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Article Title: Patterns of parent and offspring gene expression reflect canalization, not plasticity,

in response to environmental stress

Short Running Title: Stress constrains genetics of family interactions

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ABSTRACT

Parenting buffers offspring from hostile environments, but it is not clear how or if the

genes that underlie parenting change their expression under environmental stress. We recently

demonstrated that for the subsocial carrion beetle, *Nicrophorus orbicollis*, temperature during

parenting does not affect parenting phenotypes. Here, we ask if transcriptional changes

associated with parenting are likewise robust to environmental stress. The absence of a

transcriptional response for parenting under stress would suggest that the genetic 'programs' for

parenting and being parented are canalized. Conversely, a robust transcriptional response would

suggest that plasticity of underlying gene expression is critical for maintaining behavioral

stability, and that these mechanisms provide a potential target for selection in the face of

environmental change. We test these alternatives by characterizing gene expression of parents

and offspring with and without parent-offspring interactions under a benign and a stressful

temperature. We found that parent-offspring interactions elicit distinct transcriptional responses

of parents and larvae irrespective of temperature. We further detected robust changes of gene

expression in beetles breeding at 24°C compared to 20°C irrespective of family interaction.

However, no strong interaction between parent-offspring interaction and temperature was

detected for either parents or larvae. We therefore conclude that canalization, not plasticity of

gene expression, most likely explains the absence of behavioral plasticity under thermal stress.

This result suggests that species may not have the genetic variation needed to respond to all

environmental change, especially for complex phenotypes.

IMPACT STATEMENT

Parenting is thought to evolve to buffer offspring from harsh or inhospitable conditions. However, once evolved, does parenting continue to buffer against new environmental challenges such as might occur under global warming? One way organisms achieve different phenotypes without evolving different genes for each trait is by changing timing or extent of gene expression. Changes in gene expression influencing physiological traits such as hypoxia have been shown to facilitate adaptation to changing environments. It is less clear if changes in gene expression are also important for behavioral plasticity in complex social traits, such as parental care. In this study, we ask whether families of the subsocial burying beetle, *Nicrophorus orbicollis*, show altered expression of parent or offspring genes that may help compensate for reduced offspring success under global warming. To test the extent that gene expression underlies behavioral plasticity in parenting and offspring responses to parenting, we characterize the gene expression profiles of whole families (males, females, and larvae) with and without parent-offspring interactions under two thermal conditions: 20°C and 24°C. We found that heat stress predominantly induced downregulation of genes, consistent with a constrained acclimation response. However, when temperature was considered in conjunction with parent-offspring interactions, genetic plasticity was extremely limited. That is, the genes underlying parent-offspring interactions did not show strong signatures of differential expression under more stressful thermal conditions. Given that we previously found no effects of temperature on parental behavior, we suggest that constrained, not plastic gene expression, underpins stability of parent-offspring interactions and limits the buffering capacity for family interactions under environmental stress. Insights from this study deepen our understanding of the interplay between

69   genetic and phenotypic plasticity in complex behavioral traits and how traits such as parenting

70   may limit responses to novel environmental challenges.

INTRODUCTION

Parental care evolves to buffer offspring against environmental stress and once evolved, can be instrumental for ensuring success across a range of ecological conditions, beyond those that promoted its evolution (Wilson 1975; Clutton-Brock 1991; Royle *et al.* 2012). In the face of rapidly changing environments, phenotypic plasticity in family interactions could contribute to species persistence by allowing parents to invest more when conditions are poor and offspring to compensate when conditions exceed those that parents can or are willing to buffer. In some cases, this plasticity may be immediately detectable as a change in behavior. For example, many birds compensate for harsh breeding season conditions by increasing the density of food loads delivered to chicks (Grissot *et al.* 2019) or by extending incubation times (McClintock *et al.* 2014; Sharpe *et al.* 2021), while offspring have been shown to upregulate metabolic and immune pathways in response to the removal of a caring parent (Ziadie *et al.* 2019; Körner *et al.* 2020; Mashoodh *et al.* 2021) or exposure to pathogens (Körner *et al.* 2020). However, when parents and offspring are pushed to their physiological limits, maintaining a stable phenotype is likely just as important for ensuring offspring success as is altering behavior.

