

1 **Genomic determinants of Furin cleavage in diverse European SARS-related bat coronaviruses**

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21 **Word count text: 1,129**

22 **Word count abstract: 68**

23 **Abstract:**

24 The furin cleavage site in SARS-CoV-2 is unique within the *Severe acute respiratory syndrome–related*  
25 *coronavirus (SrC)* species. We re-assessed diverse *SrC* from European horseshoe bats and reveal  
26 molecular determinants such as purine richness, RNA secondary structures and viral quasispecies  
27 potentially enabling furin cleavage. Furin cleavage thus likely emerged from the *SrC* bat reservoir via  
28 molecular mechanisms conserved across reservoir-bound RNA viruses, supporting a natural origin of  
29 SARS-CoV-2.

30

31 Emerging coronaviruses of recent or regular zoonotic origin include the betacoronaviruses Severe acute  
32 respiratory syndrome coronavirus (SARS-CoV), Middle East respiratory syndrome coronavirus  
33 (MERS-CoV) and Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) (1). SARS-CoV-2  
34 is unique in its high transmissibility (2). SARS-CoV and SARS-CoV-2 belong to the species *Severe*  
35 *acute respiratory syndrome-related coronavirus (SrC)*, subgenus Sarbecovirus, and both use  
36 angiotensin-converting enzyme 2 (ACE2) as main cellular receptor (3). In contrast to SARS-CoV, only  
37 SARS-CoV-2 contains a functional polybasic furin cleavage site (FCS) between the two subunits of the  
38 viral spike glycoprotein (4). The existence of a FCS has led to various hypotheses regarding the  
39 evolution of SARS-CoV-2, including conjectures about the possibility of a non-natural origin from  
40 laboratory experiments (5, 6). Furin cleavage is thought to be essential for entry into human lung cells  
41 and may also determine the efficiency of infection of the upper respiratory tract and consequent  
42 transmissibility of SARS-CoV-2 (7). So far, SARS-CoV-2 is unique among *SrC*, as even its closest  
43 known relatives, the bat coronavirus RaTG13 and the pangolin coronaviruses, lack a FCS (8). The  
44 natural hosts of *SrC* are horseshoe bats, widely distributed in the Old World (9). We and others  
45 previously showed that *SrC* in European horseshoe bats are conspecific but distinct from those detected  
46 in Asia (10-13). Here, we describe the S1/S2 genomic region encompassing the FCS in SARS-CoV-2  
47 in ten unique European bat-associated *SrC* in comparison to other sarbecoviruses and mammalian  
48 coronaviruses.

49 We re-accessed stored original fecal samples from four horseshoe bat species (*Rhinolophus*  
50 *hipposideros*, *R. euryale*, *R. ferrumequinum*, *R. blasii*) collected in Italy, Bulgaria, Spain, and Slovenia  
51 during 2008-2009 and amplified an 816 nucleotide fragment of the viral *RNA-dependent RNA*  
52 *polymerase (RdRp)* of ten unique coronaviruses previously described (12, 13). Taxonomic classification  
53 based on this fragment showed that all ten coronaviruses belonged to the species *SrC* (**Supplementary**  
54 **Table 1**). In a representative phylogeny that covered the diversity of known *SrC*, based on a partial S2-  
55 genomic region encompassing 495 nucleotides, European bat-associated *SrC* formed a sister clade to  
56 Chinese bat-associated *SrC* (**Figure panel A, Supplementary Figure 1**). Sequence comparison of the  
57 S1/S2 genomic region revealed remnants of a polybasic FCS motif (R-X-X-R) at the S1/S2 boundary in

58 12 of 71 unique bat-associated *SrC* from Europe, Asia, and Africa with higher genetic diversity in  
59 European than in Asian bat-associated *SrC* (**Figure panel B**).

