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3 **Genome-Wide Analysis of Horizontal Transfer in Non-Model Wild**
4 **Species from a Natural Ecosystem Reveals New Insights into Genetic**
5 **Exchange in Plants.**

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18

19 **Abstract**

20 **Background**

21 Horizontal transfer (HT) refers to the exchange of genetic material between divergent species
22 by mechanisms other than reproduction. In recent years, several studies have demonstrated
23 HTs in eukaryotes, particularly in the context of parasitic relationships and in model species.
24 However, very little is known about HT in natural ecosystems, especially those involving
25 non-parasitic wild species, and the nature of the ecological relationships that promote these
26 HTs.

27 **Results**

28 In this work, we conducted a pilot study investigating HTs by sequencing the genomes of 17
29 wild non-model species from a natural ecosystem, the Massane forest, located in southern
30 France. To this end, we developed a new computational pipeline called INTERCHANGE that

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1 is able to characterize HTs at the whole genome level without prior annotation and directly in
2 the raw sequencing reads. Using this pipeline, we identified 12 HT events, half of which
3 occurred between lianas and trees. We found that mainly low copy number LTRs
4 retrotransposons from the Copia superfamily were transferred between these wild plant
5 species, especially those of the Ivan and Ale lineages.

6 **Conclusion**

7 This study revealed a possible new route for HTs between non-parasitic plants and provides
8 new insights into the genomic characteristics of horizontally transferred DNA in plant
9 genomes.

10 **Keywords**

11 Horizontal Transfer, Natural Ecosystem, Climbing Plants, Trees, LTRs-retrotransposons,
12 Genome Evolution, Genomics

13 **Background**

14 Horizontal transfer (HT) is a process by which genetic material is exchanged between two
15 distinct species without reproduction. HTs are well documented in prokaryotes and
16 considered to play a major role in the adaptation and colonization of new ecological niches
17 [1]. The rapid spread of antibiotic resistance genes among bacteria is a good example of the
18 adaptive role of HTs [2]. Although HTs are thought to be less common in eukaryotes,
19 numerous examples of HTs between multicellular eukaryotes such as plants, animals and
20 insects have been reported in recent years [3–8]. Indeed, over the past decades, the number of
21 sequenced and assembled genomes has steadily increased, facilitating the discovery of several
22 horizontally transferred genes and transposable elements (TEs) between eukaryotes [9–12].
23 Some of these described HTs were adaptive [13–18]. For instance, in plants, there are several
24 major cases of HTs leading to adaptive innovations such as the recent case of a detoxification
25 gene transmitted horizontally from an endophytic fungus to a wild cereal (*Thinopyrum*)
26 allowing the latter to become resistant to *Fusarium* [17]. This naturally transferred gene was
27 further introduced by breeders into wheat through wide hybridization, resulting in broad
28 resistance to ear blight and crown rot. Another recent example is the acquisition of a
29 detoxification gene through HT in the whitefly, a plant feeding insect, which enables it to
30 overcome host plant defences [18].

1 Host-parasite interactions has been shown to promote HTs in eukaryotes, such as in parasitic
2 plants. This is particularly true for the *Orobanchaceae* [19], *Striga* [20], *Cuscuta* [21] and
3 *Rafflesia* [22,23]. In animals, a bloodsucking insect has for instance transferred multiple
4 transposon families between different mammalian species it parasitizes [24]. Natural grafting
5 [25,26] could also facilitate the occurrence of HTs between plant species. There is also strong
6 evidence that HTs occurs between species that do not share any host/parasite interaction [9–
7 12,27].

8 The vast majority of previous studies on HTs have been conducted using genomic data from
9 public databases of model species for which sympatric relationships and the nature of
10 biological interactions are not always known. This represents a major hurdle in attempts to
11 understand the mechanisms and nature of biotic relationships that can promote HTs in natural
12 ecosystems. Furthermore, while previous reports have shown that both genes and TEs can be
13 horizontally transferred between eukaryotes such as plants, it is not clear whether these two
14 genomic components transfer at the same rate or whether certain types of genes or TEs are
15 more frequently transferred than others. This is because these studies have focused on a group
16 of specific genes or TEs, mainly because the methodologies used so far require prior
17 annotation of the sequences of interest (genes or TEs) limiting any investigation of HTs at the
18 whole genome level.

19 To address these questions, we conducted a pilot study aiming to investigate HTs in wild
20 plant species from a natural ecosystem, the Massane beech forest located in southern France,
21 considered as one of the last relict forests of the Quaternary Period in Europe [28]. We
22 sampled 17 wild non-model species from this reservation, including trees, climbing plants,
23 herbaceous species and fungi. Through de novo whole-genome sequencing of these species
24 using *Illumina* and the development of a new computational pipeline named INTERCHANGE
25 (for horIzoNtal TransfER CHAracterization in Non-assembled Genome) 12 HTs involving 8
26 species have been identified. These HTs involve TEs, specifically low copy number LTR-
27 retrotransposons from the *Copia* superfamily. Furthermore, we found that some climbing
28 plants underwent multiple HT events with tree species which could constitute a new route of
29 HT between non-parasitic plants.

30 **Results**

31 **INTERCHANGE a new strategy for horizontal transfer identification at the whole-** 32 **genome scale using short read sequencing data**

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1 The inference of HTs is usually based on the use of three criteria [29,30]: i) high sequence
2 similarity between evolutionary divergent species (HS); ii) phylogenetic incongruence
3 between the evolutionary history of the species and that of the transferred sequence (PI); iii)
4 patchy distribution of the transferred sequence in the phylogeny of the species (PD). In other
5 words, no homologous sequence of the transferred DNA is found in the closest relative of the
6 recipient species. Previous methods used for HT detection based on one or two of the above
7 criteria require the prior genome assembly and annotation. This makes HT studies between
8 wild non-model species for which there is no reference genome or annotation available very
9 challenging. We have therefore developed a new pipeline to identify highly conserved regions
10 that may arise from HT between two or several genomes of non-model species using raw
11 short-read sequencing data. Briefly, this automatic pipeline that we called INTERCHANGE,
12 (i) first identifies similar reads derived from conserved locus between the studied species
13 using a K-mer approach (ii) assembles these reads into scaffolds (iii) annotate the scaffolds
14 (iv) and test for high sequence similarity (HS) by comparing the sequence identity between
15 conserved scaffolds with that of orthologous genes. Those HT candidates are then manually
16 tested for the PI and PD criteria. The main steps of this pipeline are shown in Figure 1 and
17 described in details in the method section.

18 **INTERCHANGE validation using simulated horizontal transfers**

19 To test the accuracy of INTERCHANGE, we simulated HT events involving both genes and
20 transposable elements (TEs) of various classes and superfamilies between three plant models:
21 *Arabidopsis thaliana*, *Oryza sativa* and *Brachypodium distachyon* (Figure 2, see method for
22 details). These species were chosen because they have high-quality, well-annotated reference
23 genomes and present a contrasting evolutionary divergence times. *A. thaliana* and *O. sativa*
24 share a last common ancestor 160 million years ago, while the two Poaceae species, *O. sativa*
25 and *B. distachyon*, diverged from each other less than 46 million years ago
26 (<http://www.timetree.org/>). HTs were simulated between these species with contrasting
27 divergence times in both directions, using each species as a donor and a recipient (Figure 2-a).
28 Using the assembled reference genomes, we inserted 100 genes and 100 TEs from *A. thaliana*
29 into the *O. sativa* reference genome, and 100 genes and 100 TEs from *O. sativa* into the *A.*
30 *thaliana* reference genome, resulting in 400 simulated HT events between these two species
31 (see methods section). Before inserting these sequences into the recipient genomes, random
32 artificial mutations were introduced to simulate both ancient and recent HTs as described in

1 the method section. Next, *Illumina* short reads were simulated for each genome harboring
2 these *in silico* HTs. The same HT simulation was carried out between *O. sativa* and *B.*
3 *distachyon*.

