

# 1 An extended reservoir of class-D beta- 2 lactamases in non-clinical bacterial 3 strains

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## 17 Keywords

18 antimicrobial resistance, beta-lactamase, phylogenetic classification, sequence

19 clustering, carbapenemase, OXA

## 20 Abstract

21 Bacterial genes coding for antibiotic resistance represent a major issue in the fight  
22 against bacterial pathogens. Among those, genes encoding beta-lactamases target  
23 penicillin and related compounds such as carbapenems, which are critical for human  
24 health. Beta-lactamases are classified into classes A, B, C and D, based on their  
25 amino acid sequence. Class D enzymes are also known as OXA beta-lactamases,  
26 due to the ability of the first enzymes described in this class to hydrolyze oxacillin.  
27 While hundreds of class D beta-lactamases with different activity profiles have been

28 isolated from clinical strains, their nomenclature remains very uninformative. In this  
29 work, we have carried out a comprehensive survey of a reference database of  
30 80,490 genomes and identified 24,916 OXA-domain containing proteins. These were  
31 deduplicated and their representative sequences clustered into 45 non-singleton  
32 groups derived from a phylogenetic tree of 1413 OXA-domain sequences, including  
33 five clusters that include the C-terminal domain of the BlaR membrane receptors.  
34 Interestingly, 801 known class D beta-lactamases fell into only 18 clusters. To probe  
35 the unknown diversity of the class, we selected ten protein sequences in ten  
36 uncharacterized clusters and studied the activity profile of the corresponding  
37 enzymes. A beta-lactamase activity could be detected for seven of them. Three  
38 enzymes were active against oxacillin and two against imipenem. These results  
39 indicate that, as already reported, environmental bacteria constitute a large reservoir  
40 of resistance genes that can be transferred to clinical strains, whether through  
41 plasmid exchange or hitchhiking with the help of transposase genes.

## 42 Importance

43 The transmission of genes coding for resistance factors from environmental to  
44 nosocomial strains is a major component in the development of bacterial resistance  
45 towards antibiotics. Our survey of class D beta-lactamase genes in genomic  
46 databases highlighted the high sequence diversity of the enzymes that are able to  
47 recognize and/or hydrolyze beta-lactam antibiotics. Among those, we could also  
48 identify new beta-lactamases that are able to hydrolyze carbapenems, one of the last  
49 resort antibiotic families used in human chemotherapy. Therefore, it can be expected  
50 that the use of this antibiotic family will fuel the emergence of new beta-lactamases  
51 into clinically relevant strains.

## 52 Introduction

53 Beta-lactamases are the main enzymes responsible for the resistance of bacteria to  
54 beta-lactams, which are the major antibiotics utilized in the fight against pathogenic  
55 bacteria. Even before the structure of penicillin was known, Abraham and Chain (1)  
56 described “An enzyme from bacteria able to destroy penicillin” and, in the late 40’s  
57 and early 50’s, the staphylococcal beta-lactamase became an important source of  
58 clinical problems solved by the introduction of methicillin (2, 3). Later, an ever  
59 increasing number of these hydrolases have been identified. These can be classified  
60 into four classes based on their primary structures. Classes A, C and D are active-  
61 serine enzymes (4) while class B consists of metallo-proteins whose active site  
62 usually contains 1 or 2 Zn<sup>++</sup> ions (5, 6).

63

64 Beta-lactamases of classes A and D exhibit a very high diversity of amino acid (AA)  
65 sequences, with only a very little number of conserved residues within each class  
66 (e.g., 29 residues are conserved within class-A beta-lactamases) (7). It is nearly  
67 impossible to establish clear relationships between AA sequences and the ability to  
68 hydrolyse specific classes of beta-lactam antibiotics. Indeed, it is well known that a  
69 single mutation can alter this activity profile in a significant manner (8, 9). Moreover,  
70 the literature contains numerous disagreements and errors concerning the kinetic  
71 parameters of various enzymes (10). This is probably in part because these  
72 parameters are often determined under different experimental conditions and the  
73 studied enzymes are not always pure. In consequence, even though clinicians are  
74 more interested in specificity profiles, the AA sequences remain the primary tool for  
75 proposing a classification of beta-lactamases, as in the case of the Beta-Lactamase

76 Database (BLDB; <http://www.bldb.eu/>) (11). Concerning class D beta-lactamases,  
77 the situation is complicated by the fact that these enzymes can dimerize, which  
78 sometimes modifies the activity (12) and that carboxylation of the first conserved  
79 motif lysine also increases the activity in most cases (13). Inversely, loss of CO<sub>2</sub>  
80 during turn-over of the substrate results in “substrate-induced inactivation”, a  
81 phenomenon already observed by Ledent et al (14).  
82  
83 The first two identified class D beta-lactamases exhibited a number of features that  
84 differed from those of nearly all beta-lactamases known at the time, notably the  
85 ability to efficiently hydrolyze oxacillin and other isoxazolyl penicillins. For this  
86 reason, they were named OXA-1 and OXA-2. Unfortunately, it was then decided to  
87 name the further class D beta-lactamases homologs “OXA” plus sequence (i.e.,  
88 increasing) number that follows the chronological order of identification (15). This  
89 was sometimes done in spite of a sequence identity below 30% and/or (10) more  
90 similarity with the BlaR receptor than with other class D beta-lactamases (16). Class  
91 D beta-lactamases were first identified as plasmid-encoded proteins but the  
92 corresponding genes were later found to reside on the bacterial chromosome too  
93 (10).  
94  
95 Similarity searches using the OXA-2 AA sequence as query revealed homologous  
96 primary structures of unknown function or without true beta-lactamase activity, such  
97 as YbxI/BSD-1 in *Bacillus subtilis* (17, 18), or even devoid of any beta-lactamase  
98 activity, such as the C-terminal domain (CTD) of the BlaR penicillin-receptor involved  
99 in the induction of a class A beta-lactamase in *Bacillus licheniformis* and  
100 *Staphylococcus aureus* (19). In the present study, proteins containing a class-D

101 beta-lactamase domain will be further referred to as the “OXA-domain family”.

102 Among those, “DBL” will be reserved to demonstrably active class-D beta-

103 lactamases, while characterized class-D beta-lactamase homologs of low activity or

104 with a different function will be termed “pseudo-DBL” proteins. Finally, “DBL-

105 homolog” proteins will design the union of DBL, pseudo-DBL proteins, and other

106 homologs not yet characterized.

107

108 It is clear that our present knowledge of the OXA-domain family is biased toward

109 clinically relevant DBLs. The analysis of whole genome sequences of isolated

110 bacteria and metagenome-assembled genomes highlighted that non-pathogenic and

111 environmental bacteria can also harbor beta-lactamase-encoding genes, and thus

112 may behave as reservoirs of emerging new resistant genes identified in nosocomial

113 strains (20, 21). It is likely that these bacteria, which were never exposed to synthetic

114 or semi-synthetic beta-lactams used in human health care or animal husbandry, can

115 encounter other beta-lactam-producing microorganisms in their natural environment

116 and, over the ages, have acquired beta-lactamase genes in their “struggle for life”

117 (22). A significant example could be the carbapenems that can be produced by some

118 *Streptomyces* species (23), probably resulting in the appearance of the

119 carbapenemases that were later transferred to clinical strains (24, 25). The large

120 heterogeneity of the resistance gene repertoire present in bacteria challenges the

121 efficiency of chemotherapy. It also underlines the need to develop new analytical

122 methods allowing a clear and rapid identification of potential new resistance

123 pathways including enzymes that can inactivate both old and new antibiotics.

124

125 The goals of the present article were to explore genomic databases to discover how

126 widespread the class D beta-lactamase gene and its homologs were throughout the  
127 microbial world and to propose a sequence-based classification of the members of  
128 the OXA-domain family derived from their phylogenetic relationships. Starting from  
129 80,490 genomes, we identified a total of 24,916 DBLs and DBL-homolog sequences,  
130 which we classified into 64 clusters of proteins. Furthermore, we synthesized and  
131 expressed ten gene sequences sampled from ten clusters devoid of characterized  
132 members and conducted a survey of their activity. This revealed that three of them  
133 had an oxacillinase activity, including two able to hydrolase imipenem, reminding us  
134 how environmental bacteria represent an enormous reservoir of resistance factors  
135 that can be transferred to clinical strains.

## 136 **Materials and Methods**

### 137 **SQL database**

138 Bioinformatic data generated in this study was stored into a sqlite3 database (**Figure**  
139 **S1**). This database was exploited using SQL queries in order to generate additional  
140 results and statistics.

### 141 **Reference class D beta-lactamase sequences and identification** 142 **of OXA-domain family proteins**

143 A total of 1617 unique beta-lactamases amino-acid sequences were downloaded  
144 from the NCBI Pathogen Detection server (<ftp://ftp.ncbi.nlm.nih.gov/pathogen/>) on  
145 the 1st of December 2017. Among those, 470 DBL were retrieved based on  
146 metadata and accession numbers. DBL protein sequences were deduplicated using

147 CD-HIT v4.6 (26) with a global sequence identity threshold of 0.98 and then aligned  
148 using MAFFT v7.273 (27). An HMM profile was constructed from the DBL alignment  
149 using the HMMER package v3.1b2 (28) to identify OXA-domain family proteins in a  
150 local prokaryotic protein sequence database. This local database was built on 7th of  
151 December 2017 using the protein sequences of 80,490 prokaryotic genome  
152 assemblies stored in the NCBI RefSeq database. OXA-domain family proteins were  
153 graphically selected using the ompa-pa.pl interactive software package (A. Bertrand  
154 and D. Baurain; <https://metacpan.org/dist/Bio-MUST-Apps-OmpaPa>) and  
155 taxonomically annotated using the NCBI Taxonomy.

