

1 **Prediction of high-risk liver cancer patients from their mutation**
2 **profile: Benchmarking of mutation calling techniques**

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20

21 **Abstract**

22

23 Identification of somatic mutations with high precision is one of the major challenges in
24 prediction of high-risk liver-cancer patients. In the past, number of mutation calling
25 techniques have been developed that include MuTect2, MuSE, Varscan2, and SomaticSniper.
26 In this study, an attempt has been made to benchmark potential of these techniques in
27 predicting prognostic biomarkers for liver cancer. Initially, we extracted somatic mutations in
28 liver-cancer patients using VCF and MAF files from the cancer genome atlas. In terms of
29 size, the MAF files are 42 times smaller than VCF files and containing only high-quality
30 somatic mutations. Further, machine learning based models have been developed for
31 predicting high-risk cancer patients using mutations obtain from different techniques. The
32 performance of different techniques and data files have been compared based on their
33 potential to discriminate high and low risk liver-cancer patients. Finally, univariate survival
34 analysis revealed the prognostic role of highly mutated genes. Based on correlation analysis,
35 we selected 80 genes negatively associated with the overall survival of the liver cancer
36 patients. Single-gene based analysis showed that MuTect2 technique based MAF file has
37 achieved maximum HR_{LAMC3} 9.25 with p-value 1.78E-06. Further, we developed various
38 prediction models using selected genes for each technique, and the results indicate that
39 MuTect2 technique based VCF files outperform all other methods with maximum AUROC
40 of 0.72 and HR 4.50 (p-value 3.83E-15). Eventually, VCF file generated using MuTect2
41 technique performs better among other mutation calling techniques to explore the prognostic
42 potential of mutations in liver cancer. We hope that our findings will provide a useful and
43 comprehensive comparison of various mutation calling techniques for the prognostic analysis
44 of cancer patients.

45

46 **Keywords:** Mutation calling techniques; Prognosis; Liver cancer; Survival analysis; Machine
47 learning; Regression

48

49 **Introduction**

50 According to the world health organization, cancer is a life-threatening disease and the first
51 leading cause of death worldwide in 2019. Global cancer statistics estimate that in 2020, 19.3
52 million new cases and 10 million deaths have been occurred due to cancer [1]. Cancer is
53 extremely heterogeneous; therefore, the same treatment strategy is not effective for
54 individuals with similar types of cancer. Till now, there is no universal treatment available
55 for all types of malignancies. Currently, several targeted therapies are available for cancer
56 treatment, which majorly focus on the detection of mutations at the genetic level [2]. In the
57 last few years, several therapies have been designed based on the mutated genes for the
58 cancer treatment. For instance, B-Raf Proto-Oncogene, Serine/Threonine Kinase (BRAF)
59 inhibitors (Sorafenib) is identified to treat melanoma patients with V600E mutation in the
60 BRAF gene [3, 4]. However, drugs like afatinib and erlotinib are used to target the mutation
61 in the EGFR in non-small-cell lung cancer [5, 6]. Moreover, BRCA1/BRCA2 gene mutations
62 in ovarian cancer patients have been treated by poly (ADP-ribose) polymerase (PARP)
63 inhibitor, i.e., olaparib [7]. Of note, research on the mutations associated with the genes in
64 cancer patients is essential for identifying the correct mechanism of the disease. Due to the
65 advancements in next-generation sequencing, such as whole-genome, whole-exome, and
66 mutation calling techniques, the detection of more than 98% mutations associated with the
67 disease using sequencing data is possible [8, 9]. The easy availability and low cost of next-
68 generation sequencing techniques enable researchers to perform experiments on large cohorts
69 of cancer patients [10].

70 The genetic variants are mainly categorised into single nucleotide variant (SNV),
71 insertion/deletion (indel), and structural variants (SV, which incorporates copy number
72 alterations, duplications, and translocations). In recent years, a huge number of somatic
73 mutation calling algorithms (for example, Mutect2, VarScan2, SomaticSniper, MuSE,
74 Strelka2, etc.) have been developed to identify mutations at the genetic level using
75 sequencing data [11-17]. Mutect2 calls somatic mutation such as single nucleotide alterations
76 and indels using the local assembly of haplotypes. SomaticSniper pipeline detects somatic
77 SNVs using Bayesian algorithm to compare the genotype likelihoods in the tumor and normal
78 samples. However, VarScan2 mutation calling algorithm uses exomes, whole-genome
79 sequencing data to capture germline variants, somatic mutations and copy number variants in
80 tumor-normal data. Moreover, MuSE is a Markov Substitution model for Evolution, to
81 identify novel mutations in the large-scale tumor sequencing data.

82 Liver cancer is one of the deadliest disease which is the seventh most common cancer among
83 the 36 cancers reported by Global Cancer Statistics 2020 [1]. Ample treatment methods were
84 developed in the past, but still the survival rate of liver cancer patients is very low, leading to
85 high-mortality rate [18]. Being the most comprehensive resource for the cancer related
86 research, TCGA provides two types of file formats for mutation data such as Variant Call
87 Format (VCF) and Mutation Annotation Format (MAF). VCF files are the raw mutation files
88 that store and report the genomic sequence variations that directly came out of the various
89 automated variant calling pipelines. On the other hand, MAF files are the processed version
90 of the VCF files, which are curated by removing the false positives or by recovering the
91 known calls that the automated pipelines may have missed. VCF files report mutations
92 irrespective of their importance, but MAF files describe only the most affected ones by
93 removing the low-quality mutations. In GDC portal, both type of files are available generated
94 using the four major mutation calling techniques named as MuTect2, MuSE, Varscan2, and
95 SomaticSniper. Despite number of techniques are available, it is difficult to understand which
96 method and file is better to explore the role of mutations in cancer.

97 In the current study, we have systematically evaluated the four mutation calling tools which
98 are widely used in TCGA, to identify highly mutated genes associated with high-risk liver
99 cancer patients. For this, we have collected VCF and MAF files of 418 liver cancer patients
100 for all the mutation calling techniques. The gene-based annotations were identified using
101 highly accurate and widely used methods ANNOVAR [19] and Maftools [20]. Correlation
102 and survival analysis is performed to identify mutated genes that can impact the survival of
103 liver cancer patients. Finally, several prediction algorithms have been developed for the top
104 genes. The inferences of our study can give a valuable reference and guidance to the
105 researchers to choose a reliable somatic mutation algorithm to determine the mutation-
106 associated genes having a significant impact on the survival of the cancer patients.

