

1 **Interactive effect matters: a combination of herbivory degree and**
2 **the ratio of generalist to specialist better predicts evolution of plant**
3 **defense**

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20 **Abstract**

21 Herbivory degree and the ratio of generalist to specialist herbivores have long been treated
22 as two important but independent factors in shaping the evolution of plant defense. However,
23 this assumption of independency is poorly supported and has resulted in great controversy in
24 explaining the patterns of plant defense. Here we investigated the possible interaction between
25 herbivory degree and generalist-to-specialist ratio using a cost-benefit model of defense
26 evolution in plants. Our results showed that, with increasing generalist herbivore proportion,
27 plant defense investment increases when herbivory degree is low and decreases when herbivory
28 degree is high. These results provide the first theoretical support for the interactive effect of
29 herbivory degree and ratio of generalist/specialist affecting plant defense, which integrate
30 many of the previous results (e.g. latitudinal patterns of plant defense and defense evolution of
31 invasive plants) and put them into a more general theoretical context.

32

33 **Keywords:** evolution of herbivore defense, natural selection, plant–herbivore interactions,
34 specialist-generalist paradigm, biogeography

35 **Introduction**

36 Plants have evolved a variety of strategies to defend against herbivores. These strategies
37 include secondary metabolites (such as phenols, flavonoids, terpenes and alkaloids), as well as
38 physical traits (such as spines, thorns, and trichomes) (Fritz & Simms 1992). Since herbivore
39 damage may lead to significant loss in plant fitness, it is expected that natural selection should
40 favor high levels of defense (Marquis, 1984, 1992). However, numerous studies have found
41 the existence of intermediate levels of plant defense with substantial variations both among and
42 within plant species (Rausher & Simms, 1989; Vrieling *et al.*, 1993; Mauricio & Rausher,
43 1997). These patterns support the idea that selection from herbivores on plant defense may vary
44 in both direction and strength and thus there could be trade-offs in shaping the evolution of
45 plant defenses. Studies that tried to explain interspecific or intraspecific variation in plant
46 defense largely fall into two categories.

47 On one hand, the optimal defense hypothesis (ODH, McKey 1974, 1979; Rhoades 1979;
48 Stamp 2003) explains these patterns with an elegant cost-benefit analysis. It assumes that plant
49 allocates to defense in proportion to the herbivory degree it is suffering and plant defense is costly,
50 thus an intermediate level of defense will be favored. Many prominent hypotheses have
51 incorporated the idea from the ODH. For example, the evolution of increased competitive
52 ability hypothesis (EICA, Blossey & Nötzold 1995) predicts that invasive plants should evolve
53 a low level of defense as a result of being released from natural enemies in their introduced
54 range. The latitudinal herbivory-defense hypothesis (LHDH, Coley & Aide 1991) posits that
55 herbivory degree and plant defenses increase toward lower latitudes. A substantial body of
56 recent studies testing EICA or LHDH have provided contradictory evidences (EICA: Handley
57 *et al.* 2008; Chun *et al.* 2010; Felker-Quinn *et al.* 2013; LHDH: Moles *et al.* 2011a, b). This is
58 indicating that besides herbivory degree there should be other factors that influence
59 biogeographic patterns of plant defense.

60 On the other hand, many studies have suggested that generalist (feed on many different
61 plant species) and specialist herbivores (feed on a restricted set of related plant species) may
62 exert opposing selections on plant defense. Typically generalist herbivores are effectively

63 deterred by high concentrations of defense chemicals (Cornell & Hawkins, 2003; Ali &
64 Agrawal, 2012), while some specialist herbivores have evolved to utilize plant chemicals as
65 oviposition cues or feeding stimulants (Macel & Vrieling, 2003; Nieminen *et al.*, 2003).
66 Several specialists even sequester such chemicals and use them for their own defense against
67 natural enemies (Lankau, 2007; Ali & Agrawal, 2012; Züst *et al.*, 2018). This contrasting effect
68 of generalist and specialist on plant defense has attracted some theoretical attention over the
69 last century (van der Meijden, 1996) and a few empirical studies tested some of the predictions
70 made by these theoretical analyses (Lankau, 2007; Liu *et al.*, 2018; Zhang *et al.*, 2018). Thus,
71 besides herbivory degree, variation in herbivore composition (e.g. the ratio of specialist to
72 generalist herbivores) through space and time could be another important factor for
73 maintenance of genetic variation in defensive traits. In some extreme cases, if specialist
74 herbivores are absent, as is the case with some introduced plant species in their introduced
75 range, selection may lead to rapid increases in the levels of chemical defense (shifting defense
76 hypothesis, SDH, Müller-Schärer *et al.* 2004; Joshi & Vrieling 2005; Zhang *et al.* 2018).

