

1 **Hippocampal protein aggregation signatures fully distinguish pathogenic**
2 **and wildtype *UBQLN2* in amyotrophic lateral sclerosis**

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55 **Authors' contributions**

56 KMT, BVD, HCM, MEVS, JHY, NFM conducted or designed experiments; KMT, BVD,
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59 MAC, TS, CES coordinated the banking and use of human tissue for study; TS, CES, GAN
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62 authors read, edited, and approved the final manuscript.

63

64 **Abstract**

65 Mutations in the *UBQLN2* gene cause X-linked dominant amyotrophic lateral sclerosis
66 (ALS) and/or frontotemporal dementia (FTD) characterised by ubiquilin 2 aggregates in
67 neurons of the motor cortex, hippocampus, cerebellum, and spinal cord. However,
68 ubiquilin 2 neuropathology is also seen in sporadic and familial ALS or FTD cases not
69 caused by *UBQLN2* mutations, particularly *C9ORF72*-linked cases. This makes the
70 mechanistic role of ubiquilin 2 mutations and the value of ubiquilin 2 pathology for
71 predicting genotype unclear. Here we examine a cohort of 31 genotypically diverse ALS
72 cases with or without FTD, including four cases with *UBQLN2* mutations (resulting in
73 p.P497H, p.P506S, and two cases with p.T487I). Using double-, triple-, and five-label
74 fluorescent immunohistochemistry, we mapped the co-localisation of ubiquilin 2 with
75 phosphorylated TDP-43 (pTDP-43), dipeptide repeat aggregates, and p62, in the
76 hippocampus of controls (n=5), or ALS with or without FTD in sporadic (n=19), unknown
77 familial (n=3), *SOD1*-linked (n=1), *C9ORF72*-linked (n=4), and *UBQLN2*-linked (n=4)
78 cases. We differentiate between i) ubiquilin 2 aggregation together with, or driven by,
79 pTDP-43 or dipeptide repeat proteins, and ii) ubiquilin 2 self-aggregation driven by
80 *UBQLN2* gene mutations. Together we describe a hippocampal protein aggregation
81 signature that fully distinguishes mutant from wildtype ubiquilin 2 in ALS with or without
82 FTD, whereby mutant ubiquilin 2 is more prone than wildtype to aggregate independently
83 of driving factors. This neuropathological signature can be used to assess the pathogenicity
84 of *UBQLN2* gene mutations and to understand the mechanisms of *UBQLN2*-linked disease.

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90 **Keywords:** *UBQLN2*, ubiquilin 2, amyotrophic lateral sclerosis (ALS), frontotemporal
91 dementia (FTD), neuropathology, hippocampus, human, TDP-43, polyGP, polyGA

92

93 **Introduction**

94 *UBQLN2* gene mutations are a rare cause of amyotrophic lateral sclerosis (ALS) with or
95 without dementia, and the only known causal mutations on the X-chromosome. *UBQLN2*
96 [NM_013444] encodes the ubiquilin 2 protein, the best studied of five human ubiquilins,
97 which contains a unique PXX domain comprising 12 proline-rich tandem repeats [1,2].
98 Like other ALS- and FTD-linked degradation proteins (sequestosome 1/p62 (hereafter
99 p62), valosin-containing protein, optineurin, and TANK-binding kinase 1), a key role for
100 ubiquilin 2 is to bind ubiquitinated, misfolded, and aggregated protein cargos, triaging them
101 between the proteasome and autophagy intracellular degradation pathways [3–6]. New
102 evidence suggests that ubiquilin 2 also undergoes reversible liquid-liquid phase separation,
103 allowing it to bind ubiquitin-labelled proteins within phase-separated stress granules. Upon
104 ubiquitinated protein binding, ubiquilin 2 falls out of the liquid-droplet phase, plucking its
105 cargo from the stress granule for delivery to the proteasome; ubiquilin 2 is therefore also
106 implicated in stress granule disassembly [7–10].

107

108 These roles at the interface between RNA processing and protein degradation — two key
109 pathways in ALS and FTD pathogenesis — underpin why ubiquilin 2 mutations cause
110 disease. ALS and/or FTD (ALS/FTD)-causing *UBQLN2* mutations that alter residues
111 flanking and within the PXX domain impair ubiquilin 2 binding to the proteasome, and
112 promote self-oligomerisation and liquid-to-solid rather than liquid-liquid phase transition
113 [9,11–14]. The mutation c.1490C>A, resulting in p.P497H, was the first disease-causing
114 *UBQLN2* mutation identified, leading to the discovery in unrelated ALS/FTD families of
115 mutations c.1489C>T (p.P497S), c.1516C>A (p.P506T), c.1525C>T (p.P509S) and
116 c.1573C>T (p.P525S) all altering the PXX domain [1]. A c.1516C>T mutation, resulting
117 in p.P506S also within the PXX domain, was later identified in a family with young onset
118 ALS, ALS with FTD onset, or pure spastic paraplegia [15] while a c.1460C>T mutation,
119 resulting in p.T487I just upstream of the PXX region, was found in two families with
120 members in New Zealand and Australia [16,17]. *UBQLN2* mutations/variants have also
121 been identified in ALS/FTD cases altering other residues within or flanking the PXX
122 domain; in the ubiquitin-like domain; the stress-induced protein 1-like domains; or outside
123 of known domains [18–37]. However, it is currently uncertain whether all of these

124 *UBQLN2* variants are pathogenic (disease-causing).

125

126 The neuropathology of ALS/FTD caused by pathogenic *UBQLN2* mutations is
127 characterised by aggregated ubiquilin 2 in the motor cortex, spinal cord, cerebellum, and
128 hippocampus [1,15,17,23,38]. We previously reported ubiquilin 2-positive aggregates in
129 the hippocampus of an individual with p.T487I *UBQLN2*-linked ALS+FTD, but not in
130 sporadic ALS [38]. However, ubiquilin 2 inclusions are not specific to *UBQLN2*-linked
131 ALS/FTD cases and have been identified previously in sporadic and familial ALS, and
132 ALS-dementia, regardless of whether ubiquilin 2 is wildtype or mutant [1,15,17,23,38,39].
133 Some of these ubiquilin 2 inclusions are immunopositive for other ALS/FTD-linked
134 proteins such as pan-TDP-43 or phosphorylated TDP-43 (pTDP-43), FUS, p62, optineurin,
135 or ubiquitin [1,17,40–44]. In addition, deposition of ubiquilin 2 in the hippocampus is a
136 characteristic feature of ALS/FTD caused by *C9ORF72* hexanucleotide repeat expansions,
137 in which ubiquilin 2 is seen in the presence of dipeptide repeat (DPR) proteins [39]. Thus,
138 while ubiquilin 2 is clearly involved in ALS/FTD pathogenesis regardless of aetiology, the
139 role of *UBQLN2* mutations and the predictive value of ubiquilin 2 labelling in relation to
140 genotype is still unclear.

141

142 There is a clear need to determine whether *UBQLN2* mutations cause ubiquilin 2
143 neuropathology that is distinct from the wildtype ubiquilin 2 neuropathology that is seen
144 in ALS/FTD with other genotypes. If they do, then defining a pathological signature for
145 *UBQLN2*-linked disease will provide mechanistic insights and aid assessment of the
146 pathogenicity of *UBQLN2* genetic variants which are not yet confirmed to be causative.
147 Because ubiquilin 2 aggregation across a range of ALS/FTD genotypes occurs in the
148 hippocampus, this region may provide insight into the requirements for mutant and
149 wildtype ubiquilin 2 aggregation. Here we map the hippocampal ubiquilin 2 protein
150 deposition signature with respect to pTDP-43, two *C9ORF72*-linked DPR proteins, and
151 p62, in ALS/FTD with and without *UBQLN2* mutation.

152 **Materials and methods**

153 **Systematic review of *UBQLN2*-linked ALS/FTD neuropathology**

154 Journal articles were identified using PubMed that were published between Jan 1993 and
155 September 2021. Search terms were *UBQLN2*, ubiquilin 2, amyotrophic lateral sclerosis,
156 and ALS, combined with neuropathology, tissue, or immunohistochemistry. Articles which
157 did not contain ubiquilin 2 neuropathological information in post-mortem ALS/FTD
158 human brain tissue, were not primary research articles, or were not published in English
159 were excluded. Seven papers were identified from which neuropathological data for TDP-
160 43, ubiquilin 2, ubiquitin, p62, *C9ORF72*-linked DPR proteins, FUS, and SOD1 protein
161 aggregates in the spinal cord and hippocampus were extracted and tabulated.