Transcriptomic studies have much to contribute to our understanding of the interplay between genetic plasticity and phenotypic plasticity. Gene expression is inherently plastic, and transcriptional profiles can undergo profound changes to maintain stable higher-level phenotypes (Eberwine & Kim 2015; Nijhout *et al.* 2019; Rivera *et al.* 2021). For instance, research on adaptation to high-altitude hypoxia has revealed that sparrows living at high altitude in the Peruvian Andes constitutively upregulate nearly 200 metabolic and biosynthesis genes relative to counterparts inhabiting lower elevation sites (Cheviron *et al.* 2008). Similarly, plasticity of molecular mechanisms underlying behavior could fulfil crucial compensatory functions, ensuring

consistency of phenotype despite changes in environmental conditions (Fischer *et al.* 2021). In

this way, studying transcriptional profiles could shed light on the potential mechanisms of

adaptation without requiring knowledge of a specific phenotype (Cheviron & Brumfield 2011).

This perspective is valuable to the study of parental care because both parents and offspring

facing environmental stress should be favored to maintain cooperative interactions but could

differ in their capacities to do so dependent on personal costs. However, very few studies have

profiled gene expression in parents and offspring simultaneously to test whether plasticity at the

transcriptional level helps to stabilize parent-offspring interactions under environmental stress or

whether the 'genetic programs' for these complex traits are themselves constrained.

In this study, we performed RNA-seq on a subsocial burying beetle, *Nicrophorus*

orbicollis, to explore if plasticity of gene expression may help buffer biparental families against

future temperature rise. We previously showed that female and male *N. orbicollis* do not change

parenting behaviors in response to temperature manipulation (Moss & Moore 2021); however,

whether this behavioral stability results from constraint or plasticity of underlying genetic

mechanisms remains unclear. Moreover, the potential for offspring to independently compensate

for thermal stress has not been studied in this system. Here, we can address this possibility while

controlling for any confounding effects of differences in care. There is a strong basis for studying

the genetics of parenting and development of burying beetles (Parker *et al.* 2015; Jacobs *et al.*

2016; Palmer *et al.* 2016; Benowitz *et al.* 2017a; Won *et al.* 2018; Cunningham *et al.* 2019), yet

the factors that influence the expression of genes underlying parent-offspring interactions remain

uncharacterized. Even less understood is the extent to which expression of care-related genes is

modulated in response to environmental stress. Here we examined the effects of parent-offspring

interactions, thermal environment, and family membership on gene expression in a 2 x 2 x 3

factorial design to determine if any genes underlying parent-offspring interactions modify their

expression depending on temperature.

METHODS

Study System

Nicrophorus orbicollis is a biparental carrion beetle that breeds on small vertebrate

carcasses in woodlands ranging from southern Canada to northern Texas. At the southeastern

edge of this distribution, daily mean temperatures as low as 18°C and as high as 25°C may arise

over the course of the long summer breeding season (Moss & Moore 2021). As in most members

of the genus, *N. orbicollis* expresses a large repertoire of pre- and post-hatching care behaviors

(Eggert & Müller 1997; Scott 1998). Carcass preparation begins with the removal of hair and

liberal application of anal secretions, which suppress microbial growth on the prepared 'brood

ball.' Females oviposit throughout the soil surrounding the brood ball, and within 2–3 days these

eggs hatch and larvae migrate through the soil to the brood ball attended by their parents. At this

stage, parents commence directly provisioning begging young *via* regurgitation. While both

males and females are capable of the full repertoire of care behaviors, parenting is sex-biased

with females typically residing longer on the brood ball (Scott & Traniello 1990; Trumbo 1991;

Scott 1998; Benowitz & Moore 2016) and performing a disproportionate share of the larval

provisioning (Moss & Moore 2021).

