

1 Phenotypic and genotypic characterization of *Listeria monocytogenes* in clinical ruminant cases  
2 in Korea

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6 Running Head: *L. monocytogenes* in clinical ruminant isolates

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9 **ABSTRACT**

10 *Listeria monocytogenes* is a foodborne human and veterinary pathogen. This study aimed to  
11 determine the phenotypic and genotypic characteristics of *L. monocytogenes* isolates from  
12 clinical cases of Korean ruminants. We collected 24 *L. monocytogenes* isolates from clinical  
13 cases with caprine neurological symptoms and bovine abortion. The most prevalent serotypes  
14 were 4b (IV<sub>b</sub>), 1/2a (II<sub>a</sub>; II<sub>c</sub>), and 1/2b (II<sub>b</sub>). All isolates, including two found in humans, formed  
15 three genetically diverse pulsed-field gel electrophoresis clusters according to serotype, lineage,  
16 and sequence type. The most prevalent sequence type was ST1, followed by ST365 and ST91. *L.*  
17 *monocytogenes* isolates from ruminant listeriosis were resistant to oxacillin and ceftriaxone.  
18 These clinical ruminant isolates showed diverse lineage, serotype (serogroup), and sequence type  
19 characteristics. Considering that the atypical sequence types exhibited clinical manifestations and  
20 histopathological lesions, further study is needed to elucidate the pathogenicity of genetically  
21 diverse ruminant *L. monocytogenes* isolates. Furthermore, continuous monitoring of  
22 antimicrobial resistance is required to prevent the emergence of *L. monocytogenes* strains  
23 resistant to common antimicrobials.

24 **Keywords:** ruminant listeriosis, serotype, PFGE, MLST, antimicrobial resistance

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## 28 INTRODUCTION

29 Listeriosis caused by *Listeria monocytogenes* causes high morbidity and mortality primarily  
30 in ruminant farms. Listeriosis in ruminants manifests in two major forms: septicemia and  
31 (rhomb)encephalitis; the septicemic form of listeriosis can result in fetal infection and  
32 subsequent abortion (1). A Danish study showed that the diagnostic rate of *L. monocytogenes* in  
33 bovine abortion was 1.2% (2). In small ruminant surveillance studies conducted in Slovenia and  
34 Switzerland, the incidence rates of listeria rhombencephalitis and central nervous system disease  
35 in bovine adults were 5.3 and 26.3 cases per 100,000, respectively (3). In humans, *L.*  
36 *monocytogenes* is a serious foodborne pathogen causing various clinical conditions, including  
37 septicemia, abortion, gastroenteritis, and encephalitis (4).

38 Thirteen *L. monocytogenes* serotypes (1/2a, 1/2b, 1/2c, 3a, 3b, 3c, 4a, 4ab, 4b, 4c, 4d, 4e,  
39 and 7) have been identified, with lineages I (serotypes 1/2b, 4b, and 3b) and II (serotypes 1/2a,  
40 1/2c, and 3c) more frequently isolated from ruminant listeriosis cases than lineages III or VI (1).  
41 Lineage I is most frequently isolated from human and ruminant encephalitis (1,5). Among the 13  
42 serotypes, 1/2a and 3a have been associated with non-encephalitic infections (4). Using a  
43 multiplex PCR method to overcome the major drawbacks of conventional serotyping, these  
44 serotypes were reclassified into five serogroups: II<sub>a</sub> (1/2a and 3a), II<sub>b</sub> (1/2b, 3b, and 7), II<sub>c</sub> (1/2c  
45 and 3c), IV<sub>a</sub> (4a and 4c), and IV<sub>b</sub> (4ab, 4b, 4d, and 4e) (6). Among them, PCR serogroup II<sub>a</sub> is the  
46 most prevalent in both fetal infection and neurologic cases in ruminant listeriosis (1).  
47 Furthermore, *L. monocytogenes* serotypes 4b, 1/2a, and 1/2b were primarily responsible for the  
48 human cases of listeriosis in the EU in 2013 and USA between 2011 and 2016 (6,7). However,  
49 serotyping has a limited discriminatory ability when comparing bacterial genotypes (8).

50 Therefore, molecular typing is mostly conducted using pulsed-field gel electrophoresis (PFGE)  
51 and multilocus sequence typing (MLST) to investigate the zoonotic sources and genetic diversity  
52 of *L. monocytogenes* isolates (1,4).

