1

1 **Title:**

2 Stomatal anatomy, leaf structure and nutrients of tropical rainforest tree species respond to altitude

3 in a coordinated manner in accordance with the leaf economics spectrum

4 **Running title:**

5 Response of stomatal traits to altitude

6

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18

19 **Abstract**

20 Understanding taxon level responses of key plant traits to environmental variation across tropical

21 rainforests (TRFs) is important to determine their response to climate change. We used an

22 altitudinal gradient (from 150 to 2100 m above sea level, asl) across TRFs in Sri Lanka to answer

23 the following questions: (a) Does the response to altitude by stomatal traits differ among plant taxa

24 in TRFs?; (b) Are the altitudinal responses of key leaf structural traits (e.g. leaf mass per area,

25 LMA) and major leaf nutrient (nitrogen, N, and phosphorus, P) concentrations linked to the

26 corresponding variation in stomatal traits in a coordinated response across taxa?; (c) How strong

27 is the influence of climatic variation on responses of leaf traits to altitude?. Leaf samples were

28 collected in permanent sampling plots within rainforest reserves at Kanneliya (150 m asl),

29 Sinharaja- Enasalwatta (1050 m), Hakgala (1800 m) and Piduruthalagala (2100 m) from 19 species

30 in three plant genera (*Calophyllum*, *Semecarpus* and *Syzygium*). Stomatal density, guard cell

31 length and epidermal density showed variation among taxa, but did not respond to altitude.

32 Potential conductance index (PCI), a proxy for photosynthetic capacity, decreased with increasing

33 altitude, in a common response across taxa. We found evidence that altitudinal responses of LMA,

34 leaf N and P were linked to stomatal responses in a coordinated manner, where key features were

35 the negative correlations between PCI and LMA and between proxy photosynthetic N- and P-use

36 efficiencies ('PNUE' and 'PPUE') and LMA. We found strong responses to climatic variation

37 across taxa and altitudes, where PCI, 'PNUE' and 'PPUE' increased and LMA decreased with

38 increasing temperature, precipitation and solar irradiance. We conclude that stomatal traits of tree

39 species in TRFs form part of a coordinated leaf trait response to environmental change which is in

40 accordance with the leaf economics spectrum.

41 **Key words**: *leaf traits, tropical rainforests, Sri Lanka, climate variation, stomatal anatomy*

42   **Introduction**

43   Tropical rainforests (TRFs) are one of the most sensitive ecosystems to environmental change

44   (Malhi et al. 2009, 2014, Zelazowski et al. 2011, Hubau et al. 2020). The high diversity of plant

45   species in TRFs are likely to elicit different responses by different species to changes in climatic

46   and soil variables (Hofhansl et al. 2020). Therefore, it is important to determine the species/genus

47   level variation of responses to understand and predict ecosystem responses to environmental

48   perturbations such as long-term climate change and climate extremes.

49   Altitudinal gradients are used as a means of detecting the influence of environmental change on

50   tropical ecosystems, their processes and productivity (Malhi et al. 2010, 2017). Ecosystems such

51   as TRFs which span a wide range of altitudes experience changes in several key environmental

52   variables that vary with altitude. For example, air and soil temperatures, atmospheric pressure,

53   vapour pressure deficit and partial pressure of CO_2 (pCO_2) decrease with altitude whereas solar

54   irradiance, wind speed and incident UV radiation could increase (Barry 1992, Körner 2007). Such

55   changes in climatic variables along altitudinal gradients have induced altitude-linked variations in

56   soil properties by influencing key soil processes such as mineralization and organic matter

57   decomposition (Dieleman et al. 2013). Evolutionary and adaptive responses of plants to altitude-

58   linked gradients in climate and soil variables have led to variations in species composition and

59   diversity in TRFs along altitudinal gradients (Lieberman et al. 1996, Vazquez G and Givnish 1998,

60   Aiba and Kitayama 1999, Givnish 1999). Furthermore, differences in key plant functional

61   traits/leaf traits have been observed across plant species that inhabit altitudinal gradients (Oliveras

62   et al. 2020).

63   Stomata occupy a strategic location in plants to regulate their carbon and water balances via leaf-

64   air exchange of CO_2 and water vapour. Therefore, responses of stomatal density and size to

65   altitude represent a key pathway to optimize carbon and water balances in the face of

66   environmental variation across an altitudinal gradient. Many early work reported increased

67   stomatal density (SD) and stomatal index (SI) with increasing altitude (Korner and Cochrane 1985,

68   Korner et al. 1986, McElwain 2004, Kouwenberg et al. 2007), which was interpreted as

69   confirmation of the decrease of SD and SI with increasing pCO_2, reported by (Woodward 1987,

70   Woodward et al. 2002). However, a broader survey of literature shows a diverse range of stomatal

71   responses to altitude. Accordingly, increased SD and SI with increasing pCO_2 along altitudinal

72   gradients (Hu et al. 2015) and time (Bai et al. 2015) have been reported. Furthermore, increases

73   in SD and SI up to 2800 - 3000 m above mean sea level (asl) have been observed to reverse in

74   some plant species at higher altitudes (Qiang et al. 2003, Li et al. 2006, Luo et al. 2006). In

75   comparison to SD and SI, response of stomatal size, measured as the product between guard cell

76   length (GCL) and closed stomatal width, to environmental variation has been studied less

77   frequently (Lomax et al. 2009). A highly-conserved inverse relationship has been shown between

78   stomatal density and size across different taxonomic groups and environmental variables (Franks

79   and Beerling 2009, Doheny-Adams et al. 2012). However, GCL of *Arabidopsis thaliana* ecotypes

80   from a range of altitudes from 50 to 1260 m amsl showed all possible responses (i.e. increase,

81   decrease and no change) to increased pCO_2 while not showing significant correlations with either

82   SD or SI (Caldera et al. 2017). The above range of stomatal responses illustrates the inter- and

83   intra-specific diversity in this response. Accordingly, in an ecosystem of high species diversity

84   such as the TRFs, a diversity of stomatal responses to altitude could be expected. Long-term

85   evolutionary responses and short-term acclimation to environmental variation across altitudes

86   probably combine to bring about these diverse stomatal responses (Hultine and Marshall 2000,

87   Qiang et al. 2003, Franks and Beerling 2009, Haworth et al. 2015).

88   As stomatal anatomy influences the assimilate supply for construction of leaves, altitudinal

89   variation of leaf structural traits such as the leaf mass per area (LMA) could be linked to the

90   corresponding variation of stomatal traits. Leaf mass per area is a measure of assimilate investment

91   per unit area of photosynthetic surface and is regarded as a key trait of the leaf economic spectrum

92   (Wright et al. 2004). Shi et al. (2015) showed that diverse responses of stomatal traits to altitude

93   were linked to leaf economic strategy and plant growth habit. Nitrogen (N) and phosphorus (P) are

94   the two foremost plant nutrients and are considered most limiting for plant growth (Reich and

95   Oleksyn 2004). Nitrogen is an essential component of enzymes, especially the key photosynthetic

96   enzyme Rubisco, while P is a key part of nucleic acids, cell membranes and energy-carriers such

97   as ATP and NADPH. Leaf N and P concentrations are indicative of their availability in the soil as

98   well as their proportional allocation to leaves to meet the physiological demands of processes that

99   require N (e.g. enzymes) and P (e.g. ATP and nucleic acids). Accordingly, variation in leaf N and

100   P concentrations across an altitudinal gradient, when considered along with corresponding

101   variations in stomatal and leaf structural traits could be part of an integrated ecosystem level

102   response to environmental variation as represented by altitude (Oliveras et al. 2020). The role of

103   genetic variation, based on taxonomic grouping, as opposed to variation caused by local

104   environmental factors is poorly understood for leaf traits in different plant species in complex

105   ecosystems such TRFs.

106   Tropical rainforests of Sri Lanka (TRFSL) are located in the humid tropical climatic zone of Sri

107   Lanka in its south-western plains and the western slope of its central highlands. Lowland

108   rainforests are found in the lower altitudes up to ca. 1000 m amsl while montane forests are found

109   in the higher altitudes up to 2200 m. Species richness and diversity of TRFSL have been shown

110   to decrease with increasing altitude (Sanjeewani et al. 2020) and there are no plant species

111   inhabiting the whole altitudinal range of the TRFSL (Sanjeewani et al. Unpublished). Therefore,

112   genetically-determined variations in stomatal and leaf traits among different species inhabiting

113   different altitudes would confound responses of these traits to environmental gradients across

114   altitudes. Furthermore, proportional contributions from genetic and environmental components to

115   the observed variation of these traits could be different for different species (Zhang et al. 2012).

116   In this study, we examine altitudinal variation of stomatal traits in three plant genera that have

117   different species inhabiting a sufficiently wide altitudinal gradient with the objective of identifying

118   variation patterns of their stomatal traits with altitude and then elucidating their possible

119   environmental and genus-level genetic controls. As a second objective, we examine possible inter-

120   relationships between stomatal and leaf traits with a view to determine possible trait assemblies

121   that underpin the response of these traits to specific climatic variation across altitudes. We asked

122   the following questions: (a) Does the response to altitude by stomatal anatomical traits differ

123   among plant taxa (e.g. genera) in TRFs?; (b) Are the altitudinal responses of key leaf structural

124   traits (LMA) and major leaf nutrient (N and P) concentrations linked to the corresponding variation

125   in stomatal traits in a coordinated response across different taxa (e.g. genera, species)?; (c) How

126   strong is the influence of climate variation across altitudinal gradients on responses of leaf traits

127   to altitude?. Specifically, we tested the following hypotheses: Hypothesis 1: There is significant

128   variation among taxa in the response of their stomatal traits to variation in altitude; Hypothesis 2:

129   Altitudinal responses of key leaf structural traits, major leaf nutrient concentrations and stomatal

130   traits form a coordinated response across different taxa in tropical rainforests; Hypothesis 3:

131   Responses of leaf traits to altitude are strongly influenced by climatic variables that vary across

132   altitudinal gradients.

133

Materials and methods

Study sites and climate

Collection of leaf samples for the study was carried out in six permanent sampling plots (PSPs) of 1 ha (100 m x 100 m) each established along an altitudinal gradient from 117 m to 2080 m asl (Fig. 1). All plots were located in the undisturbed areas inside selected TRFSL. Two plots were located in the Kanneliya Forest Reserve. Another two plots were located in the Sinharaja Forest Reserve (Enasalwatte) which is a World Heritage site. The other two plots were located in the Hakgala Strict Nature Reserve and Piduruthalagala Forest Reserve. Long-term (1970-2000) averages of the climate variables of study sites were obtained from the high-resolution (1 km^2) global climatic database WorldClim 2 (Fick and Hijmans 2017) (Table 1). Long-term annual averages of the mean, maximum and minimum air temperatures, vapour pressure, wind speed and daily solar irradiance were computed from their monthly means. Long-term average annual precipitation was computed from the respective annual totals.

Table 1. Study sites and their long-term (1970-2000) climatic variables

Location	Latitude (N)	Longitude (E)	Altitude (m)	T_{AV} (ºC)	T_{max} (ºC)	T_{min} (ºC)	R_F (mm y^{-1})	Radiation (kJ m^{-2} d^{-1})	WVP (kPa)	WS (ms^{-1})
KDN 1	6.24749 N	80.34071 E	117	26.3	29.8	23.5	3656.0	18945.3	2.8	2.3
KDN 2	6.26045 N	80.35112 E	174	26.3	29.3	22.8	3788.0	18832.8	2.8	2.3
ENS 2	6.39433 N	80.59709 E	1042	21.3	24.5	18.1	3103.0	17910.3	2.0	2.2
ENS 2	6.39441 N	80.59652 E	1065	21.3	24.5	18.1	3103.0	17910.3	2.0	2.2
HKG	6.92725 N	80.81839 E	1804	17.2	20.3	13.8	2017.0	17688.3	1.8	2.0
PTG	6.98197 N	80.77276 E	2080	15.3	18.4	12.3	1903.0	17460.0	1.2	1.9