107

108 **Material and Methods**

109

110 **Dataset Collection**

111 We obtained liver cancer (TCGA-LICH and TCGA-CHOL) mutation data from Genome
112 Data Commons (GDC) data portal. Precisely, we collected the controlled access VCF of liver
113 cancer patients under the approval of dbGap (Project No. 17674) according to the GDC
114 protocols [21]. In addition to that, we have also downloaded the MAF files of TCGA liver
115 cancer patients. In TCGA, four different techniques are used for mutation calling, i.e., MuSE,

116 Mutect2, VarScan2, and SomaticSniper. In this study, we have utilized VCF and MAF files of
117 418 liver cancer samples generated from four different mutation calling methods. Moreover,
118 the clinical data like age, gender, tumor stage, overall survival (OS) time, and vital status
119 were collected using TCGA assembler 2 [22].

120

121 **Mutation Annotations**

122 We used the ANNOVAR software package
123 (<https://annovar.openbioinformatics.org/en/latest/>) for functional annotations of genetic
124 variant mutations. First, we convert VCF files into ANNOVAR genetic variants file; using
125 “convert2annovar.pl” script; the processed file contains five major columns such as
126 chromosome number, start position, end position, reference nucleotide, and altered
127 nucleotides. It provides three major type of annotations (i.e., gene-based, region-based, and
128 filter-based annotations). In this work, we used gene-based annotations, in which we obtained
129 mutations/gene/samples. In this way, we get per-gene mutations for each sample for the four
130 different mutation calling techniques. After that, we count number of mutations per gene for
131 each liver cancer patient with the help of in-house python script (gene_to_matrix.py).
132 Similarly, for MAF files we counted the number of mutations/gene/samples. Finally, we
133 generated matrices for each mutation calling technique from VCF and MAF files, in which
134 number of mutations per gene per sample were reported.

135

136 **Correlation Analysis**

137 To understand the impact of number of genetic mutations on overall survival (OS) of liver
138 cancer patients, we have implemented correlation test. After that, we removed the genes with
139 the non-significant p-value i.e., >0.05 , and ranked the remaining genes on the bases of
140 correlation coefficients. We choose top-10 negatively correlated genes from each technique
141 for VCF and MAF files for further analysis.

142

143 **Survival Analysis**

144 In this study, we have performed survival analysis by the ‘survival’ package in R (V.3.5.1)
145 using cox proportional hazard (Cox PH) model. We perform univariate survival, in order to
146 understand the impact of per gene mutations on the survival of liver cancer patients. The log-
147 rank test was used to estimate the significant survival distributions between high-risk and
148 low-risk groups in terms of the p-value. Kaplan-Meier (KM) survival curves were used for
149 the graphical representation of high-risk and low-risk groups [23].

150

151 **Machine learning Techniques**

152 **Classification Models**

153 In this study, we have implemented various machine learning techniques for the classification
154 of high-risk and low-risk samples based on the number of mutations in the chosen genes.
155 Classification algorithms includes Decision tree (DT), Support Vector Classifier (SVC),
156 Random Forest (RF), XGBoost (XGB), Gaussian Naive Bayes (GNB), Logistic Regression
157 (LR), k-nearest neighbors (KNNs) and ExtraTree (ET) using Scikit learn [24].

158

159 **Regression Models**

160 Further, we implemented several regressors to develop regression models for overall survival
161 time prediction in liver cancer patients. These techniques were developed using python-
162 library scikit-learn and includes Random Forest (RF), Ridge, Lasso, Decision Tree (DT),
163 Elastic Net (ENR), Logistic Regression (LR), and Support Vector Regression (SVR)[24].

164

165 **Performance Evaluation**

166

167 **Cross-Validation Technique**

168 To avoid over-optimization in the machine learning models, we have used standard five-fold
169 cross-validation technique [25, 26]. In case of classification, the complete dataset was divided
170 into 80:20 ratio, the five-fold cross-validation was performed on the 80% training dataset. In
171 this method, the training dataset split-up into five equal sets. However, four sets used for
172 training and remaining set used for the testing purpose. The similar task was repeated for at
173 least five times, so that every set can be used in training and testing. Finally, the performance
174 or outcome computed by taking the mean of all five sets. The similar process was repeated
175 for the cross validation of regression models. In this the complete dataset was used for the
176 five-fold cross validation.

177

178 **Performance Measure Parameters**

179 To evaluate the performance of classification models, we have used standard parameters. We
180 have calculated threshold-dependent such as sensitivity (Sens), specificity (Spec), accuracy
181 (Acc), F1-score, and MCC, and independent parameters like Area Under the Receiver
182 Operating Characteristic (AUROC). These parameters were calculated using the following
183 equations (1-5).

184

$$Sensitivity = \frac{P_T}{P_T + N_F} \times 100 \quad [1]$$

$$Specificity = \frac{N_T}{N_T + P_F} \times 100 \quad [2]$$

$$Accuracy = \frac{P_T + N_T}{P_T + P_F + N_T + N_F} \times 100 \quad [3]$$

$$F1 - score = \frac{2P_T}{2P_T + N_F + N_P} \quad [4]$$

$$Matthews Correlation Coefficient = \frac{(P_T * N_T) - (P_F * N_F)}{\sqrt{(P_T + P_F)(P_T + N_F)(N_T + P_F)(N_T + N_F)}} \quad [5]$$

185

186 **P_T =True Positive, P_F =False Positive, N_T =True Negative, N_F =False Negative**

187

188 Similarly, to evaluate the regression models, we have used parameters such as mean absolute
189 error (MAE), root mean-square error (RMSE), correlation coefficient (R), and p-value, to
190 evaluate the performance of regression models as previously used in different studies [27-29].

191

192 **Results**

193 In this study, we have used 418 TCGA liver cancer patients somatic mutation data (VCF files
194 and MAF files) and OS data. The mutation data were taken from four different mutation
195 calling techniques i.e., MuSE, Mutect2, Varscan2 and SomaticSniper. ANNOVAR software
196 and in-house scripts were used to extract the number of mutations/gene/samples from the
197 VCF and MAF files. The total number of genes and mutations extracted from different
198 techniques is shown in Table 1. Where, in VCF files Mutect2 and SomaticSniper reported the
199 highest number of genes and mutation counts i.e., more than 25000 genes and 5 million
200 mutations. On the other hand, in MAF files the reported number of genes and mutations is
201 comparatively less for each technique.