## 156 Annotation of OXA-domain family proteins

157 OXA-domain family proteins were tagged using a BLAST-based annotation script  
158 (part of Bio-MUST-Drivers) with an identity threshold from 90% to 100% and an e-  
159 value threshold of 1e-20. DBL-homolog sequences used for the annotation were  
160 downloaded from the BLDB (<http://www.bldb.eu/BLDB.php?prot=D>) (11) on the 22nd  
161 of July 2019, to which were added three sequences of the membrane receptor BlaR  
162 from *Clostridium difficile* (CDT53463.1), *Staphylococcus aureus* (P18357) and  
163 *Bacillus licheniformis* (P12287), the bifunctional class C/class D beta-lactamase  
164 LRA13-1 (ACH58991.1) (20) and the two intrinsic pseudo-DBLs of *Clostridium*  
165 *difficile* CDD-1 (CZR76508.1) and CDD-2 (SJQ22628.1) (29).

## 166 Domain characterization of OXA-domain family proteins

167 The potential presence of a signal peptide was predicted using local SignalP-5.0b  
168 (30). The organism option was set to 'gram+' for sequences belonging to Firmicutes  
169 and Actinobacteria and 'gram-' for the other phyla. To improve the prediction of

170 transmembrane helices with local TMHMM v2.0 (31), the signal peptide (if any) was  
171 first removed from the original sequences when the cleavage site prediction  
172 probability was greater or equal to 0.6. For sequences of intermediary length (i.e.  
173 between 350 and 550 AAs) and some long sequences (i.e. greater than 550 AAs),  
174 InterProScan v5.37-76.0 with default parameters and disabled use of the  
175 precalculated match lookup (32), along with pepwindowall with default parameters  
176 from the EMBOSS web portal (33) were used to distinguish between transmembrane  
177 segments and other extensions.

## 178 Localization and genetic environment of OXA-domain family 179 proteins

180 A genetic environment database was built from the bacterial genomes featuring at  
181 least one OXA-domain family sequence using GeneSpy “3 in 1” module, as  
182 described in the manual (34). Contig accessions were retrieved from the database  
183 and the corresponding FASTA files were downloaded using the command-line  
184 version of the “efetch” tool from the NCBI Entrez Programming Utilities (E-utilities).  
185 PlasFlow v1.1 was used to predict potential plasmid sequences in the contig FASTA  
186 files (35).

## 187 Clinical strain determination

188 BioSample reports associated with bacterial organisms were also downloaded using  
189 efetch (see above). All words of a report were collected and fed to a script that  
190 renamed and standardized them using an OBO (Open Biomedical Ontologies)  
191 dictionary. A score was attributed to each standardized word: +1 for a “clinical” word,  
192 0 for an uninformative word and -1 for a non-clinical word. At last, a final score was



193 computed for each BioSample according to its collection of standardized words (**see**  
194 **figshare**). A bacterial strain was considered as “clinical” when its metadata was  
195 associated with a positive score, “non-clinical” for a negative score and not classified  
196 for a null score.

## 197 Alignment and phylogenetic analysis

198 After deduplication using CD-HIT v4.6 (26) with a global sequence identity threshold  
199 of 0.95, OXA-domain family protein sequences were aligned using MAFFT v7.273  
200 (27). Alignments were then carefully optimized by hand using the program “ed” and  
201 alignment columns were manually selected using the program “net”, both part of the  
202 MUST software package (36). The resulting matrix of 1413 sequences x 188  
203 unambiguously aligned AAs was used to infer a phylogenetic tree with RAxML  
204 v8.1.17 (37) under the LG+F+G4 model. Support values were initially estimated  
205 through 100 fast bootstrap pseudo-replicates with RAxML then transformed into  
206 transfer bootstrap expectation (TBE) values using the booster algorithm (38).

## 207 Phylogenetic clustering

208 To produce clusters of related OXA-domain family sequences, the phylogenetic tree  
209 was first converted to a phylo4 object using the readNewick function of the  
210 phylobase R package (39). Then, a patristic distance matrix (*dist.mat*) was computed  
211 using the *distTip* function from the *adephylo* R package (40) and an adjacency matrix  
212 (*adj.mat*) was computed as follow:

$$213 \quad \text{adj.mat} = \frac{\text{dist.mat}}{\lim.p} < 1 \text{ with } \lim.p = \max(\text{dist.mat}) \times x \text{ and } x \text{ varying from } 0.10 \text{ to } 0.50.$$

214 Clustering was performed by passing the adjacency matrix to the *mcl* function of the

215 MCL R package (41) with the addLoops option set to FALSE, allow1 set to TRUE  
216 and the inflation parameter varying from 1.0 to 3.0 by increments of 0.5. The best  
217 parameter combination was chosen by maximizing a score composed of the  
218 normalized entropy and the fraction of monophyletic clusters, following the method of  
219 Califice et al., 2012 (42). However, combinations yielding less than 15 clusters were  
220 discarded, regardless of their score, in order to avoid the grouping of most OXA-  
221 domain family sequences into a single cluster and retain the potential to provide a  
222 meaningful classification.

### 223 DBL-homolog genes selection for lab validation

224 Based on the phylogenetic clustering of OXA-domain family proteins, ten  
225 representative protein sequences (hereafter referred to as OXAVL01 to OXAVL10)  
226 spread among different clusters corresponding to DBL-homologs were selected as  
227 probes for the functional diversity. The criteria of selection were: (1) the sequence  
228 must belong to a cluster with more than five DBL-homologs and no DBL found in the  
229 BLDB, (2) the length of the sequence must lie between 250 and 350 AAs and the  
230 sequence must have no mutation in the three conserved motifs defining the class D  
231 active site (SxxK, SxV, KT/SG) (except if a mutation is shared by all the sequences  
232 of the cluster), (3) the sequence must be present in a bacterial species where no  
233 DBL is described according to the BLDB.

### 234 Gene synthesis and expression plasmids

235 Signal peptides of the ten selected sequences were removed and replaced by the  
236 PelB leader sequence (43). Then, the corresponding genes were synthesized after  
237 codon optimization for expression in *E. coli*. Expression plasmids of OXAVL01 to

238 OXAVL10 were purchased from Twist Bioscience (San Francisco, CA, USA). Briefly,  
239 the synthesized genes were cloned into pET 24a(+) (Novagen-Merck KGaA,  
240 Darmstadt, DE) and inserted between *Bam*HI (at the 5' end of the gene) and *Xho*I (at  
241 3' end of the gene) restriction sites. All the enzymes were produced by *E. coli* BL21  
242 (DE3) (Fisher Scientific SAS Illkirch Cedex, FR) carrying pOXAVL01-pOXAVL10  
243 plasmids in LB medium supplemented with kanamycin 50 µg/mL (LB-kanamycin).

## 244 Antibiotics

245 Kanamycin was purchased from MP Biomedicals, cefotaxime, cephaloridine and  
246 oxacillin from Sigma Aldrich, cefazolin from Pharmacia & Upjohn SpA, imipenem  
247 from MSD, meropenem from Fresenius Kabi NV/SA, ampicillin from Fisher Scientific,  
248 amoxicillin from PanPharma, carbenicillin from Pfizer Italy, piperacillin from  
249 Lederle/AHP Pharma, temocillin from Eumedica N.V/S.A and nitrocefin from Abcam.

## 250 Assessment of soluble enzymes expression levels

251 Single colonies of *E. coli* BL21 (DE3) carrying the plasmids pOXAVL01 to  
252 pOXAVL10 were inoculated in 6 mL of LB medium supplemented with kanamycin.  
253 The precultures were incubated overnight (O/N) at 37°C with orbital shaking at 250  
254 rpm. 2.5 mL of the different precultures were added to 100 mL of fresh LB-  
255 kanamycin. The bacteria were grown to an  $A_{600}$  of 0.7 and IPTG was added at a final  
256 concentration of 0.5 mM. The different cultures were divided in two, one incubated at  
257 37°C and the other one at 18°C. Aliquots (1 mL) of the different cultures at 37°C  
258 were taken 0, 2, 4 hours after induction. In the case of the cultures incubated at  
259 18°C, two aliquots (0 and 24 hours after induction) were analyzed. The different  
260 aliquots were centrifuged at 5,000 *g* for 10 min, the bacterial pellets were

261 resuspended in 25 mM HEPES buffer (pH 7.0) and sonicated (three times for 30 s  
262 each time at 12 W). Cell debris were eliminated by centrifugation at 13,000 g for 30  
263 min. 20  $\mu$ L of the soluble fractions and pellets were loaded onto a sodium dodecyl  
264 sulfate polyacrylamide gel (SDS-PAGE) (4-20%). The run was performed at a  
265 constant voltage (120 V). The beta-lactamase activity of the different fractions was  
266 determined by measuring the initial rate of hydrolysis of 100  $\mu$ M Nitrocefin, 1 mM  
267 oxacillin, 1 mM ampicillin and 100  $\mu$ M imipenem.

