1	Differentiation of a CD4 <sup>+</sup> /CD8 $\alpha\beta^+$ Double Positive T Cell Population From The CD8 Pool Is
2	Sufficient To Mediate Graft-vs-Host Disease but not Graft-vs-Leukemia Effects
3	
4	Nicholas J. Hess <sup>1</sup> , David P. Turicek <sup>1</sup> , Kalyan Nadiminti <sup>3,5</sup> , Amy Hudson <sup>2</sup> , Peiman Hematti <sup>3,5</sup> ,
5	Jenny E. Gumperz <sup>4,5</sup> , *Christian M. Capitini <sup>1,5</sup>
6	
7	<sup>1</sup> Department of Pediatrics, University of Wisconsin-Madison School of Medicine and Public
8	Health, Madison, WI
9	<sup>2</sup> Department of Microbiology and Immunology, Medical College of Wisconsin, Milwaukee, WI
10	<sup>3</sup> Division of Hematology/Oncology, Department of Medicine, University of Wisconsin-Madison
11	School of Medicine and Public Health, Madison, WI
12	<sup>4</sup> Department of Medical Microbiology and Immunology, University of Wisconsin-Madison School
13	of Medicine and Public Health, Madison, WI
14	<sup>5</sup> University of Wisconsin-Madison Carbone Cancer Center, Madison, WI
15	
16	*Corresponding Author:
17	Christian M. Capitini
18	University of Wisconsin
19	1111 Highland Ave
20	WIMR 4137
21	Madison, WI 53705
22	Office: (608) 262-2415
23	Fax: (608) 265-9721
24	Email: ccapitini@pediatrics.wisc.edu
25	
26	ORCID: 0000-0001-5720-9305 (NJH); 0000-0003-1852-2192 (JEG); 0000-0002-2276-6731
27	(CMC)
28	
29	
30	Abstract Word Count = 271
31	Word Count = 4255
32	Figure Count = 7
33	Reference Count = 25
34	Running Title: DPT Are Predictive and Sufficient for GVHD

#### 35 Abstract

36 Acute graft-vs-host disease (aGVHD) and tumor relapse remain the primary complications 37 following allogeneic hematopoietic stem cell transplantation (allo-HSCT) for malignant blood disorders. While post-transplant cyclophosphamide has reduced the overall prevalence and 38 39 severity of aGVHD, relapse rates remain a concern. Thus, there remains a need to identify the specific human T cell subsets mediating GVHD pathology versus graft-versus-leukemia (GVL) 40 41 effects. In xenogeneic transplantation studies using primary human cells from a variety of donors and tissue sources, we observed the development of a mature CD4<sup>+</sup>/CD8 $\alpha\beta^+$  double positive T 42 43 cell (DPT) population in mice succumbing to lethal aGVHD but not in mice that failed to develop aGVHD. The presence of DPT, irrespective of graft source, was predictive of lethal GVHD as 44 early as one week after xenogeneic transplantation. DPT co-express the master transcription 45 factors of the CD8 and CD4 lineages, RUNX3 and THPOK respectively, and produce both 46 cytotoxic and modulatory cytokines. To identify the origin of DPT, we transplanted isolated human 47 48 CD4 or CD8 T cells, which in turn revealed that DPT only arise from the CD8 pool. Interestingly, re-transplantation of sorted CD8 T cells from GVHD mice did not reveal a second wave of DPT 49 50 differentiation. Re-transplantation of flow-sorted DPT, CD8 or CD4 T cells from GVHD mice 51 revealed that DPT are sufficient to mediate GVHD pathology but not GVL effects versus B-cell 52 acute lymphoblastic leukemia. Lastly, we confirmed the presence and correlation of DPT with 53 aGVHD pathology in a small cohort of allo-HSCT patients that developed grade 2-4 aGVHD in 54 our clinic. Further understanding of DPT differentiation and pathology may lead to targeted 55 prophylaxis and/or treatment regimens for aGVHD and potentially other human chronic 56 inflammatory diseases.

- 57
- 58
- 59

#### 60 Key Points

- 1. Human DPT cell differentiation is a predictive metric of xenogeneic GVHD lethality
- 62 2. The origin of DPT are CD8 T cells that gain THPOK expression and CD4 lineage effector
- 63 functions
- 3. DPT cells are sufficient to mediate GVHD pathology but not GVL effects

#### 65 Introduction

Acute graft-vs-host disease (aGVHD) and relapse remain the primary complications following allogeneic hematopoietic stem cell transplantation (allo-HSCT)<sup>1,2</sup>. While the field has made significant strides in reducing aGVHD, current aGVHD prophylaxis regimens target the entire T cell population, hindering the efficacy of any graft-vs-leukemia (GVL) effects mediated by donor T cells<sup>3,4</sup>. Thus, delineating specific cellular mechanism(s) leading to aGVHD pathology versus GVL effects has remained a top priority for the field.

72

73 The etiology of aGVHD has been well documented using mouse models. Recipient hematopoietic and/or non-hematopoietic antigen-presenting-cells activated from the conditioning regimen 74 75 present host antigen to donor T cells<sup>5-7</sup>. A subset of these donor T cells will be allo-reactive to the presented donor antigens and differentiate into  $TH_1$  and  $TH_{17/22}T$  cells to mediate gastrointestinal, 76 liver and/or skin pathology<sup>8–11</sup>. While allo-reactive T cells are derived from both CD4 and CD8 77 78 lineages, most aGVHD research has focused on the differentiation of CD4 lineage subsets that promote pathology thought to be mediated by CD8 T cells. Unfortunately, past clinical trials 79 investigating the inhibition of these CD4 lineages based on cytokine blockade have been met with 80 limited success<sup>12–14</sup>. 81

82

Recent studies have highlighted the presence of a unique human T cell population expressing both CD4 and CD8 T cell lineage markers in a variety of chronic inflammatory diseases<sup>15–18</sup>. These double positive T cells (DPT) have also been implicated in islet graft rejection in a nonhuman primate model<sup>19,20</sup>. These studies suggest that DPT may represent a novel human T cell population with a role in inflammatory diseases like aGVHD.