77 In summary, herbivory degree (e.g. the total leaf herbivory) and the ratio of generalist to
78 specialist have long been treated as two important but independent factors in shaping the
79 evolution of plant defense. Reasons for such a knowledge gap could be twofold. Theoretically,
80 the graphical model by van der Meijden (1996) simply assumed that herbivory degree to a non-
81 defended plant genotype is fixed, so that this theoretical study could not explore such
82 interaction. And technically, in order to detect such interaction with experiments, a response-
83 surface design with treatment gradient of both herbivore degree and the ratio of generalist to
84 specialist is needed (e.g. Fig. 1a). But conducting such experiments is labor-intensive.

85 Here, we test the hypothesis that herbivory degree and the ratio of generalist to specialist
86 herbivores interact on the evolution of plant defense (Fig. 1). Specifically, we predict that with
87 increasing proportion of generalist herbivores plant defense should increase when herbivory
88 degree is low; while decrease when herbivory degree is high. To do so, we first use a simple
89 model to formalize the notion of the interaction between herbivory degree and the ratio of
90 generalist to specialist herbivores on plant defense and derive a metric essential for quantifying

91 the relative levels of herbivory. We then explore and illustrate a series of scenarios to predict
92 the pattern of optimal plant defense as a result of interactive effect of change in both herbivory
93 degree and generalist proportion. Lastly, we show how our model relates to a much larger body
94 of works on the geographical pattern of plant defense and herbivory and them can be put into
95 our general theoretical framework.

96

97 **Methods**

98 We proposed a modified model for the evolution of plant defense against generalist and
99 specialist herbivores extended from a classic cost-benefit model of plant defense (Lankau,
100 2007). The general form of this model is as follows:

$$101 \quad W = W_0 + B(\gamma) - C(\gamma) \quad (1)$$

102 where W is the fitness of plant, γ is the level of plant defense investment, W_0 is the fitness
103 of a non-defended plant genotype with no defense investment, $B(\gamma)$ is the increase in plant
104 fitness related to benefits of defense investment, and $C(\gamma)$ is the decrease in plant fitness due
105 to cost of defense investment. It is assumed that plant gains benefit from defense by reducing
106 the herbivory degree it is suffering, and thus $B(\gamma)$ is substituted by $-H(\gamma)$, in which $H(\gamma)$
107 represents for the realized herbivory damage given certain level of plant defense investment:

$$108 \quad W = W_0 - H(\gamma) - C(\gamma) \quad (2)$$

109 Plant defense investment γ in this model is assumed to be normalized, so its value ranges from
110 $0 \leq \gamma \leq 1$.

111 We then make further assumptions regarding the characteristic of herbivory and cost
112 functions of plant defense investment.

113 **Assumptions and empirical background**

114 **Firstly**, generalist herbivores are assumed to be more affected by given levels of plant
115 defense than specialist herbivores (Bergelson *et al.*, 2001; Cornell & Hawkins, 2003; Ali &
116 Agrawal, 2012). This assumption is modeled as relative convexity and slope of herbivory
117 curves of generalist and specialist as below (i.e. magnitude of shape and slope parameters in
118 Eq.3 and Eq.4).

119 The herbivory damage by generalist herbivore is formulated as:

$$120 \quad H_g(\gamma) = h_g N p (1 - s_g \gamma^a) \quad (3)$$

121 The $h_g N p$ term describes herbivory damage by generalists in absence of plant defense, where
122 h_g is the herbivory damage by a single generalist to a non-defended plant genotype, p is the
123 generalist proportion among herbivores, and $N p$ represents for population density of
124 generalist herbivores. The $(1 - s_g \gamma^a)$ term describes feeding rate of generalist herbivores as
125 a decreasing function of plant defense investment, in which s_g is a slope parameter and a is
126 a shape parameter, both describe how feeding rate decrease per unit defense investment.