162 **Patient demographics and hippocampal brain tissue**

163 Formalin-fixed paraffin-embedded (FFPE) post-mortem brain tissue from 5 neurologically
164 normal and 28 ALS cases with or without FTD, processed as previously described [45]
165 were obtained from the Neurological Foundation Human Brain Bank at the Centre for
166 Brain Research, Auckland, New Zealand. Patient demographic and clinical information is
167 summarised in Table S1. These included nineteen cases with sporadic ALS (one of whom
168 had co-morbid FTD), three with familial ALS of unknown genotype, one with *SOD1*-
169 linked ALS (p.E101G), four with *C9ORF72*-linked ALS, and one with ALS+FTD with the
170 *UBQLN2* p.T487I mutation (pedigree ID FALS5 IV:18 in Fig. 1A of [17] and in this report;
171 and coded MN17 in Figure 1D of [38] and in this report). It should be noted that the latter
172 (MN17/ FALS5 IV:18) was stored in fixative for 7 years before embedding. All non-
173 *SOD1*-linked NZ cases had confirmed pTDP-43 proteinopathy in the motor cortex. FFPE
174 hippocampal tissue was also obtained from the Victoria Brain Bank from an affected family
175 member of the *UBQLN2* p.T487I case, who had ALS+FTD and was also positive for the
176 mutation (pedigree ID V:7 in Figure 1A of [17] and in this report); and from two unrelated
177 *UBQLN2*-linked cases from the London Neurodegenerative Diseases Brain Bank and
178 Brains for Dementia (p.P506S (ALS+FTD), pedigree ID II.2 in Fig. 1B of [15]), and the
179 Northwestern University Feinberg School of Medicine, USA (p.P497H (ALS), pedigree
180 ID V:I of Family #186 in Fig. 1A of [1]). All clinical and neuropathological diagnoses were
181 conducted as described previously [1,15,17,38].

182 **Double-, triple-, and five-label fluorescent immunohistochemistry**

183 Fluorescence immunohistochemistry was performed as described previously [46,47].
184 Briefly, tissue sections were cut with a microtome in the transverse plane at a thickness of
185 7-10 μm and mounted onto Superfrost Plus slides (Thermo Fisher Scientific). Mounted
186 sections were dried at room temperature for a minimum of 1 week before
187 immunohistochemistry. Slides were heated to 60 °C for 1 h on a hot plate, then dewaxed
188 and rehydrated through a xylene-alcohol-water series: 100% xylene, 2x 30 min; 100%
189 ethanol, 2x 15 min; 95%, 85%, 75% ethanol, 5 min each; water 3x 5 min. Antigens were
190 retrieved through immersion in 10 mM sodium citrate buffer (0.05% Tween 20, pH 6.0) in
191 a pressure cooker (Retriever 2100, Electron Microscopy Sciences) at 120 °C for 20 min
192 and cooled to room temperature for 100 min. Sections were washed 3x 5 min in 1x
193 phosphate-buffered saline (PBS) and wax borders were drawn with an ImmEdge
194 Hydrophobic Barrier PAP pen. Sections were permeabilised in PBS-T (PBS with 0.2%
195 Triton™ X100) for 15 min at 4 °C, followed by 3x 5-min PBS washes. Lipofuscin
196 autofluorescence was quenched using TrueBlack® Lipofuscin quencher (Biotium) 1:20 in
197 70% ethanol for at least 30 seconds followed by three vigorous water washes. Sections
198 were blocked with 10% normal goat or donkey serum (Thermo Fisher Scientific) in PBS
199 for 1 h, then incubated at 4 °C overnight with primary antibodies (Table S2). Following
200 PBS washes, species- and isotype-specific secondary antibodies and Hoechst 33342
201 nuclear stain (Table S2) were applied for 3 h in 1% normal goat or donkey serum at room
202 temperature. After final 3x 5-min PBS washes, sections were coverslipped with #1.5
203 coverslips (Menzel-Gläser) using ProLong® Gold Antifade Mountant (Thermo Fisher
204 Scientific). Neurologically normal control samples, bleed-through, secondaries-only, and
205 cross-reactivity control sections were included for each staining (Figs. S2, S3, S4, S5, S6,
206 S7, S8).

207 **Image acquisition**

208 Wide-field double- and triple-label images were acquired using a Nikon Eclipse Ni-E
209 microscope (20x magnification, 0.50 NA) with a Nikon DS-Ri2 camera using NIS
210 elements (Nikon, version 4.20). Sections were imaged with the same exposure time and
211 gain settings for each staining combination where possible. *UBQLN2*-linked ALS+FTD

212 case V:7-p.T487I showed consistently poor Hoechst immunoreactivity, while *UBQLN2*-
213 linked ALS+FTD case MN17-p.T487I showed poor immunoreactivity overall, likely due
214 to long-term fixation, therefore a longer exposure was used for both cases when imaging.

215

216 Multiplex (five-label) images were acquired using a Zeiss Z2 Axioimager with a
217 MetaSystems VSlide slide scanning microscope (20x dry magnification lens, 0.9 NA) with
218 a Colibri 7 solid-state fluorescent light source. Single filters, as described and validated
219 previously [48], were used to excite fluorophores and detect the following wavelengths:
220 Filter set #1 (LED 385; Em 447/60 nm), #3 (LED 475; Em 527/20 nm), #4 (LED 555; Em
221 580/23 nm), #5 (LED 590; Em 628/32 nm), #6 (LED 630; Em 676/29 nm), and #7 (LED
222 735; Em 809/81 nm) and visualised with MetaFer software (MetaSystems, v.3.12.1)
223 equipped with a CoolCube 4m TEC (monochrome) sCMOS digital camera. Image tiles
224 were seamlessly stitched using MetaCyte software, and stitched images were extracted
225 using VSViewer software (MetaSystems, v.1.1.106).

226

227 Stimulated emission depletion (STED) images were acquired using an Abberior Facility
228 STED microscope (60x UPLXAPO oil immersion lens, 1.42 NA) using ImSpector
229 Lightbox software (Specim, v.16.3.13779). A 561-nm pulsed diode laser was used to
230 excite Alexa Fluor 594, and a 640-nm diode laser was used to excite Alexa Fluor 647. For
231 STED imaging, a pulsed 775-nm laser was used for depletion of both fluorophores. After
232 scanning, the images were processed using the PureDenoise plugin [49] for ImageJ
233 (National Institutes of Health, USA v1.53f51).

234

235 Confocal images of triple-label immunohistochemically stained tissue were acquired using
236 a Zeiss LSM 800 Airyscan confocal laser scanning microscope (63x magnification oil
237 immersion lens, 1.4 NA, Z-step 0.25 μm) with ZEN software (Carl Zeiss, ZEN 3.1 Blue
238 Edition). Maximum intensity Z-projections and orthogonal projections were generated and
239 processed using ZEN 3.1 software.

240

241 Final figures were composed using Adobe Photoshop CC (Adobe Systems Incorporated,
242 v20.0.6).

243 **Relatedness analysis**

244 To further examine the p.T487I mutation in ALS/FTD, genome-wide genotype data from
245 the family of *UBQLN2*-linked ALS+FTD case MN17 (FALS5) and another Australian
246 family with an identical p.T487I mutation (FALS14) were analysed to determine whether
247 they inherited the mutation from a common ancestor (pedigree in Fig. S1, sample
248 information in Table S3). These two families were previously reported to share a haplotype
249 identical-by-state over the *UBQLN2* locus [17], but genealogy analysis had been unable to
250 link the pedigrees. Three individuals from FALS5 (all affected, pedigree IDs III:8, IV:9,
251 IV:18 (MN17)) and two individuals from FALS14 (pedigree IDs II:1 (unaffected) and III:2
252 (affected)) underwent SNP genotyping using the Illumina Infinium Global Screening Array
253 v2.0. Identity-by-descent (IBD) analysis was performed using XIBD software [50] with
254 the combined HapMap Phase II and III European (CEU) cohort as a reference dataset.
255 SNPs in high linkage disequilibrium ($r^2 > 0.95$) or SNPs with a low minor allele frequency
256 (MAF < 0.01) were removed from analysis, as well as SNPs with missing genotype calls
257 in two or more samples. 39,002 SNPs remained for analysis and 211 IBD segments greater
258 than 3 cM were identified. The degree of relationship was estimated for each pair of
259 samples using the lengths of inferred IBD segments as in Estimation of Recent Shared
260 Ancestry [51].

261 **Results**

262 **Ubiquilin 2 labelling in previous studies fails to discriminate between *UBQLN2*-linked** 263 **and other genotypes of ALS/FTD**

264 Systematic review of the literature describing ubiquilin 2 neuropathology in human
265 ALS/FTD identified 137 results, of which 7 articles met the criteria for full review. The
266 neuropathology of ubiquilin 2 with respect to six other ALS/FTD-linked proteins in
267 specific CNS regions is summarised in Fig. 1. Ubiquilin 2 neuropathology in the spinal
268 cord is present in ALS/FTD cases with *UBQLN2* mutations, but is also frequently found
269 aggregating in sporadic ALS cases and in ALS/FTD with other genotypes where it
270 presumably co-localises with aggregates of pTDP-43 and/or mutant proteins. Ubiquilin 2
271 neuropathology in the hippocampal molecular layer was most frequently reported upon,
272 being found in *UBQLN2*-linked and *C9ORF72*-linked ALS/FTD. Ubiquilin 2

273 neuropathology in the hippocampal granule cell layer was inconsistent between cases of
274 *UBQLN2*-linked ALS/FTD but was found in all cases of *C9ORF72*-linked ALS/FTD and
275 therefore also in “ALS-dementia” ([1], later confirmed to be *C9ORF72*-positive) and in a
276 single sporadic ALS/FTD case. Overall, neither ubiquilin 2 staining alone nor in
277 combinations previously tested could distinguish mutant ubiquilin 2 aggregation in
278 *UBQLN2*-linked ALS/FTD from wildtype ubiquilin 2 aggregation in other ALS/FTD
279 genotypes.