88

89 In this study, we identified a significant correlation between the presence of DPT in peripheral blood (PB) and aGVHD pathology. We observed in a xenogeneic transplant model that DPT were 90 not present in any starting graft tissue, developed only after transplantation and were significantly 91 correlated and predictive of lethal aGVHD. DPT differentiated from the CD8 T cell pool and gained 92 93 expression of the master CD4 transcription factor, THPOK, along with the capacity to secrete 94 modulatory cytokines normally associated with the CD4 lineage. Isolated DPT were sufficient to mediate aGVHD pathology but had no direct GVL activity against two human B-cell acute 95 lymphoblastic leukemia (B-ALL) cell lines. Lastly, we collected leftover blood samples from non-96 97 GVHD and grade 2-4 aGVHD allo-HSCT patients and confirmed the presence and correlation of 98 DPT with aGVHD diagnosis.

#### 99 Methods

100 Isolation of Primary Human Cells. Human peripheral blood was collected from healthy consenting 101 donors according to IRB protocol 2014-0806. Leftover and de-identified remnants of human bone 102 marrow and G-CSF mobilized peripheral blood grafts used for allo-HSCT procedures were 103 collected under IRB protocol 2016-0298. De-identified human umbilical cord blood was collected 104 from the Medical College of Wisconsin's cord blood bank. All human blood samples were diluted 1:1 with leukocyte isolation buffer, composed of phosphate-buffered saline (PBS), 2mM EDTA 105 and 2% fetal boyine serum (FBS), prior to ficoll density-gradient centrifugation (1100xg for 15 min 106 107 with 0 brake). When indicated, RosetteSep T cell, CD4 or CD8 enrichment kits were used (STEMCELL Technologies, Seattle, WA). For Figure 4F and Figure 7, leftover primary human 108 109 blood samples were collected from allo-HSCT patients according to IRB protocol 2020-1490. RBC were lysed using 1X RBC lysis buffer (BioLegend) and stained for flow cytometry. 110

111

Transplantation of human cells into NBSGW Mice. Equal numbers of male and female 112 immunodeficient NSG (NOD.Cg-*Prkdc<sup>scid</sup> II2rg<sup>tm1WjI</sup>*/SzJ, Jackson Laboratories, Bar Harbor, ME) 113 or NBSGW (NOD.Cg-Kit<sup>W41J</sup>Tyr<sup>+</sup>Prkdc<sup>scid</sup>IL2rg<sup>tm1WjI</sup>/ThomJ) between the ages of 8-16 weeks of 114 age were used for all experiments. All human cells were washed and resuspended in 115 116 150µL/mouse of sterile 1X PBS prior to retro-orbital injection. All mice were weighed and 117 monitored weekly for visible signs of GVHD with a scoring system carried out as follows: 0 = no 118 signs of GVHD; 1 = 2-5% weight loss; 2 = 6-9% weight loss; 3 = 10-14% weight loss; 4 =  $\geq$  15% 119 weight loss. Blood was regularly drawn at 1, 3, 6, 9- and 12-weeks post-transplant by retro-orbital bleeding. At time of euthanasia, the spleen, one lobe of the liver, lungs and femur were collected 120 for further processing. All tissue processing and H&E staining was performed by the Experimental 121 122 Animal Pathology Lab at the University of Wisconsin-Madison.

123

Flow Cytometry and Cell-Sorting. All cells were stained in flow buffer (PBS, 10% FBS) prior to 124 quantification on an Attune NxT Flow Cytometer (ThermoFisher, Carlsbad, CA) and analysis on 125 FlowJo v10 (Ashland, OR), For intracellular cytokine staining, Brefeldin A (BioLegend) was added 126 127 ~4hrs prior to staining. Fixation buffer was added to the cells prior to intracellular staining 128 permeabilization wash buffer (BioLegend) and antibody staining. The antibody clones used include mCD45 (A20), ICOS (C398.4A), T-bet (4B10), LAG3 (11C3C65), FASL (NOK-1), CD4 129 (OKT4), CD69 (FN50), OX40 (Ber-ACT35), CD27 (O323), pSTAT4 (p38), RORyt (Q21-559), 130 CD44 (BJ18), NKG2D (1D11), PD-1 (EH12.2H7), THPOK (ZFP-67), TIGIT (A15153G), CD45RO 131 132 (UCHL1), TIM3 (F38-2E2), pSTAT1 (A17012A), pSTAT3 (13A3-1), pSTAT6 (A15137E), IL-22

#### bioRxiv preprint doi: https://doi.org/10.1101/2022.01.11.475845; this version posted January 12, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

(2G12A41), GATA3 (16E10A23), CD8α (RPA-T8), CD25 (BC96), BCL2 (100) 4-1BB (4B4-1),
CD28 (CD28.2), CTLA4 (BNI3), PanHLA (w6/32), CD3 (UCHT1), RUNX3 (SD0803 ThermoFisher) and CD8β (SIDI8BEE - ThermoFisher). All antibody clones were from BioLegend
unless otherwise stated. Visualization of cells was complete using an ImageStream Mark II (EMD
Millipore, Burlington, MA).

138

For cell sorting, cells were collected from the blood, spleen, liver, and lungs of mice transplanted 139 with a lethal dose of PB-mononuclear cells (MNC) approximately 4 weeks prior. Processed 140 141 tissues were ficolled as described above to obtain PB-MNC. Human cells were isolated from the combined PB-MNC using an EasySep Mouse/Human Chimera isolation kit (STEMCELL 142 Technologies). Human cells were then stained in sterile PBS with the human CD4 (OKT4) and 143 CD8β (SIDI8BEE) antibodies prior to sorting on a FACSAria Cell Sorter (BD Biosciences, San 144 Jose, CA). Cells were then maintained in cell culture for 2-3 days prior to transplantation and/or 145 146 expansion.