60 Next to the S1/S2 FCS, the coronaviral spike protein can also be activated by host cell proteases at the  
61 N-terminal S2 (S2') genomic region (8). Only MERS-CoV contains a FCS at both the S1/S2 and the  
62 S2' sites (14), whereas the other coronaviruses contain an intact FCS at either the S1/S2 or S2' site. To  
63 better understand the evolution of FCS at both the S1/S2 and S2' sites within human coronaviruses we  
64 investigated the genomic regions encompassing potential FCS within human-associated coronaviruses  
65 and related viruses found in their ancestral and intermediate hosts. Within bat-associated CoVs, only  
66 10/102 (10%) and 11/102 (11%) showed a FCS in either the S2' or the S1/S2 genomic region,  
67 respectively, suggesting circulation of a broad genetic diversity in the genomic region encoding potential  
68 FCS in the mammalian coronavirus bat reservoir (**Figure panel C** and **Supplementary Table 2**). For  
69 example, the human coronaviruses 229E and NL63 differed in their S2' FCS integrity from viruses that  
70 represent (a sample of) the ancestral viral diversity in bats. Interestingly, a FCS at the S1/S2 boundary  
71 is not present in studied bat CoVs with the exception of some bat-associated MERS-related CoVs (15),  
72 suggesting that a FCS may not provide a fitness advantage in some or most bat hosts. A hypothetical  
73 turnover of FCS in the animal reservoir and fixation of FCS after host switches is reminiscent of the  
74 prototypic example of an RNA virus gaining pathogenicity via acquisition of a FCS, the avian Influenza  
75 A virus (IAV). IAVs are distinguished into low-pathogenic avian influenza virus (LPAI) and high-  
76 pathogenic avian influenza virus (HPAI). HPAIs are defined by the existence of a polybasic FCS at the  
77 hemagglutinin (HA) cleavage site. LPAI evolve into HPAI within the reservoir or the new host (16, 17)  
78 by acquisition of a FCS through three different molecular mechanisms: recombination with cellular or  
79 other RNA molecules, multiple nucleotide insertions, or nucleotide substitutions (17-19). Both  
80 insertions and nucleotide substitutions in the HA of IAVs are facilitated by a stem-loop secondary RNA  
81 structure enclosing the FCS and a high adenine/guanine content in the external loop structure (19).  
82 Importantly, genomic surrogates of all three mechanisms present in IAV are given in European bat-  
83 associated *SrC* (**Figure panel D**). First, predicted RNA secondary structures among some European bat-  
84 associated *SrC* and HPAI sequences suggest similarities in the genomic determinants of FCS acquisition  
85 between avian HPAI and bat *SrC* (**Figure panel E** and **Supplementary Figure 2**). Additionally, a single

