1   Reduced blood to brain glucose transport as the cause for hyperglycemia: a model that

2   resolves multiple anomalies in type 2 diabetes

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Abstract:

Classically type 2 diabetes is believed to be a result of insulin resistance and relative insulin

deficiency. However, evidences have been accumulating against the insulin resistance

models. Absence of fasting hyperglycemia by insulin receptor knockouts or insulin

suppression, evidence for hyperinsulinemia preceding insulin resistance, the perplexing

hyperinsulinemic normoglycemic state, reduced glucose transport to the brain preceding

hyperglycemia, signs of vasculopathy preceding hyperglycamia, absent or poor correlation

between fasting glucose and insulin, very strong positive correlation between indices of

insulin resistance and β cell function in population data are some of the anomalous findings

which glucose homeostasis models have not addressed so far. With increasing evidence for

neuronal involvement in glucose regulation, we propose a refined model of glucose

regulation that considers brain glucose and insulin levels as the ultimate target of

homeostasis and combines central and peripheral mechanisms of regulation. A model

considering reduced rate of blood to brain transportation of glucose and insulin as primary

pathology explains most of the patterns, with or without insulin resistance. Apart from

resolving multiple anomalies the model also accounts for the limited and inconsistent

success of glucose normalization in effectively reducing diabetic complications and

mortality.

Key words: Glucose homeostasis, hyperglycemia, type 2 diabetes, steady state

1. Introduction

Presently, any metabolic disorder with consistent raised plasma glucose and insulin resistance, which cannot be categorized into either type 1 diabetes or gestational diabetes is defined as type 2 diabetes mellitus (T2DM) (DeFronzo et al., 2015). It is the most common form of diabetes, accounting for over 90% of all cases. The currently perceived cause of hyperglycemia in T2DM is insulin resistance followed by failure of compensatory insulin response. Historically the role of brain in glucose homeostasis was revealed by experiments in which damage to the fourth cerebral ventricle was shown to impair homeostatic control (Bernard, 1859). However, at a later stage, pancreatic extracts were shown to lower glucose and eventually insulin was identified as the active principle (Banting et al., 1922). It is necessary to note that the differentiation of type 1 and type 2 diabetes was not made at that time. The success of insulin was so spectacular that all other mechanisms of glucose regulation were almost forgotten for about a century(Lundqvist et al., 2019). The distinction between type 1 and type 2 diabetes was to become clear half a century later and it was realized that unlike in type 1, insulin deficiency was not the primary cause of hyperglycemia in type 2 diabetes. However, by this time the thinking in the field was so much insulin centered that the inability of normal or increased levels of insulin to regulate plasma glucose was termed insulin resistance, without carefully evaluating alternative hypotheses.

Further, the insulin resistance hypothesis is criticized for having a circular logic which makes it un-falsifiable(Diwekar-Joshi&Watve, 2020). Insulin resistance is said to be responsible for the inability of normal or raised levels of insulin to regulate glucose. However, insulin resistance itself is measured by the inability of insulin to regulate glucose. There is no independent measure of insulin resistance that can be used to test the causal role of insulin resistance. Impairment of insulin signaling in experiments using (i) tissue specific insulin receptor knockouts(Blüher et al., 2002; Kadowaki, 2000) (ii) insulin degrading enzyme knockouts(Costes & Butler, 2014; Maianti et al., 2014)(iii) pharmaceutical insulin suppression by diazoxide and octreotide(Giustina et al., 1991; Lamberts & Hofland, 2019; Leahy et al., 1994; Matsuda et al., 2002)(iv) insulin gene suppression by RNAi (Mehran et al., 2012b)(v) alteration in insulin gene dosage(Templeman et al., 2015)does not consistently alter fasting glucose although it alters the post meal glucose curve. In epidemiological data, fasting insulin and fasting glucose are poorly correlated while post meal insulin and glucose

are strongly correlated, unlike what would be expected by any of the classical models. With

converging multiple lines of evidence Diwekar-Joshi and Watve (2020) raised doubts on

whether insulin resistance and failure of compensatory hyperinsulinemia is a necessary and

sufficient explanation of fasting hyperglycemia.

Many mathematical models have been constructed based on the assumption of insulin

resistance. A common set of assumptions is shared by most of the models that fasting

glucose is the steady state achieved by a balance between glucose uptake by tissues and

glucose production by liver. One of the foundational assumptions is that both the above

processes are regulated by insulin signaling in the steady state(Matthews et al., 1985).

Therefore, insulin signaling has been central to these models. Since recent research has

exposed the inadequacies of this model (Diwekar-Joshi & Watve, 2020)there is a need to

hunt for better alternative models.

An alternative to the classical model which assumes brain glucose level as the target of

homeostasis has been suggested and mathematical models incorporating this concept have

been constructed(Gaohua & Kimura, 2009; Watve, 2013). These models have not been

explored sufficiently towards a comparative evaluation with peripheral models with respect

to their predictions and their physiological as well as clinical implications. Here we refine the

brain centered model further incorporating recent evidence, evaluate its performance and

explore the physiological and clinical implications.

1.1 <u>What a glucose homeostasis model needs to explain</u>:

Most models of glucose homeostasis have a limited goal of explaining altered fasting steady

state glucose level and the alterations in the glucose curve in the pre-diabetic and diabetic

state. However, now we have a large number of experimental results that are potentially

anomalous. A model prediction matrix, in which each model is tested for matching its

prediction with multiple known empirical patterns, is an appropriate and robust approach to

compare alternative models. Such an approach has been used to test alternative hypothesis

in a number of other contexts(Shinde et al., 2021; Thakar et al., 2003; Vibishan & Watve,

2020; Watve & Diwekar-Joshi, 2016) and it can be the right approach to test alternative

models of glucose homeostasis in T2DM. A model of choice would be one which can predict

all or most of the known physiological patterns in type 2 diabetes so that no or minimal

anomalies are left. We take a few steps towards a model prediction matrix, although a lot

more exploration of many other models is required before constructing such a matrix.

Following is the list of known empirical patterns that a model needs to be compatible with.

1. The model should allow stable steady state glucose on fasting: Fasting glucose is assumed to be a steady state with adequate evidence(Lerner & Porte, 1972; Matthews et al., 1985; R. C. Turner et al., 1979). Achieving a steady state is one of the primary objectives of every homeostasis model. Many of the key concepts of classical models are also based on achieving a steady state in the fasting condition(Matthews et al., 1985; R. C. Turner et al., 1979).

2. The model should also allow stable steady state insulin on fasting. Normally this is not a problem for any model, however many models have a problem in explaining the altered steady state insulin level in a prediabetic state as explained below.

