1 **Clathrin-mediated Endocytosis Facilitates Internalization of *Magnaporthe***

2 ***oryzae* Effectors into Rice Cells**

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ABSTRACT

Many filamentous eukaryotic plant pathogens, such as fungi and oomycetes, deliver effectors into living plant cells to suppress defenses and control plant processes needed for infection. Little is known about the mechanism by which these pathogens translocate effector proteins across the plasma membrane into the plant cytoplasm. The blast fungus *Magnaporthe oryzae* secretes cytoplasmic effectors into a specialized biotrophic interfacial complex (BIC) before translocation. Here we show that cytoplasmic effectors within BICs are packaged into dynamic vesicles that can occasionally be found separated from BICs in the host cytoplasm. Live cell imaging with fluorescently-labelled rice lines showed that BICs are enriched in plant plasma membrane, actin, and Clathrin Light Chain-1, a marker for clathrin-mediated endocytosis (CME). We report that a novel cytoplasmic effector, Bas83, labels empty membrane vesicles surrounding BICs. Inhibition of CME using VIGS and chemical treatments results in a distinctive swollen BIC phenotype lacking effector vesicles. In contrast, fluorescent marker co-localization, VIGS and chemical inhibitor studies failed to support a major role for clathrin-independent endocytosis in effector vesicle formation. Taken together, this study provides evidence that cytoplasmic effector translocation is mediated by clathrin-mediated endocytosis in BICs and suggests a role for *M. oryzae* effectors in co-opting plant endocytosis.

INTRODUCTION

Many fungal and oomycete pathogens cause plant disease by hijacking and feeding on living plant cells, and they deliver effectors into and around host cells to promote infection (Giraldo and Valent, 2013; Lo Presti and Kahmann, 2017). This includes the Ascomycete fungus *Magnaporthe oryzae* (synonym of *Pyricularia oryzae*), which threatens global food security by causing blast diseases on rice, on several millets, and most recently on wheat (Gladieux et al., 2018; Valent et al., 2020). *M. oryzae* executes a hemibiotrophic lifestyle involving biotrophic invasion of successive plant cells by specialized intracellular invasive hyphae (IH) that grow from cell to cell (Kankanala et al., 2007). Blast IH growing in living host cells are enclosed by extensions of the plant plasma membrane termed the extra-invasive hyphal membrane (EIHM). Colonized cells die as they fill with hyphae and the EIHM and host vacuolar membranes become disrupted, while the fungus moves on to colonize neighboring cells using the same strategy (Kankanala et al., 2007; Mochizuki et al., 2015; Sakulkoo et al., 2018; Jones et al., 2021). Each successful IH undergoes

hyphal morphogenesis and is associated with differentiated interfacial zones after entering the host
cell lumen (Kankanala et al., 2007; Khang et al., 2010). Specifically, appressorial penetration pegs
enlarge into tubular filamentous hyphae, called primary invasive hyphae, which are enclosed in
EIHM with an extended dome-shaped cap, the Biotrophic Interfacial Complex (BIC). BICs
accumulate cytoplasmic effectors destined for translocation into the host cytoplasm. Tubular
primary hyphae then transition to a bulbous pseudohyphal-like growth form. BICs at the tips of
primary hyphae are left behind beside the first bulbous IH cell during the differentiation process,
and they continue to accumulate cytoplasmic effectors as the bulbous IH grow to fill the cell
(Khang et al., 2010). Mutation in an effector gene, Required-for-Focal-BIC-Formation 1 (*RBF1*),
encoding a glycine-rich secreted protein, results in dispersed cytoplasmic effector localization
around BIC-associated cells (the primary hyphae and first bulbous IH cell), perturbs primary
hyphal structure, and decreases pathogenicity (Nishimura et al., 2016; Nishizawa et al., 2016).

In blast disease, cytoplasmic and apoplastic effectors are clearly differentiated by the
fungal secretion system used and their localization pattern after secretion. Primary hyphae and the
first bulbous IH focally secrete cytoplasmic effectors into BICs using a specialized, Golgi-
independent secretion system. In *M. oryzae*, and also in the oomycete potato pathogen
Phytophthora infestans, secretion of cytoplasmic effectors differs from secretion of apoplastic
effectors in being insensitive to treatment with Brefeldin A (BFA), a chemical known to inhibit
conventional Golgi-mediated secretion in fungi (Giraldo et al., 2013; Van den Ackerveken, 2017;
Wang et al., 2017). Fluorescent cytoplasmic effectors are routinely detected in the cytoplasm of
invaded cells, and some are also detected in the cytoplasm of surrounding uninvaded host cells,
apparently moving through plasmodesmata to prepare neighboring cells before invasion (Khang
et al., 2010). In contrast, apoplastic effectors, such as the biotrophy-associated secreted protein 4
(Bas4), the LysM protein 1 (Slp1), and Bas113, are secreted via conventional golgi-mediated
secretion, and they accumulate uniformly throughout the apoplastic compartment enclosed within
the EIHM, outlining the entire IH without bleeding into the plant cell wall (Mosquera et al., 2009;
Mentlak et al., 2012; Giraldo et al., 2013). Indeed, localization of secreted Bas4 fluorescent fusion
proteins has become a reliable live cell imaging marker for the intactness of the EIHM around IH
(Khang et al., 2010; Mochizuki et al., 2015; Jones et al., 2021). After filling the host cell, hyphal
differentiation and BIC relocalization recur for each new hypha that enters a living neighbor cell
(Khang et al., 2010; Sakulkoo et al., 2018).

The mechanism by which eukaryotic filamentous plant pathogens deliver effectors across
the host plasma membrane inside living host cells is poorly understood and the mechanism is likely
to vary in different systems (Giraldo and Valent, 2013; Petre and Kamoun, 2014; Fawke et al.,
2015; Lo Presti et al., 2015; Lo Presti and Kahmann, 2017). Amino acid translocation motifs have
been identified for oomycete effectors, with the RXLR motif being the most common, although
cell entry motifs for fungal effectors have not been defined. The RXLR motif in oomycete effectors
was reported to mediate host cell uptake through endocytosis based on binding to
phosphatidylinositol-3-phosphate (PI3P) in host membrane (Kale et al., 2010), although it was
recently shown that the RXLR motif from *Phytophthora infestans* effector AVR3a is cleaved off
before secretion from the pathogen (Wawra et al., 2017). Therefore, the function of RXLR motif
in cell entry remains disputed (Petre and Kamoun, 2014; Trusch et al., 2018). Another amino acid
motif, YKARK, appears involved in translocation of the effector SpHtp3 from *Saprolegnia*
parasitica, a serious oomycete pathogen of fish, inside fish cells (Trusch et al., 2018). Specifically,
this effector appears to be internalized inside host cells via lipid-raft mediated endocytosis through
binding to a gp96-like receptor, and another effector is involved in releasing SpHtp3 from vesicles
into the fish cytoplasm. In an example from a fungal pathogen, a solvent-exposed RGD
vitronectin-like motif is required for internalization of ToxA, a host-specific proteinaceous toxin
secreted by the necrotrophic wheat pathogen *Pyrenophora tritici-repentis* (Manning and Ciuffetti,
2005). In addition to uptake by plant endocytosis, effectors might enter plant cells through
specialized translocon complexes in the plasma membrane. For example, the human malaria
parasite, *Plasmodium falciparum*, is contained within a parasitophorous vacuole inside invaded
red blood cells, and effectors are delivered across the membrane into the host cytoplasm by the
PTEX translocon (Elsworth et al., 2014). Recently, a stable protein complex comprised of five
unrelated fungal effectors and two fungal membrane proteins has been implicated in effector
translocation by the maize smut fungus *Ustilago maydis* (Ludwig et al., 2021). However, the
question of how effectors are taken up into plant cells remains largely unresolved for eukaryotic
plant pathogens.
Clathrin-mediated endocytosis (CME) is the major mechanism by which eukaryotic cells
internalize extracellular or membrane-bound cargoes, and this process is best understood in
mammalian systems and yeast (Kaksonen and Roux, 2018). Increasing understanding of CME in
plants shows both conserved and evolutionarily unique mechanistic details (Qi et al., 2018;

Reynolds et al., 2018; Johnson et al., 2020; Narasimhan et al., 2020). CME is known to play key

roles in plant-microbe interactions (Dodds and Rathjen, 2010; Beck et al., 2012; Fan et al., 2015).