202

203 **Table 1: Total number of genes and mutations for each gene extracted from VCF and**
204 **MAF files using different mutation calling technique**

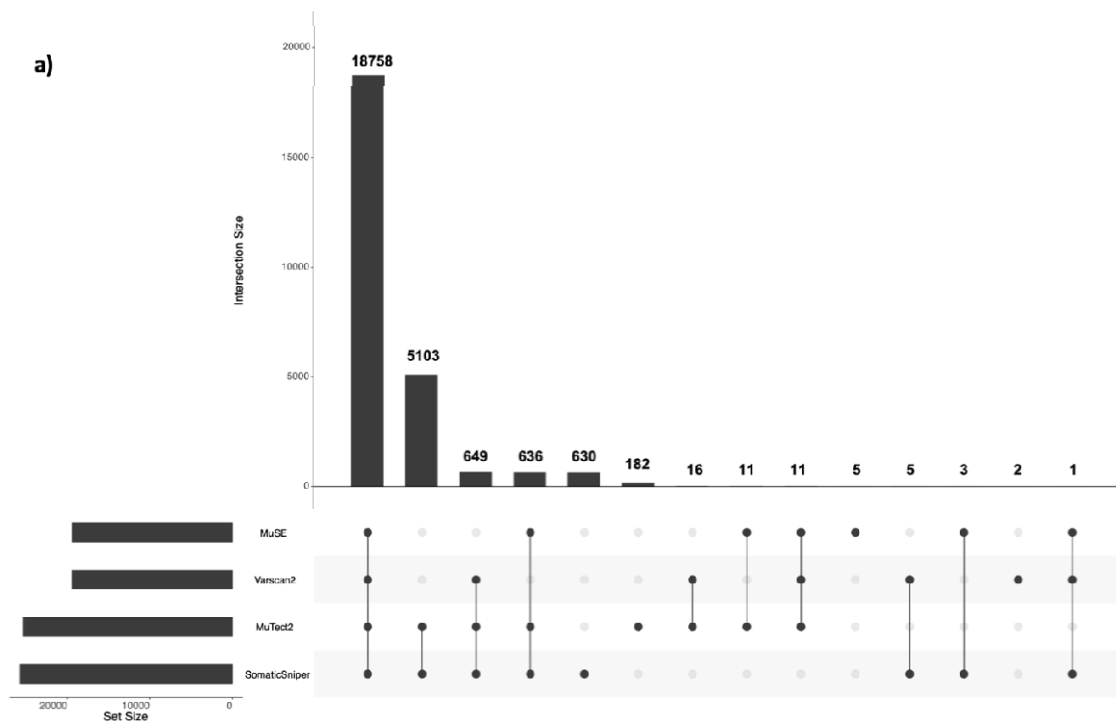
File Type	Technique	Number of Genes	Number of Mutations
VCF	MuTect2	25366	5237093
	MuSE	19425	379368
	Varscan2	19422	576231
	SomaticSniper	25785	5003969
MAF	MuTect2	16474	59741

	MuSE	15712	51184
	Varscan2	15950	54877
	SomaticSniper	14979	44102

205

206 Further, in order to understand the distribution of genes in each technique, we developed
 207 upset plot as shown in Figure 1. For the visualization of intersecting genes set we have
 208 created UpSet plot [30]. According to the plots, in VCF file 18758 genes were common in all
 209 the four techniques, whereas 182, 5, 2, and 630 genes are uniquely reported by MuTect2,
 210 MuSE, Varscan2, and SomaticSniper technique, respectively. Similarly, in case of MAF files
 211 14585 genes were shared by all the techniques, while 461 genes are unique in file by
 212 MuTect2 technique, 73 by MuSE, 115 by Varscan2, and 41 unique genes were reported by
 213 SomaticSniper technique.

214



215

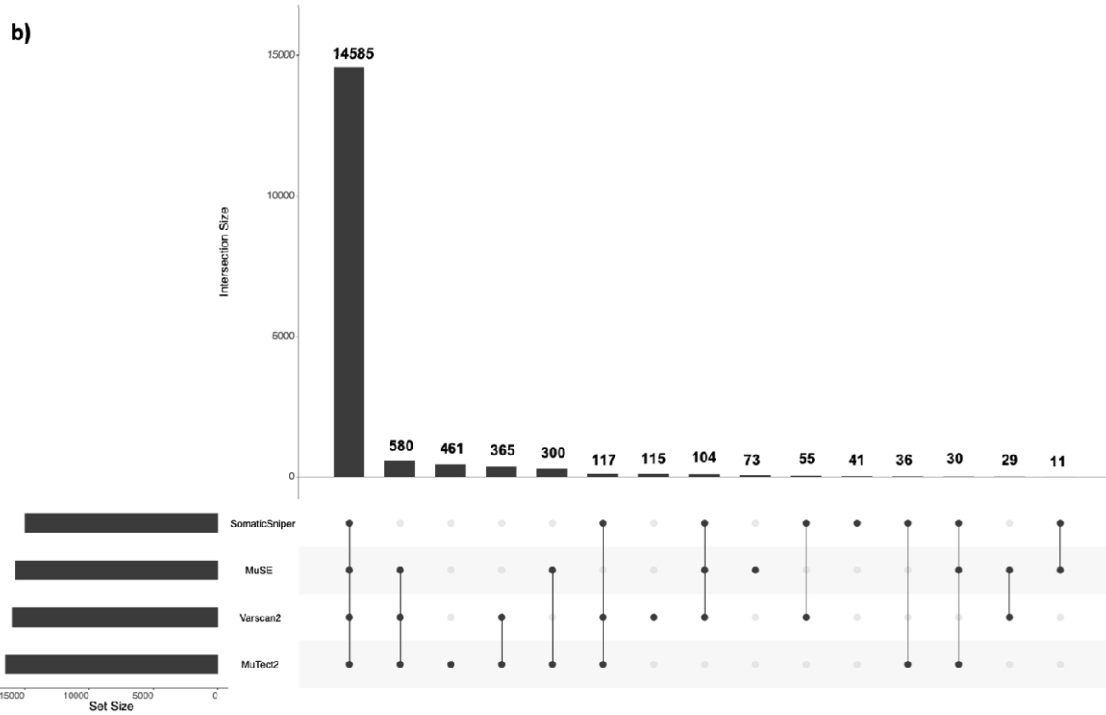
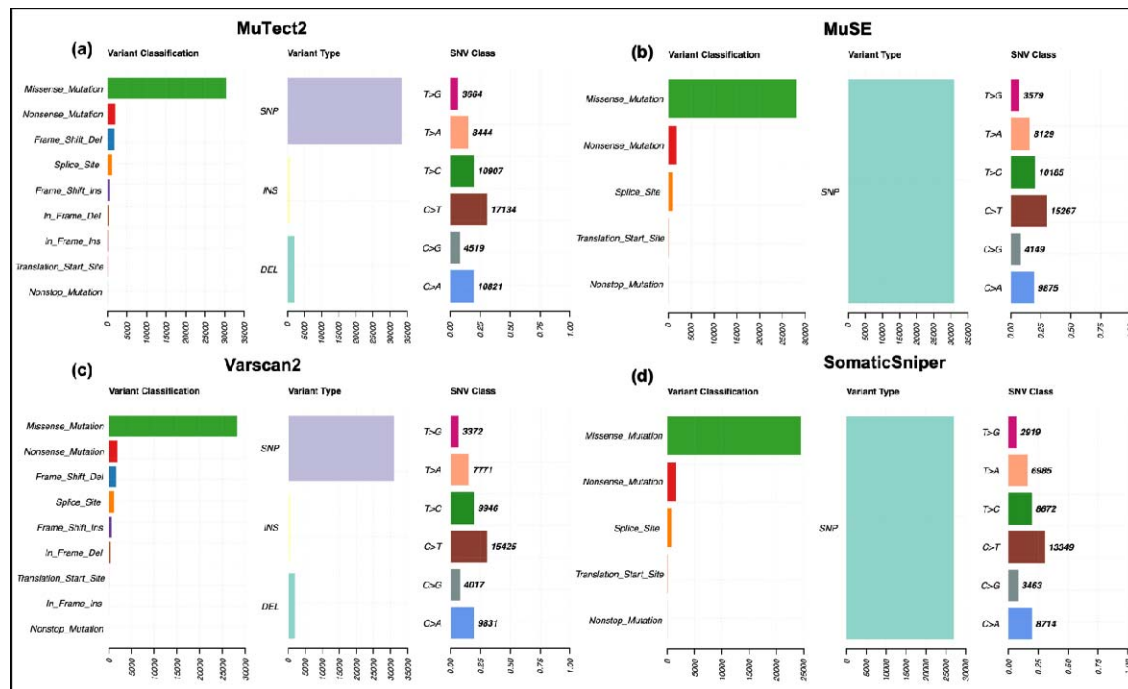