3. Prediabetic state of normoglycemia with hyperinsulinemia: A prediabetic state is often accompanied by high level of fasting insulin (*FI*) but normal fasting glucose (*FG*) in the plasma. This is not easy to achieve in a model. The classical qualitative explanation of this state is that it is a state of insulin resistance with compensatory rise in *FI* such that *FG* remains normal. For this to happen, there is a need to estimate the level of insulin resistance and regulate insulin secretion accordingly. It is possible to hypothesize a mechanism of sensing insulin resistance and conveying this information to the pancreas. Such a mechanism can be glucose dependent or glucose independent. Currently no glucose independent mechanism of accurately assessing the insulin resistance and conveying it to β cells is known. A common assumption is that insulin resistance reduces glucose uptake thereby increasing *FG*. The rise in *FG* stimulates insulin production and the rise in insulin levels normalizes glucose again. However, this mechanism fails to achieve a steady state hyperinsulinemic normoglycemic condition(Diwekar-Joshi & Watve, 2020). Plasma insulin has a short half-life and after glucose levels are normalized, it is unlikely to stay at a higher level unless there is a glucose independent trigger for insulin secretion. Explaining a hyperinsulinemic normoglycemic steady state is a tricky challenge that any model needs to meet.

4. Hyperinsulinemia precedes insulin resistance and T2DM: Although hyperinsulinemia is believed to be a compensatory response to insulin resistance, a number of studies show that hyperinsulinemia precedes obesity and insulin resistance (Corkey &Shirihai, 2012; Mehran et al., 2012a; Pories & Dohm, 2020; Shanik et al., 2008; Weyer et al., 2000; Wiebe et al., 2021). This raises two independent questions. One is how and why hyperinsulinemia precedes insulin resistance. The second, more perplexing question is that if hyperinsulinemia is not a compensatory response to insulin resistance, the failure of compensation explanation becomes redundant. This needs to be replaced by a more coherent explanation of hyperglycemia.

5. The model should explain the following features of post meal glucose curve and its alterations in prediabetic and diabetic states, which cumulatively are called impaired glucose tolerance curve.
   a. Increased height of peaks
   b. Delayed return to normal
   c. Increase in the time difference in glucose and insulin peaks in the diabetic state.

6. The normal fasting glucose with impaired glucose tolerance (NFG-IGT) state: It is common to find that in a prediabetic state the glucose tolerance curve is altered with normal level of fasting glucose.

7. Stress hyperglycemia: Why and how stress causes hyperglycemia only in some individuals (Dungan et al., 2009) needs to be explained by a model with realistic and testable assumption.

8. Hyperglycemia after intensive exercise: If in a fasting state FG is a resultant of the rate of liver glucose production and glucose disposal, exercise that increases glucose disposal should decrease FG. However, in some studies plasma glucose remains unchanged or is increased after exercise (Coggan, 1991; Marliss & Vranic, 2002). A model needs to incorporate this phenomenon.

9. An important challenge is to explain why insulin receptor knockouts specific to muscle, fat cell or liver results into normal fasting glucose but altered post glucose load curves. Similarly, insulin degrading enzyme knockouts do not alter FG. Also insulin suppression by agents such as octreotide or diazoxide fail to alter FG(Diwekar-Joshi & Watve, 2020). A model should explain this observation. Diwekar-

Joshi and Watve (2020) further differentiated between the consequential steady

state (CSS) and targeted steady state (TSS) models and demonstrated that the results

of the insulin receptor knock out and insulin suppression experiments can be

explained by a TSS but not by a CSS model. In effect, to be able to account for these

results a model needs to be a TSS model.

10. Reduced glucose transport to brain, particularly in obese or prediabetic individuals:

It is known over a long time that in type 2 diabetes the rate of glucose transport

from blood to brain is slowed down substantially(Gjedde & Crone, 1981). In rodent

models, the glut-1 expression in brain capillaries is shown to be reduced(Cornford et

al., 1995; Matthews et al., 1985; Pardridge et al., 1990). This has been viewed as a

response to hyperglycemia. However, Hwang et al (2017) show that subnormal

transport is evident in obese and presumably prediabetic state, even before

hyperglycemia appears. A model needs to account for this pattern as a causal or

consequential phenomenon.

11. The T2DM specific islet amyloid deposition which often accompanies islet

deterioration: Islet amyloid deposition is frequently associated with type 2 diabetes

but not observed in type 1. The causes of islet amyloid deposition should be

compatible with the glucose homeostasis model (Höppener et al., 1999; Porte &

Kahn, 1989; Watve, 2014).

12. B cell deterioration pattern: The deterioration of β cells appears to follow a peculiar

pattern in T2DM, in which a substantial proportion of β cells survive lifelong (Bacha

et al., 2013; Butler et al., 2003; Clark et al., 2001; Porte & Kahn, 2001; Watve, 2014).

If dysfunction and damage to the β cell population is assumed to be an essential

prerequisite of hyperglycemia in a model, the models need to account for the

peculiar population dynamics of β cells.

13. Increased liver glucose production as well as ketogenesis with SGLT2 inhibitors: Over

the last decade SGLT2 inhibitors, which allow greater clearance of glucose through

urine, have offered a novel means of combating hyperglycemia. Lowering of plasma

glucose by SGLT2 inhibitors is accompanied by increased liver glucose production as

well as increased ketogenesis(Limenta et al., 2019; Mistry & Eschler, 2021; Op den

Kamp et al., 2021; Pfützner et al., 2017).

14. The Somogyi phenomenon i.e., hyperglycemia following infusion of insulin is seen in at least some cases of T2DM but not in healthy individuals. This can happen without being overtly hypoglycemic (Campbell, 1976; Reyhanoglu & Rehman, 2021).

15. In cases of brain injury hyperglycemia is common (Shi et al., 2016). In bacterial meningitis hyperglycemia is often observed accompanied by low CSF glucose level(Krishnan et al., 2016; Schut et al., 2009). A model should be able to account for the apparent contradiction.

16. In population data one sees good correlation between post meal glucose and insulin but poor correlation between *FG* and *FI* (Diwekar-Joshi & Watve, 2020).

17. Although FG and FI are poorly correlated in prediabetic state, HOMA-β and HOMA-IR have strong positive correlation in population data (Diwekar-Joshi & Watve, 2020).

18. Failure of glucose normalization to reduce the frequency of complications and mortality: Unlike T1DM, in T2DM tight regulation of plasma glucose has failed to show reduction in mortality consistently across multiple large scale clinical trials (Diabetes Prevention Program Research Group, 2015; Ferrannini & DeFronzo, 2015; King et al., 1999; Klein, 2010; Lee et al., 2021; Schwartz & Meinert, 2004; R. Turner et al., 1998; The NICE-SUGAR Study Investigators, 2009, UK Prospective Diabetes Study (UKPDS) Group, 1998). If hyperglycemia is the primary pathology of type 2 diabetes, preventing or correcting it should have reduced the frequency of complications and mortality considerably.

19. Reversal of hyperglycemia by FGF 21 in all models of rodent diabetes: Independent of the cause of hyperglycemia, a single injection of FGF 21 was able to achieve long term normalization of plasma glucose (Laeger et al., 2017). This is a potential anomaly that a model needs to resolve.

