategy. Whether or not non-controllers become vertically infected is also simulated using a single Bernoulli trial at the time of planting (rather than via a systematic alteration to a rate parameter as in Equations 5-8).

Accounting for uncertainty in the spatial model

We also investigated misestimation of epidemiological parameters in the spatial model, focusing on the dispersal scale (α) and and the rate of horizontal transmission, β_S:

$$q_\alpha = \nu_\alpha \alpha, \quad (57)$$

$$q_{\beta_S} = \nu_{\beta_S} \beta_S. \quad (58)$$

The exponential dispersal kernel ($\tilde{K}(d, q_\alpha)$) used in growers' decision making is now given by:

$$\tilde{K}(d, q_\alpha) = \frac{1}{2\pi q_\alpha^2} \exp\left(-d/q_\alpha \right), \quad (59)$$

which sets the estimated force of infection, $\tilde{\Gamma}_k$, upon the field belonging to grower k via

$$\tilde{\Gamma}_k = q_{\beta_S} \sum_{\substack{l \neq k \\ l \text{ is infected}}} \tilde{K}(d_{k,l}, q_\alpha). \quad (60)$$

Parameterisation

Non spatial model

Baseline parameter values for CBSD were taken from previous studies where possible (Table 1). The rate of secondary infection, β, was set by matching the results of our non-spatial model against *McQuaid et al.* (*2017a*). In particular, we used the baseline behaviour of that model to motivate the choice that, in the absence of trade-mediated transmission, with 1% of fields initially infected and with no option of controlling for disease, 50% of fields would be infected within 10 seasons. To only account for the horizontal transmission, we $p = 0$ when matching these results.

The value of 60% of fields' potential profit lost due to infection was assumed, to account for both the loss of starch content – which causes up to 40% loss of yield (*Ephraim et al.* (*2015*)) – and the reduced market value of infected materials (*Hillocks et al.* (*2001*)).

Vertical transmission requires cuttings taken from infected plants to be replanted and cause infection in the next season. The probability of vertical transmission is therefore reduced by selection, in which growers avoid replanting visibly infected stems (*van den Bosch et al.* (*2007*)) and by reversion, in which low viral titres mean healthy cuttings can be taken even from infected plants (*Gibson and Otim-Nape* (*1997*)), a process that has been acknowledged for a range of virus diseases (*Mohammed et al.* (*2016*)). It is difficult to quantify these effects into a single parameter value; we therefore take $p = 0.8$ as a pragmatic but arbitrary default in which vertical transmission at the field scale often, but not always, occurs.

Growers' responses to differences in profit are notoriously difficult to quantify (*Milne et al., 2020*), and here we were forced to assume a value. An intuition for our default selection of $\eta = 10$ follows by noting that, using the default costs and losses in the "strategy vs. population" model, a controller will have an approximately 80% chance of switching to become a non-controller if there is sufficient infection in the rest of the system such that it is almost certain they will become infected over the next season (i.e. if $q_C \approx 1$).

Spatial model

The majority of parameters in the spatial model took the same values to those in the simpler non-spatial model (Table 1). However, following *McQuaid et al.* (*2017a*) and based on dispersal of *Bemisia tabaci* reported by *Byrne et al.* (*1996*) and *Byrne* (*1999*), we set the scale parameter of our dispersal kernel to be $\alpha = 150$ m. The move to a spatially-explicit model required a re-scaling of the rate of secondary infection, which we set to $\beta = 110$ day^{-1} field^{-1}. This value was again chosen to ensure that, after 10 seasons, on average 50% of fields would be infected.

Results

Non-spatial model

Due to its mathematical tractability, our initial investigations were focused on the "strategy vs. population" model. However, when considering the effect of systematic uncertainty, and later the spatial model, we focus on the "grower vs. alternative" model.

Probability of infection, responsiveness, profits, and switching terms

To demonstrate the underlying drivers of dynamics in our models, we dissect the behaviour of the "strategy vs. population" model in some depth. We first investigated the response of the probability of infection, the expected profits and the probability of switching strategy to the total number of infected fields (Figure 2). Generally, as the number of infected fields increases, so too does the probability of infection for controllers and non-controllers (q_C and q_N respectively) (Figure 2(A)). However, the increased number of infected fields changes the relative importance of the infection pathways for non-controllers. With an increase in the number of infected fields, the instantaneous probability of horizontal transmission for non-controllers (p_N(Horiz), Equation 21), decreases due to the increased vertical transmission (p_N(Vert), Equation 19) (Figure 2(A)).

For the default parameterisation (Figure 2(B)), the expected profits for the non-controllers (P_N) are always higher than that of the population, so they should always have a zero probability of switching strategy (Figure 2(C)). Conversely, P_C is always below the population average, and thus they should always have a non-zero probability of switching. This results in an equilibrium where there are no controllers present, as all of them have abandoned using the unprofitable CSS. However, by reducing the cost of clean seed ($\phi = 0.125$, equivalent to a 50% subsidy), at around $I = 411$ fields control becomes more profitable and non-controllers have a non-zero probability of switching strategy (Figure 2(E)). As at this point controllers should not switch strategy, is an "all control" equilibrium. The point at which $P_N = P_C$ (i.e. when $I \approx 411$, which is the total number of infected fields in the system and does not describe the number of infected controllers and non-controllers) leads to an equilibrium where both control strategies are present.

The value of η has no effect on the equilibrium attained by the growers in the "strategy vs." models, as the equilibrium is based only on the relative values of P_C, P_N and P, though it did affect the time it took to reach equilibrium (Figure 2(D)). This is in contrast to the "grower vs." models, where the two-strategy equilibrium is reached when the flow of growers out of one strategy matches the flow of growers out of the other (i.e. $z_{SC}S_C + z_{IC}I_C = z_{IN}I_N$). As the switching terms are set by the value of η, there is a dependence in the final equilibrium on the value of this parameter (Appendix 2 Figure 2).

Figure 2. Probability of infection, responsiveness of growers, expected profits, and switching terms for the "strategy vs. population" model. (A) For the default parameterisation, the probability of infection increases as the number of infected growers increase, though the probability non-controllers will be infected via horizontal transmission falls as I increases. (B) The expected profits for controllers, non-controllers and the population for different proportions of controllers in the population (c). For the default parameters, $P_C < P$ for all values of I. (C) Switching probabilities for controllers and non-controllers for different c. As $P_C < P$, controllers should always have a non-zero probability of switching strategy, whereas non-controllers should never start to control. (D) The responsiveness of growers (η) does not affect the final equilibrium values, though it does impact the time it takes to reach equilibrium. Note, however, for the "grower vs." models, the value of η does affect the final equilibrium values (see Appendix 2) (E) shows the expected profits for controllers, non-controllers and the population for $\phi = 0.125$. Now, at high levels of I, it is profitable to control. Where $P_C = P_N$, both strategies will be present at equilibrium. (F) For $\phi = 0.125$, for low values of I controllers should switch strategy, but as I increases non-controllers should have a non-zero probability of switching into the clean seed system. For this parameter set, the equilibrium value of I is therefore 411, with 349 infected controllers and 63 infected non-controllers.

Baseline results

Using the default parameters, we obtain a "no control" equilibrium when growers employ the "strategy vs." model (Figure 3(A)-(B)). At these parameter values, the rate of horizontal transmission and probability of vertical infection are so high that growers are likely to become infected, thus paying the cost of control (ϕ) and the loss due to disease (L). Therefore, control is not worthwhile. For the default parameters, both "strategy vs." models have similar dynamics. Providing growers with a 50% subsidy for clean seed use (i.e. reducing ϕ to 0.125) was sufficient to encourage control at equilibrium (Figure 3(E)-(F)) and introduces differences in early epidemic dynamics.

For the "grower vs. population" model, around 38% of growers control at equilibrium. For these parameters, any infected non-controlling grower (I_N) considers changing strategy as the presence of uninfected non-controllers (S_N) means that they will earn below the expected population profit, P (see Equation 39). Thus, there will be controllers at equilibrium. Only when the parameter values mean that there will be no susceptible growers will there be a "no control" equilibrium (Equation

78).