53 Several virulence genes responsible for bacterial pathogenesis in host cells have been  
54 identified in *L. monocytogenes* isolates (9). Among them, *inlA*, *inlC*, and *inlJ*, which encode  
55 internalin-like proteins, are considered to be important for the initial stage of infection; *lvsX*  
56 (listeriolysin S expression), *plcA* (phosphatidylinositol-phospholipase C), *plcB*  
57 (phosphatidylcholine-phospholipase C), *hly* (listeriolysin O), *lmo2672* (transcriptional regulator),  
58 and *prfA* (transcriptional regulator) are crucial for the development of human listeriosis (9,10).

59 Listeriosis is susceptible to most antimicrobials used to treat gram-positive bacteria (11,12).  
60 A combination of  $\beta$ -lactam antimicrobials, such as penicillin or ampicillin, and aminoglycosides,  
61 such as gentamicin, has been used as the first choice for human listeriosis. Trimethoprim-  
62 sulfamethoxazole, tetracycline, and erythromycin have been used as alternative therapies for  
63 listeriosis (9,11,13). However, several *L. monocytogenes* isolates are resistant to these  
64 antimicrobials (9,10,13,14). In Korea, *L. monocytogenes* isolates from swine and bovine  
65 carcasses have showed resistance to the recommended antimicrobials, such as penicillin (93.3%),  
66 ampicillin (26.7%), and tetracycline (20.0%) (15). There are currently no studies on the  
67 antimicrobial resistance of *L. monocytogenes* isolates from clinical ruminant cases.

68 The aim of the present study was to determine the phenotypic and genotypic characteristics  
69 of *L. monocytogenes* isolates from clinical cases of Korean ruminants. Furthermore, we  
70 monitored antimicrobial resistance and determined empirical antimicrobials of *L. monocytogenes*  
71 isolates from clinical cases of ruminants.

## 72 MATERIALS AND METHODS

### 73 Isolates and DNA extraction

74 For differential diagnosis, 360 stillborn bovine fetuses (2015–2019) and 99 goats (2013–  
75 2019) presenting with listeriosis-related symptoms, including neurological signs, abortion, and  
76 sudden death, were requested from farm owners. All isolates were subjected to biochemical  
77 identification using the VITEK<sup>®</sup> II system (BioMérieux, Marcy l'Etoile, France) and VITEK<sup>®</sup>  
78 MS system (matrix-assisted laser desorption ionization time-of-flight mass spectrometry;  
79 MALDI-TOF MS, BioMérieux). The isolates were confirmed by the amplification of *hly* (16)  
80 and used to inoculate brain-heart infusion broth (Becton Dickinson, Sparks, MD, USA). These  
81 solutions were then aerobically cultured for 18 h at 37 °C. Genomic DNA was extracted using  
82 the Maxwell<sup>®</sup> RSC instrument (Promega, Madison, WI, USA) with the Maxwell<sup>®</sup> RSC Blood  
83 DNA kit (Promega) according to the manufacturer's instructions. In addition, two human *L.*  
84 *monocytogenes* isolates (NCCP 14714, NCCP 15743) were obtained from the National Culture  
85 Collection for Pathogens (NCCP) of the Korea Disease Control and Prevention Agency (KDCA).

### 86 *PCR serogrouping and conventional serotyping*

87 Multiplex PCR was used to determine *L. monocytogenes* molecular serogroups as  
88 previously described (1). For agglutination analysis, a commercially available serotyping kit  
89 (Denka Seiken Co., Tokyo, Japan) was used to identify O and H antigens according to the  
90 manufacturer's instructions.

### 91 Detection of virulence genes

92 The 11 virulence genes *inlA*, *inlC*, *inlJ*, *lmo2672*, *llsX*, *prfA*, *plcA*, *hlyA*, *mplA*, *actA*, and  
93 *plcB* were identified from *L. monocytogenes* isolates using PCR, as described previously (9).

#### 94 **PFGE**

95 PFGE of the *L. monocytogenes* isolates was performed using a previously described  
96 protocol, with some modifications (15). Briefly, bacterial DNA was digested using the restriction  
97 enzyme *AscI* (Thermo Scientific, Waltham, MA, USA) for at least 5 h at 37°C. Size separation of  
98 restricted DNA fragments was performed using a CHEF MAPPER XA system (Bio-Rad,  
99 Richmond, CA, USA) with 1% SeaKem gold agarose gel for 21 h. *XbaI*-digested *Salmonella*  
100 *enterica* serotype Braenderup H9812 DNA was used as a standard to compare each band of the  
101 *L. monocytogenes* isolates. The banding patterns were then interpreted and compared using  
102 GelCompar II software (Applied Maths, Sint-Martens-Latem, Belgium). A combined  
103 dendrogram was analyzed using the unweighted pair group method with arithmetic mean with  
104 Dice correlation coefficient and 1% tolerance.