KDN 1 & 2 – Kanneliya Forest Reserve Plots 1 & 2; ENS 1 & 2 – Sinharaja-Enasalwatte Plots 1 & 2; HKG – Hakgala Strict Nature Reserve; PTG – Pidurutalagala Forest Reserve. T_{AV} – Mean annual average temperature; T_{max} - Mean annual maximum temperature; T_{min} - Mean annual minimum temperature; R_F - Mean annual total precipitation; Radiation – Mean daily incident solar radiation; WVP - Mean atmospheric vapour pressure; WS – Mean wind speed (Source: Fick and Hijmans, 2017).
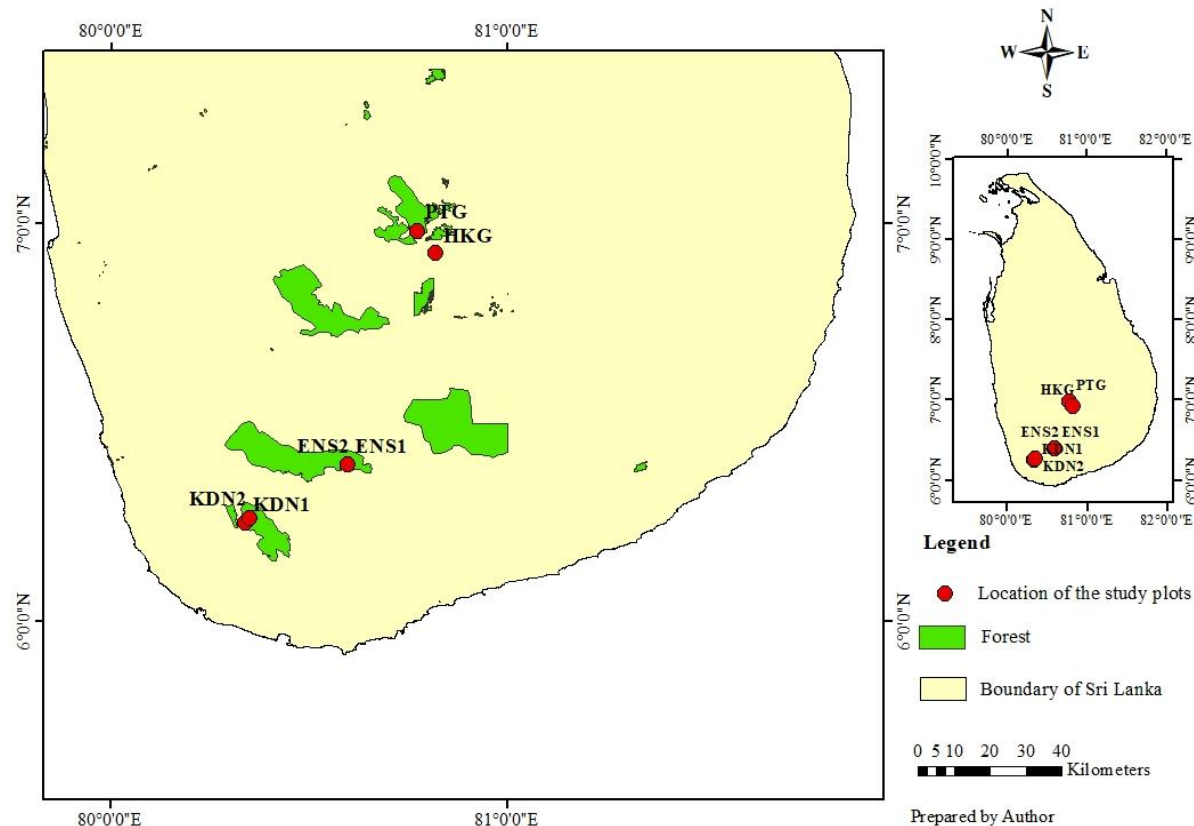
156

Figure 1. Permanent sampling plots of the present study located at different altitudes in tropical rainforests of Sri Lanka. Kanneliya Plot 1 (KDN1-117 m asl), Kanneliya Plot 2 (KDN2-174 m asl), Sinharaja-Enasalwatta Plot 1 (1042 m asl), Sinharaja-Enasalwatta Plot 2 (1065 m asl), Hakgala Plot (1804 m asl) and Piduruthalagala Plot (2080 m asl).

161 *Plant species*

162 A complete census of all plants having a diameter at breast height (1.3 m above ground level or

163 buttress) of 10 cm or greater had been carried out in the PSPs in 2019 (Sanjeewani et al. 2020).

164 Plant species for the study were selected based on the availability of a species across a sufficiently

165 wide altitudinal range. However, except *Semecarpus gardneri*, which was present in plots at 150

166 m and 1050 m, there was no other species inhabiting more than one altitude. At the genus level,

167 there were three genera, viz. *Syzygium*, *Semecarpus* and *Calophyllum* which had different species

168 occupying a wide altitudinal range. Accordingly, 19 species from these genera were selected for

169 the study (Table 2).

170   **Table 2.** Species from selected genera for the study and their locations

171

Location	Altitude (m)	*Syzygium*	*Semecarpus*	*Calophyllum*
Kanneliya	150[†]	*S. alubo*	*S. walkeri*	*C. bracteatum*
		S. neesianum	*S. gardneri*	*C. cordato-oblongum*
		S. firmum	*S. subpeltata*	
Sinharaja-Enasalwatte	1050[†]	*S. cylindricum*	*S. gardneri*	*C. acidus*
		S. micranthum	*S. parvifolia*	
		Syzygium new sp.		
Hakgala	1800	*S. paniculatum*	*S. obovata*	
		S. zeylanicum		
Pidurutalagala	2100	*S. revolutum*		*C. walkeri*
		S. rotundifolium		

172   [†]Altitude at mid-point between the two plots at Kanneliya and Sinharaja-Enasalwatte was selected.

173

174   The three core-eudicot genera belong to the clade Rosids and are classified under three different

175   orders, viz., *Calophyllum* (Calophyllaceae) in Malpighiales, *Semecarpus* (Anacardiaceae) in

176   Sapindales and *Syzygium* (Myrtaceae) in Myrtales (Angiosperm Phylogeny Group 2009).

177   *Calophyllum* is a pantropical genus consisting of approximately 187 species. In Sri Lanka, 11 out

178   of 13 *Calophyllum* species are endemic and are restricted to rainforests (Kostermans 1980). About

179   50 species of *Semecarpus* species are distributed from tropical Asia (Indo-Malaysian region) to

180   Oceania. All 12 species of *Semecarpus* in Sri Lanka are endemic and mainly distributed in low- to

181   mid-altitude rainforests (Meijer 1983). Approximately 1200 species of *Syzygium* are found in

182   tropical Africa, subtropical to tropical Asia, Australia, New Caledonia, New Zealand and Pacific

183   islands. There are 32 *Syzygium* species among Sri Lankan flora and 25 of them are endemic. The

184   genus has a wide altitudinal range in Sri Lanka with a majority of species in higher-altitude

185   rainforests. There are some introduced species, usually under cultivation while some are

186   naturalized (Ashton 1981).

187   *Sample collection*

188   Samples of mature, healthy leaves were taken from harvested branches of trees of the above 19

189   species. Three leaves per plant from five plants per species were used for stomatal measurements.

190   Species from two PSPs at near equal altitudes (Kanneliya and Enasalwatta) were pooled for

191   measurements and data analysis. All measurements were done on fully-expanded mature leaves

192   of each branch.

193   *Measurement of stomatal traits*

194   Using high precision Polyvinylsiloxane (Muller-Omicron GmbH & Co. KG, Germany) negative

195   impressions were acquired from leaves within 24 hours following harvesting of branches, before

196   leaf wilting occurred. Impressions were taken from a leaf position in the middle between mid-rib

197   and leaf margin and in the middle between the apex and the base of the leaf. A preliminary study

198   on each sampled species revealed that stomata are present only on the abaxial leaf surface.

199   Therefore, the negative impressions were taken only from the abaxial leaf surface.

200   Afterwards transparent cellulose varnish was applied on the negative silicone rubber impressions.

201   The varnish was allowed to dry off and then the positive impressions of the leaf abaxial surfaces

202   were peeled off. Thereafter, they were mounted onto microscopic slides and covered with

203   coverslips which were secured with adhesive tape. Five random fields per leaf sample were

204   selected and the images of these fields were taken under light microscopy (Primo Star, Zeiss,

205   Germany). This was done by a camera coupled with the microscope and Zeiss Zen microscope

206   software. Using these microscopic images (Plates S1-S5), number of stomata and epidermal cells

207   per microscopic field were counted.

208 All sampled species are rainforest tree species. Therefore, the borders of epidermal cells were

209 difficult to differentiate in the leaf imprints. To overcome this difficulty all images were sharpened

210 and the black and white contrast was adjusted using Adobe Photoshop 7.0.1. The multi-point tool

211 of the ImageJ software was used when counting stomata and epidermal cells. Editing of images

212 and use of the multi-point tool enabled precise counts of stomata and epidermal cells.

213 Stomatal density (SD) and epidermal density (ED) were calculated as the number of stomata and

214 epidermal cells per unit leaf area (mm^{-2}). Stomatal index (SI) was computed as the ratio between

215 stomatal number and the number of total epidermal cells, including stomatal guard cells, using the

216 formula, SI = (SD/[SD + ED] x 100).

217 Guard cell length (GCL) was measured using the slides prepared for determination of stomatal

218 traits. Measurements were taken by the Line tool of the Graphics in UI Automation mode of the

219 Zeiss Zen Microscope Software. This was done for one stomate each from randomly selected five

220 fields of view per leaf sample.

221 Potential conductance index (PCI) was computed as PCI = $[GCL]^2$ x SD x 10^{-4} (Holland and

222 Richardson 2009), where GCL is given in μm and SD in stomata per mm^2, assuming that the

223 stomatal aperture area is proportional to the GCL^2. As PCI combines measures of maximum

224 stomatal size (GCL) with stomatal density (SD), it can be considered a measure of maximum

225 stomatal conductance (g_{max}) (Franks and Beerling 2009).

226 *Measurement of leaf structural traits and nutrients*

227 Leaf blade area (LBA) was measured on fully-expanded leaves by tracing the outline of the leaves

228 on a white paper. A printed scale was kept on the white paper and images were taken by a camera.

229 Leaf blade area was measured using these images using ImageJ software. The leaf samples were

230 dried to constant weight at 105°C in an oven (MOU-1125, SANYO, Japan). Each leaf was weighed

231 separately using an electronic balance (AR2130, Obaus Co., USA). Leaf mass per area was

232 computed as the ratio between leaf dry mass and LBA. Taking PCI as a proxy for photosynthetic

233 capacity (Wong et al. 1979, Farquhar and Sharkey 1982), proxy indices of photosynthetic nitrogen

234 and phosphorus use efficiencies ('PNUE' and 'PPUE' respectively) were computed as the

235 respective ratios of PCI with leaf N and P.

236 *Determination of N and P concentrations of leaf tissues*

237 Leaf N concentration was measured using the modified Kjeldahl method (Motsara and Roy 2008).

238 Leaf P concentration was measured by dry ashing at 450°C followed by digestion in HNO_3.

239 Phosphorus concentration of the ash extract was determined by the spectrophotometric vanadium

240 phosphomolybdate method (Motsara and Roy 2008).

241 *Statistical analysis*

242 An initial exploration of the distributions of measured variables within each species at each altitude

243 was done with box plots. Normality of distributions was tested by the Shapiro-Wilk statistic

244 computed with Proc Univariate in SAS® Studio (Version 9.4). The influence of genus and altitude

245 on the measured traits was examined by a linear mixed model (LMM). As the three genera have

246 been selected from among a large number of genera in the PSPs, the genus effect was considered

247 as random. The altitude effect was considered as fixed because the selection of altitudes for leaf

248 sampling was constrained to those where PSPs have been established. This LMM analysis was

249 done for each trait using Proc Mixed in SAS with the residual (restricted) maximum likelihood

250 method.   The genus x altitude interaction was considered a random effect.

251   Inter-relationships among stomatal anatomical traits were determined by linear correlation analysis

252   using all replicate measurements (i.e. n=1425). In order to examine inter-relationships among the

253   leaf anatomical and structural traits and leaf nutrients, linear correlation analysis was done on the

254   means of all leaf traits of the 19 plant species at different altitudes. The respective variables were

255   averaged across altitudes, replicate trees, leaves and microscope fields when calculating species

256   means. Influence of individual climatic variables on measured leaf traits were determined by

257   simple linear regression. Data from all species and altitudes were pooled in the regressions

258   between leaf traits and climatic variables. *Semecarpus gardneri* was the only species which was

259   present at more than one altitude (i.e. at 150 and 1050 m). Mean values from *S. gardneri* at the

260   two altitudes were considered as two separate data points in the correlation and regression

261   analyses.

262   A factor analysis (Child 1990) was done to identify underlying factors that cause variation in leaf

263   traits in the 19 plant species belonging to the three genera that are distributed across the altitudinal

264   gradient. In this analysis also, *S. gardneri* at 150 and 1050 m were considered as two observations.

265   The factor analysis was done using the principal axis method with prior communality estimates as

266   one. All factors that showed Eigen values greater than one were extracted and varimax rotation

267   was performed to identify variables that loaded on to extracted factors. Factor scores of the 20

268   observations were plotted in the factor space of the two principal factors (i.e. the two factors having

269   the highest Eigen values) to determine aggregation/separation of the 19 species based on their leaf

270   traits. A cluster analysis using the complete linkage method was performed to determine species

271   clusters among the 19 species, based on their leaf traits. Multivariate analysis of variance

272   (MANOVA) and the Wilks' Lambda Statistic was used to determine the significance of differences

273   between clusters. All statistical analyses were performed using SAS® Studio (Version 9.4).

14

274   **Results**

275   *Distributions of measured stomatal traits at different altitudes*

276   All stomatal traits showed substantial variation among species at a given altitude (Fig. S1).

277   Species-wise distributions of most stomatal traits showed normality in a majority of species

278   whereas most distributions of stomatal index deviated from normality (Table S1). The few outliers

279   were located on both ends of the distributions.

280   *Influence of genus and altitude on stomatal traits*

281   Analysis of stomatal traits in a linear mixed model showed that the fixed effect of altitude was

282   significant (p=0.06) on potential conductance index (PCI), but was non-significant (p>0.07) on all

283   other stomatal traits (Table 3). The random effect of the genus contributed a higher percentage

284   (56-64%) of the total variance of guard cell length (GCL), stomatal density (SD) and epidermal

285   density (ED) in comparison to the genus x altitude interaction (10-26%) (Table 4). The genus

286   effect contributed very little to the total variance of stomatal index (SI) and PCI (0-4%).