## 268 Production and purification of OXAVL02/06

269 A single colony of *E. coli* BL21 (DE3) pOXAVL02 or *E. coli* BL21 (DE3) pOXAVL06  
270 was inoculated into 100 mL of LB-kanamycin. The preculture was incubated O/N at  
271 37°C under agitation. 40 mL of the preculture was added to 1 liter of fresh LB-  
272 kanamycin. IPTG (100  $\mu$ M final concentration) was added when the culture reached  
273 an  $A_{600}$  of 0.7. The cultures were incubated O/N at 18°C. Cells were harvested by  
274 centrifugation at 5,000 g for 10 min at 4°C. The pellets were resuspended in 15 mL  
275 50 mM Sodium Phosphate, 0.5 M NaCl, 20 mM Imidazole pH 8.0 (buffer A) for  
276 pOXAVL02 and in 25 mM HEPES pH 7.0 (buffer B) for pOXAVL06. The bacteria  
277 were disrupted with a cell disrupter (Emulsiflex C3 Avestin GmbH, DE), which allows  
278 cell lysis at a pressure of 5,500 kPa. The lysates were isolated by centrifugation at  
279 45,000 g for 30 min. The two supernatants were dialyzed O/N at 4°C against buffers  
280 A and B, respectively. The dialysed samples were then filtered through a 0.45  $\mu$ m  
281 filter.

282 For OXAVL02, the supernatant was loaded onto Ni Sepharose<sup>®</sup> (24 mL) (GE  
283 Healthcare Europe GmbH, Freiburg, DE) previously equilibrated with buffer A. The  
284 enzymes were eluted with a gradient using 50 mM Sodium Phosphate pH 8.0, 0.5 M

285 NaCl, 0.5 M imidazole (buffer C). The fractions displaying a beta-lactamase activity  
286 were pooled, and then dialyzed O/N against buffer B and loaded onto a Source 15 Q  
287 column 20 mL (Pharmacia Biotech/ BioSurplus Inc., San Diego, CA, USA)  
288 equilibrated with the same buffer. The enzyme was eluted with a salt gradient using  
289 buffer B with 1 M NaCl, (buffer D). The fractions were pooled and loaded on a  
290 molecular sieve Superdex 75 GL 500 mL column (GE Healthcare Europe GmbH,  
291 Freiburg, DE) equilibrated in buffer B.

292 For OXAVL06, the cleared supernatant was loaded onto a 10 ml Q Sepharose® HP  
293 column (GE Healthcare Europe GmbH, Freiburg, DE) equilibrated in buffer B. The  
294 enzyme was eluted with a salt gradient using buffer D. The fractions with a beta-  
295 lactamase activity were pooled, and dialyzed O/N in 50 mM Sodium Phosphate pH  
296 7.5, 0.5 M NaCl, 20 mM imidazole (buffer E). The dialysed sample was loaded onto  
297 Ni Sepharose® (24 ml) (GE Healthcare Europe GmbH, Freiburg, DE) previously  
298 equilibrated with buffer E. The enzymes were eluted with a gradient using 50 mM  
299 Sodium Phosphate pH 7.5, 0.5M NaCl, 0.5 M imidazole pH 7.5 . The active fractions  
300 were collected and concentrated by ultrafiltration on a YM-10 membrane (Amicon) to  
301 a final volume of 2 mL, then loaded onto a molecular sieve Superdex 75 GL (10/300)  
302 column (GE Healthcare Europe GmbH, Freiburg, DE) equilibrated in buffer B.

### 303 Conformational characterization of OXAVL02/06 by SEC-MALS

304 The oligomeric states of the DBL-homolog enzymes were analyzed by SEC-MALS  
305 (Treo II, WYATT Technology France, Toulouse Cedex 3, FR) (44). The experiments  
306 were performed using a HPLC Bio-inert Shimadzu Prominence LC-20Ai (SHIMADZU  
307 Benelux B.V) coupled to a SPD-20A UV/VIS detector and a RID-20 refractive index  
308 detector. The different active fractions isolated by size-exclusion chromatography

309 were dialyzed against a “SECMALS-PBS buffer” ( $\text{Na}_2\text{HPO}_4$  10 mM,  $\text{KH}_2\text{PO}_4$  1.8 mM,  
310 NaCl 137 mM, KCl 2.7 mM pH 7.4). Samples (100  $\mu\text{L}$  OXAVL02 or OXAVL06 at 0.5-  
311 1 mg/mL) were loaded onto a Superdex<sup>®</sup> 200 Increase 10/300 G column (GE  
312 Healthcare Bio-Sciences AB Uppsala, SW) pre-equilibrated with the “SECMALS-  
313 PBS buffer”. The column was calibrated by using Bovine Serum Albumin (BSA) (MM  
314 = 66,430 Da) as reference standard. The data acquisition of molecular mass,  
315 distribution of Monomer-Dimer equilibrium and percentage of aggregates were  
316 estimated using the ASTRA software (44).

### 317 Kinetic parameters determination

318 Steady-state kinetic parameters ( $K_m$  and  $k_{cat}$ ) were determined by measuring  
319 substrate hydrolysis under initial rate conditions and using the Hanes–Woolf  
320 linearization of the Michaelis–Menten equation (45). Kinetic experiments were  
321 performed by following the hydrolysis of each substrate at 30°C in 50 mM HEPES  
322 buffer pH 7.5, 50 mM  $\text{Na}_2\text{CO}_3$ . The reactions were performed in a total volume of 500  
323  $\mu\text{L}$  at 30°C. BSA (20  $\mu\text{g}/\text{mL}$ ) was added to diluted solutions of beta-lactamase in  
324 order to prevent enzyme denaturation. The data were collected with a Specord 50  
325 PLUS spectrophotometer (Analytik Jena, DE). Each kinetic value is the mean of  
326 three different measurements; SDs (standard deviations) were below 5%.

### 327 Data Availability

328 Publicly available datasets analyzed in this study can be found here:

329 <https://doi.org/10.6084/m9.figshare.18544955>.

## 330 Results

### 331 Enlarging the OXA-domain family taxonomic distribution

332 According to the BLDB (as of July 2019), the 810 described DBL-homologs  
333 (including both DBL and pseudo-DBL proteins) have been isolated from bacteria  
334 belonging to five different phyla: Proteobacteria (583 sequences), Spirochaetes (14),  
335 Firmicutes (9), Bacteroidetes (1), Fusobacteria (1) and also from some marine  
336 metagenomes (2). 200 sequences have no source organism and are all plasmid-  
337 encoded. Most of these DBL-homologs are found in Proteobacteria, essentially in the  
338 genera *Acinetobacter* (411 sequences) and *Campylobacter* (91), which are part of  
339 the Gammaproteobacteria and Epsilonproteobacteria, respectively. Some are also  
340 found in Betaproteobacteria (41) but not in the other Proteobacteria classes.

341

342 A HMM profile constructed from an alignment of 470 DBL from NCBI Pathogen  
343 Detection server allowed us to identify 24,916 OXA-domain family AA sequences  
344 distributed across 20,342 organisms (on a total of 80,490 screened genome  
345 assemblies found in NCBI RefSeq). Nearly all those organisms (99.4%) belonged to  
346 the aforementioned five phyla, whereas the small remaining fraction (0.6%) came  
347 from eight additional bacterial phyla: Cyanobacteria (65 sequences), Actinobacteria  
348 (36), Chlorobi (10), Chlamydiae (6), Verrucomicrobia (6), Chloroflexi (2),  
349 Balneolaeota (1) and Planctomycetes (1). Moreover, some sequences were  
350 identified in additional classes of Proteobacteria: Alphaproteobacteria (Holosporales)  
351 and Deltaproteobacteria (Desulfovibrionales). In contrast, no sequences of the OXA-  
352 domain family were found in Archaea. In this work, we wanted to characterize the

353 protein sequences themselves and, to do so, we deduplicated the 24,916 sequences  
354 and observed that they represented only 3510 unique sequences (i.e. 100% identical  
355 at the AA level), indicating that many of them were multispecies enzymes. Indeed, it  
356 is known that the NCBI RefSeq database is unevenly biased toward clinical strains  
357 (46). Hence, 3459 of the unique sequences (98.5%) were found in several species of  
358 the same genus (e.g. WP\_001046004.1 was found in 952 *Acinetobacter* species)  
359 while 51 unique sequences (1.5%) were found in more than one genus. These  
360 results show that the redundancy is mostly due to the number of species in NCBI  
361 RefSeq belonging to the same genus. In a second step, these 3510 unique  
362 sequences were deduplicated at a global identity level of 95%, and the 1413  
363 resulting sequences (hereafter termed 'representative' sequences) were used to  
364 infer a phylogenetic tree (see Materials and Methods).

