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**Mutations on RBD of SARS-CoV-2 Omicron variant result in stronger binding to
human ACE2 receptor**

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19

20 **Abstract**

21 The COVID-19 pandemic caused by the SARS-CoV-2 virus has led to more than 270
22 million infections and 5.3 million of deaths worldwide. Several major variants of SARS-
23 CoV-2 have emerged and posed challenges in controlling the pandemic. The recently
24 occurred Omicron variant raised serious concerns about reducing the efficacy of vaccines
25 and neutralization antibodies due to its vast mutations. We have modelled the complex
26 structure of the human ACE2 protein and the receptor binding domain (RBD) of Omicron
27 Spike protein (S-protein), and conducted atomistic molecular dynamics simulations to
28 study the binding interactions. The analysis shows that the Omicron RBD binds more
29 strongly to the human ACE2 protein than the original strain. The mutations at the ACE2-
30 RBD interface enhance the tight binding by increasing hydrogen bonding interaction and
31 enlarging buried solvent accessible surface area.

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33 **Keywords:** SARS-CoV-2, Omicron mutant, ACE2, Receptor binding domain, Molecular
34 dynamics simulation

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37 **Introduction**

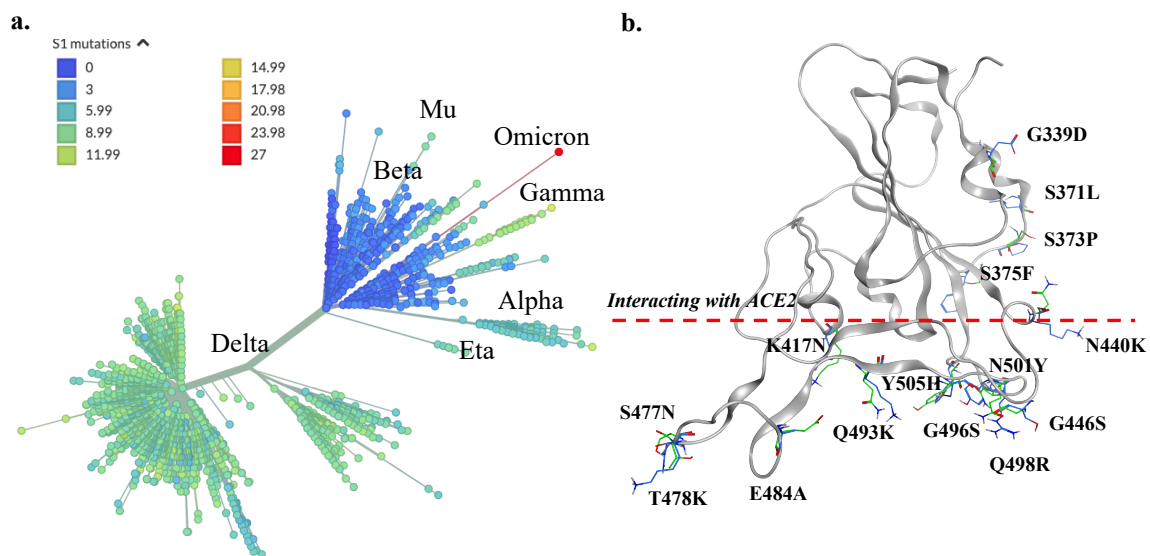
38 The COVID-19 pandemic caused by the SARS-CoV-2 is affecting global health and
39 economy seriously[1]. According to JHU CSSE COVID-19 Data[2], there are 270 million
40 infections and over 5.3 million fatalities as of December 13, 2021. Several vaccines have
41 been developed and applied to prevent the spreading of SARS-CoV-2 viruses[3], however,
42 these efforts are challenged by emerged virus variants due to mutations [4–7]. Among
43 major variants, several strains were called out to be ‘variant of concerns (VOC)’ by the
44 world health organization (WHO). On November 26, 2021, the WHO named a new variant
45 (B.1.1.529) to be Omicron, designated to be a VOC [8]. The Omicron variant has
46 accumulated a vast number of mutations, particularly in spike protein that is responsible
47 for the initiation of infection through cell entry. There are 15 mutations on the receptor
48 binding domain (RBD) of the spike protein, which has over 30 mutations in total (see
49 Figure 1) [8,9]. Such a large number of accumulated mutations is unprecedented. Because the
50 spike protein is not only the receptor ACE2 (Angiotensin converting enzyme 2) binding
51 partner [10,11], but also the major antigenicity site, thus the target of many antibodies or
52 drugs, it is crucial to investigate the impacts to the efficacy of neutralizing antibodies,
53 under the concerns of immune escapes. Furthermore, about 10 mutations occur at the RBD
54 binding interface to the ACE2 receptor protein. This level of mutation also raised a serious
55 question on how the RBD of Omicron variant binds to the ACE2. Will the binding become
56 stronger or weaker, and whether there is a need for an alternative receptor to facilitate the
57 infection of human cells?