127 The herbivory damage by specialist herbivore is formulated as:

$$128 \quad H_s(\gamma) = h_s N (1 - p) (1 - s_s \gamma^b + k \gamma) \quad (4)$$

129 where h_s is the herbivory damage by a single specialist to a non-defended plant genotype,
130 $1 - p$ is the specialist proportion among herbivores, and $N(1 - p)$ represents for population
131 density of specialist herbivores. In the feeding rate term, s_s and b are the slope and shape
132 parameter respectively. The extra term $k \gamma$ describes the increase in specialist feeding rate
133 when plant defense attracts specialist herbivore (e.g. defensive chemicals act as cue for
134 oviposition or feeding simulants). If $k > 0$, herbivory damage by specialists increase with
135 plant defense and peak at intermediate level (shape like a quadratic function), which represents
136 for the case where specialist is attracted by plant defense. If $k = 0$, $H_s(\gamma)$ decrease
137 monotonically, corresponding to the case which specialist are not attracted.

138 To model the different responses of generalist and specialist to given levels of plant
139 defense (e.g. specialists are more resistant to plant defense than generalists), we assumed that
140 slope and shape parameters should satisfy either $b > a$ or $s_s < s_g$ or $k > 0$. The condition
141 $b > a$ implies that herbivory curve of specialist is more convex than generalist; the condition
142 $s_s < s_g$ states that herbivory curve of specialist is flatter than generalist; and the condition $k >$
143 0 states that specialists are attracted by plant defense while generalists are deterred.

144 For simplicity, we further assumed the herbivory damage to non-defended plant by a single
145 generalist and specialist are equal, namely $h_g = h_s = h_0$. Then the total herbivory damage by
146 both generalist and specialist to non-defended plant can be expressed as $H_0 = h_0 N = h_g N p +$

147 $h_s N(1 - p)$. Here H_0 represents for the maximal fitness loss due to overall herbivory damage,
148 which we named as “ideal herbivory damage”. On the contrary, $H(\gamma)$ in Eq. 2 is “realized
149 herbivory damage”. In the later discussion part, we will use ideal herbivory to represent for
150 local gradient of herbivory degree to make predictions rather than using realized herbivory,
151 because realized herbivory itself is coupled with defense level of plant and many other factors.
152 We will also explore the condition where $h_g \neq h_s$ in the supplementary materials and we will
153 illustrate that major outcomes hold true for such case.

154 **Secondly**, defense cost is assumed to increase monotonically with defense investment.
155 This assumption is represented by the following relationships between costs and defense
156 investment:

$$157 \quad C(\gamma) = s_c \gamma^c \quad (5)$$

158 where s_c is a slope parameter and it also denotes the maximal allocation cost of a plant as
159 $C(1) = s_c$. c is the shape parameter of cost function. Some empirical evidence regarding the
160 shape of cost functions has lead previous models to assume that cost function is linear
161 (Bergelson *et al.*, 2001). However, resent study have revealed that nonlinear cost functions may
162 be more common than previously expected. For instance, a previous study showed that cost
163 function of defense (i.e., estimated as reduced growth) can vary from being almost linear to
164 being a concave upward function of defense investment (Skogsmyr & Fagerström, 1992). In
165 our model, we examine the cases of linear cost function ($c = 1$), convex cost function ($0 <$
166 $c < 1$) and concave cost function ($c > 1$).

167 In summary, the complete expression of the model is as follows:

$$168 \quad W(\gamma) = W_0 - H_0 p(1 - s_g \gamma^a) - H_0(1 - p)(1 - s_s \gamma^b + k\gamma) - s_c \gamma^c \quad (6)$$

169 **Detecting the interaction between herbivory degree and generalist proportion**

170 The goal of model evaluation is to find out the conditions where interactive effect on
171 optimal defense level exist between herbivory degree and the ratio of generalist to specialist.
172 To do so, we numerically resolved for optimal defense level $\gamma^* = \operatorname{argmax}_{\gamma \in [0,1]} W(\gamma)$ and partial
173 derivatives of optimal defense $\frac{\partial \gamma^*}{\partial H_0}$ and $\frac{\partial \gamma^*}{\partial p}$. If the interactive effect exists, we expect $\frac{\partial \gamma^*}{\partial p}$ (or

174 $\frac{\partial \gamma^*}{\partial H_0}$) to have different sign given high and low H_0 (or p). On the contrary, if there is no
175 interactive effect, $\frac{\partial \gamma^*}{\partial p}$ (or $\frac{\partial \gamma^*}{\partial H_0}$) should always be positive or negative regardless of H_0 (or p)
176 value.