This is not observed in the "grower vs. alternative" model, where no growers control at equilib-
rium (Figure 3(D)). For this model, infected non-controlling growers (I_N) need only earn more than
the alternative strategy, so if there is a high number of infected controllers I_N should not switch
strategy (Equation 77).

Characterising model equilibria
The pathogen's basic reproduction number in the absence of control (Appendix 1) is

$$R_0 = \text{Basic reproduction number} = R_0^H + R_0^V = \frac{\beta N}{\gamma} + p, \tag{61}$$

with distinct components corresponding to horizontal (R_0^H) and vertical (R_0^V) transmission (**Hamelin**
et al. (**2021**); Appendix 1).

"Strategy vs." models.
The coupling of the switching terms in these formulations allows for mathematical analysis (Ap-
pendix 1). The equilibrium attained is uniquely specified by parameters; assuming the pathogen is
present in the system at $t = 0$, there is no other dependence on initial conditions. As noted above,
although the dynamics in reaching equilibrium can differ, the final state of the system does not
depend on whether the "strategy vs. population" or "strategy vs. alternative strategy" model is
considered. In each case, for an equilibrium with both strategies present, the expected payoffs (P_C
and P_N) must be equal, otherwise one group of growers will continue to be incentivised to change
strategy. For only controllers to be present, $P_C > P_N$ and vice versa for the non-controller equilib-
ria. The differences in dynamics approaching equilibrium arise from the different calculations of
Δ (Equations 34 - 37).

There are four equilibria, which can be distinguished by the presence of disease and the pro-
portion of growers controlling at equilibrium.

• **Disease-free equilibrium** at which the disease is not able to spread even when there is no
control via clean seed

$$(S_C, I_C, S_N, I_N) = (0, 0, N, 0). \tag{62}$$

384   This equilibrium is stable whenever $R_0 < 1$ (and at this equilibrium no growers control for
385   disease, since it is never rational to do so).
386   • **Control-free, disease-endemic equilibrium** at which the disease is able to spread in the
387   absence of control, but nevertheless no grower controls

$$(S_C, I_C, S_N, I_N) = \left(0, 0, \frac{\gamma(1-p)}{\beta}, \frac{\beta N - \gamma(1-p)}{\beta}\right). \tag{63}$$

388   As there are no controllers at this equilibrium, $P_N = P$ (Equation 32). This equilibrium requires
389    $R_0 > 1$, and is stable whenever:

$$Y - L\left(\frac{p(\beta N - \gamma(1-p))}{\beta N} + \frac{\beta N - \gamma(1-p)}{\beta N + \gamma p}\left(\frac{\beta N - p(\beta N - \gamma(1-p))}{\beta N}\right)\right) > Y - \phi - L\left(\frac{\beta N - \gamma(1-p)}{\beta N + \gamma p}\right) \tag{64}$$

390   This can be simplified to:

$$\underset{\text{disease}}{\text{Loss due to}} \times \underset{\text{vertical transmission}}{\text{Probability of}} \times \underset{\text{infected fields}}{\text{Proportion of}} \times \left(1 - \underset{\text{horizontal transmission.}}{\text{Probability of}}\right) < \underset{\text{control,}}{\text{Cost of}} \tag{65}$$

$$Lp\left(\frac{\beta N - \gamma(1-p)}{\beta N}\right)\left(1 - \frac{\beta N - \gamma(1-p)}{\beta N + \gamma p}\right) < \phi. \tag{66}$$

392   This inequality shows that the control-free equilibrium exists and is stable when the expected
393   cost of losses due to vertical transmission is less than the cost of control.

Figure 3. Model dynamics for different means of comparison. In (A) and (E), growers compare the expected profit of their strategy with that of the entire population; in (B) and (F) they compare the expected profit of their strategy with the expected profit of the alternative strategy. For (C), growers compare their own outcome with expected profit of the population and in (D) they compare their outcome with the expected value of the alternative strategy. The first four panels use the default parameters; in (A), (B) and (D), no growers control for disease, though in (B) around 38% of growers control. Default parameters are outlined in Table 1. For (E) and (F), a lower value of $\phi = 0.125$ (equivalent to a 50% subsidy on the cost of clean seed) was used, which lead to growers controlling at equilibrium. The subsidy extended the amount of time it took to reach equilibrium, so we ran the models for 100 seasons.

- **All-control, disease-endemic equilibrium** at which all growers control, but nevertheless disease is still present in the system

$$(S_C, I_C, S_N, I_N) = \left(\frac{\gamma}{\beta}, \frac{\beta N - \gamma}{\beta}, 0, 0 \right) \tag{67}$$

Similarly to the previous equilibrium, as there are no non-controllers at this equilibrium, $P_C = P$ (Equation 32).

This is stable whenever $R_0^H > 1$ (i.e. horizontal transmission alone is sufficient for disease to persist) and when the expected profit of controllers is greater than that of non-controllers for these equilibrium values:

$$Y - L\left(\frac{p(\beta N - \gamma)}{\beta N} + \frac{\beta N - \gamma}{\beta N} \left(\frac{\beta N - p(\beta N - \gamma)}{\beta N} \right) \right) < Y - \phi - L\left(\frac{\beta N - \gamma}{\beta N} \right) \tag{68}$$

Again, this can be simplified in terms of the expected losses and costs of control:

$$\begin{matrix} \text{Cost of} \\ \text{control} \end{matrix} < \begin{matrix} \text{Loss due to} \\ \text{disease} \end{matrix} \times \begin{matrix} \text{Probability of} \\ \text{vertical transmission} \end{matrix} \times \begin{matrix} \text{Proportion of} \\ \text{infected fields} \end{matrix} \times \left(1 - \begin{matrix} \text{Probability of} \\ \text{horizontal transmission} \end{matrix} \right),$$
$$\tag{69}$$

$$\phi < Lp\left(\frac{\beta N - \gamma}{\beta N} \right)\left(1 - \frac{\beta N - \gamma}{\beta N} \right), \tag{70}$$

i.e. the cost of control is less than the potential losses due to vertical transmission.

- **Two-strategy, disease-endemic equilibrium** at which both disease and control equilibrate at some intermediate level, with

$$S_C = \frac{N(R_0(\gamma p L - \beta \phi N) - \gamma p L)}{\gamma p^2 L}, \tag{71}$$

$$I_C = \frac{\beta \phi N^2 (R_0(\beta \phi N - \gamma p L) + \gamma p L)}{\gamma p^2 L(\beta \phi N - \gamma p L)}, \tag{72}$$

$$S_N = \frac{N(\phi R_0 - p L)(\beta N(\beta \phi N - \gamma p L) + \gamma^2 p L)}{\gamma p^2 L(\beta \phi N - \gamma p L)}, \tag{73}$$

$$I_N = \frac{-\phi N R_0(\beta N(\beta \phi N - \gamma L p) + \gamma^2 L p)}{\gamma p^2 L(\beta \phi N - \gamma L p)}. \tag{74}$$

This requires $R_0 > 1$ and it is stable whenever both of the simpler disease-present equilibria are not stable (i.e. neither Equation 66 or 70 is satisfied; Appendix 1).

Parameterisations leading to each of the four equilibria are possible, depending on the balance between the rate of horizontal transmission (β) and the cost of control (ϕ) (Figure 4(A)). This includes the "all control" equilibrium, which is due to the imperfect protection provided by the control scheme; if horizontal infection is sufficiently significant, even all growers controlling it not guarantee the system remains disease-free.

"Grower vs." models.