287   **Table 3.** Results of Type III tests for fixed altitude effect on leaf traits of plant species in tropical
288   rainforests of Sri Lanka along an altitudinal gradient
289

	GCL	SD	ED	SI	PCI	LMA	LBA	N	P	N:P
$F_{3,4}$	1.21	0.50	1.47	1.65	5.84	26.30	17.87	13.72	2.33	0.73
p>F	0.414	0.702	0.350	0.313	0.061	0.0043	0.0088	0.0143	0.2161	0.5840

290   GCL – Guard cell length; SD – Stomatal density; ED – Epidermal density; SI – Stomatal index; PCI –
291   Potential conductance index; LMA – Leaf mass per unit area; LBA – Leaf blade area; N – Leaf nitrogen
292   concentration; P – Leaf phosphorus concentration; N:P – Leaf nitrogen: phosphorus ratio.

293

294   *Variation of stomatal traits of different genera with altitude*

295   Guard cell length of *Calophyllum* was greater than that of *Semecarpus* and *Syzygium* at all

296   altitudes (Fig. 2.a). There was no consistent trend with altitude for GCL of any genus, thus

297 confirming the absence of an altitude effect (Table 3). The two notable increases in GCL with

298 increasing altitude in *Calophyllum* at 1050 m and *Semecarpus* at 1800 m occurred because of a

299 single species (*Calophyllum acidus* and *Semecarpus obovata*, Fig. S1.a) having a substantially

300 higher GCL than others within the respective genera.
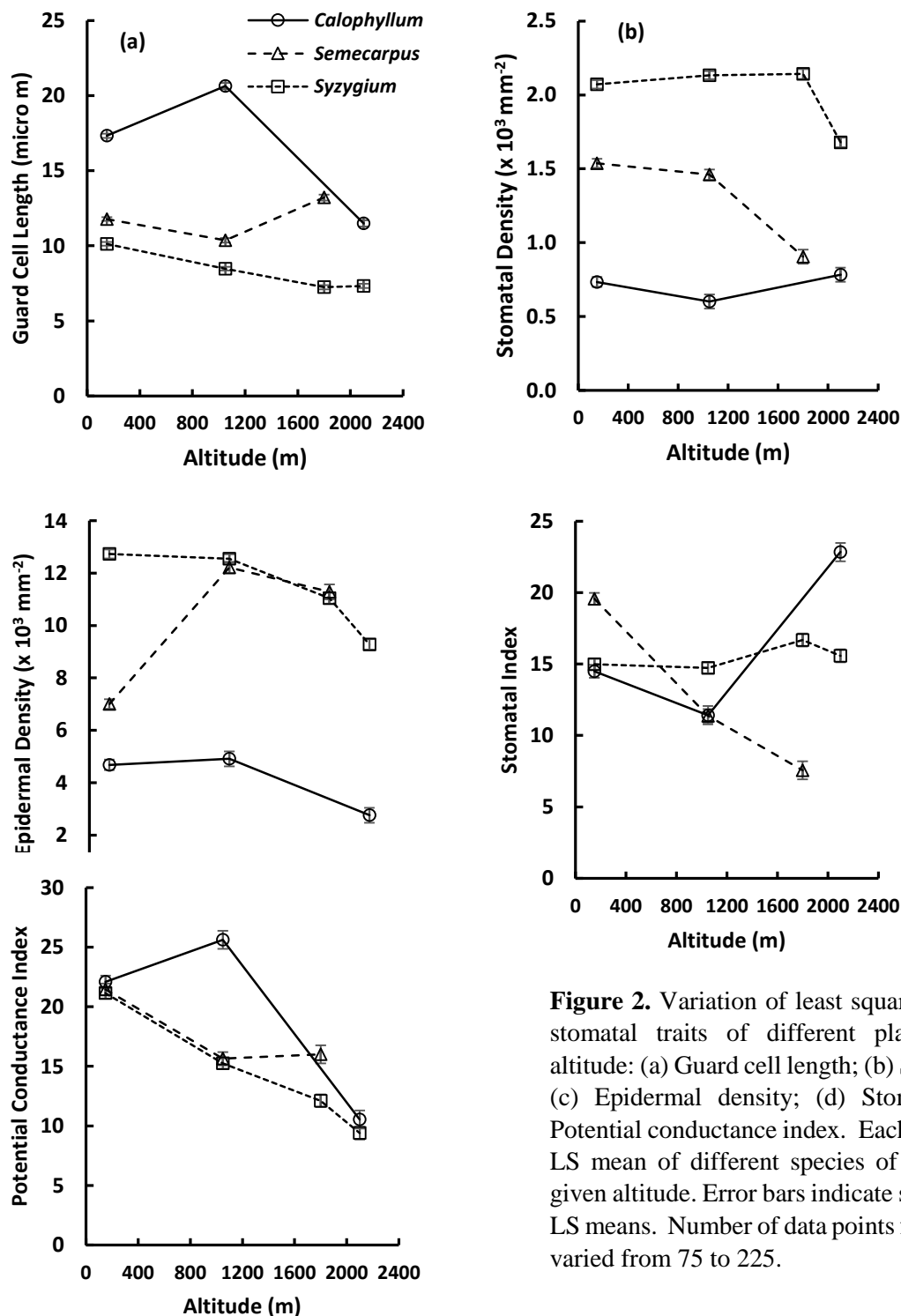
Figure 2. Variation of least square (LS) means of stomatal traits of different plant genera with altitude: (a) Guard cell length; (b) Stomatal density; (c) Epidermal density; (d) Stomatal index; (e) Potential conductance index. Each data point is the LS mean of different species of each genus at a given altitude. Error bars indicate standard errors of LS means. Number of data points for each LS mean varied from 75 to 225.

320 Stomatal density (SD) showed clear separation between the three genera with *Syzygium* having the

321 highest and *Calophyllum* the lowest with *Semecarpus* intermediate (Fig. 2.b). In all genera,

322 variations of SD with altitude were smaller than the variation among genera, thus confirming the

323 higher variance component attributed to genus (Table 4). The two notable reductions of SD at

324 higher altitudes in *Syzygium* and *Semecarpus* had occurred because of species level variation

325 (*Syzygium rotundifolium*, *Syzygium revolutum* and *Semecarpus obovata*) (Fig. S1.b). These

326 reductions contributed to the genus x altitude variance component. There is a highly-significant

327 ($p<0.0001$) negative correlation between GCL and SD (r = -0.67; n = 1425) (Table S2). Similar

328 correlations were evident at the genus level as well (*Syzygium*: r = -0.160, p<0.0001, n = 735;

329 *Calophyllum*: r = -0.312, p<0.0001, n = 300; *Semecarpus*: r=-0.335, p<0.0001; n = 390).

330 Epidermal density (ED) of *Calophyllum* was substantially lower than those of *Semecarpus* and

331 *Syzygium* (Fig. 2.c). Even though ED of all genera showed a decreasing trend from 1050 m

332 onwards, the observed reductions were smaller than differences among genera, which confirmed

333 the greater variance component due to the genus effect (Table 3). The lower ED of *Semecarpus*

334 at 150 m, which occurred because of lower ED of all three *Semecarpus* species (i.e. *S. subpeltata*,

335 *S. walkeri* and *S. gardneri*) at this altitude (Table 2), contributed to genus x altitude variance (Table

336 4). Epidermal density showed a positive correlation with SD and a negative correlation with GCL

337 (Table S2).

338 Stomatal index (SI) did not show a consistent variation with altitude or genus (Fig. 2.d).

339 Accordingly, the variance component due to genus was zero (Table 4). Furthermore, the variance

340 component attributed to genus x altitude interaction (32%) was lower than the residual (68%).

341 Stomatal index was negatively-correlated with ED and GCL and positively-correlated with SD

342 (Table S2).

343 **Table 4.** Estimates of variance components for random effects on stomatal traits in plant species of
344 tropical rainforests in Sri Lanka across an altitudinal gradient
345

Effect	GCL	%	SD	%	ED	%	SI	%	PCI	%
Genus	16.517	63	428810	64	12190137	56	0	0	2.341	4
Genus x Altitude	6.678	26	68110	10	3178939	15	14.875	32	10.053	18
Residual	2.982	11	169329	25	6212000	29	31.107	68	42.550	77
ML	5646.7		21193.9		26313.4		8965.3		9407.4	
AIC	5652.7		21199.9		26319.4		8969.3		9413.4	
BIC	5650		21197.2		26316.7		8967.5		9410.6	

346 ML: -2Res Maximum Likelihood statistic; AIC: Akaike Information Criterion; BIC: Bayesian
347 Information Criterion.
348

349 Potential conductance index (PCI) showed a general decreasing trend with altitude (Fig. 2.e) which

350 confirmed the significance of the fixed effect of altitude (Table 3). Furthermore, PCI did not show

351 variance due to genus. The high PCI of *Calophyllum* at 1050 m, which was an exception to the

352 decreasing trend with altitude, occurred because of the high GCL of *C. acidus* (Fig. S1.a). Potential

353 conductance index was negatively-correlated with GCL (Table S2). PCI also showed a positive

354 correlation with SI and a negative correlation with ED, but both were very weak ($r < 0.12$).

355 *Variation of leaf structural traits of different genera with altitude*

356 Distributions of leaf mass per area (LMA) and leaf blade area (LBA) showed variation among

357 different species at each altitude (Fig. S2). A large majority of the species x altitude combinations

358 showed normal distributions in their LMA and LBA (Table S1) with very few outliers.

359 The fixed effect of altitude on LMA and LBA were highly-significant (Table 3). The random

360 effect of the genus did not contribute to variance of LMA (Table 5). In contrast, the variance due

361 to genus contributed 46% to the total variance of LBA. Leaf mass per area showed increasing

362 trends with altitude in all three genera (Fig. 3.a). In contrast, LBA of all genera showed decreasing

363   trends (Fig. 3.b). There was clear separation between genera with *Semecarpus* having greater LBA
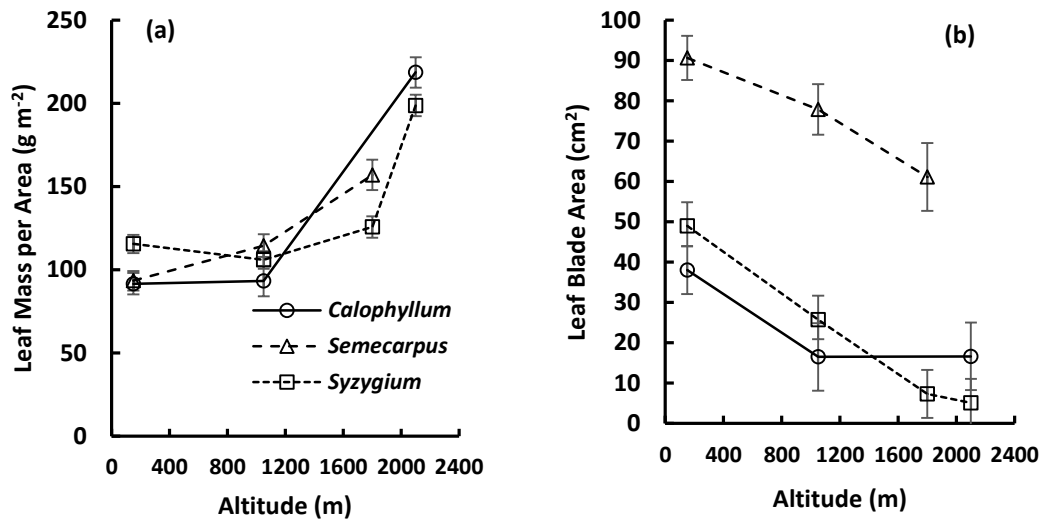
364   than the other two.

Figure 3. Variation of LS means of leaf structural properties of different plant genera with altitude: (a) Leaf mass per area; (b) Leaf blade area. Number of data points for each LS mean varied from 15 to 45.

Table 5. Estimates of variance components for random effects on leaf structural traits and nutrients in plant species of tropical rainforests in Sri Lanka along an altitudinal gradient

| Effect	LMA	%	LBA	%	N	%	P	%	N:P	%
Genus	0	0	892.6	45.9	0	0	8333	23	53.7	28
Genus x Altitude	156.9	11	1.44	0.1	0.574	14	0	0	0	0
Residual	1242.5	89	1049.9	54.0	3.576	86	27304	77	140.9	72
ML	2825.1		2777.6		244.6		731.9		434.8	
AIC	2829.1		2783.6		248.6		735.9		438.8	
BIC	2827.3		2780.9		246.8		734.1		437.0	

ML: -2Res Maximum Likelihood statistic; AIC: Akaike Information Criterion; BIC: Bayesian Information Criterion.

386   *Variation of leaf nutrients of different genera with altitude*

387   Distributions of leaf nitrogen (N) and phosphorus (P) concentrations and their ratio (N:P) showed

388   variation among species and altitudes, especially in their spread (Fig. S3). However, all but one

389   (N) or two (N:P) species showed normality in their distributions (Table S1). Because of the lower

390   number of data points at the species level, distributions of leaf nutrients were examined at the

391   genus level as well. The distributions of leaf nutrients of different genera showed a narrower

392   spread, especially for P and N:P (Fig. S4). All but one (N:P) and two (P) distributions were normal

393   (Table S3).

