1    Temperature-dependent genetics of thermotolerance between yeast species
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**ABSTRACT**

Many traits of industrial and basic biological interest arose long ago, and manifest now
as fixed differences between a focal species and its reproductively isolated relatives. In
these systems, extant individuals can hold clues to the mechanisms by which
phenotypes evolved in their ancestors. We harnessed yeast thermotolerance as a test
case for such molecular-genetic inferences. In viability experiments, we showed that
extant *Saccharomyces cerevisiae* survived at temperatures where cultures of its sister
species *S. paradoxus* died out. Then, focusing on loci that contribute to this difference,
we found that the genetic mechanisms of high-temperature growth changed with
temperature. We also uncovered a robust signature of positive selection at
thermotolerance loci in *S. cerevisiae* population sequences. We interpret these results
in light of a model of gradual acquisition of thermotolerance in the *S. cerevisiae* lineage
along a temperature cline. We propose that in an ancestral *S. cerevisiae* population,
alleles conferring defects at a given temperature would have been resolved by adaptive
mutations, expanding the range and setting the stage for further temperature advances.
Together, our results and interpretation underscore the power of genetic approaches to
explore how an ancient trait came to be.

**INTRODUCTION**

A central goal of evolutionary genetics is to understand how nature builds new
phenotypes. Thanks to advances in statistical genetics and experimental evolution,
mechanisms of trait evolution over relatively short timescales have come well within
reach in the modern literature. By contrast, longer-term innovations have posed a more
profound challenge for the field (Orr 2001). In principle, for a phenotype that originated
long ago and manifests now as a fixed difference between species, evolution could
have refined the character along the entire divergence time of the respective taxa. In
landmark cases, candidate-gene studies have shed light on suites of mutational
changes of this kind between species, at a given model locus. This includes the order
by which adaptive alleles were likely acquired, and/or the functional pressures that
drove them (Bridgham et al. 2009; Baldwin et al. 2014; Dong et al. 2014; Sayou et al.
2014; Anderson et al. 2015; Daugherty et al. 2016; Sulak et al. 2016; Liu et al. 2018;
Starr et al. 2018; Xie et al. 2018; Siddiq and Thornton 2019; Pillai et al. 2020; Prieto-
Godino et al. 2021). But, in most cases, any factor pursued by such a candidate-gene
approach only represents part of the complex genetic architecture of an ancient trait.
We still know relatively little about how evolution coordinates multiple adaptive loci over
deep divergences.

In the search for evolutionary principles, genetically tractable model systems can be of
great utility. *Saccharomyces* yeasts are well suited for this purpose, and environmental
yeast isolates have been studied extensively for their innovations within and between
species (Hittinger 2013). Thermotolerance is of particular interest because it tracks with
phylogeny across the 20 million years of the *Saccharomyces* radiation (Gonçalves et al.
2011; Salvadó et al. 2011). Even the two most recent branches of the phylogeny exhibit

a robust difference in this phenotype: *S. cerevisiae* acquired the ability to grow at temperatures near 40°C in the five million years since it diverged from its sister species, *S. paradoxus* (Sweeney et al. 2004; Gonçalves et al. 2011; Salvadó et al. 2011; Williams et al. 2015). Previously, a whole-genome mapping scheme was used to identify housekeeping genes at which variation between *S. cerevisiae* and *S. paradoxus* impacts growth at the high end of the *S. cerevisiae* temperature range (Weiss et al. 2018). These loci exhibit striking sequence differences between the species, and conservation in *S. cerevisiae*, consistent with a history of positive selection on pro-thermotolerance alleles (Weiss et al. 2018; Abrams et al. 2021a,b). However, we have as yet little insight into the ecological dynamics by which this model trait evolved along the *S. cerevisiae* lineage.

Cases of adaptation across temperature clines are a mainstay of the evolutionary genetics literature (Turner et al. 2008; Prasad et al. 2011; Mimura et al. 2013; Savolainen et al. 2013; Robin et al. 2017; Dudaniec et al. 2018; Key et al. 2018; Endler 2020; Tepolt and Palumbi 2020; Calfee et al. 2021; Machado et al. 2021). Here we sought to explore the relevance of such a mechanism to the events by which *S. cerevisiae* gained its maximal thermotolerance phenotype. Given that we have no access to genotypes representing ancient intermediates between this species and *S. paradoxus*, we designed a strategy to interrogate the genetics of extant strains, focusing on contributing genes of major effect. We surveyed gene-environment interactions by these thermotolerance loci across warm temperatures, complementing previous studies of interspecies variation at a single high temperature (Weiss et al. 2018; Abrams et al. 2021b). And we assessed the evidence for selective sweeps at these loci with a population-genomic approach.