147

Cell Lines and Cell Culture. The human B-ALL cell lines RS4;11 and NALM-6 were purchased 148 149 from ATCC (Manassas, VA) and cultured using standard RPMI-10 media (RPMI base media, 10% FBS, 1X Pen/Strep, 1mM NEAA, 1X GlutaMAX - ThermoFisher). All cell lines were 150 151 mycoplasma tested and authenticated prior to use (IDEXX Bioresearch, Westbrook, ME). After 152 flow sorting, isolated human CD4, CD8 and DPT populations were expanded by co-culturing with 153 a 10X dose of irradiated (25Gy, XRAD320 x-ray irradiator) human mononuclear cells from a thirdparty donor with 5µg/mL PHA (Millipore Sigma) in RPMI-10 media supplemented with 5ng/mL of 154 recombinant human IL-2 (NCI Biological Resources Branch, Frederick, MD) and IL-7 (Peprotech). 155 RPMI-10 media supplemented with IL-2 and IL-7 was exchanged approximately every 3-4 days. 156 157

*ELISAs.* Mouse blood samples were diluted with 50µL of 1X PBS prior to centrifugation with 60µL
 of diluted plasma stored at -20°C. Plasma was further diluted 1:5 prior to ELISA with Assay Buffer
 (PBS, 1mM Tween-20, 5% FBS). IFNγ ELISAs used the MD-1 clone for coating and a biotinylated
 4S.B3 clone for detection prior to visualization with a streptavidin-HRP antibody (BioLegend).

162

Metabolomic Assay. ATP production rate was calculated from flow sorted CD4, CD8 and DPT
 using an Seahorse XF Real-Time ATP Rate Assay Kit (Agilent, Santa Clara, CA) on a Seahorse
 XF/XFe96 analyzer (Agilent) following the manufacturer's instructions.

166

Bioluminescent Imaging. A total of 1E<sup>6</sup> luciferase positive NALM-6 cells were injected retroorbitally seven days prior to human T cell transplantation as described above. Luminescence detection was gathered at the indicated times using an IVIS Spectrum In Vivo Imaging System (PerkinElmer, Waltham, MA). Briefly, mice were anesthetized and intraperitoneally injected with 100µL of D-luciferin at 30mg/mL and measured for bioluminescence approximately 15 minutes later.

173

Statistics. Graphs and statistical tests were completed using Prism v6 (GraphPad Software, San
 Diego, CA). Comparison of 2 groups of data were performed using either unpaired parametric (for
 linear data) or non-parametric (for logarithmic data) t-tests. A log rank analysis was used for all
 survival data. A p value less than 0.05 was considered significant.

178

179 Study Approval. Human cells and tissues were obtained under IRB protocol 2014-0806 (CMC),

180 2016-0298 (PH), and 2020-1490 (CMC). Mice procurement and experiments were performed at

the University of Wisconsin-Madison under IACUC approved animal protocol M005915-R01

182 (CMC).

183

#### 184 Results

185

# **DPT development after transplantation is predictive of lethal GVHD in a xenogeneic**

#### 187 transplant model.

188 Despite the intensive research on aGVHD using mouse models, the number of studies directly investigating capacity of human T cell subsets to mediate pathology remains limited. This study 189 190 sought to how human T cell subsets mediated pathology in a non-conditioned xenogeneic 191 transplant model. We have previously shown that the development of lethal GVHD in this model 192 system is not inevitable and in fact can be modulated based on a variety of biological variables<sup>21</sup>. Surprisingly, transplanting either human PB-MNC or isolated human peripheral blood T cells (PB-193 194 Tc) at sub  $LD_{100}$  doses, revealed the presence of a human T cell population expressing both CD4 and CD8 preferentially in mice developing lethal GVHD (Figure 1A-C). These double positive T 195 cells (DPT) express both CD8 $\alpha$  and CD8 $\beta$  and develop irrespective of the human graft tissue 196 197 used (Figure 1D, Supplemental Figure 1). The presence of DPT was detected as early as 1-week post-transplant and across multiple xenogeneic GVHD target organs at the time of sacrifice 198 (Figure 1C-D, Supplemental Figure 2). Interestingly, the percentage of DPT in the blood of mice 199 200 at 1-, 3- and 6-weeks post-transplant was highly predictive of lethal GVHD development in this 201 model system (Figure 1E). Lastly, DPT were confirmed to represent a mature human T cell 202 population in this model based on singlet flow cytometric gating, Imagestream analysis and the 203 universal expression of CD45RO, an antigen-experienced marker, on the DPT population (Figure 204 1F). While this unique T cell population has been identified in other human chronic inflammatory 205 diseases, a detailed understanding of their origin and function had remained unexplored until now<sup>15–20</sup>. 206

207

#### 208 DPT arise from highly activated CD8 T cell clones

Unsupervised tSNE clustering of eight T cell markers demonstrated that DPT were more closely 209 related to the CD8 population than CD4 (Figure 2A). To definitively determine the origin of the 210 DPT population, we transplanted isolated CD4 or CD8 T cells from the peripheral blood of healthy 211 212 donors into a xenogeneic transplant model and monitored the mice for the development of DPT. 213 Interestingly, DPT only developed from CD8 T cells but not CD4 T cells (Figure 2B-C). Furthermore, re-transplantation of flow-sorted CD8 T cells did not result in a second wave of DPT 214 differentiation suggesting that DPT differentiate from specific clones within the CD8 population 215 (Figure 2D-F). Additional analysis of the phospho-STAT repertoire between the CD8 and DPT 216 217 populations did not reveal any significant differences, suggesting that cytokines may not drive

differentiation of DPT from the CD8 population (Supplemental Figure 3).