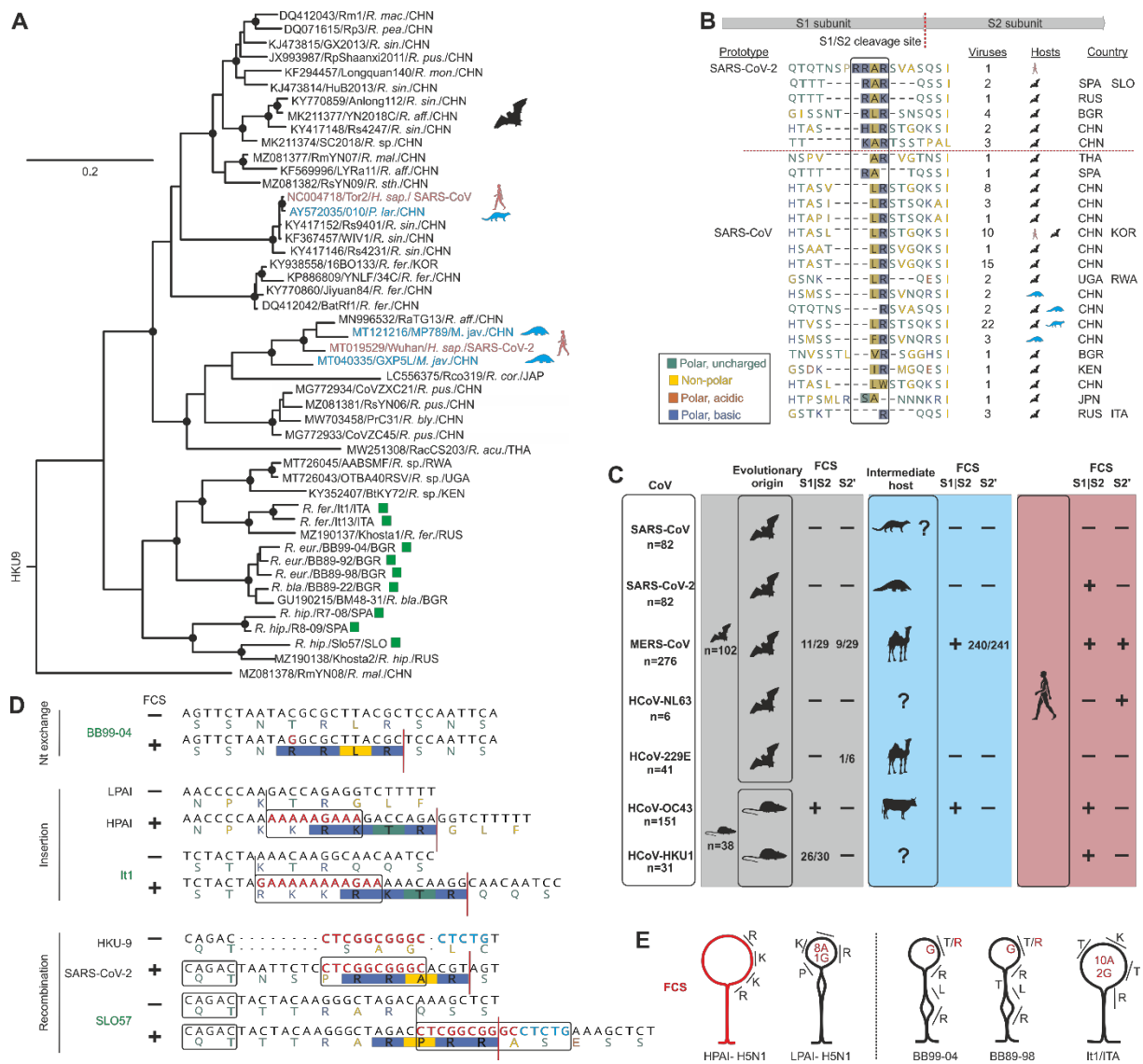
86 non-synonymous nucleotide substitution (an A to G transversion) in the external loop of the RNA  
87 secondary structure would suffice to allow furin cleavage in two European bat-associated *SrC* (termed  
88 BB99-04 and BB89-98) (**Figure panel E**). Deep sequencing of this genome position revealed presence  
89 of this transversion in 0.004% and 0.006% of the total reads in those two viruses (**Table 1**). On the one  
90 hand, single nucleotide variants affording the emergence of furin cleavage in LPAI were found at a  
91 comparatively low frequency (0.0028%), which may imply the potential for emergence of FCS in those  
92 European bat *SrC* strains (19). On the other hand, the occurrence of those transversions was within the  
93 error rate of viral polymerases used for cDNA synthesis and amplification (20). Whether bat *SrC*  
94 quasispecies harboring a functional FCS exist within European bat hosts thus requires careful  
95 examination. Next, a more than 60% adenine/guanine content in the stem loop structure of another  
96 European *SrC* (termed It1) may facilitate an insertion of an adenine/guanine stretch and thus the  
97 acquisition of a FCS (**Figure panel D and E**), comparable to the insertion leading to HPAI outbreaks  
98 in the US in 2016/2017 (17, 21). Finally, as speculated for the origin of the S1/S2 FCS of SARS-CoV-  
99 2 (22), recombination with other bat coronaviruses such as HKU9 would result in the acquisition of a  
100 S1/S2 FCS. The existence of the palindromic sequence CAGAC in another European *SrC* (termed  
101 SLO57) comparable to SARS-CoV-2 (**Figure panel D**) suggests that this genomic region may serve as  
102 an RNA signal for a recombination breakpoint in some European bat *SrC* (22).

103 In sum, our analyses support the acquisition of FCS in European bat-associated *SrC* via mechanisms  
104 similar to the genesis of HPAI in their avian reservoir. This supports a natural evolutionary origin of  
105 SARS-CoV-2 in bats with or without the involvement of intermediary hosts. Ecological studies into bats  
106 are likely to identify other sarbecoviruses harboring functional FCS. The zoonotic potential of such  
107 sarbecoviruses deserves investigation to identify variants potentially posing threats to human health.

## 108 **Acknowledgments**

109 This study was funded by the German Research Foundation (DFG) (DR 810/7-1, DR 772/12-1), as  
110 well as the German Ministry of Research (01KI1723A). We thank Mara Battilani of the department of  
111 Veterinary Medical Sciences from University of Bologna, Italy, Danijela Černe of the Institute of  
112 Microbiology and Parasitology from University of Ljubljana, Slovenia, Florian Gloza-Rausch of the

113 Behavioral Ecology and Bioacoustics Lab at Museum für Naturkunde, Berlin, Germany for providing  
 114 sample material and Monika Eschbach-Bludau for technical assistance.