**RESULTS**

For an initial study of the genetics of yeast species variation in temperature response, we chose to harness DVBPG1373, an *S. cerevisiae* isolate from Dutch soil, and Z1, an *S. paradoxus* isolate from an oak tree in England. We anticipated that detailed analyses using these strains, as representatives of their respective species, could accelerate the discovery of more general principles (Weiss et al. 2018; Abrams et al. 2021a,b). We developed an assay quantifying cell viability in a given liquid culture before and after incubation at a temperature of interest, and we implemented this approach for each species in turn. The results revealed an advantage for *S. cerevisiae* over *S. paradoxus* at temperatures above 35°C (Figure 1), consistent with previous growth-based surveys (Sweeney et al. 2004; Gonçalves et al. 2011; Salvadó et al. 2011; Williams et al. 2015). *S. cerevisiae* maintained viability at all temperatures tested, whereas by 37°C, *S. paradoxus* became inviable, with no evidence for spontaneous rescue even over long incubation times (Figure 1). Using the latter as a window onto the phenotype of the common ancestor of *S. cerevisiae* and *S. paradoxus*, we would envision that this ancient population would have gone extinct rather than adapting, if exposed to temperatures at the high end of the range tolerated by modern *S. cerevisiae*.

We next aimed to investigate the genetics of temperature response as it differs between
extant *S. cerevisiae* and *S. paradoxus,* to motivate inferences about the evolution of the
maximal thermotolerance trait. We focused on four genes—the cell division factors
*ESP1, MYO1,* and *CEP3*, and the ribosome maturation factor *AFG2*—where alleles
from modern *S. paradoxus* compromise growth at 39°C (Weiss et al. 2018). We made
use of strains of the *S. cerevisiae* DVBPG1373 background harboring the allele of each
gene in turn from *S. paradoxus* Z1. In each, we measured the growth phenotype as a
dose-response across temperatures, and observed a drop as temperature increased
(Figure 2). In the *S. cerevisiae* background, *S. paradoxus* Z1 alleles eroded growth
efficiency at temperatures well below the hard limit of viability for the Z1 wild-type
(~38°C), an effect that reached significance for three of our four focal genes (Figure 2).
We conclude that many problems posed by *S. paradoxus* Z1 alleles at the high end of
our temperature range also manifest to a lesser extent at lower temperatures.
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Inspecting the shape of the temperature dose-responses of allelic effects, we noted
quantitative differences between our loci. At the chromatid separase gene *ESP1*, the Z1
allele conferred an appreciable drop in growth efficiency at 36°C and supported almost
no growth by 37°C (Figure 2B). By contrast, at *AFG2,* the *S. paradoxus* Z1 allele was
sufficient for growth approximating that of *S. cerevisiae* until 38°C (Figure 2D). The
dose-response of allelic effects at *MYO1,* encoding a class II myosin heavy chain, lay
between these two extremes (Figure 2C). This differential susceptibility to temperature
across the genes of our set likely reflects distinguishing properties of their structure and
function, and of the interspecies variants they harbor.
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We reasoned that trends from our temperature dose-response approach would be most
informative when they were conserved across *S. paradoxus* as a species. To pursue
this, we earmarked *ESP1*, whose *S. paradoxus* Z1 allele had exhibited the sharpest
falloff with temperature among the genes of our set (Figure 2B). We repeated our
growth efficiency experiments in strains of *S. cerevisiae* DVBPG1373 harboring *ESP1*
from other *S. paradoxus* donors beside the Z1 strain. These transgenics, which
phenocopy wild-type DVBPG1373 at 28°C (Weiss et al. 2018), all dropped off in growth
efficiency by 36°C (Figure S2), as expected if the temperature preference of *ESP1* were
ancestral to, and shared across, extant *S. paradoxus* populations.
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130  Together, these dose-response results make clear that the functions of *S. paradoxus*
131  alleles at our focal genes break down at distinct temperatures between 35°C and
132  38°C—suggesting similar gene-by-environment effects in the ancestor of *S. cerevisiae*
133  and *S. paradoxus*, if it sampled a range of temperature conditions over evolutionary
134  history.
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To gain insight into past and current selective pressures on thermotolerance loci, we
turned to a molecular-evolution approach. Previous work on *Saccharomyces*
thermotolerance genes has emphasized sequence divergence between species (Weiss
et al. 2018; Abrams et al. 2021a,b). For a complementary focus on population variation
within *S. cerevisiae*, we analyzed the Tajima's D statistic, which formulates properties of
sequence variants in a population into a selection test on allele frequencies (Tajima