4 The INTERCHANGE pipeline was applied to these species using simulated short read
5 sequencing data from the artificially modified genomes that incorporated simulated HT
6 events. INTERCHANGE was able to identify 90% (361 out of 400) of simulated HT events
7 between *A. thaliana* and *O. sativa* (Figure 2-b) (HS = 80%). 10% of simulated HTs were false
8 negative (39 out of 400) and only one single scaffold candidate was a false positive. Among
9 the identified HTs, 52 % were genes and 48 % were TEs. For the pair *O. sativa* and *B.*
10 *distachyon*, INTERCHANGE identified 73% (292/400) of simulated HTs (53% genes and
11 47% TEs) with a false negative rate of 27% (109 out of 400) and 743 false positives. 85% of
12 these false positives correspond to scaffolds smaller than 500 bp and correspond to highly
13 conserved genes with a sequence similarity higher than the HS threshold (i.e HS = 87%).
14 False negative correspond to simulated HTs with lower sequence similarity than the threshold.
15 This increase in false negative rate and false positive rate compared to *A. thaliana* and *O.*
16 *sativa* can be explained by the smaller evolutionary distance between the two Poaceae
17 species. As shown in Figure 2-b, when only scaffolds with longer size are considered
18 however, the number of false positives decreases significantly, with a smaller decrease in true
19 positives and without affecting the relative proportion of detected genes and TEs. For
20 instance, by limiting the candidates to those with a scaffold size longer than 1 kbp, the
21 number of false positives decreased by 93% (from 743 to 52) while the number of true
22 positives decreased by only 17% (from 292 to 241) (Figure 2-b). This simulation clearly
23 demonstrate that INTERCHANGE is able to efficiently identify simulated HTs directly from
24 the raw reads without any detection bias towards genes or TEs. Additionally, the sensitivity of
25 INTERCHANGE increases as the evolutionary distance between the species involved in HTs
26 increases.

27 **INTERCHANGE validation using real data allows detection of unknown horizontal** 28 **transfer events**

29 To further validate INTERCHANGE pipeline, we applied it to five distant plant genomes for
30 which several HT have been previously reported [9]: grapevine (*Vitis vinifera*), peach (*Prunus*
31 *persica*), poplar (*Populus trichocarpa*), date palm (*Phoenix dactylifera*) and clementine
32 (*Citrus clementina*). These highly divergent species have experienced 6 HTs of LTR-

1 retrotransposons named BO1, BO2, BO3, BO4, BO7 and BC1 (BO : HT between plant
2 orders; BC : HT between plant classes) [9]. In this previous study, the identification of these
3 HTs was done through a comparative genomic analysis using assembled and previously
4 annotated genomes. Here, we used the unassembled short reads of the same species
5 (Supplemental Table 1) to test whether INTERCHANGE could detect the previously reported
6 HTs using a minimum scaffold size filter of 1 kbp to reduce the number of false positives as
7 suggested by our HT simulation.

8 A total of 10 whole genome comparisons were performed between the 5 species and 31 HTs
9 candidates were identified using INTERCHANGE, of which 30 correspond to LTR
10 retrotransposons (29 *Copia* and 1 *Gypsy*) and one single gene (*Elongation factor 1*)
11 (Supplemental Table 2). In addition to the HS criteria used by INTERCHANGE, we tested for
12 the PI and PD criteria (see Method). The PD criterion was only tested if the HS and PI criteria
13 are satisfied. Nine HT candidates meet both the HS, PI and PD criteria, while for the other 22,
14 only one criterion was met leading to their rejection for further analysis (Supplemental Table
15 2). Four among the six known HTs (BO1, BO3, BO4 and BO6) were identified by our new
16 strategy (see Figure 3). BO2 and BC1 were not detected by INTERCHANGE because they
17 did not pass the 1kbp scaffold size filter (Supplemental Table 2). Remarkably,
18 INTERCHANGE detect an additional five HTs that were not previously identified by El
19 Baidouri et al. (2014). This include two HTs between grapevine and date palm (HT1, HT2),
20 one HT between grapevine and poplar (HT3) and two HTs between poplar and prunus (HT4,
21 HT5). Interestingly, as with the previously identified HTs, these new HTs correspond to
22 LTRs-retrotransposons from the *Copia* superfamily. Strikingly, the LTR-retrotransposons
23 identified by INTERCHANGE as having been transferred between grapevine and date palm
24 (HT1 and HT2) have a high degree of sequence identity at 91% and 95.5%, respectively,
25 suggesting a more recent transfer than the previously identified transfer (86% for BC1)
26 (Supplemental Table 2). All newly identified HTs were also found in the reference genomes
27 of species involved in HTs, providing further evidence of the reliability of the
28 INTERCHANGE pipeline.

29 **Characterization of horizontal transfers between wild non-model species from a natural** 30 **ecosystem**

31 In order to better understand the nature of biotic interactions that can promote HTs in natural
32 ecosystems and whether some particular genes or TEs are more prone to HT than others, we

1 chose the Massane forest, a protected reserve in southern France and a unique site in Europe
2 designated as a UNESCO World Heritage Site in July 2021. It is mainly composed of beech
3 trees (*Fagus sylvatica*) located between 600 and 1158 m of altitude and covering 336 hectares
4 in total. We sampled 17 different species from this ecosystem including 14 plant species and 3
5 fungi (see Table 1). The plant species selected include 6 tree species, 4 lianas/climbing plants,
6 2 herbaceous, 1 bramble, and 1 shrub and are all non-parasitic species. The 3 fungi species
7 were collected from tree trunks or dead wood and include 2 sporophytic and 1 parasitic
8 species. The selection of these species was based on a combination of biological and technical
9 criteria, including: (i) the nature of their biotic interactions, including those with close
10 relationships such as parasitism or physical proximity, and those with no known close
11 interactions; (ii) genome size, with the aim of obtaining sufficient sequencing coverage for the
12 detection of HTs. The selected species have a genome size smaller than 3 Gbp; (iii)
13 abundance in the Massane forest, to facilitate collection; and (iv) taxonomic diversity to
14 optimize phylogenetic representation.

15 The genomes of the selected species were sequenced using *Illumina* short-reads technology
16 with 20X coverage (see Method and Supplemental Table 3). Using INTERCHANGE, we
17 performed 136 whole-genome pairwise comparisons to identify highly similar regions
18 between these species that may have originated from HTs. INTERCHANGE detected 68 HT
19 candidates comprising 46 TEs and 22 genes and involving 8 out of the 17 studied species (see
20 Supplemental Table 4). In order to avoid redundancy of candidates due to the presence of
21 multiple paralogs, clustering was performed using SiLiX [32], resulting in 48 HT clusters
22 (see Supplemental Table 4). To test the PI criteria, HT candidates were aligned to 400 plant
23 genomes using Blastn (See Supplemental Table 5). Phylogenetic trees of the transferred TEs
24 were constructed and compared to the phylogenetic trees of species (see Method Step 9). In
25 total, of the 48 HT candidates (22 genes and 25 TEs) that met the HS criteria only 12 TE
26 candidates also met the PI criteria (Supplemental Figure 2 to 13). 11 of the 12 TE candidates
27 belongs to the LTR Copia superfamily (named MaCo01 to MaCo12, for Massane Copia) and
28 1 element belongs to the Gypsy superfamily (MaGy01, for Massane Gypsy). We further
29 checked the presence/absence of these TEs in the genome 400 plant genomes to test for the
30 PD criteria. This analysis clearly showed that these elements have a patchy distribution, thus
31 confirming the occurrence of HT (see Supplemental Figure 14, to 24). Notably, both the
32 phylogenetic trees and the patchy distribution of these transferred TEs in 400 plant species

1 point to other possible HT of the same elements between multiple plant species, suggesting
2 that these elements may have undergone multiple HTs during their evolution.

3 **Wet-lab validation of the horizontally transferred LTR-retrotransposons**

4 To rule out potential contamination between the investigated species, despite all the
5 precautions taken during sampling (see Methods), we performed PCR and sanger sequencing
6 to check the presence of the identified HTs in the genome of the 8 species involved in HTs.
7 To do this, we re-sampled two additional individuals from each of the 8 species and extracted
8 their DNA. For each transferred LTRs, a set of two primer pairs was designed to amplify
9 different regions of each element (Supplemental Table 6, Supplemental Figure 26 and
10 Supplemental Figure 27). In the two individuals of the species involved in the HTs, the
11 transferred LTRs were successfully amplified. For one candidate (MaCo11), only one of the
12 two primer sets results in PCR amplicon (Supplemental Figure 26). One PCR amplicon from
13 each transferred LTR was selected for sanger sequencing. Multiple sequence alignment of the
14 PCR product sequences and the sequences of the elements detected using INTERCHANGE,
15 as well as the construction of a phylogenetic tree, validate the occurrences of these HTs (see
16 Data Availability). We also verified the presence of the transferred LTRs in the genomes of
17 the donor/recipient species for which a reference genome is available. These are *A. glutinosa*,
18 *F. sylvatica*, *F. excelsior* and *P. avium*. All the transferred LTRs implicating one of these
19 species were identified in their respective reference genome. Additionally, we sampled and
20 sequenced the genome of two *H. helix* individuals (Ivy A : ~4Gb ; N50=14.4 kbp and Ivy B :
21 ~4Gb ; N50=14.6 kbp) and one *F. sylvatica* (7.4 Gb ; N50=20 kbp) using Nanopore
22 sequencing (see Method section). We were also able to unambiguously identify the
23 transferred LTRs involving these two species in different Nanopore reads corresponding to
24 different paralogs (see Supplemental Figure 28 and 29). Taken together, these results clearly
25 refute the possibility that the HTs identified in this study are the result of contamination.