Figure 1: Upset-plot for distribution of genes in four techniques. a) From VCF files b) From MAF files

Comparison of Different MAF files

To compare different mutation calling techniques, we have taken processed and annotated MAF files from TCGA. We utilized the Maftools package to comprehensively analyse the somatic variants extracted from MuSE, Mutect2, VarScan2, and SomaticSniper mutation calling technique. From the analysis, we observed few changes in the mutation calling techniques for the same cohort of samples. For example, MuSE and SomaticSniper MAF files (Figure 2A, 2B) only report SNPs on the other side VarScan2, and MuTect2 (Figure 2C, 2D) represent SNPs, INS, and DEL under the variant type.



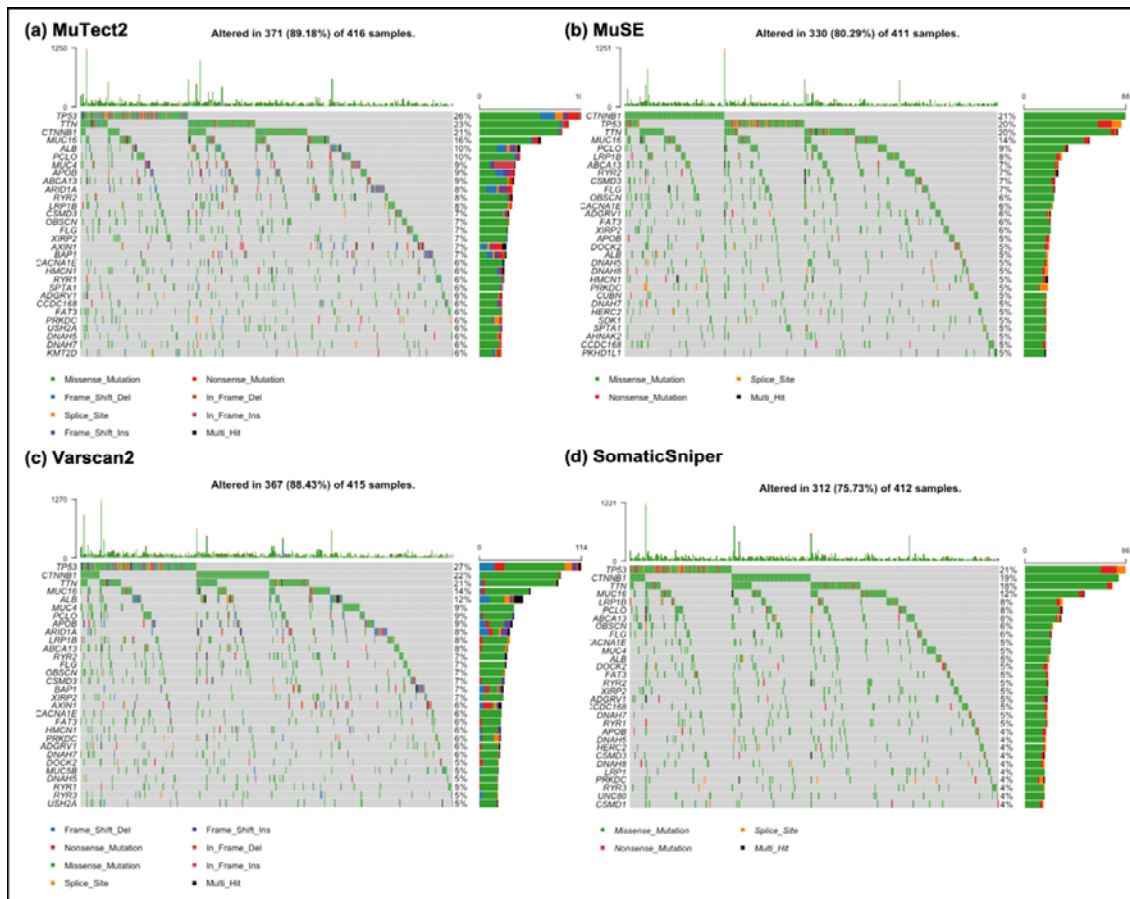
229

230 **Figure 2: Visualization of mutation summary (variants classification, type and SNVs) for MuTect2,**
 231 **MuSE, Varscan2 and SomaticSniper MAF files**

232

233 In Varscan2 and MuTect2, the variant classification distribution represents nine types of
 234 mutations such as Missense_Mutation, Nonsense_Mutation, Splice_Site,
 235 Translational_Start_Site, Frame_Shift_Ins, Frame_Shift_Del, In_Frame_Ins, In_Frame_Del,
 236 and Nonstop_Mutations, while MuSE and SomaticSniper MAF files consist
 237 Missense_Mutation, Nonsense_Mutation, Splice_Site, Translational_Start_Site,
 238 Nonstop_Mutations. The SNV class visualizes the single-nucleotide variants in the TCGA
 239 cohort, we observed that all the methods present diverse distribution of SNV as shown in
 240 (Figure 2). Oncoplots generated by the Maftools visualization module illustrating the somatic
 241 landscape of the cancer patients for Varscan2, MuTect2, MuSE and SomaticSniper MAF
 242 files. In Figure 3, we display the topmost mutated genes with their mutation percentage
 243 ($\geq 5\%$) in total number of samples. From the results we observed that, TP53 is highly
 244 mutated gene and have almost 20% or $>20\%$ mutations among different techniques.