1989; Biswas and Akey 2006). We first developed a resampling-based test that
compares Tajima's D between a gene cohort of interest and a genomic null. This
scheme enables a non-parametric assessment of significance and normalizes out
potential effects of demography (see Methods). Expecting that our test would have
maximal power in a data set of large sample size, we focused on the most deeply-
sampled *S. cerevisiae* population in current compendia, comprising isolates from
vineyards and European soil (Peter et al. 2018). Examining our four focal
thermotolerance genes, we detected an enrichment for negative Tajima's D at these loci
across *S. cerevisiae* genomes, reporting an excess of rare variants—a classic signature
of a selective sweep (Figure 3 and Table S1). We repeated this analysis using more
comprehensive sets of hits from interspecies thermotolerance screens (Weiss et al.
2018; Abrams et al. 2021a,b), and detected strong signal for negative Tajima's D at
these loci in vineyard/European *S. cerevisiae* in every case (Table S1). Interestingly,
*ESP1* exhibited the most negative Tajima's D value among all thermotolerance genes
(Table S1), dovetailing with the strong effect of variation at this gene in phenotypic
analyses (Weiss et al. 2018 and Figure 2). The trend for low Tajima's D in
thermotolerance genes was detectable but not consistent across other shallowly-
sampled populations of *S. cerevisiae* (see Methods), potentially reflecting weaker power
or weaker selection in the latter relative to vineyard/European strains. In either case, our
data establish that thermotolerance gene variation in some modern *S. cerevisiae*
populations follows the expectations after a past sweep, with relatively few recent
mutations popping up on an otherwise constrained adaptive haplotype.
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165    **DISCUSSION**
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167    Most trait differences that define long-diverged species are likely the product of suites of
168    unlinked variants that have come together over long timescales. Tracing the ancient
169    evolutionary events at such loci remains a central challenge in the field. In this work, we
170    have characterized thermotolerance genes in extant yeast strains and species to inform
171    models of the evolution of the trait in *S. cerevisiae*.
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Our data have shown that temperatures below the high end of the *S. cerevisiae* range
are lethal for *S. paradoxus*. This complements previous surveys of the *Saccharomyces*
clade using growth-based assays (Sweeney et al. 2004; Gonçalves et al. 2011; Salvadó
et al. 2011) and reveals that, under high temperature conditions, a given culture of *S.*
*paradoxus* will die off rather than adapting. Assuming similar behavior in an ancestor of
*S. cerevisiae* before the rise of its modern thermotolerance profile, we infer that the
latter event was unlikely to be precipitated by a single jump to a hot growth
environment, long ago in history. Rather, we favor the hypothesis that thermotolerance
evolution through the *S. cerevisiae* lineage proceeded along a temperature cline, as has
been documented in elegant local-adaptation case studies (Mimura et al. 2013; Robin et
al. 2017; Dudaniec et al. 2018; Key et al. 2018; Tepolt and Palumbi 2020). In this
scenario, a well-adapted ancestral *S. cerevisiae* population in a given temperature niche
would have acquired variants that resolved defects manifesting in slightly warmer
conditions, and expanded its range. If the *S. cerevisiae* lineage did go through a series
of such transitions in acquiring maximal thermotolerance, it would echo the principle that

