

Nm-Nano: Predicting 2'-O-Methylation (Nm) Sites in Nanopore RNA Sequencing Data

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Abstract

Nm (2'-O-methylation) is one of the most abundant modifications of mRNAs and non-coding RNAs occurring when a methyl group (-CH₃) is added to the 2' hydroxyl (-OH) of the ribose moiety. This modification can appear on any nucleotide (base) regardless of the type of nitrogenous base, because each ribose sugar has a hydroxyl group and so 2'-O-methyl ribose can occur on any base. Nm modification has a great contribution in many biological processes such as the normal functioning of tRNA, the protection of mRNA against degradation by DXO, and the biogenesis and specificity of rRNA. Recently, the single-molecule sequencing techniques for long reads of RNA sequences data offered by Oxford Nanopore technologies have enabled the direct detection of RNA modifications on the molecule that is being sequenced, but to our knowledge there was only one research attempt that applied this technology to predict the stoichiometry of Nm-modified sites in RNA sequence of yeast cells. To this end, in this paper, we extend this research direction by proposing a bio-computational framework, Nm-Nano for predicting Nm sites in Nanopore direct RNA sequencing reads of human cell lines. Nm-Nano framework integrates two supervised machine learning (ML) models for predicting Nm sites in Nanopore sequencing data, namely XGBoost and Random Forest (RF). Each model is trained with set of features that are extracted from the raw signal generated by the Oxford Nanopore MinION device, as well as the corresponding basecalled k-mer resulting from inferring the underlying RNA sequence from the generated Nanopore signals by base-calling. The results on two benchmark data sets generated from RNA Nanopore sequencing data of Hela and Hek293 cell lines show a great performance of Nm-Nano. In independent validation testing, Nm-Nano has been able to identify Nm sites with a high accuracy of 93% and 88% using XGBoost and RF models respectively by training each model with Hela benchmark dataset and testing it for identifying Nm sites on Hek293 benchmark dataset. Thus, Nm-Nano outperforms the Nm sites predictors existing in the literature (not relying on Nanopore technology) that were only limited to predict Nm sites on short reads of RNA sequences and unable to predict Nm sites on long RNA sequence reads. Deploying Nm-Nano to predict Nm sites in Hela cell line, revealed that a total of 196 genes were identified as the top frequently Nm-modified genes among all other genes that have been modified by Nm sites in this cell line. The functional and gene set enrichment analysis on these identified shows a significant enrichment of a wide range of functional processes in Hela cell line like high confidences (adjusted p-val < 0.05) enriched ontologies that were more representative of Nm modification role in immune response and cellular homeostasis. Similarly, deploying Nm-Nano to predict Nm sites in Hek293 cell line revealed that a total of 176 genes were identified as the top frequently Nm-modified genes in this cell line. The functional and gene set enrichment analysis on these identified shows a significant enrichment of a wide range of functional processes in Hek293 cell line like "MHC class 1 protein complex", "mitotic spindle assembly", "response to glucocorticoid", and "nucleocytoplasmic transport". The source code of Nm-Nano can be freely accessed at <https://github.com/Janga-Lab/Nm-Nano>.

1. Introduction

2'-O-methylation (or Nm, where N denotes any nucleotide) is a co- or post-transcriptional modification of RNA, occurring when a methyl group ($-CH_3$) is added to the 2' hydroxyl ($-OH$) of the ribose moiety. This modification can appear on any nucleotide regardless of the type of nitrogenous base (Base). Nm is an abundant modification that occurs frequently in mRNAs and at multiple locations in non-coding RNAs such as transfer RNA (tRNA), ribosomal RNA (rRNA), and small nuclear RNA (snRNA) [1-3]. This is due to the role that internal 2'- O-methylation of mRNA plays as a new mechanism of genetic regulatory control, with the ability to influence mRNA abundance and protein levels both in vitro and in vivo [4].

Nm modification has a great contribution in many biological processes such as the normal functioning of tRNA [5], protecting mRNA from degradation by DXO [6], and the biogenesis and specificity of rRNA [7,8]. It has been also found that Nm modification has been associated with many human diseases (e.g., cancer and autoimmune diseases) and has potential indirect links to some other biological defects [9].

Detecting Nm modifications in RNAs has been a great challenge for many years and various experimental methods for identifying such modification have been presented in the literature [9]. However, each of these methods has exhibited significant limitations. For example, RiboMethseq was introduced as a sequencing-based method for mapping and quantitation of Nm modification based on simple chemical principle, namely the several orders of magnitude difference in nucleophilicity of a 2'-OH and a 2'-O-Me. It uses the proprietary ligation protocol for direct ligation to 5'-OH and 3'-P ends with alkaline fragmentation to prepare RNA for sequencing. The read-ends of library fragments are used for mapping with nucleotide resolution and calculation of the fraction of molecules methylated at the Nm sites. However, the relative inefficiency of the ligation protocol imposes substantial amounts of input RNA ($>1 \mu\text{g}$) which requires increasing the sequencing depth. Thus, to address this limitation, two other chemical methods: Nm-seq and RibOxi-seq were presented for detecting Nm modifications in RNAs [12,13]. Using these methods, Nm sites could be mapped after ligation of linkers to the Nm-modified nucleotide at the 3'-end. However these methods were only able to identify significantly fewer Nm modification sites relative to those reported by LC-MS/MS methods, a biochemical method to detect and quantify the relative abundance of RNA modification [14,15]. Despite LC-MS/MS providing industry standard results, it is time and labor consuming, as well as requiring large amounts of input RNA and is limited for low-abundance nucleotides [16].

On the other hand, there have been relatively few computational biology methods proposed to overcome experimental limitations [17-19]. Those computational methods mainly relied on developing machine/deep learning classification algorithms to identify Nm sites in RNA sequences based only on short read data and were not applied to long reads with a capacity to sequence on average over 10 kb in one single read, and thereby requiring less reads to cover the same gene. Additionally, the accuracy of predicting Nm sites in some of these methods has not been explicitly presented [18]. For instance, a support vector machine-based method was presented in [17] to identify Nm sites in RNA short reads sequences of the human genome by encoding RNA sequences using nucleotide chemical properties and nucleotide compositions. This model was validated by identifying Nm sites in *Mus musculus* and *Saccharomyces cerevisiae* genomes.

Another research work presented in [18] proposed a deep learning-based method for identifying Nm sites in short reads RNA sequences. In this approach, dna2vec- a biological sequence embedding method originally inspired by the word2vec model of text analysis was adopted to yield embedded representations of RNA sequences that may or may not contain Nm sites. Those embedded representations were fed as features to a Convolutional Neural Network (CNN) for classification of RNA sequences into modified or not modified with Nm sites. The method was trained using the data collected from Nm-seq experimental method. Another prediction model based on Random Forest for identifying Nm sites in short read RNA sequences was presented in [19]. This model was trained with features extracted by multi-encoding scheme combination that combines the one-hot encoding, together with position-specific dinucleotide sequence profile and K-nucleotide frequency encoding.

Recently, the third-generation sequencing technologies such as the platforms provided by Oxford Nanopore Technologies (ONT) has been proposed as a new mean to detect RNA modifications on long RNA sequence data [20]. However, to our knowledge, this technology has been only applied in one study to predict the stoichiometry of Nm-modified sites in yeast mitochondrial rRNA using a 2-class (Nm-modified or unmodified) KNN classification algorithm [21], and it has not been yet used to detect Nm sites in human cell lines. To this end, our work aims to extend this direction by combining machine learning and Nanopore Technology to identify Nm sites in long RNA sequence reads of human cell lines. We have developed a framework called Nm-Nano that integrates two different supervised ML models (predictors) to identify Nm sites in Nanopore direct RNA sequencing reads of Hela and Hek293 cell lines, namely the Extreme Gradient Boosting (XGBoost) and Random forest (RF) models (Figure 1.a).