Study Design

We used *N. orbicollis* beetles that had been reared in the laboratory for one generation at

the University of Georgia, as described in Moss and Moore (2021). Briefly, adults were housed

individually in plastic containers (9 cm diameter, 4 cm deep; Eco Products, Boulder, CO, USA)

filled with potting soil and fed organic ground beef twice weekly *ad libitum.* Stock breeding took

place at room temperature (20 ± 0.5°C), and resulting offspring were divided evenly between

two incubators starting three days into pupation for a thermal acclimation period prior to

breeding. These incubators were programmed to long-day light conditions (reverse light:dark

14:10) on ramping temperature cycles to simulate a diurnal range: the first fluctuating between

21 and 20°C and the second between 25 and 24°C. Because breeding takes place underground in

relatively thermostable conditions, however, only the lower end of the diurnal range of each

thermal treatment (20°C and 24°C) was used for breeding. Virgin, non-sibling beetles (aged ≥

14 days post-eclosion) were paired in plastic boxes (17.2 x 12.7 x 6.4 cm; Pioneer Plastics,

Dixon, KY, USA) filled with approximately 2 cm of moistened soil and a 40–45 g thawed mouse

carcass (RodentPro, Evansville, IN, USA) and moved to either a constant dark temperature-

controlled room (20°C) or incubator (24°C) corresponding to their acclimation environment.

Boxes were monitored twice daily for the presence of eggs, and after 2–3 days eggs were

collected into petri dishes containing damp filter paper and stored at their respective treatment

temperatures. Eggs were checked every 4 hours between 0800 and 1700 until hatching (within 2

days of collection).

In addition to manipulating the thermal environments in which care took place, we also

generated within-temperature treatment controls to disentangle the specific effects of parent-

offspring interaction from a general parenting states. Thus, we sampled at two time points: 1)

post-larval hatching but before larvae and parents interacted (no parent-offspring interactions);

and 2) after 24 hours of parental care (interacting – full biparental care). While larvae of *N.*

orbicollis have relatively high starvation tolerance (mean time to starvation: ~17 hours), as first

instars their capacity to self-feed from a prepared carcass is extremely inefficient and they rely

on at least three hours of post-hatching parental care for survival (Capodeanu-Nägler et al. 2018).

Therefore, larvae of families without parent-offspring interaction were sampled directly from the

petri dish immediately upon discovery (within 18 hours of hatching), with parents sampled

simultaneously. Larvae of families with parent-offspring interaction, by contrast, were

transferred to brood balls attended by parents upon discovery (within 18 hours of hatching) and

families were left undisturbed for 24 hours before sampling (when larvae were in their second

instar; Fetherston et al. 1990, Scott 1998).

Sample Collection, Preparation, and RNA Sequencing

Our design yielded ten families at 20°C (five without parent-offspring interactions, five

with parent-offspring interactions) and nine families at 24°C (four without parent-offspring

interactions, five with parents-offspring interactions). To characterize transcriptomic signatures

associated with parent-offspring interactions, adults heads were collected and, due to their small

size, whole larvae were collected into liquid nitrogen and stored at -80°C until RNA extraction.

Larval samples consisted of 7–10 first instar (≤ 18 hours old) larvae for families without parent-

offspring interaction and 2–4 second instar (24–48 hours old) larvae for families with parent-

offspring itneraction. Extractions were performed following the Qiagen RNAeasy Lipid kit

protocols (Qiagen, Venlo, the Netherlands). Sequencing libraries were prepared from 1.3 µg total

RNA using the Illumina TruSeq mRNA Stranded Library Kit (Illumina, Inc., San Diego, CA,

USA) according to the manufacturer's protocol with standard Illumina adapters and primers.

Sequencing was carried out on a NovaSeq 6000 platform with a 150 bp paired-end protocol,

targeting 40M read pairs per sample.

Read Mapping, Transcriptome Assembly, and Annotation

191   Reads were trimmed using Trimmomatic (Version 0.39; Bolger et al. 2014) to remove

adapter sequences with default parameters, except low-quality bases (Phred <15 at the leading

and trailing ends and from 4-base sliding windows), and short reads (<36 bp). Reads were

corrected using RCorrector with default parameters (Version 1.0.3.1; Song and Florea 2015).

Quality was assessed using FastQC (Version 0.11.9;

http://www.bioinformatics.babraham.ac.uk/projects/fastqc/; Supplementary Table 1). Paired-end

reads were mapped against a draft reassembly of the WGS data of Benowitz et al. (2017) of *N.*

orbicollis genome (NCBI BioProject PRJNA371654; Supplementary Methods 1). Read mapping

was performed using HISAT2 with default parameters (Version 2.1.0; Kim et al. 2015). A

reference-guided transcriptome was assembled using StringTie (Version 2.1.1; Pertea et al. 2015)

and redundant transcripts were removed using CD-HIT (Version 4.8.1; Li and Godzik 2006)

with a sequence similarity cut-off value of 95%. Finally, we assessed the completeness of the

assembly using BUSCO (Insecta dataset; Version 5.0.0; Simão et al. 2015).