While most models intend to explain 1, 2 and 5 of the above, they either fail to explain or do not address the others. Our expectation from a satisfactory model is that it should inherently predict these outcomes or should be compatible with them. If an additional explanation that does not contradict the model, accounts for the phenomenon, the model can be said to be compatible. Compatibility with empirical findings cannot be taken as a proof or validation of the model, but if a model directly contradicts one or more of the empirical findings, it becomes serious grounds for rejecting the model. Later when we

223 describe model results, we will use the numbers in the above list in square brackets to

224 indicate that the model accounted for this pattern.

1.2 <u>Peripheral models of glucose homoeostasis:</u>

There is a rich history of development of mathematical models of glucose homeostasis and

the origin and progression of type 1 and type 2 diabetes (reviewed by Ajmera et al., 2013;

Mari et al., 2020). The focus of the field is so much on peripheral mechanism that the review

by Mari et al (2020) does not even cite the models incorporating the role of brain. Ajmera et

al (2013) briefly mention the Gouhua et al (2009)model but do not elaborate on its potential

implications. A class of models attempts to capture glucose homeostasis at the systems

levels whereas other models look at individual components such as insulin dependent

glucose uptake, liver glucose production, β cell dysfunction, glucose stimulated insulin

secretion and incretin effects at greater details. Nevertheless, these models together have

not accounted for many of the patterns listed above. The central assumption of these

models is more or less invariant and revolves around insulin resistance and the failure of

insulin response to adequately compensate for it(Mari et al., 2020). Diwekar-Joshi and

Watve (2020) claimed that any model with this set of assumptions is not compatible with

empirical patterns 3, 4, 6, 9, 16 and 17 of the above. Whether any variation of these models

can do so has not been adequately explored. Furthermore, all the peripheral regulation

models are CSS models in that the fasting steady state is a consequence of the rate of liver

glucose production and a concentration dependent rate of glucose uptake. In these models

a change in either or both the rates inevitably alters the steady state. This contrasts the TSS

models in which alteration in these rates alters the time required to reach a steady state but

does not alter the steady state glucose level(Diwekar-Joshi & Watve, 2020). An attempt to

develop a TSS model with only peripheral mechanisms has not been made to the best of our

knowledge.

We do not intend to review glucose homeostasis models here. However, we observe from

published reviews of glucose homeostasis models (Ajmera et al., 2013; Mari et al., 2020)that

most models do not address the apparently anomalous empirical findings particularly 3, 4,

6, 9, 10, 11, 12, 13 and 15-18 among the ones listed above. A detailed exploration in to

whether some variations of these models can address the apparently anomalous patterns is

beyond the scope of this paper but we remain open to this possibility.

1.3 <u>Brain centered models of glucose homeostasis</u>**:**

It is quite well known that a number of neuronal mechanisms in the brain are involved in

energy homeostasis. Nevertheless, for some reason, they do not occupy a central stage in

the mainstream thinking in T2DM and glucose regulation models. A number of recent

publications highlight the role of brain in different ways(Lam, 2005; Osundiji et al., 2012;

Perrin et al., 2004; Watve, 2013, Lundqvist et al 2019). Peters (2004), Gaohua and Kimura

(2009) and Watve (2013) proposed that the primary target of glucose homeostasis is to

regulate glucose levels in the brain. Plasma glucose levels are only a means to achieve

required supply of glucose mainly to the brain. Since transport of glucose to the brain is

more restricted, when adequate supply of glucose to the brain in ensured, it is likely that the

supply to other organs already gets ensured.

In the Gaohua and Kimura (2009) as well as in the Watve (2013) model the rate of glucose

transport across the BBB is assumed to be an adaptation to hyperglycemia. The finding that

glucose transport is reduced to an intermediate level in obese and prediabetic individuals

(Büsing et al., 2013; Hwang et al., 2017)suggests that the deficiency in transport precedes

hyperglycemia rather than following it. Therefore, it is more likely to be causal than

consequential. Glucose deficiency in the brain is known to induce liver glucose production

and suppresses insulin release through autonomic control(Boland et al., 2017). Sympathetic

tone has also been shown to be higher in T2DM (Thackeray et al., 2012).Therefore glucose

deficiency in the brain owing to altered vascular function is likely to be primary which results

in altered plasma glucose dynamics mediated by autonomic inputs. Our model incorporates

this possibility.

Impaired vascular function is known to be central to diabetic complications. The classical

thinking has been that hyperglycemia causes the types of vasculopathies typical of T2DM.

However, it has not been ruled out that vasculopathies are not primary. There is

considerable evidence that microvascular alterations precede T2DM and are good

predictors of it (Muris et al., 2012; Nguyen et al., 2007; Stehouwer, 2018; Zaleska-Żmijewska

et al., 2017). Sedentary life style and deficiency of many specific types of physical activities

and behaviors alter the expression of growth factors and endocrine mechanisms involved in angiogenesis (Watve 2013). It has been demonstrated repeatedly that many growth factors and angiogenic factors are responsive to behaviors, physical activity and exercise(Aloe et al., 1994; Cao et al., 2010; Chodari et al., 2016; Lakshmanan, 1986; Nexø et al., 1984; NEXØ et al., 1981; Tirassa et al., 2003). Deficiency of these behaviors is likely to lead to a primary deficiency of angiogenic mechanisms(Watve, 2013). We consider the possibility that altered vascular function in the brain is the primary reason why glucose transport from blood to brain is reduced. This creates chronic glucose deficiency in the brain to which the brain reacts by influencing multiple mechanisms of glucose regulation. The predominant mechanism is autonomic signaling. It is well known that sympathetic and parasympathetic tones are altered in T2DM(Thackeray et al., 2012). We consider the possibility that altered autonomic signaling is causal rather than consequential to diabetes, based on studies showing that changes in autonomic function precede T2DM (Carnethon et al., 2003).

The brain glucose uptake is insulin independent (Gray et al., 2014; Hasselbalch et al., 1999). Nevertheless insulin has many other functions in the brain and insulin signaling is known to be important in memory, cognition, decision making and behavior (Kerns 2001, Shemesh et al., 2012; Strachan, 2003). Brain is rich in insulin receptors and activation of certain cognitive functions in the brain is a likely cause of increased brain glucose utilization by insulin stimulation(Bingham et al., 2002; Rebelos et al., 2021). We assume therefore that brain has a fundamental requirement for insulin, independent of glucose metabolism and therefore mechanisms to ensure the required insulin levels in the brain are as much critical as ensuring minimum brain-glucose level. This is also ensured by autonomic mechanisms. Autonomic inputs are known to regulate β cell population and as well as insulin release from β cells (Thorens, 2015). Therefore, our model also incorporates brain control over insulin production.

Ensuring minimum supply of glucose as well as insulin to the brain is crucial during fasting when the plasma levels are low. The post meal levels of glucose and insulin in the plasma are much higher and at this time, the brain need not actively regulate the plasma levels of both. This can be taken care of by the peripheral mechanisms.

1.4 <u>Objectives of the model</u>:

Our attempt is to construct a model whose predicted outcomes match with all or most of

the observed phenomena listed above, qualitatively. We intend to construct a model in

which different hypotheses for hyperglycemia can be used to make differential testable

predictions. This approach can allow us to evaluate comparatively which causal factors

individually or in combination can give us the set of predictions that match with the patterns

listed above.