58

59 Computational modeling and dynamics simulations have been applied to investigate the
60 interactions between the SARS-CoV-2 RBD and the ACE2 receptor [12,13]. Before the
61 structures of RBD-ACE2 complex were resolved experimentally, homology modeling and

62 simulations have successfully predicted the model and quantified the interactions [13,14].
 63 Computer simulations were also used to study the interactions between RBD and ACE2
 64 from other mammals, and the results provide hints on molecular mechanism for SARS-
 65 CoV-2 infection to other animals [15,16]. Here, we followed a similar approach,
 66 constructed the structure of human ACE2 and the RBD of Omicron variant (hereafter
 67 denoted as ACE2-RBD^O, where the superscript indicates Omicron). Then the complex
 68 structure was subjected to atomistic molecular dynamics simulations to refine the model
 69 and to probe the dynamical interactions between ACE2 and RBD. After comparing to the
 70 wild type ACE2-RBD complex system, we found that the RBD^O exhibits stronger binding
 71 to human ACE2, suggesting that the Omicron variant infects cells via the same mechanism
 72 and the infectivity might be enhanced due to the stronger binding interactions.

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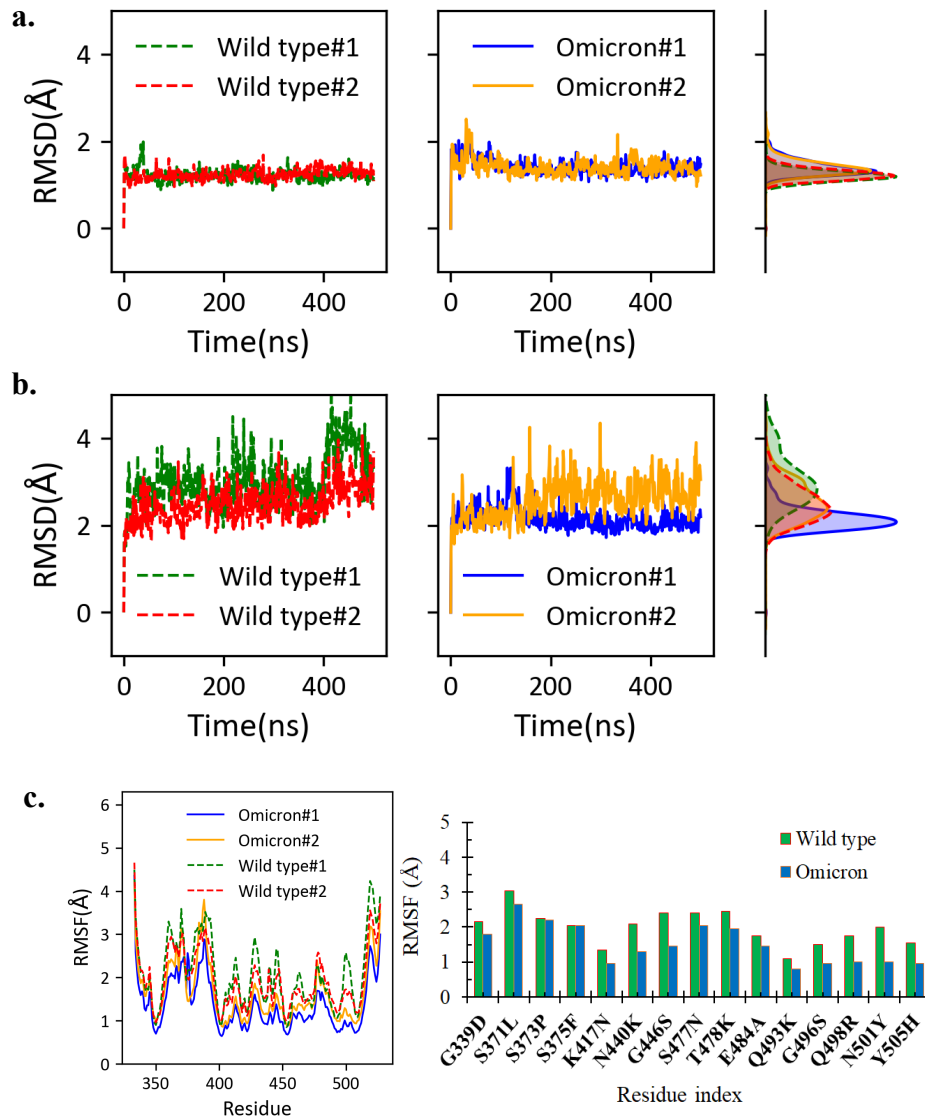
74
 75 **Figure 1. Mutations and the diversity of SARS-CoV-2.** (a) The phylogenetic tree of
 76 SARS-CoV-2. Major variants are labelled on the graph, and the color of clans is according
 77 to the number of spike protein mutations. The tree is generated at <https://nextstrain.org>. (b)
 78 Mutation sites of the receptor binding domain. The residues below the red line are at or
 79 near the ACE2 binding interface.