204   Annotation of contigs was performed using a combination of approaches. Briefly, the

longest isoform of each gene was screened against the annotated and published genome of the

closely related burying beetle, *N. vespilloides* (NCBI Assembly Accession No.

GCF_001412225.1; Cunningham et al., 2015) using Magic-BLAST (Version 1.5.0; Boratyn et

al. 2019). Transcripts were also fed through the 'dammit' pipeline (http://dib-

lab.github.io/dammit/), which identifies candidate coding regions in transcripts with

Transdecoder (Version 2.0.1; https://hpc.ilri.cgiar.org/transdecoder-software) and annotates

them, drawing from multiple databases. We used the *N. vespilloides* proteome (Cunningham *et*

al. 2015) as a user database to search against and also retained any hits to known protein

domains in Pfam-A (Version 32.0; El-Gebali et al. 2019) and orthologs in OrthoDB (Version 10;

Kriventseva et al. 2019). Orthologous genes were retrieved from the OrthoDB database by

searching cluster IDs at the level Polyphaga. Gene ontology (GO) terms for annotated genes

were assigned by using Pfam accession numbers and GO terms of OrthoDB assignment. GO

terms were also supplemented using eggNOG-mapper (Version 5.0; Huerta-Cepas et al. 2019)

and OrthoDB searches at the Order (Insecta) level. Unique GO terms from any source were

retained for a gene.

Transcript Quantification and Filtering

We estimated transcript abundances for each sample separately using StringTie. A

transcript count matrix was extracted with the prepDE.py script provided with StringTie and

imported into R (Version 4.0.3; R Core Development Team 2019) along with sample library

sizes (calculated using Picardtools, Version 2.21.6; http://picard.sourceforge.net) and transcript

lengths. We computed the fragments per kilobase per million (FPKM) matrix with the package

countToFPKM (Version 1.0; Alhendi 2019). For each family member and social state (with or

without parent-offspring interactions), we retained transcripts that were expressed >2 FPKM in

more than half of samples and then combined all unique transcripts across groups in downstream

analyses (Bloch *et al.* 2018). Finally, we used *IsoformSwitchAnalyzeR* (Version 1.12.0; Vitting-

Seerup and Sandelin 2019) to convert transcript-level counts into gene-level counts.

Differential Expression Analysis

We performed differential expression (DE) analysis using *DESeq2* (Bioconductor

Version 1.30.0; Love et al. 2014). To cluster samples, we first applied variance stabilizing

transformation using DESeq2 and performed a principal components analysis (PCA) using R's

built-in 'prcomp' function. Visualization of the clustering was done using *DESeq2*'s 'pcaplot'

function and extracted principal components were regressed on each grouping factor (family

member, temperature, social interaction, and the statistical interactions among these factors) *via*

analysis of variance (ANOVA carried out in R stats). Significant differences among family

members in the full model ANOVA led us to analyze males, females, and larvae separately. For

each cohort, differential expression was estimated using parametric dispersion. We used the

likelihood ratio test (LRT) implemented in *DESeq2* to compare the goodness-of-fit of several

models for each cohort to estimate differential gene expression. The first test compared a full

model fitted with both temperature and social interaction (temperature + social interaction) to a

reduced model fitted only with temperature to produce a list of DEGs mutually affected by social

interaction across thermal environments. The second test compared the same full model to a

reduced model fitted only with social interaction to identify DEGs mutually affected by

temperature across social interactions. Our final test compared a full model fitted with a

statistical interaction term (temperature x social interaction) to a reduced model fitted only with

the main effects (temperature + social interaction), which allowed us to identify genes whose

response to social interaction differed between the thermal environments. The *p*-values that

resulted from these tests were adjusted for multiple testing using the Benjamini-Hochberg

correction (Benjamini & Hochberg 1995) and genes with adjusted $p < 0.05$ were considered

statistically significantly differentially expressed genes (DEGs).