XGBoost is trained with a set of features extracted from the raw signal provided by direct RNA nanopore sequencing and the corresponding basecalled k-mers resulting from inferring the underlying RNA sequence by basecalling. The features extracted from the Nanopore signals include: the mean and standard deviation of the signal, the mean and standard deviation of the simulated signal generated by eventalign module of Nanoplish (a free software for Nanopore signal extraction and analysis [22-24]), and the difference between the mean of the signal and the mean of the simulated one. The features extracted from the basecalled k-mers include a feature that is obtained by checking the matching between the reference k-mer and the model k-mer. The former refers to the basecalled k-mer resulting from aligning events/signals to a reference genome using eventalign Nanoplish module. The later is a simulated basecalled k-mer that is generated by eventalign module of Nanoplish software. In addition, the genomic location/ position of the Nm modification (specified by the physical location of Nm-modified gene on chromosome) is used among the extracted features used to train XGBoost model. The genomic location is also obtained as an output when aligning nanopore events/signals to a reference genome using Nanoplish eventalign module.

Similarly RF is trained with the same set of features used to train the XGBoost, in addition to the embedding features that are generated by applying the word2vec [25] technique to each of reference k-mers in the extracted Nanopore signals. Using this technique, each reference k-mer resulting from basecalling the corresponding signal is represented by a 1-dimensional vector. All 1-dimensional vectors corresponding to reference k-mers are combined with the aforementioned

extracted features from Nanopore signals and used to train the RF model, which in turn will be able to predict whether the signal is modified by the presence of Nm sites in the testing phase.

The developed predictors integrated in Nm-Nano framework for identifying Nm sites have been trained and tested upon a set of ‘modified’ sequences containing Nm sites at known positions and ‘unmodified’ ones.

By deploying Nm-Nano to predict Nm sites in Nanopore direct RNA sequencing reads of HeLa and Hek293 cell lines, we were able to perform various types of biological analysis (Figure 1.b) including: identifying unique Nm genomic locations/genes, identifying top modified RNA bases with Nm sites, functional and gene set enrichment analysis of identified genes in both cell lines.

2. Results and discussions

We have used two validation methods when evaluating the performance of Nm-Nano predictors: namely the random-test splitting and testing with an independent cell line. In the former, the benchmark dataset is randomly divided into two folds: one for training and another for testing. The test size parameter for this method was set to 0.2 which means 80% of the benchmark dataset is used for training the ML model and 20% of the dataset is kept for testing. In the latter, two benchmark datasets for two different cell lines were used, one for training and another for testing. For evaluating the performance of Nm-Nano ML models, HeLa benchmark dataset was used for training the models, while Hek293 benchmark dataset was used for testing them.

2.1 Performance evaluation with random-test splitting

Table 1 shows the performance of XGBoost and RF with embedding ML models implemented in Nm-Nano that are available on its GitHub page when applied to the HeLa benchmark dataset. As the table shows, XGBoost model outperforms the RF with embedding in terms of accuracy, precision, recall and Area Under the Curve (AUC).

Classifier	Accuracy (%)	Precision	Recall	AUC
XGBoost	97.11	0.98	0.96	0.971
RF	91.72	0.92	0.91	0.915

Table 1: The performance of Nm predictors on HeLa benchmark dataset with random-test splitting.

The learning (Figure 2. panels A, and D), and loss (Figure 2. panels B, and E) curves of XGBoost, and RF with k-mer embedding show that the performance of XGBoost in terms of accuracy score

and misclassification error outperforms the performance of RF with embedding. Also, the receiver operating characteristic (ROC) curves of XGBoost and RF with k-mer embedding (Figure 2. panels C, and F) show that the percentage of true positive rate to the false positive rate in case of XGBoost model is more than the one for RF with k-mer embedding model.

Table 2 shows the performance of Nm-Nano ML models with random test-splitting on Hela benchmark dataset in terms of accuracy with each of the extracted and generated features with word2vec embedding technique introduced early in Section 1 and later in Subsection 5.4. Clearly the features generated by word2vec embedding technique achieve the best contribution to the performance of RF among all other features. Despite the success of these features in improving the performance of RF, they were not used to train the XGBoost model. This is because the high performance of this model was obtained by tuning its parameters with grid search algorithm [38] which takes too much time to obtain the best values for parameters. Therefore, generating more features with word2vec embedding techniques for training grid-search XGBoost model will add extra processing overhead due adding the time that is taken by word2vec technique for generating those features to the time that is taken by the grid search algorithm for hyper parameter tuning of XGBoost ML model. For the extracted features, the position feature contributes more to the classifiers' accuracy than other extracted features used for training either the XGBoost or RF with embedding ML models. It is followed by the model mean, then model standard deviation features. It was also observed that the k-mer match feature achieves the lowest contribution to the performances of XGBoost and RF with embedding ML models.

Classifier	position	event_level_mean	event_stdv	Model_mean	Model_stdv	Kmer_match	Mean_diff	embedding
XGBoost	94.71%	58.97%	54.66%	84.21%	66.3%	53.12%	53.57%	-
RF	76.63%	59.03%	54.82%	74.71%	66.03%	53.12%	53.55%	86.27%

Table 2: The performance of Nm's predictors on Hela benchmark dataset in terms of accuracy with random test-splitting using single type of feature.

2.2 Performance against independent cell line

Table 3 shows the performance of ML models against independent cell line (i.e., with independent test dataset, where Hela cell line benchmark dataset is used for training Nm-Nano's predictors and Hek293 cell line benchmark dataset is used for testing them) using the seven extracted features. As the results show, XGBoost model outperforms RF with k-mer embedding model. The learning (Figure 3. panels A, and D), and loss (Figure 3. panels B, and E) curves of XGBoost, and RF with k-mer embedding show that the performance of XGBoost in terms of accuracy score and misclassification error outperforms the performance of RF with k-mer embedding. The receiver operating characteristic (ROC) curves of XGBoost and RF with embedding (Figure 3. panels C, and E) show that the percentage of true positive rate to the false positive rate in case of XGBoost model is more than the one for RF with embedding model. The inverse cross validation results are

available in Supplementary File 1, while the supplementary Figure 1 shows the learning, loss and ROC curves of XGBoost (Panel A, B, and C) against the learning, loss and ROC curves of RF with embedding (Panel D, E, and F) in inverse cross validation.

Classifier	accuracy (%)	precision	recall	AUC
XGBoost	93.2%	0.96	0.9	0.932
RF	88.8%	0.92	0.85	0.89

Table 3: The performance of Nm-nano predictors against independent cell line.

Table 4 shows the performance of ML models against independent cell line in terms of accuracy with single type of feature among the seven extracted features in combination with features generated with word2vec embedding technique that will be described in detail in subsection 5.5.2. Clearly the features generated with word2vec embedding technique contributes more to the RF classifier accuracy than other features, but they were not considered for training the grid search XGBoost model. Again, this is due to the extra processing overhead resulting from combining the time taken for generating more features by word2vec technique and the time taken by grid search algorithm for obtaining the best parameters values of XGBoost as we early mentioned in subsection 2.1.1. As for the contribution of each of the seven extracted features, it was observed that the position feature achieves the best among all extracted features followed by model mean feature, then the model standard deviation feature. Also, it was observed that k-mer match has the lowest contribution to the performance of either XGBoost or RF with k-mer embedding models.