115  
 116 **Figure. Evolutionary relationships of SARS-related and other mammalian coronaviruses.**

117 **A)** Approximately-Maximum-likelihood (ML) phylogeny based on a partial S2-fragment (495bp) of  
 118 representative sequences of the complete diversity of *SrC* shown in the phylogeny in the supplementary  
 119 figure. European horseshoe bat *SrC* generated within this study are shown with green squares and  
 120 without Accession numbers, SARS-CoV and SARS-CoV-2 are given in red, and *SrC* from civets and  
 121 pangolins in blue type. Sequences are named as followed: GenBank Acc. number/strain name/host  
 122 species/country of detection. Circles at nodes indicate support of grouping in  $\geq 90\%$  of 1,000 bootstrap  
 123 replicates. Scale bar represents nucleotide substitutions per site. **B)** Bat-associated *SrC* harbor remnants

124 of a FCS between the spike subunits S1 and S2. Genomic regions at the interface of the S1 and S2  
125 domains and the S2' position of the spike protein of 91 unique *SrC* were aligned using Mafft (23). A  
126 scheme of the spike protein and its subunits S1 and S2 shows the position of the FCS in SARS-CoV-2.  
127 Conserved amino acids of the FCS are highlighted within the box. Red line separates sequences with  
128 and without remnants of FCS. C) FCS conservation at the S1/S2 interface and the S2' site in human  
129 coronaviruses and closely related animal coronaviruses. FCS predictions are shown in the  
130 Supplementary Table. If not all tested sequences of one host category were predicted to contain a FCS,  
131 counts are given. D) Potential generation of FCS in different European bat *SrC* by nt exchange, insertion  
132 due to external stem loop structures as shown for HPAI (19) or recombination with HKU9, as speculated  
133 for SARS-CoV-2 (22). FCS scores were 0.65 for BB99-04, 0.69 for IT1 and 0.68 for SLO57. E)  
134 Predicted RNA secondary structures of the polybasic cleavage site regions of AIV and *SrC* that acquire  
135 FCS through nucleotide substitutions or insertions. Amino acids corresponding to codons forming the  
136 FCS are shown. Nucleotides and corresponding amino acids that change to a FCS through nucleotide  
137 substitution or insertions are marked in red. *H. sap.*, *Homo sapiens*; *M. jav.*, *Manis javanica*; *P. lar.*,  
138 *Paguma larvata*; *R. acu.*, *Rhinolophus acuminatus*; *R. aff.*, *Rhinolophus affinus*; *R. bla.*, *Rhinolophus*  
139 *blasii*; *R. bly.*, *Rhinolophus blythi*; *R. cor.*, *Rhinolophus cornutus*; *R. eur.*, *Rhinolophus euryale*; *R. fer.*,  
140 *Rhinolophus ferrumequinum*; *R. hip.*, *Rhinolophus hipposideros*; *R. mac.*, *Rhinolophus macrotis*; *R.*  
141 *mal.*, *Rhinolophus malayanus*; *R. mon.*, *Rhinolophus monoceros*; *R. pea.*, *Rhinolophus pearsonii*; *R.*  
142 *pus.*, *Rhinolophus pusillus*; *R. sin.*, *Rhinolophus sinicus*; *R. sp.*, *Rhinolophus* species; *R. sth.*,  
143 *Rhinolophus stheno*; BGR, Bulgaria; CHN, China; ITA, Italy; JPN, Japan; KEN, Kenya; KOR, Korea;  
144 RUS, Russia; RWA, Ruanda; SLO, Slovenia; SPA, Spain; THA, Thailand; UGA, Uganda.

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152 **Table 1. Single nucleotide variants within two European SrC at spike position Thr670.**

BB99-04	<b>Consensus sequence</b>	<b>A</b>	<b>C</b>	<b>G</b>
	A (%)	146506 (99.801)	8 (0.005)	159 (0.108)
	T (%)	19 (0.013)	187 (0.127)	13 (0.009)
	C (%)	7 (0.005)	147250 (99.858)	10 (0.007)
	G (%)	259 (0.176)	<b>6* (0.004)</b>	146817 (99.872)
	Total reads	146798	147459	147005
BB89-98	<b>Consensus sequence</b>	<b>A</b>	<b>C</b>	<b>A</b>
	A (%)	117032 (99.593)	1 (0.001)	116893 (99.745)
	T (%)	47 (0.0400)	38 (0.032)	13 (0.011)
	C (%)	10 (0.009)	117540 (99.961)	8 (0.007)
	G (%)	421 (0.358)	<b>7<sup>#</sup>* (0.006)</b>	278 (0.237)
	Total reads	117510	117586	117192