177 Technically, optimal defense level γ^* was calculated by evaluating $\gamma^* = \underset{\gamma \in [0,1]}{\operatorname{argmax}} W(\gamma)$
178 given γ in a predefined discrete range (see Table S1). And the partial derivatives $\frac{\partial \gamma^*}{\partial H_0}$ and $\frac{\partial \gamma^*}{\partial p}$
179 were approximated by discrete expressions $\frac{\Delta \gamma^*}{\Delta H_0} = \gamma^*(H_0 + \Delta H_0, p) - \gamma^*(H_0, p)$ and $\frac{\Delta \gamma^*}{\Delta p} =$
180 $\gamma^*(H_0, p + \Delta p) - \gamma^*(H_0, p)$, where ΔH_0 and Δp are simulation intervals (see Table S1). We
181 repeated the above calculations for each set of parameters in orthogonal combinations of all
182 parameter values in their predefined range (Table S1), so that we can find the conditions where
183 interactive effect on optimal defense level exist.

184

185 Results

186 We found the effect of generalist proportion on the optimal levels of plant defense depends
187 on herbivory degree (Fig. 2). Specifically, herbivory degree is divided by a threshold
188 (formulated as H_T , $H_T = \frac{cs_c}{as_g} \gamma_0^{c-a}$ where γ_0 is the solution to equation $as_g \gamma^{a-1} =$
189 $bs_s \gamma^{b-1} - k$) into low and high. When herbivory degree is high ($H_0 \geq H_T$), increase in
190 generalist proportion leads to decrease in optimal defense level ($\Delta \gamma^* / \Delta p \leq 0$); and when
191 herbivory degree is low ($H_0 < H_T$), increase in generalist proportion leads to increase in
192 optimal defense level ($\Delta \gamma^* / \Delta p > 0$). The above result indicates that herbivory degree and the
193 ratio of generalist to specialist do have an interactive effect on the optimal defense level.

194 We found concave cost function ($c > 1$, marginal defense cost increases as defense level
195 increases) is necessary for the existence of interaction (Fig. S1). And in the case of concave
196 cost function, $bs_s - as_g > k$ is a sufficient condition for the interactive effect to exist. The
197 above expression states that in case $k = 0$ (i.e. plant defense deters specialists), whenever
198 specialists are more resistant to plant defense than generalists, there should be an interactive
199 effect between herbivory degree and generalist proportion; and in case $k > 0$ (i.e. plant

200 defense attracts specialists), it states that attraction effect should be no more than the difference
201 in resistance to plant defense between specialist and generalist for the interactive effect to exist.

202

203 **Discussion**

204 Although herbivory degree and generalist-to-specialist ratio have long been seen as
205 important factors driving defense evolution in plants, they were typically treated as independent
206 by presumption, which might have led to great controversy in related studies. Our results
207 provide the first theoretical support for the interactive effect of herbivory degree and generalist
208 proportion on evolution of plant defense, which integrate many previous studies (Table 1) and
209 put them into a general theoretical context (Fig. 3). In the following discussion, we demonstrate
210 how our interactive framework may help empiricists to resolve debates in two specific areas:
211 latitudinal patterns of plant defense and defense evolution of invasive plants.

212 **Implication for latitudinal patterns of plant defense**

213 Latitudinal pattern of plant-herbivore interaction is one of the most fascinating but
214 unresolved issues in biogeography. The most studied latitudinal herbivory-defense hypothesis
215 (LHDH) predicted decreased defense towards higher latitude solely based on reduced
216 herbivory degree (Coley & Aide 1991). We point out that ignoring the interactive effect of
217 herbivory degree and generalist proportion could lead to confusion, so that many studies in the
218 recent decade have provided conflicting results (Moles *et al.* 2011a, b; Anstett *et al.* 2016).

219 For example, a recent case study reported increased herbivory degree at higher latitude,
220 and in the meanwhile concentration of major defensive substances declined (Anstett *et al.*,
221 2015), which conflicts with the prediction of the LHDH. While we found in this case, slope of
222 latitude-versus-herbivore-abundance curve was greater for specialist than generalist, indicating
223 a shift towards lower generalist proportion at higher latitude, and this is supported by a related
224 study of the same author (Anstett *et al.*, 2014). Given the herbivory degree in this case kept in
225 relatively low level, lower generalist proportion in high latitude should select for lower defense.

226 Moreover, our interactive framework is able to capture almost all possible latitudinal
227 patterns of plant defense that have been reported to date (Moles *et al.* 2011a; Anstett *et al.* 2015,

228 and also see Fig. S2). Thus, we propose that combining herbivory degree and generalist
229 proportion in future studies can be a good start point to merge divergent patterns into an unified
230 framework. A growing number of studies consistently show that diet breath of herbivore insects
231 shift globally towards a higher frequency of specialist insects at lower latitude (Novotny *et al.*,
232 2002; Dyer *et al.*, 2007; Forister *et al.*, 2015). Current and coming evidences on gradients of
233 generalist-to-specialist ratio should push future studies to pay more attention on combining the
234 herbivory degree and generalist-to-specialist ratio when studying latitudinal herbivory-defense
235 patterns.