The more complex form of these models makes mathematical analysis difficult, as conditions for model equilibria no longer simply depend on the difference in profits between controllers and non-controllers. However, extensive numerical work (Appendix 1) indicates the equilibrium that is reached again depends only on model parameters. For these "grower vs." models to reach a two-strategy equilibrium, the flow between the two strategies must be equal, i.e.

$$z_{IN} I_N = z_{SC} S_C + z_{IC} I_C \tag{75}$$

The forms of the switching terms will differ between the two "grower vs." models, leading to different equilibria for each model (Figure 3(C)-(D)). There are two important differences from the "strategy vs." models:

Figure 4. Effect of parameters on final equilibrium attained. (A) The "strategy vs. population" model has four possible, mutually-exclusive equilibria. Which is attained depends both on R_0 and the balance of benefit between controlling and not controlling. Boundaries between equilibria are determined by the stability conditions (Equations 66 and 70). Also shown in (B) and (C) are the equilibria for the "grower vs." models, though numerical expressions determining these cannot be derived analytically. Importantly, (B) does not show all equilibria achievable for the "grower vs. population" model, as it omits the "no control" equilibrium, which can only be attained under a narrow range of parameter conditions that cause all non-controllers to be infected at equilibrium. The orange diamonds mark the default values.

- **The "all control" equilibrium is impossible** because growers who controlled but nevertheless became infected always consider switching strategy, as they are earning the lowest possible payoff (P_{IC}, the "sucker's payoff"). As long as there is a non-zero probability of infection (which is necessary for control to be worthwhile) there will always be non-controlling growers.

- **For the "grower vs. population" model, the "no control" equilibrium is only possible if all non-controllers are infected at equilibrium.** For a "no control" equilibrium, the expected profit of the population must be less than or equal to P_{IN} to prevent I_N growers switching strategies (i.e. $P \leq P_{IN}$, Equation 43). At the "no control" equilibrium $P = P_N$ (Equation 32), leading to:

$$P = \frac{P_N(S_N + I_N)}{N} \leq P_{IN} \tag{76}$$

$$\tag{77}$$

As, in the "no control" equilibrium, $S_N + I_N = N$, this can be simplified:

$$P_N \leq P_{IN} \tag{78}$$
$$q_N P_{IN} + (1 - q_N)P_{SN} \leq P_{IN} \tag{79}$$
$$(1 - q_N)P_{SN} \leq P_{IN}(1 - q_N) \tag{80}$$
$$P_{SN} \leq P_{IN} \tag{81}$$

As this is impossible (Equation 9 & 10), it means that there must be no S_N present for a "no control" equilibrium (i.e. $q_N = 1$). In Figure 4 (B), a "no control" equilibrium would require higher β and p (Appendix 2 Figure 3).

Effect of epidemiological and economic parameters on uptake of control

Responses were often intuitive (Figure 5). For example, an increased loss due to disease (L) led to more growers controlling, particularly at higher rates of vertical transmission (p) (not shown).

Figure 5. Impact of epidemiological and economic parameters on CSS use. Plots show the equilibrium proportion of controllers in response to variations in parameters when comparisons are made via the strategy vs. population or alternative ((A) and (D)), grower vs. population ((B) and (E)) or grower vs. strategy ((C) and (F)) means of comparison. The orange diamonds mark the default values. (A)-(C) Effect of the rate of horizontal transmission (β) and cost of control (ϕ). At low values of β, controlling is worthwhile, but as infection becomes more likely, the value of control diminishes so it is not worthwhile to invest in control. (D)-(F) Effect of the rate of horizontal transmission (β) and probability of receiving infected planting material via trade (p). At these default parameter values (particularly the high cost of control), the probability of receiving infected material has little effect on uptake of control.

However, there were also non-monotonic responses. We found that at medium-to-low values for
cost of control (ϕ) and the rate of horizontal infection (β), high proportions of growers controlled for
disease (Figure 5(A)-(C)). When β increased, the higher probability of infection means that control
is too costly, as the grower will pay both the cost of control (ϕ) and the loss due to disease (L) (the
"sucker's payoff").

A similar principle underpins Figure 5(E)-(F). At higher probabilities of vertical infection, disease
pressure increases and controllers are likely to be infected. For Figure 5(D), the high default cost of
control (ϕ) means low levels of control are seen irrespective of the value of p; if ϕ was subsidised,
the proportion of controllers would increase (Appendix 2 Figure 4).

In all cases, where there were higher proportions of controllers in the population, there were
lower prevalences of infection and, generally, an increase in the average profit and yield attained
by the growers (Appendix 2 Figure 5).

Effect of systematic uncertainty

Using the "grower vs. alternative" model, we investigated the effect of all growers misestimating
epidemiological parameters relating to the spread and prevalence of disease. We focused on this
model formulation as it only requires growers to misestimate the profitability of the alternative
strategy. We investigated behaviour at two values of ϕ: the default parameter value of $\phi = 0.25$
(Figure 6(A) and (B)) or a value equating to a 50% subsidy ($\phi = 0.125$; Figure 6(C) and (D)).

Even when growers underestimate β such that they do not believe there to be any risk of hori-
zontal transmission (i.e. $v_\beta = 0$, so $q_\beta = 0$), some still use the CSS as the probability of vertical trans-
mission is non-zero (Figure 6(A) and (C)). As v_β increases, fewer growers use the control scheme as
they perceive they will achieve the "sucker's payoff". The default parameterisation (when $q_\beta = \beta$, i.e.
$v_\beta = 1$) means that growers should never control. The higher cost of ϕ caused the system to reach
a "no control" equilibrium Figure 6(A)), though with higher values of v_β ($v_\beta > 2$) this would also be
attained for $\phi = 0.125$.

When v_I is small and increasing, there are more controllers at equilibrium (Figure 6(B) and

(D)). At low values of v_I, the non-infected controllers (S_C) growers perceive that $\tilde{P}_N > P_{SC}$, so they should still consider switching strategy (i.e. $z_{SC} > 0$) (Figure 6(E)). As v_I increases, their perception of the profit, $\tilde{P}_N$ (Equation 49) falls and fewer S_C growers switch. This causes an increase in infected controllers (I_C), fewer S_C switch strategy before they become infected. Eventually, $\tilde{P}_N < P_{SC}$ and S_C growers stop switching strategy entirely (around season 4 in Figure 6(F)). Many of these controllers will then become infected and switch strategy, leading to lower participation in control with higher v_I. As v_I increases, I_N growers are less likely to switch strategy as $\tilde{P}_C$ falls. Eventually, $z_{IN} = 0$ and no I_N growers should switch strategy (Figure 6(H)). As all I_C growers should have a non-zero probability of switching strategy, but no non-controllers should switch, this leads to a "no control" equilibrium when $\phi = 0.25$.

The subsidy means that even when v_I is high, there will still be controllers at equilibrium. Unlike when misestimating β, misestimations of I affect perception of both horizontal and vertical transmission. The increased perception of vertical transmission combined with the lower ϕ is enough to encourage control at equilibrium.

Spatial, stochastic model

Underlying dynamics

In constructing our spatial model, we again focused on the "grower vs. alternative" comparison. Under the default parameterisation, no grower controls (Figure 7(A)), though providing a 50% subsidy encouraged participation in the CSS (Figure 7(B)). Disease spreads rapidly between fields, both due to the high values of β and ϕ (which discourages control) and the non-spatial aspect of trade, which allows disease to spread far away from its initial source.

The results of our spatial model are comparable with the deterministic version of the model (Figure 8). For equivalent rates of horizontal transmission, the equilibrium values for the deterministic model are similar to the values attained after 50 seasons in the spatial, stochastic model ((Figure 8)(A) and (B)). As in Figure 6, the kinks in these graphs are a result of susceptible controllers (S_C) growers ceasing to switch strategy (around $\beta_s = 50$ and $\beta = 0.003$ in 8(A) and (B)). As the infection pressure is increasing, these susceptible growers then become infected and switch into the non-control strategy, causing an overall decrease in controllers.

In both cases, when ϕ is varied, at around $\phi = 0.19$, growers should stop using the control strategy (Figure 8(C) and (D)).