#### 105 **MLST**

106 *Listeria monocytogenes* isolates were subtyped using the MLST scheme, as described in the  
107 Institut Pasteur MLST database (<http://bigsdB.pasteur.fr/listeria>). Sequence data for seven  
108 housekeeping genes (*abcZ*, *bglA*, *cat*, *dapE*, *dat*, *ldh*, and *lhkA*) were analyzed using an ABI  
109 Prizm 3730XL analyzer (Applied Biosystems, Foster City, CA, USA) at MacroGen (MacroGen  
110 Inc., Seoul, Korea). Allelic profiles and phylogenetic lineage corresponding sequence types  
111 (STs) with clonal complexes (CC) were determined according to the criteria in the MLST  
112 Pasteur database. MEGA 7.0 (Pennsylvania State University, State College, Pennsylvania, USA)  
113 was used to construct a neighbor-joining phylogenetic tree from the concatenated alignment of

114 allele sequences, with Kimura's two-parameter model and 1,000 replicates (17). Concatenated  
115 sequences obtained from clinical ruminant isolates in this study and human *L. monocytogenes*  
116 strains from the NCCP of KDCA were phylogenetically analyzed. ST72 from lineage III was  
117 chosen as the root. For comparison with common clinical ruminant *L. monocytogenes*, ruminant  
118 listeriosis-associated STs from Europe and common human listeriosis-associated STs, such as  
119 ST2, ST6, and ST37, were used for phylogenetic analysis.

### 120 **Antimicrobial susceptibility test**

121 Antimicrobial susceptibility testing of the *L. monocytogenes* isolates was performed using a  
122 Sensititre GPN3F plate (Trek Diagnostic Systems, Cleveland, USA), which contained 18  
123 antimicrobials, following the manufacturer's instructions. The antimicrobials and their ranges in  
124 the GPN3F plate are shown in Table S1. The antimicrobial resistance of the isolates was  
125 determined according to the guidelines of the Clinical and Laboratory Standards Institute,  
126 adopting the criteria for *L. monocytogenes* and other gram-positive bacteria, except for  
127 ampicillin, erythromycin, and penicillin, for which the European Committee on Antimicrobial  
128 Susceptibility Testing breakpoints were used (18,19).

### 129 **Statistical analysis**

130 Statistical testing was performed using GraphPad Prism (v5.01; GraphPad Software, San  
131 Diego, CA, USA). A  $2 \times 2$  contingency table using Fisher's exact test was used to assess  
132 associations among serotypes and virulence genes. Statistical significance was set at  $P < 0.05$ .

## 133 **RESULTS**

### 134 **Clinical features of caprine and bovine listeriosis**

135 The prevalence rates of listeriosis in bovine and caprine samples were 2.5% (9/360) and  
136 16.2% (16/99), respectively. Among them, 24 *L. monocytogenes* isolates were examined in this  
137 study, including 8 bovine fetal abortion cases and 16 caprine listeriosis. According to the clinical  
138 features of the ruminant listeriosis cases observed (Table 1), most cases (66.7%, 16/24) occurred  
139 in the spring between March and May, and all bovine abortions caused by *L. monocytogenes*  
140 occurred during late-term pregnancy (8–9 months). *L. monocytogenes* was the only pathogen in  
141 all caprine listeriosis cases that presented neurological symptoms, including circling.  
142 Furthermore, all caprine cases showed suppurative meningoencephalitis with microabscesses in  
143 the brainstem. In bovine abortion cases, *L. monocytogenes* was also the only pathogen identified,  
144 with the exception of two cases that displayed co-infection with *Leptospira* species and  
145 *Neospora caninum*, respectively. Among the eight bovine abortion cases, suppurative placentitis  
146 was confirmed in two cases, and histopathological lesions were not identified in other cases.

#### 147 **PCR serogroups and serotypes of *L. monocytogenes* isolates**

148 Among the 24 *L. monocytogenes* isolates, PCR serogroup IV<sub>b</sub> (n = 11, 45.8%) was the most  
149 prevalent, followed by II<sub>a</sub> (n = 9, 37.5%), II<sub>b</sub> (n = 2, 8.3%), and II<sub>c</sub> (n = 2, 8.3%), with most  
150 belonging to 1/2a (n = 11, 45.8%) and 4b (n = 11, 45.8%) serotypes. The remaining two isolates  
151 were 1/2b (Table 1). Interestingly, serotypes 4b and 1/2b corresponded to serogroups IV<sub>b</sub> and II<sub>b</sub>,  
152 respectively. However, serotype 1/2a included the two serogroups, II<sub>a</sub> and II<sub>c</sub>.