219

# 220 Expression of CD4 on DPT coincides with the master transcription factor THPOK

During T cell development in the thymus, the master transcription factors RUNX3 and THPOK 221 222 are co-expressed until lineage identity (marked by TCR reactivity to either MHC class-I or -II) is determined. Afterwards, the dominant transcription factor, RUNX3 for CD8 T cells and THPOK 223 224 for CD4 T cells, conserve lineage identification through the negative regulation of their counterpart (Figure 3A)<sup>22</sup>. Surprisingly, DPT express both RUNX3 and THPOK concomitantly, though at 225 226 intermediate expression levels, compared to single positive CD4 and CD8 T cell controls (Figure 3B-C). Using CD4 expression as a surrogate for THPOK expression, we next determined if the 227 expression of CD4 is conserved overtime. Flow-sorted DPT were either re-stimulated ex vivo with 228 229 irradiated human PB-MNC and PHA or re-transplanted back into naïve NSG mice to determine if an in vivo immune milieu is required to maintain CD4 expression on DPT. Most of the flow sorted 230 DPT were able to maintain their expression of CD4 by either stimulation method for up to 21 days 231 ex vivo or 63 days in vivo (Supplemental Figure 4). 232

233

# DPT repertoire of co-stimulatory and inhibitory ligands are expressed at intermediate levels compared to single positive CD8 and CD4 populations

236 The CD8 and CD4 T cells display lineage specific expression differences in their co-stimulatory 237 and co-inhibitory receptors. Since DPT expressed both RUNX3 and THPOK, we next determined 238 if this resulted in functional changes in their co-stimulatory and co-inhibitory expression patterns. Similar to CD8 T cells, DPT displayed high levels of NKG2D, and all T cell populations exhibited 239 high levels of CD44 as expected when present in a lymphopenic environment (Figure 3E-F). But 240 while CD8 T cells have high levels of CD27 and ICOS. DPT has significantly lower levels of these 241 242 markers, yet still slightly higher than CD4 T cells (Figure 3F). Conversely, CD4 T cells displayed high levels of OX40, with DPT having slightly lower levels but still significantly higher than CD8 T 243 cells (Figure 3F). The same phenomenon was detected with several co-inhibitory receptors such 244 as LAG3, TIGIT and TIM3, which were all high on CD8 T cells and DPT but not on CD4 T cells. 245 Meanwhile PD-1 expression was highest on CD4 T cells, intermediate on DPT and lowest on CD8 246 T cells (Figure 3G). These same expression patterns were detected in the spleen of GVHD mice 247 and after ex vivo stimulation of flow-sorted T cell populations (Supplemental Figure 5A-B). 248

249

# The DPT population is highly activated and secrete both cytotoxic and modulatory cytokines

252 Due to the co-expression of the CD8 and CD4 lineage-specific transcription factors and co-253 stimulatory/inhibitory receptors, we sought to investigate if the DPT population can secrete both 254 CD8 and CD4 lineage-specific cytokines. T cells taken from the blood of GVHD mice were cultured overnight with PMA/ionomycin to characterize their secretome. Interestingly, our results 255 256 revealed that DPT were able to secrete not only granzyme and perforin, but also IFNy, TNF $\alpha$ , 257 IL17A, IL22 and GM-CSF which are normally reserved for the CD4 lineage (Figure 4A). 258 Surprisingly, this same expression pattern was seen when T cells were cultured overnight without 259 a stimulator (only brefeldin A), suggesting that DPT can not only secrete a broad repertoire of 260 cytokines, but are also actively producing these cytokines in vivo (Figure 4B, Supplemental Figure 6). In support of this observation, we have also detected that DPT express the transcription factors 261 T-bet and RORyt at intermediate levels compared to single-positive T cell controls (Supplemental 262 Figure 5C-E). 263

264

265 To further demonstrate DPT are a highly activated T cell population, we performed a metabolic analysis of overall ATP production rate and relevant contribution from the glycolytic and oxidative 266 267 pathways. While all T cell populations displayed similar levels of oxidative respiration, the DPT 268 population had increased ATP production from the glycolytic pathway, which has been shown to 269 be associated with T cell activation (Figure 4C). T cell blasting is another example of highly 270 activated and proliferative T cells that is observed through the increase in their overall size as a 271 precursor for cell division. While all T cell populations exhibited blasting, a significantly higher 272 proportion of the DPT population was blasting compared to the CD8 population across several 273 different tissues (Figure 4D-E). Furthermore, we compared the blasting percentages of CD4, CD8 and DPT in five primary aGVHD patient samples, which again revealed that a higher proportion 274 of DPT are blasting compared to CD4 and CD8 single positive cells (Figure 4F). 275

276

#### 277 DPT are sufficient for GVHD pathology

Since we have shown that the DPT population represents a highly activated T cell population in 278 279 GVHD, we next sought to confirm if DPT are required and sufficient for GVHD pathology. First, 280 we transplanted isolated CD4 and CD8 T cells from the blood of healthy donors and monitored the mice for survival. Both the CD4 and CD8 T cell populations were able to mediate GVHD, 281 though much higher doses were required for this to occur (i.e., 1E<sup>7</sup> CD4/CD8 T cells vs 2E<sup>6</sup> CD3 282 283 T cells) (Figure 5A-D and Figure 1B). Importantly, since DPT developed when isolated CD8 T 284 cells were transplanted, we next re-transplanted flow sorted DPT, CD4 and CD8 T cells from 285 several GVHD mice into naïve mice and monitored for survival. This experiment showed that at the lower cell doses (i.e., 3E<sup>6</sup>), the DPT population was able to mediate lethal GVHD while the CD8 population, which did not re-develop a second wave of DPT, was unable to cause GVHD