Classifier	position	event_level_mean	event_stdv	Model_mean	Model_stdv	Kmer_match	Mean_diff	embedding
XGboost	89.31	56.25	53.89	80.13	64.18	53.58	54.97	-
RF	72.07	56.78	54.43	71.93	64.18	53.58	55.04	83.54

Table 4: The performance of Nm-nano predictors against independent cell line in terms of accuracy using single type of feature.

2.3 Abundance of Nm sites

In order to identify the abundance of Nm sites in the RNA sequence of either HeLa or Hek293 cell lines, first we run the best machine learning model in Nm-Nano framework (i.e., XGBoost) on the complete RNA sequence reads of HeLa and Hek293 cell lines. Next, we identify all samples with

predicted Nm sites in those reads, then we identify the number of Nm unique genomic locations corresponding to those Nm predictions as well as their frequencies in both cell lines. We found that there are 27,068,157 Nanopore signal samples predicted as samples with Nm sites from a total of 920,643,074 Nanopore signal samples that represent the complete HeLa cell line with 4,064,938 unique genomic locations of Nm (Supplementary excel file 1_Nm_unique_genomic_locations_hela.xlsx). Similarly, we found that there are 10,541,009 Nanopore signal samples predicted as samples with Nm sites from a total of 275,056,669 samples that present the complete RNA sequence of Hek293 cell line with 2,952,972 unique genomic locations of Nm modification (Supplementary excel file 2_Nm_unique_genomic_locations_hek.xlsx). The reference kmers corresponding to modified Nanopore signals with Nm predictions in Hek293 and HeLa cell lines can be identified as strong kmers in comparison with the reference kmers corresponding to the unmodified/control Nanopore signals, which can be considered as weak contributors to the NM prediction. The frequency of reference k-mers and their correspondence to Nm predictions in both HeLa and Hek293 cell lines are available in Supplementary Tables 3_Nm_unique_reference_kmer_freq_hela.xlsx and 4_Nm_unique_reference_kmer_freq_hek.xls that provide an overview of their abundance. Also, Supplementary figures 2_top_10-modified_bases_hela and 3_top_10-modified_bases_hek provide the sequence logo for the top ten modified bases corresponding to Nm prediction in both cell lines.

We found that there are 1,191,677 modified genomic locations in common between HeLa and Hek293 cell lines (Figure 4.A). Also, we found that there is an overlapping of 76 genes between the top 1% frequent modified Nm genes of both complete HeLa and Hek293 cell lines (Figures 4.B). Clearly, we notice that the extent of Nm modification (the number of Nanopore signal samples predicted as samples with Nm sites to the total number of Nanopore signal samples in the complete RNA sequence of the cell line) in RNA sequences of HeLa cell line is slightly less than its counterpart for Hek293 cell line (2.94 % for HeLa versus 3.83% for Hek293). Therefore the distribution of Nm across normalized gene length for HeLa cell line is slightly less than its equivalent in Hek293 cell line (Figures 4.C).

Since Nm modifications can occur at any RNA base, we have also reported about the percentage of unique Nm locations occurring per each RNA base in the two complete cell lines of HeLa and Hek293 (Table 5.)

Cell line	A base	C base	G base	U base
HeLa	27.86%	22.29%	22.41%	27.44%
Hek293	24.98%	24.98%	24.98%	25.07%

Table 5: The percentage of unique Nm locations occurring per each base of RNA sequence in HeLa and Hek293 cell lines.

2.4 Functional enrichment analysis

A total of 176 genes from Hek293 and 196 genes from Hela cell lines were identified as the top 1% frequent modified Nm genes with the highest abundance of Nm modification. The short-listed genes from both cell lines were plugged into Cytoscape ClueGo [26] application to obtain enriched ontologies and pathways at high confidence ($p < 0.05$). Enrichment observations from this analysis are visualized in Figure 5 A, and B for Hek293 and Hela cell lines respectively.

From the functional enrichment analysis of the gene set from Hek293 cell line (Figure 5A, and supplementary Figure 4_a), we observed a wide range of functional processes like “MHC class 1 protein complex”, “mitotic spindle assembly”, “response to glucocorticoid”, and “nucleocytoplasmic transport” being significantly enriched. Essentially highlighting the diverse regulatory role of Nm modifications, from their involvement in cell immune signaling to cellular processing.

In Hela cell line, we observed several high confidences (adjusted p-val < 0.05) enriched ontologies that were more representative of Nm modification role in immune response and cellular homeostasis (Figure 5B and supplementary Figure 4_b) like: “Regulation involved in apoptotic pathway”, “antigen processing and presenting”, and “ER to Golgi transport mechanism”.

To observe which cellular pathways were associated with the Nm modifications, we ranked the complete human gene lists from both Hela and Hek293 cell lines based on occurrence of Nm modification locations and performed gene set enrichment analysis (GSEA) [27]. Across both cell lines we observed that genes associated with immune pathways were enriched in these ranked lists, reinforcing the association between Nm Modification and immune response which was previously observed in literature [28, 29, 30]. Both cell lines had pathways like: “KEGG_NATURAL_KILLER_CELL_MEDIATED_CYTOTOXICITY”, “KEGG_ANTIGEN_PROCESSING_AND_PRESENTATION”, and “KEGG_AUTOIMMUNE_THYROID_DISEASE” with high enrichment scores ($NES > 1.5$) as seen in supplementary Figure 5_GSEA. Apart from immune associated pathways being enriched, we also observed some tissue specific pathways were enriched in case of Hela, like cardiovascular pathways such as “KEGG_HYPERTROPHIC_CARDIOMYOPATHY_HCM”, and “KEGG_CARDIAC_MUSCLE_CONTRACTION”. Those pathways were enriched with high normalized enrichment scores ($NES > 1.3$).

3. Implementation and usage of Nm-Nano

The main file of Nm-Nano framework is implemented in python 3.x. The file has to be run on Linux environment by running the following command from Nm-Nano main directory on the user’s local machine after cloning the code from Nm-Nano GitHub repository:

```
python main.py -r ref.fa -f reads.fastq
```

Where the following two inputs files are needed to run the main file:

- The absolute path to the reference Genome file (ref.fa)
- The absolute path to fastq reads file (reads.fastq)

Before executing this command, the user should include the folder that includes the fast5 files (fast5_files) from which reads.fastq file was generated in the same directory of main.py file¹. Once the user runs the Nm-Nano framework by executing the main python file, then the framework pipeline that accepts the two inputs mentioned above will start execution. Next, the user will be asked to enter the name of the bed file that has the Nm-modified genomic locations with the absolute path and extension that is needed to generate the coordinate file that is needed for labeling the Nanopore signals samples as Nm modified and unmodified ones. Next, the framework will extract the raw Nanopore signals from the fast5 file(s) as well as extracting some of its corresponding features that are used later to train XGBoost and Rf with embedding ML models integrated in Nm-Nano framework for predicting Nm sites in direct Nanopore RNA sequence (Figure 1). It should be also mentioned that Nm-nano framework can also be extended by integrating other machine learning and deep learning models for predicting Nm sites.