Effect of systematic uncertainty

Growers misestimating the dispersal scale for the whitefly vector (α) has a similar effect to when growers misestimated β in the deterministic model. As the perceived value of α (q_α, given by $q_\alpha = v_\alpha \alpha$, Table 1) increases, fewer growers participate in the CSS as they estimated that they would be paying the dual penalty of the cost of control and loss due to disease (Figure 9).

When growers misestimate the rate of horizontal transmission, β_S, the pattern of CSS use again resembles that of the deterministic model (Figure 6(A)). At low values of the perceived value of β (q_{β_S}, with $q_{\beta_S} = v_{\beta_S} \beta_S$, Table 1), more growers use the control scheme as they believe that they are unlikely to be infected. As q_{β_S} increases, growers believe that they are likely to pay the dual penalty of the cost of control, ϕ, and the loss due to infection, L, and therefore abandon the CSS.

Figure 6. Effect of systematic uncertainty of epidemiological parameters and quantities. (A)-(B) are for the default parameters with $\phi = 0.25$, whereas (C)-(D) are for $\phi = 0.125$. In both cases, when ν_β is small there is a higher proportion of controllers ((A) and (C)), which decreases as ν_β increases. In (B) and (D), there is initially an increase in the proportion controlling with ν_I. In (B) the number of infected fields levels out once the conditions for a "no control" equilibrium are met. In (D) the number of infected fields stops changing when $q_I > N$, at which point the growers assume that all fields are infected (denoted by the vertical dashed line). The grey triangles in (B) show the values of ν_I used in (E)-(H). (E) At low values of ν_I, S_C growers still perceive that it is profitable to change strategy ($z_{SC} > 0$). (F) At higher values of ν_I, as the epidemic progresses and the number of infected fields increases, the perceived value of P_N (i.e. $\tilde{P}_N$) decreases and $z_{SC} = 0$. However, they continue to get infected and there is an increase in I_C fields. (G) At higher values of ν_I, S_C fields stop switching strategy earlier in the epidemic. Additionally, as $\tilde{P}_C$ falls, so does z_{IN}. (H) Eventually, at very high values of ν_I, $\tilde{P}_C$ is below that of $\tilde{P}_{IN}$, so $z_{I_N} = 0$. This leads to a "no control" equilibrium.

Figure 7. Comparison of default behaviour of the "grower vs. alternative" spatial, stochastic and deterministic models. (A) Dynamics for the grower vs. alternative spatial model. As with the deterministic model (B), under the default parameterisation no growers use the CSS after 10 seasons. Adding a subsidy in (C) and (D) allows for the two-strategy equilibrium. The figures show the mean for 100 runs of each model, and the error bars show one standard deviation. The equilibrium values and dynamics for spatial ((A) and (C)) and non-spatial models ((B) and (D)) are very similar in both cases, emphasised in (E) and (F), which show the prportions controlling and infected for the spatial, stochastic ("stoch.") and deterministic ("det.") models.

Discussion

⁵⁰⁶ Surprisingly few plant disease studies examine how behaviour alters the dynamics of epidemic ⁵⁰⁷ models. Here we presented a model that integrates grower decision making into a simple model ⁵⁰⁸ for CBSD and investigated the effect of economically- and epidemiologically- important parameters ⁵⁰⁹ on disease spread.

⁵¹¹ We found that, save for the two "strategy vs." models that had the same equilibrium values, ⁵¹² grower participation in the clean seed system (CSS) depended on what behaviour model the grow- ⁵¹³ ers used. All models had different dynamics approaching equilibrium. Thus, while the "strategy ⁵¹⁴ vs." models are interchangeable in terms of the long-term outcomes, they have different outcomes ⁵¹⁵ for growers in the short term. Additionally, only the "strategy vs." comparisons permitted an "all ⁵¹⁶ control" equilibrium, as the "sucker's payoff" achieved by infected controllers means that they will ⁵¹⁷ always switch strategy in the "grower vs." comparisons. Therefore, it is important not only to in- ⁵¹⁸ clude behaviour in epidemic models, but to consider how it is included based on what quantities ⁵¹⁹ are being compared and what information will be available to individual growers.

⁵²⁰ Game theory predicts that high participation in voluntary disease control schemes is difficult ⁵²¹ to attain, as the success of disease control policies in reducing the probability of infection disin- ⁵²² centiveses continued use of the scheme (*Geoffard and Philipson* (*1997*), *Bauch et al.* (*2003*), *Bauch* ⁵²³ (*2005*); reviewed in *Chang et al.* (*2020*)). Indeed, in the case of voluntary vaccinations, at least with

Figure 8. Response to changes in the rate of horizontal transmission and cost of control for the "grower vs. alternative" models. (A) and (C) The proportion of controllers and infected fields after 50 seasons for the spatial, stochastic model and (B) and (D) the equilibrium values for the deterministic model. Aside from the parameters being scanned over, the default parameters are used. Results are broadly similar between the two models, with differences arising from the speed of dynamics. In the spatial models, the means over 100 runs (excluding runs where disease went extinct, which occurred in each simulation when $\beta_S = 0$, and around 40 % of the time when $\beta_S = 40$, but above that value of β_S was very rare) and the error bars show one standard deviation around the mean.

Figure 9. Effect of systematic misestimation in the spatial, stochastic model. (A) For the default parameters, as the perceptions of the dispersal scale for the whitefly vector (v_α) increase, fewer growers use the control scheme as they estimate that they would likely end up infected. (B) As perceptions of the rate of horizontal transmission increase (v_β), fewer growers use the CSS in a pattern that matches that seen in Figure 6(A). The mean values were calculated over 100 runs are the error bars show one standard deviation around the mean.

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⁵²⁴ perfect immunity, models predict that actual level of vaccination will be less than that needed for
⁵²⁵ herd immunity (**Bauch and Earn** (**2004**)). Yet if vaccines are not completely effective, the reduc-
⁵²⁶ tion in magnitude of positive externalities can lead to increased vaccine uptake until a stable "all
⁵²⁷ vaccinated" equilibrium is attained (**Wu et al.** (**2011**)). That is, as the vaccine no longer confers

528 perfect immunity to the vaccinated, they may then transmit the disease to the unvaccinated. This
529 decreases the benefit of high levels of vaccination in the population to the unvaccinated, and thus
530 encourages them to be engage in disease prevention themselves.

531 In our model, it was the imperfect protection offered by the CSS that allowed the "all control"
532 equilibrium to be attained in the "strategy vs." models (Figure 4(A)). Although the CSS eliminates a
533 grower's chance of receiving infected material via vertical transmission, it offers no protection from
534 infection via the whitefly vector. By comparing the average payoff of everyone using their strategy,
535 rather than basing decisions on their own outcomes, even growers who themselves received the
536 lowest possible payoff do not necessarily have a positive probability of switching strategy. The
537 "all control" equilibrium was not possible for the "grower vs." models as recipients of the "sucker's
538 payoff" (i.e. infected controllers) will always consider switching strategy.

539 We found that use of CSS could reduce CBSD spread, but never lead to disease elimination
540 (Equation 63). By considering the stability of the equilibria, we can see that for an "all control"
541 equilibrium, disease must be capable of spreading via the whitefly alone vector (i.e. the horizontal-
542 transmission $R_0^H > 1$, Appendix 1). Fields that were initially planted with clean seed can therefore
543 become infected, allowing disease to remain endemic in the system. Thus, though increased use of
544 the CSS leads to lower disease prevalence, some degree of disease spread is required for growers
545 to consider the CSS worthwhile.