To assess similarity of transcriptomic responses to temperature and social interaction

across family members, we extracted DEG overlap lists from the intersect of male, female, and

larval DEG sets. To determine whether overlap was significantly greater than expected by

chance given variable input sizes, we further carried out randomization tests. Briefly, 10,000

gene sets were randomly generated for males, females, and larvae by sampling from the full list

of expressed genes in that cohort a number equivalent to the size of the DEG set being simulated.

These random gene sets were used to calculate a null distribution of overlap values, and

significance was calculated as the proportion exceeding the observed overlap for each pairwise

comparison. While overlap of the most highly significant genes between two sets lends itself to

intuitive interpretation, use of fixed thresholds can be overly stringent and lead to false negatives.

Therefore, we implemented a complementary threshold-free approach: rank-rank hypergeometric

overlap (RRHO) analysis. This analysis ranks DE genes according to their significance level

along with the magnitude and direction of change to make qualitative assessments of

concordance between sets (i.e., coordinated shifts in the same direction) without requiring that

values attain the same magnitude in all family members. Results were visualized using the

stratified method of the R package *RRHO2* (Version 1.0; Cahill et al. 2018), with genes ranked

according to the recommended metric of -log10(p-value)*sign(log$_2$ fold change).

Functional Enrichment Analysis and Candidate Genes

Finally, we queried the DEG sets and the overlapping DEG sets for enriched Gene

Ontology terms using topGO (Version 2.42.0; Alexa and Rahnenfuhrer 2020) searching for

enrichment in all three gene ontology categories: biological process, molecular function, and

cellular component. Significance was estimated using a Fisher's exact test following the

program's suggested protocol and visualized using the R package 'GOplot' (Walter *et al.* 2015)

to examine the magnitude and directionality of change of enriched pathways.

RESULTS

Our final transcriptome assembly contained 91% of single-copy conserved nucleotide

orthologs (Complete: 90.5% [Single Copy: 76.4%, Duplicated: 14.1%], Fragmented: 4.2%,

Missing: 5.3%) and a total of 12,406 genes.

Gene Expression Among Family Members

We visualized differences in gene expression among male parents, female parents, and

offspring using principal components analysis. Parents and offspring separated strongly along

two primary axes (Fig 1A), which together explained 77.8% of total variance in gene expression

across all samples (PC1: 73.08%; PC2: 4.76%; Table 1). Family member (parent vs larvae)

clearly separated along PC1, while separation along PC2 was driven primarily by social

interaction (Table 1). The interactive effect of family member and social interaction and family

member and temperature were significantly associated with PC2 (Table 1; Fig 1B). Visualizing

cohorts separately with their own dispersions further clarified how global gene expression

differed among groups. Female gene expression displayed considerable overlap between thermal

environments but was most divided with respect to social interaction, particularly in the 20°C

environment (Fig 1C). Male gene expression differentiated most strongly with temperature (Fig

1D). In larvae, behavioral groups formed distinct clusters, with social interaction accounting for

far greater among-group differences than temperature (Fig 1E).

Figure 1: Principal components analysis (PCA) of normalized transcriptomic profiles across the

three family member cohorts (females, males, and larvae) and four treatment groups

(20°C:without parent-offspring interactions, 20°C:with parent-offspring interactions,

24°C:without parent-offspring interactions, and 24°C:with parent-offspring interactions). (A)

Sample clustering along the first two principal components of the full model PCA (~Family

Member + Temperature + Social Interaction + Family Member*Temperature*Social

Interaction). Eclipses are drawn for 95% confidence intervals. (B) Normalized expression

profiles of the top 500 genes loading onto PC1 and PC2 as heatmaps, with each column

representing an individual sample (grouped by family member) and each row representing a gene

(clustered in a dendrogram by expression similarity). Cell colors represent normalized count

values, such that the visual distinctiveness of clusters of samples corresponds to the magnitude of

difference in their gene expression along PC1 and PC2. (C–E) Clustering of female, male, and

larval samples, respectively, when analysis is repeated using only those samples. Illustration of

N. orbicollis family in Fig 1A by K.E. Kollars.

Table 1: ANOVA of principal components of overall gene expression.