#### 153 **Virulence genes expressed in *L. monocytogenes* isolates**

154 All isolates expressed the virulence genes *inlA*, *inlC*, *inlJ*, *lmo2672*, *plcA*, *actA*, *plcB*, *mpl*,  
155 *hly*, and *iap* (Table 1). In contrast, *llyS*-encoding listeriolysin was expressed in 13 of the



156 evaluated isolates (54.2%); however, *llsX* was not observed in isolates with the serotype 1/2a and  
157 was significantly correlated with serotype 4b ( $P < 0.001$ ).

### 158 **PFGE analysis of *L. monocytogenes* isolates**

159 Among the 24 *L. monocytogenes* isolates, 14 PFGE banding patterns were obtained, and the  
160 isolates were grouped into three clusters according to lineage, serotype, and ST, regardless of  
161 geographical and host source (Fig. 1). Cluster I had a similarity level of 76.6%, which included  
162 six *L. monocytogenes* isolates (lineage I, serotype 4b, and ST1). Cluster III ( $n = 4$ ) included two  
163 isolates (lineage I, serotype 1/2b, and ST224) with 92.9% similarity and two other isolates  
164 (lineage I, serotype 4b, and ST1) with 96.6% similarity. All the *L. monocytogenes* isolates in  
165 Cluster II belonged to lineage II and serotype 1/2a. Cluster II (similarity level of 56.0%)  
166 contained three ST91 and two ST9 isolates.

### 167 **MLST of *L. monocytogenes* isolates**

168 Among the 24 ruminant *L. monocytogenes* isolates, the most common ST (CC) was ST1  
169 (CC1; 37.5%,  $n = 9/24$ ), followed by ST365 (CC14; 16.7%,  $n = 4/24$ ) and ST91 (CC14; 12.5%,  $n$   
170  $= 3/24$ ) (Fig. 2). The most prevalent lineage in ruminant isolates was lineage I (66.7%,  $n = 13$ ),  
171 which included ST1, ST4, ST219, and ST224. The remaining STs included ST365, ST91, ST9,  
172 ST8, and ST18 and belonged to lineage II (33.3%,  $n = 11$ ) (Fig. 2). The distribution of STs and  
173 genetic relatedness of *L. monocytogenes* isolates, including a clinically common bovine ST in  
174 France and Slovenia (ST37) and human isolates from common STs (ST2 and ST6), are  
175 illustrated in the phylogenetic tree (Fig. 3). STs from lineage I were more closely genetically  
176 related than those in lineage II (Fig. 3).

## 177 **Antimicrobial resistance in ruminant *L. monocytogenes* isolates**

178       The antimicrobial resistance patterns of the *L. monocytogenes* isolates are shown in Table 2.  
179 All isolates tested were susceptible to 11 antimicrobials; however, many isolates were resistant to  
180 oxacillin (70.8%) and ceftriaxone (62.5%). Furthermore, several isolates showed intermediate  
181 resistance to clindamycin (58.3%), ceftriaxone (29.2%), ciprofloxacin (29.1%), and linezolid  
182 (12.5%). We did not identify isolates exhibiting multiresistance to the antimicrobials tested.

## 183 **DISCUSSION**

184       *L. monocytogenes* is a major foodborne pathogen associated with high morbidity and  
185 mortality in infected animals. Although there have been several studies on *L. monocytogenes*  
186 isolates from foods and environments, characterization studies of ruminant *L. monocytogenes*  
187 isolates are limited in Korea (15,20). Moreover, there is little information available on the  
188 prevalence, serotypes, antimicrobial resistance, and molecular characteristics of *L.*  
189 *monocytogenes* isolates from ruminant clinical cases worldwide. In our study, the diagnostic rate  
190 of bovine fetal abortion was slightly higher than that in a Danish study (2). The high incidence  
191 rate in goat encephalitis was hypothesized to be from the sample collection of listeriosis cases  
192 that presented neurological symptoms. In a previous study, PCR serogroup II<sub>a</sub> (serotypes 1/2a  
193 and 3a) was the dominant serogroup from ruminant listeriosis cases in the USA (1). Conversely,  
194 PCR serogroup IV<sub>b</sub> and serotype 4b, which are responsible for human listeriosis, were also the  
195 most prevalent type identified from ruminant clinical listeriosis cases analyzed in this study  
196 (Table S2). The identified serotypes were limited to serotypes 1/2a, 1/2b, and 4b, which are the  
197 predominant serotypes that cause human listeria infection (21,22).