80

81

82 **Results**

83 **The structures of Omicron RBD and ACE2-RBD complex are stable.** The averaged
84 backbone root-mean-square-deviation (RMSD) of the RBD is less than 1.4 Å compared to
85 the starting model for both the wild type and Omicron systems (Figure 2a). For the wild
86 type RBD, the structure ensembles from two independent simulations (each 500 ns)
87 deviated from the crystal structure of RBD by 1.2 Å on average; interestingly, the RBD^O
88 has averaged RMSD values of 1.4 Å, indicating that the mutations only slightly alter the
89 structure of RBD^O from the wild type RBD. Similarly, the ACE2-RBD complexes are
90 stable through simulations, reflecting on the RMSD with respect to starting complex
91 structures (Figure 2b). The RMSD for the wild type ACE2-RBD complex is averaged to
92 3.0 Å and 2.5 Å for the structures sampled from the two trajectories; while the values are
93 2.2 Å and 2.6 Å for the two simulation trajectories of ACE2-RBD^O. Therefore, we predict
94 that the mutations in Omicron variant do not significantly reduce the RBD stability, instead,
95 the ACE2-RBD^O complex is even slightly more stable than the wild type, according to the
96 RMSD analysis. The residue fluctuations were analyzed by calculating the root-mean-
97 square-fluctuations (RMSF) of the RBD (Figure 2c). According to the average values of
98 RMSF, the RBD^O is more rigid than its wild type (1.5 Å vs. 2.1 Å). The reduction of the
99 RMSF is more pronounced at the interfacing residues of RBD, also known as the receptor
100 binding motif (RBD, residues 434-508) [17]. We also closely examined the fluctuations of
101 mutated residues (Figure 2c, right panel) and found that the 15 mutated residues in the
102 Omicron variant consistently exhibit smaller fluctuations, compared to their wild type
103 counterparts. It is plausible that the binding of ACE2 stabilize these residues, which in turn
104 enhance the stability of the ACE2-RBD^O complex. Detailed quantifications on interactions
105 between ACE2 and RBD are elaborated in the following sections.



106

107 **Figure 2. Stability of the RBD and ACE2-RBD complex structures.** (a) The RMSD of

108 RBD with respect to the starting structure. The histogram of each RMSD time trace is

109 drawn on the right. (b) The RMSD of the whole complex with respect to the starting

110 complex structure, with the histograms shown on the right. (c) The RBD residue

111 fluctuations. The residue fluctuations for the mutation sites are shown on the right panel.

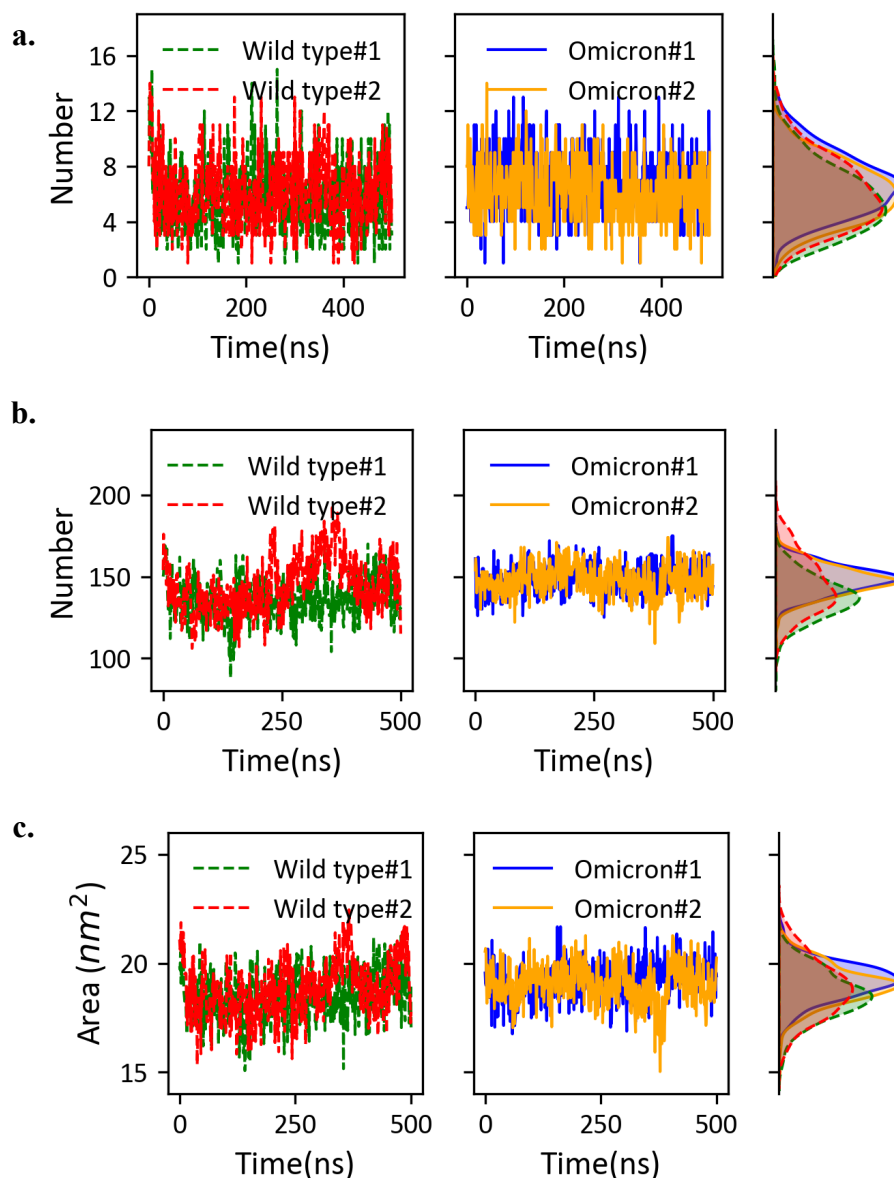
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113 **The interactions between ACE2 and RBD are enhanced in Omicron variant.** We