26 **Species involved and age of horizontal transfers**

27 Among the 12 HTs that we identified, none involved a saprophytic or parasitic fungi. As
28 shown in Figure 3, these HTs occurred between 8 out of the 17 studied species. The species
29 involved in these transfers are essentially trees and climbing plants. Indeed, 5 of the 6
30 analyzed tree species have experienced at least one HT event. These are, in decreasing order
31 of HT frequency: *Fraxinus excelsior* (6 HTs), *Fagus sylvatica* (5 HTs), *Alnus glutinosa* (2
32 HTs), *Acer monspessulanum* (2 HTs), *Prunus avium* (1 HT). For the climbers, two species

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1 among the five analyzed have undergone HTs, namely *Dioscorea communis* (5 HTs) and
2 *Hedera helix* (2 HTs). These HTs were identified between phylogenetically distant species
3 that do not belong to the same plant class. In particular, the five HTs involving *D. communis*
4 (Figure 4), which is a monocot species, occurred with eudicot species that diverged over 150
5 million years ago. Interestingly, most HTs involving *D. communis* (4/5 HTs) and *H. helix* (2/2
6 HTs) occurred with tree species which may suggest that the close physical relationship
7 between lianas and trees may be a facilitator of HTs between those plants. Additionally, we
8 found that some species pairs underwent multiple independent HTs of different LTR families
9 such as the ones that occurred between *D. communis* and *F. excelsior* (2 HTs) and between *F.*
10 *excelsior* and *F. sylvatica* (2 HTs). The direction of the HTs could not be determined,
11 although the patchy distribution is clearly shown for all transferred LTRs (Supplemental
12 Figures 14-25), as this will require further sampling and sequencing of additional plant
13 genomes.

14 Sequence identity between the transferred LTRs varies from 89 to 97% (Supplemental Table
15 4), corresponding to an age of transfer between 3.8 and 1.15 million years (Mya) (using the
16 molecular clock rate of Ma and Bennetzen, 2004)[33]. This indicates that these HTs occurred
17 millions years ago and are therefore ancient. This is also supported by the PCR analysis on
18 different individuals that indicate that these HTs are likely to be fixed in populations of these
19 species. Additionally as shown earlier, for the species for which the reference genome is
20 available (*F. sylvatica*, *F. excelsior*, *P. avium* and *A. glutinosa*) the transferred elements were
21 found in their respective genomes pointing to ancient HT events. From the available data, it
22 cannot be determined where these HTs took place and whether they occurred in the Massane
23 forest, despite its ancient origin. It is important to note however that all species involved in
24 these HTs are native to European and Mediterranean regions and that their respective
25 geographic distributions overlaps, indicating that they have been in contact for a long period
26 of time, thus facilitating the occurrence of HTs.

27 **Copia LTRs-retrotransposons are the most frequently horizontally transferred elements** 28 **in the investigated plant species**

29 Despite the fact that our approach of HT identification does not focus on specific types of
30 sequences such as TEs or genes, unlike all other approaches, the HTs identified in the
31 Massane forest involve only LTRs-retrotransposons. Some might argue that INTERCHANGE
32 has a bias toward LTR-retrotransposons transfer detection, but our simulations have shown

1 that this is not the case. Further characterization of the protein-coding genes of these
2 transferred LTR-retrotransposons shows that 11 out of 12 belong to the *Copia* lineages (i.e.
3 MaCo01 to MaCo11) and one belongs to the *Gypsy* lineage (MaGy01). This result is
4 consistent with what we observed in our previous work, where *Copia* were more frequently
5 transferred than *Gypsy* (28 *Copia* vs 7 *Gypsy*) [9]. However, in order to ascertain this, it is
6 essential to check whether this is not due to an overrepresentation of the *Copia* superfamily
7 among other LTRs in the surveyed genomes. For this purpose, we estimated the relative
8 frequencies of *Copia* and *Gypsy* in the 8 species involved in HTs by aligning their raw
9 genomic reads to a collection of reference protein sequences [34] using Diamond Blastx [35]
10 (See Method). As shown in Figure 5-a, *Copia* elements were more prevalent than *Gypsy*
11 elements in 6 out of the 8 species, equally abundant in *D. communis* and less prevalent in *H.*
12 *helix*. On average, *Copia* were 1.4 times more prevalent than *Gypsy*. However this can not
13 explain that 11 out of the 12 identified HTs belong to the *Copia* clade.

14 **The transferred *Copia* LTR-retrotransposons belongs to Ale and Ivana lineage**

15 We then investigated whether some *Copia* lineages have a greater propensity to transfer than
16 others. To this end, we extracted from the Rexdb database the reverse transcriptase (RT)
17 protein sequences of 17 *Copia* reference clades described in the literature [34] as well as those
18 of the 11 transferred *Copia* (MaCo01 to MaCo11) identified in this study (21/22 paralogs)
19 (see Method). Furthermore, we also extracted the RT domain of the 28 *Copia* previously
20 identified as horizontally transferred between several plant species [9]. The constructed
21 phylogenetic tree shows that for the previously described HTs, 21 *Copia* (75%) belong to only
22 two lineages Ale (13) and Ivana (8) where the 7 remaining HTs belong to different lineages
23 such as Tork, TAR or Bianca (Figure 5-b). This trend was even more pronounced for the
24 *Copia* elements identified in the Massane forest. In fact, all transferred elements belong only
25 to these two lineages: Ivana (6 /11) and Ale (5/11) (Figure 5-b).

26 These results suggest that these two lineages are more prone to HTs compared to other *Copia*
27 lineages in the studied species. In order to check whether this observation could be due to an
28 overrepresentation of these two *Copia* lineages in the analyzed plant genomes, we estimated
29 the frequency of all known *Copia* clades in the 8 plant species involved in the identified HTs
30 (see Method). This analysis shows big disparities in *Copia* lineages frequencies in those
31 species with no particular conserved trend. In five of the eight species involved in HTs (*A.*
32 *glutinosa*, *D. communis*, *F. sylvatica*, *P. avium*, *R. ulmifolius*) the Ale lineage was the

1 predominant *Copia* lineage ranging from 8.6% in *A. monspessulanum* to 37.8% in *P. avium*
2 (Figure 4-b). On average Ale accounted for 24% of the *Copia* elements in these genomes,
3 followed by SIRE lineage (16.6%). Meanwhile, the percentage of the Ivana lineage varies
4 from 3.3% in *A. monspessulanum* to 17% in *D. communis* with an average of around 6.5%.
5 These results show that the strong bias observed in transferred elements belonging to Ale and
6 Ivana lineages cannot be explained by the relative abundance of these lineages in the genomes
7 of the species involved in the HTs.

8 **The horizontally transferred *Copia* are active after their transfer but show low** 9 **transpositional activity in both donor and receiver species**

10 To better understand the dynamics of the transferred elements, we estimated their copy
11 number in both donor and receiver species using unassembled raw genome sequencing reads.
12 To this end, we used the coverage of single-copy BUSCO genes as a standard to normalize
13 the observed coverage of each transferred element (see Methods). This analysis show that
14 copy number of the transferred elements varies from single copy to 28 copies for the Gypsy
15 MaGy01 with an average of 4.3 copy per species (see Supplemental Table 7). For the HTs
16 where we could identify the direction of HT, we can notice that some *Copia* did not transpose
17 after their transfer (3/8) since they are single copies in the recipient species. The remaining
18 elements show, on the contrary, a transpositional activity in the host recipient genomes that
19 results in several copies. However, this post-transfer transpositional activity appears to be
20 low, with only 2 to 5 copies observed for each transferred LTRs. It is interesting to note that
21 this low transpositional activity is also observed in the donor species, suggesting that it is an
22 inherent feature of the transferred *Copia element*.