280 Ubiquilin 2 and pTDP-43 protein pathology

281 *Sporadic ALS: No cases showed hippocampal ubiquilin 2, but certain cases had* 282 *hippocampal pTDP-43*

283 No hippocampal ubiquilin 2 pathology was observed in any sporadic ALS case. Of the 19
284 sporadic ALS cases examined (one of which had co-morbid FTD), 6 cases (32%; including
285 the case with FTD), had perinuclear pTDP-43-positive neuronal cytoplasmic inclusions
286 (NCIs) in the granule cells of the dentate gyrus but not the molecular layer. These ranged
287 in density from sparse to frequent, suggestive of stage 4 pTDP-43 proteinopathy [52], and
288 were always ubiquilin 2-negative (Fig. 2A1-F1, white arrowheads). The remaining 13
289 sporadic ALS cases (68%) were devoid of either ubiquilin 2 or pTDP-43 in both the granule
290 cell and molecular layers of the hippocampus (Fig. S2). Similarly, no ubiquilin 2 or pTDP-
291 43 aggregates were observed in 5 controls, 3 familial ALS cases of unknown aetiology
292 (unrelated to one another; MN11, MN14, and MN21), or a *SOD1*-linked ALS case (MN24,
293 p.E101G), nor when primary antibodies were omitted (Fig. S2).

294 *UBQLN2-linked ALS/FTD: All cases showed hippocampal molecular layer ubiquilin 2,* 295 *some also had hippocampal granule cell layer pTDP-43*

296 All *UBQLN2*-linked ALS/FTD cases had ubiquilin 2-positive but pTDP-43-negative
297 punctate aggregates in the hippocampal molecular layer, albeit at variable loads (Fig. 2G-
298 J, green arrowheads). They appeared to be localised to the dendritic spines of the
299 hippocampal granule cells, as reported previously in *UBQLN2*-linked ALS/FTD and in
300 mutant *UBQLN2* rodent models [1,53]. Only ALS+FTD case V:7 carrying the *UBQLN2*

301 p.T487I mutation showed pTDP-43 co-localisation with this molecular layer ubiquilin 2,
302 and this was only in a single aggregate (Fig. 2I, white arrow in main image).

303

304 In the granule cell layer, one *UBQLN2* p.P497H case (Fig. 2G) and one *UBQLN2* p.T487I
305 case (MN17, Fig. 2H) were devoid of ubiquilin 2 and pTDP-43 aggregates, consistent with
306 previous findings [1,38]. In contrast, *UBQLN2* p.T487I case V:7 showed very sparse
307 pTDP-43-positive cytoplasmic aggregates in the granule cell layer that were negative for
308 ubiquilin 2 (Fig. 2I2, white arrowhead). In further contrast, and consistent with Gkazi and
309 colleagues [15], a *UBQLN2* p.P506S case had abundant pTDP-43-positive cytoplasmic
310 aggregates in the granule cell layer, that either co-localised with ubiquilin 2 (Fig. 2J1,
311 unfilled green arrowheads) or were independent of ubiquilin 2 (Fig. 2J and J3, white
312 arrowheads). Importantly, while punctate ubiquilin 2 aggregates in the molecular layer
313 dendrites in all *UBQLN2*-linked ALS/FTD cases were independent of pTDP-43, the larger
314 ubiquilin 2 aggregates in the granule cell layer somata in the p.P506S case were always co-
315 localised with pTDP-43. Mutant ubiquilin 2 aggregation in the granule cell layer was
316 therefore likely scaffolded or 'seeded' by pTDP-43, but aggregation in the molecular layer
317 was independent of a known scaffold.

318 ***C9ORF72-linked ALS: All cases showed hippocampal molecular layer and granule cell***
319 ***layer ubiquilin 2, some also had hippocampal granule cell layer pTDP-43***

320 *C9ORF72*-linked ALS/FTD cases are known to be positive in the hippocampus for
321 aggregates of both ubiquilin 2 [39,54] and dipeptide repeat (DPR) proteins translated from
322 the expanded repeat [55,56]. *C9ORF72*-positive ALS cases MN2, MN18, and MN23
323 showed numerous punctate dendritic ubiquilin 2 aggregates and ubiquilin 2-positive
324 dystrophic neurites in the molecular layer (Fig. 2K1-M1, green arrowheads), and compact,
325 star-shaped ubiquilin 2 aggregates in the granule cell layer cell bodies (Fig. 2K2-M2, green
326 arrowheads). These *C9ORF72* cases were devoid of hippocampal pTDP-43. In contrast,
327 *C9ORF72*-positive case MN28 had similar granule cell and molecular layer ubiquilin 2
328 aggregates (Fig. 2N1-3, green arrowheads), but with additional pTDP-43 aggregates in the
329 granule cell layer that co-localised very rarely with ubiquilin 2 (Fig. 2N1, unfilled green
330 arrowhead) or were independent of ubiquilin 2 (Fig. 2N3, white arrowheads). Overall, in

331 all *C9ORF72*-linked ALS cases both punctate ubiquilin 2 aggregates in the molecular layer
332 dendrites and the majority of ‘starburst’ ubiquilin 2 aggregates in the granule cell layer
333 somata were independent of pTDP-43. Wildtype ubiquilin 2 was therefore not ‘seeded’ to
334 aggregate in the granule cell layer by pTDP-43, and aggregated in the molecular layer
335 independently of a known scaffold.

336 **Ubiquilin 2 and DPR protein pathology**

337 ***UBQLN2-linked ALS/FTD: DPR proteins were not components of hippocampal*** 338 ***ubiquilin 2 pathology***

339 As expected, all four *UBQLN2*-linked ALS/FTD cases were devoid of poly(glycine-
340 arginine) (polyGA) and poly(glycine-proline) (polyGP) DPR aggregates (Fig. 3A-D), thus
341 DPR proteins were not co-localised with the ubiquilin 2 detected in the molecular layer of
342 all four cases (Fig. 3A1-D1, green arrowheads), nor were DPR proteins co-localised with
343 the ubiquilin 2 (and therefore pTDP-43) in the granule cell layer of *UBQLN2*-linked case
344 p.P506S (Fig. 3D2, green arrowhead).

345 ***C9ORF72-linked ALS: PolyGP and polyGA DPR aggregates were requisite components*** 346 ***of ubiquilin 2 pathology***

347 The abundant punctate and skein-like ubiquilin 2 aggregates in the molecular layer
348 dendrites of *C9ORF72*-linked ALS cases, as described in Fig. 2, were all polyGA- and
349 polyGP-negative (Fig. 3E1-H1”, green arrowheads). However, as reported previously, all
350 *C9ORF72*-linked cases had polyGA and/or polyGP aggregates in the granule cell layer
351 somata (Fig. 3E2-H2”). PolyGP aggregates were rare in all cases and virtually always co-
352 localised with polyGA (Fig. 3E2 & H2, pink arrowheads). PolyGA aggregates were often
353 (Fig. 3E2-H2, yellow arrowheads) but not always (Fig. 3E2-H2, red and pink arrowheads)
354 co-localised with ubiquilin 2.

355

356 Although these granule cell layer DPR aggregates were sometimes devoid of ubiquilin 2
357 co-labelling, the converse was not observed. Granule cell layer ubiquilin 2 aggregates
358 always co-localised with DPR aggregates; ubiquilin 2 aggregates were never independent
359 of DPR proteins (Fig. 3E2-H2, yellow arrowheads [1,38,39]). Even *C9ORF72*-positive

360 case MN28 (Fig. 3H), which had granule cell layer pTDP-43 aggregates, showed granule
361 cell layer ubiquilin 2 that always co-localised with DPR aggregates. Further investigation
362 in this case demonstrated that 1-2 cells per section of the dentate gyrus granule cell layer
363 contained a DPR aggregate that was surrounded by a pTDP-43 ‘shell’, either with polyGA,
364 polyGP, and ubiquilin 2 co-localisation (Fig. S8A) or with polyGA and polyGP but without
365 ubiquilin 2 (Fig. S8B). This supports a previous report that ubiquilin 2 in *C9ORF72*-linked
366 cases rarely co-localises with granule cell layer pTDP-43, and if so, only when DPRs are
367 also present in the aggregate [57]. Therefore, wildtype ubiquilin 2 aggregation was likely
368 ‘seeded’ in the granule cell layer by polyGA, but not by pTDP-43.