245



246

247

Figure 3: Oncoplot visualization of mutation frequency of top-most mutated genes. The rows represented the genes with % mutations, and columns display the samples. (a) Illustrates the oncoplot of MuTect2 technique and indicates that 89.18% of samples having mutated genes (b) Illustrates the oncoplot of MuSE technique and shows that 80.29% of samples having mutated genes (c) Presents the oncoplot of Varscan2 approach and shows that 88.43% of samples having mutated genes (d) Illustrates the oncoplot of SomaticSniper technique and indicates that 75.73% of samples having alerted/mutated genes

254

255 Correlation Analysis

256

By implementing the correlation test we ranked the genes and choose top-10 genes having significant negative-correlation coefficients. The procedure is repeated for all the four techniques from MAF and VCF files of liver cancer patients, which lead to 80 genes in total. The complete correlation analysis is provided in Supplementary Table S1.

260

261 Prognostic Biomarkers for High-Risk Prediction

262

Single gene

263 Univariate survival analysis was performed using cox-proportional hazard model. We have
 264 calculated the HR and p-value for ten genes from each technique for VCF files.
 265 SomaticSniper technique has achieved the maximum HR value in single gene based analysis
 266 with $HR_{CLDN20} = 7.06$ and p-value $6.62E-07$, followed by Varscan2 with $HR_{FAM160A2} = 6.81$
 267 and p-value $4.01E-05$, followed by MuTect2 based VCF file with $HR_{SNHG10} = 5.49$ and p-
 268 value $3.94E-06$, and Muse technique has achieved the HR_{CLMP} of 3.01 with p-value $1.67E-05$
 269 as shown in Table 2.

270

271 **Table 2: Hazards ratio for top-10 genes from VCF files derived using MuTect2, MuSE,**
 272 **Varscan2, and SomaticSniper technique**

MuTect2					MuSE				
Gene	HR	P-value	95% CI	C-index	Gene	HR	P-value	95% CI	C-index
SNHG10	5.49	3.94E-06	2.66 - 11.31	0.53	CLMP	3.01	1.67E-05	1.82 - 4.97	0.54
WIZ	2.69	9.71E-07	1.81 - 4.00	0.56	BIRC6	2.80	4.46E-04	1.58 - 4.99	0.54
MGAT4EP	2.49	4.46E-04	1.50 - 4.15	0.54	LINC02210-CRHR1	2.03	6.42E-03	1.22 - 3.39	0.53
LINC00304	2.39	7.40E-05	1.55 - 3.67	0.55	DHX8	2.00	2.90E-02	1.07 - 3.74	0.52
CACNG7	1.93	5.72E-04	1.33 - 2.81	0.56	LINC00972	1.91	9.31E-03	1.17 - 3.10	0.54
OR52B6	1.83	1.12E-03	1.27 - 2.63	0.56	PAX7	1.90	8.29E-04	1.30 - 2.76	0.56
TYK2	1.80	2.21E-03	1.24 - 2.63	0.56	TAS1R2	1.61	2.63E-02	1.06 - 2.44	0.53
PIGO	1.79	1.66E-02	1.11 - 2.88	0.52	SNTG1	1.53	3.37E-02	1.03 - 2.27	0.54
S100A12	1.71	1.10E-02	1.13 - 2.59	0.54	CNTN5	1.34	2.25E-01	0.83 - 2.16	0.51
DNAJC9-AS1	1.08	6.51E-01	0.77 - 1.51	0.52	ZNF521	1.26	2.63E-01	0.84 - 1.91	0.52
Varscan2					SomaticSniper				
Gene	HR	P-value	95% CI	C-index	Gene	HR	P-value	95% CI	C-index
FAM160A2	6.81	4.01E-05	2.73 - 17.02	0.52	CLDN20	7.06	6.62E-07	3.27 - 15.2	0.53
LOC100420587	5.45	1.31E-07	2.90 - 10.22	0.54	NR2C2AP	5.17	3.16E-05	2.38 - 11.2	0.52
SPDYA	3.08	7.70E-04	1.60 - 5.94	0.53	ATG9B	3.34	2.59E-04	1.75 - 6.37	0.53
BRSK2	2.55	1.01E-03	1.46 - 4.46	0.54	HAUS5	2.79	2.22E-05	1.74 - 4.48	0.55
ADGRF4	2.21	1.23E-02	1.19 - 4.10	0.53	LOC100287329	2.58	8.23E-04	1.48 - 4.49	0.53
LINC00972	2.11	2.18E-03	1.31 - 3.41	0.55	P4HTM	2.18	2.43E-02	1.11 - 4.31	0.52
TM4SF18	2.07	1.40E-02	1.16 - 3.70	0.53	OR6C76	2.12	1.18E-03	1.35 - 3.35	0.54
OR5AS1	1.86	1.43E-02	1.13 - 3.06	0.54	CLK2	1.94	3.58E-02	1.05 - 3.61	0.52
PDE11A	1.72	2.74E-03	1.21 - 2.46	0.55	FAM187B	1.64	1.51E-02	1.10 - 2.43	0.55
LOC101929073	1.29	2.98E-01	0.80 - 2.11	0.52	NOMO3	1.34	1.45E-01	0.90 - 1.98	0.52

273

HR: Hazard ratio; 95% CI: 95% Confidence Interval; C-index: Concordance index

274

275 Similar analysis was done for MAF files from each technique and HR values were calculated.

276 As exhibited in Table 3, Mutect2 technique based MAF file has achieved the maximum

277 $HR_{LAMC3} = 9.25$ with p-value $1.78E-06$, followed by Varscan2 with $HR_{SYDE1} = 8.46$ and $3.71E-$
 278 05 , followed by MuSE technique with $HR_{ITGB8} = 8.30$ and p-value $5.69E-07$, then followed by
 279 SomaticSniper with $HR_{CAD} = 5.56$ and p-value $8.10E-04$.