- (Figure 5E-G and Figure 2E-F). The DPT were shown to have increased systemic IFNy levels in
- the plasma and increased T cell infiltration in a variety of organs (Figure 5H-K).
- 290

#### 291 DPT cannot mediate GVL activity against human B-ALL

292 We have shown that DPT represent highly activated T cell population arising from the CD8 T cell pool and are sufficient for GVHD pathology, but it is currently unclear if they can mediate a GVL 293 294 effect. To test this, we transplanted the human B-ALL cancer line RS4;11 seven days prior to the transplantation of isolated DPT or human PB-MNC. Mice from all groups died between four and 295 ten weeks after transplantation, though while the PB-MNC group exhibited classical signs of 296 297 GVHD, mice transplanted with DPT showed pathology similar to the no treatment (NT) group 298 (Figure 6A). Flow cytometric analysis revealed that while the RS4;11 cancer line remained 299 abundant in the mice given DPT, the B-ALL cells were almost undetectable in mice given PB-MNC (Figure 6B-C). The striking difference in GVL activity was not due to the lack of T cells, with 300 301 both groups exhibiting an expansion of T cells in their blood over time and increasing levels of 302 IFNy in the serum (Figure 6D-E). PB-MNC mice experienced a more robust expansion of T cells 303 expansion and IFNy production, which may be the result of having synergy from both CD4 and 304 CD8 T cells present.

305

306 To control for this, we next transplanted isolated CD4 or CD8 T cells from healthy donors into an 307 NSG mouse previously transplanted with the more aggressive NALM-6 human B-ALL cancer line in addition to DPT. All mice succumbed to leukemia although mice transplanted with CD4 or CD8 308 T cells experienced significantly prolonged survival (Figure 6F). This prolonged survival was most 309 310 likely the result of delayed leukemia progression as detected by IVIS and flow cytometry (Figure 6G-I). Importantly though, there was no difference in T cell expansion in vivo suggesting that the 311 enhanced GVL activity in single-positive CD4 and CD8 T cells was not due to overall higher T cell 312 numbers (Figure 6J). Furthermore, we did not detect any additional DPT differentiation from the 313 314 CD8 pool as a result of GVL activity (data not shown). Lastly, CD4 and CD8 T cells flow sorted from GVHD mice also had enhanced GVL activity compared to DPT, though their overall GVL 315 activity was slightly diminished (Supplemental Figure 7). Overall, we have identified a unique 316 human T cell subset characterized by co-expression of CD4 and CD8 $\alpha\beta$  as well as the 317 318 transcription factors RUNX3 and THPOK that is associated with the presence of severe aGVHD. 319 This DPT population differentiates from the CD8 T cell pool, exhibits a highly inflammatory

- phenotype and is sufficient to mediate GVHD pathology. Despite their inflammatory profile, DPT
- do not mediate a GVL effect against B-ALL and may represent a biomarker that can distinguish
- aGVHD from GVL.
- 323

# 324 Clinical aGVHD is correlated with increased DPT frequency.

- To confirm the association between DPT and aGVHD we have identified in our xenogeneic
- transplant model, we collected leftover blood samples from 27 allo-HSCT patients between 70-
- 327 90 post-transplant. Of these 27 patients, 14 had never developed aGVHD pathology while the
- 328 other 13 had active aGVHD of grade 2 or greater. Analysis of their blood samples revealed a
- higher percentage of DPT in the patients with active grade 2 or higher aGVHD compared to the
- non-aGVHD controls (Figure 7).

#### 331 Discussion

In this study, we highlight the GVHD-specific activity of a human DPT population that differentiates from the CD8 population as a result of antigen-stimulation. DPT have been identified in renal cell carcinoma,  $\beta$ -thalassemia major, rheumatoid arthritis and even in allo-HSCT patients<sup>15–18</sup>. DPT have also been implicated in islet graft rejection in a nonhuman primate model, suggesting that DPT are an important differentiation state of human T cells<sup>19,20</sup>.

337

One unique feature of DPT is their co-expression of the CD8 and CD4 lineage master transcription 338 339 factors, RUNX3 and THPOK respectively. While the co-expression of these transcription factors is normally reserved for T cell development in the thymus, one other study using a xenogeneic 340 transplant model has shown that mature human T cells can co-express these transcription 341 factors<sup>18,22</sup>. Naturally, one assumption is that we are observing a variation of de novo T cell 342 development in our model system. To account for this, we primarily used PB-MNC grafts which 343 have extremely low levels of hematopoietic stem cells (<0.1%)<sup>23,24</sup>. Additionally, we confirmed 344 that DPT do not express CD31, a marker of recent thymic emigrants and are positive for the 345 antigen-experienced marker CD45RO<sup>21</sup>. Lastly, NSG mice lack a functional thymus to support de 346 347 novo T cell development, which, in addition to our data showing that DPT are single cells, provides 348 strong evidence that DPT are a fully mature human T cell population.

349

350 The specific cellular signals on CD8 T cells that initiate DPT differentiation is currently not known, 351 though our data supports the hypothesis that it is the result of chronic antigen exposure. Since 352 we did not detect a second wave of DPT differentiation after the re-transplantation of sorted CD8 T cells from GVHD mice, we assume that the remaining CD8 T cell clones are incapable of 353 responding to murine tissue antigens. While the specific signals for DPT differentiation are 354 355 unknown at this time, we can assume that there is little/no involvement of pro-inflammatory cytokines based on our use of non-conditioned NSG mice and lack of increased phospho-STAT 356 expression in DPT<sup>21,23</sup>. While not directly investigated, non-conditioned NSG mice do not develop 357 a "cytokine storm" as a result of y-irradiation with the levels of pro-inflammatory cytokines in non-358 359 conditioned mice thought to be extremely low. Unfortunately, other than CD28:CD80/CD86 axis 360 which is known to be cross-reactive between human and mice, there is little information on the cross-reactivity of the other co-stimulatory proteins<sup>23</sup>. 361