369 **Ubiquilin 2 and p62 protein pathology**

370 ***UBQLN2-linked ALS/FTD versus C9ORF72-linked ALS: Only mutant ubiquilin 2*** 371 ***aggregates in the hippocampal molecular layer were p62 positive***

372 Like ubiquilin 2, p62 is a ubiquitin-binding protein which acts as a cargo adaptor for both
373 the ubiquitin-proteasome system and autophagy and is linked aetiologically to ALS [58–
374 61]. We sought to investigate whether the ubiquilin 2 aggregates identified in the molecular
375 layer dendrites in both *UBQLN2*-linked and *C9ORF72*-linked cases were p62 positive. In
376 the four *UBQLN2*-linked ALS/FTD cases, the mutant ubiquilin 2 aggregates in the
377 molecular layer co-localised with p62 (Fig. 4A-D, orange arrowheads). Only very
378 occasionally were ubiquilin 2 aggregates in this region found to be p62 negative (Fig. 4A-
379 D, green arrowheads). In contrast, in *C9ORF72*-linked ALS cases the wildtype ubiquilin 2
380 aggregates in the molecular layer only very rarely co-localised with p62 (Fig. 4E, orange
381 arrowheads) and were predominantly p62 negative. Therefore, mutant but not wildtype
382 ubiquilin 2 promotes or scaffolds the co-aggregation of p62.

383

384 To further clarify the extent to which ubiquilin 2 aggregates were p62 labelled in *UBQLN2*-
385 and *C9ORF72*-linked cases, we performed confocal microscopy of molecular layer
386 aggregates in two cases with representative molecular layer ubiquilin 2 pathology
387 (*UBQLN2* p.P506S, and *C9ORF72*-positive MN28). This confirmed that mutant ubiquilin
388 2 aggregates in the molecular layer in *UBQLN2*-linked ALS/FTD were almost all co-
389 localised with p62 (Fig. 4F), while wildtype ubiquilin 2 aggregates in the molecular layer

390 in *C9ORF72*-linked ALS were very rarely p62 positive (Fig. 4G). Further, the mutant
391 ubiquilin 2 aggregates were morphologically distinct from wildtype; mutant ubiquilin 2
392 formed small compact aggregates (Fig. 4F) while wildtype ubiquilin 2 formed both small
393 compact aggregates *and* wispy skein-like structures (Fig. 4G).

394 **Combined neuropathological signatures discriminate between *UBQLN2*-linked,** 395 ***C9ORF72*-linked, sporadic, and unknown familial cases**

396 Integration of all neuropathological findings (Fig. 5) revealed a characteristic hippocampal
397 neuropathological signature for *UBQLN2*-linked ALS/FTD, which was distinct from that
398 in other forms of ALS/FTD.

399

400 Sporadic ALS cases were wholly devoid of hippocampal ubiquilin 2 or DPR protein
401 pathology, with a minority of cases showing pTDP-43 aggregates in the granule cells that
402 were ubiquilin 2 negative. Thus, wildtype ubiquilin 2 is not seeded/ scaffolded to aggregate
403 by pTDP-43 aggregation.

404

405 Ubiquilin 2 hippocampal pathology was present in both *UBQLN2*-linked ALS/FTD and
406 *C9ORF72*-linked ALS, but these genotypes could be discriminated when ubiquilin 2 was
407 co-labelled with pTDP-43, DPR proteins, or p62. *C9ORF72*-linked cases always showed
408 granule cell layer ubiquilin 2 that co-localised with DPR aggregates, but rarely pTDP-43.
409 This supports the lack of seeding of wildtype ubiquilin 2 aggregation by pTDP-43, but
410 suggests that wildtype ubiquilin 2 can be seeded by polyGA. In contrast, *UBQLN2*-linked
411 cases showed granule cell layer ubiquilin 2 if co-localised pTDP-43 was present.
412 Therefore, mutant ubiquilin 2 is more aggregation-prone than wildtype, being seeded by
413 pTDP-43.

414

415 In addition, *C9ORF72*-linked cases showed molecular layer ubiquilin 2 that was wispy or
416 punctate and predominantly p62 negative, while *UBQLN2*-linked cases showed molecular
417 layer ubiquilin 2 that was punctate and always p62 positive. Mutant but not wildtype
418 ubiquilin 2 aggregates thus promote the co-aggregation of p62, and this may relate to their

419 conformational differences. Overall, mutant ubiquilin 2 causes unique neuropathology that
420 is shared by p.P506S, p.P497H, and p.T487I ubiquilin 2 (Fig. 6).

421 ***UBQLN2* p.T487I mutation in ALS/FTD families FALS5 and FALS14 was inherited** 422 **from a common ancestor**

423 Since the initial report by Williams et al. [17] of an identical *UBQLN2* p.T487I mutation
424 in ALS families FALS5 and FALS14, we report here that cases MN17 (IV:18) and V:7
425 from family FALS5 developed ALS+FTD, indicating that FTD is part of the clinical
426 phenotype in that family. To further examine relatedness between the families and confirm
427 that the *UBQLN2* p.T487I mutation arose in a common founder, we performed identity-
428 by-descent (IBD) analysis. IBD segments were identified over the *UBQLN2* locus between
429 all four affected individuals from both families (Table 1), while there were no IBD
430 segments inferred over *UBQLN2* between the affected individuals from FALS5 and the
431 unaffected individual from FALS14 who did not carry the *UBQLN2* p.T487I mutation. The
432 interval shared by all four affected individuals spanned rs952836 to rs6423133 and is 68
433 cM in length. This confirms a founder effect of *UBQLN2* p.T487I in FALS5 and FALS14.
434 The genotyped individuals across these families are estimated to be 4th- to 5th-degree
435 relatives (1st cousins once removed - 2nd cousins) (Table 2), now confirming segregation
436 of the *UBQLN2* p.T487I mutation in 17 individuals from the proposed combined Australia-
437 New Zealand pedigree and providing further strong genetic evidence, in addition to the
438 neuropathological evidence, that *UBQLN2* p.T487I is pathogenic for ALS/FTD.

439 **Discussion**

440 ALS shows considerable clinical, pathological, and genetic heterogeneity [62–64]. While
441 TDP-43 proteinopathy is seen in 97% of cases [40,43,65], ALS/FTD-causing genetic
442 mutations can cause deposition of the encoded mutant protein leading to additional
443 pathological aggregate signatures. We previously exploited this to infer *C9ORF72* repeat
444 expansion genotypes [56,66] through neuropathological screening of our New Zealand
445 ALS cases [38]. Mutant *SOD1* [67,68], *FUS* [44], and certain other genotypes [69,70] can
446 also be inferred from neuropathology. However, for many ALS/FTD genes — particularly
447 those such as *TARDBP*, *SQSTM1*, and *UBQLN2* that encode proteins already within the
448 hallmark TDP-43 inclusions — no completely discriminating neuropathology has been

449 reported [42,69,71–75]. This has hampered the validation of pathogenicity of novel
450 variants in these genes, in turn obscuring understanding of protein domains and molecular
451 processes important to ALS/FTD pathogenesis. However, we report here a unique
452 neuropathological signature for mutant ubiquilin 2 in the hippocampus that discriminates
453 *UBQLN2*-linked ALS/FTD cases from all others tested.

454 **pTDP-43 in *UBQLN2*-linked cases: An independent pathology**

455 Before discussing the unique pattern of hippocampal ubiquilin 2 pathology shared by
456 *UBQLN2*-linked cases, it must first be noted that pTDP-43 deposition was variable. Two
457 of the four *UBQLN2*-linked cases were devoid of granule cell layer pTDP-43 aggregates
458 (p.P497H (ALS) and MN17-p.T487I (ALS+FTD)), one case had very sparse granule cell
459 layer pTDP-43 aggregates that were ubiquilin 2-negative (V:7-p.T487I (ALS+FTD)), and
460 one case showed frequent granule cell layer pTDP-43 aggregates that were mostly
461 ubiquilin 2-positive (p.P506S (ALS+FTD)). Although pTDP-43 aggregates are nearly
462 ubiquitous in the ALS spinal cord and motor cortex, only in ~15-30% of ALS cases are
463 they found in the hippocampus [52,76]. Our findings suggest that sequential pTDP-43
464 deposition occurs in the context of *UBQLN2*-linked ALS/FTD just as it does in sporadic
465 and *C9ORF72*-linked ALS/FTD.

466

467 Because pTDP-43 deposition correlates regionally with neurodegeneration, including in
468 the hippocampus [39,52,57,77], hippocampal pTDP-43 has been proposed to promote
469 hippocampal cell loss and the development of FTD [39]. Indeed, pTDP-43 is seen in the
470 hippocampus in 80-100% of behavioural variant FTD (bvFTD) cases compared to only 15-
471 30% of ALS cases [76,77]. The sequential regional deposition of pTDP-43 in bvFTD
472 occurs in almost the reverse direction to that in ALS, with severe pTDP-43 pathology
473 progressing from the orbitofrontal regions and rhinal cortex, via the hippocampus and
474 anterior cingulate cortex to the motor cortex and spinal cord [76,77]. Therefore, ALS cases
475 that also manifest with FTD are highly likely to have pTDP-43 in the anterior cingulate
476 and hippocampus [76].