546 When constructing the spatial, stochastic model, we focused on the "grower vs. alternative"
547 evaluation, where individual growers compare their own profits with the expected profit of the
548 alternative strategy. The reasoning behind this was twofold: first, this has been used previously in
549 plant epidemiological literature (*Milne et al.* (*2016*), *McQuaid et al.* (*2017a*)). Secondly, and most
550 importantly, we believe this comparison to be most similar to what real growers might be expected
551 to do. It requires a moderate amount of information, as it only relies on the individual knowing
552 their own profit from the previous season and the expected profit of the alternative strategy (Table
553 2). The results for this model were comparable with those for the equivalent deterministic model
554 (Figure 8), demonstrating the robustness of our results to stochastic and spatial effects. This was in
555 part because growers' of the non-spatial aspect of trade, as it allowed for transmission across the
556 relatively small landscape considered here (*McQuaid et al.* (*2017b*)). When trade was not included
557 (i.e. $p = 0$) the disease spread across the landscape in a more "wavelike" pattern as expected
558 from the thin-tailed dispersal kernel for the whitefly (*Shaw* (*1995*), Appendix 2 Figure 6). However,
559 when trade occurs via a market or central organisation the majority of transactions occur over a
560 scale larger than our landscape (a square with sides 3.16 km), with around 70 % of transactions
561 occur over a scale of 10-50 km (*Szyniszewska et al.* (*2019*)). For more informal trade settings, with
562 growers interacting with each other, a proximity-based kernel may be more appropriate (*McQuaid*
563 *et al.* (*2017b*), *McQuaid et al.* (*2017a*), though both of these were modelled over a larger landscape
564 than ours). However, such exchanges are hard to parameterise as the probability of exchanging
565 planting material is highly variable across settings (varying between 30 - 92.51% over unspecified
566 spatial scales; *Ntawuruhunga et al.* (*2007*), *Chikoti et al.* (*2016*), *Djaha et al.* (*2018*), *Houngue et al.*
567 (*2018*) and *Teeken et al.* (*2018*)).

568 We assumed that all growers had the same responsiveness (our parameter η). This impacted
569 the final equilibrium value attained in our "grower vs." models (Appendix 2 Figure 1), but not our
570 "strategy vs." models (Figure 2). We have also assumed that growers would always choose the most
571 profitable strategy; if the alternative strategy has a higher expected profit, growers have a non-
572 zero probability of switching (Figure 1(C)). As η increases, growers are almost guaranteed to switch
573 strategy, which approaches the assumption of perfect rationality in game theory (*Morris* (*2012*)).
574 Both rationality and responsiveness are likely to vary from grower to grower. Their distribution
575 can be described by a "risk attitude" framework, in which individuals fall on a spectrum between
576 risk tolerant and risk averse (*Zhang et al.* (*2014*)). Including such heterogeneity in risk perception
577 in future studies would allow for greater understanding of behaviour in uncertain environments.

578 We have only considered economic incentives for grower participation in the CSS. Realistically,

other considerations - such as preference for local varieties, flavour, *etc.* - will play an important role in determining participation. Indeed, surveys of cassava growers in Sub-Saharan Africa have found that such qualitative traits have a greater role in determining a grower's preference than economic traits such as yield (*Szyniszewska et al.* (*2019*), *Houngue et al.* (*2019*)). These private preferences or perceptions of risk are intangible and though they are somewhat encapsulated with our "cost of control" parameter will vary from grower to grower.

For simplicity, in both our stochastic and deterministic models we included an average loss of yield. In reality, yield will depend on how far into the growing season the field was infected and the rate of within-field disease spread. The inclusion of time-dependent yield loss will favour clean seed use, as these fields will on average be infected later in the growing season than conventional fields. Indeed, this could shift the balance of payoffs such that the payoff for an infected field planted with clean seed is no longer the lowest possible payoff. If this shift were observed, an "all control" equilibrium may now be stable in the outcome-based comparisons that we have described.

Though we have not investigated it here, CSS can "bundle" desirable traits by providing CBSD resistant or tolerant planting material, changing the nature of the externalities generated by clean seed use. There are few such varieties available for cassava. Provision of resistant material, though of obvious benefit to the recipient, will also increase the benefit experienced by free riders by reducing their probability of infection. Cassava varieties that are tolerant to CBSD infection will benefit the focal grower, but may increase the disease pressure experienced by other growers and thus incentivise control. These are potentially important distinctions, which we intend to return to in future work.

Growers' inability to properly perceive epidemiological parameters had varying effects on CSS participation. Underestimating the rate of horizontal transmission, β, increased participation as growers believed that infection via this route was unlikely (Figure 6(A)). However, there was still a high probability of vertical transmission so control was worthwhile. As estimates of β increased, control was less favourable and participation decreased. A similar trend was observed in the spatial model when estimating α, the whitefly's dispersal scale parameter (Figure 9). Misestimations of the proportions of infected individuals had a non-monotonic pattern, with the proportion of controllers initially increasing with the perceived number of infected fields ($q_I I$) before falling as more controllers become infected and thus receive the lowest payoff (Figure 6(B)).

It is therefore important to understand how growers perceive their probability of infection, as this will influence their participation in control schemes and subsequent spread of disease. Overestimating the threat can discourage participation due to the perceived inevitability of infection regardless of any actions taken to prevent it. The effect of underestimation depends on the route of disease transmission in question, but control only remains attractive if it is seen as providing sufficient benefits. Regardless, lowering the cost of control increased participation. Again, here every grower has the same level of misperception, but in reality this will be closely linked to an individual grower's attitude towards risk, access to information and previous history of infection, which all will be highly variable between growers.

The inclusion of grower behaviour alters disease dynamics, as the option to participate in a control scheme lowered the probability of infection and increased the yield achieved by the growers. We have found that the means of inclusion of behaviour is also important; the quantities of comparison impact dynamics approaching equilibrium, but also determine the nature of the equilibria that can be achieved. Our investigation has been limited to one case study, but the decision modelling framework laid out in this paper is sufficiently flexible to be incorporated into a range of other disease systems. It would also allow grower behaviour to feed into other types of study, for example those in which control has a spatial element and/or is done reactively in response to detected infection (*Cunniffe et al.* (*2015*), *Cunniffe et al.* (*2016*), *Hyatt-Twynam et al.* (*2017*), *Laranjeira et al.* (*2020*)), or where estimates of parameters become more accurate over time and as disease spreads (*Thompson et al.* (*2018*)).

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 Appendix 1. Mathematical derivations

The basic reproductive number (R_0) for the "strategy vs." models.

To find the value for R_0, we calculated the next-generation matrix (NGM; *Diekmann et al.* (*2010*)). This relies on the decomposing a linearised version of the model into two matrices: the first contains the terms relating to disease transmission (matrix J_F) whilst the second has terms relating to non-epidemiological transitions between states (matrix J_V). The NGM, K, is given by $K = J_F J_V^{-1}$ (*van den Driessche* (*2017*)). To shorted the notation in what follows, we introduce the following function of state variables:

$$\Gamma = S_C z_{SC} + I_C z_{IC} + S_N(1 - z_{SN}) + I_N(1 - z_{IN}). \tag{App.1}$$

We focus only on the infected compartments in the general model, leading to:

$$\frac{dI_C}{dt} = \beta S_C(I_C + I_N) - \gamma I_C, \tag{App.2}$$

$$\frac{dI_N}{dt} = \gamma \Gamma \left(\frac{p(I_C + I_N)}{N} \right) + \beta S_N(I_C + I_N) - \gamma I_N. \tag{App.3}$$

The disease-free equilibrium (DFE) is given by $(S_C, I_C, S_N, I_N) = (0, 0, N, 0)$.