23 **Discussion**

24 **INTERCHANGE a new pipeline for HT characterization at the whole-genome scale** 25 **using raw sequencing reads**

26 In this study we investigated for the first time HTs between wild non-model species within a
27 natural ecosystem. We sequenced the whole genome of 17 species including trees, climbing
28 plants and fungi and characterized HTs directly from raw sequencing reads thanks to
29 INTERCHANGE pipeline. Using this tool, we were able to report new HT events in
30 previously studied species that had not been identified using previous methods [9]. In this
31 study, we demonstrated the utility of INTERCHANGE for genome-wide screening of HT

1 events between non-model species, using both simulated and real datasets. Importantly, our
2 approach obviates the need for costly and time-consuming genome assembly and annotation,
3 which are often major bottlenecks for conducting such studies.

4 As indicated by our evaluation of INTERCHANGE some of the known or simulated HT even
5 did not pass the 1 kbp scaffold size filter. A decrease in scaffold size may allow
6 characterization of these events, but this will be at the expense of specificity. Other
7 parameters may also impact the specificity or sensitivity of INTERCHANGE. For example,
8 the smaller the k-mer size, the greater the sensitivity and vice versa, but this will lead to an
9 increase in the computational time needed to perform all the possible pairwise comparisons.
10 The different parameters of INTERCHANGE can be modified by the user which allows great
11 flexibility. However, we shall point out that INTERCHANGE can only detect relatively
12 recent HTs because high sequence divergence between older transferred sequences will not
13 satisfy the HS criteria. Despite these limitations, our results show that this pipeline is very
14 efficient at detecting gene and TEs HT events at the whole-genome scale using unassembled
15 sequencing reads and is therefore a tool of choice for future studies of HT in natural
16 ecosystems. INTERCHANGE could also be used to identify conserved sequences such as
17 homologous genes, TEs or other types of sequences from unassembled genomes, which could
18 be very useful for comparative genomics studies.

19 **No plant-fungus horizontal transfer was identified at the Massane forest**

20 Using the INTERCHANGE pipeline, we were able to identify 12 HTs implicating 8 plant
21 species. We did not identify any transfer involving fungi even though the three studied species
22 are saprophytic or parasitic and known to proliferate on tree trunks or dead wood. It is broadly
23 accepted that close relationships such as endosymbiosis or parasitism are favorable for HTs in
24 eukaryotes [19,21,24,36–38]. The absence of plant-fungus HT in this study may suggest that
25 such events are rare or too old to be detected [39].

26 **Liana-tree interactions: a possible new route of horizontal transfer between non-** 27 **parasitic plants?**

28 Our results also show that the two climbing plants, the common ivy (*H. helix*) and black
29 bryony (*D. communis*) have experienced several HTs events predominantly with trees. These
30 findings are in agreement with our previous study that showed a higher frequency of HTs
31 between grapevines and several tree species [9]. To date, no hypothesis has been put forward

1 to explain this higher frequency of HT in grapevines and whether it is due to an inherent
2 genetic trait or to its particular ecological lifestyle. In fact, similarly to common ivy and black
3 bryony, wild grapevine use trees as support for growth which could explain the high HT
4 frequency observed in this species. A recent study on four different and closely related *Vitis*
5 species seems to confirm this trend [40]. Using comparative genomics, the authors identified
6 dozens of HTs between these four closely related vine species and mainly trees belonging to
7 highly divergent taxa, although they did not highlight the greater frequency of HTs between
8 grapevine and trees. In light of our findings, we hypothesize that liana-tree interactions may
9 favor HTs between non-parasitic plants and could be considered as route by which HTs occur
10 frequently in nature. For the three other climbing plant genomes analyzed in this study, we did
11 not find any HT. Therefore, the question of whether some climbing plants are more prone to
12 HTs than others remains unanswered at this point. This needs to be tested on a larger
13 sampling of liana species. It is also possible that the tree-to-tree HTs that we identified
14 between beech (*F. sylvatica*), ash (*F. excelsior*) or alder (*A. glutinosa*) could be mediated by
15 other, yet not sequenced, climbing plant species.

16 **Horizontal transfers in plants mainly involve low copy number LTR-retrotransposons** 17 **belonging to the Ivana and Ale lineages of the *Copia* superfamily**

18 Our study reveals that LTR-retrotransposons are the only genetic elements that experienced
19 HTs in the studied plant species, which confirms earlier reports, but remains without
20 mechanistic explanation. In fact, successful HT requires three key steps, namely the
21 "excision" of genetic material in the form of DNA or RNA molecules from the donor genome,
22 its transport to the recipient species and finally its integration into the target genome. Due to
23 their transposition life style, LTR-retrotransposons are able to generate extrachromosomal
24 double stranded DNA encapsidated in the VLP (Virus Like Particule) and accumulating in the
25 cytoplasm of the cells [41,42]. They also have the ability to integrate into the host genome
26 using the integrase (IN) [43,44]. LTR-retrotransposons may therefore be more likely to
27 achieve successful HT, given their ability to generate double stranded DNA encapsidated in
28 the VLP and because of their ability to integrate the host genome. Although both *Copia* and
29 *Gypsy* elements can produce VLPs, *Copia* appears to be more prone to horizontal transfer
30 than *Gypsy* elements. If *Copia* and *Gypsy* superfamilies differ mainly in the order of the IN
31 and RT domains, there are some genomic and transpositional features specific to each of these
32 superfamilies. For instance, *Copia* elements are abundant in gene-rich euchromatic regions

1 while Gypsy elements are mainly located in Heterochromatic and pericentromeric regions
2 [45]. *Copia* are also generally activated in response to environmental stress as it has been
3 shown for many plant species [46]. It is therefore possible that *Copia* elements, because of
4 their presence in transcriptionally active regions of the genome and because of their
5 responsiveness to environmental stresses could facilitate their HT.

6 Intriguingly, *Copia* elements that have been horizontally transferred between plant genomes
7 belong mainly to the Ivana and Ale lineage and are low copy numbers. The reasons why Ale
8 and Ivana clade appear to be more prone to HT compared to the other *Copia* lineages remain
9 unknown, as there are no well-known common specific features of these two clades that
10 clearly differentiate them from others [47]. A recent population genomics study in
11 *Arabidopsis arenosa* showed that *Copia* elements, particularly Ale and Ivana respond to
12 temperature and irradiance [48]. It is also interesting to note that the TEs shown to be
13 currently active in *A. thaliana* namely *EVADE* [49] and *ONSEN* [50], also belong to the Ale
14 and Ivana clade, respectively, and the latter is active in response to heat stress [50]. As for the
15 transferred *Copia*, these two families also have a low copy number: two copies for *EVADE*
16 and eight copies for *ONSEN*. When a TE family reaches high copy numbers, it tends to be
17 silenced by the production of small interfering RNAs and the epigenetic machinery depositing
18 DNA methylation [51]. The silenced TEs would not be candidate for HT. If this holds true,
19 the question of the presence and survival of Gypsy families in eukaryotic genomes remains to
20 be explained by other mechanisms. Considering our study and previous ones on HT in plants,
21 the propensity of *Copia* elements and in particular Ale and Ivana lineages to transfer
22 horizontally can not be explained. Further studies are needed to elucidate the reasons for the
23 remarkable ability of low copy number *Copia* to transfer horizontally in plants.

24 **Conclusions**

25 In this work, we conducted a pilot study on HTs *in natura* in a forest ecosystem. For this
26 purpose, we implemented a new comparative genomics pipeline able to identify HTs at the
27 whole genome level directly from raw sequencing reads. We characterized 12 HTs that all
28 correspond to *Copia* LTRs retrotransposons and particularly those belonging to the Ale and
29 Ivana lineages. Our study also shows that some lianas species have experienced recurrent
30 horizontal transfers with trees that constitute their growth support in nature. This work sheds
31 light on a new route of HTs between non-parasitic plant species and the type of genetic
32 elements most likely to be horizontally transferred in plants.

1

2 **Material and methods**

3 **Sampling**

4 The 17 species analyzed were sampled in the Massane Forest National Nature Reserve. After
5 sampling the target tissues (leaf or sporophore), the samples were first washed with a
6 detergent solution (Tween 80 at 0.1%) and then rinsed twice successively in a miliQ water
7 solution. The samples were then dried with absorbent paper and stored in liquid nitrogen and
8 then at -80°C once in the laboratory before DNA extraction.