## 365 OXA-domain family proteins include BlaR homologs

366 A distribution of sequence length showed that the 24,916 OXA-domain family  
367 sequences formed three populations, one shorter than 350 AAs with an average size  
368 of 271 AAs (typical DBL length), one longer than 550 AAs with an average size of  
369 587 AAs (typical BlaR membrane receptor length) and one intermediate-length  
370 population with an average size of 449 AAs (Fig. 1a). Mapping sequence length onto  
371 the tree revealed that representative sequences of intermediate length are scarce (5  
372 sequences) and not clustered, whereas long sequences do cluster in two distinct  
373 groups (Fig. 1b). A sequence similarity analysis showed that three of the five  
374 intermediate-length sequences are actually DBL-homologs while two are BlaR  
375 homologs. Regarding the two groups of long sequences, the larger one is formed of  
376 sequences found in Firmicutes, with a majority in *Staphylococcus*, *Clostridioides* and



377 *Bacillus*. According to the annotation results, these sequences are actually BlaR  
378 homologs. The second group contains sequences found in Oxalobacteraceae  
379 (Betaproteobacteria) and annotation results at first showed no close similarity with  
380 DBL nor BlaR. However, detailed *in silico* functional analysis (InterProScan and  
381 pepwindowall; **Supplemental Data 1**) eventually revealed that 14 of these  
382 representative sequences indeed have a class D active site and the BlaR1 peptidase  
383 M56 domain, whereas three have both a class D active site and a class C beta-  
384 lactamase active site, like in the LRA-13 fusion enzyme (20), but these exhibit a low  
385 sequence identity to the latter (around 60%). To facilitate subsequent discussion, the  
386 three intermediate-length DBL homologues and the 3 OXA-class C fusion proteins  
387 were considered as DBL-homologs, whereas the two intermediate-length sequences  
388 more similar to BlaR and the two groups of long sequences were considered as  
389 BlaR-homologs.

390

391 In Firmicutes, beside the 10,496 BlaR-homologs, we also found 1383 DBL-  
392 homologs. According to the annotation results, 374 are homologous to low-activity  
393 pseudo-DBL proteins found in *Bacillus* (17, 18) and 956 are homologous to the two  
394 intrinsic pseudo-DBL (CDD) of *Clostridium difficile* (*Clostridioides difficile*) (29).

395

396 In general, surveyed bacteria possess only either one DBL-homolog protein (9964  
397 strains) or one BlaR-homolog protein (5874 strains). In 1665 and 1813 strains, we  
398 found two DBL-homologs or two BlaR-homologs, respectively, and rarely more than  
399 two DBL-homologs (49) or BlaR-homologs (5). In addition, 963 strains  
400 simultaneously possess one DBL-homolog and one BlaR-homolog, while 10 strains  
401 show more than one DBL-homolog and one BlaR-homolog or the opposite (**Fig. 1c**).

402 Interestingly, strains that harbor more than one DBL-homolog (ignoring BlaR  
403 homologs) mostly belong to Pseudomonadales, and more specifically the genera  
404 *Acinetobacter* and *Pseudomonas*.

## 405 Gene genetic context

406 Among the 24,916 DBL-homolog and BlaR-homolog protein sequences initially  
407 identified, only 23,833 corresponding genes (found on 23,093 contigs) could actually  
408 be fetched from complete genomes. Three reasons explain the 1083 missing  
409 sequences: (1) the genome has been suppressed during the study, (2) the sequence  
410 has been suppressed or removed at the submitter's request and could not be found  
411 in the genome annotation (gff) file, (3) no link between the protein and any gene  
412 exists in the NCBI. According to the NCBI annotation pipeline, the contigs are  
413 classified "chromosome" in 960 cases, "plasmid" in 273 cases and "genomic" in  
414 21,860 cases. This rather uninformative "genomic" classification led us to predict the  
415 genetic context of each OXA-domain family protein sequence using the dedicated  
416 PlasFlow pipeline. With this strategy, 15,515 contigs were classified as  
417 "chromosome" (67.2%), 5660 as "plasmid" (24.5%), whereas 1918 remained  
418 unclassified. These unclassified contigs correspond to 9.20% of the DBL-homolog  
419 genes (1327 cases) and 5.79% of the BlaR-homolog genes (608 cases). 1177  
420 contigs were congruently classified (either as chromosome or plasmid) by both  
421 pipelines, and only four had different labels, thereby confirming the accuracy of  
422 PlasFlow for contig classification. DBL-homolog and BlaR-homolog genes are mostly  
423 chromosome-encoded (Fig. 2a), with 10,078 (69.89% of DBL-homolog genes) and  
424 6153 (58.62% of BlaR-homolog genes) cases, respectively, whereas the genes are  
425 plasmid-encoded in 2159 and 3508 cases, respectively. Thus, 14.97% of DBL-

426 homolog genes and 33.42% of BlaR-homolog genes lie on a plasmid.

427

428 The majority of bacteria carrying an DBL-homolog gene on a plasmid belong to six  
429 genera of Gammaproteobacteria: *Acinetobacter* (724), *Klebsiella* (590), *Escherichia*  
430 (212), *Shigella* (200), *Enterobacter* (196) and *Pseudomonas* (85). The remaining  
431 plasmid-encoded sequences are distributed across the other classes of  
432 Proteobacteria (Alpha- (35), Beta- (46) and Gammaproteobacteria (61)), while a few  
433 can also be found in Firmicutes (6), Cyanobacteria (3) and Bacteroidetes (1).

434

435 To assess the transfer potential of DBL-homolog and BlaR-homolog genes, and  
436 therefore the propensity of emergence of a new resistance, we looked for  
437 transposase genes in the vicinity of these genes (Fig. 2b). We noticed that DBL-  
438 homolog and BlaR-homolog genes are either close to transposase genes (distance  
439 from one to five genes) or very distant (more than 15 genes) in each genetic context.  
440 Concerning BlaR-homologs, 63.4% of the genes are close to at least one  
441 transposase gene when chromosome-encoded and 67% when plasmid-encoded.  
442 Regarding DBL-homolog genes, only 5.6% and 44.7% are close to transposase  
443 genes on chromosomes and plasmids, respectively. The majority of DBL-homolog  
444 genes encoded on chromosomes near a transposase gene (568) are found in  
445 *Acinetobacter* (395), which is also the genus in which we identified most DBL-  
446 homologs (see section OXA-domain family proteins include BlaR homologs).  
447 However, when the genes are plasmid-encoded, those close to a transposase gene  
448 (965) are mainly found in *Klebsiella* (345), then *Acinetobacter* (189), *Shigella* (177)  
449 and *Escherichia* (112). Furthermore, three DBL-homolog genes in Cyanobacteria  
450 (two on a chromosome and one on plasmid) and one chromosome-encoded gene in

451 Balnealaoeta are close to a transposase gene, which suggests that they might have  
452 been acquired by gene transfer. In contigs not classified by PlasFlow, we observed a  
453 higher prevalence of DBL-homolog genes than BlaR-homolog genes, and these  
454 DBL-homologs are very distant from transposase genes. As this pattern is similar to  
455 the pattern observed for chromosomes (Fig. 2b), it indicates that unclassified contigs  
456 likely correspond to chromosomes.

## 457 Signal peptide and transmembrane segment prediction

458 Most DBL-homolog sequences are characterized by a signal peptide (SP), as  
459 predicted by SignalP (Table 1). The Sec, Lipo and Tat SPs were identified in 65%,  
460 22% and 3% of DBL-homolog unique sequences, respectively (see Enlarging the  
461 OXA-domain family distribution). The rest of the sequences (OTHER-SP, 9%) are  
462 either transmembrane proteins or have no SP. DBL-homolog sequences with a Sec-  
463 SP are mainly found in the genera *Pseudomonas*, *Burkholderia*, *Campylobacter*,  
464 *Klebsiella* and *Legionella*, while DBL-homolog sequences with a Lipo-SP were  
465 mostly identified in *Acinetobacter* and *Leptospira*. DBL-homologs with a Tat-SP  
466 seem to be more specific to Alphaproteobacteria (*Bradyrhizobium*) whereas the  
467 “OTHER-SP” prediction is mainly associated with *Clostridioides* CDD enzymes (29).  
468

469 Beside signal peptide prediction of SignalP, the transmembrane segment (TM)  
470 prediction was used to distinguish between membrane proteins and cytoplasmic  
471 proteins. Whenever a SP is predicted in DBL-homolog sequences, the TM prediction  
472 indicates no TM or, rarely, 1 TM domain (monotopic) (Table 1). When no TM domain  
473 is detected, it may indicate that the corresponding DBL-homolog is excreted outside  
474 the cell or into the periplasmic space (for diderm bacteria). In contrast, when one TM

475 domain is predicted, the protein is more likely to be anchored in the cytoplasmic  
476 membrane. In the majority of DBL-homologs with no SP predicted, no TM domain is  
477 detected, and these are possibly cytoplasmic proteins. Nevertheless, some  
478 exceptions exist, with 60 unique sequences (on 255 unique DBL-homolog proteins  
479 with no SP) presenting one or more TM domains (polytopic), a configuration which  
480 remains to be explained.

481

482 Almost all the BlaR-homolog proteins have no SP predicted and have, as expected,  
483 more than one TM domain (**Table 1**). However, only three polytopic proteins were  
484 predicted with Sec-SP or Lipo-SP instead of OTHER-SP. This can be explained by a  
485 wrong attribution by SignalP. Indeed, SignalP gives a probability for each possible  
486 SP and then chooses the highest value for the prediction but, for those sequences,  
487 the probabilities for OTHER-SP and Sec-SP/Lipo-SP are both close to 0.5.