The matrix of rates at which new infections occur is

$$F = \begin{bmatrix} \beta S_C(I_C + I_N) \\ \gamma \Gamma \left(\frac{p(I_C + I_N)}{N} \right) + \beta S_N(I_C + I_N) \end{bmatrix}. \tag{App.4}$$

The matrix of rates at which infections are removed is

$$V = \begin{bmatrix} \gamma I_C \\ \gamma I_N \end{bmatrix}. \tag{App.5}$$

The Jacobians for these matrices are:

$$J_F = \begin{bmatrix} \beta S_C & \beta S_C \\ \gamma \Gamma \left(\frac{p}{N} \right) + \gamma \frac{\partial \Gamma}{\partial I_C} \left(\frac{p(I_C + I_N)}{N} \right) + \beta S_N & \gamma \Gamma \left(\frac{p}{N} \right) + \gamma \frac{\partial \Gamma}{\partial I_N} \left(\frac{p(I_C + I_N)}{N} \right) + \beta S_N \end{bmatrix}, \tag{App.6}$$

and

$$J_V = \begin{bmatrix} \gamma & 0 \\ 0 & \gamma \end{bmatrix}. \tag{App.7}$$

We need to evaluate the Jacobians at the DFE. Note that $\gamma \Gamma$ is the net rate at which fields in which there is no control are planted, and so at the DFE, $\Gamma = N$. Note too that, since both are multiplied by $\frac{p(I_C + I_N)}{N}$ (which is zero at the DFE), the partial derivatives and $\frac{\partial \Gamma}{\partial I_C}$ and $\frac{\partial \Gamma}{\partial I_N}$ do not need to be calculated.

At the DFE, the J_F becomes

$$J_F = \begin{bmatrix} 0 & 0 \\ \gamma p + \beta N & \gamma p + \beta N \end{bmatrix}, \tag{App.8}$$

and J_V^{-1} is given by:

$$J_V^{-1} = \begin{bmatrix} 1/\gamma & 0 \\ 0 & 1/\gamma \end{bmatrix}. \tag{App.9}$$

The NGM, $K = J_F J_V^{-1}$, is therefore given by:

$$\begin{bmatrix} 0 & 0 \\ \beta N + \gamma p & \beta N + \gamma p \end{bmatrix}$$

The dominant eigenvalue for this matrix is $\lambda_1 = \frac{\beta N}{\gamma} + p$, which gives the R_0 for the system. This can be further broken down into distinct components corresponding to horizontal ($R_0^H = \frac{\beta N}{\gamma}$) and vertical ($R_0^V = p$) transmission (*Hamelin et al.* (*2021*)).

 Stability of equilibria in the "strategy vs." models.

807 Disease-free equilibrium.

808 We determined the conditions for stability of each equilibrium in the "strategy vs." models by first
809 evaluating the Jacobian matrix for the system at each possible equilibrium and then determining
810 the eigenvalues for the matrix. The system can be reduced to three state variables, as $N = S_C +$
811 $I_C + S_N + I_N$. The non-spatial model therefore becomes:

$$\frac{dS_C}{dt} = \gamma \left(S_C(1 - z_{SC}) + I_C(1 - z_{IC}) + (N - S_C - I_C)z_{IN} \right) - \beta S_C \left(I_C + I_N \right) - \gamma S_C, \tag{App.10}$$

$$\frac{dI_C}{dt} = \beta S_C \left(I_C + I_N \right) - \gamma I_C, \tag{App.11}$$

$$\frac{dI_N}{dt} = \gamma \left(S_C z_{SC} + I_C z_{IC} + (N - S_C - I_C)(1 - z_{IN}) \right) \left(\frac{p(I_C + I_N)}{N} \right) + \beta(N - S_C - I_C - I_N) \left(I_C + I_N \right) - \gamma I_N. \tag{App.12}$$

812 The DFE is given by $(S_C, I_C, I_N) = (0, 0, 0)$. The Jacobian matrix evaluated with these values is
813 given by:

$$\begin{bmatrix} -\gamma(1 - \exp(-\eta\phi) + \eta\phi) & \gamma(\exp(-\eta\phi) - \eta\phi) & 0 \\ 0 & -\gamma & 0 \\ 0 & \beta N + \gamma p & \beta N + \gamma p - \gamma \end{bmatrix}$$

814 From this, we can see the first eigenvalue is $\beta N - \gamma + \gamma p$. The 2×2 matrix that remains is given by:

$$\begin{bmatrix} -\gamma(1 - \exp(-\eta\phi) + \eta\phi) & \gamma(\exp(-\eta\phi) - \eta\phi) \\ 0 & -\gamma \end{bmatrix}$$

From this matrix, we can see that $-\gamma$ and $-\gamma(1 - \exp(-\eta\phi) + \phi)$ are the remaining eigenvalues for
the matrix.

As $-\gamma$ and $-\gamma(1 - \exp(-\eta\phi) + \phi)$ are always negative, the stability of this equilibrium is dependent
upon $\beta N - \gamma + \gamma p < 0$. This corresponds to the R_0 found using the NGM.

Disease-endemic, all control equilibrium.

The disease-endemic, all control equilibrium is given by: $(S_C, I_C, I_N) = \left(\frac{\gamma}{\beta}, \frac{\beta N - \gamma}{\beta}, 0 \right)$.

The Jacobian matrix evaluated using these values is given by:

$$\begin{bmatrix} \frac{\partial \dot{S}_C}{\partial S_C} & \frac{\partial \dot{S}_C}{\partial I_C} & -\gamma \\ \beta N - \gamma & 0 & \gamma \\ \frac{\partial \dot{I}_N}{\partial S_C} & \frac{\partial \dot{I}_N}{\partial I_C} & -\gamma \end{bmatrix}$$

with:

$$\frac{\partial \dot{S}_C}{\partial S_C} = \gamma \left(\exp \left(\frac{-\eta(\beta N(\gamma pL - \beta\phi N) - \gamma^2 pL)}{\beta^2 N^2} \right) \right) - \frac{-\eta(\beta N(\gamma pL - \beta\phi N) - \gamma^2 pL)}{\beta^2 N^2} - \beta N \tag{App.13}$$

$$\frac{\partial \dot{S}_C}{\partial I_C} = -\gamma \left(1 - \exp \left(\frac{-\eta(\beta N(\gamma pL - \beta\phi N) - \gamma^2 pL)}{\beta^2 N^2} \right) \right) + \frac{-\eta(\beta N(\gamma pL - \beta\phi N) - \gamma^2 pL)}{\beta^2 N^2} \tag{App.14}$$

$$\frac{\partial \dot{I}_N}{\partial S_C} = \beta N + \gamma - \frac{\gamma p(\beta N - \gamma)}{\beta N} \left(\exp \left(\frac{-\eta(\beta N(\gamma pL - \beta\phi N) - \gamma^2 pL)}{\beta^2 N^2} \right) - \frac{-\eta(\beta N(\gamma pL - \beta\phi N) - \gamma^2 pL)}{\beta^2 N^2} \right) \tag{App.15}$$

$$\frac{\partial \dot{I}_N}{\partial I_C} = \frac{\partial \dot{I}_N}{\partial S_C}. \tag{App.16}$$

822 We can see that

$$\frac{\partial \dot{S}_C}{\partial S_C} = \frac{\partial \dot{I}_C}{\partial S_C} - \gamma + \beta N. \tag{App.17}$$

823 The characteristic equation for this matrix is given by

$$-(\lambda + \gamma)\left(\lambda^2 - \lambda\left(\frac{\partial \dot{I}_C}{\partial S_C} - \gamma + \beta N\right) - (\beta N - \gamma)\left(\frac{\partial \dot{I}_C}{\partial S_C}\right)\right) \tag{App.18}$$

Solving this equation, we find the eigenvalues to be $-\gamma$, $\beta N - \gamma$ and

$$\frac{\partial \dot{I}_C}{\partial S_C} = \gamma\left(1 - \exp\left(\frac{-\eta(N\beta(\gamma pL - \beta\phi N) - \gamma^2 pL)}{\beta^2 N^2}\right) - \frac{-\eta(\beta N(\gamma pL - \beta\phi N) - \gamma^2 pL)}{\beta^2 N^2}\right). \tag{App.19}$$