394   The fixed effect of altitude was significant ($p < 0.05$) for leaf N, but non-significant for P and N:P

395   (Table 3). The random effect of genus did not contribute to the variance of leaf N, but contributed

396   23% and 28% respectively to the variances of P and N:P (Table 5). The random effect of genus x

397   altitude did not contribute to the variances of P or N:P while contributing 14% to that of N.

398   All three genera showed increased leaf N from 150 m to 1050 m (Fig. 4), which was followed by

399   decreases in *Calophyllum* and *Semecarpus* at higher altitudes. In *Syzygium*, leaf N continued to

400   increase up to 1800 m, which was followed by a steep decline at 2100 m. Leaf P and N:P did not

401   show consistent trends with altitude. Differences in leaf nutrients among genera were not

402   consistent across altitudes. *Syzygium* had higher leaf N at 1800 m whereas *Semecarpus* had lower

403   leaf P had 150 m. *Calophyllum* had higher leaf N:P at 150 m and 2100 m.
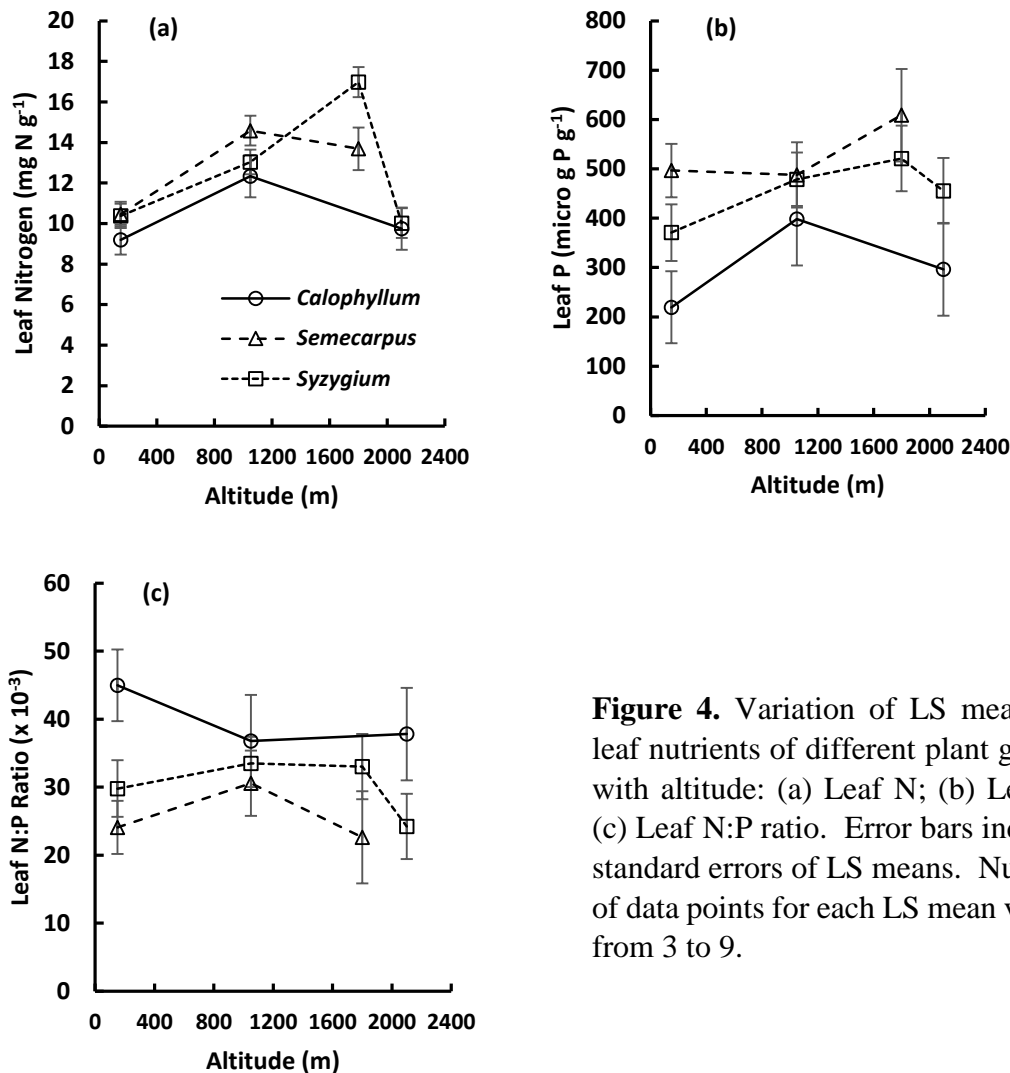
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Figure 4. Variation of LS means of leaf nutrients of different plant genera with altitude: (a) Leaf N; (b) Leaf P; (c) Leaf N:P ratio. Error bars indicate standard errors of LS means. Number of data points for each LS mean varied from 3 to 9.

418 *Inter-relationships among measured variables*

419 Table 6 shows the linear correlation matrix among species means of leaf stomatal and structural

420 traits and leaf nutrients. Guard cell length (GCL) showed significant (p<0.05) negative correlations

421 with SD and ED, but had a positive correlation with PCI. Stomatal density (SD) was positively

422 correlated with ED, which in turn was negatively correlated with SI. Except for a positive

423 correlation between ED and leaf N, there were no significant correlations between stomatal

424 anatomical traits and leaf nutrients.

Table 6. Linear correlation matrix of leaf traits, averaged for different plant species at different altitudes in tropical rainforests across an altitudinal gradient in Sri Lanka

					Pearson Correlation Coefficients (*r*), n = 20 Prob > |*r*| under H0: *r* = 0					
Trait	GCL	SD	ED	SI	PCI	LBA	LMA	N	P	N:P
†GCL	1.00000	**-0.792** **<.0001‡**	**-0.542** **0.0135**	-0.214 ns	**0.706** **0.0005**	0.177 ns	-0.329 ns	-0.371 ns	-0.391 ns	0.068 ns
SD	-	1.000	**0.681** **0.0009**	0.212 ns	-0.211 ns	-0.132 ns	-0.086 ns	0.368 ns	0.258 ns	0.147 ns
ED	-	-	1.000	**-0.504** **0.0234**	-0.114 ns	0.114 ns	-0.056 ns	**0.477** **0.0333**	0.358 ns	-0.114 ns
SI	-	-	-	1.000	-0.062 ns	-0.085 ns	0.087 ns	-0.279 ns	-0.166 ns	0.190 ns
PCI	-	-	-	-	1.000	0.352 ns	**-0.566** **0.0093**	-0.360 ns	-0.291 ns	0.067 ns
LBA	-	-	-	-	-	1.00000	-0.328 ns	-0.103 ns	0.226 ns	-0.357 ns
LMA	-	-	-	-	-	-	1.000	-0.162 ns	-0.065 ns	-0.176 ns
N	-	-	-	-	-	-	-	1.000	**0.612** **0.0042**	-0.007 ns
P	-	-	-	-	-	-	-	-	1.000	**-0.582** **0.0071**
N:P	-	-	-	-	-	-	-	-	-	1.000

‡Significant correlations are shown in bold.

†GCL - Guard cell length; SD – Stomatal density; ED – Epidermal density; SI – Stomatal index; PCI – Potential conductance index; LBA – Leaf blade area; LMA – Leaf mass per area; N – Leaf nitrogen concentration; P – Leaf phosphorus concentration; N:P – Leaf N:P ratio.

There was a highly-significant (p<0.01) negative correlation between LMA and PCI. Among the leaf nutrients, N and P were positively correlated while leaf N:P was negatively correlated to leaf P. Species means of 'PNUE' and 'PPUE' were negatively correlated to LMA (Fig. 5.a, b). Strength of these correlations increased when species-level variation within each genus was removed by averaging across species at each altitude (Fig. 5.c, d).
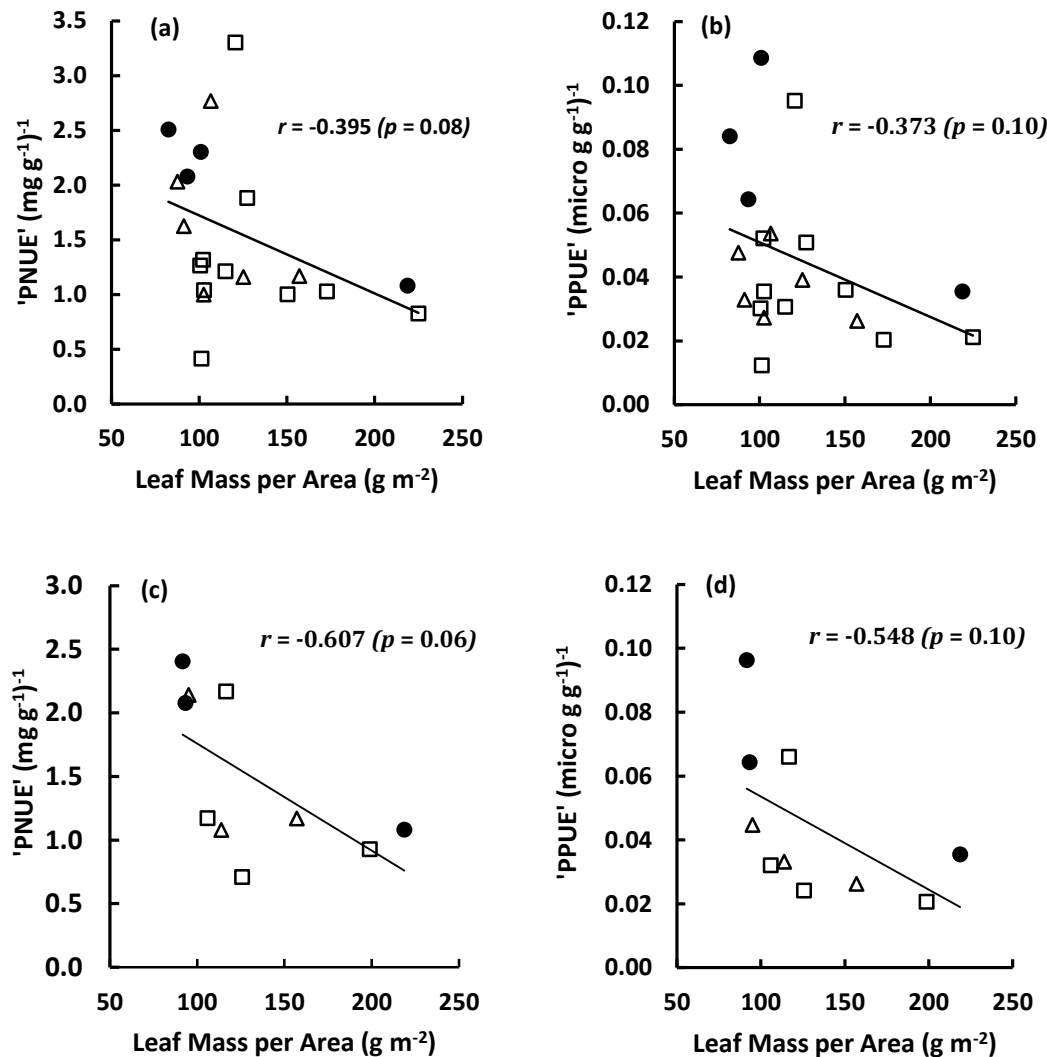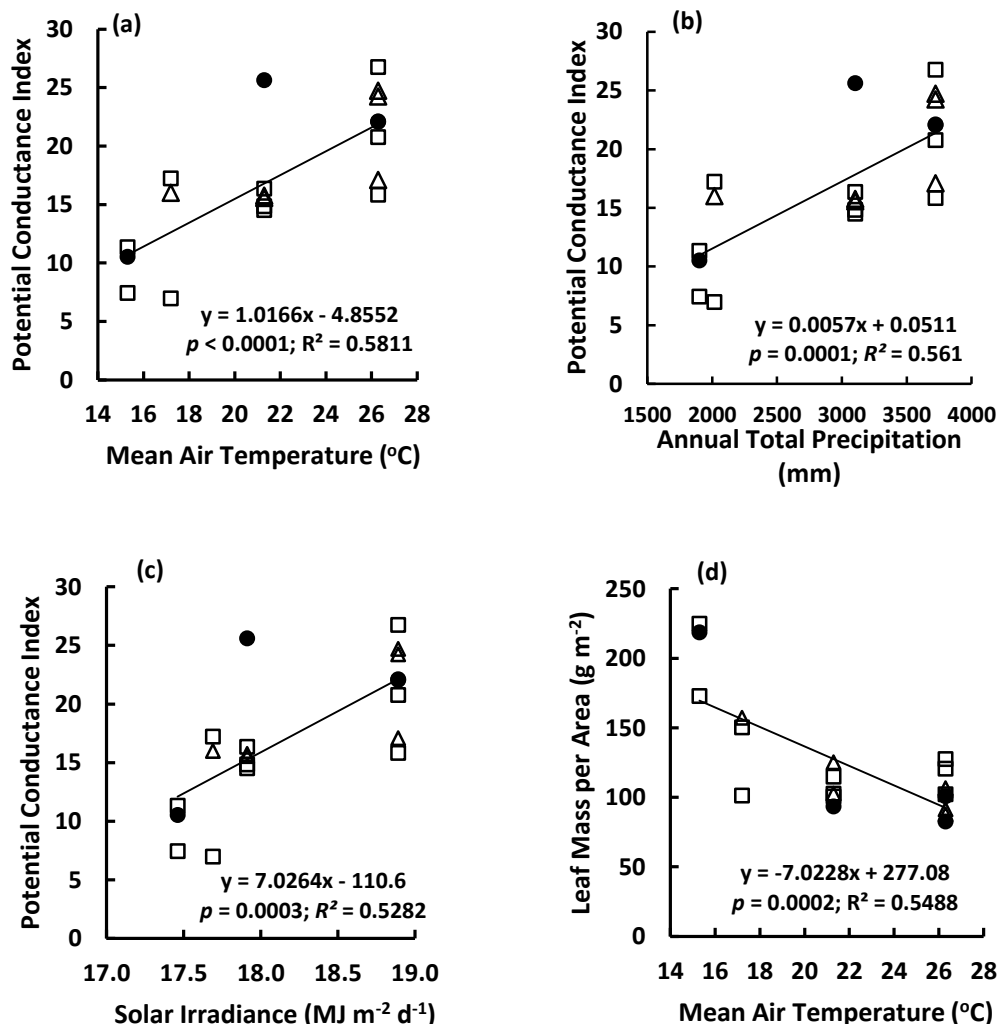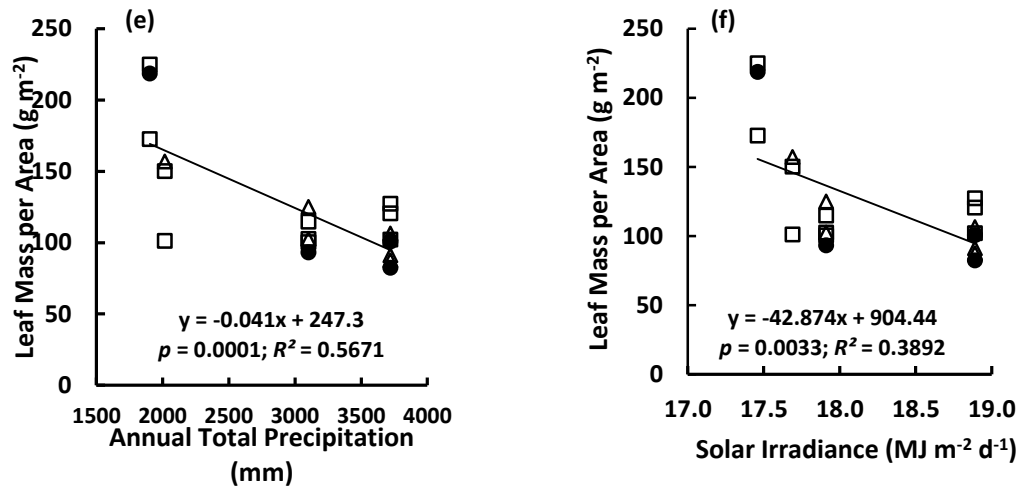
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454 **Figure 5.** Correlation of proxy indices of photosynthetic nitrogen use efficiency ('PNUE')' and phosphorus use efficiency ('PPUE')' to leaf mass per area (LMA) of different plant species (a, b) and genera (c, d) in tropical rainforests in Sri Lanka across an altitudinal range
455 from 150 m to 2100 m above sea level. ● - *Calophyllum*; Δ - *Semecarpus*; □ – *Syzygium*. 'PNUE' and 'PPUE' were calculated as the respective ratios of potential conductance index
456 (unitless) and leaf N and P concentrations.