280

281 **Table 3: Hazards ratio for top-10 genes from MAF files derived using MuTect2, MuSE,**
 282 **Varscan2, and SomaticSniper technique**

MuTect2					MuSE				
Gene	HR	P-value	95% CI	C-index	Gene	HR	P-value	95% CI	C-index
LAMC3	9.25	1.78E-06	3.71 - 23.05	0.52	ITGB8	8.37	5.69E-07	3.64 - 19.24	0.52
EVC2	4.30	8.66E-05	2.08 - 8.91	0.53	TBX3	8.10	6.06E-05	2.91 - 22.53	0.52
NYNRIN	3.94	1.22E-03	1.72 - 9.05	0.52	SIPA1L3	4.90	5.54E-05	2.26 - 10.61	0.52
KIAA2026	3.85	1.49E-03	1.68 - 8.86	0.52	CAD	4.45	3.58E-03	1.63 - 12.14	0.52
SUPT20H	3.41	7.53E-03	1.39 - 8.40	0.51	EVC2	4.16	2.97E-04	1.92 - 9.01	0.52
BRINP2	2.83	2.43E-02	1.14 - 6.98	0.52	ARHGEF11	3.17	2.37E-02	1.17 - 8.64	0.51
LRP1B	1.93	7.81E-03	1.19 - 3.14	0.54	BRINP2	2.80	2.56E-02	1.13 - 6.92	0.52
TP53	1.48	3.60E-02	1.03 - 2.14	0.55	PCDH15	1.72	1.20E-01	0.87 - 3.39	0.51
TG	1.46	4.53E-01	0.54 - 3.97	0.51	TG	1.46	4.55E-01	0.54 - 3.97	0.51
PCDH15	1.43	3.30E-01	0.70 - 2.93	0.51	CSMD3	1.24	4.54E-01	0.71 - 2.15	0.51
Varscan2					SomaticSniper				
Gene	HR	P-value	95% CI	C-index	Gene	HR	P-value	95% CI	C-index
SYDE1	8.46	3.71E-05	3.07 - 23.35	0.52	CAD	5.56	8.10E-04	2.04 - 15.17	0.52
ALPP	4.33	1.44E-03	1.76 - 10.66	0.52	TOP2A	4.63	2.73E-03	1.70 - 12.62	0.52
KIAA2026	3.85	1.49E-03	1.68 - 8.86	0.52	KIAA2026	4.01	2.62E-03	1.62 - 9.93	0.52
CAD	3.32	1.91E-02	1.22 - 9.04	0.51	EVC2	4.00	1.04E-03	1.75 - 9.17	0.52
BRINP2	2.83	2.43E-02	1.14 - 6.98	0.52	KTN1	2.56	1.09E-01	0.81 - 8.10	0.51
TP53	1.60	9.85E-03	1.12 - 2.30	0.56	EPHA3	2.25	1.67E-01	0.71 - 7.13	0.51
PCDH15	1.48	2.81E-01	0.72 - 3.05	0.51	KIF26B	2.03	1.66E-01	0.74 - 5.55	0.51
TG	1.46	4.53E-01	0.54 - 3.97	0.51	PCDH15	1.76	1.78E-01	0.77 - 4.02	0.51
PLCB1	1.25	7.00E-01	0.40 - 3.96	0.50	TP53	1.63	1.20E-02	1.11 - 2.38	0.55
XIRP2	1.11	7.55E-01	0.58 - 2.12	0.51	TG	1.18	8.17E-01	0.29 - 4.79	0.50

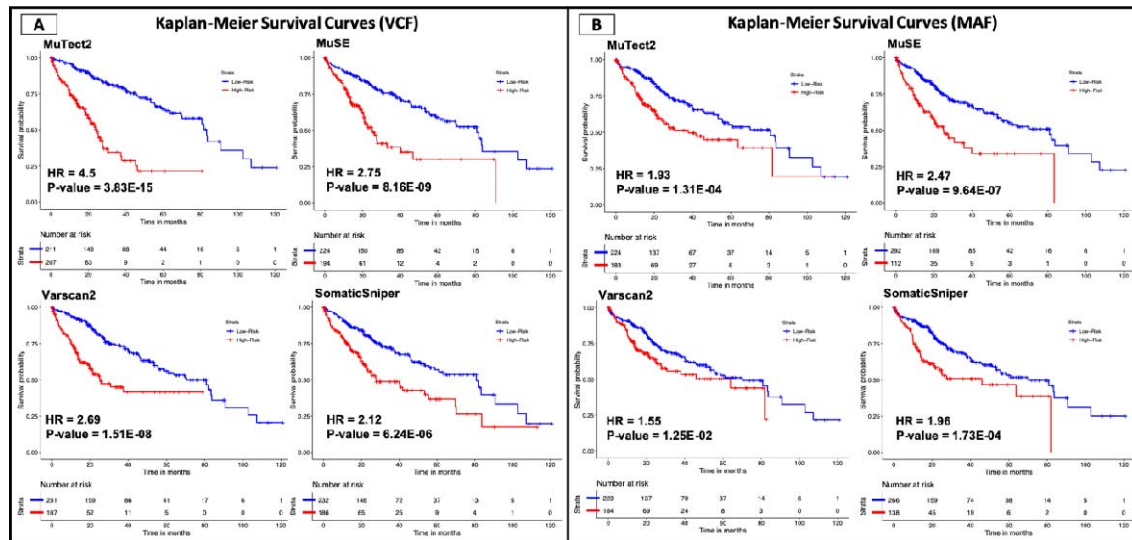
283 **HR: Hazard ratio; 95% CI: 95% Confidence Interval; C-index: Concordance index**

284

285 Multiple Gene

286 In order to explore the effect of mutations in all the selected genes altogether, we have
 287 predicted the survival time to estimate the high-risk group in liver cancer patients. Using the
 288 predicted OS time, HR and p-value was computed with cox proportional hazard models for
 289 each technique corresponds to each file type. We achieved highest HR 4.50 with highly
 290 significant p-value $3.83E-15$ for the VCF files generated using the MuTect2 technique

291 (Figure 4A). However, in case of MAF files, MuSE technique performed best among other
 292 techniques with HR 2.47 and p-value 9.64E-07 (Figure 4B). Additionally, KM survival plots
 293 clearly represents the segregation of high- and low-risk groups; the comparison of different
 294 mutation calling techniques based on two file formats is shown in Figure 4.
 295