Out of the parameters required for the model, only some have empirical estimates available

(table 1). In the absence of realistic estimates of all parameters, we do not intend to make a

model making quantitative predictions. When a sufficiently large number of parameters can

be optimized, it is not difficult to fit the data quantitatively. Therefore, we prefer qualitative

predictions over quantitative ones. We test whether the model is able to predict the pattern

observed empirically under some set of parameters. The ability to predict a given pattern is

not a proof of the validity of the model, but the inability to predict an observed

phenomenon at any set of parameters in a realistic range is a strong reason to call the

model either inadequate or wrong. If an additional consideration compatible with the model

is able to explain a pattern not explained by the main model, the model can be called

inadequate but not falsified. However, if the model outcome directly contradicts a

consistent and reproducible empirical finding, it can be considered falsifying evidence. We

emphasize the need to evaluate our model in comparison with classical models on these

lines.

2. Methods

2.1 Assumptions of the model**:**

A unique assumption of our model based on the analysis by Diwekar-Joshi and Watve(2020)

is that the mechanism of regulation of glucose and insulin is different in the steady state and

post meal state. In the steady state the central mechanisms are more important whereas in

post meal state mainly the peripheral mechanisms are at work. Insulin induced glucose

uptake and insulin dependent inhibition of liver glucose production happen only above a

threshold glucose and insulin level respectively. The presence of such thresholds and their

context dependent variability has been known for a long time (Chen et al., 1993; Henquin et

al., 2006; Sorensen 1985). Below the threshold, other mechanisms regulate the levels

(Sorensen 1985). We assume in the model that the thresholds are under neuro-endocrine

control and fine-tuned by the brain. The thresholds found in isolated cell cultures are slightly
lower than the normal fasting blood sugar and it is difficult to estimate thresholds in vivo
(Chen et al., 1993; Henquin et al., 2006, 2015). In presence of autonomic signals, we assume
the thresholds to be above the steady state target levels. In conditions under which the
fasting levels need to increase, we assume the thresholds to increase proportionately. This
may happen with peripheral or central mechanisms. These assumptions about the threshold
make the model a TSS model.

The steady state glucose is decided by a balance between basal level of liver glucose
production which is regulated by neuronal mechanisms and insulin independent glucose
uptake by tissues. The steady state insulin level is decided by basal rate of glucose
independent insulin production, which is regulated by neuronal mechanisms against the
insulin degradation rate. Glucose is transported from plasma to brain by facilitated diffusion
proportionate to capillary surface area in the brain and glut-1 expression. Steady state brain
glucose is determined by glucose transport to brain and utilization by brain tissue. Brain
needs a target level of glucose and the brain ensures it by directly regulating liver glucose
production by neuronal mechanisms.

Insulin has cognitive and other functions in the brain(Begg & Woods, 2013; Kern et al., 2001;
Rohner-Jeanrenaud & Jeanrenaud, 1983; Shemesh et al., 2012; M W J Strachan, 2005), for
which the brain requires a target level of insulin, which it ensures by autonomic regulation
of β cell number and basal insulin secretion independent of glucose. For ensuring the brain
target level for insulin, a minimum plasma insulin level needs to be maintained.
Maintenance of this level is assumed to be independent of glucose stimulated insulin
secretion.

The rate of transport of glucose and insulin from blood to brain is not constant. Both are
affected by the capillary density and blood flow. But they also depend upon specific
transport mechanisms. Glucose is transported across the blood brain barrier mainly through
the specific transporter glut-1. The expression of glut-1 in brain and other tissues is variable
and dependent on multiple dietary, endocrine and growth factor related mechanisms
(Boado et al., 1994; Ge et al., 2011; Liu et al., 2018; Schüler et al., 2018).Glucose transport
from blood to brain is diminished in obesity and prediabetes (Hwang et al., 2017). Insulin
transport to brain is also reduced in obesity (Begg, 2015). The ratio of CSF to plasma insulin

is inversely proportionate to obesity and insulin resistance(Gray & Barrett, 2018). Moreover

inducing endothelial dysfunction and reducing glucose transport experimentally by

endothelial specific deletion of HIF 1-α resulted into hyperglycemia (Huang et al 2012).

Based on such multiple lines of evidence we incorporate the possibility of diminished

glucose and insulin transport to brain being the primary pathology of T2DM and

hyperglycemia only a consequence.

The model:

Since we assume that the steady state and post meal mechanisms of glucose regulations are

not identical, we model and analyze the two states in two phases of the model. The

variables and parameters used in the model and the range of parameter used are in table 1.

s no	Variables and parameters	meaning	units	Value/range used in simulations
A. Input parameters				
1	K_1	Insulin independent glucose uptake maximum	mg/dL/min	20-50
2	K_{1m}	Half saturation constant for insulin independent glucose uptake	mg/dl	50
3	K_2	Maximum insulin induced suppression of PG, including glucose disposal and suppression of L.	per µU/mL	0.001 to 0.05
4	K_{2m}	Half saturation constant for insulin induced suppression of PG	µU/mL	15
5	K_5	Maximum glucose dependent insulin secretion	µU/mL/min	2-20
6	K_{5m}	Half saturation constant for glucose induced insulin secretion	mg/dL	50
7	K_8	Maximum rate of glucose transport to brain	Mg/dL/min	3 - 8

8	K_{8m}	Half saturation constant for glucose transport to brain	mg/dL	50-100
9	K_9	Maximum rate of Insulin transport to brain	µU/mL/min	0.6 - 1
10	K_{9m}	Half saturation constant for insulin transport to brain	µU/mL	0.3-1
11	K_{10}	Brain glucose utilization rate	unitless	0.08 to 0.12
12	d_l	Insulin degradation rate	unitless	0.1 to 0.2
13	BGt	Target BG	mg/dL	25
14	BIt	Target BI	µU/mL	1-5
15	I_s	Insulin sensitivity as compared to normal which is assumed 1.	unitless	0-1
16	L_{max}	Maximum capacity of liver glucose production	Mg/dL/min	30
17	K_{4max}	Maximum capacity of glucose independent insulin secretion	µU/mL/min	10
B. Derived variables				
18	L	Rate of liver glucose production	mg/dL/min	Eq. 6 or iterative
19	K_4	Rate of glucose independent insulin secretion	µU/mL/min	Eq 7 and 8 or iterative
C. Outcome variables				
20	PG	Plasma glucose	Mg/dL	dynamic
21	PI	Plasma insulin	µU/mL	dynamic
22	$\underline{PG}$	Steady state plasma glucose	mg/dL	Eq. 5
23	$\underline{PI}$	Steady state plasma insulin	µU/mL	Eq. 7
24	BG	Brain glucose	mg/dL	dynamic
25	BI	Brain insulin concentration	µU/mL	dynamic
26	PGT	glucose threshold above which GSIS begins	mg/dL	5 to 10 mg/dL above $\underline{PG}$
27	PIT	Insulin threshold above which insulin induced glucose disposal begins	µU/mL	2-5 µU/mL above $\underline{PI}$

Table 1: all variables and parameters considered in the model.