CME is required for immunity mediated by pattern recognition receptor kinases, for instance,

specifically through internalization of activated extracellular pattern recognition receptors (PRRs)

for degradation in the vacuole (Mbengue et al., 2016). Examples include the pattern recognition

receptor Pep receptor 1/2 (PEPR1/2), the EF-TU receptor (EFR) recognizing the PAMP bacterial

elongation factor, and FLS2 recognizing the PAMP flagellin (flg-22) (Spallek et al., 2013;

Mbengue et al., 2016), as well as the Cf-4 receptor-like protein that recognizes the *C. fulvum* Avr4

avirulence effector (Postma et al., 2016). Trafficking of activated PRRs requires clathrin and

converges onto endosomal vesicles that are also shared by the hormone receptor Brassinosteriod

Insensitive 1 (BRI1). Additionally, it is known that pathogens can control plant CME. In addition

to its role in suppressing INF1-mediated cell death, the *P. infestans* host-translocated RXLR

effector AVR3a was recently shown to associate with the Dynamin-Related Protein 2, a plant

GTPase implicated in receptor-mediated endocytosis, and to suppress flg22-triggered defense

responses and reduce FLS2 internalization (Chaparro-Garcia et al., 2015). Therefore, AVR3a

interacts with a membrane-remodeling complex involved in immune receptor-mediated

endocytosis. Also, in host cells containing haustoria from the oomycete *P. infestans*, the late

endosomal pathway is rerouted from the vacuole to the extrahaustorial membrane (EHM)

surrounding haustoria (Bozkurt et al., 2015). Multiple effectors from this pathogen are now

implicated in rerouting the host membrane trafficking system and autophagy machinery for the

benefit of the invading pathogen (Bozkurt et al., 2015; Dagdas et al., 2018; Petre et al., 2021).

Effector translocation in the *M. oryzae*/rice pathosystem can be assayed directly by live

cell imaging of fluorescently-labeled effector proteins inside host cells after secretion by IH

invading rice leaf sheath cells (Khang et al., 2010). Although some effectors naturally localize to

host nuclei, addition of a nuclear localization signal (NLS) to fluorescent effectors enhances

visualization of translocation and cell-to-cell movement. Blast cytoplasmic effectors apparently

do not contain a translocation motif as reported for oomycete effectors. Instead, preferential

accumulation in the BIC, which is a prominent feature of the *M. oryzae*-rice cell interface, is the

major predictor for translocation into the rice cell. Previously, Nishizawa and colleagues reported

that a cytoplasmic effector occurs in vesicles in an outer BIC region (Mochizuki et al., 2015;

Nishimura et al., 2016; Nishizawa et al., 2016). Here, we have used live-cell fluorescence imaging

to characterize the nature and dynamics of membrane-bound effector vesicles within BICs and in
surrounding host cytoplasm. We show that BICs are enriched for CME components, and we report
functional analyses using virus-induced gene silencing (VIGS) and pharmacological approaches.
When considered together, our data indicate that blast effectors are internalized through clathrin-
mediated endocytosis in the outer BIC layers, followed by escape from the vesicles into the rice
cytoplasm.
155
156 **RESULTS**
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BICs contain multiple vesicles labeled by cytoplasmic effectors
BICs were previously reported as interfacial structures containing fluorescently-labelled
apoplastic effectors, such as Bas4:eGFP, in an inner base layer and a concentration of fluorescent
cytoplasmic effectors in the outer 'dome-shaped' region (Khang et al., 2010; Nishizawa et al.,
2016). We now routinely resolve the dome region of BICs by laser confocal imaging as a
concentration of vesicles containing fluorescent cytoplasmic effectors (Figure 1). These effector
vesicles are illustrated by an image of a BIC at the tip of a primary hypha (tip-BIC) expressing
fluorescent apoplastic effector Bas4:eGFP and fluorescent cytoplasmic effector Pwl2:mRFP
(Figure 1A). The Bas4:eGFP fluorescence remains evenly distributed throughout the EIHM matrix
around the growing IH, including in an inner layer of the BIC. In contrast, Pwl2:mRFP occurs as
distinct vesicles in the outer BIC region. We have observed effector vesicles in tip-BICs labeled
with additional translocated effectors Bas1 and Pwl1 fused to either eGFP or mRFP (Supplemental
Figure S1). Vesicles are also observed after tip-BICs move beside the first bulbous IH cells (side-
BICs) (Figure 1B; Figure S2). We evaluated the proximity of these vesicles relative to both tip-
and side-BICs. Whereas the vast majority of vesicles associated with primary IH were located in
the tip-BIC, some vesicles associated with side-BICs were found in regions of the cytoplasm at
least 7 μm from the fungal cell wall at the BIC, which we define as outside the BIC (Figure 1C).
Inclusion of the apoplastic effector Bas4:eGFP in these experiments confirmed that the EIHM
remained intact at each infection site, based on its uniform outlining of the IH and lack of spilling
into the invaded rice cell.
We identified a novel cytoplasmic effector, Bas170 (MGG_07348.6), that localized in
vesicles in the rice cytoplasm short distances from the appressorial penetration site at a stage before
primary hyphal growth is visible. The Bas170:mRFP fusion protein naturally accumulates in the

rice nucleus at this very early infection stage, presumably to reprogram plant transcription essential

for *M. oryzae* infection (Figure 1D). These results suggest rapid effector translocation from

appressoria even before growth of primary IH. Bas170:mRFP also labels BIC-associated vesicles

in tip-BICs and side BICs after growth of IH in the cell (Figure 1E,F). Vesicles observed using

fluorescent Bas170 fusion proteins are similar to vesicles observed with Pwl2, Pwl1 and Bas1

fusion proteins except that these latter effectors were not observed under appressoria at the earlier

time period.

Vesicles in BICs are dynamic through time

To understand vesicle dynamics in BICs through time, we imaged BIC effector fluorescence

during the morphogenic switch from tubular primary hyphae to bulbous IH using a strain co-

expressing two cytoplasmic effectors, Bas1:eYFP and Pwl2:mRFP (Figure 2). The vesicle content

varied over the 2-hour period when the tip-BIC was displaced from the primary hyphal tip to the

side-BIC beside the differentiating bulbous IH cell. Tip-BICs often contained vesicles with either

red or green fluorescence, rather than yellow expected for co-localization, suggesting that effectors

can be sorted into different vesicles. For this series of experiments, Pwl2:mRFP occurred in

vesicles that reached outer layers of the tip-BIC compared to Bas1:eYFP. Pwl2:mRFP appeared

less abundant relative to Bas1:eYFP during the switch from tip- to side-BIC, recovering again after

growth of bulbous IH (Figure 2A). Both vesicle size and content vary within BICs through time

(Figure 2 B-F). Specifically, BICs at primary hyphal tips contain a larger number of smaller

vesicles (Figure 2D,E). Whereas BICs on primary hyphae deliver effectors in 'micro-vesicles'

ranging from 41 nm to 114 nm, BICs on mature bulbous hypha contain effectors in 'macro-

vesicles' ranging from 153 nm up to 2310 nm at times (Figure 2C,D). Effector vesicles beside

mature bulbous IH often showed colocalization of fluorescence from different effectors compared

to vesicles in tip-BICs (Figure 2A, B and F).

Cytoplasmic connections were observed between BICs and plant cytoplasm at the

periphery of the invaded cell, illustrated in optical sections through a BIC in Supplemental Movie

S1. This is consistent with a previous report of dynamic connections linking BICs and host

cytoplasm underneath the appressorium (Khang et al., 2010). These cytoplasmic connections may

facilitate movement of effectors from cytoplasm surrounding the BIC in the cell center to the

peripheral cytoplasm, in position to rapidly move through plasmodesmata into neighboring cells.

BIC vesicles contain plant plasma membrane

Effector-labeled vesicles in the BIC could arise through secretion of cytoplasmic effectors in fungal exosomes or through plant endocytosis. We previously reported that BICs are enriched in plant plasma membrane based on infection of transgenic rice expressing a plasma membrane marker (Giraldo et al., 2013). Here we again use LTi6B:GFP-labeled rice to confirm that BICs contain abundant plant plasma membrane (Figure 3A,B). Individual effector vesicles were observed to be surrounded by labeled plant plasma membrane (Figure 3C), especially for vesicles in side-BICs on mature bulbous hyphae, where the vesicles reach diameters of 150 nm or larger.

To further confirm that BIC vesicles contain plant plasma membrane, we evaluated BIC membrane composition by staining with the endocytosis tracker dyes FM 4-64 and FM 1-4 (Figure 3D,E). These amphiphilic styryl dyes insert in the plasma membrane where they diffuse laterally in the membrane and are only internalized into the cell via endocytosis (Atkinson et al., 2002; Bolte et al., 2004). We previously showed that FM 4-64 is prevented from inserting in IH membranes, which are sealed inside the EIHM (Kankanala et al., 2007). Exclusion of these dyes from fungal membranes was documented by the lack of fluorescent labeling of the fungal septa and vacuoles, which occurs if the dye inserts in the fungal plasma membrane (Atkinson et al., 2002; Kankanala et al., 2007). Infected rice cells treated with FM 4-64 and FM 1-43 showed massive accumulation of these dyes in both tip- and side-BICs (Figure 3D). Images of BICs stained with FM 4-64 showed vesicles carrying effectors immersed in the region of intense staining associated with the BICs (Figure 3E). Vesicles that carry effectors were also observed separated from the BIC, presumably in the rice cytoplasm (Figure 3E, inset upper right), but effector-containing vesicles have not been frequently observed at long distances from the BIC (Supplemental Movie S2). Apparent vesicular bursting was also observed, which suggests that the vesicles are unstable, presumably releasing the effector contents into the host cytoplasm. Taken together, these results indicate that BIC-associated effector vesicles are more likely derived through plant endocytosis than from secretion of fungal exosomes.