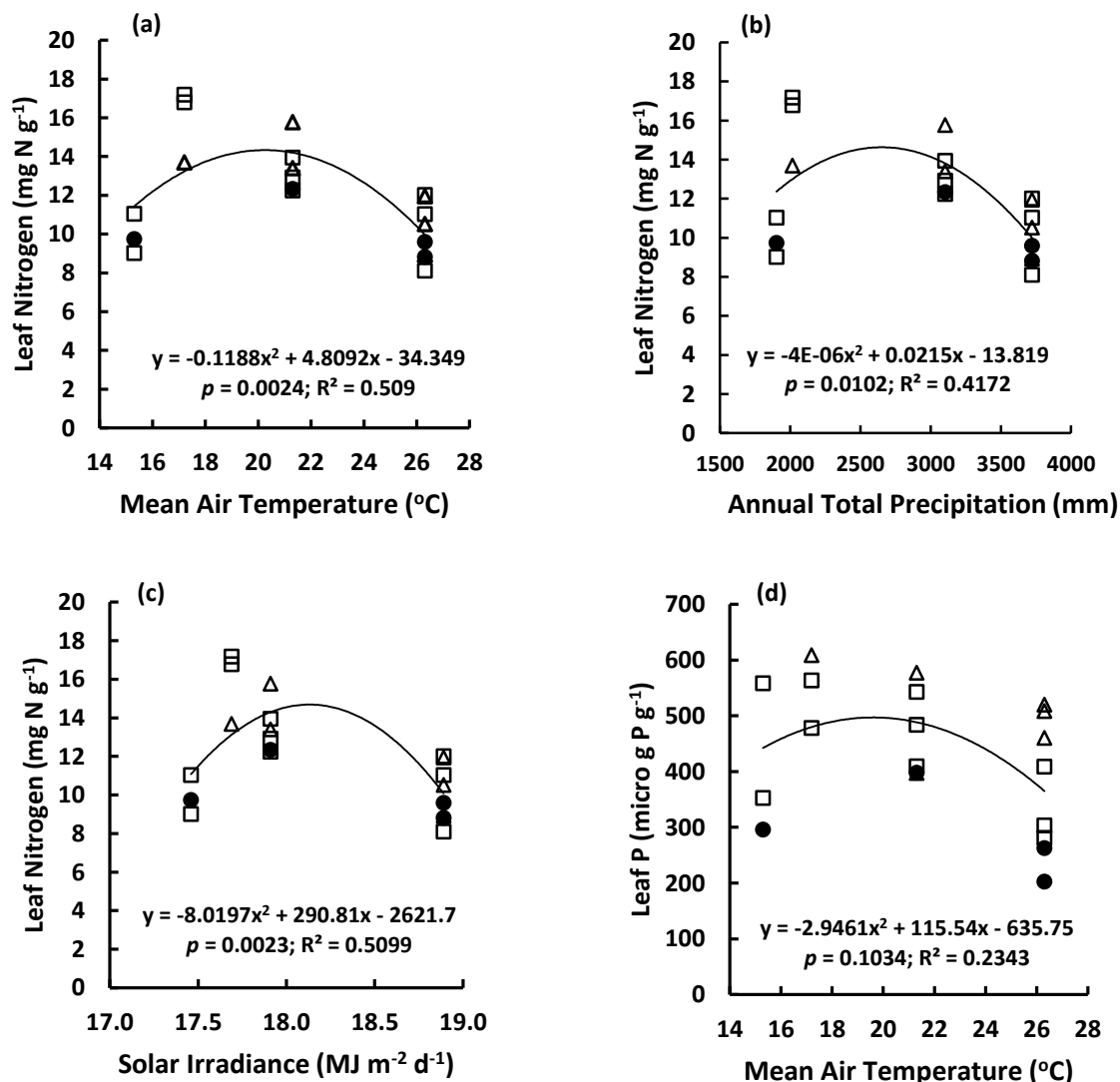457 *Trends with key climatic variables*

458 When the data from all species and altitudes were pooled, stomatal density (SD), guard cell length

459 (GCL), epidermal density (ED) and stomatal index (SI) did not show significant (p>0.05) linear

460 relationships with long-term mean air temperature (T_{AV}), annual precipitation (R_F) and daily solar

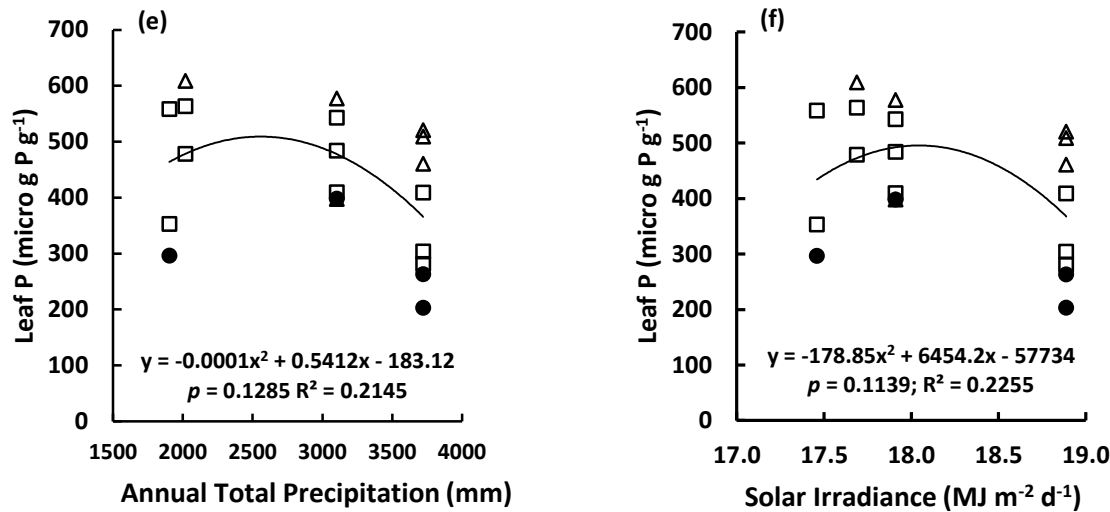461   irradiance (S_R). However, potential conductance index (PCI) showed highly-significant ($p<0.001$)

462   positive linear relationships with T_{AV}, R_F and S_R (Fig. 6.a-c). Leaf mass per area (LMA) showed

463   significant ($p<0.01$) negative linear relationships with T_{AV}, R_F and S_R (Fig. 6.d-f).

464   Because of the substantial variance component due to the random effect of genus (Table 5),

465   relationships of LBA with climatic variables were examined separately for *Semecarpus* which had

466   substantially higher LBA than *Calophyllum* and *Syzygium* (Fig. 3.b), whose LBA trends were

467   examined together. Mean LBA of different *Semecarpus* species did not show significant trends

468   with any of the climate variables (Fig. S5). However, when LBA of *Semecarpus* species at each

469   altitude were averaged, the genus-level mean LBA of *Semecarpus* showed clear increasing trends

470   with T_{AV}, R_F and S_R (Fig. S6). Pooled species-level and genus-level LBA means of *Syzygium* and

471   *Calophyllum* showed highly-significant ($p<0.01$) increasing trends with T_{AV}, R_F and S_R.

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(e)

Leaf Mass per Area (g m^{-2})

$y = -0.041x + 247.3$
$p = 0.0001; R^2 = 0.5671$

Annual Total Precipitation (mm)

(f)

Leaf Mass per Area (g m^{-2})

$y = -42.874x + 904.44$
$p = 0.0033; R^2 = 0.3892$

Solar Irradiance (MJ m^{-2} d^{-1})

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Figure 6. Variation of potential conductance index (a-c) and leaf mass per area (d-f) of different plant species with temperature (a, d), precipitation (b, e) and solar irradiance (c, f) in tropical rainforests in Sri Lanka across an altitudinal range from 150 m to 2100 m above sea level. ● - *Calophyllum*; Δ - *Semecarpus*; □ – *Syzygium*. Each data point is a species-level mean value.

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(a)

Leaf Nitrogen (mg N g^{-1})

$y = -0.1188x^2 + 4.8092x - 34.349$
$p = 0.0024; R^2 = 0.509$

Mean Air Temperature (°C)

(b)

Leaf Nitrogen (mg N g^{-1})

$y = -4E-06x^2 + 0.0215x - 13.819$
$p = 0.0102; R^2 = 0.4172$

Annual Total Precipitation (mm)

(c)

Leaf Nitrogen (mg N g^{-1})

$y = -8.0197x^2 + 290.81x - 2621.7$
$p = 0.0023; R^2 = 0.5099$

Solar Irradiance (MJ m^{-2} d^{-1})

(d)

Leaf P (micro g P g^{-1})