Variable	PC1 (73.08% variance)		PC2 (4.76% variance)	
	$F_{2,55}$	*P*	$F_{2,55}$	*P*
Family Member	**5346.224**	<0.0001	0.624	0.540
Temp	**4.047**	0.050	**7.073**	0.011
Social Interaction	**27.238**	<0.0001	**88.311**	<0.0001
Family Member x Temperature	0.499	0.611	**11.406**	<0.0001
Family Member x Social Interaction	**4.852**	0.012	**92.516**	<0.0001
Temperature x Social Interaction	2.139	0.151	0.925	0.341
Family Member x Temperature x Social Interaction	1.653	0.203	1.287	0.286

Differential Gene Expression among family members

Differential expression (DE) analysis largely recapitulated the results of the PCA visualization for each family member, The highest number of differentially expressed genes (DEGs) was associated with being parented, with 7,189 DEGs differentiating larvae with and without interacting parents (Supplementary Table 2). Adults showed fewer changes in gene expression, but there were also underlying sex differences: biparental males responded less to the presence of larvae (n = 63 DEG; Supplementary Table 3) than biparental females (n =189 DEG; Supplementary Table 4). Despite this, females and males had a statistically significant overlap of gene identities while parenting (Fig 2A; $P = 0.044$). When considering all expressed genes, concordance was striking but directional, with overlap between the sexes concentrated in genes downregulated in both during larval interaction (Fig 2B). Females interacting with larvae and larvae interacting with parents shared more DEGs in common (n = 115) than parenting males and parented larvae (n = 28), but this was not statistically significant ($P = 0.059$) and neither comparison showed global signatures of concordance of gene expression (Fig 2C–D).

Differential Gene Expression under different Temperature Regimes

Temperature induced a stronger transcriptional response in males (n = 418 DEGs; Supplementary Table 5) and larvae (n = 487 DEGs; Supplementary Table 6) than in females (n = 284 DEGs; Supplementary Table 7). Despite differing numbers of DEGs, male, female, and larval responses to temperature showed statistically significant overlap in terms of gene identity (male-female: $P < 0.001$; female-larvae: $P < 0.001$; male-larvae: $P = 0.006$; Fig 2E). Global concordance was pronounced between males and females (Fig 2F) – both in genes that were up-

regulated and down-regulated in response to high temperature – whereas this signal was weak

when comparing females to larvae (Fig 2G) and males to larvae (Fig 2H).

Differential Gene Expression for the Interaction of Social Interaction and Temperature

The interaction between temperature and social interaction was more explanatory of

within-group differences in females (n = 79 DEGs; Supplementary Table 8) than in males (n = 4

DEGs; Supplementary Table 9) or larvae (n = 26 DEGs; Supplementary Table 10). Overall,

however, very little gene expression was explained by the interaction of both treatments.

Figure 2: Concordance in differential gene expression between family members as estimated by

direct overlap of differentially expressed genes (DEGs; top panel) and rank-rank hypergeometric

overlap (RRHO; bottom panel). Numbers of shared DEGs associated with social interactions are

shown in Venn diagrams for females, males, and larvae (A); and (E) the contrast between 20°C

and 24°C. The bottom panel shows the results of the RRHO analysis of all 12,406 genes for each

of the respective contrasts (with vs without parent-offspring interactions: B–D; and 20°C vs

24°C: F–H). The x (family member 1) and y (family member 2) axes of each plot correspond to

the significance of differential expression (ranked -log10(p-values)) multiplied by the signed

log2fold difference in gene expression for each family member-specific analysis. White

boundaries demarcate the switch from down- to up-regulation between behavioral groups for

each family member. Pixel color corresponds to the value of the -log10(p-value) from the

differential expression analysis, such that hot spots in the plot designate the strength and

directionality of concordance in gene expression between family members.

Functional Enrichment Analysis

Examination of DEG lists produced by within-cohort parent-offspring interaction

contrasts revealed both shared and distinct enriched functional characteristics across females,

males, and larvae. Actively parenting females showed significant positive enrichment in a range

of metabolic, catabolic, and biosynthetic functions compared to their respective non-interacting

controls (Supplementary Table 11; Fig S1). Seventy-one of the 95 GO terms that were enriched

in female DEGs were also significantly enriched in the 115 DEGs that females shared with

larvae, particularly terms related to the metabolism of organic, carboxylic, and fatty acids

(Supplementary Table 12). Conversely, analysis of GO terms in males revealed significant

downregulation of non-overlapping GO terms (e.g., larval cuticle patterning; Supplementary

Table 13; Fig S2). Larval functional pathways were predominantly upregulated in response to

interactions with parents and spanned many categories, from cellular organization and

differentiation to whole organism development (Supplementary Table 14; Fig S3).