Bas83 is a unique cytoplasmic effector that associates with rice membrane concentrating around BICs

Since it is likely that specific blast effectors play roles in vesicle formation and effector translocation, we were particularly interested in effectors that appear to associate with membranes in host cells. The *BAS83* (MGG_08506.6) gene is unique to *M. oryzae*, and it is 36-fold upregulated

during biotrophic invasion (Mosquera et al., 2009). Bas83:mRFP is translocated into invaded host cells, but it does not precisely colocalize with other BIC-localized cytoplasmic effectors Pwl2:eGFP and Pwl1:eGFP (Figure 4A; Supplemental Figure S3). In contrast, Bas83:mRFP seems to label the EIHM surrounding the BIC-associated cells, the primary hyphae and first bulbous IH, and it labels membrane bubbles, or vesicles, near to BICs and BIC-associated cells (Figure 4A-C). Figure 4A shows that Bas83:mRFP-labeled vesicles do not contain the cytoplasmic effector Pwl2:eGFP, indicating they are distinct from effector vesicles in BICs. Bas83:mRFP is also associated with vesicles observed under appressoria (Figure 4C; Supplemental Figure S3). However, Bas83:mRFP fails to label membrane surrounding subsequently formed bulbous IH that are associated with apoplastic effectors and not with cytoplasmic effectors (see 2nd bulbous IH in Figure 4C). We hypothesize that Bas83 is involved in recruiting new plant plasma membrane to form the EIHM and BICs during biotrophic development. However, repeated attempts in two different laboratories (264 purified transformants, 4 methods and 5 experiments; KSU and Univ. of Exeter) failed to produce knock-out mutants for further functional analysis (Supplemental Figure S4).

BICs contain plant actin

The actin cytoskeleton is essential for endocytic processes including plasma membrane folding, vesiculation, and vesicle transport (Grassart et al., 2014; Narasimhan et al., 2020). Effector vesicle formation in BICs suggests that this interfacial structure should contain abundant host actin. Therefore, we imaged plant actin dynamics during biotrophic invasion using rice lines expressing the actin marker LifeAct:eGFP. Infection by *M. oryzae* strains expressing chimeric protein Pwl2:mRFP showed massive colocalization of LifeAct:eGFP in BICs (Figure 5A). Actin was abundant in all imaged tip- and side-BICs (Figure 5B). Likewise, colocalization of fluorescent Phalloidin conjugates and fluorescently-labeled effector confirmed that BICs are rich in plant actin filaments (Figure 5C). We hypothesize that actin filaments are responsible for plasma membrane manipulation, vesiculation and possibly for the transport of vesicles carrying effectors into the rice cell cytoplasm.

BICs consistently contain abundant plant clathrin, but not flotillin

Clathrin-mediated endocytosis (CME) is the major endocytosis mechanism in plants, with some contribution by Clathrin-independent endocytosis (CIE) (Chen et al., 2011; Ewers and Helenius,

2011; Fan et al., 2015; Narasimhan et al., 2020). To assess co-localization of specific endocytosis markers with BICs, we generated transgenic rice lines expressing a fluorescent marker for either CME or CIE. For CME, we generated a C-terminal translational fusion of eGFP with the rice Clathrin light chain-1 (OsCLC1), which is a component of the clathrin coat together with clathrin heavy chain. Rice lines expressing OsCLC1:eGFP show typical clathrin foci (Konopka et al., 2008; Narasimhan et al., 2020) in uninfected rice cells (Figure 6). The marker for CIE was a C-terminal translational fusion of eGFP to flotillin (Flot1), which is a component of lipid rafts (Otto and Nichols, 2011; Li et al., 2012). Rice lines with the CME and CIE markers were used for leaf sheath assays with an *M. oryzae* strain expressing Pwl2:mRFP to label BICs. In addition to typical clathrin foci around the cell periphery, all tip-BICs and side-BICs contain fluorescence from OsCLC1:eGFP co-localizing with Pwl2:mRFP (Figure 6A and C). Clathrin fluorescence could sometimes be observed co-localizing with effector fluorescence in BIC vesicles (Supplemental Movie S3). In contrast, very few (~4%) tip-BICs colocalized with Flot1:eGFP. Some colocalization of Flot1:eGFP and Pwl2:mRFP occurred in side-BICs besides mature bulbous hyphae (Figure 6B,C). Colocalization results from several independent experiments were consistent with a major role for CME, but not for CIE, in the internalization of cytoplasmic effectors.

Silencing of rice endocytosis machinery blocks *M. oryzae* infection

We assessed the impact of inhibition of CME and CIE on BICs and effector vesicles using the brome mosaic virus system (Ding et al., 2006; Ding et al., 2007) for silencing of genes for CME and CIE components that have been characterized by gene silencing analyses in *Arabidopsis*. Specifically, we silenced two rice CME genes, Adaptor protein complex-2 subunit α2 (*AP-2α*) and clathrin heavy chain-1 (*CHC1*) (Di Rubbo et al., 2013; Larsson et al., 2017) as well as the CIE gene *Flotillin-1* (*Flot1*) (Li et al., 2012). Silencing of both CME components *AP-2α* and *CHC1* resulted in severe reduction in pathogenicity in whole plant spray inoculation assays (Figure 7A,B). However, as expected, silencing of these genes caused stunting and decreased plant health (Supplemental Figure S5), and levels of pathogenicity in blast disease are known to be decreased on unhealthy rice plants. More significant is the phenotype of pathogen blockage at individual infection sites. Silencing of either CME component RNAi*AP-2α* or RNAi*CHC1* led to a distinctive swollen BIC phenotype, with enlarged, abnormally-shaped BICs that lack effector vesicles (Figure 7C-F). By contrast, silencing of the CIE marker gene *Flot1* showed less severe stunting

(Supplemental Figure S5), less of an impact on pathogenicity (Figure 7A,B), and minor impact on

BIC structure (Figure 7C-F). For example, compare the 28 hpi images of BICs in control rice

plants with the RNAi*Flot1*-silenced and the RNAi*AP-2α*-silenced rice plants in Figure 7C, and

quantification of swollen BIC events in Figure 7F.

In addition to swollen BICs at sites with primary hyphae, silencing of both CME genes

decreased appressorial penetration and induced the accumulation of the cytoplasmic effector

Pwl2:mRFP under failed penetration sites where it is not normally observed (Figure 7D,E). In

contrast, silencing of *Flot1* had only minor effects on appressorium penetration success and on

accumulation of Pwl2:mRFP under failed appressoria. Like our finding of early accumulation of

Bas170 under appressoria before penetration, these results again suggest that effector uptake

begins before host penetration. These results also suggest that CME plays a role in effector

translocation at the appressorial penetration stage as well as from BICs.

Chemical inhibition of rice endocytosis machinery blocks *M. oryzae* infection

Pharmacological approaches have impacted studies in diverse biological systems (Robinson et al.,

2008; Hicks and Raikhel, 2010; Grassart et al., 2014; Fan et al., 2015). To further assess potential

impact of the plant endocytic machinery on cytoplasmic effector translocation, we tested a series

of chemicals that are reported to inhibit plant CME or CIE (Table 1). Compared with the non-

treated control (Figure 8A), treatment with reported CME inhibitor cantharidin led to abnormally-

shaped swollen BICs that lack distinct effector vesicles, resembling those seen with silencing of

CME components (Figure 8B). Fluorescence intensity linescans confirm that cantharidin treatment

had little, if any, impact on localization of apoplastic effector Bas4:eGFP in the base of the BIC.

Subsequent quantification of cantharidin treatment along with treatment of 2 additional

reported CME inhibitors, chlorpromazine and triclosan (Table 1), showed a high proportion of

swollen, irregular-shaped cytoplasmic effector fluorescence patterns in BICs (Figure 9A-C). By

contrast, inhibition of CIE with filipin and methyl-β-cyclodextrin resulted in minor to no effect on

BIC effector fluorescence patterns (Figure 9A-C). Two chemical inhibitors with potential CME

association (Table 1) show different impacts on BIC effector fluorescence patterns. Wortmannin,

which inhibits phosphatidylinositol 3-kinase (PI 3-kinase) and is implicated in late endosomal

trafficking (Robinson et al., 2008) had a major impact on BIC structure, while concanamycin A,

which inhibits vacuolar-type ATPase and induces vacuolar acidification (Robinson et al., 2008),

had little impact on BIC structure (Figure 9A-C).

Moreover, treatment with CME inhibitors cantharidin, chlorpromazine and triclosan, but

not with CIE inhibitors filipin and methyl-β-cyclodextrin, resulted in reduced appressorial

penetration and induced abnormal accumulation of the cytoplasmic effector Pwl2:mRFP under

appressorial penetration sites where it is not normally observed (Figure 9A - compare 18 hpi CIE

and CME inhibitors; Figure 9D,E). Wortmannin, but not concanamycin A, also impacted

appressorial function and resulted in abnormal Pwl2:mRFP accumulation under appressoria. These

results support our findings with Bas170:mRFP (Figure 1D-F) in suggesting that effector uptake

begins before or at the point that host penetration occurs. Indeed, chemical inhibition of

endocytosis in rice cells undergoing *M. oryzae* infection mimics the results obtained with VIGS,

further supporting a role for CME in effector uptake into rice cells.