4. Discussion and Conclusions

In this paper, we have proposed a new framework called Nm-Nano that integrates two machine learning models: the XGBoost and RF with k-mer embedding. It has been shown that the proposed framework was efficient in detecting Nm sites in RNA long reads which addresses the limitations of most existing Nm predictors presented in the literature that were able only to detect Nm sites in short reads of RNA sequences. It was also observed that deploying Nm-Nano on direct RNA sequencing data of Hela and Hek293 cell lines leads to identifying top frequently modified Nm genes that are associated with a wide range of biological processes in both cell lines. In Hela, we observed several high confidences (adjusted p-val < 0.05) enriched ontologies that were more representative of Nm modification role in immune response and cellular homeostasis, while in Hek293 we observed a wide range of functional processes that highlight the diverse regulatory role of Nm modification, from its involvement in cell immune signaling to cellular processing. For this reasons, Nm-Nano would be a useful tool for accurate identification of Nm sites in RNA read sequence.

5. Materials and Methods

5.1 Basic approach pipeline

The complete pipeline of Nm-Nano framework for identifying Nm modifications in RNA sequence consists of several stages. The first stage of the pipeline starts by culturing the cell line by extracting it from an animal and let it grows in an artificial environment. Next, the RNA is extracted from this cell during library preparation and put through the MinION device and start generating Nanopore signal data. After that, the fast5 file that stores the raw electrical signal levels

¹ The fast5 files are produced by ONT device and used to store the output of Nanopore sequencers in the form of raw electrical signal levels.

that are output by the Nanopore sequencers is produced by ONT and basecalled via Guppy basecaller [31] to determine which base is being passed through the ONT and then aligned to a reference genome to produce the SAM file using minimap2 tool [32]. From the SAM file, a BAM and sorted BAM file are generated using samtools[33], where the BAM file is a compressed version of the SAM file. Next, a coordinate file is created using the produced SAM file and a provided BED file that includes the Nm-modified locations on the whole genome that have been experimentally verified in literature [34]. This coordinate file is needed for labeling the Nanopore signal samples produced by eventalign module as modified or unmodified when training any of Nm-Nano predictors. Next, eventalign module of the Nanopolish software that performs signal extraction is launched, which produces a dataset of Nanopore signal samples. The structure of Nm-Nano's pipeline is similar to the pipelines used by other RNA modification prediction tools [35]. However, Nm-Nano's pipeline is different in three phases (Figure 1.a): the benchmark dataset generation, the feature extraction, and ML models construction phases. The benchmark dataset generation phase in Nm-Nano's pipeline is different because Nm modifications can occur at any RNA base, and so all the samples that are generated from signal extraction process are used to identify the Nm sites using the information in the coordinate file, where some of those samples will be labeled as modified with Nm sites, while the remaining are control samples that will be labeled as unmodified. Similarly the feature extraction phase in Nm-Nano's pipeline is different because it uses different features (e.g., position, model_mean, model_stdv, kmer_match, mean_diff, and word2vec embedding features of k-mers) extracted from the modified and unmodified signal samples to train the constructed ML models for predicting Nm sites. Finally, the ML models construction phase in Nm-Nano's pipeline is different because it deploys different machine learning models (the XGBoost and Random Forest with k-mer embedding) for predicting Nm sites in long RNA sequence reads. In the next subsection we will highlight those differences by introducing more details about the benchmark dataset generation, feature extraction and ML model constructions.

5.2 Benchmark datasets generation

Two different benchmark datasets were generated for HeLa and Hek293 cell lines (Supplementary Tables 5_training_hela.xlsx and 6_training_hek.xlsx). Both datasets were generated by considering all the samples output by Nanopolish eventalign module that was run on the basecalled RNA sequence reads of each cell line. In order to label each sample, all the samples generated from signal extraction were used as the target samples for identifying Nm modification since Nm modifications can occur at any RNA base. Next, the intersection between their position column on the reference genome and the position in the coordinate file (generated from Nm BED file and SAM file for each cell line) is determined. This intersection will represent the positive samples, while the remaining samples will be the negative samples. In the end we have 54,762 samples: 27,354 are positive and 27,308 are negative ones (after sampling the negative samples which are very huge in comparison with negative ones) for Hek293. Similarly, we get 186,720 samples: 93,356 are positive samples and 93,364 are negative samples for HeLa cell line. We observed that there is a total of 589 and 1024 different reference kmer combinations captured in the modified and unmodified signals datasets respectively in HeLa training data (Supplementary Tables 7_training_modified_rk_freq_hela.xlsx and 8_training_unmodified_rk_freq_hela.xlsx) and a total of 526 and 1024 different reference kmer combinations captured in the modified and unmodified signal datasets respectively in HeK293 training data (Supplementary Tables

9_training_modified_rk_freq_hek.xlsx and 10_training_modified_rk_freq_hek.xlsx). Supplementary Figures 6_top_10-modified_bases_training_hela and 7_top_10-modified_bases_training_hek provide the sequence logo for the top ten modified bases corresponding to Nm prediction in the benchmark training datasets of HeLa and Hek293 cell lines respectively.

5.3 Feature extraction

Each generated benchmark dataset has seven columns that represent seven features that were used for training the machine learning models that we developed and integrated in Nm-Nano framework. Those features are: position, event_level_mean, event_stdv, model_mean, model_stdv, mean_diff, and k-mer_match. The first five features were directly extracted by picking their columns from the eventalign's output (Supplementary File 2.txt) (namely: position, event_level_mean, event_stdv, model_mean, and model_stdv columns). The sixth feature is generated by calculating the difference between the mean of the signal (event_level_mean) and the mean of the simulated signal by eventalign module (model_mean). The seventh feature is generated by checking if the reference_k-mer and model_k-mer columns in the eventalign's output match each other, where the former refers the basecalled k-mers resulting from inferring the RNA sequence reads from extracted Nanopore signals by eventalign in the basecalling phase, while the latter refers to basecalled k-mers resulting from inferring RNA sequence reads from simulated signals by eventalign. The value of reference & model k-mer match is 1 if reference and model k-mers match each other and 0 otherwise.

5.4 Features generation with word embedding

In addition to the extracted features, embedding features have been generated using the word2vec technique that are combined with other extracted features that have been previously mentioned for training the RF classifier model that has been developed for predicting Nm sites in long RNA sequence reads. Those embedding features are obtained by applying the word2vec technique to the reference k-mer, where each reference k-mer is represented by 1-dimensional vector of fixed size (the vector size is set optionally as a parameter when building word2vec embedding model). In summary, the combination of all extracted features and embedding features are used to train the RF model, which in turn will be able to predict whether the signal is modified by the presence of Nm sites in the testing phase.

5.5 ML Models construction

We have developed two machine learning models for predicting Nm sites in RNA sequence reads including the XGBoost [36] and RF [37] with k-mer embedding. The XGBoost model parameters were tuned using the Grid-search hyperparameter tuning algorithm [38]. For RF, the seed number parameter was set to 1234 and the number of trees parameter was set to 30 for obtaining the best performance of RF. The optimized distributed gradient boosting python library has been used for implementing the XGBoost model [39] and the scikit-learn toolkit [40], the free machine learning python library has been used for implementing the RF model.