407   <u>A: Modeling steady state glucose</u>:

408   As per the assumption, below a threshold *PI*, insulin induced glucose uptake and insulin

409   induced inhibition of liver glucose production is negligible. Therefore we write,

410   1. Plasma Glucose:

411
$$\frac{dPG}{dt} = \begin{cases} L - \frac{K_1 * PG}{K_{1m} + PG}, & + Gt & (\underline{PI} < PIT) \\ L - \frac{K_1 * PG}{K_{1m} + PG} + Gt - \left(I_s * \frac{K_2 * PI}{K_{2m} + PI} \right) * PG, & (PI > PIT) \end{cases}$$
    Eq. 1

414   2. Plasma Insulin:

415
$$\frac{dPI}{dt} = \begin{cases} K_4 - (d1 * PI), & (\underline{PG} < PGT) \\ K_4 - d1 * PI + \frac{K_5 * PG}{K_{5m} + PG} * (PG - PGT1), & (\underline{PG} > PGT) \end{cases}$$
    Eq. 2

416   3. Brain glucose:

417
$$\frac{dBG}{dt} = \frac{K_8 * (PG - BG)}{K_{8m} + (PG - BG)} - K_{10} * BG$$
    Eq. 3

Since brain forms only about 3 % of total body mass, we assume plasma glucose

diffused to the brain is a negligible fraction of total plasma glucose. Therefore, that term

is not included in the plasma glucose dynamics. Similarly, insulin diffusion to brain is

not represented in the plasma insulin dynamics. Nevertheless, the rate of plasma to

brain diffusion is crucial in determining the brain glucose and insulin dynamics.

4. Brain insulin:

424
$$\frac{dBI}{dt} = \frac{K_9 * (PI - BI)}{K_{9m} + (PI - BI)} - d_1 * BI$$
    Eq. 4

425   At steady state (SS) all differential terms become zero and steady state levels can be

426   calculated using equilibrium solutions.

427   As per the assumption of the model the brain needs a certain level of glucose and that is

428   the target of homeostasis *BGt.* In order to ensure *BGt* at given K_8, K_{10} and K_{8m}, the SS

429   plasma glucose <u>PG </u>needs to be

430
$$\underline{PG} = \frac{BG_t * K_{10} * K_{8m}}{K_8 - (BGt * K_{10})} + BGt$$
    Eq. 5

We assume that the brain ensures this plasma glucose level by regulating liver glucose

production L. To maintain the desired plasma glucose level at steady state, the liver

glucose production should be:

$$L = \frac{K_1 * \underline{PG}}{K_{1m} + \underline{PG}}$$ Eq. 6

The brain can either ensure this by sending a calculated signal to the liver through the

autonomic system. Alternatively, this can be ensured by the brain by increasing

sympathetic inputs when $BG < BGt$ and parasympathetic when it is above target(Antuna-

Puente et al., 2009; D'Alessio et al., 2001; Kiba, 2004). The required L can be achieved

iteratively by $L_{(t+1)} = L_{(t)} + K_s.(BGt - BG)$

Similarly, to ensure required brain insulin levels, plasma insulin should be

$$\underline{PI} = \frac{d_1 * BIt * K_{9m}}{K_9 - (d_1 * BIt)} + BIt$$ Eq. 7

To ensure this much plasma insulin level, K4 should be

$$K_4 = d_1.\underline{PI}$$ Eq. 8

Similar to glucose, the brain can ensure the target level of insulin in the brain by

neuronally modulating K_4 in a calculated or iterative manner. Specific autonomic inputs

are known to regulate β cell number as well as insulin release from β cells(Begg&

Woods, 2013; Boland et al., 2017; Kiba, 2004).

We also assume that both L and K_4 have a fixed upper limit as L_{max} and K_{4max} when the

maximum capacity of liver and pancreas is reached. L and K_4 cannot exceed this limit

even if neuronal inputs become more intense. This happens when K_8 declines below a

threshold such that,

$$K_8 < \frac{K_1 - L_{max}(BGt * K_{10} * K_{8m})}{L_{max} * K_{1m} - BGt(K_1 - L_{max})} - (BGt * K_{10})$$ Eq. 9

There is a potential conflict here. Sympathetic tone is known to increase L(Nelles et al.,

1996; Nonogaki, 2000)whereas parasympathetic signal is required for proliferation of β

cells. Simultaneously sympathetic signal is known to inhibit insulin release from β cells(Gilon

& Henquin, 2001; Miller et al., 1976). If the brain is deficient in glucose as well as insulin,

both sympathetic and parasympathetic tones will be simultaneously higher(Watve et al.,

2014). This is incorporated into the model by updating K_4 iteratively according to both BG

and BI levels simultaneously,

$$K_{4(t+1)} = K_{4(t)} + K_p(BIt - BI) - K_s(BGt - BG)$$ Eq. 10

By this consideration if sympathetic stimulation of liver glucose production is adequate to

restore required BG, $BGt - BG$ will tend to zero and there will be no interference in insulin

release by β cells. However, in case the rise in liver glucose production is inadequate to

ensure required BG, K_4 will be affected which will apparently influence β cell function.

<u>B. Simulating glucose tolerance curve:</u>

While for the steady state, equilibrium solutions can be algebraically derived, the post

glucose load curve and its properties can only be obtained by simulations. Simulations were

run using the same set of equations and giving a positive ephemeral Gt to simulate food

intake.

In all the simulations used, insulin resistance can be simulated by altering Is, β cell

dysfunction by reducing K_4 and/or K_5 and vascular defect slowing down transport of glucose

and insulin to brain and other organs by altering K_8, K_9 and K_1. Mental stress is assumed to

increase K_{10}. By differentially altering these parameters the model can separately and

collectively examine the effects of insulin resistance, β cell dysfunction, reduced rates of

diffusion across BBB and stress on the glucose tolerance curve.

<u>T2DM- causal analysis</u>: We ask the question which minimal set of changes can give rise to

stable increase in fasting glucose, changes in the glucose tolerance curve characteristic of

type 2 diabetes along with other patterns listed above. The putative causal factors are

examined individually and in combination. The factors include insulin resistance (Decreased

I_s), subnormal β cell response (Reduced K_4 and/or K_5), reduced insulin independent glucose

uptake (decrease in K_1), reduced blood to brain transmission of glucose (K_8) and insulin (K_9)

and stress related increase in brain glucose consumption (K_{10}). Subnormal or defective

vasculature is expected to decrease K_1, K_8 and K_9 proportionately, however the decrease in

the three parameters may not be proportionate if the vasculature in different parts of the

body is differentially affected. Also glucose transporters and there expressions can alter in

tissue specific manner. Therefore we allow proportionate as well as differential decrease in

the three parameters.

Results:

<u>A: Steady state solutions</u>: We observed that the steady state solutions and the autonomic

iteration simulations match well in the end result except when the limitsof L and K_4 are

reached. However, it took a long time to reach the desired level by iterative approach,

particularly when the desired level was substantially different from the starting level. In real

life major changes in vascular function or glucose transporter levels reflected in K_8, K_9, K_1

happen gradually. Therefore the desired level can be attained by autonomic fine tuning over

time. Since in the iterative simulations, a long time was required to reach SS, we used the

steady state solutions for quicker results.