## 488 Prevalence of DBL-homolog genes in clinical strains

489 Acquired resistance in clinical bacterial strains is a very important concern, but  
490 determining the clinical origin of a given bacterial isolate only based on the metadata  
491 of the corresponding genome assembly is still challenging to automate at a large  
492 scale. Indeed, BioSample reports from the NCBI can contain such information but  
493 these remain difficult to analyze due to the lack of a controlled vocabulary. To  
494 overcome this difficulty, we used a script that standardizes all the words of a  
495 BioSample report. Thus, 20,317 BioSample accessions were associated with the  
496 20,342 bacterial assemblies containing DBL-homolog or BlaR-homolog genes for a  
497 total of 223 unique standardized words. Note that 4,658 BioSample reports did not  
498 contain any word. BioSamples with a positive clinical score (see Materials and

499 Methods for details) were considered as clinical strains while those with negative  
500 scores were not. Furthermore, we decided to not classify BioSamples with a null  
501 score (essentially due the aforementioned lack of words). Using this strategy, 3192  
502 bacteria were classified as *clinical*, 2810 as *non-clinical* and 14,340 could not be  
503 classified. Around 28% of the gene sequences belong to classified strains (15.5%  
504 belong to clinical strains), of which 34% of the DBL-homolog sequences ([Table 2](#)).  
505 Clinical DBL-homolog genes encoded on a plasmid are exclusively present in  
506 Gammaproteobacteria, mostly in *Acinetobacter* (176), *Klebsiella* (135) and  
507 *Enterobacter* (93), while DBL-homolog genes encoded on chromosomes are mostly  
508 found in Proteobacteria (2,016) and some in Firmicutes (43), Bacteroidetes (10),  
509 Spirochaetes (8), Actinobacteria (2) and Verrucomicrobia (1).

## 510 Clustering and DBL-homolog selection

511 Over 600 combinations of clustering parameters were tested on the OXA-domain  
512 family phylogenetic tree (see SQL database) and the clustering with the highest  
513 entropy and the lowest number of singletons (i.e. clusters of size one) was retained  
514 (x set at 0.20 and inflation at 1.5; see Materials and Methods). This specific  
515 clustering solution has a computed entropy of 0.762 and a score of 0.52. It contains  
516 64 clusters, including 19 singletons, with the larger cluster having 207 representative  
517 putative sequences (cluster 15) among 1413 ([Table S1](#)). In general, there is little  
518 taxonomic diversity within each cluster. Indeed, the majority of these clusters (50)  
519 contain sequences from organisms belonging to the same phylum or class.

521 Annotating the unique sequences using BDLB reference sequences at an identity  
522 threshold set to 100% (see Materials and Methods) allowed us to tag 340 unique

523 sequences, corresponding to 307 reference sequences (304 DBL/pseudo-DBL and 3  
524 BlaRs) among 813 BLDB sequences. When decreasing the identity threshold to  
525 99%, 623 unique sequences were tagged with 653 reference sequences (650  
526 DBL/pseudo-DBL and 3 BlaRs), while at 90%, 1269 unique sequences were tagged  
527 with 801 reference sequences. All those tagged sequences are distributed across 18  
528 clusters, regardless of the identity threshold. Interestingly, up to half of the reference  
529 sequences tag cluster 60 (i.e. 168 sequences at 100%; 363 at 99%; 452 at 90%).  
530 The main genus of this cluster composed of 66 representative sequences (standing  
531 for a total of 3472 sequences) is *Acinetobacter*, which is the host organism for 99.7%  
532 of the sequences. Irrespective of the high-redundancy of cluster 60, the latter genus  
533 is known to harbor various chromosome-encoded DBL (10).

## 534 **Assessment of the beta-lactamase activity in uncharacterized** 535 **clusters**

536 To test the beta-lactamase activity of some of the 46 non-annotated clusters, ten  
537 DBL-homolog sequences were selected for expression and production. Clusters  
538 were sorted from the largest to the smallest (considering all and not only  
539 representative sequences), then one sequence from the first ten cluster with no DBL  
540 found in the BLDB, a sequence length between 250 and 350 AAs and no mutation in  
541 the three conserved motifs defining the class D active site. Thus, the ten DBL-  
542 homolog (termed OXAVL01 to 10) were selected from clusters 14, 22, 23, 28, 30, 39,  
543 41, 42, 44 and 57 (Table S2). OXAVL01 has the 2 lysines of its active site mutated  
544 but these mutations are shared by all the sequences in cluster 14. According to the  
545 clinical score (see Prevalence of DBL-homolog genes in clinical strains), none of  
546 those DBL-homologs belong to a clinical strain (six classified as non-clinical and four



547 as unknown). Seven of those sequences are chromosome-encoded while no  
548 localisation could be associated to OXAVL05, OXAVL09 and OXAVL10.

549 The production of OXAVL01-10 was evaluated by SDS-PAGE and beta-lactam  
550 hydrolysis. No apparent over-expression of OXAVL01, OXAVL03, OXAVL05,  
551 OXAVL07 and OXAVL09 was observed in the soluble or insoluble fractions of *E. coli*  
552 (DE3) grown at 18°C and 37°C. For OXAVL04, OXAVL08 and OXAVL10, a large  
553 production of the beta-lactamases was found only in the insoluble fractions at both  
554 culture temperatures. Only OXAVL02 and OXAVL06 were overproduced as soluble  
555 enzymes at 18°C.

556 The evaluation of the beta-lactamase activity on crude cell extracts (**Table 3**) showed  
557 that only OXAVL02 and OXAVL06 were able to hydrolyze all beta-lactams tested,  
558 including imipenem. OXAVL09 was active versus nitrocefin, ampicillin and oxacillin  
559 but not imipenem. OXAVL03 was able to hydrolyze nitrocefin and ampicillin. Cell  
560 extracts of OXAVL04, OXAVL05 and OXAVL10 were active only against nitrocefin.  
561 The DBL-homolog enzymes were not produced in an active form in the strains  
562 bearing the plasmid pOXAVL01, pOXAVL07 or pOXAVL08.

### 563 OXAVL02 and OXAVL06 have carbapenemase activity

564 Since crude extracts of OXAVL02 and OXAVL06 were the only ones able to  
565 hydrolyze all tested beta-lactams and had the highest level of expression in the  
566 soluble fraction, we focused our work on those two hydrolases. The purification of  
567 the two enzymes included three chromatographic steps, namely an anion exchanger,  
568 an IMAC affinity chromatography and a molecular sieve. For OXAVL02, the  
569 purification consists in an IMAC column followed by a strong anion exchanger high



570 resolution SOURCE™ 15Q column. The last step is a size exclusion chromatography  
571 (SEC). At the end of the process, we obtained more than 100 mg of pure protein per  
572 liter of culture. The three steps of the OXAVL06 purification are a Q sepharose HP  
573 ion exchanger, an IMAC column and finally a SEC. For OXAVL06, we obtained 10  
574 mg of pure protein per liter of culture.

575 SEC experiments revealed that the OXAVL02 elutes in three major peaks (Fig. 3a),  
576 with one at an elution volume typical of a monomeric DBL (~260 mL). The two  
577 additional peaks elute at about 230 mL and 180 mL, which is similar to the elution  
578 volume of the dimer and multimer, respectively. The three peaks displayed an  
579 oxacillinase activity. Due to the low precision of oligomeric states of the proteins  
580 determined by SEC, we further characterized these three peaks using SEC-MALS  
581 (Fig. 3b).

582 The elution was monitored by a UV detector, a MALS (Multi-Angle Light Scattering)  
583 detector, and a differential refractometer in line with the SEC column, allowing for the  
584 deconvolution of the protein molar masses (MM) of eluting protein complexes. The  
585 major peak in the OXAVL02 sample was confirmed to result from an equilibrium  
586 between a major monomeric form with an apparent protein MM of  $32,000 \pm 1,000$  Da  
587 [theoretical MM (tMM) 31,298 Da] and a dimer at  $62,000 \pm 2,000$  Da [tMM 62,596 Da].  
588 Of the two other peaks, the lower elution volume peak (at 180 mL) contained large  
589 aggregates (apparent MM  $> 3 \times 10^5$  Da), while the higher elution volume peak (230  
590 mL) corresponded to the approximate MM of a dimer at  $62,000 \pm 2,000$  Da [tMM  
591 62,596 Da] in equilibrium with protein aggregates. Similar data were recorded for  
592 OXAVL06 (Fig. S2).

593 A kinetic profile of the two purified DBL-homologs was performed in the presence of

594 50 mM NaHCO<sub>3</sub> (Table 4). Indeed, in absence of hydrogenocarbonate, their activity  
595 generally showed an initial burst, followed by a pronounced slowdown, even when  
596 the substrate conversion and product accumulation were quite low. Our data  
597 indicates that OXAVL02 displays a lower catalytic efficiency compared to OXAVL06.  
598 We observed that both enzymes were not able to hydrolyse amoxicillin, temocillin,  
599 cefazolin and cefotaxime. In addition, OXAVL06 was not active against piperacillin  
600 and meropenem. We confirmed also that the two beta-lactamases displayed a  
601 carbapenemase activity. Imipenem was among the best substrates ( $k_{cat}/K_m = 0.016$   
602 and  $2.5 \mu\text{M}^{-1}\text{s}^{-1}$  for OXAVL02 and OXAVL06, respectively). In comparison to values  
603 obtained for oxacillin, the  $k_{cat}/K_m$  ratios of OXAVL02 for meropenem and imipenem  
604 were 30 and 10-fold higher, respectively.