5.5.1 XGBoost with grid search for hyper parameter tuning

The Extreme Gradient Boosted trees (XGBoost) is a special implementation of Gradient Boosting [41]. Gradient boosting is a machine learning technique that produces a prediction model based on an ensemble of weak prediction models, which are decision trees in the case of XGBoost. This model is highly flexible and versatile and can be applied for classification-based problems, which is the main goal of this study. The advantage that XGBoost has over other tree-based models is that it has a faster training time along with its regularized boosting, which helps to prevent overfitting: this is when the machine learning model learns and becomes too accustomed to the training data and is not able to generalize and accurately predict the testing data. XGBoost does not also require feature scaling due to being a tree-based model and so feature scaling did not affect the value of the split point and the structure of the tree model. XGBoost can also cross-validate each iteration (round) of its training process, which can lead to higher results than models that cannot do the latter process. The use of decision trees and gradient boosting also provided the advantages of both random forest and other gradient boosting models, causing XGBoost to typically have a prediction error many times lower than regular gradient boosting or random forest.

The XGBoost machine learning model was created after the data was preprocessed by removing null values and performing feature extraction. The model has several parameters that can be adjusted and tuned to get the best performance of XGBoost. Hyper-parameter tuning using the grid search algorithm has been used since it allows for the best and most accurate combination of parameters to be obtained. The parameters that were optimized for the XGBoost model were eta, gamma, max_depths, min_child_weights, and scale_pos_weight. The optimized values for these parameters obtained using grid search algorithm were 0.01, 0.1, 15, 3, and 1 respectively. The parameter eta, representing the learning rate of the XGBoost model. Gamma parameter represents how conservative the model is. The parameter max_depth represents how deep a decision tree can be built and min_child_weight represents the minimum value needed to activate the respective node in the decision tree. The scale_pos_weight parameter controls the balance of positive and negative weights; this parameter is associated with the min_child weight. After the values for these best parameters were obtained by fitting the grid search XGBoost model to the training data, they were applied to the model to obtain its prediction results in the testing phase.

5.5.2 RF with k-mer embedding

We have developed a Random Forest (RF) ML model that has been trained with the extracted features and the features generated by applying Word2vec embedding technique to the reference k-mer, one feature column in the benchmark Nm modification dataset of HeLa and Hek293 cell lines. RF algorithm has been extensively used in the literature to address several problems in bioinformatics research [42]. It has been observed that the performance of RF model is improved when it is trained by combination of the extracted features and the generated k-mer embedding features and outperforms its performance when it is trained with the extracted features only as we mentioned early in subsections 2.1.1 and 2.2.1.

The idea of applying Word2vec to reference k-mer has been inspired by the work in [43] in which word2vec has been applied to DNA k-mers to generate embedding features represented by vectors

of real numbers as representations of those k-mers. This approach was introduced as an alternative approach to vector encoding of k-mer using one-hot technique that is subject to the curse of dimensionality problem caused by adding too many features using this technique to the dataset.

The RF machine learning model was created after the data was preprocessed by removing null values then performing feature extraction and combining them with generated k-mer embedding features. The k-mer embedding features were generated using `genism` [44], a free python library that implements `word2vec` algorithm using highly optimized C routines, data streaming, and pythonic interfaces. The `word2vec` algorithm has various parameters including: the vector size, the window size, and the word count. The vector size is the dimensionality of the vector that represents each k-mer. The window size refers to the maximum distance between a target word/k-mer and words/k-mers around the target word/k-mers. The word count refers to the minimum count of words to consider when training the model, where words with occurrence less than this count will be ignored. The k-mer embedding features that lead to best performance of RF have been generated by setting the vector size to 20, the minimum word count to 1, and the window size to 3.

5.6 Performance evaluation metrics

The accuracy (Acc), precision (P), recall (R), and the area under ROC curve (AUC) [45] have been used as metrics for evaluating performance of Nm-Nano predictors. The mathematical notions for the first three metrics are identified as follows:

$$Acc = \frac{TP+TN}{TP+FP+FN+TN} \quad (1)$$

$$P = \frac{TP}{TP+FP} \quad (2)$$

$$R = \frac{TP}{TP+FN} \quad (3)$$

Where:

- TP denotes true positive and refers to the number of correctly classified Nm sites.
- FP denotes false positive and refers to the number of non-Nm sites misclassified as Nm sites.
- FN denotes false negative and refers to the number of Nm sites misclassified as non-Nm sites.
- TN denotes true negative and refers to the number of correctly classified non-Nm sites.

As for AUC metric, it measures the entire two-dimensional area under the ROC curve [46] which measures how accurately the model can distinguish between two things (e.g. determine if a base of RNA sequence is Nm site or not).

5.7 Environmental settings

Nm-Nano has been developed as tool for detecting Nm modification in Nanopore RNA sequence data by integrating two machine learning models: the XGBoost and RF with k-mer embedding to identify this type of RNA modification. XGBoost parameters were tuned to get the best

performance using the Grid search algorithm which takes around 6 hours and 52 minutes to fit on the training dataset of Hek293 and 9 hours and 12 minutes to fit on the training dataset of Hela cell line for obtaining the best parameters that were applied to XGBoost model in the testing phase. The experiment was executed on windows machine with (8 cores) processor of Ryzen 5900HS CPU, and 16 GB RAM. It should be observed that the time taken by grid search algorithm for obtaining the best parameters of XGBoost is added to the total time that is needed to apply XGBoost on the benchmark dataset of a given cell line for detecting Nm modification. However, using grid search algorithm for hyper parameter tuning of XGBoost causes a significant improvement in the performance of XGBoost. Similarly, when developing RF with k-mer embedding ML model to identify Nm modification in Nanopore sequence data of a specific cell line, the word2vec embedding algorithm should be applied first to reference k-mers in the generated benchmark dataset before applying RF algorithm to that dataset for generating embedding features that would be added to the extracted features to train RF Model. This will add extra time to the execution time of RF algorithm. However, applying embedding with word2vec for generating embedding features added to the extracted ones achieves a significant improvement in the performance of RF. Meanwhile, we thought about improving the performance of XGBoost by applying grid search algorithm for hyper parameter tuning in addition to applying k-mer embedding with word2vec for generating embedding features that would be added for the extracted features used for training XGBoost. However, we found that this will make XGBoost slow when applying it to the benchmark dataset of a given cell line with a slight improvement in its performance that would not be proportional to the huge increase in the processing time of XGBoost.

It should be also observed that XGBoost outperforms RF with embedding when applied on the whole benchmark dataset of Hek293 or Hela either in test-split or in the independent test. However, the performance of RF with k-mer embedding might outperform grid search XGBoost model on a small partition of the benchmark dataset instead of the whole one or when using other types of cell lines different from Hek293 and Hela.

Author Contributions

DH, AA, and SCJ conceived and designed the study. DH implemented the Nm-Nano Github software version. AA and DH implemented the Nm modifications machine learning predictors namely XGBoost and RF with embedding respectively. DH extracted the benchmark datasets. AA evaluated the performance of XGBoost Nm predictor with the random test split and against independent cell line. DH evaluated the performance of RF with embedding Nm predictor with the random test split and against independent cell line. DH and SVD performed results and functional enrichment analysis. QM performed the cell culturing, RNA library preparation and Nanopore RNA sequence for Hela and Hek293.

Conflict of interest

The authors report no financial or other conflict of interest relevant to the subject of this article.

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Figure Legends

Figure 1. (a) The three main phases of Nm-Nano framework for predicting Nm sites when testing with an independent cell line showing: the benchmark dataset generation, the feature extraction, and ML models construction and testing phases (b) Analysis performed based on Nm-Nano predictions.

Figure 2. The learning, loss and ROC curves of Nm-nano predictors validated on HeLa benchmark dataset with random split testing, where 80% of data is used for training and the remaining 20% is kept for testing. (a,b, and c) XGBoost model and (d, e, and f) RF with k-mer embedding model.