477

478 Indeed, three of the four cases with FTD in our study cohort (75%) showed hippocampal
479 granule cell layer pTDP-43 aggregates. These were the *UBQLN2* p.P506S case (initial
480 presentation of FTD progressing to ALS), *UBQLN2*-linked case V:7-p.T487I (complex
481 neuropsychiatric history prior to diagnosis of ALS with personality and behavioural
482 changes), and sporadic case MN15 (initial presentation of FTD progressing to ALS). Also
483 fitting this paradigm, the *UBQLN2* p.P497H case did not have FTD and was devoid of
484 granule cell layer pTDP-43 aggregates. The exception to this pattern in ALS+FTD was
485 *UBQLN2*-linked case MN17-p.T487I in which initial presentation was ALS, yet despite
486 later manifesting FTD did not have granule cell layer pTDP-43 aggregates. Conversely,
487 five of the six sporadic ALS cases with hippocampal pTDP-43, and *C9ORF72*-linked case
488 MN28 with hippocampal pTDP-43, had no clinical history of FTD. Therefore, while FTD
489 cases frequently have hippocampal granule cell layer TDP-43, the converse is not true,
490 such that having hippocampal pTDP-43 does not necessarily predict FTD phenotype.

491

492 *UBQLN2* and *C9ORF72* mutations are more likely than other genes to cause mixed
493 ALS/FTD phenotypes [1,32,36,63,65,78–81] so hippocampal deposition of ubiquilin 2
494 may further promote FTD phenotype.

495 **Wildtype ubiquilin 2 pathology in *C9ORF72*-linked cases**

496 Hippocampal ubiquilin 2 deposition is a known and striking feature of *C9ORF72*-linked
497 ALS/FTD [39]. In our *C9ORF72*-linked ALS cases, large stellate granule cell layer
498 ubiquilin 2 was found to preferentially co-localise with the aggregation-prone polyGA
499 DPR protein compared to polyGP. Furthermore, polyGP aggregates were very rarely
500 independent of polyGA. These findings support the emerging consensus that polyGA
501 aggregates ‘seed’ polyGP protein aggregation [82–84]. Similar observations were made by
502 Mackenzie and colleagues [57] of aggregates with a core of polyGA surrounded by an
503 aggregated TDP-43 shell; a finding recapitulated here and supported by *in vitro* work
504 showing polyGA aggregation preceding TDP-43 accumulation [85]. The ability of DPR
505 proteins to seed ubiquilin 2 however, appears more complex. We previously showed
506 ubiquilin 2 at the core of polyGP-positive aggregates, but we did not co-label for polyGA
507 [38]. STED imaging in the current study shows that ubiquilin 2 may either surround

508 polyGA or be enmeshed with it, suggesting that in *C9ORF72*-linked ALS pathogenesis,
509 the interaction between aggregating polyGA and ubiquilin 2 may be an early event.

510

511 In contrast to ubiquilin 2 co-aggregation with large stellate DPR proteins in the granule
512 cell layer, small neuritic ubiquilin 2 aggregates in *C9ORF72*-linked cases punctuated the
513 molecular layer seemingly independent of a nucleating protein. The neurites in which these
514 small aggregates are found likely derive from the granule cells themselves [86,87], so DPR
515 protein inclusions in the somata may promote the aggregation of ubiquilin 2 in the dendrites
516 of the same cell. DPR aggregates can sequester proteasome components [88–90], and loss
517 of *C9ORF72* protein function in cells expressing the DPR-encoding variant 2 [91] can
518 impair autophagy [92–97], which may underpin wildtype ubiquilin 2 aggregation in the
519 molecular layer in *C9ORF72*-linked cases.

520 **Mutant ubiquilin 2 pathology in *UBQLN2*-linked cases: A neuropathological** 521 **signature**

522 Even in its wildtype state, ubiquilin 2 intrinsically self-assembles [3] but there is now ample
523 biophysical evidence demonstrating that mutations to ubiquilin 2 confer an increased
524 propensity to oligomerise and undergo aberrant LLPS, forming insoluble aggregates within
525 the cell [8,9,12,98–100]. Here we confirm that mutant ubiquilin 2 in the human
526 hippocampal granule cell dendrites (molecular layer) is more aggregation-prone than
527 wildtype ubiquilin 2, requiring no aggregated protein scaffold or protein aggregation event
528 in the granule cell soma (granule cell layer).

529

530 Our study additionally finds that p62 labelling is essential, while pTDP-43 labelling is
531 dispensable, to confirm cases as being *UBQLN2*-linked. P62 co-localises with pTDP-43
532 aggregates and DPR proteins in ALS/FTD [55,56,101], or with hyperphosphorylated tau
533 in a range of tauopathies [102–104], or with mutant α -synuclein in synucleinopathies
534 [104,105]. Given this promiscuity for substrates, discrimination between mutant and
535 wildtype ubiquilin 2 by p62 suggests that there are structural or biochemical features of
536 mutant ubiquilin 2 that may be specifically druggable.

537

538 In addition to mechanistic insights, the mutant ubiquilin 2 neuropathological signature we
539 describe will enable classification of *UBQLN2* variants of uncertain significance. To date,
540 seven missense mutations in *UBQLN2* have been designated by ClinVar as pathogenic or
541 likely pathogenic (resulting in p.M392V, p.Q425R, p.P440L, p.P497H, p.P497L, p.P497S,
542 p.P506T, <https://www.ncbi.nlm.nih.gov/clinvar/>, [106]). However, 23 other *UBQLN2*
543 missense changes listed in ClinVar are classified as benign or of uncertain significance,
544 including c.1516C>T resulting in p.P506S. Also, although segregation in a large number
545 of family members in the original report supported pathogenicity of *UBQLN2* c.1460C>T
546 (p.T487I), it is not listed in ClinVar, leaving individual diagnostics labs to perform
547 classification for patient reporting. For many rare *UBQLN2* variants, particularly those
548 found in apparent sALS cases, the implications of a positive genetic result for patients have
549 remained unclear. We encourage uptake of this hippocampal ubiquilin 2 neuropathology
550 signature, by other labs or in collaboration with the authors hereof, as a tool to explore
551 *UBQLN2* variant pathogenicity.

552 **Conclusion**

553 Ubiquilin 2 aggregates are seen in the hippocampus of ALS/FTD cases across a range of
554 genotypes. Wildtype ubiquilin 2 is somewhat aggregation-prone; it co-aggregates with
555 polyGA, but not pTDP-43 and it does not promote the co-aggregation of p62. Mutant
556 ubiquilin 2 is more aggregation-prone than wildtype; either co-aggregating with pTDP-43
557 or aggregating independently of a known scaffold, and in turn promoting the co-
558 aggregation of p62. This hippocampal ubiquilin 2 neuropathology signature demonstrates
559 that ubiquilin 2 aggregation is likely to play a mechanistic role in *C9ORF72*-linked and
560 *UBQLN2*-linked ALS/FTD, and provides a definitive framework for exploring the
561 biological implications of *UBQLN2* genetic variation.

562 **List of abbreviations**

563	ALS	Amyotrophic lateral sclerosis
564	bvFTD	Behavioural variant FTD
565	C9ORF72	Chromosome 9 open reading frame 72
566	DPR	Dipeptide repeat
567	FFPE	Formalin-fixed paraffin-embedded
568	FTD	Frontotemporal dementia
569	IBD	Identity by descent
570	LLPS	Liquid-liquid phase separation
571	NCI	Neuronal cytoplasmic inclusions
572	PBS	Phosphate-buffered saline
573	PolyGA	Poly(glycine-arginine)
574	PolyGP	Poly(glycine-proline)
575	pTDP-43	Phosphorylated TDP-43
576	SOD1	Superoxide dismutase
577	STED	Stimulated emission depletion
578	SQSTM1	Sequestosome 1
579	TDP-43	Transactive response DNA binding protein 43 kDa

580 **Disclosures and declarations**

581 **Data transparency**

582 The datasets used and/or analysed during the current study are available from the
583 corresponding author on reasonable request.

584

585 **Compliance with ethical standards**

586 **Conflicts of interest**

587 The authors declare that they have no conflicts of interest.

588

589 **Research involving human participants**

590 All protocols were approved by the University of Auckland Human Participants Ethics
591 Committee (New Zealand) and carried out as per approved guidelines. This study was also
592 approved by the Human Research Ethics Committee of Macquarie University
593 (520211013428875).

594

595 **Informed consent**

596 Informed donor consent and ethical approvals were obtained at each site as described
597 previously [1,15,17,38].

598

599

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1004 **Tables**

1005 **Table 1.** IBD segments inferred over *UBQLN2* on the X chromosome (chromosome 23).

1006

Individual 1		Individual 2		StartSNP	EndSNP	Start Pos(bp)	End Pos(bp)
Family ID	Pedigree ID	Family ID	Individual ID				
FALS5	III:8	FALS5	IV:9	rs17330993	rs11156600	2779749	154440161
FALS5	III:8	FALS5	IV:18 ^a	rs7062445	rs6423133	19650411	123608292
FALS5	III:8	FALS14	III:2	rs952836	rs17315029	40344087	129605268
FALS5	IV:9	FALS5	IV:18	rs6628597	rs6423133	31382037	123608292
FALS5	IV:9	FALS14	III:2	rs952836	rs17277770	40344087	132774456
FALS5	IV:18	FALS14	III:2	rs952836	rs6423133	40344087	123608292

1007

1008

^a FALS5 IV:18 is also referred to as MN17 in this report.