Compared to the 20°C group, females, males, and larvae in the 24°C group

predominantly showed downregulation of functional pathways. The most significantly enriched

GO terms in parents were related to chitin and carbohydrate metabolism (Supplementary Table

15–16; Figs S4–5), whereas larvae showed the most enrichment for cellular housekeeping

functions (e.g., ribosome biogenesis and rRNA processing; Supplementary Table 17; Fig S6).

Finally, we examined functional responses to parent-offspring interaction under the two

temperatures. In females interacting with larvae, we found 70 significantly enriched GO terms

annotations for genes that were differentially expressed between 20°C and 24°C, with 61 related

to oxidative and catabolic activities, in particular fatty acid oxidation (Supplementary Table 18).

These pathways comprised genes that were significantly downregulated, on average (Fig 3B).

Males similarly showed enrichment in pathways related to lipid transport and localization

(Supplementary Table 19). Larval DEGs were enriched for a total 15 GO terms (Supplementary

Table 20), the most significant of which (larval serum protein complex and synaptic target

inhibition) were upregulated in interacting larvae responding to heat stress (Fig 3C).

Figure 3: Visual summary of genes showing significant differential expression associated with

parent-offspring interactions for each family member under the different temperature (20°C

versus 24°C). (A) Venn diagram showing the number of significantly differentially expressed

genes (DEGs) in each cohort (females, males, and larvae) and their overlap; and bubble plots

showing functionally enriched gene ontology (GO) terms in the (B) female and (C) larval DEG

set. For B and C, the negative logarithm of each term's p-value is plotted against its z-score

((number of upregulated genes annotated for the term – number of downregulated genes

annotated for the term)/square-root of the number of genes annotated for the term) to illustrate

the overall magnitude and directionality of change in the functional pathway (<0 =

downregulation, >0 = upregulation). The size of each dot represents the number of genes

annotated for that term and color represents functional category: biological process, cellular

component, or molecular function. Highly enriched terms for each cohort are labeled.

DISCUSSION

If the function of parental care is to buffer offspring against environmental stress and

plasticity of gene expression allows families to optimize dynamics for their current environment,

then responses to an ecologically relevant stressor (i.e., temperature) should have a

transcriptional signature. Our study provides a first test of this prediction in a subsocial insect

with biparental care. We have shown that parent-offspring interaction and thermal environment

shape patterns of gene expression in *N. orbicollis* females, males, and larvae, and that many

responses are distinct to specific family members. However, we found limited evidence for

genetic plasticity. That is, neither parents nor offspring appear to moderate transcriptional

responses to parent-offspring interaction dependent on thermal environment. Taken with our

previous findings of limited behavioral plasticity of *N. orbicollis* parents exposed to high

temperatures (Moss & Moore 2021), this implies that behavioral stability under stress emerges

from constrained, rather than plastic gene expression. The capacity of parenting to buffer

environmental stress is limited.

With our study, we provide a first glimpse into the genes underlying parent-offspring and

offspring-parent interactions in a subsocial insect with biparental care. We characterized the gene

expression profiles of family members before and 24 hours after larvae colonized the breeding

resource. Not surprisingly, we found a larger transcriptional response in offspring than in

parents. Thousands of differentially expressed genes (DEGs) were detected in larvae spanning

many functional categories, consistent with the very rapid development and growth occurring at

this early stage (Won *et al.* 2018) and the strong influence of parenting (Capodeanu-Nägler *et al.*

2018; Mashoodh *et al.* 2021). In comparison to the dramatic changes that occur in larvae once

they are accepted by parents, the behavioral switch required for parents to accept their larvae and

begin active parenting is relatively subtle, occurring more than 50 hours into care (Oldekop *et al.*

2007). In line with past brain transcriptomic studies suggesting that the modification of behavior

within a state (i.e., parenting) involves a much smaller subset of the genes required to transition

between states (Bell *et al.* 2016; Benowitz *et al.* 2017a; Cunningham *et al.* 2019), changes in

gene expression associated with the switch to active parenting in our study were subtle compared

to, for example, the changes in expression associated with the transition from non-parenting to

parenting states in *N. vespilloides* (up to 650 DEGs; Parker et al. 2015, Cunningham et al. 2017).