236 **Implication for defense evolution in invasive plants**

237 Herbivory degree and the ratio of generalist to specialist have also been seen as important
238 factors in shaping the evolution of defense in invasive plants. However, many previous theories
239 treat them as separate factors and thus making conflicting predictions. For example, the
240 evolution of increased competitive ability (EICA) hypothesis predicted reduce in defense level
241 based on less herbivore pressure in plants' introduced ranges (Blossey & Nötzold 1995). While
242 the shifting defense hypothesis (SDH) predicted increase in some specific defense traits against
243 generalist in introduced range due to increased generalist proportion (Müller-Schärer *et al.*
244 2004; Joshi & Vrieling 2005).

245 Our interactive model reveals the conditions where the EICA hypothesis or SDH can be
246 applied and thus integrates them into an unified framework (Table 1): if herbivory degree in
247 native and introduced range are both weak, then the EICA fits in the cases where decrease in
248 herbivory degree is sharper then increase in generalist proportion and the SDH fits in
249 complementary cases where increase in generalist proportion outweighs the decrease in
250 herbivory degree (see the example shown in Fig. 3). If herbivory degree are both high, then
251 decrease in herbivory degree and increase in generalist proportion in combination will
252 consistently select for reduced defense level as predicted by the EICA hypothesis and the SDH
253 could not fit into the high herbivory degree scenario. These conditions are consistent with
254 general conclusions of a recent meta-analysis (Zhang *et al.* 2018).

255 **Future Directions**

256 The interaction between herbivory degree and generalist proportion is largely unexpected
257 by previous studies, but we suspect it can be ubiquitous. Theoretically, such interaction
258 between herbivory degree and generalist proportion roots in the nonlinearity of the marginal
259 benefits of plant defense. As shown in our conceptual diagram (Fig. 1), the marginal benefit
260 curve of generalist intersects that curve of specialist, meaning that defending against generalists
261 is more efficient than defending against specialists given high level of defense, while less
262 efficient given low level of defense. As defense level covaries with herbivory degree, it is
263 reasonable to expect the existence of interaction between herbivory degree and generalist
264 proportion when defense benefits are nonlinear and unidentical. Many studies support the
265 nonlinear and unidentical assumption (Bergelson *et al.*, 2001; Lankau, 2007), so we suspect
266 that such interaction could be prevalent. For example, a review paper by Bergelson *et al.* (2001)
267 enumerated eight examples of damage-by-herbivore-versus-plant-resistant plots, and all this
268 cases support nonlinearity in benefit of plant defense against herbivores.

269 Empirically, no controlled experiment has directly detected the predicted interaction
270 between herbivory degree and generalist-to-specialist ratio, but some field observations
271 provide indirect evidence for evidence for the interaction (see cases in Table 1). We call for
272 more attention on finely designed experiments that manipulating gradients of herbivory degree
273 and the ratio of generalist to specialist simultaneously to test for the interactive effect. Using
274 well documented observations in the field can be an alternative way of testing, and for example
275 invasive plants or widely distributed species across latitudes can be suitable materials.

276 We note that our study still has some limitations, which will direct our future works. We
277 applied an optimization approach, which features in its simplicity and is usually sufficient to
278 model systems at equilibrium. However, optimization models may be less predictive in a
279 dynamic time scale. Future works will focus on understanding the evolutionary dynamics of
280 defense in the light of the ecological feedbacks that are intrinsic to the interaction of plant and
281 herbivores (Lankau, 2007).

282

283 **Conclusion**

284 Our model of the interaction between herbivory degree and generalist proportion suggests
285 that considering these factors simultaneously can improve predictions of plant defense levels.
286 This model provides a general theoretical framework for analysis of genetically based
287 intraspecific variation of plant defense level, helps to explain previous experimental results,
288 and has important implications for the biogeography of plant defense and the evolution of
289 defense in invasive plants.

290

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296

297 **Author contributions**

298 Y.P. and X.P. conceived the ideas. Y.P. conducted modeling and simulation. Y.P. wrote the
299 paper with major inputs from X.P., L.D.B.F. and B.L..

300

301 **Code availability**

302 All codes and scripts in this work are available on GitHub repository
303 (https://github.com/Augustpan/Defense_Evo_Model).