Figure 3. The learning, loss and ROC curves of Nm-nano predictors validated against independent cell line, where HeLa benchmark dataset is used for training and Hek293 is used for testing. (a,b, and c) XGBoost model and (d, e, and f) RF with k-mer embedding model.

Figure 4. (a) The overlap between Nm unique locations in complete Hek293 and HeLa cell lines (b) the overlapping between top frequent 1 % modified Nm genes in complete Hek293 and HeLa cell lines (c) The density plots that represents Nm modifications across normalized gene length for Hek293 and HeLa cell lines.

Figure 5. Functional enrichment analysis of most frequently Nm modified genes across a cell line in terms of functional grouping of the GO-terms based on GO hierarchy using Cytoscape ClueGO application, and a pie chart. (a) Hek293 cell line and (b) HeLa cell line (visualizing high confidence ($p\text{-val} < 0.05$) ontologies and pathways potentially associated with Nm RNA modification. The size of the nodes representative of the significance of association with respect to genes per GO-term).

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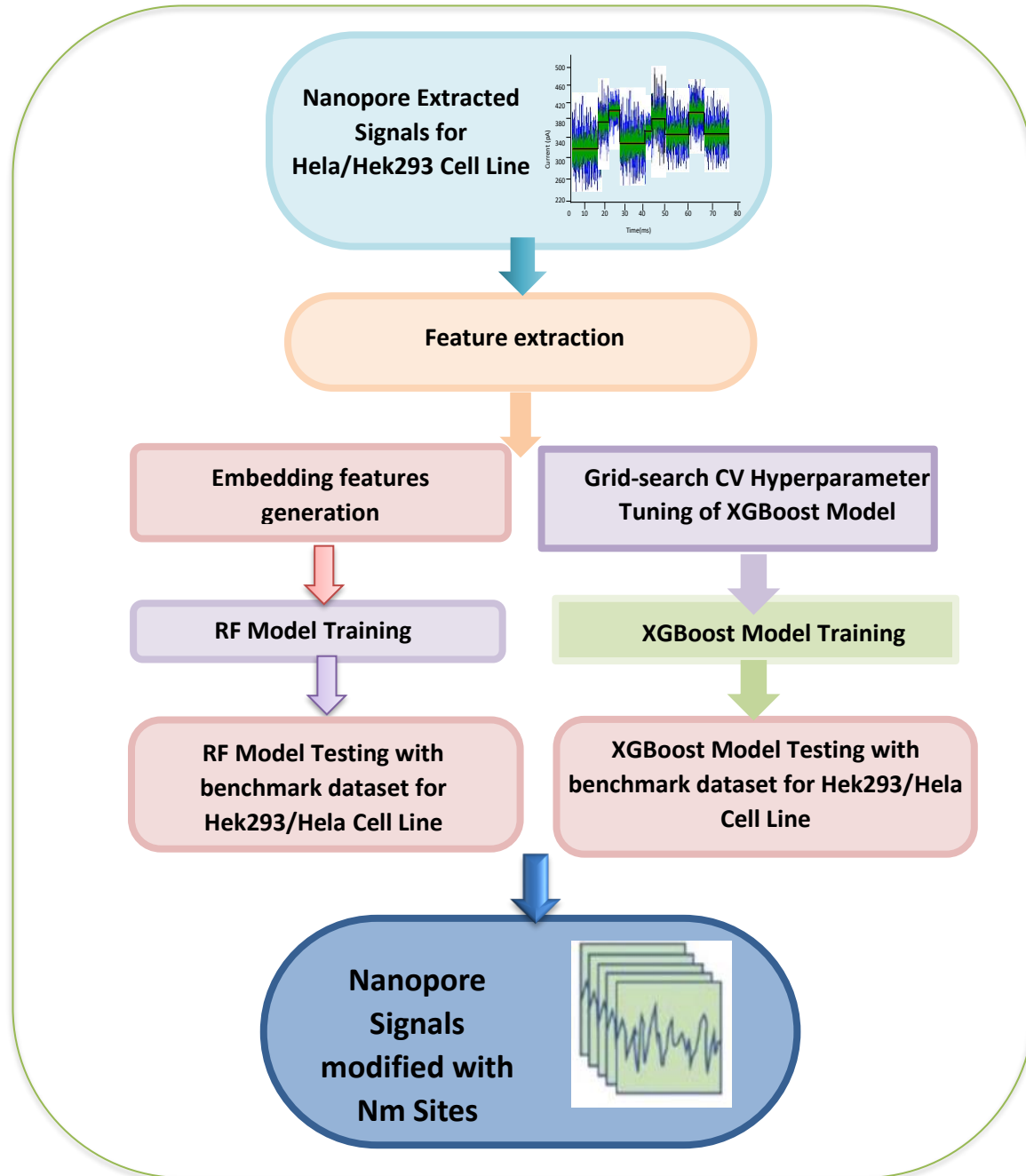
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HIGHLIGHTS

- Nm-Nano integrates two ML learning models (i.e., predictors) namely XGBoost and RF with embedding to identify Nm sites in Nanopore direct RNA sequencing reads.
- The pipeline of Nm-Nano framework automates the data preprocessing including Nanopore direct RNA reads alignment using Minimap2, and Nanopore signal extraction using Nanopolish, feature extraction from raw Nanopore signal for training XGBoost and RF with embedding Models implemented in this platform, features generation with word2vec technique needed for training RF with embedding model, and the prediction of Nm sites using any of both models.
- Nm-Nano can predict Nm sites with a high performance on long RNA reads and it outperforms the performance of the state-of-the-art research methods existing in the literature that predict Nm sites only on short RNA reads.
- There are 10541009 Nanopore signal samples predicted by Nm-Nano best ML model (XGBoost) as Nm sites from a total of 275056669 Nanopore signal samples that represent complete RNA sequence of Hek293 cell line with 2952972 unique genomic location of Nm sites.
- There are 27068157 Nanopore signal samples predicted by Nm-Nano best ML model (XGBoost) as Nm sites from a total of 920643074 Nanopore signal samples that represent complete RNA sequence of Hela cell line with 4064938 unique genomic location of Nm sites.
- There is a small fraction of 20% (1191677 unique genomic locations) of Nm sites that are common (overlapped) between both Hek293 and Hela cell lines.
- The extend of Nm modification (the number of Nanopore signal samples predicted as Nm signal samples to the total number of Nanopore signal samples generated from the complete RNA sequence of the cell line) in RNA sequence of Hela cell line is slightly less than its counterpart for HeK293 cell line (2.94 % for Hela cell line versus 3.83% for Hek293)