114 extracted the hydrogen bonds formed directly between ACE2 and RBD^O, and further

115 compared the data to the wild type system (Figure 3). On average, there are 6.5 ± 2.2

116 hydrogen bonds formed between ACE2 and RBD^O, about 10% more than 5.9 ± 2.4
117 hydrogen bonds observed in the wild type system. A closer examination on the specific
118 hydrogen bonds reveals that the Q493K and N501Y play important roles in forming new
119 hydrogen bonds (Table 1). It is worthwhile to note that the hydrogen bonds are very
120 dynamical, and the total number of hydrogen bonds at any instant time fluctuates
121 significantly. Therefore, in the table we only listed seven hydrogen bonds that are
122 frequently observed during simulations, with the occupancy close to 20% or above. As
123 shown in Table 1, the only hydrogen bond with occupancy below 20% is between ACE2
124 S19 and RBD A475 (occupancy = 18.73%). In the case of ACE2-RBD^O, the next
125 frequently observed hydrogen bond is between K31 of ACE2 and W456 of RBD^O with an
126 occupancy of 16.25% (not listed in Table 1). As shown in Table 1, there are five common
127 stable hydrogen bonds observed in both the wild type and Omicron variant systems. The
128 mutations resulted in the loss of two hydrogen bonds: (1) the K417N mutation caused the
129 loss of hydrogen bonding with ACE2 residue D30, and (2) the Y505H mutation
130 significantly reduced its bonding to E37 of ACE2. The Q493K mutation not only maintains
131 the hydrogen bond between Q493 and E35 of ACE2 in the wild type complex, but also
132 adds the possibility of forming a new stable hydrogen bond between K493 and the D38 of
133 ACE2. The hydrogen bond between Y501 of RBD^O and the Y41 of the ACE2 is also a new
134 hydrogen bond frequently observed in simulations. The hydrogen bond between the S19 of
135 ACE2 and the A475 of RBD^O is stronger than that in the wild type system, although
136 neither residues were mutated in the Omicron variant. It is possibly influenced by the local
137 changes due to the S477N and T478K mutations. By comparing the occupancies, we
138 conclude that the hydrogen bonds between ACE2 and RBD^O are more stable through the
139 simulations, and therefore resulting more hydrogen bonds on average.



140

141 **Figure 3. Quantitative analysis of the ACE2-RBD interactions.** (a) Hydrogen bonds
 142 between ACE2 and RBD/RBD^o. The time traces of hydrogen bond numbers observed
 143 during the simulations are shown on the left and middle columns. The histograms are
 144 shown on the right column to compare the statistics between the wild type system and the
 145 Omicron variant system. (b) The number of residue contacts between ACE2 and RBD. (c)
 146 The buried surface area due to ACE2-RBD binding. Similar to (a), the histograms are
 147 shown to facilitate the comparison in (b, c).