DISCUSSION

Our results, when taken together, provide strong evidence that cytoplasmic effector translocation

is BIC-localized and mediated by CME. We report that diverse cytoplasmic effectors occur in

dynamic vesicles in tip-BICs associated with primary hyphae that first colonize host cells and then

in BICs that remain beside the first bulbous IH after hyphal differentiation. Tip-BICs contain many

small effector micro-vesicles (~87 nm) and co-expression of differentially-labeled effectors

Bas1:eYFP and Pwl2:mRFP showed these effectors can be sorted into different vesicles (Figure

2). Vesicle composition can be seen to vary as tip-BICs move to become side-BICs during hyphal

differentiation. Specifically, side-BICs contained fewer, larger vesicles that reach >500 nm in

diameter and show co-localization of Bas1:eYFP and Pwl2:mRFP. Our results confirm a previous

report that cytoplasmic effectors occur in ~500 nm vesicles in the outer BIC domes beside bulbous

IH (Mochizuki et al., 2015; Nishimura et al., 2016; Nishizawa et al., 2016). We also observed

effector vesicles in the host cytoplasm at short distances from maturing side-BICs (Figure 2).

Release of effectors from these vesicles into the host cytoplasm would require a special

mechanism, perhaps mediation by an effector as suggested for release of the *S. parasitica* effector

from endocytic vesicles into the fish cell cytoplasm (Trusch et al., 2018).

We report that BICs producing effector vesicles contain multiple hallmarks of active

clathrin-mediated endocytosis (CEM), including focal accumulation of fluorescently-labelled

Clathrin Light Chain-1 (CLC1), a key subunit of the clathrin coat in CME (Figure 6). Focal

accumulation of actin in the BICs, as detected in stable transgenic plants expressing LifeAct:eGFP

and by staining with rhodamine-labeled phalloidin (Figure 5), is consistent with a role in CME,

although it was recently reported that actin plays a different role in CME in plants than in the well-

studied systems in mammals and yeast (Qi et al., 2018; Johnson et al., 2020; Narasimhan et al.,

2020). We confirm that BICs contain abundant rice plasma membrane (Giraldo et al., 2013) using

transgenic plants expressing fluorescent plasma membrane fusion protein LTi6b:eGFP, and using

the amphiphilic stryryl dyes FM 4-64 or FM 1-43 to track membranes that are internalized by

endocytosis (Bolte et al., 2004). Indeed, we obtained occasional views of effector vesicles ringed

in LTi6b:eGFP or FM 4-64 labeled membrane (Figure 3C). Interestingly, we identified a novel

effector, Bas83, that labels non-effector vesicles and EIHM focused around BICs and the BIC-

associated cells, which could be involved in replenishing host membranes needed for extensive

endocytotic activity in the BIC (Figure 4; Supplemental Figure S3). In contrast, flotillin

(Flot1:eGFP), which is a component of lipid raft-mediated clathrin independent endocytosis, rarely

co-localizes with tip-BICs where active translocation is occurring (Figure 6C). Our fluorescent

marker co-localization experiments are all consistent with BICs as a focused area of CME.

For functional analyses of the role of endocytosis in effector translocation, we performed

transient silencing of two rice CME components, Clathrin Heavy Chain-1 (CHC-1) and Adaptor

Protein Complex subunit 2α (AP-2α), as well as silencing of the CIE component Flotillin-1. We

also used 7 pharmacological agents that are reported to inhibit or be associated with CME or CIE

in plants. Both strategies have potential problems. Rice plants that received the CHC-1 and APC-

2 silencing vectors were significantly reduced in rice blast symptom, compared to the empty vector

control and to Flot1-silenced lines (Figure 7; Supplemental Figure S5). However, this could be

due, at least in part to inevitable health costs to the plant through silencing component genes for

essential cellular processes. Unhealthy rice plants are generally less susceptible to blast disease

(Kato, 1974). Additionally, care must be taken in the interpretation of results in pharmacological

studies based on new evidence that endocytosis inhibitors characterized in mammalian systems

may not have the same targets in plants (Dejonghe et al., 2016), and pharmacological agents also

have off-target effects (Hicks and Raikhel, 2010; Dejonghe and Russinova, 2017). However, both

studies complement each other in that transient silencing of the two CME components and

treatment with 4 different pharmacological agents associated with CME gave the same swollen

BIC phenotype, in which labeled fluorescent effectors accumulate in abnormally-sized BICs

lacking the discreet effector vesicles seen in control infection sites (Figures 7-9). In contrast,

transient silencing of the CIE component Flotilin-1 and treatment with pharmacological inhibitors associated with CIE show little or no impact on BIC vesicular structure and pathogenicity (Figure 8 and 9). All results from our VIGS and chemical inhibitor experiments are consistent with our fluorescence localization experiments. Taken together, our results therefore provide evidence that BICs include a focused region of plant clathrin-mediated endocytosis for internalizing cytoplasmic effectors inside living plant cells (Figure 10).

We report two new lines of evidence that effectors are being secreted and translocated into the host cytoplasm from appressoria even before obvious growth of primary hyphae inside host cells. First, we identified an effector, Bas170, that naturally accumulates in host nuclei before visible growth of primary hyphae, and it also localizes to vesicles underneath appressoria at this early time point. Second, effector Pwl2:mRFP, which is not normally observed before the tip-BIC stage, accumulates under appressoria that failed to penetrate after both VIGS silencing and chemical inhibition of rice CME. These new findings are consistent with reports that rice cells recognize and respond to *M. oryzae* before and independently of appressorial penetration (Xu et al., 1998). It has been predicted that *M. oryzae* appressorial pores, a transient cell wall-less region of the appressorium adjacent to the plant cuticle, might be involved in molecular communication between pathogen and host before penetration (Howard and Valent, 1996). Previously, the only *M. oryzae* effector that was a candidate for secretion through the appressorial pore was a presumed secondary metabolite that is produced by the *AVR* gene *ACE1* encoding a hybrid polyketide synthase (PKS) and nonribosomal peptide synthetase (NRPS) that is specifically expressed in the cytoplasm of appressoria before and during penetration (Fudal et al., 2007; Collemare et al., 2008). Therefore, our new effector localization results suggest similar exquisite staging of effector expression as reported for the crucifer pathogen *Colletotrichum higginsianum*, which secretes ChEC effectors under the appressorial pores and at subsequent stages during the invasion of *Arabidopsis thaliana* cells (Kleemann et al., 2012). Specifically, this fungus focally secreted effectors Chec6 and Chec36 from the appressorium pore, suggesting these effectors may be translocated before fungal penetration (Kleemann et al., 2012). Our results with Bas170 together with ChECs and Ace1 protein localization patterns indicate that many effectors might be focally secreted through appressorial pores (Fudal et al., 2007; Kleemann et al., 2012), highlighting a potential role for fungal appressoria in effector delivery.

The effector vesicle dynamics that we report support the idea that front-loaded effector
translocation occurs during the early stages of host cell invasion. Specifically, tip-BIC vesicles are
small (~87 nm), abundant and selective in terms of sorting different cytoplasmic effectors,
Bas1:eYFP and Pwl2:mRFP, into different vesicles. In contrast, side-BICs contain fewer, larger,
less-selective vesicles that contain both Bas1:eYFP and Pwl2:mRFP. Effector vesicles observed
in the cytoplasm were almost uniformly found at the side-BIC stage, perhaps indicating a slow-
down of the mechanism for effector release from the vesicles as BICs mature. The mechanism for
forming vesicles may result in larger vesicles, or vesicles may fuse before releasing effectors to
the cytoplasm in the later BIC stages. Slowdown of the translocation system would appear
consistent with previous reports of loss of activity in BICs as host cells fill with IH (Khang et al.,
2010; Jones et al., 2021). Front-loading effector translocation from BICs would make sense
because cell-to-cell movement of blast cytoplasmic effectors through plasmodesmata must occur
in the early stages of host cell invasion while the EIHM enclosing the IH remains intact and
plasmodesmata in the invaded cell remain open (Sakulkoo et al., 2018; Jones et al., 2021). Active
BICs are surrounded by host cytoplasm with dynamic connections to the cell periphery (Khang et
al., 2010; Supplemental Movie S2), where cytoplasmic streaming would rapidly disperse effectors
to pit fields containing plasmodesmata. In contrast, nonBIC-associated IH cells invaginate the host
vacuole and grow in close proximity to the host vacuolar membrane with little surrounding
cytoplasm (Mochizuki et al., 2015; Jones et al., 2021). Specifically, the host vacuole remains
intact, but shrinks as IH grow. Both the EIHM and vacuolar membrane become disrupted,
indicating death of the invaded cell, around the time IH move into neighboring cells to repeat their
BIC-mediated invasion strategy (Mochizuki et al., 2015; Jones et al., 2021).