362

The identification of DPT as a highly reactive T cell subset also provides additional insight into the mechanism of PTCy mediated GVHD suppression. PTCy is thought to eliminate rapidly 365 dividing T cells (i.e. antigen activated T cells) through the cross-linking of DNA by its metabolite 366 phosphoramide that leads to apoptosis<sup>25</sup>. It is thought that slowly dividing T cell clones maintain 367 high enough concentration of the protein aldehyde dehydrogenase to protest themselves from PTCy induced apoptosis, thus eliminating the majority of T cell clones capable of causing GVHD<sup>25</sup>. 368 369 Since we know that DPT are a highly activated T cell population, one interesting hypothesis is 370 that PTCy also acts by eliminating DPTs. Unfortunately, while this may be true, PTCy also has the "side-effect" of removing GVL-specific T cell clones. Thus, if the above hypothesis is proven 371 true, the targeted elimination of DPT, which we have observed to not have any direct GVL activity 372 373 against at least B-ALL (Figure 7), may become a more specific and directed GVHD prophylaxis regimen in the future. Testing of DPT against other hematologic malignancies in vitro and with in 374 375 vivo GVL models will be warranted.

376

377 In summary, we have identified a unique human CD4<sup>+</sup>/CD8 $\alpha\beta^+$  DPT cell population that is not 378 present in healthy human graft tissues, differentiates from the CD8 T cell population after xenogeneic and clinical allo-HSCT, and is predictive of GVHD lethality in mice. DPT are highly 379 reactive CD8 T cell clones that gain expression of THPOK and subsequent CD4 lineage effector 380 381 functions. Furthermore, when isolated DPT are transplanted into NSG mice, the DPT population 382 is sufficient to mediate GVHD pathology but does not mediate GVL activity against human B-ALL 383 cell lines. Overall, the identification of DPT as a GVHD-specific T cell population in allo-HSCT 384 recipients serves as validation of the cellular T cell responses observed post-transplant in 385 xenogeneic transplant models. Future studies will need to determine if measurement of DPT prospectively may help predict development of aGVHD and if targeting and/or preventing DPT 386 387 differentiation may suppress GVHD.

#### 388 Author Contributions

- 389 NJH, JEG and CMC designed the study; NJH and DPT performed experiments; NJH analyzed
- the data; AWH and PH provided resources; NJH and CMC wrote and edited the manuscript.
- 391

# 392 Acknowledgements

The authors would like to thank the University of Wisconsin Carbone Cancer Center (UWCCC) Flow Cytometry Laboratory, UWCCC Small Molecule Screening Facility, UWCCC Small Animal Imaging and Radiotherapy Facility and UWCCC Experimental Animal Pathology Laboratory, who are supported in part by NIH/NCI P30 CA014520.

397

# 398 Grant Support

This work was supported in part by NIH/NIAID T32 AI125231 (N.J.H), NIH/NHBLI T32 HL07899 399 (N.J.H.), NIH/NCATS UL1 TR002373 (N.J.H), the Cormac Pediatric Leukemia Fellowship (N.J.H) 400 401 and the Stem Cell and Regenerative Medicine Center Fellowship (N.J.H.). Additional funding includes NIH/NIAID R21 AI105841, NIH/NIAID R21 AI116007 (J.E.G.), NIH/NIAID R01 AI136500 402 (J.E.G.), NIH/NHLBI R01 HL153721 (P.H. and C.M.C), St. Baldrick's-Stand Up to Cancer 403 Pediatric Dream Team Translational Research Grant SU2C-AACR-DT-27-17 (C.M.C.), American 404 405 Cancer Society Research Scholar grant RSG-18-104-01-LIB (C.M.C.), NIH/NCI R01 CA215461 406 (C.M.C.), and the Midwest Athletes Against Childhood Cancer (MACC) Fund (C.M.C). The St. 407 Baldrick's Foundation collaborates with Stand Up To Cancer. Stand Up To Cancer is a division of 408 the Entertainment Industry Foundation. Research grants are administered by the American Association for Cancer Research, the scientific partner of SU2C. The contents of this article do 409 not necessarily reflect the views or policies of the Department of Health and Human Services, nor 410 does mention of trade names, commercial products, or organizations imply endorsement by the 411 412 US Government. None of these funding sources had any input in the study design, analysis, manuscript preparation or decision to submit for publication. 413

414

# 415 **Disclosure of Conflicts of Interest**

C.M.C. reports honorarium from Nektar Therapeutics and Novartis, who had no input in the study
design, analysis, manuscript preparation or decision to submit for publication. The authors declare
that no other relevant financial conflicts of interest exist.