gradual exposure to increasing stresses fosters adaptation and reduces the risk of
extinction, relative to a sudden, high dose of stress (Lindsey et al. 2013).
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We have also leveraged results from genetic mapping of *S. cerevisiae* thermotolerance
to trace the temperature dependence of contributing genes. This contrasts with
approaches that search genomes for variants associated with environment or
population variables (Rellstab et al. 2015; Hoban et al. 2016), in that we focus directly
on *bona fide* causal determinants of the trait of interest. Our strategy has allowed us to
discern differences between thermotolerance loci in terms of the temperatures at which
alleles from modern *S. paradoxus* fail in their growth functions. In other words, the
genetic mechanisms of viability change with temperature in this system. If such effects
were at play in the *S. cerevisiae* ancestor, as it sampled conditions and niches during
the acquisition of thermotolerance, each locus would have come under selective
pressure at the temperature where its defect manifested. This phenomenon, by which
the weakest point in the genetic network targeted by evolution changes as conditions
change, has been termed "whack-a-mole" dynamics (Shin and MacCarthy 2015).
Ultimately, a complete model of adaptation across time and environment will take into
account the shifts in the evolutionary landscape from this effect as well as from constant
changes in genetic background (Starr and Thornton 2016).
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If the ancient *S. cerevisiae* population did adapt progressively along a temperature
cline, what would the ecology have been? In principle, migrants from temperate physical
locales could have advanced to warmer and warmer locales, perhaps terminating in the
hot East Asian environments to which the ancestor of modern *S. cerevisiae* has been
traced (Peter et al. 2018). Alternatively, the trait syndrome in this species—a unique
ability to tolerate ethanol as well as heat, with both given off by fermentative
metabolism—could have arisen as a specialization to kill off microbial competitors in
nutrient-rich substrates, regardless of the endemic temperature of the location (Goddard
2008; Salvadó et al. 2011). If so, variants would have been acquired, potentially over
millions of generations, gradually to ratchet up fermentative activity and tolerance to its
byproducts, with our genetic insights thus far largely restricted to the latter.
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Our work also leaves open the dating of any such events. In several *S. cerevisiae*
populations, we have uncovered patterns of allele frequency at thermotolerance loci
consistent with a history of positive selection. Previous work across populations has
also made clear that *S. cerevisiae* alleles at our focal genes are partly sufficient for
maximal thermotolerance, conserved within the species, and divergent from *S.
paradoxus* (Weiss et al. 2018; Abrams et al. 2021b). We thus propose that many of
these alleles were acquired in ancient selective sweeps, before the radiation of modern
*S. cerevisiae*. That said, later refinements in particular populations of *S. cerevisiae* may
also have strengthened beneficial facets of the trait, added regulatory tuning, or
eliminated antagonistic pleiotropic "side effects" that were niche-specific. A
comprehensive genetic and ecological reconstruction of this history may be out of our
current grasp, especially in light of the caveats of our approach using a laboratory
setting and extant strain backgrounds. Nonetheless, our data add compelling detail to

an emerging consensus view of how evolution built maximal thermotolerance in *S.*
*cerevisiae*.
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236 **METHODS**
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238 **Dose response growth assay**
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For growth measurements in Figure 2, we assayed *S. paradoxus* Z1, *S. cerevisiae*
DBVPG1373, and *S. cerevisiae* DBVPG1373 with the *S. paradoxus* Z1 allele of *ESP1*,
*MYO1, AFG2,* or *CEP3* swapped in at the endogenous locus from (Weiss et al. 2018)
(Table S2) as follows. Each strain was streaked from a -80°C freezer stock onto a yeast
peptone dextrose (YPD) agar plate and incubated at room temperature for 3 days. For
each biological replicate, a single colony was inoculated into 5 mL liquid YPD and
grown for 24 hours at 28°C with shaking at 200 rpm to generate pre-cultures. Each pre-
culture was backdiluted into YPD at an $OD_{600}$/mL of 0.05 and grown for an additional
5.5-6 hours at 28°C, shaking at 200 rpm, until reaching logarithmic phase. Each pre-
culture was again back-diluted into 10 mL YPD in 1-inch diameter glass tubes with a
target $OD_{600}$/mL of 0.05; the actual $OD_{600}$/mL of each was measured, after which it was
grown at a temperature of interest (28°C or 35-38°C) with shaking at 200rpm for 24
hours, and $OD_{600}$/mL was measured again. The growth efficiency for each replicate was
calculated as the difference between these final and initial $OD_{600}$/mL values. We used
the growth efficiency from all days and all temperatures of a given strain *s* as input into
a two-factor type 2 ANOVA test for a temperature-by-strain effect comparing *s* with *S.*
*cerevisiae*.
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258 For growth measurements of *ESP1* swap strains with different donors, as reported in
259 Figure S2, cultures were grown and measured as above, except that the only
260 temperature was 36°C.
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262 **Viability assay**
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For the survey of viability phenotypes at high temperatures across wild-type isolates in
Figure 2, strains were streaked out and a colony of each was pre-cultured in liquid as
for 39°C growth above, except that the initial pre-culture to achieve saturation lasted 48
hours. Each pre-culture was back-diluted into 10 mL of YPD to reach an OD600/mL of
0.05 and then cultured for 24 hours at the temperature of interest (28°C - 39°C). The
viability of both the precultures and the cultures after 24 hours at the temperature of
interest were measured with a spotting assay, where we diluted aliquots from the
culture in a 1:10 series and spotting 3 μL of each dilution for growth on a solid YPD
plate.  After incubation at 28°C for two days, we used the dilution corresponding to the
densest spot that was not a lawn for to determine viability: we counted the number of
colonies in each of the two technical replicate spots, formulated the number of colony
forming units per mL of undiluted culture (CFU/mL). We determined the change in
viable cells by subtracting the number of cells in the culture at the initial time point from
that at the final timepoint, based on the CFU/mL count and the culture volume. We