153 <sup>#</sup>One of the reads was not paired-end

154 \*This single nucleotide variant leads to a non-synonymous exchange generating a FCS motif in this  
155 virus

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227 **Methods:**

228 Sample collection and processing:

229 Bats were sampled with mist nets using minimally invasive methods under appropriate permits as  
230 described earlier (10, 11, 13). Specimens were screened for the presence of viral RNA of the genus  
231 Coronavirus by using reverse-transcription-PCR (RT-PCR) as described previously (24), amplifying  
232 455 bp fragments of the *RNA-dependent RNA polymerase* (RdRp) gene. For further phylogenetic  
233 analyses these amplicons were extended to an 816 bp fragment towards the 5' end (12). Nucleotide  
234 sequences were deposited in GenBank with accession numbers KC633198, KC633201-205, KC633209,  
235 KC633212 and KC633217.

236 S1/S2 genomic regions were characterized using a hemi-nested RT-PCR assay flanking the S1/S2 and  
237 S2' site (690 nt; pos 23,442-24,112 in SARS-CoV-2 Wuhan strain Acc. Number MT019529) using the  
238 following oligonucleotides: panSARS-S1S2-F1 (TDGCTGTTGHTHTAYCARGATGT), panSARS-  
239 S1S2-F2 (CARGATGTWAAAYTGYACWGATGT) and panSARS-S1S2-R  
240 (AGDCCATTRAACCTTYTGHGCACA). Briefly, RNA was reverse transcribed for 30 min at 50°C  
241 using the SSIII One-Step Kit (Thermo Fisher) followed by 45 PCR cycles of 94°C for 15 seconds, 58°C  
242 for 45 seconds and 72°C for 1 minute. The 2nd round PCR was performed at the same conditions as the  
243 1st round without reverse transcription. PCR amplicons were Sanger sequenced.

244 To detect single nucleotide variants within the S1/S2 site, PCR amplicons were sequenced using the  
245 Illumina NovaSeq 6000 Sequencing System with the NovaSeq 6000 SP Reagent Kit (500 cycles).  
246 Sequence reads obtained from the library were mapped against their corresponding S1/S2 genomic  
247 sequence obtained after PCR amplification in Geneious 9.1.8.

248 Nucleotide sequences obtained within this study were deposited in GenBank with accession numbers  
249 XXX to XXX.

250 Phylogenetic analyses:

251 A tblastx search of the complete spike sequence of the Bulgarian *SrC* (GenBank Acc No. GU190215)  
252 within the Taxa ID 11118 (*Coronaviridae*) excluding the Taxa ID 2697049 (SARS-CoV-2) was  
253 performed on 21 June 2021. Hits with percentage identities below 80% were non-*SrC* sequences and  
254 were thus not included to the dataset. SARS-CoV sequences, experimentally infected or clones as well

255 as sequences with less than 27,000 nt or gaps in the spike protein were excluded, resulting in 80  
256 sequences. One reference sequence of each SARS-CoV and SARS-CoV-2 as well as the nine sequences  
257 from European bats generated within this study were additionally added, resulting in a final dataset of  
258 91 sequences. Because Coronaviruses frequently recombine (25) only the S2 region (495 nt) of the 690  
259 nt amplified fragment was used for the phylogenetic analyses. Maximum-likelihood phylogenies were  
260 generated using FastTree Version 2.1.10 using a GTR substitution model and 1,000 bootstrap replicates.  
261 Local support values are based on the Shimodaira-Hasegawa (SH) test (26).

262 In silico analyses:

263 Secondary structures were modeled using the UNAFold web server (27). Furin cleavage sites were  
264 predicted using ProP v.1.0b ProPeptide Cleavage Site Prediction (28). Sequences were retrieved from  
265 the NCBI Taxonomy website downloading all sequences of the species Duvinacovirus (HCoV-229E),  
266 Merbecovirus (MERS-CoV), Setracovirus (HCoV-NL63) and Embecovirus (HCoV-HKU1 and HCoV-  
267 OC43). Duplicates, non-complete genomes, sequences from animal experiences and clones were  
268 excluded from all datasets. For Sarbecoviruses, the dataset of the tBlastx search used for the *SrC*-  
269 phylogeny of the Supplementary Figure 1 was used. Accession numbers of viruses tested in ProP are  
270 listed in the Supplementary Material.