148

149 **Table 1. Hydrogen bonds between the RBD and the ACE2.**

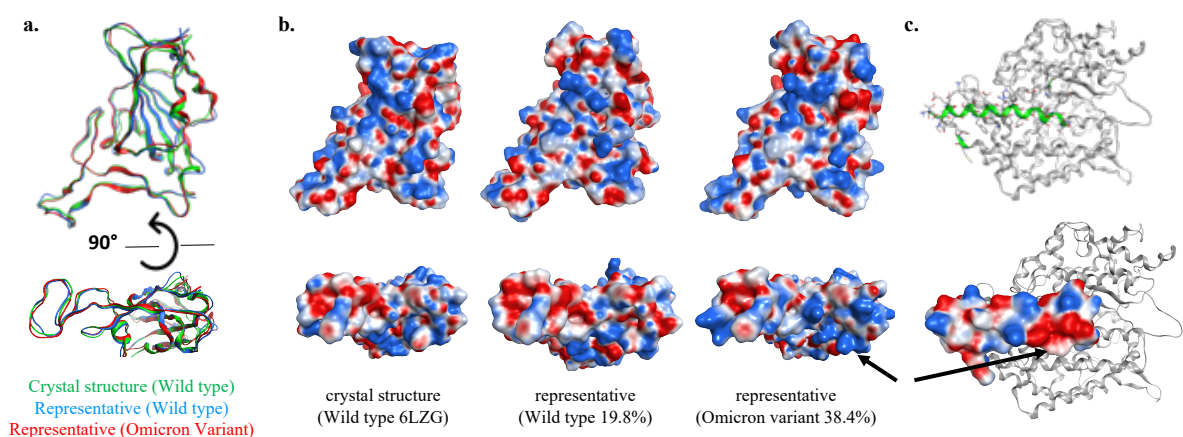
Wild type ACE2-RBD			Omicron ACE2-RBD		
ACE2	RBD	Occupancy	ACE2	RBD ^o	Occupancy
K353-Main	G502-Main	57.97%	E35-Side	K493-Side *	75.67%
Y83-Side	N487-Side	50.60%	D355-Side	T500-Side	60.52%
E35-Side	Q493-Side	38.84%	K353-Main	G502-Main	57.03%
D30-Side	K417-Side	34.36%	D38-Side	K493-Side *	52.34%
D355-Side	T500-Side	25.60%	Y83-Side	N487-Side	52.04%
E37-Side	Y505-Side	21.71%	S19-Side	A475-Main	36.59%
S19-Side	A475-Main	18.73%	Y41-Side	Y501-Side *	35.00%

150 * The residues were mutated from the wild type RBD
 151 The entries shaded in blue color are either not lost or with low occupancy in the Omicron variant
 152 system; the entries with yellow shading are the new hydrogen bonds observed in the Omicron
 153 variant; the other entries are the common hydrogen bonds in both wild type and Omicron systems.
 154

155 We computed the number of van der Waals contacts between the ACE2 and RBD, as well
 156 as the buried surface area, to further assess the interactions between ACE2 and RBD. For
 157 the wild type system, the two simulations yield 137 ± 12 contacts on average, while the
 158 ACE2-RBD^o has 148 ± 9 contacts on average (Figure 3b). The statistics on the buried
 159 surface areas are consistent with the level of contacts. The Omicron variant resulted an
 160 increase of buried surface area from 18.5 nm^2 to 19.1 nm^2 (Figure 3c).
 161

162 **The representative structures are highly similar.** The representative structures were
 163 selected from the most populated clusters for the ACE2-RBD complexes. The largest
 164 cluster accounts for about 19.8% of the simulated structures for the wild type complex, and
 165 the largest cluster for the Omicron complex accounts for 38.4% of the sampled structures.
 166 The RBD structures are similar in the representative models, both within 1.4 \AA backbone
 167 RMSD from the crystal structure (see Figure 4). In particular, the RBM regions are aligned
 168 very nicely (with backbone RMSD $< 0.5 \text{ \AA}$) for these structures, in accordance with the
 169 tight binding to ACE2. We computed the electrostatic potentials by solving the Poisson-
 170 Boltzmann equation for the RBM region in three structures (Figure 4b): the crystal

171 structure and representative structure of the wild type RBD, as well as the representative
 172 structure of the RBD^O. For the wild type RBD, positive and negative potential patches are
 173 dispersedly located at the binding interface. Strikingly, the same interface has larger
 174 patches with positive potentials in the RBD^O. For instance, the region around G446S-
 175 Q493K-G496S-Q498R-N501Y-Y505H mutation sites exhibits stronger positive
 176 electrostatic potentials, improving its complementary to the charge surface of ACE2
 177 protein (Figure 4c). In the corresponding region, the key residues from ACE2 are
 178 composed of D38-Y41-Q42-D355-S446, forming a negatively charged patch. We
 179 computed the binding energies for the representative models. In this case, we obtained one
 180 representative structure from each simulation trajectory using the same clustering
 181 algorithm, then we obtained two representative structures for the wild type ACE-RBD, and
 182 two for the Omicron variant system. The binding energies for the two wild type ACE2-
 183 RBD structures are -104.17 kcal/mol and -97.73 kcal/mol. The binding energies for ACE2-
 184 RBD^O structures are even lower (-112.25 kcal/mol and -107.04 kcal/mol), indicating
 185 stronger binding between ACE2 and RBD^O.