## 605 Discussion

### 606 No OXA-domain family protein detected in Archaea

607 The focus of this study was to explore the occurrence of class D beta-lactamases in  
608 the prokaryotic world. The 24,916 identified OXA-domain family sequences  
609 correspond to 3510 unique sequences distributed across 20,343 bacterial strains.  
610 This highlighted a well-known redundancy in the NCBI RefSeq database toward  
611 clinical strains (46) (Fig. S3). The fact that none of these OXA-domain family proteins  
612 was detected in Archaea could be expected, since Archaea are naturally resistant to  
613 beta-lactam antibiotics. Indeed, even when a pseudomurein is present, the  
614 crosslinking of the glycan chains does not involve D-Ala-D-Ala and thus does not  
615 hinge on the activity of Penicillin Binding Proteins. However, two recent studies  
616 identified class A, B and C beta-lactamase homologues in archaeal genomes and

617 revealed that archaeal class B and C homologues do show a weak beta-lactamase  
618 activity (21, 47). Therefore, although we did not detect OXA-domain family proteins  
619 in Archaea, it is possible that archaeal OXA-domain family proteins will be identified  
620 in further studies, like for the other classes of beta-lactamases.

## 621 Contaminated genomes from local NCBI RefSeq database

622 Identification of new beta-lactamases in some unexpected organisms like Archaea or  
623 non-clinical bacterial strains might seem an exciting finding but could also be  
624 artifacts. In 2021 Lupo et al. assessed the contamination level of 111,088 bacterial  
625 genomes in the NCBI RefSeq database and found that 1% of the genomes were  
626 contaminated at a minimal threshold of 5% (46). For the 20,343 genome assemblies  
627 used in the current study, 20,200 results were available, indicating that 143 genomes  
628 had been suppressed since then. Among these 20,200 bacterial genomes, 114  
629 showed a contamination level  $\geq 5\%$ . Those 114 genomes are distributed across  
630 seven phyla: Proteobacteria (78), Firmicutes (29), Verrucomicrobia (2),  
631 Cyanobacteria (2), Chloroflexi (1), Chlorobi (1) and Balneolaeota (1). Obviously,  
632 conclusions for contaminated genomes should be taken with caution. For example,  
633 the only genome containing a DBL-homolog sequence in the Balneolaeota phylum is  
634 contaminated. From our data, it is however difficult to identify if this DBL-homolog is  
635 part of the contamination or if it is genuinely part of the genome, possibly acquired  
636 from an unknown organism by horizontal gene transfer.

## 637 OXA-domain family phylogeny and classification

638 We inferred the phylogenetic tree using a matrix of the 188 most conserved AAs  
639 (around two thirds of typical DBL length) from the 1413 representative OXA-domain

640 family sequences. Those representative sequences resulted from the deduplication  
641 of the 3510 unique sequences at a global identity threshold of 95%, which means  
642 that, considering their full length, they are similar to at least 95% of observed identity  
643 with member sequences of their deduplication clusters. Then, a phylogenetic  
644 clustering of the representative OXA-domain family proteins was computed using the  
645 patristic distances taken from the tree (i.e. the sum of the branch lengths between  
646 two leaves). This patristic distance quantifies the number of AA substitutions  
647 computed by the statistical model of sequence evolution. To select the best  
648 clustering parameters, we decided to exclude the clustering solutions with less than  
649 15 clusters. In fact, we noticed that at least half of the OXA-domain family protein  
650 sequences regroup into one single large cluster when fewer than 15 clusters are  
651 produced. The retained parameters yielded 64 clusters, including 19 of size one  
652 (singletons). Despite a larger number of clusters, BLDB reference DBL and pseudo-  
653 DBL sequences are distributed in only 16 clusters, while BlaR from *Clostridium*  
654 *difficile* and *Bacillus licheniformis* are found in cluster 15, and BlaR from  
655 *Staphylococcus aureus* in cluster 18.

656

657 Another objective of this study was to suggest a meaningful classification of OXA-  
658 domain family proteins based on their phylogeny. However, the majority of bacterial  
659 strains have only one OXA-domain family protein, indicating that these genes are  
660 essentially orthologous. Moreover, 50 clusters out of 64 contain sequences from  
661 organisms belonging to a single phylum or class, which means that sequence  
662 diversity within the OXA-domain family is mostly due to speciation. While it is  
663 possible to generate a classification of class D beta-lactamases based on the  
664 clustering obtained in this study, our results indicate that a more practical

665 classification should rather include a reference to the species of origin.

## 666 Analysis of the BlaR clusters

667 Because some class D beta-lactamases display more sequence identity with the C-  
668 terminal beta-lactam sensing domain of BlaR than with other class D beta-  
669 lactamases, it was impossible to avoid retrieving types of proteins in our homology  
670 searches. BlaR is also characterized by a N-terminal domain containing four  
671 transmembrane helices and a zinc protease module in loop 2 that is activated upon  
672 acylation of the C-terminal domain catalytic serine by a beta-lactam antibiotic. This  
673 triggers a cascade that eventually leads to the increased expression of either a beta-  
674 lactamase or a resistant PBP (19). As a consequence, BlaR has a total length of  
675 about 600 AAs. The size was therefore used to discriminate between BlaR-homologs  
676 (> 550 AAs) and the DBL-homologs (< 350 AAs). Clusters 16 and 17 exclusively  
677 contain BlaR-homologs, while clusters 8, 15 and 18 contain both BlaR- and DBL-  
678 homologs (Table S1). Most BlaR-homologs harbor a polar residue as the third  
679 residue of the second conserved motif ([Table S1](#)) and contain a N-terminal  
680 peptidase domain, two specific features of the BlaR receptor. The only exceptions  
681 are a few shorter sequences found in cluster 18; which have been removed from the  
682 database since we downloaded them, possibly indicating sequencing errors. Two  
683 sequences shorter than 550 AAs and labeled as BlaR-homologs are found in cluster  
684 15. They have the typical conserved motifs of BlaR but their N-terminal domain is  
685 truncated and likely not functional. In this study we noticed that BlaR-homolog genes  
686 are more frequent on a plasmid nearby a transposase gene. A recent study has  
687 shown that *Staphylococcus* species have Tn552-like elements carrying the *bla*  
688 operon often located on a plasmid (48). The authors hypothesized that the Tn552

689 transposon can mediate the transfer of the *bla* operon from a plasmid to the  
690 chromosome. This hypothesis would also fit our results showing a high prevalence of  
691 BlaR-homolog genes on plasmids and their proximity with transposase genes.

## 692 Analysis of all the 62 DBL-homolog clusters

693 The size of the DBL-homolog proteins is very homogenous and the only sequences  
694 longer than 350 AAs are three fusions between a class D and a class C beta-  
695 lactamase (cluster 8), possibly homologous to LRA-13 (20), three sequences with an  
696 N-terminal extension (up to 423 AAs in total) in cluster 21, and a fusion with a  
697 crotonase domain (1 sequence in cluster 40) of unknown function. The analysis of  
698 the active site motifs ([Table S1](#)) shows an almost perfect conservation of the three  
699 motifs characteristic of the catalytic site (SxxK, SxV and KTG), as well as of the  
700 tryptophan in the omega loop, which is important for the stabilization of the  
701 carboxylated lysine of the first motif. The most variable position is the second motif  
702 valine, which is often substituted by another hydrophobic AA. Some clusters do  
703 however diverge from this consensus. Indeed, clusters 13, 29, 35, 36, 45 and 54  
704 contain only one or two sequences and have significantly impaired motifs, which are  
705 likely not compatible with a beta-lactamase activity. Cluster 12 (8 representative  
706 sequences), which also has poorly conserved motifs, with an absence of catalytic  
707 serine in most cases, is very unlikely to display beta-lactamase activity. In contrast,  
708 cluster 14 (11 representative sequences) has the following conserved motifs: SxxH,  
709 SxH/Q, AS/TG. A sequence from this cluster (OXAVL01) was selected for *in vitro*  
710 characterisation. No beta-lactamase activity was measured on a crude extract, but  
711 no over-expression was detected in our assays, preventing us from drawing any  
712 definitive conclusion. The conserved motifs are however not sufficient to warrant a

713 beta-lactamase activity, as demonstrated by cluster 19 (Table S1), which regroups  
714 so far only pseudo-DBL sequences like Ybxl or BAC-1 (17, 18).

## 715 Probing clusters without class D beta-lactamase representative

716 Beyond OXAVL01, we have selected nine DBL-homologs among the 45 clusters  
717 devoid of reference OXA-domain family proteins to probe their activity. Overall, for  
718 seven of the ten sequences selected for evaluation, a beta-lactamase activity was  
719 detected at least on crude extracts (Table 3), including two hydrolases active on  
720 imipenem (OXAVL02 and OXAVL06). The enzymatic studies of these two DBL-  
721 homolog enzymes confirmed that they both display a beta-lactamase activity and  
722 hydrolyse efficiently imipenem but that meropenem is only inactivated by OXAVL02.  
723 We also showed that the presence of hydrogenocarbonate enhances their catalytic  
724 activity, a sign of the necessary carboxylation of the first motif lysine for optimal  
725 activity. As already shown for numerous other class D beta-lactamases, the  
726 monomeric form OXAVL02 is in equilibrium with the dimeric form, the monomer  
727 being the predominant form of the enzyme at the concentration tested. These  
728 results, obtained with randomly selected enzymes, confirm that the environmental  
729 strains provide a large reservoir of new resistance genes, which include high  
730 potential for resistance to carbapenems, a family of last resort antibacterials. The  
731 acquisition of such genes by multi-resistant nosocomial strains therefore represent  
732 an important threat for the treatment of the related infections. This phenomenon has  
733 already been observed with the chromosome-encoded class A CTX-M-3 from  
734 *Kluyvera* spp, which is at the origin of the plasmid-borne CTX-M-1-derived  
735 cefotaximases produced by clinical isolates (49). This is a reminder of the  
736 importance of an adequate use of the available antibiotics to postpone as much as

737 possible the emergence of new resistance factors.