$y = -2.9461x^2 + 115.54x - 635.75$
$p = 0.1034; R^2 = 0.2343$
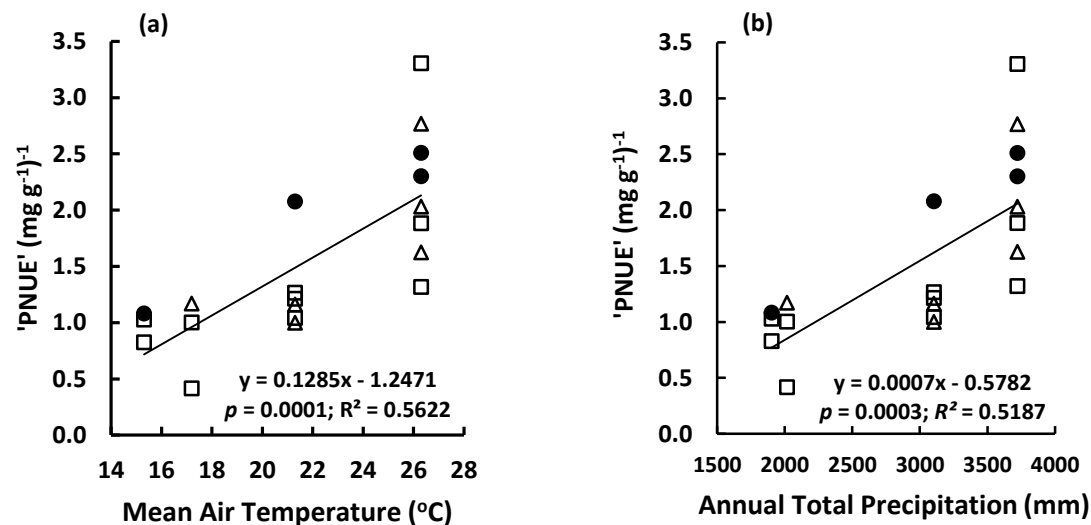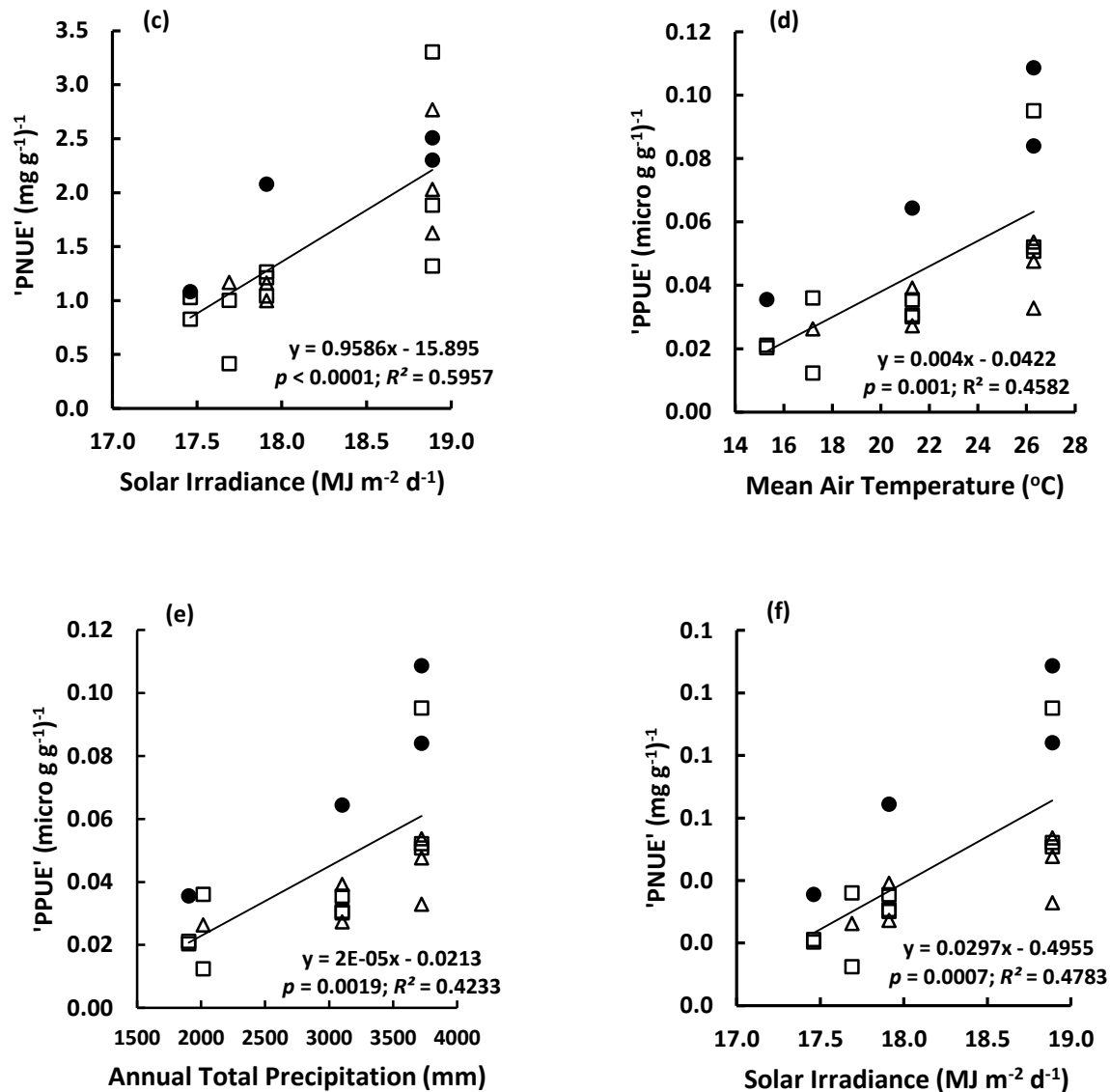
Mean Air Temperature (°C)

Figure 7. Variation of leaf nitrogen (a - c) and phosphorus (d - f) concentrations of different plant species with temperature (a, d), precipitation (b, e) and solar irradiance (c, f) in tropical rainforests in Sri Lanka across an altitudinal range from 150 m to 2100 m above sea level.

● - *Calophyllum*; Δ - *Semecarpus*; □ – *Syzygium*. Each data point is a species-level mean value.

Leaf N and P showed second-order polynomial trends with T_{AV}, R_F and S_R (Fig. 7). Trends for leaf P showed greater scatter (i.e. lower R^2 values and greater p) than those for leaf N. Leaf N:P ratio did not show significant trends with any climate variable (data not shown). Proxy indices of photosynthetic N and P use efficiencies ('PNUE' and 'PPUE') showed highly-significant positive trends with T_{AV}, R_F and S_R (Fig. 8).

Figure 8. Variation of proxy indices of photosynthetic nitrogen use efficiency ('PNUE')' and phosphorus use efficiency ('PPUE')' of different plant species with temperature (a, d), precipitation (b, e) and solar irradiance (c, f) in tropical rainforests in Sri Lanka across an altitudinal range from 150 m to 2100 m above sea level. ● - *Calophyllum*; Δ - *Semecarpus*; □ – *Syzygium*. Each data point is a species-level mean value.

Factor analysis

Four factors that had Eigen values greater than one were extracted initially and these accounted for 82% of the total variance in leaf traits in different plant species. The rotated factor pattern, following a varimax rotation, and the variables which loaded to each factor are given in Table 7.

543   A variable was considered to load on a factor if its loading on that factor is greater than 0.8 and if

544   the same variable does not load on another factor. Accordingly, two variables, namely, SD and

545   GCL, loaded on Factor 1, which accounted for 33% of the total variance of leaf traits.   Leaf mass

546   per area and PCI loaded on Factor 2 (21% of total variance) while leaf N:P (16%) and SI (12%)
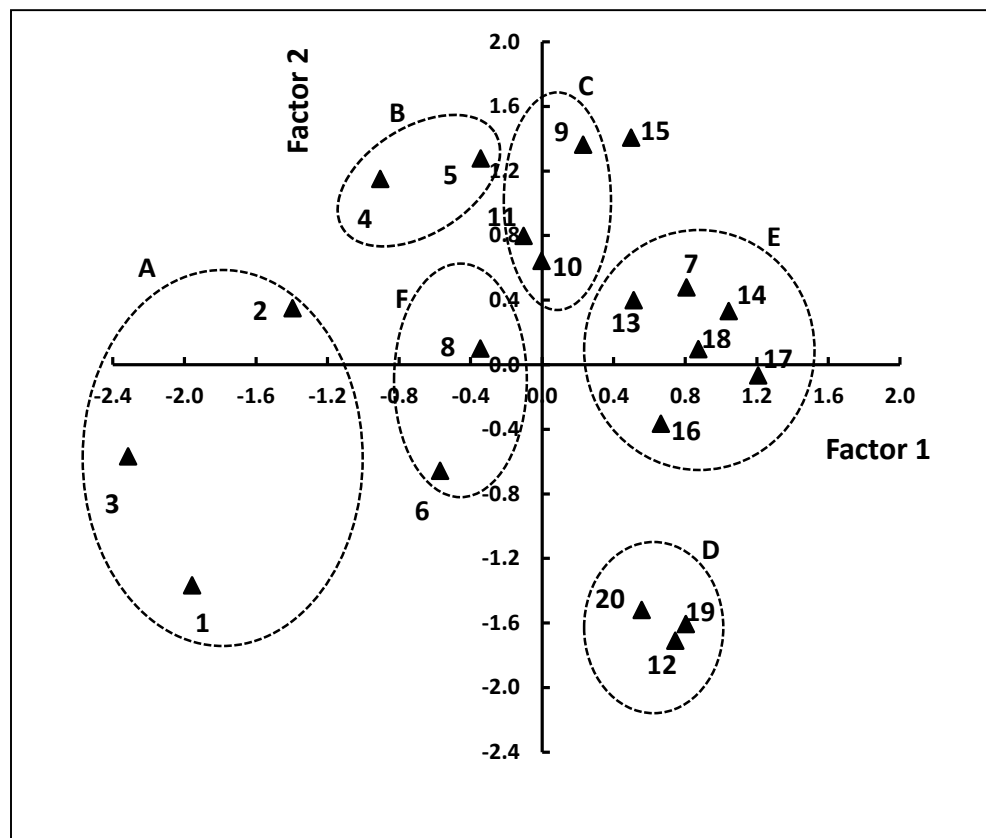
547   loaded on Factors 3 and 4 respectively.

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549   **Table 7.** Rotated factor pattern for extracted factors in a factor analysis of leaf traits of plant species
550   distributed along an altitudinal gradient in tropical rainforests in Sri Lanka

Variable	Loadings[†] of variables to factors			
	Factor1	Factor2	Factor3	Factor4
Stomatal density (SD)	94*	3	11	16
Epidermal density (ED)	73	9	-11	-48
Leaf nitrogen (N)	60	-9	-10	-52
Guard cell length (GCL)	-83*	47	11	-18
Potential conductance index (PCI)	-34	84*	7	2
Leaf mass per area (LMA)	-14	-86*	-6	10
Leaf N:P ratio	10	14	90*	9
Leaf blade area (LBA)	-6	56	-63	9
Leaf phosphorus (P)	45	-9	-72	-24
Stomatal index (SI)	9	-7	10	94*

551   [†]Multiplied by 100; *Loadings greater than 0.8.

552

553   Figure 9 shows the distribution of different tree species in the factor space based on their rotated

554   factor scores for Factors 1 and 2. It can be observed that the three species found at 2100 m in

555   Pidurutalagala are located away from the rest (group A). Two of the three species at 1800 m in

556   Hakgala are located together (group B), but one (*Semecarpus obovata*) is located away from all

557   species in all locations. Out of the six species found at 1050 m in Sinharaja-Enasalwatte, the three

558   *Syzygium* species are located close to each other (group C). However, the two *Semecarpus* species
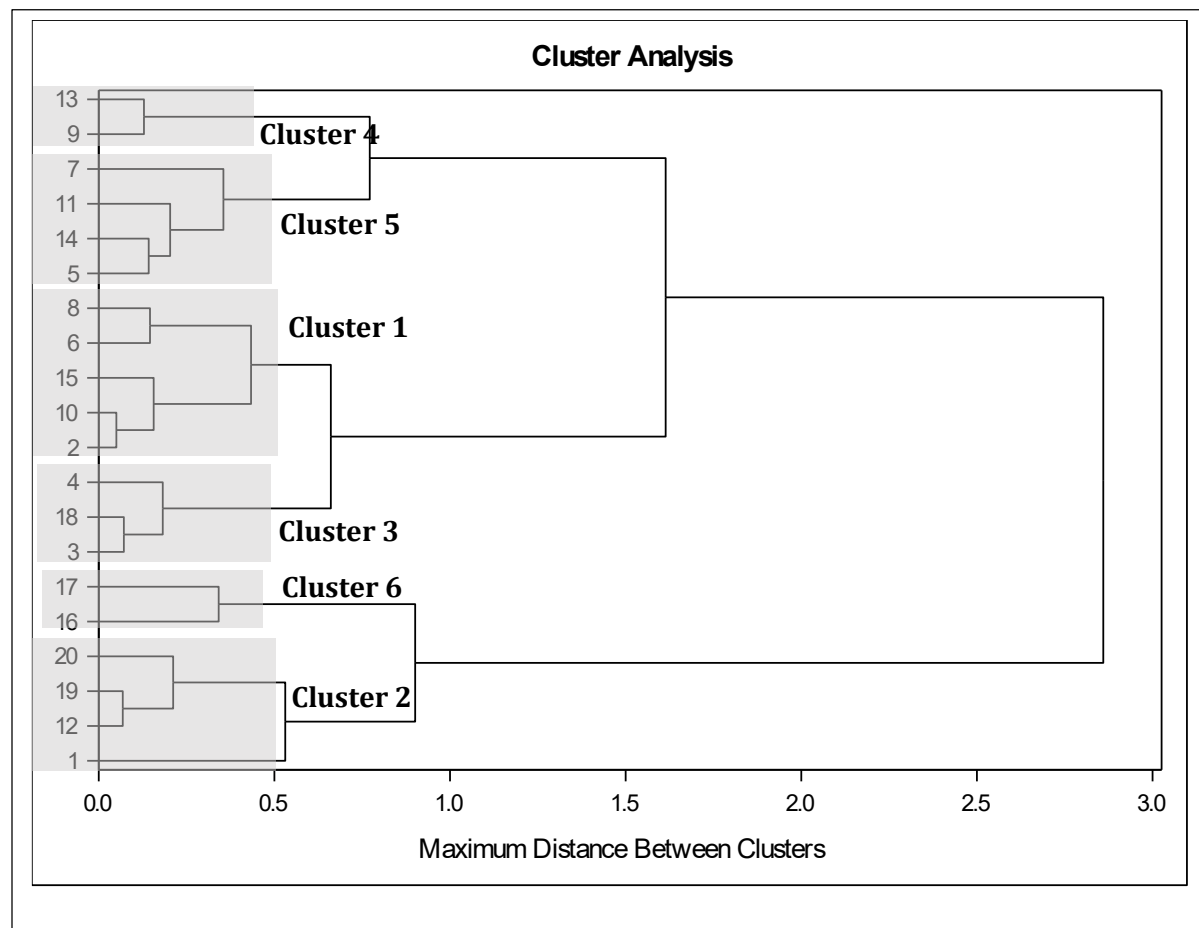
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Figure 9. Distribution of plant species in factor space based on factor scores (after rotation) of Factors 1 and 2. 1 – 3: Species at 2100 m in Pidurutalagala; 4 – 6: Species at 1800 m in Hakgala; 7 – 12: Species at 1050 m Sinharaja-Enasalwatte; 13 -20: Species at 150 m in Kanneliya. 1: *Calophyllum walkeri*; 2: *Syzygium rotundifolium*; 3: *Syzygium revolutum*; 4: *Syzygium zeylanicum*; 5: *Syzygium paniculatum*; 6: *Semecarpus obovata*; 7: *Semecarpus gardneri* (At Sin-Enasalwatte); 8: *Semecarpus parvifolia*; 9: *Syzygium cylindricum*; 10: *Syzygium micranthum*; 11: *Syzygium spp.*; 12: *Calophyllum acidus*; 13: *Syzygium alubo*; 14: *Syzygium firmum*; 15: *Syzygium neesianum*; 16: *Semecarpus gardneri* (At Kanneliya); 17: *Semecarpus subpeltata*; 18: *Semecarpus walkeri*; 19: *Calophyllum bracteatum*; 20: *Calophyllum cordato-oblongum*.