419

#### 420 **References**

- D'Souza A, Fretham C, Lee SJ, et al. Current Use of and Trends in Hematopoietic Cell Transplantation in the United States. *Biol Blood Marrow Transplant*. 2020;26(8):e177–e182.
- 423 2. Gooptu M, Romee R, St Martin A, et al. HLA-haploidentical vs matched unrelated donor
  424 transplants with posttransplant cyclophosphamide-based prophylaxis. *Blood*.
  425 2021;138(3):273–282.
- 426 3. Martinez-Cibrian N, Zeiser R, Perez-Simon JA. Graft-versus-host disease prophylaxis:
   427 Pathophysiology-based review on current approaches and future directions. *Blood Reviews*.
   428 2020;100792.
- 429 4. Chang Y-J, Zhao X-Y, Huang X-J. Strategies for Enhancing and Preserving Anti-leukemia 430 Effects Without Aggravating Graft-Versus-Host Disease. *Front Immunol*. 2018;9:3041.
- 431 5. Piper C, Zhou V, Komorowski R, et al. Pathogenic Bhlhe40+ GM-CSF+ CD4+ T Cells Promote
   432 Indirect Alloantigen Presentation in the GI Tract during GVHD. *Blood*. 2019;
- 6. Koyama M, Kuns RD, Olver SD, et al. Recipient nonhematopoietic antigen-presenting cells are sufficient to induce lethal acute graft-versus-host disease. *Nat. Med.* 2011;18(1):135–142.
- Koyama M, Mukhopadhyay P, Schuster IS, et al. MHC Class II Antigen Presentation by the
   Intestinal Epithelium Initiates Graft-versus-Host Disease and Is Influenced by the Microbiota.
   *Immunity*. 2019;
- 438 8. Gartlan KH, Koyama M, Lineburg KE, et al. Donor T-cell-derived GM-CSF drives alloantigen 439 presentation by dendritic cells in the gastrointestinal tract. *Blood Adv*. 2019;3(19):2859–2865.
- 440 9. Park S, Griesenauer B, Jiang H, et al. Granzyme A-producing T helper cells are critical for 441 acute graft-versus-host disease. *JCI Insight*. 2020;
- 442 10. Hashimoto K, Kouno T, Ikawa T, et al. Single-cell transcriptomics reveals expansion of
  443 cytotoxic CD4 T cells in supercentenarians. *Proc Natl Acad Sci U S A*. 2019;116(48):24242–
  444 24251.
- 445 11. Kim S, Reddy P. Targeting Signal 3 Extracellularly and Intracellularly in Graft-Versus-Host
   446 Disease. *Front Immunol*. 2020;11:722.
- 447 12. Kennedy G, Tey S-K, Buizen L, et al. A Phase 3 Double-Blind Study of the Addition of
   448 Tocilizumab versus Placebo to Cyclosporin/Methotrexate GvHD Prophylaxis. *Blood*. 2021;
- Antin JH, Weisdorf D, Neuberg D, et al. Interleukin-1 blockade does not prevent acute graft-versus-host disease: results of a randomized, double-blind, placebo-controlled trial of interleukin-1 receptor antagonist in allogeneic bone marrow transplantation. *Blood*.
  2002;100(10):3479–3482.
- 453 14. Locke FL, Pidala J, Storer B, et al. CD25 Blockade Delays Regulatory T Cell Reconstitution
   454 and Does Not Prevent Graft-versus-Host Disease After Allogeneic Hematopoietic Cell
   455 Transplantation. *Biol Blood Marrow Transplant*. 2017;23(3):405–411.
- 456 15. Menard LC, Fischer P, Kakrecha B, et al. Renal Cell Carcinoma (RCC) Tumors Display Large
   457 Expansion of Double Positive (DP) CD4+CD8+ T Cells With Expression of Exhaustion
   458 Markers. *Front Immunol*. 2018;9:2728.
- 459 16. Zahran AM, Saad K, Elsayh KI, Alblihed MA. Characterization of circulating CD4+ CD8+
   460 double positive and CD4- CD8- double negative T-lymphocyte in children with β-thalassemia
   461 major. *Int. J. Hematol.* 2017;105(3):265–271.
- 462 17. Quandt D, Rothe K, Scholz R, Baerwald CW, Wagner U. Peripheral CD4CD8 double positive
   463 T cells with a distinct helper cytokine profile are increased in rheumatoid arthritis. *PLoS ONE*.
   464 2014;9(3):e93293.
- Alhaj Hussen K, Michonneau D, Biajoux V, et al. CD4+CD8+ T-Lymphocytes in Xenogeneic
   and Human Graft-versus-Host Disease. *Front Immunol*. 2020;11:579776.
- 467 19. Choi YJ, Park H-J, Park HJ, Jung KC, Lee J-I. CD4hiCD8low Double-Positive T Cells Are
   468 Associated with Graft Rejection in a Nonhuman Primate Model of Islet Transplantation. J
   469 *Immunol Res.* 2018;2018:3861079.

- 20. Overgaard NH, Jung J-W, Steptoe RJ, Wells JW. CD4+/CD8+ double-positive T cells: more
  than just a developmental stage? *J. Leukoc. Biol.* 2015;97(1):31–38.
- 472 21. Hess NJ, Hudson AW, Hematti P, Gumperz JE. Early T Cell Activation Metrics Predict Graft 473 versus-Host Disease in a Humanized Mouse Model of Hematopoietic Stem Cell
   474 Transplantation. *J. Immunol.* 2020;205(1):272–281.
- 475 22. Egawa T. A Fateful Decision in the Thymus Controlled by the Transcription Factor ThPOK.
   476 *J.I.* 2021;206(9):1981–1982.
- 477 23. Hess NJ, Brown ME, Capitini CM. GVHD Pathogenesis, Prevention and Treatment: Lessons
   478 From Humanized Mouse Transplant Models. *Frontiers in Immunology*. 2021;12:3082.
- 479 24. Hess NJ, Lindner PN, Vazquez J, et al. Different Human Immune Lineage Compositions Are
   480 Generated in Non-Conditioned NBSGW Mice Depending on HSPC Source. *Front Immunol.* 481 2020;11:573406.
- 482 25. Nunes NS, Kanakry CG. Mechanisms of Graft-versus-Host Disease Prevention by Post-483 transplantation Cyclophosphamide: An Evolving Understanding. *Front Immunol.*
- 484 2019;10:2668.