## 738 Predicting activity profiles from amino acid sequences

739 The most clinically relevant result would be to deduce the activity profile of an  
740 enzyme from its AA sequence. However, determining the activity of only one  
741 representative DBL-homolog per cluster would not be informative of the specific  
742 activity profile of the cluster. In fact, it has been shown that only one mutated AA can  
743 alter the activity profile of a DBL (8, 9). Although the sequence similarity between the  
744 1413 representative sequences and their respective member sequences is high (i.e.,  
745 at least 95% identity), the identity between the sequences within one of the 45 non-  
746 singleton phylogenetic cluster is low (i.e., down to 50%) (Table S3). Furthermore,  
747 this similarity is certainly undervalued because it is computed from only 188  
748 unambiguously aligned AAs. Altogether, those arguments support that, for now, the  
749 activity profile of a DBL-homolog cannot be predicted only based on its AA  
750 sequence. This problem is also true for the other classes of beta-lactamases.  
751 Solving this would require a major effort for the high throughput biochemical  
752 characterization of the enzymes and the determination of their 3D structure, which is  
753 more likely correlated with the substrate specificity than the AA sequence. While the  
754 first part still represents a significant bottleneck, the recent development in 3D  
755 structure prediction with the AlphaFold software (50) has put the second part within  
756 reach, and the use of artificial intelligence to predict the activity profile of enzymes is  
757 not as far fetched as it used to be.



## 758 AUTHOR CONTRIBUTIONS

759 Valérian Lupo performed experiments, analyzed the data, drew the figures, wrote the  
760 manuscript and approved the final manuscript. Denis Baurain and Frédéric Kerff  
761 conceived the study and designed experiments, analyzed the data, wrote and  
762 reviewed the manuscript and approved the final manuscript. Jean-Marie Frère  
763 conceived the study, wrote and reviewed the manuscript and approved the final  
764 manuscript. Paola Sandra Mercuri performed experiments, wrote the manuscript and  
765 approved the final manuscript. Moreno Galleni designed experiments, wrote the  
766 manuscript and approved the final manuscript. Bernard Joris analyzed the data,  
767 reviewed the manuscript and approved the final manuscript.

768

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918 K, Kohli P, Hassabis D. 2021. Highly accurate protein structure prediction with  
919 AlphaFold. *Nature* 596:583–589.
- 920

921 Table 1. **Distribution of predicted signal peptides in DBL-homolog and BlaR-**  
 922 **homolog unique sequences further broken by the number of predicted**  
 923 **transmembrane (TM) domains (0: cytoplasmic, 1: monotopic, > 1: polytopic).**

# TM	DBL-homologs				BlaR-homologs			
	Signal Peptide (SP)			No SP	Signal Peptide (SP)			No SP
	Sec	Lipo	Tat	Other	Sec	Lipo	Tat	Other
0	1660	587	83	195	0	0	0	0
1	70	4	2	49	0	0	0	1
> 1	0	0	0	11	2	1	0	845

924  
 925 Table 2. **Distribution of DBL- and BlaR-homolog sequences in clinical, non-**  
 926 **clinical and unclassified strains, further broken by type of encoding molecule**  
 927 **(chromosome, plasmid or unclassified).**

	Clinical		Non-clinical		Unclassified	
	DBL-homologs	BlaR-homologs	DBL-homologs	BlaR-homologs	DBL-homologs	BlaR-homologs
Chromosome	2,080	459	1,716	696	6,282	4,998
Plasmid	512	515	150	305	1497	2,688
Unclassified	234	67	222	36	871	505

928

929



930 Table 3. **Beta-lactamase activity of crude extract (CE) for cells expressing**  
 931 **active DBL-homologs. The measurements were performed in 25 mM HEPES**  
 932 **buffer (pH 7) at 30°C. NH = No Hydrolysis.**

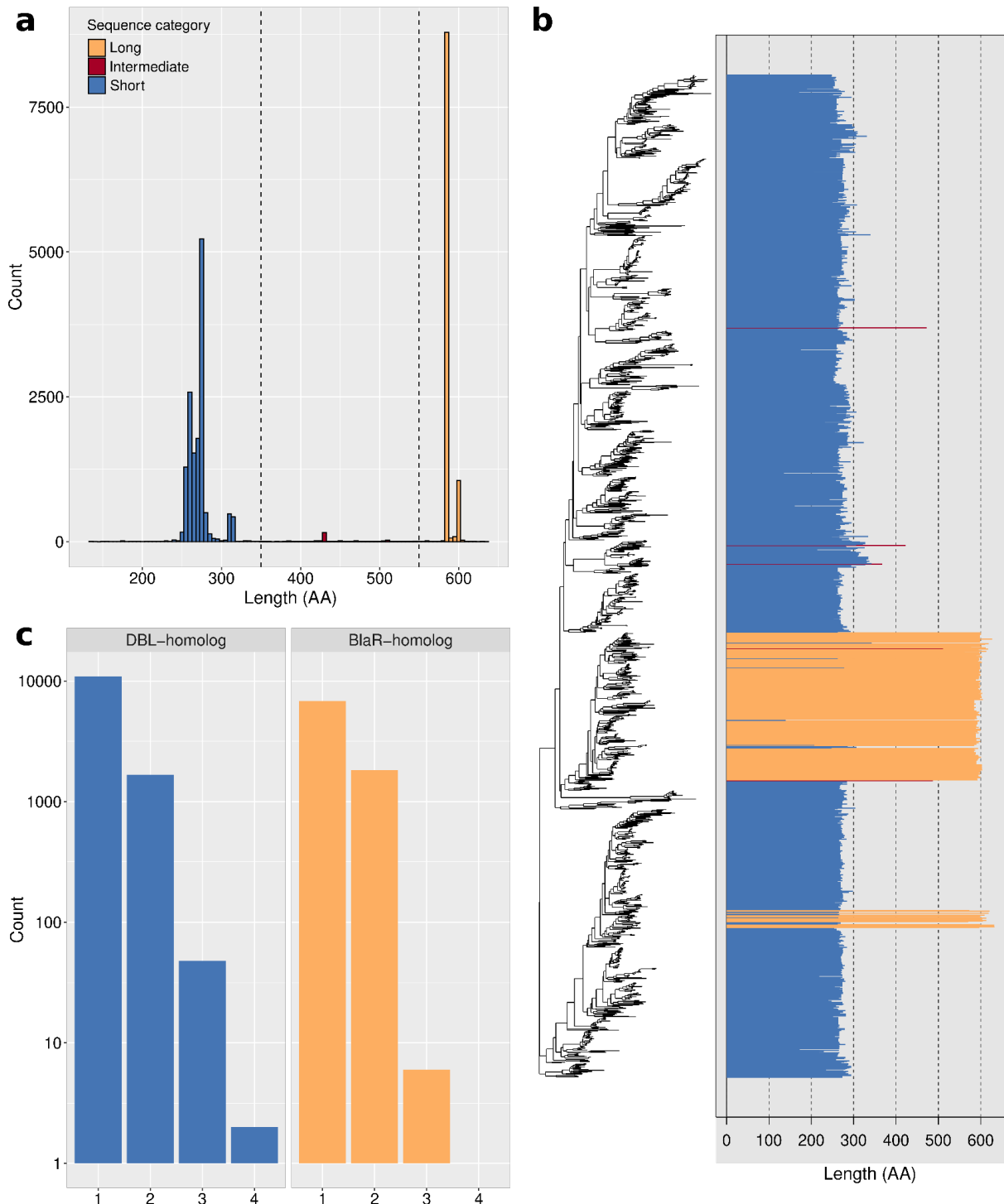
CE	$V_0$ (nmol.min <sup>-1</sup> .mgP <sup>-1</sup> )			
	Nitrocefin	Ampicillin	Oxacillin	Imipenem
<b>OXAVL02</b>	9.5	70	18	4
<b>OXAVL03</b>	1	1	NH	NH
<b>OXAVL04</b>	0.7	NH	NH	NH
<b>OXAVL05</b>	2.	NH	NH	NH
<b>OXAVL06</b>	6	85	100	7
<b>OXAVL09</b>	4	7	4	NH
<b>OXAVL10</b>	0.5	NH	NH	NH

933  
 934 Table 4. **Kinetic parameters of OXAVL02 and OXAVL06 beta-lactamases in 25**  
 935 **mM HEPES pH 7.5+ 50 mM NaCarbonate. NH = No Hydrolysis. Each kinetic value**  
 936 **is the mean of three different measurements; SDs were below 5%.**

Antibiotics	OXAVL02			OXAVL06		
	k <sub>cat</sub> (s <sup>-1</sup> )	K <sub>m</sub> (μM)	k <sub>cat</sub> /K <sub>m</sub> (μM <sup>-1</sup> s <sup>-1</sup> )	k <sub>cat</sub> (s <sup>-1</sup> )	K <sub>m</sub> (μM)	k <sub>cat</sub> /K <sub>m</sub> (μM <sup>-1</sup> s <sup>-1</sup> )
Ampicillin	20	380	0.055	530	270	2
Carbenicillin	22	400	0.055	380	1400	0.25