There is evidence supporting occurrence of clathrin-mediated endocytosis at the EHM in
one additional pathosystem. That is, transmission electron micrographs of the interfacial matrix
between the intracellular hyphae [called monokaryotic (M)-haustoria] of the monokaryotic rust
fungus *Uromyces vignae* and its host cowpea (*Vigna unguiculata*) shows clathrin-coated pits
(diameter ca. 50-70nm) on the EHM at M-haustoria hyphal tips that contained dense cytoplasm
and were presumably growing (Stark-Urnau and Mendgen, 1995). The coated vesicles were
labeled by an antibody recognizing the heavy chain subunit of clathrin. Long tubules extending
into the host cytoplasm, as well as coated vesicles in the surrounding host cytoplasm, were also
labeled with the antibody, indicating that endocytosis occurs at the EHM (Stark-Urnau and

Mendgen, 1995; O'Connell and Panstruga, 2006). It was suggested that these endocytic vesicles might be involved in effector uptake into host cells in addition to membrane recycling from host exocytosis. Unfortunately, lack of transformation capability and identification of effectors makes it difficult to follow up on these intriguing results with *U. vignae*. Additional TEM studies support our results that transient silencing of lipid raft-mediated endocytosis component Flotilin1, as well as filipin treatment, had little effect on BIC structure and effector vesicle formation. Freeze-fracture transmission electron microscopy (TEM) following filipin treatment revealed an absence of granular filipin-sterol complexes on the EHM of two rust fungi, *Puccinia coronata* and *Uromyces appendiculatus* (Harder and Mendgen, 1982), suggesting that the extrahaustorial membrane contains less sterol than normal plasma membranes, and indicating that the EHM appears depleted in sterol-rich lipid rafts.

Differences in infection strategies among various filamentous biotrophic or hemibiotrophic pathogens would be consistent with use of different strategies for effector translocation in different pathosystems. A recent report indicates that a complex of seven proteins from the smut pathogen *U. maydis* is critical for infection and implicated in translocation of cytoplasmic effectors into maize cells (Ludwig et al., 2021). This basidiomycete fungus colonizes host tissue as intracellular hyphae that pass through and between host cells without obviously specialized interfacial zones, and fluorescent fusion proteins of cytoplasmic effectors have not been visualized in the host cell cytoplasm in this pathosystem (Lo Presti et al., 2017). *U. maydis* produces galls on maize seedlings and grows systemically as the plant grows to finally invade ovaries, which swell into dramatic sporulating galls. In contrast, *M. oryzae* densely colonizes a localized tissue area that will become a visible eyespot lesion that releases spores to reinitiate the infection cycle within 7 days. Different pathogenic lifestyles could present different demands for cytoplasmic effector translocation.

Taken together, our data strongly suggest that clathrin-mediated endocytosis occurring in BICs is a key mechanism for internalization of *M. oryzae* effectors inside living rice cells, beginning even before appressorium penetration on the host surface. Given this current understanding, important unknowns for future study include the molecular mechanisms of how the endocytic machinery is recruited to BICs and how it interacts with effector cargos (Qi et al., 2018), including how different effectors are sorted out into different vesicles as shown in Figure 2. It is important to understand vesicle dynamics through time and why effector vesicles become larger and less selective as BICs mature. It is important to understand if, and if so, how, Bas83 contributes

to membrane recruitment to BICs and the EIHM surrounding BIC-associated cells. It is unknown

how the effector vesicles are disrupted, releasing effectors into the rice cytoplasm and how various

effectors are then targeted to their destinations in host cells including, in some cases, movement

through plasmodesmata into neighboring host cells. It is also important to understand the role for

appressoria in effector secretion before penetration, and how these early effectors, such as the host

nuclear localized effector Bas170, function to promote infection. Finally, it is critical to translate

molecular mechanisms of blast biotrophic invasion into strategies for controlling devastating

diseases on rice, wheat and other food crops worldwide.

METHODS

Live-cell imaging of *M. oryzae* effectors *in planta*

Fungal strains were stored in dried filter papers at -20˚C, and cultured on rice polish agar plates at

25˚C under continuous light for 2 weeks (Valent and Chumley, 1991). Rice sheath inoculations

were performed as described (Kankanala et al., 2007) with the following modification. We used

sheath pieces that were thickly trimmed (~7 rice cell layers thick) compared to thinner trimmed

sheaths (~3 cell layers thick) in previous publications. Brightfield images were less detailed, but

the endocytic machinery appeared more active, providing enhanced microscopic resolution of

fluorescent marker dynamics. Susceptible rice variety YT-16 was used unless mentioned

otherwise. Briefly, 7-cm-long sheath pieces from 3-week-old plants were placed in a sealable

Pyrex glass moist chamber. Leaf sheath sections were placed on inverted 8-well PCR tube strips

to avoid contact with wet paper and to hold epidermal cells directly above the mid-vein

horizontally flat for uniform inoculum distribution in the trimmed sheath pieces. A spore

suspension (10^4 spores/ml in sterile 0.25% gelatin, Cat. #G-6650, Sigma-Aldrich) was prepared

from 10-day old cultures and was injected into one end of the sheath using a 100-ml pipette. Each

segment was trimmed at 18–30 h.p.i., treated with specific dyes or inhibitors, or imaged

immediately by laser confocal microscopy. Confocal imaging was performed with a Zeiss

LSM780 confocal microscope system using two water immersion objectives, C-Apochromat

40x/1.2 WCorr. and C-Apochromat 63x/1.2WCorr. Excitation/emission wavelengths were 488

nm/505–550 nm for eGFP and FM1-43, and 543 nm/560–615 nm for mRFP, mCherry, Phalloidin

and FM4-64. Images were acquired and processed using Zeiss ZEN 2010 software. Images of

effector vesicles in Figure 3C were obtained using a Leica SP8 confocal microscope system with

a water immersion objective HC PL APO 63x/1.20 WCorr. Excitation/emission wavelengths were

488 nm/505–550 nm for eGFP and 543 nm/560–615 nm for mRFP.

Fungal strains, DNA manipulation, and fungal transformation

Magnaporthe oryzae wild type strain Guy11, a field isolate from rice in French Guiana, was

obtained from J.L. Notteghem (Centre de Cooperation Internationale en Recherche Agronomique

pour le Developpment). *M. oryzae* transformants are described in Supplemental Table S1 online.

Effector:mRFP and Effector:eGFP expression plasmids were constructed by PCR amplifying

different effector gene regions and fusing them to the N-terminus of mRFP and eGFP, respectively.

Details of construction of each plasmid are described in Supplemental Table S2, and primers are

listed in Supplemental Table S3. For all fusion constructs, transcriptional and translational fusions

were verified by DNA sequencing. See Supplemental Table S2 online for plasmids used, and

Supplemental Table S3 for PCR primers used. Plasmids were transformed into laboratory strain

Guy11 (Leung et al., 1988) using *Agrobacterium tumefaciens*–mediated transformation (Khang et

al., 2006). In several cases, two fluorescently labelled effectors were introduced by co-

transformation with separate plasmids (Sweigard et al., 1995). Because positive transformants

showed similar fluorescence patterns with varying intensities, those with strongest fluorescence

were studied (see Supplemental Table S1 online). For each construct cloned in *M. oryzae*, at least

two independent transformants showing identical phenotypes to the wild type were selected and

assayed together.

Strategies for targeted deletion of Bas83 gene

Split-marker recombination method for Bas83 gene knockout via protoplast transformation:

Targeted gene replacement mutation of the *M. oryzae Bas83* gene was attempted using the split

marker strategy as modified by Kershaw and Talbot (2009). Gene replacement was performed by

replacing the 606-bp *Bas83* locus with a hygromycin resistance selectable marker, encoding a 1.4-

kb hygromycin phosphotransferase (*HPH*) resistance cassette (Carroll et al., 1994). The two

overlapping segments of the *HPH* templates were PCR amplified using primers M13F with HY

and M13R with YG (Catlett et al., 2003) (see Supplemental Table S3 online) as described

previously (Kershaw and Talbot, 2009). One-kb DNA fragments upstream and downstream of the

Bas83 open reading frame were generated using the primers Bas83LF-F and Bas83LFHY-R and

Bas83RFYG-F and Bas83RF-R amplified from genomic DNA of the Guy11 strain. A second-

round PCR reaction was performed to fuse the overlapping split hph marker templates with the left and right flanking regions of the Bas83 locus. Wild-type *M. oryzae* strain Guy11 was then transformed with the deletion cassettes (2 μg of each flank). Putative transformants were selected in the presence of hygromycin B (200 μg/ml) and analyzed by PCR using the primers Bas83:BASKOtest-F and Bas83:BASKOtest-R (Supplemental Table S3; Supplemental Figure S4). This assay was repeated three times. Gene sequences on either side of *Bas83* were retrieved from the NCBI database (https://www.ncbi.nlm.nih.gov/).