It can be seen that the steady state levels of PG in the model is a stable steady state since

substituting $PG <$<u>PG</u> leads to a positive value and $PG >$<u>PG</u> leads to a negative value of $\frac{dPG}{dt}$.

The same applies to plasma insulin, brain glucose and brain insulin. This ensures a stable

steady state of glucose-insulin in the plasma as well as brain on fasting [1,2]. From equations

5, 6, , and 8 we see that, FG does not change by altering Is or FI. This is compatible with the

empirical findings that insulin receptor knockouts and insulin suppression experiments fail

to increase fasting plasma glucose [9]. This result is unique to our model and is due

tosegregatingfasting and post meal glucose regulation mechanismsby using thresholds. This

is the only explanation offered so far, for patterns [9], [16] and [17].

The steady state solution of our model shows thatFGcan increase as a result of increase in

K_{10} or decrease in K_8. That is if the glucose consumption in brain increases and/or the rate of

glucose transport from blood to brain decreases. Both the effects are interdependent and

the shape of the glucose response is decided by the interaction between K_8 and K_{10}.

Increased K_{10} increases FG marginally and almost linearly when K_8 is large. When K_8

decreases even a marginal rise in K_{10} can induce disproportionately greater rise in FG (fig 1).

This means that stress induced hyperglycemia is unlikely to be seen in healthy individuals

while it is more likely in individuals with reduced vascular transport [7].

Figure 1: The same input different output phenomenon:

A. *A conceptual diagram with arbitrary units: A saturation relationship in glucose and*

insulin transport described by a Michaelis Menten type of equation has important

consequences that can account for many phenomena observed in prediabetic and

diabetic stages. For example, here for achieving an increase in Y by 6 units with a

higher Y_{max}, X needs to increase only by 6 units but at a lower Y_{max} for the same

target increase in Y 25 units of increment in X is needed. This non-linearity explains

many phenomena including prediabetic hyperinsulinemia and stress induced diabetes

in our model of glucose homeostasis.

B. *A simulation using the steady state model: It can be seen that as K_8 decreases, for the*

same change in brain requirement K_{10}, a non-linear escalated increase in plasma

glucose is required.

C. *A simulation result assuming a correlated decrease in K_8 and K_9. It is possible that*

while glucose shows a marginal increase, insulin increases substantially, since the

parameters of glucose and insulin transport curves are different. This is a potential

explanation for hyperinsulinemic normoglycemic state.

B

Effect of brain glucose transport and utilization on fasting glucose levels

C

The origin of hyperinsulinemic normoglycemic condition can be explained by the

difference in the parameters of the saturation curves of transport dynamics of

glucose and insulin. If the insulin diffusion is assumed to be nearer to saturation and

glucose diffusion is sufficiently away from saturation, at the same level of vascular

function deficiency, insulin will increase disproportionately more than glucose

(Figure 1). This is a possible cause of hyperinsulinemic normoglycemic state [3], and

the reason why hyperinsulinemia precedes hyperglycemia [4] which requires neither

539	insulin resistance nor compensatory hyperinsulinemic response. When this happens,
540	both HOMA-IR and HOMA β increase although there is no change in true insulin
541	resistance and compensatory insulin response. This gives a false impression of insulin
542	resistance as clinically defined.
543	Further as the transport saturation constant K_8 and K_9 continue to decrease
544	proportionately, *FG* increases monotonically but *FI* shows a non-monotonic curve in
545	which *FI* increases with moderate decrease in transport rates but decreases after a
546	threshold decrease in transport rates. This non-monotonicity is predicted by the
547	iterative autonomic inputs model. When the required *L* approaches or exceeds L_{max},
548	the steady state *BG* remains smaller than *BGt*. The resultant increase in the
549	sympathetic signal inhibits insulin release from β cells. This leads to reduced insulin
550	secretion and insulin levels drop substantially. Thus, without bringing in additional
551	factors the model explains the early phase rise in fasting insulin as well as the later
552	phase decline in the course of T2DM [4] (Figure 2).

Effect of decrease in glucose and insulin transport to brain

Figure 2: Effect of correlated decrease in K_8 and K_9 on fasting plasma glucose and insulin.

Note that for a small to moderate decrease FI increases rapidly with marginal change in FG.

However, after a threshold decrease in the transport rates FI declines sharply whereas FG

increases rapidly. This pattern matches with the course of clinical T2DM without involving

insulin resistance, compensatory hyperinsulinemia and β cell exhaustion. Simulation specific

other parameters were K_{1m} =50, K_1=30, K_{2m}=15, K_2=0.01, K_{5m}=0.01, K_5=0.5, d_1=0.15, I_s=1,

BGt=25, BIt=5, K_{8m}=80, K_8=5, K_{9m}=0.3, K_9=0.8, K_{10}=0.1(Blue line) and 0.12(Red line).

This decline in the insulin response does not require β cell dysfunction as a causal

mechanism but there are more complex possible effects on β cells. Simultaneous activation

of sympathetic and parasympathetic inputs to β cells is implicated in β cell amyloidogenesis

(Watve et al., 2014). Parasympathetic stimulation is known to increase β cell number.

However, sympathetic signaling suppresses insulin release resulting in increased retention

time of insulin along with amylin which beyond a threshold retardation may result in to

spontaneous polymerization of amyloid protein leading to poisoning of β cells and

amyloidogenesis (Watve et al., 2014). This is an alternative causal interpretation of β cell

dysfunction and decline in its population. This mechanism has a built-in negative feedback

loop giving rise to a steady state β cell population. This result is compatible with the finding

in which the β cell population remains subnormal lifelong [11,12]. This is in contrast with the

dynamics expected by the classical thinking in which β cells are destroyed by gluco-

lipotoxicity or oxidative stress. This mechanism has a built-in positive feedback cycle. As a

part of the β cell population is destroyed, the insulin secretion is decreased which would

increase glucose further accelerating gluco-lipotoxicity and oxidative stress and therebyβ

cell loss. Such a positive feedback vicious cycle can only stop with complete destruction of

the β cell population. This prediction of the classical model does not match the finding of

sustained presence of subnormal β cell population in T2DM.

By the classical model, prolonged sustained exercise in the fasting state should result into

lower plasma glucose. However, experimental increase in glucose uptake resulting from

physical activity during fasting does not necessarily result into a decrease in *FG*. This is

mainly because as *FG* starts decreasing, *BG* decreases consequently. *BG* being lower than

BGt induces sympathetic mediated increase in *L* and the change in *FG* by physical activity is

more or less compensated. This may happen through the agency of glucagon as well, since a

strong link between autonomic signals and glucagon secretion is known. Therefore, at times

plasma glucose may actually increase after intensive exercise. Further, if exercise involves

heightened activation of cerebellar mechanisms of coordination, K_{10} may also increase

resulting into higher rather than lower *FG* in response to exercise [8].