1009 **Table 2.** Estimated degree of relatedness and fraction of genome with zero, one, and two
 1010 alleles identical-by-descent (IBD) between all samples in *UBQLN2* p.T487I-linked
 1011 families FALS5 and FALS14.
 1012

	Individual 1		Individual 2		Fraction IBD=0	Fraction IBD=1	Fraction IBD=2	Degree ^a
	Family ID	Pedigree ID	Family ID	Individual ID				
Intra-family	FALS5	III:8	FALS5	IV:9	0.529	0.471	0	2
	FALS5	III:8	FALS5	IV:18 ^b	0.529	0.471	0	2
	FALS5	IV:9	FALS5	IV:18	0.751	0.249	0	3
	FALS14	II:1	FALS14	III:2	0.515	0.485	0	2
Inter-family	FALS5	III:8	FALS14	II:1	0.877	0.123	0	4
	FALS5	III:8	FALS14	III:2	0.927	0.073	0	5
	FALS5	IV:9	FALS14	II:1	0.942	0.058	0	5
	FALS5	IV:9	FALS14	III:2	0.948	0.052	0	5
	FALS5	IV:18	FALS14	II:1	0.926	0.074	0	5
	FALS5	IV:18	FALS14	III:2	0.948	0.052	0	5

1013 ^a Degrees of relatedness as per [107]:

1014 2 – Grandparent-grandchild, Avuncular, Half-sibling

1015 3 – First cousin, Great-grandparent, Grand-avuncular

1016 4 – First cousin once removed, GG-grandparent

1017 5 – Second cousin, First cousin twice removed

1018 ^b FALS5 IV:18 is also referred to as MN17 in this report.

1019

1020 **Figure legends**

1021 **Figure 1. Ubiquilin 2 labelling in previous studies fails to discriminate between**
1022 ***UBQLN2*-linked and other genotypes of ALS/FTD.** Previously published
1023 immunohistochemical analyses of the spinal cord, and hippocampus molecular layer (ML),
1024 granule cell layer (GCL), and cornus ammonus (CA) regions fail to discriminate between
1025 *UBQLN2*-linked ALS/FTD and ALS/FTD with other genotypes. Key shown within figure.
1026 Spinal cord ubiquilin 2 pathology (green) is reported to be present when ubiquilin 2 is
1027 either mutant or wildtype, occurring in *UBQLN2*-linked and *C9ORF72*-linked ALS/FTD,
1028 “ALS-dementia” (later confirmed to be *C9ORF72*-positive), *FUS*-linked ALS, and
1029 sporadic ALS (sALS). Spinal cord ubiquilin 2 pathology is found together with ubiquitin
1030 (orange), p62 (dark pink), TDP-43 (yellow), and *FUS* (blue) aggregates. Similarly,
1031 hippocampal ubiquilin 2 pathology is reported when ubiquilin 2 is either mutant or
1032 wildtype; being present in the molecular layer in *UBQLN2*-linked and *C9ORF72*-linked
1033 ALS/FTD (including “ALS-dementia”) but not in sALS; and in the granule cell layer in
1034 *C9ORF72*-linked ALS/FTD (including “ALS-dementia”). Hippocampal granule cell layer
1035 ubiquilin 2 pathology is found together with dipeptide repeats (DPRs, pale red) with or
1036 without TDP-43; but is variably present in *UBQLN2*-linked ALS/FTD and sALS. In the
1037 hippocampal CA regions, ubiquilin 2 is present in *UBQLN2*-linked and *C9ORF72*-linked
1038 ALS/FTD (including “ALS-dementia”). Hippocampal CA region ubiquilin 2 pathology is
1039 found together with DPRs, ubiquitin and p62.

1040

1041 **Figure 2. Ubiquilin 2 and pTDP-43 pathology in the hippocampal granule cell layer**
1042 **and molecular layer.** Sections from 5 sporadic ALS cases (A-F) demonstrated variable
1043 perinuclear pTDP-43 aggregate load in the granule cell layer (A-F, A1-F1, white
1044 arrowheads). These aggregates were all devoid of ubiquilin 2 co-labelling. All *UBQLN2*-
1045 linked ALS/FTD cases (G-J) showed ubiquilin 2-positive (G1, H1, I1', J2', green
1046 arrowheads), pTDP-43-negative (G2, H2, I2'', J2'') punctate aggregates in the molecular
1047 layer, with the exception of one aggregate found in ALS+FTD case V:7 – p.T487I which
1048 showed co-deposition of both proteins in this region (I, white arrow). ALS+FTD cases V:7
1049 – p.T487I and p.P506S showed rare granule cell layer pTDP-43 aggregates independent of
1050 ubiquilin 2 (I2', I2'', J3', J3'', white arrowheads). Only in p.P506S were the numerous

1051 compact pTDP-43 aggregates found in the granule cell layer co-localised with ubiquilin 2
1052 (**J1**, unfilled green arrowheads). *C9ORF72*-linked ALS cases MN2, MN18, and MN23 (**K-**
1053 **L**), were devoid of pTDP-43 pathology in the molecular (**K1**, **L1**, **M1**, green arrowheads)
1054 and granule cell layers (**K2**, **L2**, **M2**, green arrowheads) but showed wispy, and stellate
1055 ubiquilin 2 aggregates in these layers, respectively. Similarly, dendritic ubiquilin 2
1056 inclusions were observed in the molecular layer of *C9ORF72*-linked ALS case MN28,
1057 aggregating alone (**N2'**, **N2''**). Unique to MN28 were granule cell layer perinuclear pTDP-
1058 43 inclusions, often found aggregating independently (**N3''**, white arrowheads). Stellate
1059 ubiquilin 2 aggregates were observed in the granule cell layer which very rarely co-
1060 localised with pTDP-43 (**N1**, unfilled green arrowhead) or more commonly alone (**N1**, **N3'**,
1061 green arrowhead). Scale bar in main images, 50 μm ; in insets A1-F1, J1, N1, 10 μm ; all
1062 other zooms, 5 μm .

1063

1064 **Figure 3. Ubiquilin 2 and DPR pathology in hippocampal granule cell layer and**
1065 **molecular layer.** All *UBQLN2*-linked cases (**A-D**) displayed characteristic molecular layer
1066 ubiquilin 2 aggregates (**A1-D1**), with granule cell layer ubiquilin 2 aggregates only seen in
1067 case p.P506S (**D2**). All *UBQLN2*-linked cases were DPR-negative (**A1'-D1'**, **A1''-D1''**).
1068 In *C9ORF72*-linked ALS cases (**E-H**), skein-like ubiquilin 2 aggregates in the molecular
1069 layer were DPR-negative (**E1'-H1'**, **E1''-H1''**), but granule cell layer ubiquilin 2
1070 aggregates always co-localised with either polyGA alone (**E2'-H2'**, **E2''-H2''**, yellow
1071 arrowheads) or both polyGA and polyGP proteins (not shown). Pink arrowheads indicate
1072 co-localised DPRs not ubiquilin 2-labelled (**E2-H2''**). Scale bar in main images, 50 μm ;
1073 insets, 10 μm . Super-resolution STED microscopy of co-localised ubiquilin 2-polyGA
1074 aggregates in *C9ORF72*-linked ALS case MN28 demonstrated ubiquilin 2 aggregation
1075 around a core of aggregated polyGA (**I-I''**) or polyGA enmeshed with and encircling
1076 ubiquilin 2 (**J-J''**). Scale bar, 1 μm .

1077

1078 **Figure 4. Mutant ubiquilin 2 was p62 positive in hippocampal molecular layer.** Four
1079 *UBQLN2*-linked ALS/FTD cases (**A-D**) showed abundant, compact ubiquilin 2 aggregates
1080 in the molecular layer, predominantly co-localised with p62 (**A-D''**, orange arrowheads)
1081 but occasionally not (**A-D''**, green arrowheads). *C9ORF72*-linked ALS case MN28 (**E**)

1082 showed analogous ubiquilin 2 aggregates in the molecular layer but these were mostly
1083 devoid of p62 (**E-E''**, green arrowheads), with infrequent p62 co-labelling of larger
1084 ubiquilin 2 aggregates (**E', E''**, orange arrowhead). Maximum intensity Z-projections with
1085 orthogonal planes of the molecular layer confirmed that almost all mutant ubiquilin 2
1086 aggregates in *UBQLN2*-linked ALS+FTD case p.P506S were compact and p62-labelled (**F**,
1087 white dotted outline, $z= 22.25 \mu\text{m}$) with very few ubiquilin 2 aggregates that were p62-
1088 negative (**F**, green arrowheads), while the majority of wildtype ubiquilin 2 in *C9ORF72*-
1089 linked case MN28 were wispy and p62-negative (**G**, white dotted outline, $z= 13 \mu\text{m}$). Scale
1090 bar, 10 μm .