187 **Figure 4. Representative structures and the electrostatic potential surfaces.** (a) The
 188 representative structures of wild type RBD (blue) and RBD^O (red) are superposed to the
 189 crystal structure (green). The bottom panel shows the structure alignment for the ACE2
 190 binding interface of RBD. (b) The electrostatic potentials on the RBD/RBD^O surface (-5
 191 $k_B T/e$ to +5 $k_B T/e$, for colors from red to blue). (c) The RBD binding interface of ACE2

192 and its electrostatic potentials (calculated from the crystal structure of ACE2). The black
193 arrows point to the largest positive (on RBD^o) and negative potential patches (on ACE2).
194

195 **Detailed structure features at the ACE2-RBD interface**

196 The interactions at the interface of ACE2-RBD complex for the wild type have been
197 previous reported in the perspectives of both static crystal structures [18,19] and dynamical
198 conformations [13]. Generally, ACE2 residues 19-42 of the N-terminal helix, 82-83 near
199 the η 1, N330 at helix-13 and 352-357 at the β -hairpin-4,5 are in close contacts with RBD.
200 For the RBD, crystal structures show that residues K417, G446, Y449, Y453, L455, F456,
201 A475, F486, N487, Y489, Q493, Y495, G496, Q498, T500, N501, G502 and Y505 form
202 direct contacts with human ACE2, while simulations have revealed additional residues
203 Q474, G476, S477, T478, E484 and G485 at the loop (L₆₇) of RBD to enhance the
204 interactions [13]. Out of the 15 RBD mutations found in the Omicron variant, 10 residues
205 (K417N, N440K, G446S, S477N, T478K, E484A, Q493K, G496S, Q498R, N501Y and
206 Y505H) are located at ACE2-RBD interface, consequently changing the electrostatics
207 surface charges at the interface and may have additional effects on the binding of
208 antibodies and drugs targeting the interface due to the bulkier size of the mutant sidechains
209 such as in T478K. This also applies for the mutant residue N440K at a loop near the
210 binding interface with ACE2 (see Figure 5).

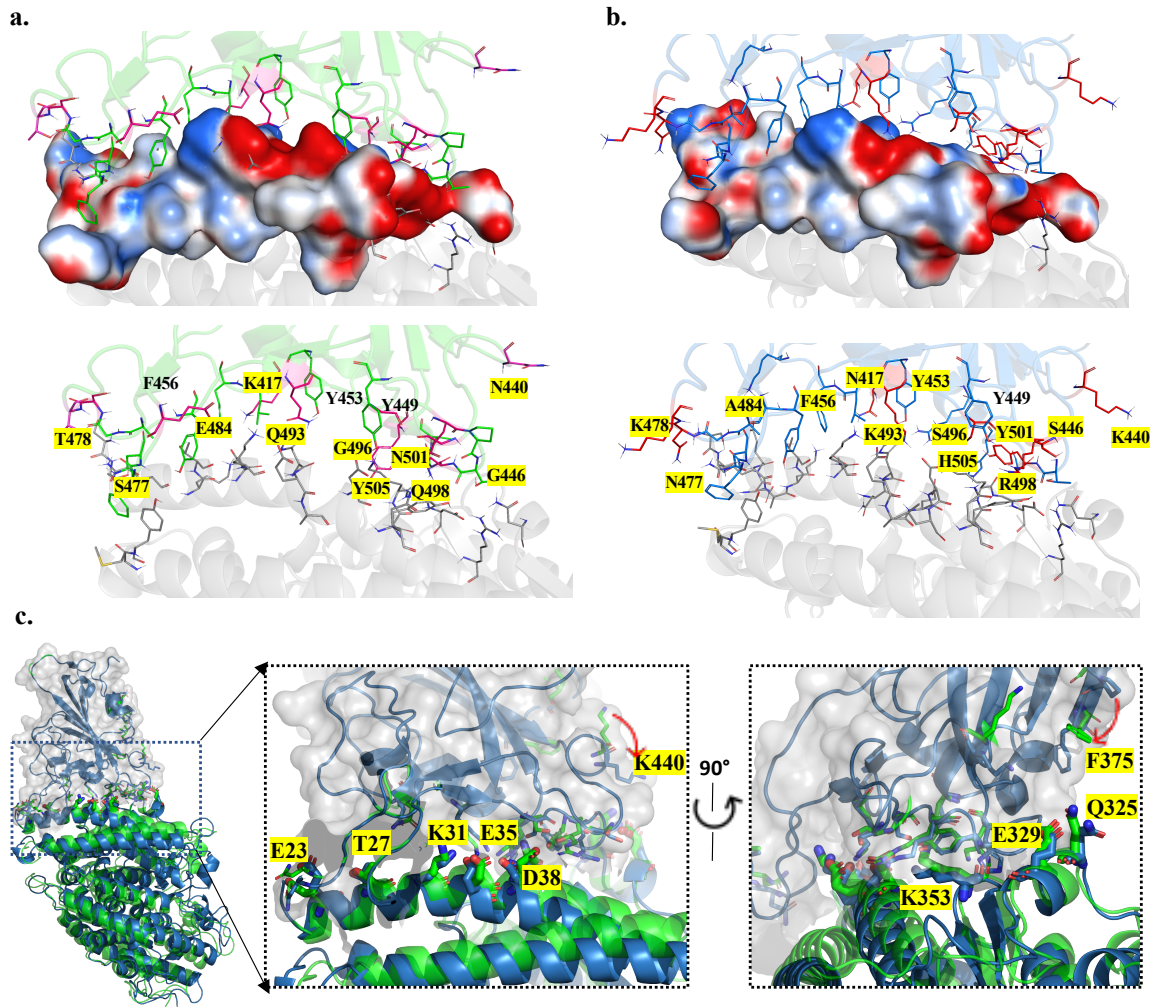
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212 As a result of these mutations, wild type RBD-ACE2 interactions (Figure 5a) such as salt
213 bridge E484-K31 are lost, K417-D30 are weakened in the Omicron variant due to
214 shortened side chains, while hydrogen bonds Q493-E35, Q498-K353, Y505-E37 are
215 enhanced by the Omicron substitutions, repositioning and forming new interactions, such
216 as the favorable interactions K493-D38, R498-Y41, R498-Q42 H505-K353 and N377-
217 Q24. Mutations also introduce additional π - π stacking interaction Y501-Y41. The key