A high dose of exogenous insulin results into increased plasma glucose after a time lag, is

known as Somogyi phenomenon. The phenomenon is conditional and not seen in every

diabetic. It is said to involve the counter-regulatory response on facing central

hypoglycorrhachia. However, often this response is seen without peripheral hypoglycemia.

This is best explained by reduced K_8 that gives rise to decreases *BG/FG* ratio. With smaller

K_8, at apparently normal or increased plasma glucose, brain glucose can still be lower than

the target which gives a sympathetic signal to increase *L*. Simulations using the autonomic

iteration model shows this phenomenon quite well at low K_8. At the same level of insulin

infusion, at lower K_8 there is more intense hyperglycemic response (Figure 3) [14]. A model

without accommodating changes in K_8 does not predict Somogyi phenomenon without an

obvious hypoglycemic state.

At transmission 90%

At transmission 70%

Figure 3: Effect of high dose insulin on peripheral glucose under two rates of glucose and

insulin transport across the blood brain barrier (Correlated decrease in K_8 and K_9). A

paradoxical rise in blood sugar following insulin administration is more prominent when the

transport rate is substantially lower than normal. Other parameters (K_{1m} =50, K_1=30, K_{2m}=15,

K_2=0.08, K_{5m}=0.01, K_5=15, d_1=0.15, I_s=1, BGt=25, BIt=4.5, K_{8m}=80, K_8=5, K_{9m}=0.3, K_9=0.8,

K_{10}=0.1)

The response is restricted to a narrow set of conditions. For intense hyperglycemic response

to insulin administration, it is necessary that insulin sensitivity is good, *BG* is close to *BGt*

before insulin transfusion, and K_8 is subnormal.

Potentially one can visualize two distinct possible classes of reasons why blood sugar

increases in response to brain injury. In the first, there is some impairment in the glucose

regulation mechanism. In the second, the requirement for glucose is increased during the

wound healing process and hyperglycemia is a mechanism of the body to meet the demand.

It is known that infections such as meningitis, stroke or hemorrhage leads to transient

hyperglycemia. Such hyperglycemia is often accompanied by lower *BG* levels (Schut et al.,

2009; van Veen et al., 2016)[15]. In such cases, hypoglycemic treatments can be

counterproductive and result into delayed or derailed repair process. This is a likely reason

why in patients under intensive care, strict regulation of plasma glucose increased mortality

instead of the expected decrease (The NICE-SUGAR Study Investigators, 2009).

When hyperglycemia is a process of meeting increased glucose demand or compensating

subnormal transport, removal of plasma glucose by any means is expected to increase *L*.

This is observed to happen when SGLT2 inhibitors decrease the urinary threshold and drive

out plasma glucose. If increasing *L* is not sufficient to restore the required glucose level,

there is a shift to ketogenesis since the brain can use keto acids as alternative source of

energy. Therefore, increased *L* with or without increased ketogenesis is expected following

SGLT2 inhibitors [13].

B: Glucose tolerance curve: Unlike the steady state predictions, the patterns of post feeding

glucose curve by our model are not qualitatively different from classical models. The area

under the curve, height of the peak, time required to return to steady state and the time

difference between glucose and insulin peaks are increased by decreased I_s, K_5, or K_8

individually or in combination. Decrease in I_s or K_5 does not increase *FG* but changes the

shape of the glucose tolerance curve. Decreased K_8 may alter both simultaneously. The

altered curve shows the three typical features namely taller peak, delayed return to steady

state and longer gap between glucose peak and insulin peak [5]. This result is not unique to

our model and classical models also show the three features. The classical model results into

simultaneous and proportionate alterations in the fasting as well as post meal glucose and

therefore fails to explain the NFG-IGT state. In our model, decreasing I_s or K_1 without a

change in K_8 results into an NFG-IGT state [6].

C: Population simulations: For the steady state as well as post glucose load dynamics we

give population distributions to K_1, K_5, K_8, K_9, I_S and also incorporate normally distributed

error in glucose and insulin measurements in fasting and post meal sampling. We also

incorporate correlated changes in K_1, K_8 and K_9 which are expected as a result of hypo-

vascularization in the brain. These simulations are run to observe whether we get the

anomalous correlations in fasting versus post meal state and in the HOMA indices as

observed empirically (Chawla et al., 2018; Diwekar-Joshi & Watve, 2020).

By classical models the regression correlation parameters of glucose-insulin relationship are

not different in fasting state versus post glucose load although the range of variables is

different as shown previously by Diwekar-Joshi and Watve (2020). Also, if we assume

HOMA-IR to faithfully reflect insulin resistance and HOMA β to faithfully represent β cell

response, then there is no reason why the two indices should be correlated.

The assumption behind our model that there are different mechanisms at work under

fasting versus post glucose load condition is necessary to explain the large difference in the

regression correlation parameters in fasting versus post meal levels. If the same set of

mechanisms in the fasting and post meal conditions are operational, whatever the model

used, it is imperative that fasting correlation regression parameters are stronger or

comparable to post meal parameters. Simulations with our model are able to give poor *FG-*

FI correlation along with strong post meal glucose insulin correlation under multiple

conditions [16] (Figure 4). When the variance in K_8 and K_9 is small but that in K_1, K_5, Gt andI_s

individually or in combination is large, the fasting correlations are weak and post meal

correlations strong; the post meal glucose-insulin regression slope is substantially greater

than *FG-FI* slope. Also, whenever *FG-FI* correlation is weak, the indices HOMA-IR and HOMA

β are strongly correlated similar to the epidemiological data [17]. The difference between

fasting and post meal regression correlation patterns is not predicted by the classical

models and is unique to our model which assumes different set of regulatory mechanisms in
the fasting and post meal state.

Figure 4: Plasma glucose and insulin correlation in the fasting steady state (A) and post meal
(B) in simulations. The empirical finding that there is a strong positive correlation in the post
meal data but poor correlation in fasting steady state was possible under a variety of
conditions in our model. Depicted here the simulation results (post meal R^2=0.57, steady
state R^2=0.014) in which only K_1 was given a wider population distribution. (mean (S.D.) K_1 =

35(7), K_5 = 10(0.00001), K_8 = 5(0.000005), and K_9 = 0.8(0.000008). Other parameters (K_{1m}

=50, K_{2m}=15, K_2=0.05, d_1=0.15, I_s=1, BGt=25, BI=4.5, K_{8m}=80, K_{10}=0.1).

<u>D: Effect of glucose normalization on arresting diabetic complications and mortality</u>: By

classical thinking, chronic hyperglycemia is responsible for the diabetic complications and

preventing hyperglycemia should arrest complications. In contrast the thought behind our

model is that vascular problems are primary which alter the rate of glucose insulin transport

to the brain and hyperglycemia is an offshoot symptom that may not be causal to diabetic

complications. The diabetic complications can arise directly from the vasculopathy.