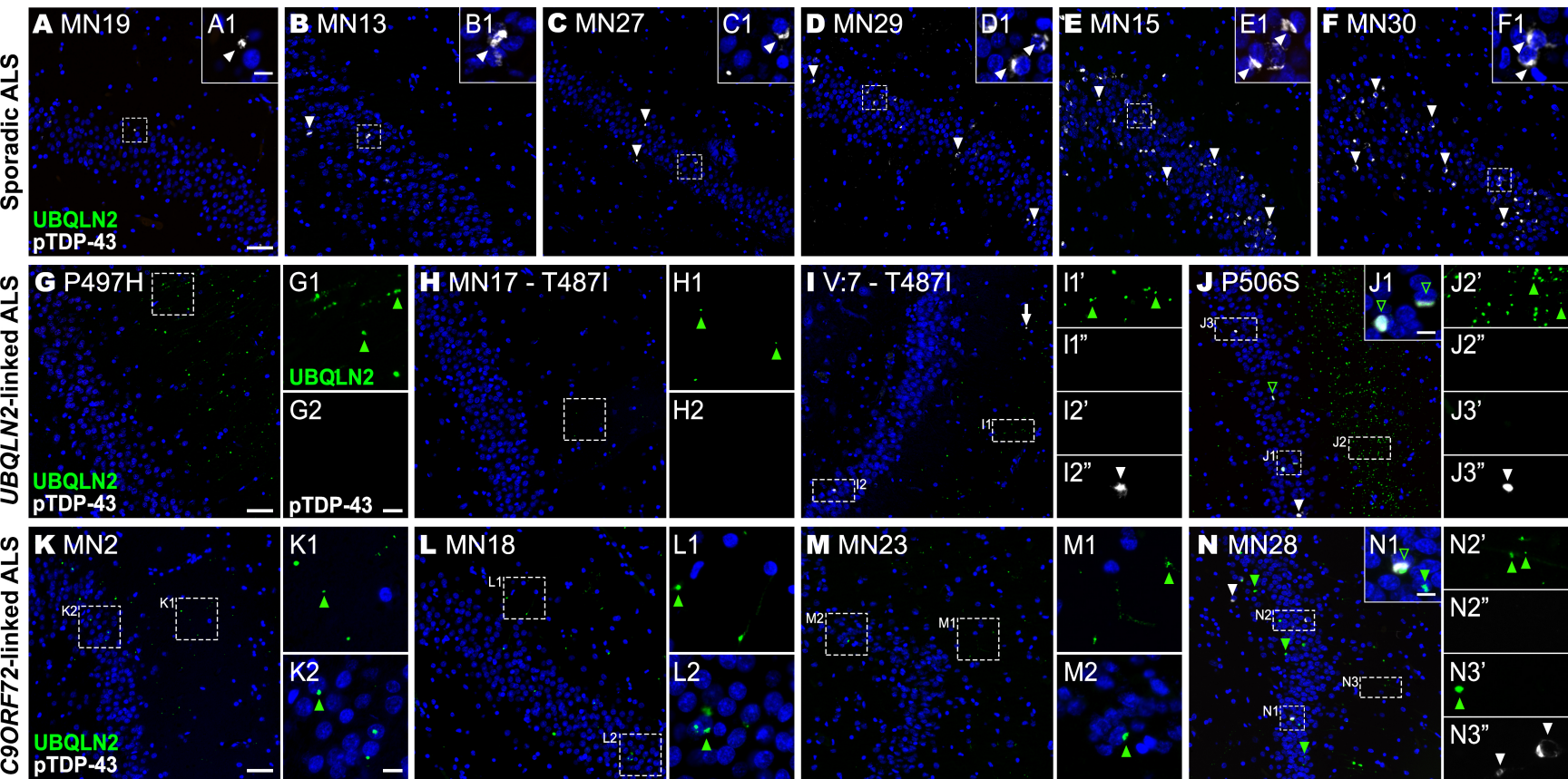
1091

1092 **Figure 5. Combined pTDP-43, ubiquilin 2, p62, and dipeptide repeat protein**
1093 **immunohistochemical staining discriminates between sporadic and *C9ORF72*-linked**
1094 **ALS, and *UBQLN2*-linked ALS/FTD.** Combined immunohistochemical analyses of the
1095 hippocampal molecular layer (ML) and granule cell layer (GCL) fully discriminate
1096 between *UBQLN2*-linked ALS/FTD, *C9ORF72*-linked ALS, and ALS with other
1097 genotypes. Key shown within figure. pTDP-43 pathology (boxed '+' symbol on yellow
1098 box) is present in the hippocampal granule cell layer in some sALS cases (6/19, 32%).
1099 Ubiquilin 2 pathology (pale pink) is present in the hippocampus when ubiquilin 2 is mutant
1100 (*UBQLN2*-linked ALS/FTD) and when wildtype (*C9ORF72*-linked ALS). Blue outlines
1101 indicate unique aggregation features of *C9ORF72*-linked ALS and *UBQLN2*-linked
1102 ALS/FTD hippocampal pathology. Wildtype ubiquilin 2 in *C9ORF72*-linked ALS
1103 molecular layer is p62-negative, and associated with granule cell layer polyGA, polyGP,
1104 and ubiquilin 2-positive aggregates that were pTDP-43 positive in only one case, and even
1105 then only rarely. Mutant ubiquilin 2 in *UBQLN2*-linked ALS/FTD molecular layer is p62-
1106 positive, and associated with granule cell layer pTDP-43 aggregates only in some cases
1107 and which may or may not be ubiquilin 2-labelled.

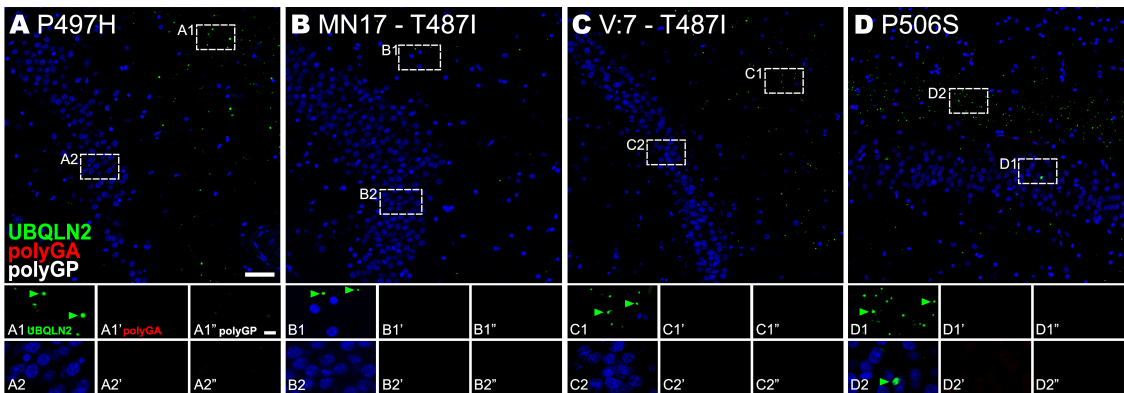
1108

1109 **Figure 6. Schematic representation of the hippocampal neuropathological signature**
1110 **defining *UBQLN2*-linked ALS.** In *UBQLN2*-linked ALS/FTD cases without hippocampal
1111 pTDP-43 proteinopathy, mutant ubiquilin 2 is punctate, p62 positive, and forms aggregates
1112 exclusively in the molecular layer (cases p.P497H and MN17 – p.T487I). In *UBQLN2*-

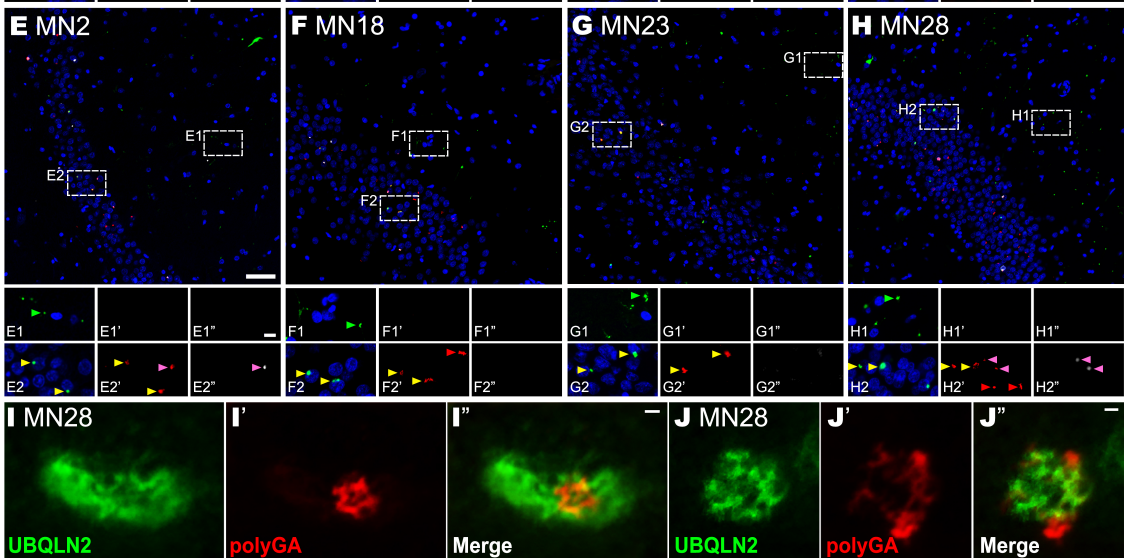
1113 linked ALS/FTD cases with hippocampal pTDP-43 proteinopathy, in addition to punctate
1114 p62-positive ubiquilin 2 aggregates in the molecular layer, there are granule cell layer
1115 pTDP-43 aggregates that are either; frequent and ubiquilin 2-labelled (case p.P506S), or
1116 rare and ubiquilin 2-negative (case V:7-p.T487I), suggesting a pathological cascade in
1117 which granule cell layer pTDP-43 aggregates provide a scaffold around which mutant
1118 ubiquilin 2 can aggregate. Image created in Biorender.com