9 ***Illumina* genome sequencing**

10 DNA from each sample was extracted using the CTAB2X [52] and the quality of the DNA
11 was estimated by Nanodrop (Thermo Scientific) and Qubit (Invitrogen) quantification. DNA
12 libraries and sequencing was outsourced to Novogene company using the a Hiseq 2000 and
13 Novaseq 6000 platforms. Briefly, a total amount of 1µg DNA per sample was used as input
14 material for the DNA libraries. Sequencing libraries were generated using NEBNext® DNA
15 Library Prep Kit following manufacturer's recommendations and indices were added to each
16 sample. The genomic DNA was randomly fragmented to a size of 350bp by shearing, then
17 DNA fragments were end polished, A-tailed, and ligated with the NEBNext adapter for
18 *Illumina* sequencing, and further PCR enriched by P5 and indexed P7 oligos. The PCR
19 products were purified (AMPure XP system) and resulted libraries were analyzed for size
20 distribution by Agilent 2100 Bioanalyzer and quantified using real-time PCR. Paired-end
21 sequencing was performed using a coverage of 20X and a read length of 150bp for each
22 sample.

23 ***Nanopore* genome sequencing**

24 High-molecular-weight genomic DNA was extracted from 0.41 g to 0.5 g of frozen leaf tissue
25 according to [53] and the Oxford Nanopore Technologies protocol (February 2019). Briefly,
26 after lysis of cell membranes with Carlson buffer, proteins were removed with chloroform.
27 DNA was purified using Qiagen Genomic-tip 100 columns following the manufacturer's
28 instructions. A selection of fragments > 10 Kb was performed using AMPure XP beads. DNA
29 quantification was performed by Nanodrop (Thermo Scientific) and Qubit assays (Invitrogen)
30 and the quality was assessed on a 0.8% agarose gel. We then followed the 1D genomic DNA
31 protocol by ligation with the SQK-LSK109 kit to prepare the 3 libraries using 3 µg, 3.9 µg,

1

2

1 and 4.1 µg of DNA (beech, ivy A, and ivy B), respectively. We successively loaded 1.7 µg of
2 library onto a Flowcell R9, 2.6 µg and 2.7 µg of libraries onto two Flowcell R10. We
3 produced 7.4 Gb, and 2 times 4 Gb of fastq pass reads with N50s of 20 kb, 14.4 kb and 14.6
4 kb, respectively. Bascalling was performed using guppy in the high accuracy (hac) mode
5 (<https://nanoporetech.com/nanopore-sequencing-data-analysis>).

6 **PCR and Sanger sequencing**

7 We utilized the Taq DNA Polymerase 2x Master Mix RED to carry out our PCR
8 amplification. The mix was prepared for each sample by adding 7.5 µl of Taq 2x Master Mix,
9 6.4 µl of H₂O, 0.6 µl of the oligo F and R mix (10µM each), and 0.5 µl of DNA at 10ng/µl.
10 The PCR program we employed consisted of a lid temperature of 98°C, followed by 34 cycles
11 of denaturation at 95°C for 30 seconds, annealing at 60°C for 30 seconds, and extension at
12 72°C for 2 minutes. This was followed by a final extension at 72°C for 5 minutes and a
13 cooling step at 4°C for 10 minutes. Finally, the samples were electrophoresed on a 1%
14 agarose gel containing TAE 0.5X for 25 minutes at 135 volts, along with a 1kb+ size marker,
15 to visualize the PCR products. PCR products have been purified and sent to Eurofinsgenomics
16 for sequencing using the LightRun Tube platform.

17 **Detection of Horizontal transfer using INTERCHANGE pipeline**

18 High similarity criteria (Step1 to 8 using INTERCHANGE automatic pipeline)

19 Step 1 - Identification of homologous reads derived from conserved regions using a k-mers
20 approach: *k*-mers indexes (*k* = 30) were generated using Tallymer mkindex option [54]. with
21 default parameters except for: -mersize 30; minocc 1. The search for identical *k*-mers between
22 each species pair was performed using Tallymer search option with the following parameters:
23 -output qseqnum qpos counts sequence.

24 Step 2 - Once identical *k*-mer have been identified between reads of two species, the
25 overlapping *k*-mer are merged and the total similarity score is calculated for each pair of reads
26 using the following formula: Read similarity = total length of identical non-overlapping *k*-mer
27 / reads length. Reads with a similarity score greater than 50% are considered to originate from
28 conserved homologous regions and are therefore kept for further analysis.

29 Step 3 - There are a significant number of identical *k*-mers that correspond to regions of
30 simple repeats such as tandem repeats. Reads containing such repeats are removed using

1 Prinseq-lite tool [55] with the following parameters: out_format 1; -lc-method dust; -lc-
2 thresholds 10.

3 Step 4 - The homologous reads that pass the similarity filter are then extracted and assembled
4 separately for each species using SPAdes [56] with the paired-end and only_assemble options.
5 This step will result in the assembly in each species of scaffolds corresponding to highly
6 conserved regions potentially derived from HTs.

7 Step 5 - The assembled scaffolds are then aligned using both Diamond blastp [35] and
8 BLASTn against several databases with a minimum e-value de 1e-5 et 1e-20 respectively:
9 CDDdelta, Repbase, mitochondrial, chloroplast, and ribosomal (TIGR) gene database
10 [57].First, sequences that align to mitochondrial, chloroplastic and ribosomal genes are
11 excluded. Indeed, these genes are generally highly conserved between distant species and
12 therefore often meet the criterion of high similarity. When a scaffold aligns to several target
13 sequences from multiple databases, only target sequences with the highest alignment score are
14 considered as being homologous. At the end of this step, each scaffold will be classified into
15 one of these categories: genes, TEs, MCRs (mitochondrial, chloroplast or ribosomal genes)

16 Step 6 - Identification of homologous scaffolds: the objective of this step is to identify
17 homologous scaffolds between each pair of compared species. For this purpose, a reciprocal
18 Blastn is performed and homologous scaffolds are identified using the reciprocal best hit
19 method (RBH).

20 Step 7 - In order to distinguish, among the set of conserved scaffolds identified by
21 INTERCHANGE, those that could originate from HT, it is necessary to first test the criterion
22 of high similarity (HS). This means that the similarity of the transferred sequences between
23 the donor and recipient species must be significantly higher than that of orthologous genes.
24 Before assessing this criterion, it is therefore important to identify and assemble the conserved
25 orthologous genes in the investigated species from unassembled short reads.

26 Characterization of orthologous BUSCO genes from unassembled reads: (i) Since the studied
27 species from the Massane forest did not have any available gene annotation, we have
28 assembled and annotated their BUSCO genes. These genes were used to test the HS criteria
29 and to build the species phylogenetic tree. As a first step, the BUSCO genes of 400 publicly
30 available assembled plant genomes (Supplemental Table 5) were identified, resulting in a
31 genomic database of ~169,000 BUSCO genes covering angiosperms, gymnosperms and basal

1 plant species (this database has been deposited on the following link <http://gamay.univ-perp.fr/~moaine/Database/>). The genomic reads of each sequenced species from the Massane forest were mapped against this BUSCO database by minimap2 [58]. using default parameters. The mapped reads were extracted, merged and assembled by SPAdes [56] using paired-end and -only_assembler options. The resulting scaffolds were then realigned by Blastn against the nucleic BUSCO database and assigned to their corresponding BUSCO genes.

8 Step 8 - Identification of high sequence similarity threshold based on the distribution of orthologous gene identities: In order to identify whether conserved scaffolds have higher sequence similarity compared to orthologous BUSCO genes, a high similarity threshold (HS) is determined based on the distribution of orthologous gene sequence identities according to the following formula: $HS = Q3 + (IQR/2)$; where Q3 is the third quartile, IQR is the interquartile range (Q3-Q1).

14 Step 9 - Phylogenetic incongruence criteria (PI)

15 Building the phylogenetic tree of the studied species: the phylogenetic tree of the studied species is built based on BUSCO genes previously identified in step 7. Multiple alignment of orthologous BUSCO genes of the studied species and the 400 plant genomes is performed using Mafft program [59]. The alignments are then cleaned with TrimAl [60] and the trees constructed with FastTree [61]. A consensus tree is then obtained using Astral [62] from the previously constructed trees.

21 Building the phylogenetic tree of the transferred sequence: To construct the phylogenetic tree of the transferred elements, we aligned each of these elements to the assembled genomes of 400 plant species using Blastn. Sequences with sequence identity greater than 80% and covering at least 60% of the element were considered homologous. We performed multiple alignments for each element and all its homologs using the Mafft program [59]. These alignments were then cleaned using trimAL [60] with the following parameters: -cons 30; -gt 0.5. Finally, phylogenetic trees for each transferred element were inferred with FastTree [61]. The resulting trees were then manually compared to the species trees to check for the presence or absence of phylogenetic incongruencies. The trees were visualized using the Iroki Phylogenetic Tree Viewer [63]. The PI criterion is met when the phylogenetic tree of the HT candidate shows that the donor and recipient species are sister clades, unlike the species tree.