304

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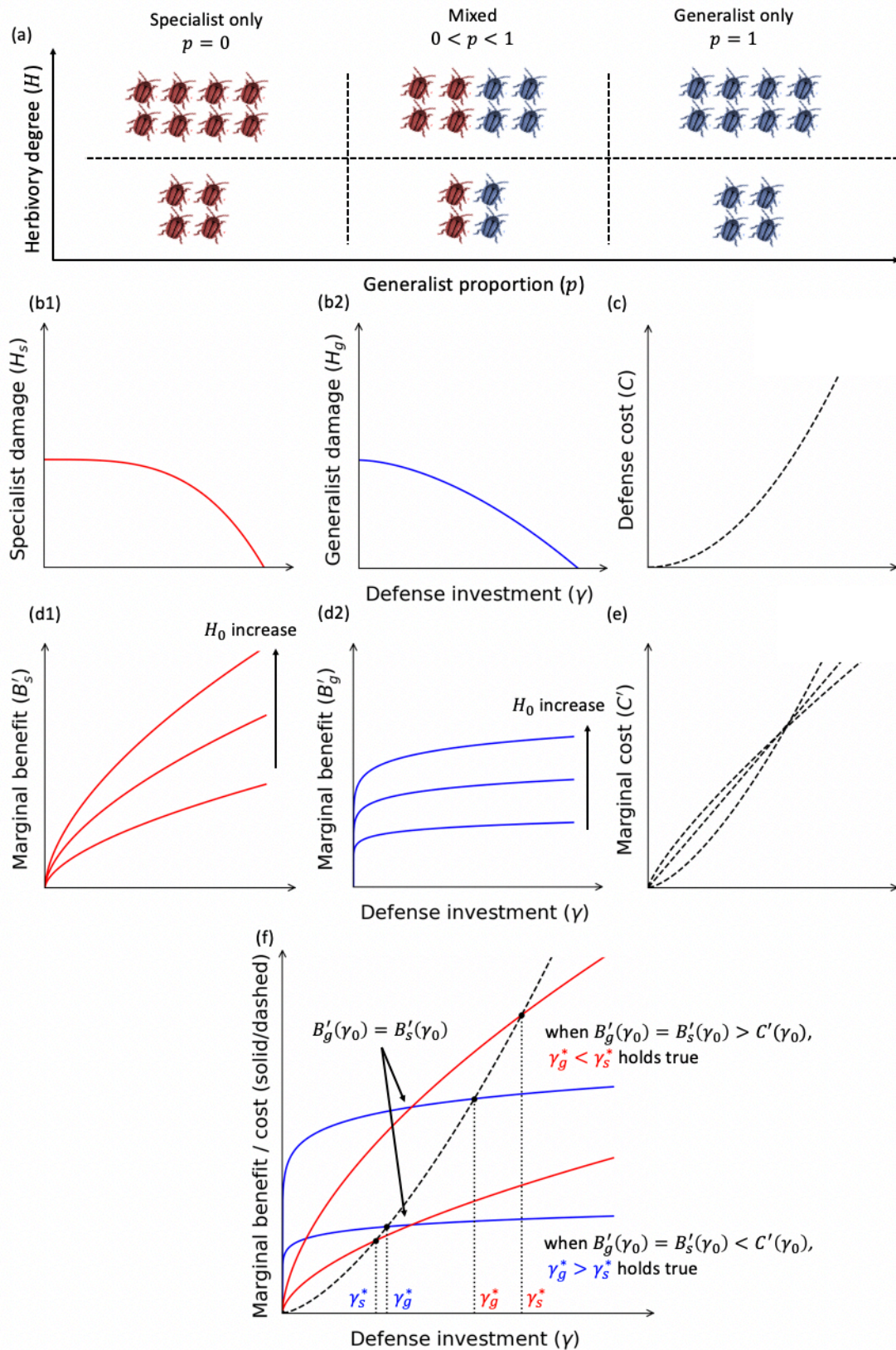
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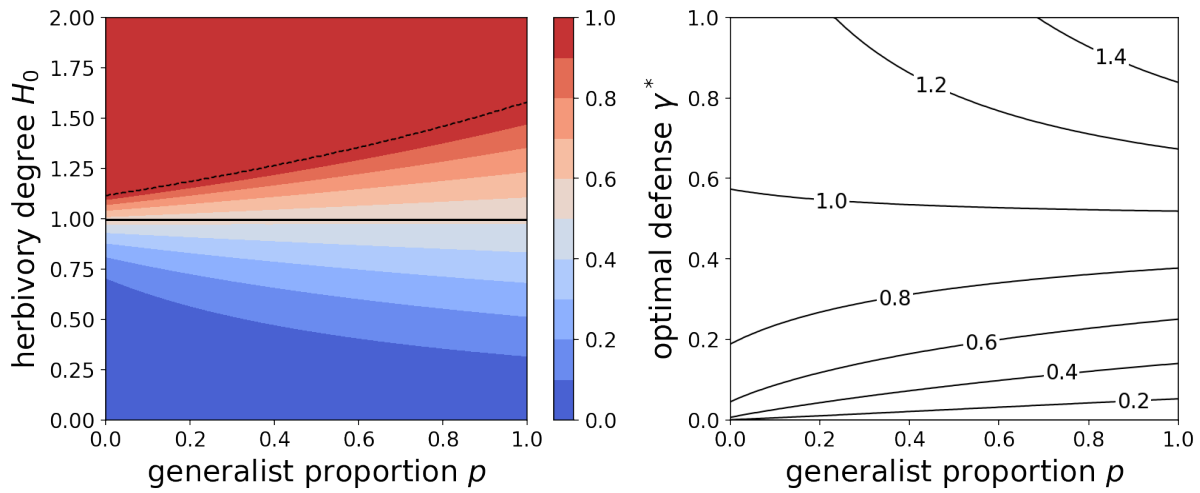
421 **Figure 1**