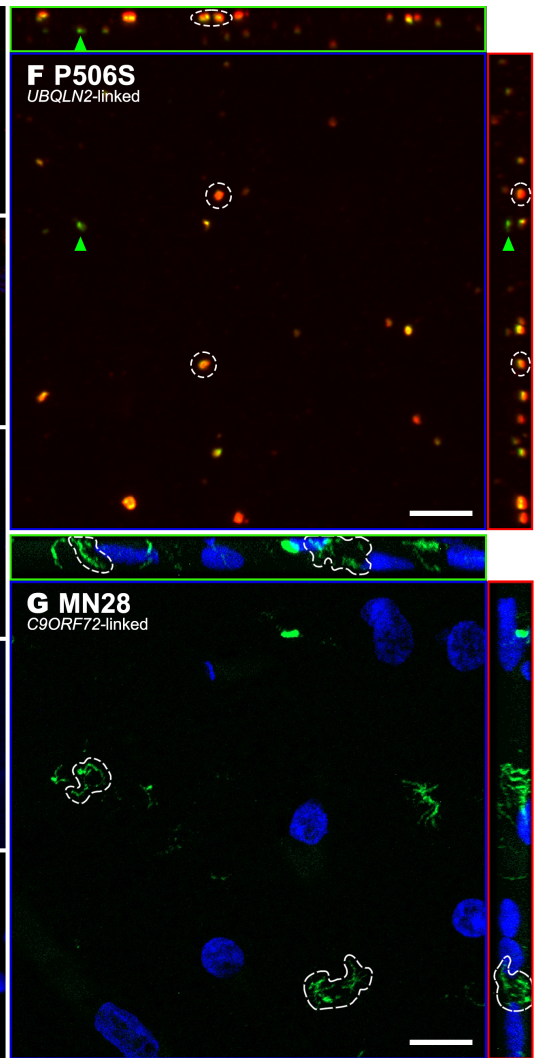
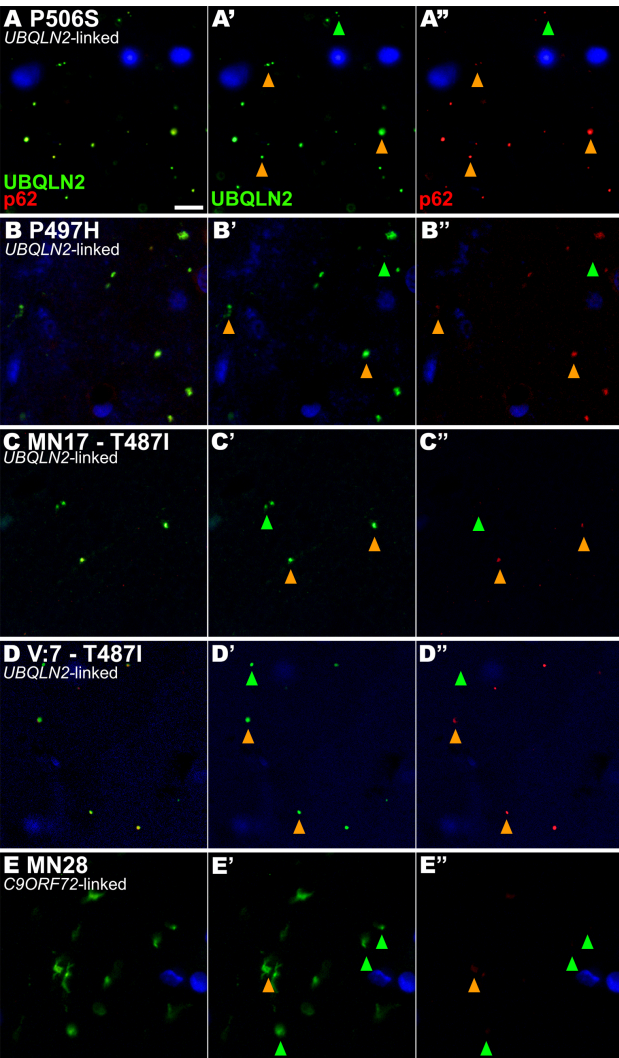


UBQLN2-linked ALS



C9ORF72-linked ALS





Genetic classification	Case & mutation	Diagnosis	Pathological co-localisation	
			ML	GCL
Neurologically Normal (n=5)	H211	NN		
	H230	NN		
	H238	NN		
	H239	NN		
	H247	NN		
Unknown Familial ALS (n=3)	MN11	MND-ALS		
	MN14	Probable MND-ALS		
	MN21	MND-ALS		
<i>SOD1</i> -linked ALS (n=1)	MN24; E101G	MND-ALS		
Sporadic ALS (n=19)	MN4	MND-ALS		
	MN8	MND-ALS		
	MN9	MND-ALS		
	MN10	MND-ALS		
	MN12	MND-ALS		
	MN20	MND-ALS		
	MN22	MND-ALS		
	MN25	MND-ALS		
	MN5	MND-ALS		
	MN6	MND-ALS		
	MN16	MND-ALS		
	MN26	MND-ALS		
	MN19	MND-ALS		+
	MN13	MND-ALS		+
	MN27	MND-ALS/LBD-bs		+
	MN29	MND-ALS		+
	MN15	MND-ALS + FTD		+
	MN30	MND-ALS		+
	<i>C9ORF72</i> -linked ALS (n=4)	MN2	MND-ALS	+
MN18		MND-ALS	+	+ + +
MN23		MND-ALS	+	+ + +
MN28		MND-ALS	+	+ + + +
<i>UBQLN2</i> -linked ALS (n=4)	MN17 - T487I	MND-ALS + FTD	+ +	
	P497H	MND-ALS	+ +	
	V7 - T487I	MND-ALS + FTD	+ +	+
	P506S	MND-ALS + FTD	+ +	+ +

Key

pTDP-43
 UBQLN2
 p62
 polyGA
 polyGP
 Stage 4 ALS

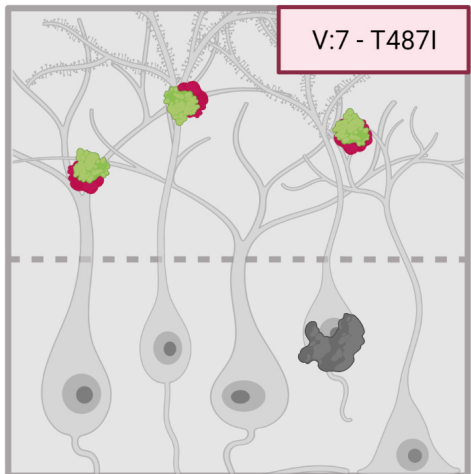
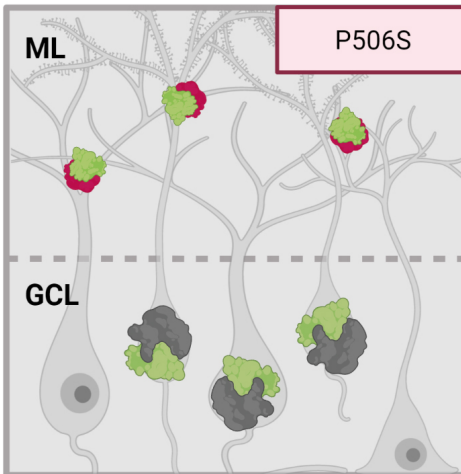
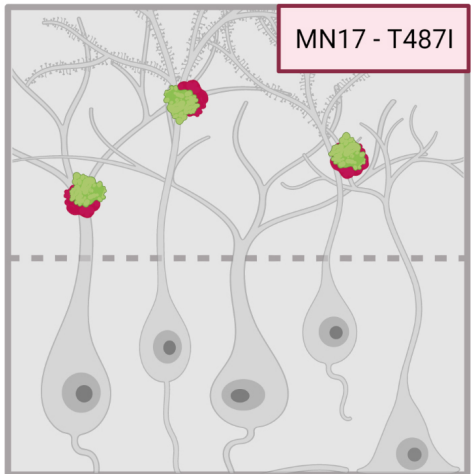
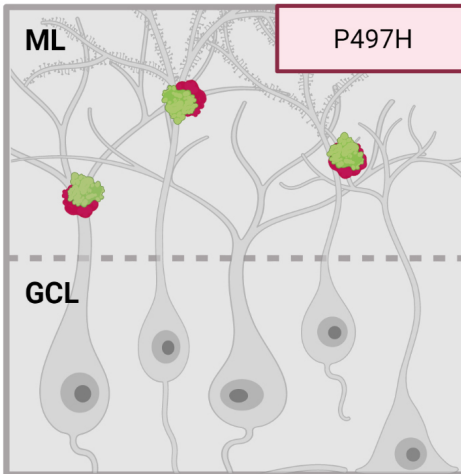
 Presence of pathology
 Absence of pathology
ML Molecular layer
GCL Granular cell layer

UBQLN2-linked

Hippocampal pTDP-43

-

+



UBQLN2



p62



pTDP-43