Figure 1

A



B

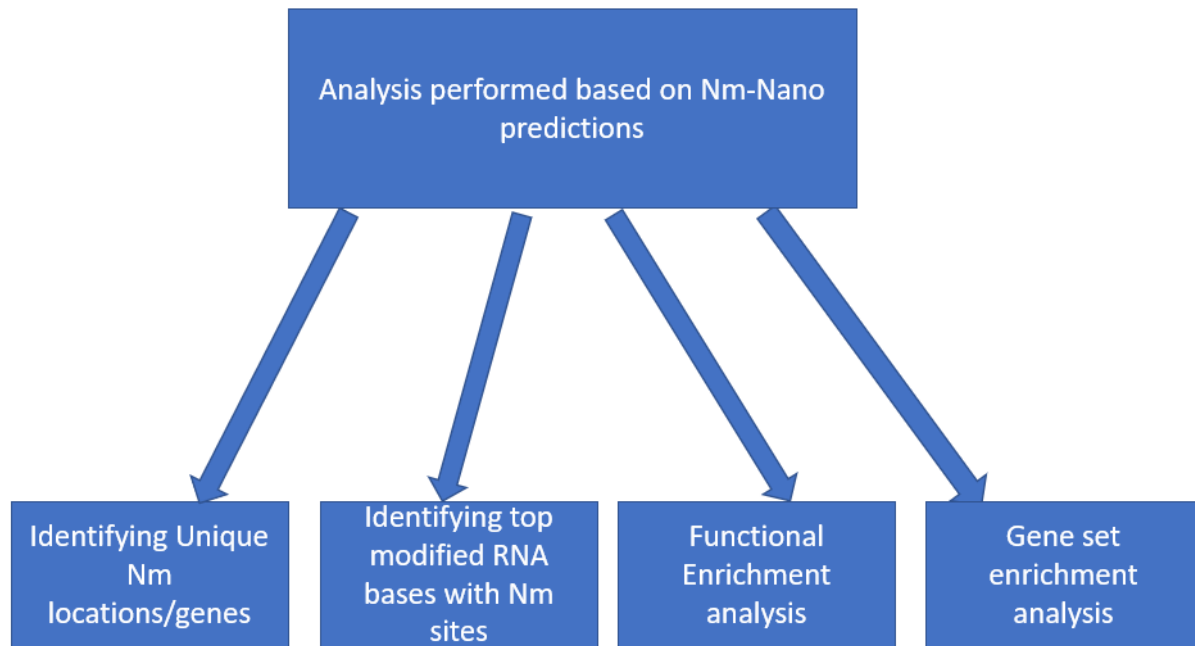


Figure 2

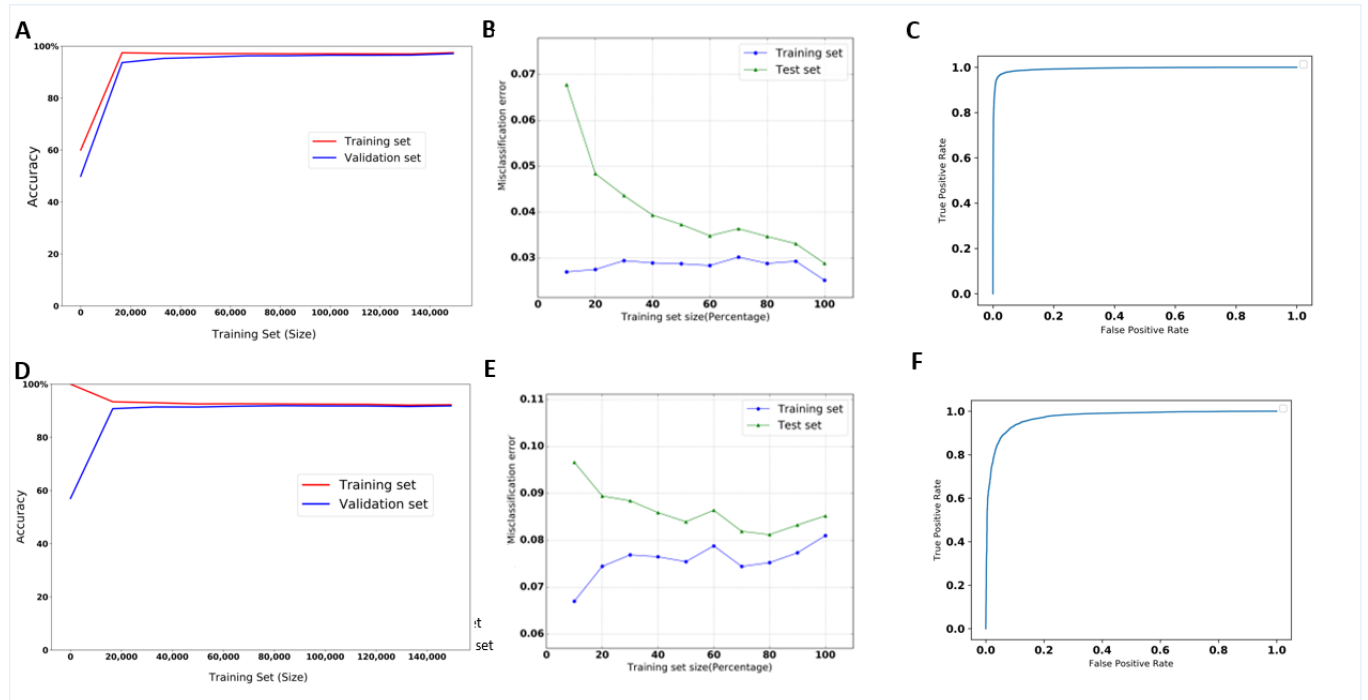


Figure 3