Piperacillin	3	850	0.0055	NH	NH	NH
Oxacillin	1	690	0.0015	90	160	0.56
Cephaloridine	>12.5	>400	0.030	24	90	0.26
Nitrocefin	Product Inhibition			350	20	17.5
Imipenem	9	550	0.016	0.9	0.4	2.5
Meropenem	0.3	6	0.05	NH	NH	NH

937



938

939 **Figure 1. Classification of OXA-domain family protein sequences as DBL-**

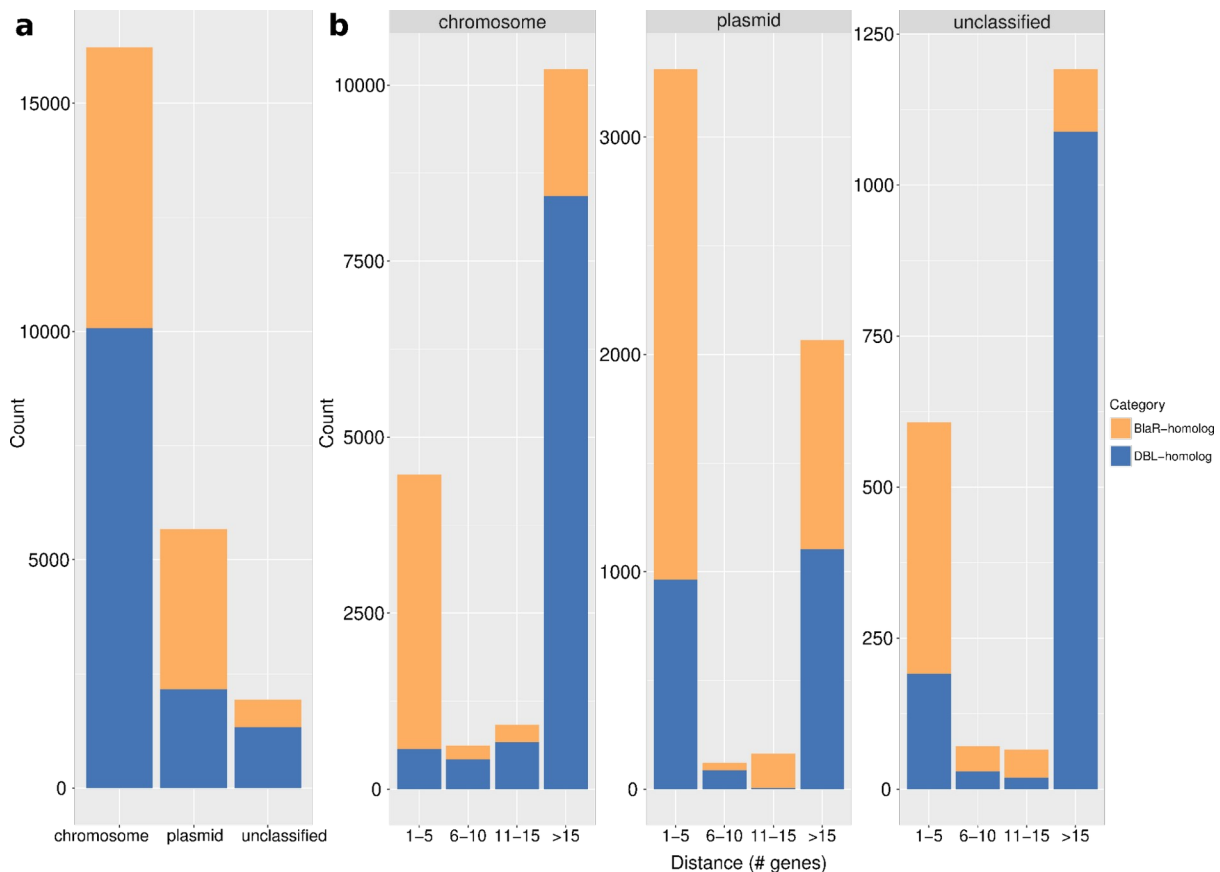
940 **homologs or BlaR-homologs. (a)** Length distribution of the 24,916 OXA-domain

941 family protein sequences. Sequences shorter than 350 AAs are colored in blue,

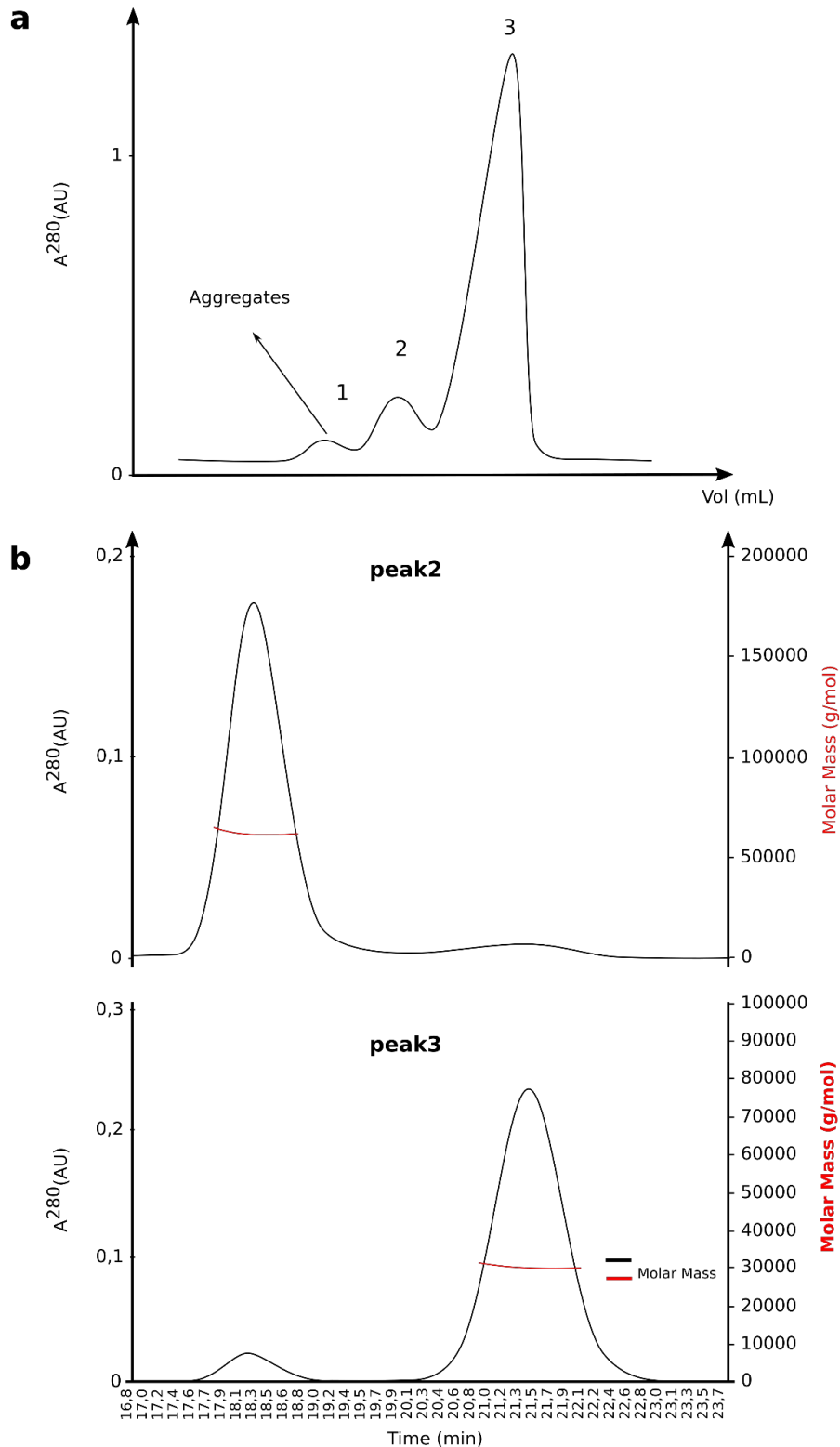
942 sequences longer than 550 AAs are in orange, while sequences between 350 and

943 550 AAs are in red. **(b)** Length distribution of the representative sequences mapped

944 onto the phylogenetic tree. The tree was constructed from a matrix of 1413  
945 representative sequences x 188 unambiguously aligned AAs using RAxML under the  
946 LG+F+G4 model. (c) Distribution of the number of DBL-homolog and BlaR-homolog  
947 sequences per organism. Blue bar plots represent DBL-homolog sequences while  
948 orange bar plots represent BlaR-homolog sequences. The Y axis is in log<sub>10</sub> units.  
949



950  
951 **Figure 2. DBL-homolog and BlaR-homolog genes in their genetic context. (a)**  
952 **Distribution of DBL- and BlaR-homolog genes according to the type of encoding**  
953 **molecule. (b) Distribution of the distances between DBL- and BlaR-homolog genes**  
954 **and transposase genes across the classified contigs. The distance is measured as a**  
955 **range of genes centered on the gene (DBL- or BlaR-homolog) of interest. DBL- and**  
956 **BlaR-homolog genes are colored in blue and orange, respectively.**



957

958 Figure 3. **SEC and SEC-MALS analysis performed on the purified OXAVL02.** (a)

959 SEC analysis of the purified OXAVL02. (b) Determination of the multimeric state of

960 OXAVL02 (peaks 2 and 3) by SEC-MALS analysis.