evaluated the significance of the difference between *S. cerevisiae* and *S. paradoxus* at
a given temperature using a one-sided Mann-Whitney U test.
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281  **Tajima's D in Wine/European *S. cerevisiae***
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Tajima's D tabulates the difference between the average number of differences in pairs
of sequences in a population sample and the number of variant sites in the sample.
When the latter is of much bigger magnitude and D is negative, it indicates that variation
in the sample is accounted for mostly by rare alleles, as expected some time after a
selective sweep when *de novo* mutations arise on the swept haplotype. Taking as input
the VCFs reporting inheritance in the strains of a given *S. cerevisiae* population from
(Peter et al. 2018), we used VCF-kit (Cook and Andersen 2017) to calculate Tajima's D
for the region from coding start to coding stop for each gene. We then sampled 10,000
random cohorts of genes from the genome with the same number of essential and
nonessential genes as our thermotolerance cohort (Winzeler et al. 1999), and we used
as an empirical *P*-value the proportion of random cohorts where the median Tajima's D
was less than or equal to that of our thermotolerance cohort. Application of this test to
the Wine/European *S. cerevisiae* population (362 strains) is reported in Figure 3 of the
main text. Applied to the Mosaic Region 3 (113 strains), Mixed Origin (72 strains), Sake
(47 strains), and Brazilian Bioethanol (35 strains), the four next most deeply sampled
populations from (Peter et al. 2018), this test for enrichment of low Tajima's D among
our four focal thermotolerance genes yielded $P$ = 0.8351, 0.975, 0.8624, and 0.0348
respectively.
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**CONFLICT OF INTEREST**

The authors have declared no conflict of interest.

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**FIGURE LEGENDS**

**Figure 1. *S. paradoxus* is inviable at high temperature.** Each column reports the viability of a culture of *S. cerevisiae* DBVPG1373 or *S. paradoxus* Z1 at the indicated temperature. The *y*-axis reports the number of viable colony forming units (CFU) per unit of optical density after 24 hours of incubation, as a difference from the analogous quantity at time zero. Each point reports results from one biological replicate, and each bar height reports the average across replicates (*n* = 3-6). *, *P*<0.05 for a one-sided Mann-Whitney U test assessing the advantage of *S. cerevisiae* over *S. paradoxus* at a given temperature.

**Figure 2. *S. paradoxus* alleles of thermotolerance genes confer temperature-dependent defects in the *S. cerevisiae* background.** Each panel reports the results of growth experiments of a strain of *S. cerevisiae* DBVPG1373 harboring the indicated gene from *S. paradoxus* Z1, or the respective wild-type parent strains (WT), across a temperature gradient. In a given panel, the *y*-axis reports growth efficiency, the optical density reached by the culture after 24 hours at the temperature indicated on the *x*-axis, as a difference from the analogous quantity at time zero. Each point reports results from one biological replicate (*n* = 3), and the line represents the average growth efficiency of the indicated strain across the temperature gradient. *, *P* < $10^{-3}$ for the strain by temperature interaction term of a two-factor ANOVA, in a comparison between the indicated strain and wild-type *S. cerevisiae*.

**Figure 3. Thermotolerance genes are enriched for low Tajima's D in *S. cerevisiae*.** The *x*-axis reports the median Tajima's D across a gene set of interest in genomes of wine/European strains of *S. cerevisiae* from (Peter et al. 2018). Blue bars reflect the results from genomic resampling, with the *y*-axis reporting the number of randomly chosen gene sets with the median Tajima's D shown on the *x*, and the blue curve showing a kernel density estimate of the histogram bar values. The red vertical line reports the Tajima's D value of the four thermotolerance genes characterized in Figure 2, corresponding to resampling *P* = 0.0263.