1 Step 10- Testing the Patchy distribution (PD): Finally, to consider that there is an unequal
2 distribution of this sequence in the tree of species, the candidate sequence must be found in
3 species close to donor/recipient but missing in species closely related to partner implicated in
4 the HT. Alternatively, the transferred sequence could also be found only in the two species
5 involved in the transfer (Supplemental Figure 14 to 25).

6 Candidates meeting the HS, the PI and the PD criteria are therefore considered as resulting
7 from HTs.

8 ***In silico* simulation of horizontal transfer events**

9 In this simulation, we randomly selected 100 genes and 100 Tes from each species and
10 randomly introduced them into the reference genomes of the other species. For TEs, we
11 selected different copies belonging to the major classes (Class I and Class II) and to various
12 TEs superfamilies (LTRs, LINEs, MuDR, hAT, Mutator, Helitrons...etc) with an equivalent
13 proportion when possible. Before inserting these genes and TEs into the recipient genome, we
14 artificially introduced mutations to create sequence divergence, simulating both recent and
15 ancient HTs. The mutated sequences had a sequence divergence ranging from 80% to 100%
16 identity compared to the original copies in the donor species. Wgsim tool
17 (<https://github.com/lh3/wgsim>) was used to simulate 150 bp length paired-end reads with 20X
18 coverage from the donor and recipient genomes carrying the *in silico* HTs using default
19 parameters.

20 **Estimation of copy LTRs number in unassembled genomes**

21 To estimate the copy number of each retrotransposon in the species involved in the transfer,
22 we calculated the number of mapped reads on each transferred retrotransposon compared to
23 the numbers of mapped reads on single-copy genes. Total reads for each species were mapped
24 onto the transferred LTRs-retrotransposons. For each LTR-retrotransposon, we calculated the
25 coverage at each nucleotide of the element. The median coverage was taken as a proxy for the
26 coverage of the element in the genome. The same strategy was adopted to estimate the
27 coverage of the BUSCO genes of the studied species. We then used the following formula to
28 estimate the total copy number of each transferred LTRs using *Illumina* reads: Copy number
29 = (MCT / MCB), where MCT is the median coverage of LTRs and MCB: median coverage of
30 BUSCO genes. To test whether this approach is an appropriate method to estimate copy
31 number using genomic raw reads, we compared the copy number estimated from unassembled

1 genomes with that obtained from assembled reference genomes in species for which the latter
2 is available (Supplemental Table 5). Copy numbers estimated from unassembled genomes and
3 those obtained by Blastn against reference genomes are highly correlated, validating our
4 approach (Pearson correlation; $R = 0.982$, $p\text{-value} = 4.699\text{E-}10$).

5 **Phylogenetic tree of copia lineages**

6 We extract the RT (reverse transcriptase) domain of the transferred *Copia* elements in both
7 donor and receiver species (22 paralogs corresponding to the 11 *Copia* families). For 60% of
8 the paralogs (13/22), the RT domain was assembled using our automatic INTERCHANGE
9 pipeline. For the others, the RT domain was lacking. We then manually reassemble the
10 lacking RT domains using raw *Illumina* reads of the corresponding species. For species for
11 which the reference genome is available (*F. sylvatica*, *F. exclesior*, *P. avium* and *A.*
12 *glutinosa*), we realigned the raw reads to the reference genomes and used the reference
13 elements as a guide for manual assembly. Alternatively, we used homologs from other closely
14 related plant species to guide manual assembly. Using this strategy, we obtained for most
15 *Copia* paralogs involved in HTs nearly the complete elements with the corresponding RT
16 domain (21/22).

17

18 **Frequency estimates of the different *Copia* and *Gypsy* lineages**

19 To estimate the relative frequency of *Copia* and *Gypsy* in the sequenced genomes, we aligned
20 the raw genome reads of each species to a collection of protein sequences corresponding to
21 the different known *Copia* and *Gypsy* lineages from the RexDB [34] database by Diamond
22 Blastx (evaluate $1\text{e-}5$) [35]. The number of aligned reads on each superfamily and on each
23 lineage was reported to the total number of aligned reads to estimate their relative frequency.

24

25 **Data Availability**

26 The data have been deposited in NCBI under BioProject accession number PRJNA788424 in
27 the NCBI BioProject database (<https://www.ncbi.nlm.nih.gov/bioproject/PRJNA788424>).
28 INTERCHANGE is open source and available at
29 <https://github.com/emaubin/INTERCHANGE>. Multifasta sequences of 12 transferred LTRS
30 (MaCo1 to MaCo12 and MaGy01) are available on this link
31 (<http://gamay.univ-perp.fr/~moaine/MaCo-MaGy/>). PCR product sequences and multiple
32 alignment of each of the 12 HTs are available on the following link (<http://gamay.univ-perp.fr/~moaine/PCR/>).

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11

12 **Conflict of interest**

13 The authors declare no conflict of interest.

14 **Author contributions**

15 M.E and O.P conceived and designed the study. J.G, E.A, M.E and O.P collected the samples.
16 E.A and M.E performed the analysis. E.A and C.L extracted DNA for *Illumina* sequencing.
17 C.L and M.M performed Nanopore sequencing. M.E wrote the manuscript with input from
18 E.A. All authors provided critical feedback on the manuscript.

19

20 **Plant sampling statement**

21 The authors declare that the samples taken in the framework of this study comply with local
22 and national legislation and that an authorization has been granted.

23

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25 We are grateful to Marie-Christine Carpentier, Joris Bertrand for their help for plant sampling
26 at the Massane forest.

27 **Figure legend and table**

Common Name	Species	Type	Estimaed Genome size	Available reference genome
Beech	<i>Fagus sylvatica</i>	Tree	540 Mbp	yes
Ash	<i>Fraxinus excelsior</i>	Tree	840 Mbp	yes

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Montpellier maple	<i>Acer monspessulanum</i>	Tree	730 Mbp	no
Wild cherry	<i>Prunus avium</i>	Tree	430 Mbp	yes
Alder	<i>Alnus glutinosa</i>	Tree	500 Mbp	yes
Whitebeam	<i>Sorbus aria</i>	Tree	1.03 Gbp	no
Hairy Greenweed	<i>Genista pilosa</i>	Shrub	1.04 Gbp	no
Honeysuckle	<i>Lonicera periclymenum</i>	Liana	2.81 Gbp	no
Ivy	<i>Hedera helix</i>	Liana	1.5 Gbp	no
Black bryony	<i>Dioscorea communis</i>	Liana	830 Mbp	no
Giant blackberry	<i>Rubus ulmifolius</i>	Bramble	450 Mbp	no
White Bryony	<i>Bryonia dioica</i>	Liana	1.6 Gbp	no
Sage	<i>Salvia sp</i>	Herbaceous	760 Mbp	-
Tinder Bracket	<i>Fomes fomentarius</i>	Fungi	50 Mbp	yes
Coral Tooth	<i>Hericium clathroides</i>	Fungi	40 Mbp	no
Oyster mushroom	<i>Pleurotus ostreatus</i>	Fungi	20/50Mbp	yes
Narrow-leaved Ragwort	<i>Senecio inaequidens</i>	Herbaceous	580 Mbp	no

1
2 **Table 1:** Species sampled in the Massane forest and whose genome has been sequenced by
3 *Illumina* short read sequencing.

4 **Figure 1:** The different steps of the INTERCHANGE pipeline of horizontal transfer
5 identification from unassembled and unannotated genomes. Steps 1 to 8 are completely
6 automatic steps 9 and 10 are semi-automatic. Step1: Identification of identical k-mers using
7 Tallymer [54]. Steps 2 & 3 Identification of reads derived from conserved regions & Removal
8 of Tandem repeats using PRINSEQ lite [55]. Reads sharing at least 50% of identical k-mers
9 are considered as homologous reads. Step 4: homologous reads are extracted and assembled
10 for each pair of species using SPAdes [56]. Step 5: Scaffolds annotation using multiple
11 protein and TEs database: CDDdelta, Repbase, mitochondrial, chloroplast, and ribosomal
12 (TIGR) gene database. Step 6: Identification of homologous scaffolds using reciprocal best hit
13 (RBH). Step 7: Identification of high sequence similarity threshold based on the distribution
14 of orthologous BUSCO gene identities according to the following formula: high similarity
15 threshold (HS) = $Q3 + (IQR/2)$; where Q3 is the third quartile, IQR is the interquartile range

1 (Q3-Q1). Step 8: Testing for HS criteria. Step 9: Phylogenetic incongruence criteria. Step 10:
2 testing the Patchy distribution (PD) of transferred sequence. For details see Method section.