As in previous work on the related *N. vespilloides* (Parker et al. 2015), males and females

parenting together showed strong concordance in overall gene expression patterns, but the

magnitude of transcriptional response in terms of number of significantly differentially expressed

genes (DEGs) was greatly reduced in males. Moreover, differences in functional enrichment of

male and female DEG sets and their overlap with offspring implies that the transcriptomic

architecture underlying parent-offspring interactions may be sex specific. For example, the top

functionally enriched categories in actively parenting mothers (e.g., lipid and carboxylic acid

metabolism) were also enriched in the overlap between females and offspring – a trend not

detected in fathers. We propose that genes shared between mothers and offspring may play a role

in feeding and nutrient exchange (i.e., mouth-to-mouth regurgitation), given that mothers in

biparental pairs were seen to perform a disproportionate share of provisioning in our behavioral

experiment (Moss & Moore 2021). If we are correct, it would suggest that there are substantial

sex-specific aspects of how parental care is manifested at the level of gene expression even when

care is predominantly biparental (Benowitz & Moore 2016).

In addition to being a social exchange, parental investment and offspring development

are sensitive to environmental quality as poor conditions can impose competing physiological

demands. To observe the physiological pathways involved in this response, we sampled families

from a benign (20°C) and a harsh (24°C) thermal environment across the breeding cycle. While

our previous work showed that *N. orbicollis* do not modify their behavior in response to

temperature (Moss and Moore 2021), closer examination at the level of gene expression revealed

robust changes in adults and larvae. In parents, the predominant transcriptomic response to heat

stress was downregulation, particularly of pathways involved in cuticle formation and

carbohydrate metabolism (Figs S4–S5). Similarly, larvae showed downregulation of many genes

involved in cellular housekeeping functions (Fig S6). A recent study comparing the acclimation

response of *Daphnia* to a medium-temperature range versus a high-temperature range suggests

that overwhelming downregulation under extreme heat stress may reflect an inability to mount

systemic responses, which is more feasible in a lower temperature range (Becker *et al.* 2018).

Taken together, the thermal conditions simulated in our experiment clearly present a

physiological challenge to all family members, but the paths to mitigating these challenges may

differ dependent on social role.

Finally, we asked if parents and offspring responded differently to social interaction

depending on their thermal environment. Our prediction was that if plasticity in gene expression

is important for maintaining behavioral stability, then we should detect many genes showing

significant differential expression only when accounting for the interaction of temperature and

social condition. Overall, few transcripts satisfied this condition in any family member, which

implies that gene expression, like behavior, is constrained in its response to environmental stress.

The largest transcriptional response occurred in heat stressed actively parenting mothers;

however, closer inspection of the 79 DEGs *via* functional enrichment analysis revealed

significant downregulation of pathways involved in fatty acid oxidation and lipid processing (Fig

3B). Lipids are the principal macronutrient used for fueling energetically intensive behavior in

insects (e.g., flight: Canavoso et al. 2003), yet previous efforts to link post-hatching care (i.e.,

presumed to be the costliest form of care) to increased lipid metabolism in burying beetles have

turned up no relationship (Benowitz *et al.* 2017b). Here, we suggest that females restrict the use

of stored energy even more in an energetically demanding environment, implying that the

combined stressors of temperature and parenting could directly mediate trade-offs between

current and future reproduction. Offspring of stressed mothers in turn upregulated genes

involved in forming the larval serum protein complex – a structure that sequesters amino acids

early in development for the synthesis of adult proteins (Chrysanthis *et al.* 1994). Whether this

transcriptional response by larvae may help compensate for constrained parental investment

remains to be seen. What is clear is that parental responses are unlikely to stabilize behaviors or

enhance offspring success, and thus the scope for climate change adaptation to proceed *via*

selection on the transcriptional architecture underlying care would appear limited.

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