296
 297 **Figure 4: Kaplan Meier survival curves for the risk estimation of liver cancer patients based on the**
 298 **combined effect of mutation (A) survival plots for the VCF files (B) survival plots for the**
 299 **MAF files**

300

301 Prediction of Overall Survival of Patients

302 To predict the overall survival for liver cancer patients, we have used number of mutations in
 303 the top-10 genes as the input feature and developed regression models for VCF and MAF
 304 files for each technique, using seven different regressors such as, Linear (LR), Lasso (LAS),
 305 Ridge (RID), Elastic Net (ENT), Decision Tree (DTR), Random Forest (RFR), and Support
 306 Vector (SVR). Table 4 exhibits the performance of best performing regressor in each file
 307 type. Performance of all the regressors for each file type and technique is reported in
 308 Supplementary Table S2. In case of MuTect2 technique, the OS predicted using VCF files
 309 have MAE 12.52 and significant correlation of 0.57 between the true and predicted OS;
 310 whereas in MAF file the MAE is 16.47 with R 0.37. Whereas, MuSE technique has achieved
 311 the minimum MAE of 13.88 and 16.89 along with R of 0.51 and 0.34, for VCF and MAF file
 312 respectively. In files generated using Varscan2 technique, for VCF file the minimum MAE is
 313 14.57 with R 0.48, whereas for MAF file it is 16.53 with R 0.36. VCF and MAF file
 314 generated using SomaticSniper technique reported minimum MAE of 15.76 (R=0.40) and

315 16.72 (R=0.33), respectively. As shown in Table 4, for VCF as well as MAF files, MuTect2
 316 technique outperformed the other techniques in terms of MAE, RMSE and R-value.

317

318 **Table 4: Performance of best regressors on top-10 genes from VCF and MAF files**

319 **extracted using all techniques**

Technique	File Type	MAE	RMSE	R	p-value
MuTect2	VCF	12.52	19.58	0.57	7.00E-37
	MAF	16.47	22.16	0.37	1.31E-14
MuSE	VCF	13.88	20.38	0.51	1.38E-29
	MAF	16.89	22.48	0.34	1.68E-12
Varscan2	VCF	14.57	20.78	0.48	4.77E-26
	MAF	16.53	22.26	0.36	9.11E-14
SomaticSniper	VCF	15.76	21.82	0.40	3.31E-17
	MAF	16.72	22.26	0.33	8.46E-12

320 MAE: Mean Absolute Error; RMSE: Root Mean Square Error; HR: Hazard Ratio; R: Correlation Coefficient

321

322 **Discrimination of Low- and High-Risk patients**

323 Initially, the dataset was divided into two groups, i.e., the high-risk and low-risk group based
 324 on the median OS. Samples with OS time less than the median OS time were designated to
 325 the high-risk group, whereas the remaining were assigned to the low-risk group. To assess the
 326 ability of the number of mutations/gene/samples to classify the patients into the high and
 327 low-risk groups, classification models were developed on top 10 genes for each technique
 328 and file type, using eight different classifiers such as RF, LR, XGB, DT, KNN, GNB, ET and
 329 SVC. The performance of all the classifiers for every model generated on each technique for
 330 both the files are reported in Supplementary Table S3.

331 Number of mutations reported through each technique were used to develop models to
 332 predict the high- and low-risk group. In case of VCF file derived using Mutect2, SVC-based
 333 model achieved AUROC of 0.72 and 0.69 in training and validation data, respectively as
 334 shown in Table 5. Similarly, ET-based model developed on genes from MAF files extracted
 335 using MuTect2 technique performed with AUROC of 0.57 and 0.67 on training and
 336 validation dataset, respectively. For MuSE technique, GNB-based model developed on genes
 337 from VCF files achieved AUROC of 0.66 and 0.68 on training and validation data whereas,
 338 ET-based model developed on genes from MAF files achieved 0.60 and 0.51 AUROC on
 339 training and validation dataset, respectively. For the genes obtained from the Varscan2
 340 technique, SVC-based model with genes from VCF file performed best with AUROC 0.68
 341 and 0.64 on the training and validation dataset, with the minimum difference in sensitivity
 342 and specificity, whereas for MAF files, LR-based model achieved AUROC of 0.63 and 0.63

343 on training and validation dataset. For SomaticSniper technique, LR-based model developed
 344 on genes from VCF files achieved AUROC of 0.63 and 0.65 on training and validation data
 345 whereas, LR-based model developed on genes from MAF files achieved 0.60 and 0.64
 346 AUROC on training and validation dataset, respectively. For VCF as well as MAF files,
 347 MuTect2 technique performed best among other techniques in terms of difference between
 348 sensitivity and specificity as well as AUROC.

349

350 **Table 5: Performance of best classifiers on top-10 genes from VCF and MAF files**
 351 **extracted using all techniques**

Technique	File Type	Dataset	MLT	Sensitivity	Specificity	Accuracy	AUROC	F1	Kappa	MCC
MuTect2	VCF	Training	SVC	70.06	71.86	71.26	0.72	0.71	0.41	0.42
		Validation		69.05	66.67	67.86	0.69	0.68	0.36	0.36
	MAF	Training	ET	58.03	52.76	55.39	0.57	0.57	0.11	0.11
		Validation		60.98	63.42	62.20	0.67	0.62	0.24	0.24
MuSE	VCF	Training	GNB	63.47	64.07	63.77	0.66	0.64	0.28	0.28
		Validation		71.43	52.38	61.91	0.68	0.65	0.24	0.24
	MAF	Training	ET	58.03	53.42	55.73	0.60	0.57	0.11	0.12
		Validation		30.00	75.61	53.09	0.51	0.39	0.06	0.06
Varscan2	VCF	Training	SVC	62.28	70.66	66.47	0.68	0.65	0.33	0.33
		Validation		71.43	61.91	66.67	0.64	0.68	0.33	0.34
	MAF	Training	LR	57.41	63.80	60.62	0.63	0.59	0.21	0.21
		Validation		48.78	78.05	63.42	0.63	0.57	0.27	0.28
SomaticSniper	VCF	Training	LR	60.48	61.08	60.78	0.63	0.61	0.22	0.22
		Validation		52.38	76.19	64.29	0.65	0.60	0.29	0.29
	MAF	Training	LR	54.94	61.49	58.20	0.60	0.57	0.16	0.17
		Validation		45.00	80.49	62.96	0.64	0.55	0.26	0.27