422

423 **Figure 1.** Conceptual framework for explaining the possible interactive effect between
424 herbivory degree (H) and the ratio of generalist to specialist (p , generalist proportion) on
425 plant defense investment. **(a)** Graphical illustration of variation in H and p . **(b) Empirical**
426 **assumption 1:** specialist herbivores are less impacted by a given plant defense compared
427 with generalist herbivores (Cornel and Hawkins 2003; Ali and Agrawal 2012; see also our
428 experiment data in supplementary materials). **(b1)** Specialist damage (H_s) decrease less than
429 linearly with defense investment ($H_s = H_0(1 - \gamma^a)$, $a > 1$). **(b2)** Generalist damage (H_g)
430 decrease steeper than H_s ($H_g = H_0(1 - \gamma^b)$, $b \geq 1$, $b < a$, see supplementary materials for
431 the case where $b = 1$). **(c) Empirical assumption 2:** allocation cost (C) increase with
432 defense investment convexly ($C = \gamma^b$, $b > 1$) (Fagerstrom 1989; Fornoni et al. 2004). **(d)**
433 Marginal benefit ($B'_x = -H'_x = -\frac{dH_x}{d\gamma}$, $x = \{g, s\}$) of defense investment (γ) increase with
434 increasing H_0 . **(d1)** Marginal benefit against specialists is $B'_s = aH_0\gamma^{a-1}$. **(d2)** Marginal
435 benefit against generalists is $B'_g = H_0$. **(e)** Marginal cost of defense could be convex, concave
436 or linear given $b > 1$: $C = b\gamma^{b-1}$. **(f)** Conditions of interactive effect of herbivory degree
437 (H_0) and generalist proportion (p) on optimal defense investment (γ^*). If $B'_s(\gamma) < C'(\gamma)$
438 holds true given γ satisfies $B'_s(\gamma) = B'_g(\gamma)$, which may occur when herbivory degree (H_0) is
439 relatively low, the optimal defense investment against generalist (γ_g^*) is greater than that of
440 specialist (γ_s^*). Thus, defense investment should increase with increasing generalist
441 proportion. If $B'_s(\gamma) > C'(\gamma)$ holds true given γ satisfies $B'_s(\gamma) = B'_g(\gamma)$, which may occur
442 when herbivory degree (H_0) is relatively high the optimal defense investment against
443 generalist (γ_g^*) is smaller than that of specialist (γ_s^*). Thus, defense investment should
444 decrease with increasing generalist proportion.