Attempted Bas83 deletion via Agrobacterium-mediated transformation: For gene replacement transformation, cassettes were constructed by amplifying ∼1.0 kb of 5′- and 3′-flanking regions for each predicted coding sequence. The *HPH* gene (Carroll et al., 1994) was cloned between the two flanking regions using a fusion PCR strategy. The three pieces together were cloned first into the pJET1.6 vector (Invitrogen) for sequence analysis and later into binary vector pGKO2 (Addgene: Plasmid #63617; Khang et al., 2005) using a restriction ligation strategy. *M. oryzae* KU70 and KU80 spores were transformed using *A. tumefaciens* (Khang et al., 2005) strains AGL1 and EHA105 (Hellens et al., 2000). After two rounds of selection in TB3 media containing 200 μg/mL of hygromycin, 400 independent fungal transformants were analyzed for gene replacement events by PCR amplification using the primers Bas83:BASKOtest-F and Bas83:BASKOtest-R (Supplemental Figure S4). This assay was repeated three times.

Staining with FM 4-64, FM 1-43, and Rhodamine Phalloidin, and treatment with pharmacological inhibitors

To examine membrane dynamics at the BIC, rice sheaths (cv. YT16) were inoculated with *M. oryzae* transformants expressing fluorescently-labeled effectors ($2x10^4$ spores/ml in 0.25% gelatin solution) and incubated for 20-26 hours at 25˚C for subsequent FM-dye treatment. Infected rice sheaths were treated with FM 4-64 or FM 1-43 (4 mg/ml in water). An aqueous 17mM stock solution of FM 4-64 (Cat #13320, Invitrogen, Carlsbad, CA) and FM 1-43 (Cat # T3163, Invitrogen, Carlsbad, CA) were prepared and stored at -20˚C as described (45). *In planta*, inoculated leaf sheaths, 24 h.p.i., were trimmed into ultrathin sections (2-3 layers of cells; 10 x 10 mm) and incubated in a 10-mM aqueous working solution for 3–5 hours. Leaf sheaf sections were subsequently transferred to ultrapure water and incubated for 20 min (25 ˚C) to remove excess dyes for uniform membrane staining. For *in planta* experiments with Rhodamine Phalloidin (Cat# R415, ThermoFisher Scientific), we prepared working solutions of 66 μM in 0.1% DMSO and

treated the inoculated tissue as described for FM dyes.

To examine the effects of endocytosis inhibitors on *in planta* effector uptake, inoculated

trimmed rice sheaths were incubated at 25˚C with Methyl-β-cyclodextrin (20 mM), or

Chlorpromazine (10 µg/mL), or Cantharidin (25 mM), or Fluazinam (20 mM), or Triclosan

(20mM), or Wortmannin (20 nM), or Concanamycin A (50 mM) (all from Sigma) solution for 1-

5 hours. Negative controls were performed with ultrapure water (Methyl-β-cyclodextrin,

Chlorpromazine, and Fluazinam) or 0.1% DMSO (Cantharidin, Filipin and Wortamanin) (see

Table 1).

Generation of transgenic rice plants

Production of transgenic plants expressing the plant plasma membrane marker low-temperature

inducible protein 6B, LTi6B:GFP was previously described (Mentlak et al., 2012; Giraldo et al.,

2013). Transformants of rice cultivar Sasanishiki expressing LifeAct:eGFP were generated in the

laboratory of NJT. Expression plasmids for CME and CIE marker genes were constructed by PCR

amplifying the marker gene regions and fusing them to the N-terminus of eGFP. The *CLC1* gene

was amplified from genomic DNA of YT16 rice using the primers KpnI_OsCLC1Prom1-F1 and

XhoI_OsCLC1-R1. The PCR product was digested with *Kpn*I and *Xho*I and integrated into

pSH1.6EGFP (Plasmid #42323, Addgene). The *Flot1* gene was amplified from genomic DNA

from YT16 rice using the primers HindIII_OsFlot1Prom1-F1 and Eco47III_OsFlot-R1. The PCR

product was digested with *Hind*III and *Eco*47III and integrated into pSH1.6EGFP. CLC1:eGFP

was amplified from pSH1.6_CLC1:eGFP using the primers CACC_OsCLC1-F1 and eGFPT2-R2,

and cloned into pENTR (pENTR™/D-TOPO™ Cloning Kit, ThermoFisher Scientific) following

the manufacturer's protocol. Flot1:eGFP was amplified form pSH1.6_Flot1:eGFP using the

primers CACC_OsFlot1-F1 and eGFPT2-R2, and cloned into pENTR following manufacturer's

protocols. Endocytosis marker:eGFP construct were cloned into the *Agrobacterium* vector

pIPKb001 (Himmelbach et al., 2007) using the Gateway LR Clonase II kit (Invitrogen) following

the manufacturer's protocol. All the fusion constructs had transcriptional and translational fusions

verified by DNA sequencing. Gene fusions for expressing the rice endocytosis markers

CLC1:eGFP (CME) and Flot1:eGFP (CIE) under their native promoters were introduced into

Agrobacterium tumefaciens EHA105 using the freeze-thaw method (Holsters et al., 1978). Mature

seed-derived callus from rice (*Oryza sativa L*. *Japonica*) cv. YT16 was used for *Agrobacterium-*

mediated transformation (Park et al., 2001). After inoculating with *A. tumefaciens*, callus was

transferred to regeneration medium for 4-10 weeks at 25°C under a 16-h photoperiod. The
regenerated shoots were transferred to rooting medium for four more weeks, then established in
soil. Putative transformants of rice were selected on 100 µg/ml hygromycin, and expression
checked by qRT–PCR and epifluorescence microscopy.

Silencing of rice genes

In order to evaluate the role of plant endocytosis on disease development and effector uptake, we
performed VIGS using the Brome mosaic virus system developed by R.S. Nelson (Noble
Foundation, Ardmore, OK). We targeted *AP-2α*, *CHC1* (CME) and *Flot1* (CIE) mRNA in rice
plants (cultivar IR64) undergoing infection. The target rice sequences were cloned in the pC13/F3-
13m VIGS vector as described (Ding et al., 2006; Ding et al., 2007) and subsequently sequenced
to verify sequence accuracy. RNA transcripts were synthesized from the final VIGS vector. An
empty vector pC13/F3-13m was used as negative control. The final infectious transcripts of
pC13/F3-13m / AP-2α, pC13/F3-13m / CHC1, pC13/F3-13m /Flot1 and pC13/F3-13m (a control
virus without an insert) were inoculated with pC13/F1+2 in 7 to 10 day old IR64 rice plants
according protocols described by Ding et al (2006). Knockdown of the target genes was confirmed
by qRT-PCR at 15 days post inoculation. At this point, rice plants showing satisfactory reduction
of the transcription levels were used in our standard conidial spray inoculation and leaf sheath
assays with fungal strains expressing fluorescently-labeled effectors.

Statistics

All experiments were performed in triplicate and repeated three times. The sample sizes, number
of biological and technical replicates, and the statistical tests used in each experiment are specified
in the figure legends. Data were analyzed using an unpaired two-tailed Student's t-test. P=0.05
was considered non-significant and exact values are shown where appropriate. All statistical
analysis was performed using R Statistical Software (version 4.1.2) and Prism9 (GraphPad). The
linescans in Figure 8 were used to illustrate cytoplasmic effector accumulation due to CME
inhibition and compared to the apoplastic effector Bas4 (control). Dot plots were routinely used to
show individual data points and generated using Prism9 (GraphPad). Bar graphs show the
mean±s.e.m. (unless stated otherwise) and were generated using Prism9 (GraphPad). Analysis of
non-normal datasets are represented by box-and-whisker plots that show the 25th and 75th

percentiles, the median indicated by a horizontal line, and the minimum and maximum values
indicated by the ends of the whiskers.

Accession Numbers

Sequence data for genes of *M. oryzae* used in this article can be found in the GenBank/EMBL
database under the following accession numbers: BAS1, NC_017844; PWL1, U36923.1; PWL2,
NC_017853; Bas4, NC_017852.1; BAS83, NC_017851.1; BAS170, NC_017850.1. Rice genes
used are: Clathrin Light Chain-1 (CLC1), LOC4337419; Flotilin 1 (Flot1), LOC4348926; Adaptor
protein complex-2α (AP-2α), LOC4331370; and Clathrin Heavy Chain-1 (CHC1 gene;
LOC4349546.

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AUTHOR CONTRIBUTIONS

E.O.-G. and B.V. designed experiments and analyzed data: E.O.-G. performed most of the
research. T.M.T., J.P. and S.P. produced and analyzed transgenic rice lines expressing the rice
CLC1:eGFP and Flot1:eGFP fluorescent fusion protein genes. M.D., M.M.-U., C.R.H. and A.H.V.
assisted with microscopy and Bas83 Knockout experiments and general laboratory experiments;
N.J.T. provided transgenic rice lines expressing LTi6B:GFP and LifeACT:GFP; E.O.-G., B.V. and
N.J.T wrote and edited the article.