569   and the *Calophyllum* species are located away from the cluster formed by the three *Syzygium*

570   species and from each other. A majority of the eight species found at 150 m in Kanneliya have

571   formed two separate groups. One group (D) is formed by the two *Calophyllum* species (i.e. *C.*

572   *bracteatum* and *C. cordata-oblongum*). Notably, *Calophyllum acidus* from Sinharaja-Enasalwatte

573   also is located within this species group. All three *Semecarpus* species in Kanneliya are located

574   within group E. Interestingly, *Semecarpus gardneri* in Sinharaja-Enasalwatte also is located in

575   group E so that *S. gardneri* in both locations is within the same species group. Two of the three

576   *Syzygium* species in Kanneliya (*S. alubo* and *S. firmum*) are also located within group E along with

577   the three *Semecarpus* species. Notably, the other *Syzygium* species in Kanneliya (*S. neesianum*)

578   is located close to group C, which consists of the three *Syzygium* species in Sinharaja-Enasalwatte.

579   *Semecarpus obovata* (at Hakgala) and *S. parvifolia* (at Sinharaja-Enasalwatte) which are located

580   away from all other species at all locations form a final species group (F).

581   *Cluster analysis*

582   The dendrogram produced by cluster analysis of the leaf traits of different plant species using the

583   complete linkage method is shown in Fig. 10. At a linkage distance of just above 0.5, six species

584   clusters were identified. Multivariate analysis of variance (MANOVA) showed that the six

585   clusters were significantly different (Wilks' Lambda Statistic = 0.00000956, p<0.0001). Notably,

586   all four *Calophyllum* species across the altitudinal range from 150 m to 2100 m grouped in to

587   Cluster 2. Cluster 1 consists of two sub-clusters, one having two *Semecarpus* species and the other

588   having three *Syzygium* species. It is notable that the species in both these sub-clusters come from

589   different altitudes. Cluster 3 has two *Syzygium* species from 2100 m and 1800 m, along with a

590   *Semecarpus* species from 150 m. Cluster 4 consists of two *Syzygium* species, one from 1050 m

591   and the other from 150 m. Cluster 5 has three *Syzygium* species from three different altitudes (i.e.

592   1800 m, 1050 m and 150 m) and one *Semecarpus* species from 1050 m. Lastly, Cluster 6 has two

593   *Semecarpus* species from 150 m. Therefore, while all *Calophyllum* species have grouped into one

594   cluster, there has been mixing between *Syzygium* and *Semecarpus* species during clustering. In all

595   three genera, species from different altitudes have come together in common clusters.

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Figure 10. Dendrogram of cluster analysis based on leaf traits of plant species from three genera in permanent sampling plots within tropical rainforests along an altitudinal gradient in Sri Lanka. 1 – 3: Species at 2100 m asl in Pidurutalagala; 4 – 6: Species at 1800 m in Hakgala; 7 – 12: Species at 1050 m in Sinharaja-Enasalwatte; 13 -20: Species at 150 m in Kanneliya. 1: *Calophyllum walkeri*; 2: *Syzygium rotundifolium*; 3: *Syzygium revolutum*; 4: *Syzygium zeylanicum*; 5: *Syzygium paniculatum*; 6: *Semecarpus obovata*; 7: *Semecarpus gardneri* (In Sin-Enasalwatte); 8: *Semecarpus parvifolia*; 9: *Syzygium cylindricum*; 10: *Syzygium micranthum*; 11: *Syzygium spp.*; 12: *Calophyllum acidus*; 13: *Syzygium alubo*; 14: *Syzygium firmum*; 15: *Syzygium neesianum*; 16: *Semecarpus gardneri* (In Kanneliya); 17: *Semecarpus subpeltata*; 18: *Semecarpus walkeri*; 19: *Calophyllum bracteatum*; 20: *Calophyllum cordato-oblongum*. Six clusters are identified by hatched boxes.

Discussion

We discuss the above results in terms of how they have provided answers to the questions that we posed at the beginning of this work.

629   *Do the altitudinal responses of stomatal traits vary for different taxa?*

630   As the genus x altitude interaction did not account for a substantial variance component in any of

631   the stomatal traits (Table 4), our results do not support the hypothesis that the altitudinal variation

632   of individual stomatal anatomical traits is different among different taxa in TRFSL. Out of the

633   stomatal traits, only PCI, a composite trait combining the effects of stomatal size (GCL) and

634   density (SD) in determining leaf gas exchange capacity, and a proxy for photosynthetic capacity

635   (Wong et al. 1979, Farquhar and Sharkey 1982) showed variation with altitude (Table 3). It is

636   notable that neither the genus nor genus x altitude interaction contributed appreciably to the total

637   variance of PCI, thus indicating that the observed altitudinal response of PCI is common for

638   different taxa. Interestingly, the two component traits of PCI (i.e. GCL and SD) show substantial

639   variation among genera (Table 4) while not responding to altitudinal variation. Therefore, our

640   observation of a common altitudinal response of PCI supports a hypothesis that the genetically

641   diverse altitudinal responses of individual stomatal traits are part of an integrated altitudinal

642   response in the leaf gas exchange capacity.

643   *Are the altitudinal responses of different leaf traits linked in an integrated/coordinated response?*

644   Among the other leaf traits, LMA, LBA and leaf N showed significant altitudinal trends (Table 3).

645   Despite LBA showing an appreciable variance component due to genus, the altitudinal trends of

646   the above three traits did not show variation among the three genera (Table 4, Figs. 3, 4). This

647   affirmed the absence of evidence to support Hypothesis 1 with regard to leaf structural traits and

648   key nutrients. However, two of our observations support the hypothesis that the altitudinal

649   responses of different leaf traits are interlinked across different taxa to form a coordinated response

650   across different taxa) (Hypothesis 2). One is the significant negative correlation between PCI and

651   LMA (Table 6). The other is the result from factor analysis where PCI and LMA formed one of

652 the two major variable constructs that explained the observed variance of leaf traits (Table 7). The

653 negative correlation between PCI and LMA is mechanistically plausible. Increasing LMA

654 indicates greater investment of biomass per unit leaf area, especially in structural tissue, thus

655 enabling a longer leaf lifespan. The leaf economic spectrum (Wright et al. 2004, Onoda and

656 Wright 2018) specifies that greater investment in leaf structure is accompanied by lower

657 investment in photosynthetic machinery, which entails a 'slower return' in terms of assimilate

658 production. Lower photosynthetic capacity does not require a high CO_2 absorption capacity via a

659 higher stomatal conductance. As PCI can be considered as the maximum possible stomatal

660 conductance (g_{max}), it is physiologically plausible that photosynthetic capacity and g_{max} are

661 negatively correlated to greater investment in leaf structural tissue (i.e. higher LMA) and longer

662 leaf lifespan.

663 We find only partial evidence that variation of N and P across altitudes, their associated climatic

664 parameters and genera is part of a coordinated response along with stomatal anatomical and leaf

665 structural traits. For example, we could expect leaf N to be positively correlated to PCI if higher

666 leaf N has resulted in greater investment of N in photosynthetic machinery (e.g. Rubisco).

667 Similarly, a positive correlation could be expected between PCI and leaf P, if higher leaf P

668 indicates greater investment in energy storage molecules such as ATP and NADPH. Across the

669 whole range of altitudes in our study, leaf N and P were not significantly correlated to PCI (Table

670 7). However, both leaf N and P, which had shown increases from low (150 m) to mid (1050 m)

671 altitude, showed decreases from mid- to high (> 1800 m) altitudes (Fig. 4) where parallel decreases

672 have occurred in PCI as well (Fig. 2). Therefore, the reductions in N and P from mid- to high

673 altitudes could be due to down-regulation of photosynthetic capacity, as shown by decreased PCI.

674 Based on global scale studies, leaf N and P were also expected to be negatively correlated to LMA

675   as greater investment in leaf structural tissue in the high-LMA leaves would mean reduced

676   allocation of N and P to leaves. However, across the whole range of altitudes we have not found

677   significant correlations between LMA and leaf nutrients. On the other hand, the expected strong

678   negative correlations are found between LMA and leaf nutrients from mid- to high altitudes.

679   Therefore, our results suggest that leaf nutrients vary as part of integrated/coordinated leaf trait

680   response at lower temperature and rainfall regimes (i.e. mid- to high altitudes), but not at higher

681   temperature and rainfall regimes (i.e. low altitudes). Shifts in trait relationships with variation in

682   climate have been observed by (Wright et al. 2005).

683   Examination of trait relationships and climate responses of nutrient use efficiencies of our data

684   provide evidence for leaf nutrients also being part of a coordinated response in concert with

685   stomatal anatomical and leaf structural traits. Both 'PNUE' and 'PPUE' showed negative

686   correlations with LMA (Fig. 8). As suggested by Onoda and Wright (2018), allocation of a higher

687   proportion of leaf N and P to structural tissue could have caused these reductions in 'nutrient use

688   efficiencies' with LMA. Furthermore, the positive relationships of 'PNUE' and 'PPUE' with T_{AV},

689   R_F and S_R (Fig. 8) are in accordance with a strategy of increased investment of N and P in

690   photosynthetic machinery in higher temperature and precipitation environments where LMA is

691   lower and photosynthetic capacity is higher (Fig. 6).

692   *How strong is the influence of climate variation across altitudinal gradients on responses of leaf*

693   *traits to altitude?*

694   Assessing the influence of climatic variation across the altitudinal gradient on leaf traits and their

695   associations is constrained in our work by the limited number of data points (i.e. four altitudes).

696   However, the strong trends of PCI (positive, Figs. 6.a-c) and LMA (negative, Figs. 6.d-f) with

697   temperature (T_{AV}), precipitation (R_F) and incident solar radiation (S_R) indicate significant

698   environmental control over key leaf traits. The absence of a genus level effect as shown by its

699   very low variance component (Tables 4, 5) show that climatic factors exert an influence that

700   overrides genetic variation. Mechanistic explanations are available for the observed trends

701   between the above leaf traits and climatic factors. Increase of PCI with increasing R_F agrees with

702   the tight control exerted by water availability on leaf gas exchange capacity. Species in sites of

703   higher precipitation have evolved greater gas exchange capacity (i.e. higher PCI), probably to

704   maximize carbon assimilation without being constrained by the possibility of water stress due to

705   excessive transpiration. It is possible that the higher PCI at higher R_F sites is the result of a

706   combination of climatic factors that favour higher assimilation rates. This is because in the present

707   study, higher R_F at lower altitudes (e.g. 150 m) is accompanied by higher T_{AV}, S_R and pCO_2, as

708   can be assumed with higher WVP, (Table 1), all of which promote photosynthesis. In contrast, as

709   R_F declined progressively with increasing altitude, the lower R_F sites are at higher altitudes where

710   T_{AV}, S_R and pCO_2 also are lower. The lower T_{AV}, T_{min} and T_{max} levels at higher altitudes of the

711   present study are more likely than their R_F to impose limitations on photosynthesis (James et al.

712   1994, Cabrera et al. 1998, Zhang et al. 2005). Therefore, it is possible that the lower PCI at the

713   lower R_F sites have occurred more as a response to decreased photosynthetic potential at lower

714   temperatures than a response to lower R_F.