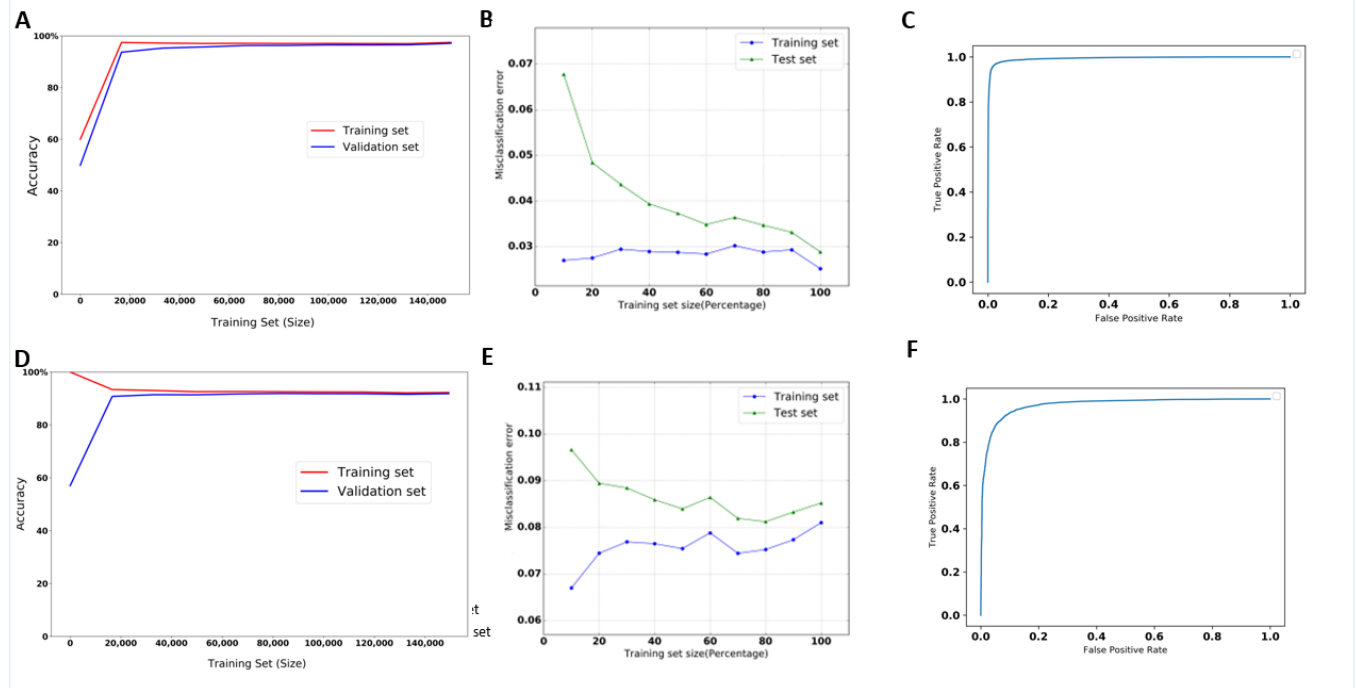


Figure 4

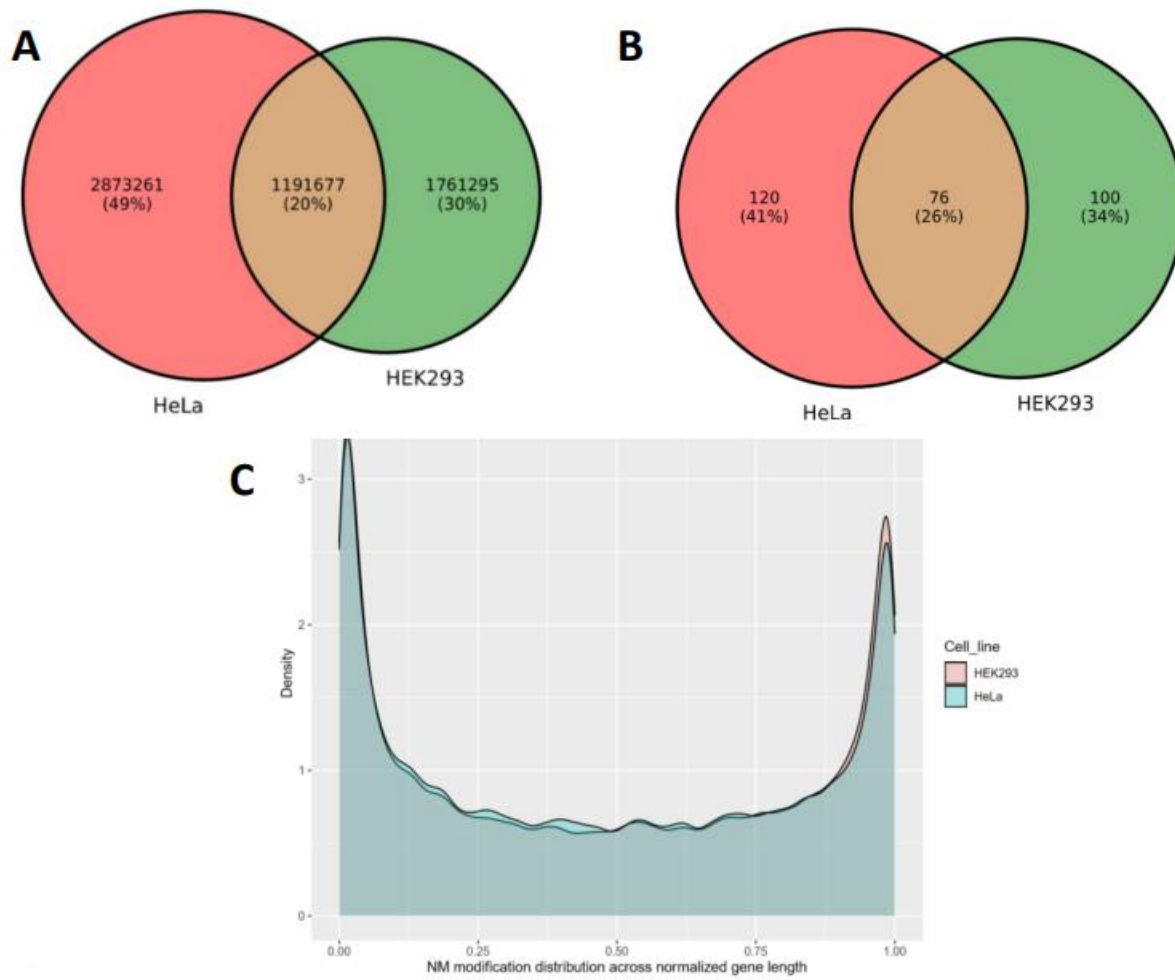
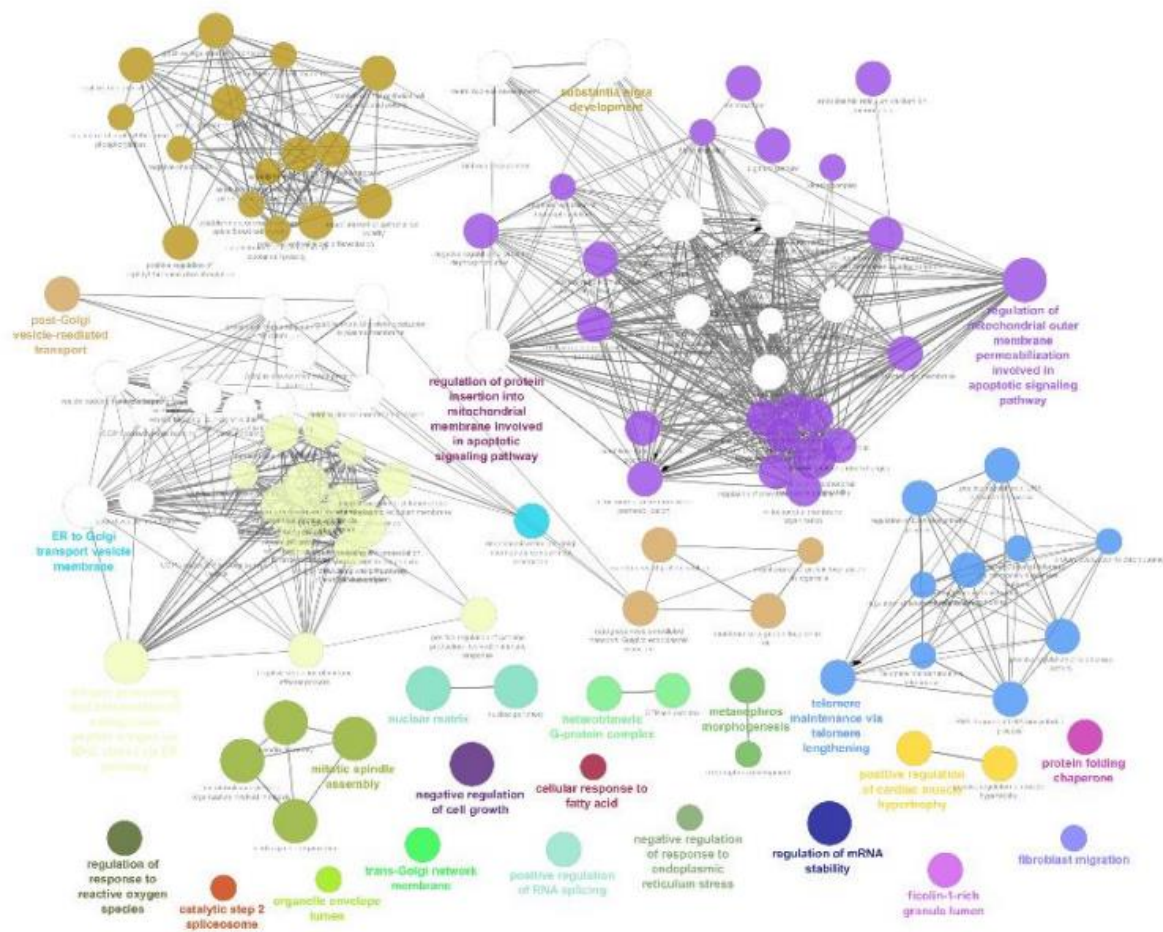


Figure 5

A



B