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Table 1. Chemical inhibitors of endocytosis

Chemical	Target	Mode of action	Comments	References
Filipin	CIE*	Binds to sterols in the membrane.	Toxic at higher concentrations.	(Rodal et al., 1999)
Methyl-β-cyclodextrin	CIE	Deplete cellular membranes of sterols by increasing the water solubility of the sterol.	caveolae-dependent endocytosis.	(Rodal et al., 1999)
Chlorpromazine	CME**	Translocates clathrin and AP2 from the cell surface to intracellular endosomes.	Also inhibits CIE in some cells.	(Wang et al., 1993)
Cantharidin	CME	Inhibits PP2A (protein phosphatase 2A)	Affects flg22-mediated FLS2 endocytosis.	(Serrano et al., 2007)
Triclosan	CME	Inhibits PP2A (protein phosphatase 2A)	Affects flg22-mediated FLS2 endocytosis.	(Serrano et al., 2007)
Wortmannin	nd***	Inhibits PI3Ks, blocks late trafficking to the vacuole, multivesicular bodies and endocytosis.	Late endosomes lose their clathrin; Potential CME association.	(Bright et al., 2001; Sachse et al., 2002; Robinson et al., 2008)
Concanamycin A	nd	Targets V-type ATPase and blocks trafficking at trans-Golgi network, endosome acidification.	Induces acidification crucial for endocytic pathways.	(Maranda et al., 2001; Robinson et al., 2008)

925 *CIE: clathrin-independent endocytosis; **CME: clathrin-mediated endocytosis; ***nd: not determined.

927   Figure 1

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Figure 1. **BIC vesicular organization and effector vesicles in the rice cell cytoplasm**. **A.**
Cytoplasmic effector-labeled vesicles in a tip-BIC at the tip of a primary hypha (PH) of strain
KV217 expressing Pwl2:mRFP and Bas4:eGFP at 17 hpi. The appressorium (Ap) and the first half
of the PH are out of focus. Separate mRFP and eGFP channels (right) for the inset show the base
layer of apoplastic effector Bas4:eGFP and the outer vesicular layer labeled by cytoplasmic

effector Pwl2:mRFP. **B.** A side-BIC occurring above a first bulbous IH cell of strain KV168
expressing Pwl2:mCherry:NLS and Bas4:eGFP at 24 hpi. Effector vesicles in are visible in the
host cytoplasm at a distance from the BIC. The Bas4:eGFP control shows that the EIHM
surrounding the IH remains intact. See Supplemental Figure S2 for a larger view of this invaded
cell showing significant host translocation of Pwl2:mCherry:NLS. **C.** Quantification of the relative
localization of cytoplasmic effector vesicles, assessed using strain KV217 from panel A at 98
infection sites for each BIC developmental stage. Bar charts are based on means of three biological
reps (data points shown); error bars indicate standard deviation. ****$P<0.0001$. **D.** Bas170:mRFP,
here expressed by *M. oryzae* strain KV224, shows rapid accumulation in vesicles under appressoria
and in plant nuclei. At 19 hpi, Bas170:mRFP labels vesicles under appressoria and naturally
accumulates in nuclei even before a primary hypha is visible. Arrows indicate vesicles in the rice
cytoplasm. Left image shows merged bright field and mRFP fluorescence and right image shows
mRFP fluorescence alone. **E.** Bas170:mRFP also localizes to BICs, shown here at 26 hpi in side-
BICs resulting from adjacent appressoria penetrating the same rice cell. Experimental details same
as in D. **F.** Quantification of the relative localizations of Bas170:mRFP effector vesicles, assessed
using data from strain KV224 (D and E) at 98 infection sites for each BIC developmental stage
and cytoplasm under appressoria (app.) during fungal penetration. Bar charts with data points from
biological replications same as described in C. All images are projections of confocal optical
sections. Images in A and B (merged bright field, eGFP and mRFP fluorescence) shown as
projections of confocal optical sections. Bars = 10 μm.
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Figure 2. Dynamics of BICs and effector vesicles during differentiation of primary hyphae to first bulbous invasive hyphae. A. Dynamics of two cytoplasmic effectors, Pwl2:mRFP and Bas1:eYFP (Strain KV211), as a tip-BIC (top panel) becomes a side-BIC (bottom panel), imaged every 30 min from 19 to 21 hpi. Images (left to right) are merged bright field, eYFP and mRFP fluorescence at 5 time points; merged eYFP and mRFP at same time points in both the same and enlarged views of the fluorescent BICs shown as projections of confocal optical sections. Bars = 10 μm. **B.** Cytoplasmic effectors Pwl2:mRFP and Bas1:eYFP (Strain KV211) co-localize in larger vesicles in a side-BIC at 24 hpi. **C.** A rare extremely large effector vesicle (diameter 3.9 μm) in a late stage side-BIC formed by strain KV170 expressing Bas1:mRFP at 30 hpi. **D,E.** Box and whisker plots with individual data points comparing vesicle sizes (D) and number of vesicles (E) in tip- and side-BICs formed by strain KV211 invading rice cells; data points of different colors represent different biological replicates. **F.** Bar chart showing quantification of Bas1:eYFP and Pwl2:mRFP colocalization (KV211) in vesicles in tip- and side-BICs. Bar charts are based on mean values of data points from three biological replicates; error bars indicate standard deviation. **P=0.0015; ****P<0.0001. 100 BICs observed per replicate in D-F.

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Figure 3. Effector vesicles are derived from plant plasma membrane. A. Rice expressing
plasma membrane marker LTi6b:eGFP in non-infected cells (left column) and in cells infected by
strain KV209 expressing Pwl2:mRFP (right column). Colocalization confirms BICs as plant
plasma membrane-rich structures. **B.** Quantification of the colocalization of LTi6b:eGFP with
BICs at both developmental stages. Bar charts are based on means of three biological reps (data
points shown); error bars indicate standard deviation; three times 90 infection sites observed. **C.**
Localization assays of LTi6b:eGFP relative to Pwl2:mRFP in an infected rice cell at 22 hpi
showing LTi6b:eGFP outlining vesicles in the BIC. Insets show BIC vesicles at higher
magnification. **D.** Quantification of BICs stained by the endocytosis tracer dyes FM 4-64 and FM
1-43 at the tips of primary hyphae and beside the first bulbous IH. Bar charts are based on means
of three biological reps (data points shown); error bars indicate standard deviation; three times 90

infection sites observed per treatment. **E.** Colocalization of BIC effector vesicles and FM4-64-
stained membranes in BICs. The main image shows strain KV176 expressing Pwl2:eGFP in a side-
BIC in FM 4-64 stained rice at 19 hpi. The image shows merged eGFP and FM 4-64 fluorescence
with eGFP fluorescence shown alone in the inset in the lower left. The upper right inset shows a
top-down view of a different BIC, shown below the image plane, at 22 hpi. Inset arrows indicate
Pwl2:eGFP vesicles near the BIC. Images are projections of confocal optical sections. See
Supplemental Movie S2 for yet another side-BIC with additional examples of colocalization of
FM 4-64 fluorescence and Pwl2:eGFP. All Bars = 10 μm.

Figure 4. Bas83 is a novel effector associated membrane vesicles surrounding BICs and BIC-associated cells. A. Bas83:mRFP binds apparent membrane vesicles surrounding a side-BIC (white arrow) labeled with Pwl2:eGFP (strain KV222). **B.** Bas83:mRFP (KV220) binds to bubble-like structures near a primary hypha (arrow) as well as labeling a BIC and outlining BIC-associated cells. Images (merged bright field, eGFP and mRFP fluorescence) are projections of confocal optical sections. See additional images in Supplemental Figure S3. Bars = 10 μm. **C.** Quantification of the cellular localization of Bas83:mRFP during biotrophic invasion of *M. oryzae* (KV220). Bar charts are based on means of three biological reps (data points shown); error bars indicate standard deviation. ****P<0.0001; 100 infection sites observed per experiment.

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Figure 5. BICs contain plant actin. A. Rice LifeAct:eGFP localization during biotrophic invasion
by *M. oryzae*. A rice line expressing LifeAct:eGFP in non-infected cells (left column) and cells
infected by *M. oryzae* strain KV209 expressing Pwl2:mRFP in BICs (right column).
Colocalization shows BICs are rich in plant actin. **B.** Quantification of the colocalization of
LifeAct:eGFP with both tip- and side-BICs. Bar charts are based on means of three biological reps
(data points shown); error bars indicate standard deviation; three times 100 infection sites
observed. **C.** Rhodamine phalloidin conjugate (red fluorescence staining actin filaments)
colocalizes with Pwl2:eGFP in rice cells infected by strain KV176, confirming the actin-rich
structure of the BIC.
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Figure 6. BICs uniformly contain clathrin, but not in flotillin1. A. Rice leaf sheaths expressing OsCLC1:eGFP (CME marker) were inoculated with strain KV209 expressing Pwl2:mRFP. Shown from left to right are a non-infected rice sheath cell; an infected cell containing a primary hypha (PH) with a tip-BIC at 19 hpi; and an infected cell containing a bulbous IH (BH) with a side-BIC at 22 hpi. Arrowheads indicate endocytic foci and arrows indicate BICs. Bars=10μm. **B.** Rice leaf sheaths expressing OsFlot1:eGFP (CIE marker) were inoculated with KV209 expressing Pwl2:mRFP, as in panel A. Shown from left to right are a non-infected rice sheath cell; an infected cell containing a primary hypha with a tip-BIC at 19 hpi; and an infected cell containing a bulbous IH with a side-BIC at 22 hpi. Arrowheads indicate endocytic foci and arrows indicate BICs. Bars=10μm. **C.** Quantification of the colocalization of OsCLC1:eGFP or OsFlot1:eGFP in both BIC developmental stages. Bar charts are based on means of three biological reps (data points shown); error bars indicate standard deviation; three times 97 BICs observed each treatment. ****$P<0.0001$; **$P=0.002$. CME, clathrin-mediated endocytosis; CIE, clathrin-independent endocytosis.