715   The negative trends of LMA with T_{AV}, R_F and S_R (Fig. 6) indicate increased allocation of leaf

716   biomass per unit leaf area. While the negative trend with R_F agrees with the broader global trend,

717   the decrease of LMA with increasing T_{AV} is opposite to the trend shown with a broader range of

718   plant species and climates (Wright et al. 2004, 2005). However, when the trend for evergreens is

719   separated from the global trend (Wright et al. 2005), it agrees with that observed in our study. It

720   is possible that the increase of LMA with decreasing R_F and T_{AV} is a consequence of restrictions

721   imposed by both these climatic variables on LBA. In all three genera, LBA decreased with

722   decreasing R_F and T_{AV} (Figs. S5, S6) probably because of their effects on leaf cell division (CDR)

723   and expansion rates (CER). There is ample evidence from agricultural crops that in plant tissues

724   growing without water deficits, both leaf CDR and CER increases with increasing temperature

725   above a base temperature (Squire and Ong 1983, Squire 1990, Chapman et al. 1993, Salah and

726   Tardieu 1996, Tardieu et al. 2005). Furthermore, CDR and CER are highly-sensitive to water

727   availability, showing reduction with water deficit (Squire et al. 1983, Ong et al. 1985, Granier and

728   Tardieu 1999, Tardieu et al. 2000, 2005, Chenu et al. 2008). It is highly-likely that these effects

729   on CDR and CER have combined to decrease LBA with decreasing T_{AV} and R_F along the

730   altitudinal gradient in our study (Figs. S5, S6). Such a restriction of LBA would concentrate the

731   biomass allocated to leaves within a smaller area, thus causing the observed increase of LMA with

732   decreasing T_{AV} and R_F. This increase of LMA is in agreement with the observed trend of thicker

733   and leathery leaves in environments with lower R_F and with longer leaf lifespans (LL) in cooler

734   and drier environments (Niinemets 2001, Wright et al. 2001, 2004). This trend is also in

735   accordance with an integrated response, which includes the observed reduction of PCI and

736   photosynthetic capacity with decreasing T_{AV}, R_F and S_R. Even though photosynthesis was not

737   measured in the present study, mass-based photosynthetic capacity has been shown to decline with

738   increasing LMA (Osnas et al. 2013, Onoda and Wright 2018). Therefore, the highly-significant

739   negative correlation between PCI and LMA observed in our study (Table 6) is mechanistically

740   plausible and is in agreement with the global trends.

741   The highly-significant positive correlation between leaf N and P is in agreement with global scale

742   observations (Wright et al. 2004, Reich and Oleksyn 2004, Osnas et al. 2013, Onoda and Wright

743   2018). The second-order polynomial trends of leaf N and P with T_{AV} (Fig. 7) are similar to the

744   global-scale patterns for a wider range of species and climates (Reich and Oleksyn 2004). The

745   absence of a response to climatic factors in leaf N:P ratio indicates that the responses of N and P

746   to climate variation occurs in a way that N:P is conserved across different climates. This is

747   confirmed by N:P being extracted as one of the underlying variable constructs influencing the

748   variation of leaf traits across species, genera and climates.

749   *Evidence from factor analysis for an integrated/coordinated altitudinal response*

750   We preferred to perform a factor analysis instead of a principal component analysis because of our

751   assumption based on previous work (Wright et al. 2004, Onoda and Wright 2018) that there is an

752   underlying structure of trait assemblies (i.e. underlying variable constructs) that causes the

753   observed variation of leaf traits across altitudes, genera and species (Child 1990, Suhr 2005). The

754   four extracted factors, which accounted for 82% of the variance of measured leaf traits, represented

755   physiologically-meaningful trait assemblies. Factor 1, composed of SD and GCL, constituted an

756   underlying composite factor incorporating two properties that determine the abundance of stomata

757   on the leaf surface and their size. Factor 2, being loaded by PCI and LMA, clearly constituted the

758   leaf economic spectrum (Wright et al. 2004). Factor 3 constituted an underlying factor based on

759   leaf nutrient contents, the leaf N:P ratio. Stomatal index, a measure of the fraction of stomata

760   relative to the total cell population on the leaf surface, formed the fourth underlying factor. The

761   distribution of different plant species and genera in the factor space of Factors 1 and 2 did not

762   show a clear separation of species or genera. This confirms that the influence of underlying factors

763   runs across taxonomic boundaries. This is confirmed by the clustering of species in cluster

764   analysis, where except for the four *Calophyllum* species, there was considerable mixing of species

765   of *Syzygium* and *Semecarpus* from different altitudes.

766

Conclusions

767

768   We, therefore, conclude that: (a) the response of stomatal anatomy to altitude does not differ

769   among taxa of trees in TRFs; (b) the altitudinal responses of leaf structural traits and major nutrient

770   concentrations are interlinked in a coordinated response across different taxa; and (c) climate

771   exerts a strong influence on the variation of leaf traits to environmental gradients, which spans

772   across taxonomic boundaries of species and genera. We also find evidence that the coordinated

773   response of leaf traits to climate variation is in accordance with the leaf economics spectrum.

774   These findings have important implications on how leaf traits and their responses to climate

775   variations are represented in dynamic vegetation models to predict the response of TRFs to future

776   climate change.

777

Supplementary data

778

779   Supplementary data of this article is available separately.

780

Conflict of interest

781

782   All authors declare no conflict of interest.

783

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792

793   **Authors' contributions**

794   JC, IC and BW conceptualized and designed the work. NS and DS established permanent

795   sampling plots and carried out the plant census, with assistance from HJ and AW in taxonomic

796   identification and description. BW collected leaf samples and carried out all measurements under

797   the supervision of IC. JC, IC and BW did the statistical analysis of data. JC wrote the paper with

798   assistance from IC, AW and BW. IC and BW reviewed the initial draft and IC reviewed the final

799   draft.

800

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Figure legends

Figures in the main text

Figure 1. Permanent sampling plots of the present study located at different altitudes in tropical rainforests of Sri Lanka. Kanneliya Plot 1 (KDN1-117 m asl), Kanneliya Plot 2 (KDN2-174 m asl), Sinharaja-Enasalwatta Plot 1 (1042 m asl), Sinharaja-Enasalwatta Plot 2 (1065 m asl), Hakgala Plot (1804 m asl) and Piduruthalagala Plot (2080 m asl).

Figure 2. Variation of least square (LS) means of stomatal traits of different plant genera with altitude: (a) Guard cell length; (b) Stomatal density; (c) Epidermal density; (d) Stomatal index; (e) Potential conductance index. Each data point is the LS mean of different species of each genus at a given altitude. Error bars indicate standard errors of LS means. Number of data points for each LS mean varied from 75 to 225.

Figure 3. Variation of LS means of leaf structural properties of different plant genera with altitude: (a) Leaf mass per area; (b) Leaf blade area. Number of data points for each LS mean varied from 15 to 45.

Figure 4. Variation of LS means of leaf nutrients of different plant genera with altitude: (a) Leaf N; (b) Leaf P; (c) Leaf N:P ratio. Error bars indicate standard errors of LS means. Number of data points for each LS mean varied from 3 to 9.

Figure 5. Correlation of proxy indices of photosynthetic nitrogen use efficiency ('PNUE')' and phosphorus use efficiency ('PPUE')' to leaf mass per area (LMA) of different plant species (a, b) and genera (c, d) in tropical rainforests in Sri Lanka across an altitudinal range from 150 m to 2100 m above sea level. ● - *Calophyllum*; Δ - *Semecarpus*; □ – *Syzygium*. 'PNUE' and 'PPUE' were calculated as the respective ratios of potential conductance index (unitless) and leaf N and P concentrations.

Figure 6. Variation of potential conductance index (a-c) and leaf mass per area (d-f) of different plant species with temperature (a, d), precipitation (b, e) and solar irradiance (c, f) in tropical rainforests in Sri Lanka across an altitudinal range from 150 m to 2100 m above sea level. ● - *Calophyllum*; Δ - *Semecarpus*; □ – *Syzygium*. Each data point is a species-level mean value.

Figure 7. Variation of leaf nitrogen (a - c) and phosphorus (d - f) concentrations of different plant species with temperature (a, d), precipitation (b, e) and solar irradiance (c, f) in tropical rainforests in Sri Lanka across an altitudinal range from 150 m to 2100 m above sea level. ● - *Calophyllum*; Δ - *Semecarpus*; □ – *Syzygium*. Each data point is a species-level mean value.

Figure 8. Variation of proxy indices of photosynthetic nitrogen use efficiency ('PNUE')' and phosphorus use efficiency ('PPUE')' of different plant species with temperature (a, d), precipitation (b, e) and solar irradiance (c, f) in tropical rainforests in Sri Lanka across an altitudinal range from 150 m to 2100 m above sea level. ● - *Calophyllum*; Δ - *Semecarpus*; □ – *Syzygium*. Each data point is a species-level mean value.

Figure 9. Distribution of plant species in factor space based on factor scores (after rotation) of Factors 1 and 2. 1 – 3: Species at 2100 m in Pidurutalagala; 4 – 6: Species at 1800 m in Hakgala; 7 – 12: Species at 1050 m Sinharaja-Enasalwatte; 13 -20: Species at 150 m in Kanneliya. 1: *Calophyllum walkeri*; 2: *Syzygium rotundifolium*; 3: *Syzygium revolutum*; 4: *Syzygium zeylanicum*; 5: *Syzygium paniculatum*; 6: *Semecarpus obovata*; 7: *Semecarpus gardneri* (At Sin-Enasalwatte); 8: *Semecarpus parvifolia*; 9: *Syzygium cylindricum*; 10: *Syzygium micranthum*; 11: *Syzygium spp.*; 12: *Calophyllum acidus*; 13: *Syzygium alubo*; 14: *Syzygium firmum*; 15: *Syzygium neesianum*; 16: *Semecarpus gardneri* (At Kanneliya); 17: *Semecarpus subpeltata*; 18: *Semecarpus walkeri*; 19: *Calophyllum bracteatum*; 20: *Calophyllum cordato-oblongum*.

Figure 10. Dendrogram of cluster analysis based on leaf traits of plant species from three genera in permanent sampling plots within tropical rainforests along an altitudinal gradient in Sri Lanka. 1 – 3: Species at 2100 m asl in Pidurutalagala; 4 – 6: Species at 1800 m in Hakgala; 7 – 12: Species at 1050 m in Sinharaja-Enasalwatte; 13 -20: Species at 150 m in Kanneliya. 1: *Calophyllum walkeri*; 2: *Syzygium rotundifolium*; 3: *Syzygium revolutum*; 4: *Syzygium zeylanicum*; 5: *Syzygium paniculatum*; 6: *Semecarpus obovata*; 7: *Semecarpus gardneri* (In Sin-Enasalwatte); 8: *Semecarpus parvifolia*; 9: *Syzygium cylindricum*; 10: *Syzygium micranthum*; 11: *Syzygium* sp.; 12: *Calophyllum acidus*; 13: *Syzygium alubo*; 14: *Syzygium firmum*; 15: *Syzygium neesianum*; 16: *Semecarpus gardneri* (In Kanneliya); 17: *Semecarpus subpeltata*; 18: *Semecarpus walkeri*; 19: *Calophyllum bracteatum*; 20: *Calophyllum cordato-oblongum*. Six clusters are identified by hatched boxes.

List of supplementary figures

Figure S1. Box plots of distributions of stomatal traits of plant species of tropical rainforests in Sri Lanka at different altitudes: (a) guard cell length; (b) stomatal density; (c) epidermal density; (d) stomatal index; (e) potential conductance index. Abbreviations for species: Calbrac - *Calophyllum bracteatum*; Calcoobl - *Calophyllum cordato-oblongum*; Semgard – *Semecarpus gardneri* (at 150 and 1050 m); Semsub – *Semecarpus subpeltata;* Semwalk – *Semecarpus walkeri*; Syzalu - *Syzygium alubo*; Syzferm - *Syzygium firmum*; Syznees - *Syzygium neesianum*; Calcid - *Calophyllum acidus*; Semparv - *Semecarpus parvifolia*; Syzcyl - *Syzygium cylindricum*; Syzmic - *Syzygium micranthum*; Syznew - *Syzygium* sp.; Semobo - *Semecarpus obovata*; Syzpan - *Syzygium paniculatum*; Syzzel - *Syzygium zeylanicum*; Calwalk - *Calophyllum walkeri*; Syzrev - *Syzygium revolutum*; Syzrot - *Syzygium rotundifolium*.

Figure S2. Box plots of distributions of leaf structural traits of plant species of tropical rainforests in Sri Lanka at different altitudes: (a) leaf mass per area; (b) leaf blade area. Abbreviations for species are as in Fig. S.1.

Figure S3. Box plots of distributions of leaf nutrients of plant species of tropical rainforests in Sri Lanka at different altitudes: (a) leaf nitrogen; (b) leaf phosphorus; (c) leaf nitrogen:phosphorus ratio. Abbreviations for species are as in Fig. S.1.

1059   **Figure S4.** Box plots of distributions of leaf nutrients of plant genera of tropical rainforests in Sri
1060   Lanka at different altitudes: (a) leaf nitrogen; (b) leaf phosphorus; (c) leaf nitrogen:phosphorus
1061   ratio.

1062   **Figure S5.** Species-level variation of leaf blade area (LBA) with climatic variables: (a)
1063   temperature; (b) precipitation; (c) solar irradiance. Regression lines are fitted for *Semecarpus*
1064   species separately and for *Syzygium* and *Calophyllum* species together. Each data point is a species
1065   mean.

1066   **Figure S6.** Genus-level variation of leaf blade area (LBA) with climatic variables: (a) temperature;
1067   (b) precipitation; (c) solar irradiance. Regression lines are fitted for *Semecarpus* separately and
1068   for *Syzygium* and *Calophyllum* together. Each data point is the mean of different species of a given
1069   genus.