As $-\gamma$ is always negative, the stability depends on the remaining eigenvalues. The second eigenvalue is always negative for $\frac{\beta N}{\gamma} > 1$, which is also the R_0 for horizontal transmission (R_0^H). The final eigenvalue is negative once when the term in the exponent is negative:

$$\frac{-\eta(\beta N(\beta\phi N - \gamma pL) + \gamma^2 pL)}{\beta N} < 0 \tag{App.20}$$

$$(\beta N(\beta\phi N - \gamma pL) + \gamma^2 pL) < 0 \tag{App.21}$$

$$\frac{\beta N(\beta\phi N - \gamma pL)}{\gamma} < \gamma pL \tag{App.22}$$

$$\gamma pL\left(\frac{\gamma - \beta N}{\beta^2 N^2}\right) > \phi \tag{App.23}$$

$$pL\left(\frac{\gamma - \beta N}{\beta N}\right)\left(\frac{\gamma}{\beta N}\right) > \phi. \tag{App.24}$$

For clarity, this can be re-arranged as follows:

$$\begin{array}{ccccccc}
\text{Cost of} & < & \text{Loss due to} & \times & \text{Probability of} & \times & \text{Proportion of} & \times & \left(1 - \begin{array}{c}\text{Probability of}\\\text{horizontal transmission}\end{array}\right), \\
\text{control} & & \text{disease} & & \text{vertical transmission} & & \text{infected fields} & &
\end{array} \tag{App.25}$$

$$\phi < Lp\left(\frac{\beta N - \gamma}{\beta N}\right)\left(1 - \frac{\beta N - \gamma}{\beta N}\right). \tag{App.26}$$

That is, for the "all control" equilibrium to be stable, the cost of control (ϕ) must be less than the expected losses of infected non-controllers (L).

Disease-endemic, control-free equilibrium.

The disease-endemic, control-free equilibrium is given by: $(S_C, I_C, I_N) = \left(0, 0, \frac{\beta N - \gamma(1-p)}{\beta}\right)$. The entries for the Jacobian matrix evaluated at the disease-endemic, no control equilibrium are given as follows:

$$\begin{bmatrix}
\frac{\partial \dot{S}_C}{\partial S_C} & \frac{\partial \dot{S}_C}{\partial I_C} & 0 \\
\gamma(R_0 - 1) & -\gamma & 0 \\
\frac{\partial \dot{I}_N}{\partial S_C}^* & \frac{\partial \dot{I}_N}{\partial I_C}^* & \gamma(R_0 - 1)
\end{bmatrix}$$

Entries marked with "*" are not written out in full as they are not needed for further analysis. Remaining entries are given as follows:

$$\frac{\partial \dot{S}_C}{\partial S_C} = \gamma\left(\exp\left(-\frac{\eta(\gamma R_0(\beta\phi N - \gamma pL) + \gamma^2 pL)}{\beta\gamma N R_0}\right) - \frac{\gamma\eta(R_0(\beta\phi N - \gamma pL) + \gamma pL)}{\beta N R_0} - R_0\right) \tag{App.27}$$

$$\frac{\partial \dot{S}_C}{\partial I_C} = \gamma\left(\exp\left(-\frac{\eta(\gamma R_0(\beta\phi N - \gamma pL) + \gamma^2 pL)}{\beta\gamma N R_0}\right) - \frac{\gamma\eta(R_0(\beta\phi N - \gamma pL) + \gamma pL)}{\beta N R_0}\right) \tag{App.28}$$

from which we can see that

$$\frac{\partial \dot{S}_C}{\partial S_C} = \frac{\partial \dot{S}_C}{\partial I_C} - \gamma R_0. \tag{App.29}$$

From this matrix, we can see that the first eigenvalue is given by $\gamma(R_0 - 1)$. This is negative for $R_0 > 1$.

The remaining eigenvalues can be found by solving the equation:

$$\lambda^2 - a_1 \lambda + a_2 = 0 \tag{App.30}$$

where

$$a_1 = \frac{\partial \dot{S}_C}{\partial I_C} - \gamma(R_0 - 1) \tag{App.31}$$

$$a_2 = -\gamma R_0 \left(\frac{\partial \dot{S}_C}{\partial I_C} - \gamma \right). \tag{App.32}$$

Solving this, we find the remaining eigenvalues to be: $-\gamma R_0$, and

$$\gamma \left(\exp\left(-\frac{\eta(\gamma R_0(\beta \phi N - \gamma pL) + \gamma^2 pL)}{\beta \gamma N R_0} \right) - 1 + \frac{-\eta(R_0((\beta \phi N - \gamma pL)) - \gamma \eta pL)}{\beta N} \right). \tag{App.33}$$

Clearly, $-\gamma R_0$ is always negative. The final eigenvalue is negative once

$$1 - \exp\left(-\frac{\eta(\gamma R_0(\beta \phi N - \gamma pL) + \gamma^2 pL)}{\beta \gamma N R_0} \right) < \frac{-\eta(R_0((\beta \phi N - \gamma pL)) - \gamma \eta pL)}{\beta N} \tag{App.34}$$

That is, the eigenvalue is negative once the term in the exponent is negative. This can be rearranged as follows:

$$Lp \left(\frac{\beta N - \gamma(1-p)}{\beta N} \right) \left(\frac{\gamma}{\beta N + \gamma p} \right) < \phi \tag{App.35}$$

For clarity, this can be re-written in terms of the probability of infection:

$$\begin{array}{ccccccc} \text{Loss due to} & \times & \text{Probability of} & \times & \text{Proportion of} & \times \left(1 - \begin{array}{c}\text{Probability of} \\ \text{horizontal transmission.}\end{array} \right) & < \begin{array}{c}\text{Cost of}\\\text{control,}\end{array} \\ \text{disease} & & \text{vertical transmission} & & \text{infected fields} & & \end{array} \tag{App.36}$$

$$Lp \left(\frac{\beta N - \gamma(1-p)}{\beta N} \right) \left(1 - \frac{\beta N - \gamma(1-p)}{\beta N + \gamma p} \right) < \phi. \tag{App.37}$$

Therefore, the control-free, disease-endemic equilibrium is stable for $R_0 > 1$ and when the expected losses due to disease for an infected non-controller are less than the cost of control.

Stability of two-strategy equilibrium in the "strategy. vs" models.

Our "strategy vs." models are discontinuous, as the switching terms take different forms depending on the relative values of the expected profits for each strategy and the population. Due to this discontinuity, the stability of the two-strategy equilibrium for the "strategy vs. population" model cannot be assessed by linearising around the equilibrium. We instead used a numerical approach to assess the stability of this equilibrium. We first generated a parameter set by sampling parameters from a plausible range (given in Table 4). We then ran the model for each parameter set and found the equilibrium values (E^*, taken after 500 seasons). Then, for the same parameter set, the model was run with a set of 10,000 different initial conditions again for 500 seasons. The difference between the final equilibrium value and E^* was calculated. If the difference was greater than 10^{-8}, it was deemed large enough to conclude that the equilibrium was unstable based on different initial conditions. We repeated this for 5,000 different parameter sets and, in each instance, the equilibrium attained was that expected for the parameter set.

Mutual exclusivity of equilibria

For the "no control" equilibrium to be stable, the expected losses due to vertical transmission must be less than the cost of control. That is, from Equation App.37, the following must be true:

$$Lp \left(\frac{\beta N - \gamma(1-p)}{\beta N} \right) \left(1 - \frac{\beta N - \gamma(1-p)}{\beta N + \gamma p} \right) < \phi \tag{App.38}$$

Appendix 1 Table 4. Range of values used for parameters when evaluating the stability of the "grower vs." models.