Therefore, controlling glucose may not have any effect on diabetic complications [18]. On

the other hand, forcefully reducing plasma sugar without addressing vascular problems can

create more severe glucose deficiency in the brain and other organs, thereby turning

counterproductive. Because of the saturating dynamics of transport, a curvilinear

relationship is expected between plasma glucose and brain glucose in such a way that

moderate reduction in hyperglycemia will change brain glucose availability marginally

whereas tight glucose regulation can have disproportionately larger effect (Figure5).

Therefore, tight glucose regulation may increase mortality and other adverse outcomes.

This prediction is compatible with some of the tight versus moderate control clinical trials

including ACCORD, NICE sugar trial and UGDP [18].

Figure 5: Effect of glucose lowering on brain glucose availability. For example, a reduction in

FG from 400 mg/dl to 150 mg/dl corresponds to a decrease in BG by1.68 mg/dl, but further

reduction from 150 to 70 mg/dl reduces BG by 2.41 mg/dl. Therefore a moderate sugar

control may not affect brain glucose supply drastically but tight sugar control is expected to

affect it more seriously.

Discussion:

The main inference from our model, stated most conservatively, is that a brain centered

model can potentially explain most of the anomalies faced by peripheral models and

therefore needs greater attention (Figure 6). If supported well, by exploring its testable

predictions suggested below and possibly more, it has a potential to bring in a radical

change in the fundamental view as well as clinical practice of T2DM.

Figure 6: Effect of changes in vasculature in blood brain barrier. The model predicts that glucose and

insulin transportation to the brain has proportionately major role in development of diabetic

symptoms compared to other peripheral changes. The blood brain barrier vasculature hence may

hold the key to understanding the shift from normal to diabetic condition. (created using BioRender)

<u>Testability of assumptions and additional predictions:</u>

The assumption that the thresholds *PGT* and *PIT* can be modified by autonomous signaling

needs to be tested empirically. Although currently the thresholds are known to be flexible,

information about the conditions and mechanisms of change are poorly known. Our

assumption that the parameters of saturation equation for glucose and insulin transport to

the brain are different and under normal conditions insulin transport is closure to saturation

than glucose transport can be tested with carefully worked out kinetics of the two transport

mechanisms.

The assumption of our model that reduced transport of glucose and insulin to brain is the

primary pathology of T2DM leading secondarily to hyperglycemia makes more predictions

that can be tested experimentally or epidemiologically. Experimentally specifically blocking

glut1 receptors in the brain should lead to hyperglycemia. Conversely infusion of glucose

directly to the brain should reduce peripheral hyperglycemia in the short run. This is already

suggested by some experiments (Ono et al., 1983; Osundiji et al., 2012). It is also

demonstrated that inducing primary endothelial dysfunction and reduced glucose transport

to brain by endothelial deletion of hypoxia inducible factor HIF-1α results in hyperglycemia

(Huang et al., 2012). More careful research in this direction to reveal the cause effect

relationship between vascular defects, brain glucose levels and plasma glucose levels will be

enlightening. Epidemiologically hypoglycemia is shown to associate with dementia and

other neuronal problems (Lipska & Montori, 2013; Meneilly & Tessier, 2016; Rhee, 2017;

Yaffe, 2013), tight glycemic control led to higher mortality as compared to moderate control

in many of the clinical trials (Klein, 2010; Schwartz & Meinert, 2004; The NICE-SUGAR Study

Investigators, 2009) which demands investigations into the causal pathways. The question

whether tight glycemic control leads to subtle neuronal changes in the long run as expected

by our model needs careful investigation. The difference between fasting and post meal

regression correlation parameters between glucose and insulin is an important

epidemiological line of evidence we have used. Chawla et al (2017) and Diwekar-Joshi and

Watve (2020) showed this pattern across four different data sets. How generalized the

pattern is needs to be tested in multiple population data.

On the modeling front it is necessary to undertake comparative evaluation of the different

models with respect to the battery of prediction that we listed here. Perhaps a few more

predictions may be added. However, at present many of the models and their possible

modifications are not explored sufficiently to see whether they can explain the currently

unexplained patterns under certain set of conditions. A model prediction matrix would be

an appropriate approach for such a comparative evaluation, but we may have to wait till all

alternative models are explored sufficiently elaborately on which of the empirical patterns

they predict, which ones they are compatible with and which ones they contradict. We have

shown here that the brain centered model predicts or is compatible with all the patterns

listed in the introduction and does not contradict any.

Possible causes of T2DM:

The classically believed causal factors namely insulin resistance and β cell dysfunction are

not compatible with many of the empirical findings as shown by Diwekar-Joshi and Watve

(2020). In our model change in insulin resistance and β cell dysfunction were neither

necessary nor sufficient to account for all the patterns. Nevertheless, they were helpful in

accounting for the altered glucose tolerance curve, although other factors could also

account for it independent of insulin resistance. Incorporating insulin resistance in our

model and assuming it to work only in the post feeding state, patterns 1, 2, 5, 6, 9, 16 and

17 could be explained but not others. In short, our model does not rule out insulin

resistance as a phenomenon, but implies that it may not be central to T2DM. Primary

vasculature impairment reducing glucose and insulin transport in mutually correlated or

uncorrelated manner could explain all patterns and therefore makes the most parsimonious

causal hypothesis. Particularly, assuming that altered vascular function affects K_1 early

followed by K_8 and K_9 is sufficient to explain all the patterns without alteration in I_s or any

other factor. The apparent β cell dysfunction is an inevitable effect of higher degree of

vascular dysfunction and therefore may not be needed as an independent causal factor.

Being open to alternative possibilities is an important virtue of science and it is particularly

important with the limited clinical success of prevalent thinking along with mounting

anomalous findings. Prevention of T2DM on a global scale has largely failed and treatment

has limited and inconsistent success in arresting mortality and morbidities associated with

T2DM (Brown et al., 2004; DeFronzo, 2010; Rosengren et al., 2008, Lee et al 2021).

Therefore, exploring alternative possible interpretations is a need of the time.

Triggered by multiple anomalies in the theory of glucose homeostasis and the origins of

diabetic hyperglycemia, we have articulated here an alternative paradigm which potentially

resolves in a logically and mathematically consistent manner many of the anomalous

findings. Being mathematically and logically sound and compatible with evidence is not a

sufficient proof of a theory but certainly reflects on its potential to develop into a new

alternative paradigm. For a large field such as T2DM multiple efforts would be needed to

evaluate competing paradigms. We have suggested a few more testable predictions that can

help in this task. There can be more possible ways of testing them which should come to

light and used to reach robust conclusions which have the potential to change the clinical

course of prevention as well as treatment of an important global health problem.

If our proposed causal inference that vascular dysfunction is primary to T2DM is supported

by more careful investigations, it explains the failure of glucose normalizing treatment in

arresting diabetic complications. Simultaneously it suggests alternative lines of treatments

which should focus on normalizing vascular and neuronal function rather than focusing on

glucose normalization. The deficiencies of stimuli normally required for growth factors and

other angiogenic and neuroprotective factors can be forecasted as the best bet for the new

approach. However, rigorous efforts are needed to strengthen the evidence base for

selecting the right one amongst the alternative paradigms.

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