352 **MLT: Machine Learning Technique; LR: Logistic Regression; ET: ExtraTree; DT: Decision Tree; XGB: eXtreme Gradient Boosting; RF:**
 353 **Random Forest**

354

355 Discussion

356 Liver cancer is a global problem and occurs after severe liver diseases [31]. Chronic liver
 357 diseases are associated with cancer development and prompt progressive mutations at the
 358 genomic level [32, 33]. Previous studies report that liver cancer is associated with poor
 359 prognosis and a high mortality rate amongst the most frequent cancer types [34, 35].
 360 Nowadays, several mutation calling techniques are available to identify the mutation
 361 landscape in tumor/normal patients. Hitherto, there is not an appropriate comparison of
 362 mutation detection methods for the predictive and prognostic analysis. In this study, we
 363 examine the performance of four widely used mutation calling techniques such as MuTect2,

364 MuSE, VarScan2, and SomaticSniper using TCGA liver cancer cohort. We have applied
365 various techniques in order to compare all the methods for predicting and analysing
366 prognostic biomarkers in liver cancer patients. First, we have used VCF and MAF files
367 generated by the different mutation calling methods. We have used the most popular methods
368 (ANNOVAR and Maftools) to identify the gene-associated mutations in liver cancer samples.
369 Further, we observed that the VCF files of Mutect2 and SomaticSniper report highest number
370 of mutated genes and cover over 5 million mutations. Whereas, MAF files reports
371 comparatively less mutated genes for each technique as shown in Table 1.

372 Then, we performed correlation analysis in order to understand the impact of mutations on
373 the survival of liver cancer patients. On performing the univariate survival analysis on VCF
374 files, we observed that LncRNA SNGH10, CLMP, FAM160A2 and CLDN20 achieved the
375 highest HR value in MuTect2, MuSE, VarScan2 and SomaticSniper technique respectively.
376 As shown by Lan et al. LncRNA SNGH10 is an oncogenic lncRNA in liver cancer patients
377 and reduces the survival of the patients [36]. It's down-regulation is also associated with the
378 poor survival non-small cell lung cancer with HR 2.09 with p-value 0.02 [37]. Our study also
379 corresponds with the previous studies and exhibits that the mutations in SNGH10 gene is
380 associated with poor outcome in liver cancer patients with HR 5.49 and p-value 3.94E-06.
381 Whereas, the differential expression of CLMP gene is associated with the progression of
382 cancers of the breast cancer [38]. Yang et al. also reported the significance of CLDN20 gene
383 in the survival of breast cancer patients with HR 1.38 and p-value 0.047 [39]. However, our
384 analysis reveal the role of CLMP and CLDN20 gene in the survival of liver cancer patients.
385 Further, in case of MAF files, the univariate survival analysis reveals that SYDE1, LAMC3,
386 ITGB8, CAD, EVC2, NYNRIN, BRSK2, TP53 genes significantly reduces the overall
387 survival. As shown by the recent study that SYDE1 act as an oncogene and overexpressed in
388 glioma patients makes it an important diagnostic and prognostic biomarker [40]. Moreover,
389 the down-regulation of LAMC3 is correlated with the poor prognosis and metastasis in the
390 ovarian cancer patients [41]. A study also reveals that mutations associated with LAMC3
391 genes may cause PNH (a rare disorder of clonal stem cell in foetus), which may leads high
392 mortality rate infection and premature birth [42, 43]. We also observed that mutations
393 associated with LAMC3 significantly reduces the survival of patients with HR = 9.25 and p-
394 value 1.78E-06. In addition, ITGB8 is shown to be highly upregulated in high-grade ovarian
395 cancer patients, which leads to shorter OS with significant HR 1.42 [44]. Paul et.al, also
396 reveals that EVC2 gene is highly mutated in breast cancer patients and dysregulates pathways
397 like (mTOR, CDK/RB, cAMP/PKA, WNT, etc) [45]. Our study show that mutations

398 associated with EVC2 genes reduces the overall survival of patients with HR = 4.3 and p-
399 value 8.66E-05. Researchers have shown that the overexpression of BRSK2 gene correlated
400 with the patients survival and prognosis in pancreatic cancer [46]. Of Note, several studies
401 reports that TP53 is the highly mutated gene among most of the human cancers and affects
402 the survival of cancer patients [47-51]. In current study, we also found that TP53 is the highly
403 mutated gene among the liver cancer patients and covers almost 20% mutations. Correlation
404 and survival analysis shown that mutation associated with TP53 significantly reduces the
405 overall survival with HR = 1.63 and p-value 1.20E-02 among liver cancer patients. While
406 considering the combined effect of selected genes in each file, MuTect2 technique
407 outperformed all the other techniques in VCF file with HR 4.50 (p-value 3.83E-15), whereas
408 MuSE technique outperformed other mutation calling methods with HR 2.47 (p-value 9.64E-
409 07) in case of MAF files (Figure 4).

410 Furthermore, to compare the different mutation calling techniques we develop various
411 survival prediction and classification models using the top-10 genes respective to each file
412 type (Table 4 and 5). The predicted survival time employed for the stratification of high-risk
413 and low-risk groups. Models based on ten selected genes from VCF file of MuTect2
414 technique performed best among the other techniques in stratification of patients in high- and
415 low- risk group, as well as in OS time prediction. Our findings suggest that the VCF file
416 generated using MuTect2 mutation calling technique provides the comprehensive information
417 which can be used for the risk-estimation of liver cancer cohort. Furthermore, this needs to be
418 confirmed on the other cancer cohorts to explore the prognostic potential of mutations.

419

420 **Declarations**

421 **Funding**

422 The current work has not received any specific grant from any funding agencies.

423 **Conflict of Interests**

424 The authors declare no competing financial and non-financial interests.

425 **Ethics Approval**

426 Not applicable

427 **Consent to participate**

428 Not applicable

429 **Conflict of Publication**

430 Not applicable

431 **Acknowledgements**

432 Authors are thankful to the Department of Computational Biology, IIT-Delhi for
433 infrastructure, Department of Biotechnology (DBT), Department of Science and Technology
434 (DST-INSPIRE) for financial support and fellowships.

435 **Author contribution**

436 SP, AD, and GPSR collected and processed the datasets. SP, AD, and GPSR implemented the
437 algorithms. SP, AD, and GPSR developed the prediction models. SP, AD, and GPSR
438 analyzed the results. SP, AD, and GPSR penned the manuscript. GPSR conceived and
439 coordinated the project and provided overall supervision to the project. All authors have read
440 and approved the final manuscript.

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