3 **Figure 2:** Simulation of horizontal transfer (HT) between *A. thaliana* and *O. sativa* and
4 between *O. sativa* and *B. distachyon*. a. 200 HT events were simulated in each direction
5 (green arrows), comprising genes and TEs with equal proportion. b. INTERCHANGE results
6 using short reads of genomes harboring simulated HTs. Y-axis indicate to the total number of
7 HTs (scaffolds) identified by INTERCHANGE and X-axis represent filters based on scaffold
8 size. The color codes are provided in the figure legend.

9 **Figure 3:** HTs identified by INTERCHANGE using real data. Lines represent the HT events
10 identified from genome short read sequencing data. In green, HTs that were identified in a
11 previous study [9] using reference genome and detected by INTERCHANGE from short
12 reads. In gray, HTs missed by INTERCHANGE. In red, new HTs only identified by
13 INTERCHANGE.

14 **Figure 4:** The phylogenetic tree of the 17 analyzed Massane species. The curves represent the
15 identified HTs and link the involved species. Blue and red curves represent *Gypsy* and *Copia*
16 HTs, respectively. The asterisks indicate multiple HTs. The horizontal scale represents the
17 divergence time in million years (source: timetree.org). Correspondence of species names:
18 Ace: *Acer monspessulanum*, Aln: *Alnus glutinosa*, Bry: *Bryonia dioica*, Dio: *Dioscorea*
19 *communis*, Fag: *Fagus sylvatica*, Fra: *Fraxinus excelsior*, Fom: *Fomes fomentarius*, Gen:
20 *Genista pilosa*, Hed: *Hedera helix*, Her: *Hericium clathroides*, Lon: *Lonicera periclymenum*,
21 Ple: *Pleurotus ostreatus*, Pru: *Prunus avium*, Rub: *Rubus ulmifolius*, Sal: *Salvia sp*, Sen:
22 *Senecio inaequendis*, Sor: *Sorbus aria*.

23 **Figure 5:** The relative abundance of LTRs-retrotransposon superfamilies in species that have
24 experienced HTs. a) Relative frequency of *Copia* and *Gypsy* in the studied species involved in
25 HTs. In blue: *Copia* frequency, in yellow: *Gypsy* frequency b) Phylogenetic tree of transferred
26 *Copia* detected in this study using the RT domain. In bold, the consensus sequence of the
27 reference *Copia* lineages. Maco1 to 11 correspond to horizontally transferred elements
28 identified between the plant species from the Massane. BO1 to BO8, BG1 to BG and BC1
29 correspond to *Copia* elements identified in our previous study. Correspondence of species
30 names as in Fig. 3. c) *Copia* lineages relative frequencies in species involved in HT.

1 **Supplemental Figure 1:** Sequence identity distribution of the assembled BUSCO genes in
2 the studied species. These sequence identities were obtained by Blastn alignment. N:
3 corresponds to the total number of BUSCO genes that can be aligned at the nucleic level
4 between each pair of species. HS threshold calculated by INTERCHANGE using the
5 following formula : $HS = (Q3+IQR/2)$, the inter-quartile range $IQR = Q3-Q1$ (Q1 and Q3
6 correspond to the first and third quartile respectively). The age in millions of years (Mya)
7 represents the divergence time between species according to Timetree.org. Correspondence of
8 species names: Ace: *Acer monspessulanum*, Aln: *Alnus glutinosa*, Bry: *Bryonia dioica*, Dio:
9 *Dioscorea communis*, Fag: *Fagus sylvatica*, Fra: *Fraxinus excelsior*, Fom: *Fomes*
10 *fomentarius*, Gen: *Genista pilosa*, Hed: *Hedera helix*, Her: *Hericium clathroides*, Lon:
11 *Lonicera periclymenum*, Ple: *Pleurotus ostreatus*, Pru: *Prunus avium*, Rub: *Rubus ulmifolius*,
12 Sal: *Salvia sp*, Sen: *Senecio inaequendis*, Sor: *Sorbus aria*.

13 **Supplemental Figure 2-13:** Phylogenetic tree of the horizontally transferred LTR-
14 retrotransposons constructed using all homologous elements from 400 plant species. MaCo01
15 to MaCo11 correspond to the transferred Copia superfamily and MaGy01 to the Gypsy
16 superfamily. Nodes supported with bootstrap values above 70% are indicated with a black dot.
17 Nodes with bootstrap values under 70% are indicated with white dot.

18 **Supplemental Figure 14-25:** Patchy distribution of the horizontally transferred LTR-
19 retrotransposons in the phylogenetic tree of 400 plant species. MaCo01 to MaCo11
20 correspond to the transferred Copia superfamily and MaGy01 to the Gypsy superfamily. The
21 green bars represent the species harboring the LTR family and their height the relative
22 abundance in the host genome.

23 **Supplemental Figure 26-27:** PCR validation of the transferred LTRs MaCo01 to MaCo11
24 and MaGy01. The red arrows indicate the primers designed to amplify different regions of the
25 transferred LTRs in the species involved in the HTs. For each species, PCR was performed
26 using DNA from two different individuals, different from those used for genome sequencing,
27 to limit possible contamination.

28 **Supplemental Figure 28 :** Graphical visualisation of Blastn alignment of transferred LTRs-
29 retrotransposons (Maco2) identified and assembled using INTERCHANGE pipeline against
30 Nanopore reads of two *Hedera helix* genomes corresponding to two ivy individuals A and B.
31 Visual representation was achieved using <http://kablammo.wasmuthlab.org/> software.

1 **Supplemental Figure 29:** Graphical visualisation of Blastn alignment of two transferred
2 LTRs-retrotransposons (Maco3 and Maco11) identified and assembled using
3 INTERCHANGE pipeline against Nanopore reads of *Fagus sylvatica* genome. Visual
4 representation was achieved using <http://kablammo.wasmuthlab.org/> software.

5 **Supplemental Table 1 :** List of five plant species used as control data and their
6 corresponding sequence read archive IDs used as input in INTERCHANGE.

7 **Supplemental Table 2 :** List of HT candidates detected by INTERCHANGE between the
8 five species listed in Supplemental Table 1.

9 **Supplemental Table 3 :** List of species sequenced in the frame of this study and their
10 BioSample IDs.

11 **Supplemental Table 4 :** List of HT candidates detected (MaCo1 to MaCo11 and MaGy01)
12 between the 17 studied species. In green candidates meeting the HS, PI and PD criteria. In
13 gray candidates meeting only the HS criteria.

14 **Supplemental Table 5 :** List of 400 plant species in which homologs of the transferred LTRs
15 were screened.

16 **Supplemental Table 6 :** Primers used for PCR validation of transferred LTRs. For each
17 candidate, two sets of primers were designed and labeled P1 and P2 (see Supplemental Figure
18 26 and 27).

19 **Supplemental Table 7 :** List of the 12 transferred LTRs, their size and copy number
20 estimated from the unassembled genomes and from the reference genome when available.