218 interactions observed in wild type ACE2-RBD are maintained in the Omicron variant
219 (Figure 5b). These preserved interaction includes the following pairs: Y449-D38, Y453-
220 H34 A475-S19, N487-Y83, T500-N330 and T500-D355.

221 Although the structures are highly similar in terms of backbone traces, there are notable
222 conformational differences between the initial structure of the complex and the
223 representative structure near the ACE2-RBD interface (Figure 5c). The N-terminal helices
224 exhibit slightly kinked conformations, suggesting a larger separation from Omicron RBD
225 by appearance. Nonetheless, careful analysis shows that the major binding interactions are
226 well maintained through simulations, manifested as the highly consistent positions of key
227 residues of ACE2 (highlighted in Figure 5c). The changes of RBD residue side chain
228 positions suggest that MD simulations are useful in refining the quality of predicted
229 complex structures. The side chains of F375 and K400 both point towards the ACE2
230 receptor in the representative structure, providing auxiliary supports to binding interactions
231 (Figure 5c).

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235 **Figure 5. Detailed structures at the ACE2-RBD binding interface.** (a) The interface of the
 236 wild type ACE2-RBD complex, the amino acids at mutation sites are shown with stick
 237 representations. The upper panels show the side chain positions of RBD on the surface of
 238 ACE2, where the surface is colored according to electrostatic potentials ($-5 k_B T/e$ to $+5 k_B T/e$,
 239 for colors from red to blue); lower panel shows the side chains of both ACE2 and RBD. (b)
 240 The interactions between ACE2-RBD of the Omicron variant. The figure labeling and
 241 coloring scheme are the same as in (a). The amino acids at mutation sites are highlighted with
 242 yellow color. (c) The conformation and the positions of ACE2 residues that are in close
 243 contact with RBD. The predicted complex model is shown in green color, and the
 244 representative model is in blue color. The RBD domain is enclosed by the solvent accessible
 245 surface colored in gray. The right panels show enlarged views of the interface in two
 246 orientations. The key residue side chains are shown in thicker sticks. Red arrows indicate the
 247 major movements of RBD residue side chains.
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252 **Discussions and Conclusion**

253 The large number of mutations observed in the spike protein of SARS-CoV-2 raised
254 serious concerns about the new variant Omicron. Using computational modeling and
255 simulations, we carried out quantitative analysis on the stability of ACE2-RBD complex
256 for the Omicron variant, and compared to that of the wild type system. The interactions
257 were assessed using several quantities, including hydrogen bonds, van der Waals contacts,
258 buried surface areas, and the binding free energies. The dynamics simulation results and
259 the quantitative comparison show that the binding interactions between ACE2 and RBD
260 are slightly stronger for the Omicron variant than for the wild type. This information
261 provides molecular basis for enhanced infectivity of the Omicron variant.