445 **Figure 2**

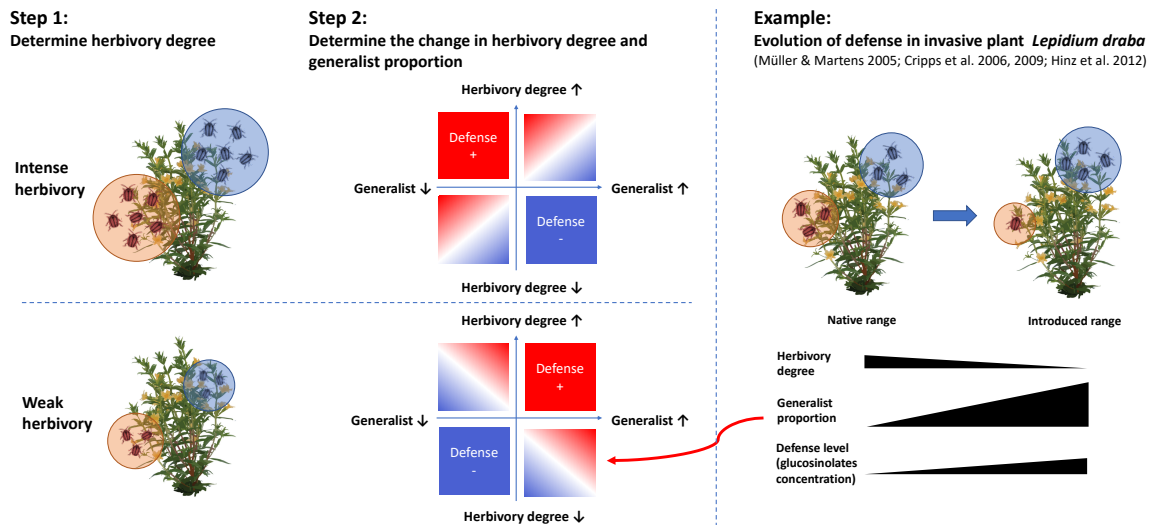


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447

448 **Figure 2.** Interactive effect of herbivory degree and generalist proportion on the optimal
449 levels of plant defense. **Left**, contour plot of optimal defense levels. Color indicates the
450 optimal defense level γ^* . Solid line is the threshold dividing herbivory degree into low
451 (below the line) and high (above the line). Dashed line indicates that defense level reaches its
452 maximal value ($\gamma^* = 1$) beyond this line. **Right**, cross sections of contour plot on the left at
453 specific H_0 values. Numbers on the lines indicate the corresponding H_0 values. This pair of
454 plots corresponds to the following parameter setting: $a = 1.2, b = 1.7, c = 1.7, s_g = 1, s_s =$
455 $1, k = 0, C_{max} = 1$.

456 **Figure 3**



457

458

459 **Figure 3.** Practical guide to applying interactive effect into predicting the plant defense
 460 evolution. In step one, herbivory degree is divided into weak or intense according to
 461 herbivory threshold shown in figure 2. In step two, direction and strength of selection
 462 gradient on defense is predicted using the change in herbivory degree and generalist
 463 proportion. Red color in quadrat plot indicates plant evolves higher level of defense, and blue
 464 color indicates lower level of plant defense. A mixture of red and blue color implies that plant
 465 may evolve either higher or lower defense according to relative importance of herbivory
 466 degree and generalist proportion (see Example on the right)

467 **Table 1.** Examples of applications and cases supporting our interactive model.

Scenario	Herbivory degree	Change in herbivory degree	Change in generalist proportion	Predicted change in defense level	Previous theories	Cases
Plant invasions ¹	High	Decrease	Increase	Decrease	EICA	<i>Hypericum perforatum</i> (Maron <i>et al.</i> , 2004; Vilà <i>et al.</i> , 2005)
	Low	Decrease	Increase sharply	Increase	SDH	<i>Lepidium draba</i> (Müller & Martens, 2005; Cripps <i>et al.</i> , 2006, 2009; Hinz <i>et al.</i> , 2012)
		Decrease sharply	Increase	Decrease	EICA	<i>Silene latifolia</i> (Wolfe, 2002; Wolfe <i>et al.</i> , 2004; Blair & Wolfe, 2004; Elzinga & Bernasconi, 2009)
Latitudinal patterns ²	High	Decrease	Decrease sharply	Increase	-	<i>Oenothera biennis</i> (Anstett <i>et al.</i> , 2014)
		Decrease sharply	Decrease	Decrease	LHDH	<i>Atriplex spp.</i> , <i>Juncus spp.</i> , <i>Limonium spp.</i> , <i>Spartina spp.</i> (Pennings <i>et al.</i> , 2007)
	Low	Decrease	Decrease	Decrease	LHDH	Cross species (Coley & Aide, 1991); <i>Acacia falcata</i> (Andrew & Hughes, 2005)(Coley & Aide, 1991)
	From high to low, crossing threshold	Decrease	Decrease sharply	Increase then decrease, unimodal pattern	-	<i>Alternanthera philoxeroides</i> (our unpublished data)

468 ¹ Here we assume the change in herbivory degree and generalist proportion to be the change from native to introduced range following invasion.

469 ² Similarly, we assume the described changes and patterns to be from lower latitude to higher latitude.