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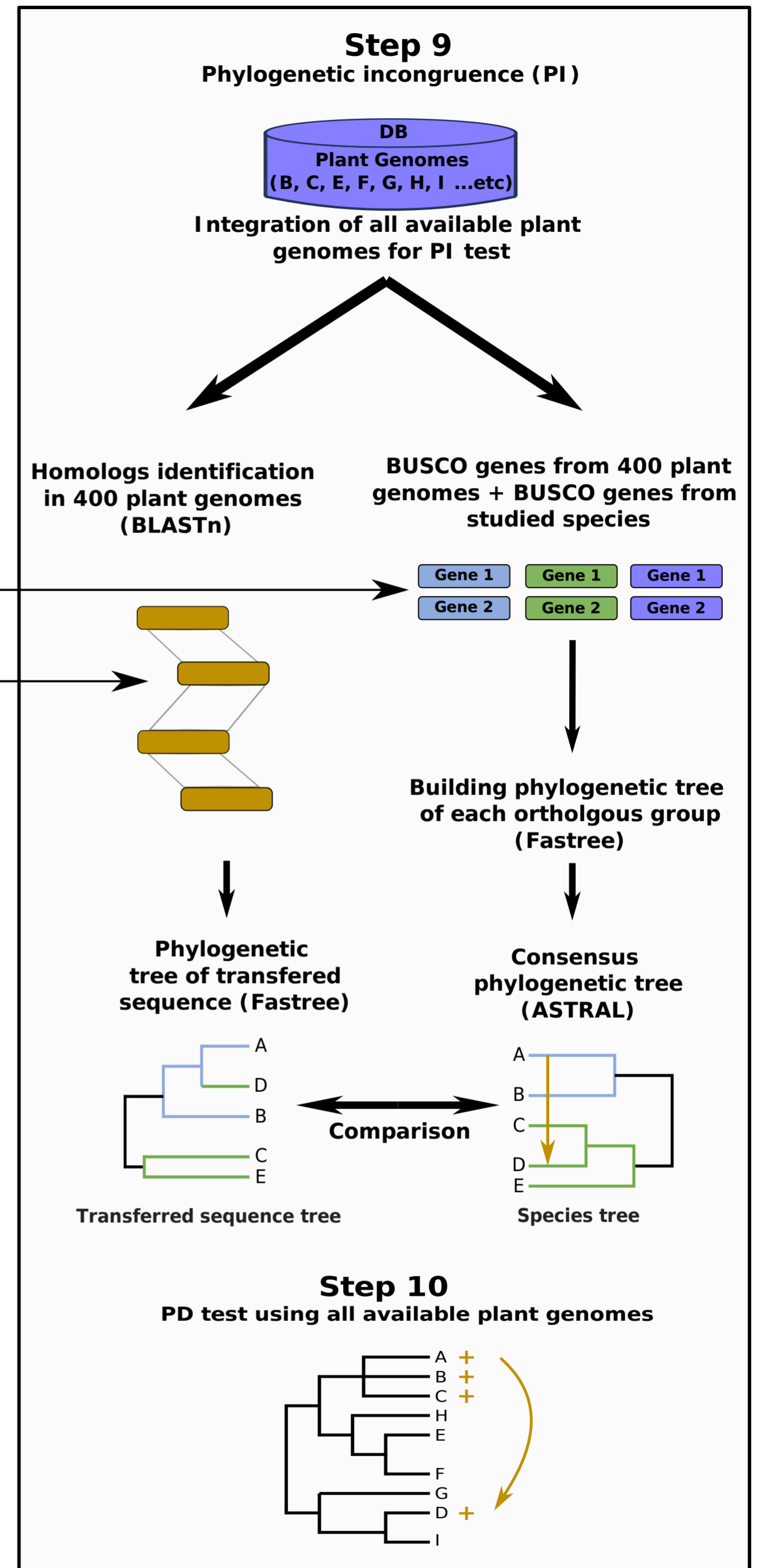
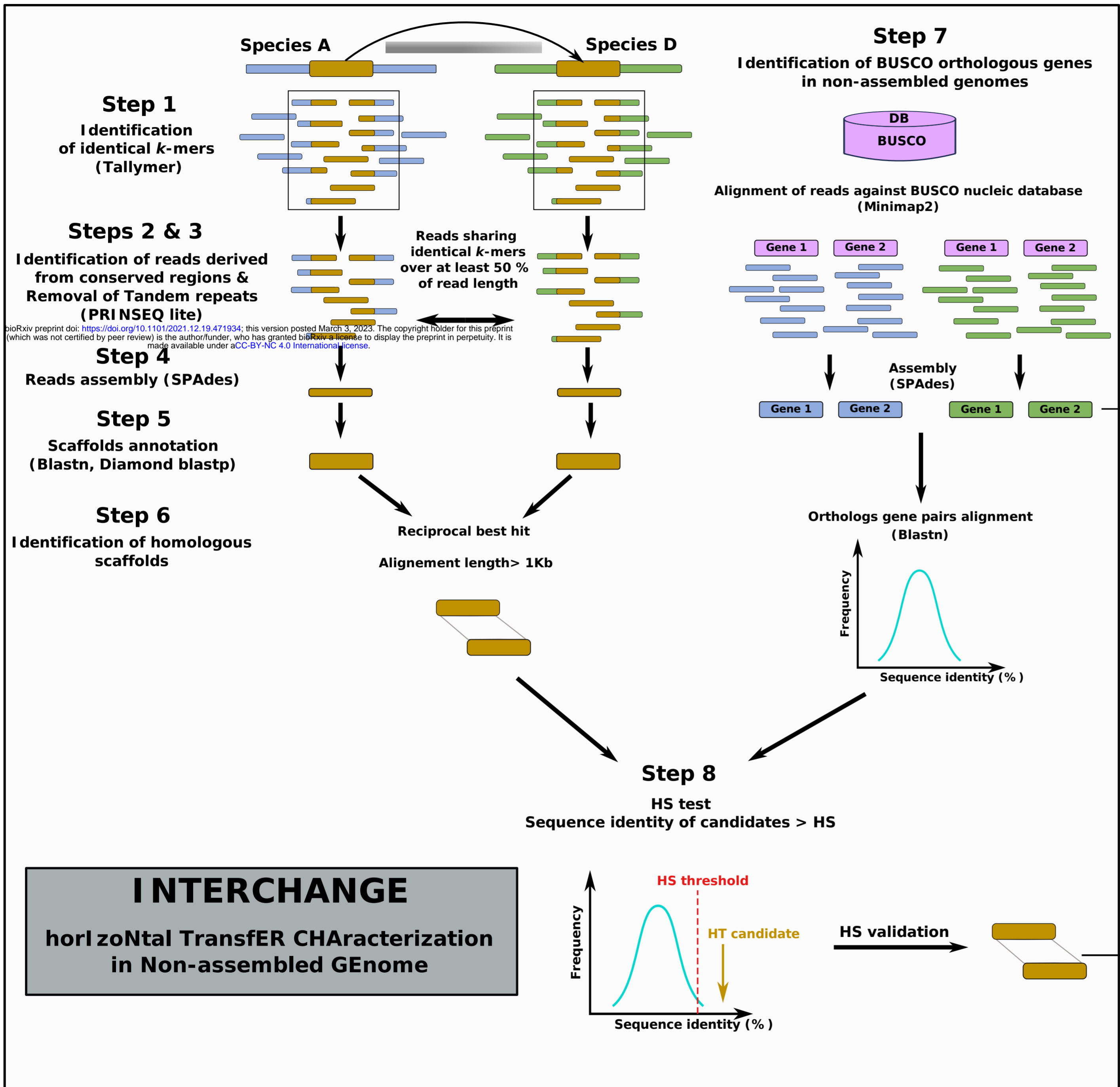
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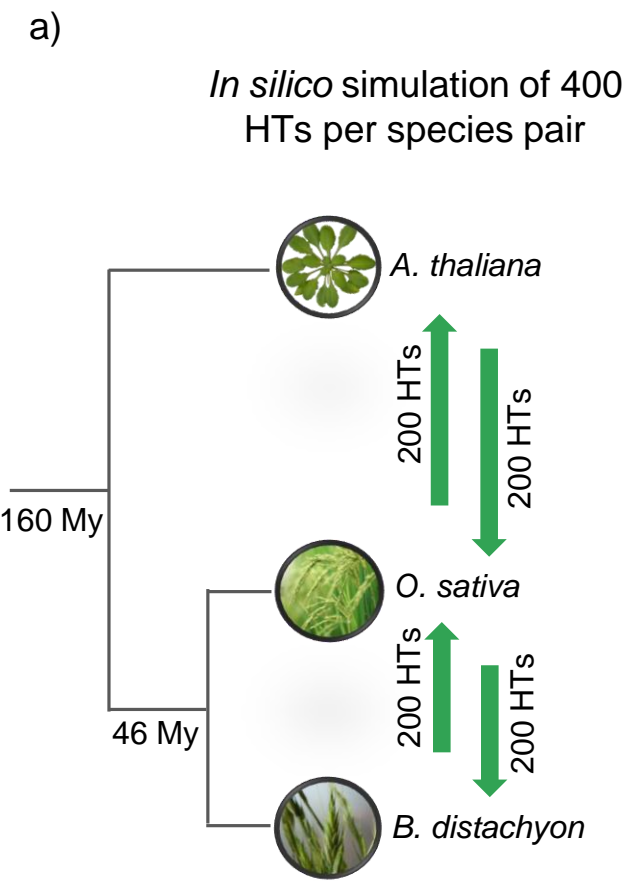
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HTs identification using INTERCHANGE