262

263 Most of effective neutralization antibodies are found to bind to RBD epitopes, many of them
264 compete with ACE2 interactions, previous study has found that many of the neutralization
265 antibodies are still effective to a large extent against the SARS CoV2 variants before
266 Omicron variant [20,21]. However, the latest results have shown that 85% of previously
267 characterized neutralization antibodies lost their efficacy against the new variant Omicron
268 [22]. Therefore, the analyses of RBD-ACE2 interaction are not only important for the
269 understanding of the outcome of the new virus variant, but also crucial for predicting and
270 design for therapeutic antibody efficacy, particularly for further development of new
271 generations of therapeutic antibodies that can overcome immune escaping mutants.

272

273

274 **Methods**

275 **Molecular Dynamics Simulation and Analysis**

276 The mutation information of Omicron is retrieved from the US CDC website [9]. We
277 included 15 mutations occurred in the RDB (see Figure 1). The mutations were
278 implemented based on the wild type ACE2-RBD complex structure using the Charmm-
279 GUI webserver [23]. The protonation state was determined under PH 7.0 solvent
280 environment.

281 The wild type ACE2-RBD and its Omicron variant were prepared using the CHARMM36
282 force fields, following the procedure of the CHARMM-GUI webserver. Each system was
283 solvated in 150 mM sodium chloride solvent with TIP3P water models. Steepest descent
284 algorithm was applied to minimize the system energy, then each system was equilibrated to
285 310.15 K (37 °C) within 125 ps. The temperature was maintained by Nose-Hoover scheme
286 with 1.0 ps coupling constant in the NVT ensemble (constant volume and temperature).

287 During the equilibration stage, harmonic restraint forces were applied to the molecules (400
288 $\text{kJ mol}^{-1} \text{nm}^{-2}$ on backbone and $40 \text{ kJ mol}^{-1} \text{nm}^{-2}$ on the side chain atoms) [24,25].

289 Subsequently, the harmonic restraints were removed and the NPT ensembles (constant
290 pressure and temperature) were simulated at one atmosphere pressure (10^5 Pa) and 310.15 K.
291 The pressure was maintained by isotropic Parrinello-Rahman barostat [26], with a
292 compressibility of $4.5 \times 10^{-5} \text{ bar}^{-1}$ and a coupling time constant of 5.0 ps. The wild type and
293 Omicron variant ACE2-RBD systems were both simulated for 2 x 500 ns using the
294 GROMACS 5.1.2 package [27]. In all simulations, a time step of 2.0 fs was used and the
295 PME (particle mesh Ewald) [28] was applied for electrostatic interactions beyond 12.0 Å.
296 The van der Waals interaction cutoff was set to 12.0 Å. Hydrogen atoms were constrained
297 using the LINCS algorithm [29].

298

299 Analyses were carried out with tools in GROMACS (rmsd, rmsf, mindist, sasa) to examine
300 the system stability. The buried surface area is computed as

301
$$\Delta A = A_{ACE2} + A_{RBD} - A_{ACE2-RBD} \quad (1)$$

302 Where A_{ACE2} , A_{RBD} , and $A_{ACE2-RBD}$ are the solvent accessible surface area computed using
303 gmx sasa function. The mindist command was used to compute the residue distances, the
304 residue pairs with distance below 4.0 Å were considered as contacting residues.

305 VMD was used to analyze hydrogen bonding interactions [30], with the following criteria: D-
306 A distance cutoff=3.9 Å and D-H-A angle cutoff=20 degrees, where D,A,H are Donor atom,
307 Acceptor atom, and the Hydrogen atom linked to the Donor atom. Pymol was used for
308 molecular binding interface, water distributions, visualization, and rendering model images
309 [30]. The adaptive Poisson-Boltzmann equation solver (APBS) was used to compute the
310 electrostatic potentials [31].

311

312 The binding energy was calculated using Prime 3.0 MM-GBSA module of the Schrodinger
313 24 package [32–34]. In each ACE2-RBD complex, the ACE2 was treated as the receptor and
314 RBD was considered as the ligand. Prime MM-GBSA uses OPLS-AA force field and VSGB
315 2.0 implicit solvation model to estimate the binding energy of the receptor-ligand complex.
316 The binding energy is calculated as:

317
$$\Delta G (\text{bind}) = E_{ACE2-RBD} - (E_{ACE2} + E_{RBD}) \quad (2)$$

318

319 **Acknowledgement**

320 This work was supported by the National Key Research and Development Projects of the
321 Ministry of Science and Technology of China (2021YFC2301300) to X.-D.S, and the
322 National Natural Science Foundation of China (grant numbers: U1930402, 31971136) to
323 H.L. The computational work is supported by a Tianhe-2JK computing time award at
324 Beijing Computational Science Research Center (CSRC).

325

326 **Competing interests**

327 The authors declare no competing interests.

328

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