Parameter	Meaning	Range of values
$1/\gamma$	Length of the growing season	$1 - 600$ days
β	Rate of secondary infection	$0 - 2 \times 10^{-5}$ day^{-1} field^{-1}
p	Probability of getting infected cuttings	$0 - 1$
η	Responsiveness of growers	$1 - 200$
L	Loss due to infection	$0 - 1$
ϕ	Cost of control	$0 - 1$
$S_C(0)$	Initial proportion of susceptible controllers	$0 - N$
$I_C(0)$	Initial proportion of infected controllers	$0 - N$
$S_N(0)$	Initial proportion of susceptible non-controllers	$0 - N$
$I_N(0)$	Initial proportion of infected non-controllers	$0 - N$

Conversely, for the "all control" equilibrium to be stable, the costs must be less than the expected losses due to vertical transmission. From Equation App.26, this means that:

$$\phi < Lp\left(\frac{\beta N - \gamma}{\beta N}\right)\left(1 - \frac{\beta N - \gamma}{\beta N}\right) \tag{App.39}$$

Thus, for both equilibria to be stable, the following condition must be met:

$$Lp\left(\frac{\beta N - \gamma(1-p)}{\beta N}\right)\left(1 - \frac{\beta N - \gamma(1-p)}{\beta N + \gamma p}\right) < Lp\left(\frac{\beta N - \gamma}{\beta N}\right)\left(1 - \frac{\beta N - \gamma}{\beta N}\right) \tag{App.40}$$

This can be simplified to:

$$\left(\frac{\beta N - \gamma(1-p)}{\beta N + \gamma p}\right) < \left(\frac{\beta N - \gamma}{\beta N}\right), \tag{App.41}$$

which, in turn, leaves us with the condition that $0 < -\gamma^2 p$ must be true. However, as both γ and p are positive parameters, this is not possible and thus both equilibria cannot be simultaneously stable.

Numerical assessment of equilibria in the "grower vs." models.

The structure of the "grower vs." models do not permit mathematical analysis. Consequently, we evaluated the stability of the possible equilibrium using numerical methods. Using the same method as when assessing the stability of the "two-strategy equilibrium" in the "strategy vs." models, we tested 10,000 sets of initial conditions and ran the model to equilibrium for 5,000 different parameter sets (chosen from a range of the plausible range parameters described in Appendix 1 Table 4). In no case for either of the "grower vs." models was it found that the difference between equilibrium values of the simulated model was larger than our difference threshold of 10^{-8}. We thus concluded that the final equilibrium attained was not dependent on initial conditions.

Appendix 2. Supplementary results

Effect of responsiveness (η) on the "grower vs." models.

Unlike in the "strategy vs." models, where the equilibrium values are not dependent on the value of responsiveness of growers, for the "growers vs." models η does affect the final equilibrium values. Figure 1 shows how the proportion of controllers changes with varying values of horizontal transmission (β) and η.

Interestingly, there is a directionality to the response. Below a certain threshold (which here is $\beta = 0.003301$), an increase in η decreases the proportion controlling. The increase in η means

that all growers who have the potential to switch strategy have a higher probability of doing so,
and if η is sufficiently high then each switching term approaches 1. This means that there is a
decrease in controllers, as all S_C and I_C growers will switch strategy, though only I_N growers will
start controlling.

At higher values of β, the increase in η causes an increase in controllers. For these values, z_{sc}
eventually falls to zero, and having higher values of η increases the rate at which this happens.
As the parameter values permit an two-strategy equilibrium, but the S_C growers are no longer
switching strategy, there is an increase in the number of controllers with an increase in η.

Appendix 2 Figure 1. Effect of changes in responsiveness and horizontal transmission on the proportion of controllers at equilibrium. Below a certain threshold, there is a decrease in the proportion of controllers with an increase in η, as the higher responsiveness causes more S_C growers to switch strategy. Above this threshold, an increase in η causes an increase in controllers. The parameter values mean that S_C growers no longer change strategy, so they cannot leave the CSS. However, an increase in η means that I_N growers have a higher probability of switching into the CSS. The solid vertical line denotes where this threshold is crossed ($\beta = 0.03301$)

897   In the "grower vs." models, equilibrium is reached when $z_{sc}S_C + z_{IC}I_C = z_{IN}I_N$ (i.e.the flow
898   of growers out of one strategy matches the flow of growers out of the other) (Figure 2). As the
899   switching terms are in part set by the value of η, for changes in responsiveness then the values of
900   S_C, I_C and I_N at equilibrium must change to ensure the equilibrium condition is still met.

Appendix 2 Figure 2. Effect of responsiveness (η) on the "grower vs. alternative" model. (A) The flower of growers between the non-infected controllers (S_C), infected controllers (I_C) and infected non-controllers (I_N) based on their probability of switching strategy (z_{SC}, z_{IC} and z_{IN} respectively) with $\eta = 1$. (B) Full model dynamics for $\eta = 1$. (C) The flow of growers between S_C, I_C and I_N based on their probability of switching with $\eta = 10$. Note that will lower values of η, there are fewer growers moving between strategies. (D) Full model dynamics for $\eta = 10$. Parameters are as in Table 1, except for $\beta = 0.004$, which was used to allow for an disease- and control-endemic equilibrium (Figure 4).

Existence of the "disease-endemic, no-control" equilibrium in the "grower vs. population" model.

For the "grower vs. population" model, the "disease-endemic, no-control" equilibrium can only exist if there are no non-infected, non-controlling (S_N) growers at equilibrium (see Equation 78). For this to occur, there must be a high cost of control (ϕ) to disincentivise control and a high rate of horizontal (β) and vertical (p) transmission (in Figure 3, $p = 1$). Therefore, this "no control" equilibrium is also an "all infected" equilibrium.

Appendix 2 Figure 3. Possible equilibria for the "grower vs. population" model when $p = 1$. With the higher probability of vertical transmission, the "no control" equilibrium is possible for the "grower vs. population" model as there will be no non-infected, non-controlling (S_N) growers at equilibrium (Equation 78). However, now that $p = 1$, there can never be a disease-free equilibrium for this parameter set (as $R_0 > 1$).

Effect of subsidy on parameter scans.

Using the default parameters, there is a narrow range of parameter values for which growers should consider control at equilibrium in the "strategy vs." models (Figure 5(D)). In the "grower vs." models, though there is a wider range of parameters for which growers control at equilibrium, there are still low levels of participation in the CSS (Figure 5(E) - (F)). Even when the probability of vertical transmission is high, there are few growers using the CSS due to the high cost of participation. However, providing a 50% subsidy such that $\phi = 0.125$ increases both the level of participation and the range of parameter values for which growers control (Appendix 2 Figure 4).

Appendix 2 Figure 4. Effect of changes in the rate of secondary transmission (β) and probability of vertical transmission (p) on the proportion of controllers when $\phi = 0.125$. Compared to the default value of $\phi = 0.25$, a higher proportion of growers control for a broader range of parameter values.

Effect on yield and profit.

When disease is endemic to the system (i.e. $R_0 > 1$), higher participation in the control scheme (as seen in Figure 5 in the main text) leads to higher average profits and yield across growers; see Figure 5.

Appendix 2 Figure 5. Effect of changes in the rate of secondary transmission (β) and cost of control (ϕ) on the yield (A)-(C) and profit (D)-(E). As the proportion of controllers increased (Figure 5 in main text), so did the average yield and profits attained by growers. Overall, however, the difference in these quantities between scenarios where there were no controllers or where all growers controlled was small.

Spatial spread of disease.

Figure 6 shows the spatial component of disease spread in the spatial, stochastic model. For clarity, we allowed only horizontal transmission (i.e. set $p = 0$). When trade is not included – i.e. p = 0 – the disease spreads across the landscape in a much more "wavelike" pattern, as expected from the thin-tailed exponential dispersal kernel as adopted for whitefly . As there was no vertical transmission, we also removed "control" from the strategy set of the growers, as there was no benefit to controlling for disease and thus the strategy would quickly disappear from the population.

930 Unlike the other models, we also start our epidemic with a cluster of infected fields. Making these
931 changes allows us to focus on the underlying dynamics of the model.

Appendix 2 Figure 6. Spatial spread of infection in spatial, stochastic model. To emphasise the spatial component of infection, vertical transmission has been removed from the model (i.e. $p = 0$). Additionally, we have removed the "control" strategy from the growers as, without vertical transmission, this would have disappeared from the population within 5 seasons. The light blue dots show infected fields, whilst the grey dots are uninfected fields.