485

#### 486 Figure Legends

487

### 488 Figure 1. Development of DPT after transplant is predictive of GVHD lethality.

(A-B) Kaplan-Meier survival curve of NSG mice transplanted with 5E<sup>6</sup> PB-MNC (A) or 2E<sup>6</sup> PB-Tc 489 490 (B). Representative dot plots of mouse blood at 3 weeks post-transplant from a mouse that did 491 not develop GVHD (blue mouse) and a mouse that died of GVHD (red mouse) highlighting the presence of DP T cells (red box). Dot plots were gated upstream on singlets, human panHLA-I 492 and CD3. (C-D) Bar graph showing the percentage of DP T cells at 1-, 3- and 6-weeks post-493 494 transplant in mice transplanted with PB-MNC (C) and at 1-week post-transplant in mice transplanted with the mononuclear fraction of the graft source indicated. Each dot represents an 495 individual mouse taken from 5 independent experiments. (E) Receiver-operator-characteristic 496 (ROC) curve showing the predictive value of DP T cells. (F) Representative Imagestream analysis 497 of human T cell isolated from mouse blood at 3-weeks post-transplant. PB (peripheral blood), MB 498 499 (G-CSF mobilized peripheral blood), BM (bone marrow), CB (umbilical cord blood), MNC (mononuclear cells), allo-HSCT (allogeneic hematopoietic stem cell transplant). \* p<0.05, \*\* 500 p<.01, \*\*\* p<0.001 501

502

#### 503 Figure 2. DPT differentiate from highly reactive CD8 clones.

504 (A) Unsupervised tSNE clustering of human T cells concatenated from five GVHD mice. (B-C) 505 Freshly isolated CD3, CD4 and CD8 PB-T cells from a healthy donor were transplanted into non-506 irradiated NSG mice. Representative dot plots show the percentage of DP T cells in the mouse blood at 3-weeks post-transplant (B) with the percentage of DP T cells from isolated CD4 and 507 CD8 T cells transplantation further quantified (C). (D-F) NSG mice transplanted with PB-MNC for 508 4 weeks were euthanized and their organs harvested, ficolled, human cell isolated by magnetic 509 510 isolation and the CD4 and CD8 T cells flow-sorted (D). (E) Dot plot highlighting the percentage of DP T cells in the blood of mice transplanted with flow-sorted CD4 and CD8 T cells 3-weeks post-511 transplant. (F) Further quantification of (E). \* p<0.05, \*\* p<.01, \*\*\* p<0.001 512

513

# 514 Figure 3. Co-dominance of RUNX3 and THPOK in DPT allows for the intermediate 515 expression of CD4 and CD8 co-stimulatory proteins

(A) Schematic showing the relationship of RUNX3 and THPOK in CD8 and CD4 T cells. (B-D)
Histograms of THPOK and RUNX3 (B) expression compared to an isotype that is further
quantified (C-D). (E) Imagestream visualization of NKG2D expression on CD4, DP and CD8 T
cells. (F) Analysis of 8 co-stimulatory markers on CD4, DP and CD8 T cells. Fold MFI represents

520 the median fluorescent intensity of the indicated marker divided by the median fluorescent 521 intensity of an isotype control. \* p<0.05

522

# 523 Figure 4. DPT are highly active and secrete both CD4 and CD8 lineage cytokines.

524 (A-B) T cells from the blood of GVHD mice were incubated overnight with PMA/ionomycin (A) or 525 brefeldin A (B) prior to intracellular cytokine staining. (C) ATP production rate of the indicated 526 flow-sorted T cell populations. (D) Representative dot plots of the indicated T cell population taken 527 from a GVHD mouse. Boxes represent blasting T cells, a marker of activated and proliferating T 528 cells. (E-F) Quantification of the percentage of T cells blasting from GVHD mice (E) and from 529 thirteen allo-HSCT patients that developed  $\geq$  grade 2 acute GVHD (F).

530

# 531 Figure 5. DPT are sufficient to mediate GVHD lethality after xenogeneic transplantation.

532 (A-D) Isolated CD4 and CD8 T cells from a healthy donor were transplanted into NSG mice and

533 monitored for survival (B), GVHD score (C) and IFNγ concentration (D). (E-K) FACS sorted CD4,

534 DPT and CD8 T cell populations were transplanted into NSG mice and monitored for survival (F),

- 535 GVHD score (G) and IFNγ concentration (H). At the time of euthanasia, the number of T cells in
- target organs was quantified (I) and H&E histology performed and scored (J-K).
- 537

# 538 **Figure 6. DPT do not possess direct GVL activity against human B-ALL.**

539 (A-E) Kaplan-Meier survival curve (A) of NSG mice transplanted with the human B-ALL cancer 540 line RS4:11 (black line) seven days prior to the transplantation of either DPT (red line) or PB-MNC 541 (blue line). Mice were monitored for signs of pathology with mice given NT or DPT experiencing distinct pathology from PB-MNC. (B-D) Flow analysis (B) of mice given DPT and PB-MNC (C-D). 542 T cell activation as measured by the concentration of IFNy in the serum of mice (E). (F-J) 543 544 Experiment was repeated using the luciferase positive human B-ALL cell line NALM-6 and freshy isolated CD4 and CD8 T cell from healthy donors along with DPTs. Kaplan-Meier survival curve 545 (F) of the transplanted mice which all exhibited evidence of leukemia. Leukemic growth was 546 confirmed using IVIS imaging (G-H) with flow cytometry to quantify the number of leukemia and 547 548 T cells in the blood of mice across the indicated time points (I-J).

549

# 550 Figure 7. Presence of DPT in aGVHD Allo-HSCT Patients

551 Blood samples were collected from allo-HSCT patients either at the diagnosis of ≥ grade 2 aGVHD

or between 70-90 days post-transplant. (A) Representative dot plots of a healthy human donor,

an allo-HSCT patient with no signs of a GVHD at 82 days post-transplant and an allo-HSCT

- patient that had active grade IV aGVHD at 84 days post-transplant. Red box indicates The
- 555 CD4<sup>+</sup>/CD8 $\beta$ <sup>+</sup> DPT population. (B) Bar graph shows a total of 14 non-GVHD patients and 13  $\geq$
- 556 grade 2 aGVHD patient samples were collected. Each symbol indicates an individual patient. \*
- 557 p<0.05, \*\* p<.01, \*\*\* p<0.001