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Figure 7. Silencing of rice endocytic machinery suggests roles in pathogenicity and fungal
effector translocation. A. Disease severity in rice leaves, cultivar IR64, undergoing silencing of
rice endocytic genes. Whereas silencing of *Flot1* gene showed minor effects on pathogenesis, the
silencing of the key clathrin-mediated endocytosis genes, *AP-2α* and CHC1 resulted in a
significant reduction in pathogenicity in whole plant spray inoculation assays. **B.** Quantification
of diseased area in 5cm leaf segments in rice expressing RNAi*AP-2α*, RNAi*CHC1*, RNAi*Flot1* or
empty vector infected by *M. oryzae* strain Guy11. Box and whisker plots with individual data
points are shown; data points of different colors represent different biological replicates.
(P<0.0001 for all treatments; n = 9 rice plants per replication). **C.** Effects of silencing rice
endocytosis genes on the localization of Pwl2:mRFP and Bas4:eGFP during biotrophic invasion.

Wild type IR64 rice and rice expressing RNAi*Flot1* or RNAi*AP-2α* were infected by KV217 expressing Pwl2:mRFP and Bas4:eGFP at 26 hpi. Note the accumulation of Pwl2:mRFP under the appressorium (Ap) and the pale Bas4:eGFP fluorescence associated with the short primary hypha (PH) in the RNAi*AP2α* rice compared to the control and RNAi*Flot1* rice. Images in C (merged bright field, eGFP and mRFP fluorescence) are shown as projections of confocal optical sections. Bars = 10 µm. **D.-F.** Quantification of appressorial penetration (D), effector accumulation under appressoria (E), and swollen irregular BICs (F) in rice expressing RNAi*Flot1*, RNAi*AP-2α*, RNAi*CHC1* or the empty vector during infection by strain KV209 expressing Pwl2:mRFP (~28 hpi). Bar charts are based on means of three biological reps (data points shown); error bars indicate standard deviation. (D: **P=0.0031, ***P=0.0002, ****P<0.0001; E: **P=0.0075, ***P=0.0002; F: **P=0.0018, ****<0.0001; three times 100 infection sites counted per treatment).

1051   Figure 8

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Figure 8. Treatment with CME inhibitor cantharidin impacts the localization of cytoplasmic
effector Pwl2, but not apoplastic effector Bas4. A. Apoplastic effector Bas4:eGFP identifies an
inner base layer of the BIC relative to cytoplasmic Pwl2:mRFP, as shown by fluorescence intensity
linescans for GFP (green) and mRFP (red) along the path of the white arrow. This side-BIC was
formed during invasion of rice by strain KV217 expressing Pwl2:mRFP and Bas4:eGFP at 26 hpi.
B. After treatment with CME inhibitor cantharidin, localization of Bas4:eGFP in the BIC base
appears unaffected, compared to the non-vesicular swollen localization of Pwl2:mRFP, as shown
by fluorescence intensity linescans for GFP (green) and mRFP (red) along the path of the white
arrow. Note linescan distance for Pwl2:mRFP is almost 3-fold greater after cantharidin treatment.
This BIC was formed by KV217 at 26 hpi, as in control panel A. Images (merged bright field,
eGFP and mRFP fluorescence) are shown as projections of confocal optical sections.
Appressorium (Ap); Primary hypha (PH). Bars = 10 μm.
1065

Figure 9

Figure 9. Chemical inhibition of rice CME, but not of CIE, has a major impact on cytoplasmic effector localization and appressorial penetration. A. Effects of chemical inhibition of Clathrin-mediated endocytosis (CME) and Clathrin-independent endocytosis (CIE) in the rice leaf sheath assay with strain KV209 expressing Pwl2:mRFP at 18, 21 and 28 hpi. Whereas inhibition of CIE showed significant, but relatively minor effects on BIC functionality and effector uptake, the chemical inhibition of CME resulted in a significant accumulation of effector fluorescence under appressoria (Ap, white arrows at 18 hpi) as well as swollen BICs on primary hyphae (PH, 21 hpi) and bulbous IH (28hpi). Images in A (merged bright field and mRFP fluorescence) are projections of confocal optical sections. Insets show close-up views of the

effector fluorescence. Bars = 10 μm and bars in the insert boxes = 5 μm. **B-E.** Quantification of swollen, irregular-shaped BICs (B), regular-shaped BICs (C), appressorial penetration (D), and effector accumulation under appressoria (E), in rice undergoing chemical treatment and infection by strain KV209 expressing Pwl2:mRFP at 28 hpi. Bar charts are based on means of three biological reps (data points shown); error bars indicate standard deviation. Three times 100 infection sites observed per treatment. B: *P=0.0202(MβC), **P=0.0065(Filipin), **P=0.0079 (Con.A), **P=0.005(Wort.), ****P<0.0001; C: *P=0.02(MβC), **P=0.0065(Filipin), **P=0.0075(Con.A), **P=0.005(Wort.), ****P<0.0001; D: P=0.0068(MβC), **P=0.0004(Filipin), **P=0.0032 (Con.A), ****P<0.0001; E: *P=0.0222(MβC), **P=0.0013(Filipin), ***P=0.0003(Con.A), **P=0.0004(Wort.), **P=0.0011(Tricl.), ***P=0.0002(Chlorpr.), ****P<0.0001(Canth.).

1088   Figure 10

Figure 10. Model for *M. oryzae* effector secretion and translocation into rice cells. A. This cartoon represents the specialized Biotrophic Interfacial Complex at the growing tip of primary hyphae (tip-BIC) at 22–24 h.p.i. (hours post-inoculation), but similar mechanisms apply to side-BICs left behind after differentiation of the first bulbous invasive hyphae (IH). At successful infection sites, apoplastic effectors (dark green circles), including Biotrophy-associated secreted protein-4 (Bas4), are secreted from the PH by conventional golgi-dependent secretion (black arrows), which is blocked by treatment with Brefeldin A. Apoplastic effectors accumulate in the separate compartment enclosed by the EIHM (inside the dark green dashed line around the PH). In contrast, fluorescently-labeled cytoplasmic effectors (red circles), including Pwl2, Pwl1 and

Bas1, are secreted into BICs by a nonconventional, Brefeldin A-insensitive secretion system involving the exocyst and SNARE protein Sso1 (red arrows). Cytoplasmic effectors also accumulate inside the EIHM compartment around the BIC-associated cells, the PH and first differentiated bulbous IH cell (not shown). In contrast, cytoplasmic effectors do not accumulate in the EIHM surrounding nonBIC-associated hyphal cells, which are tightly surrounded by the host vacuole. Our current study expands this model by showing that cytoplasmic effectors are packaged in dynamic vesicles inside BICs and they can sometimes be observed in the host cytoplasm surrounding BICs, and that these effector vesicles co-localize with fluorescent plant plasma membrane markers LTi6B:GFP and FM 4-64, as well as clathrin-mediated endocytosis (CME) marker CLC1:eGFP. These co-localization studies, additional localization with plant actin in BICs, and functional analyses via VIGS and pharmacological inhibitors support CME as a major mechanism for effector translocation. Further research should focus on two novel cytoplasmic effectors we describe. Bas83 labels empty membrane vesicles, possibly recruiting more host membrane to the BIC and the EIHM surrounding BIC-associated cells. Bas170 localizes to effector vesicles directly under the appressorium and accumulates in the host nucleus between BICs and the host cell periphery would facilitate movement of translocated effectors to the host nucleus and other sites of action in the host cell, as well as movement of cytoplasmic effectors through plasmodesmata into surrounding host cells to prepare them for invasion. Key: N, fungal nucleus; ER, endoplasmic reticulum; G, Golgi apparatus; V, transport vesicle. **B.** Working models for vesicle formation and effector translocation in the rice-*M. oryzae* interaction through CME or clathrin-independent endocytosis (CIE). Although our current work supports a role for CME, some involvement of CIE in effector translocation cannot be ruled out as playing a minor role. AP2, Adaptor Protein-2 complex; EIHM, extrainvasive hyphal membrane; EIHMx, extrainvasive hyphal matrix; FPM, fungal plasma membrane; FCW, fungal cell wall.