198 All the *L. monocytogenes* isolates examined in this study expressed 10 virulence genes,  
199 indicating that these isolates may be potentially pathogenic to humans. Indeed, *lvsX* is important  
200 for the pathogenesis of human listeriosis and was expressed in the isolates that belonged to  
201 serotype 4b ( $P < 0.001$ ) and 1/2b (10). Therefore, the serotype 4b strains from ruminant  
202 listeriosis could present a potential risk factor for human listeriosis.

203 Our PFGE results revealed that *L. monocytogenes* isolates from ruminant listeriosis cases  
204 were correlated with serotype and ST rather than host source or geographical origin. Based on  
205 these results, three clusters that demonstrated 55% similarity showed high genetic variation.  
206 Interestingly, two isolates (serotype 1/2b/ST224) from caprine listeriosis had relatively high  
207 similarity (85.2%) with the human blood strain NCCP 14714, which belongs to the same  
208 serotype (PCR serogroup), virulence profile, and ST reported in two caprine isolates. These  
209 caprine and human listeriosis isolates were not related to the outbreak year or geographical  
210 origin. Further epidemiological investigations and more powerful molecular characterization  
211 methods, such as whole-genome sequencing, are required to elucidate the possibility of zoonotic  
212 listeriosis and genetic relatedness of these cases.

213 We found that *L. monocytogenes* lineage I ( $n = 13$ , 54.2%) was more frequently detected  
214 than lineage II ( $n = 11$ , 45.8%), which was consistent with previous MLST studies of ruminant  
215 listeriosis in Europe (3,5). In contrast, a USA survey showed that lineage II was the most  
216 prevalent in ruminant listeriosis, and the number of STs was more diverse than that observed in  
217 this study (1). Moreover, ST1, ST4, and ST412 accounted for 51% of the cases, with ST1  
218 (33.3%) being the most prevalent type in ruminant listeriosis cases in Europe (5). In another  
219 ruminant clinical case from France and Slovenia, the three most prevalent clones were CC1

220 (39.1%), CC4-CC217 (12.9%), and CC37 (6.0%) (3). In the USA, ST7 (15.2%) was the most  
221 frequently isolated from ruminant listeriosis, followed by ST91 (10.9%) (1). When comparing  
222 these and our results, we estimated that ST1 and ST91 were common in ruminant listeriosis  
223 despite their regional differences. Interestingly, ST365, which was the second most frequently  
224 isolated ST in this study, is mainly isolated from vegetation and rarely from ruminant listeriosis,  
225 according to the Institut Pasteur MLST database (<http://bigsd.b.pasteur.fr/listeria>). In the  
226 phylogenetic tree, ST365 was clustered with ST37, the third most prevalent ruminant listeriosis  
227 ST in France and Slovenia cases (Fig 3). Considering the clinical manifestations and genetic  
228 relatedness with ST37, these results suggest that ST365 is a significant factor in ruminant  
229 listeriosis that should be considered in future investigations.

230 The lineage I clones CC1, CC4, CC2, and CC6 were described as clinically associated and  
231 hypervirulent in humans (3). However, the possibility of zoonotic infection should be noted  
232 because ST1 and ST4 strains comprised 41.7% of the cases we characterized. Moreover,  
233 according to the Pasteur MLST *L. monocytogenes* database, other STs, including ST8, ST9,  
234 ST18, ST91, ST224, ST219, and ST365 that have mainly been isolated from food and the  
235 environment have also been isolated from human infections worldwide  
236 (<http://bigsd.b.pasteur.fr/listeria/>). Therefore, these STs may pose a risk to public health.

237 Although antimicrobial resistance in *L. monocytogenes* isolates has not yet been unnoticed,  
238 it is important to evaluate the effectiveness of antimicrobials and monitor the emergence of  
239 resistant strains. Fortunately, we found no multi-drug resistant (MDR) isolates, and all *L.*  
240 *monocytogenes* isolates we analyzed were susceptible to 11 different antimicrobials. The  
241 frequency of oxacillin (70.8%) and ceftriaxone (62.5%) resistance was relatively high, which

242 might have resulted from the intrinsic resistance to these antimicrobials, consistent with previous  
243 studies (6,18,23). In contrast, 20.0% of the *L. monocytogenes* isolates in a Korean slaughterhouse  
244 study were MDR (15). In other Korean studies using slaughterhouse, food, and environmental  
245 samples, the resistance rates against therapeutic antimicrobials like penicillin, ampicillin, and  
246 tetracycline were 53.3–100%, 26.6–97.0%, and 13.3–20.0%, respectively (15,24,25).

247 Several studies have reported *L. monocytogenes* isolates from foods and environments with  
248 resistance to therapeutic antimicrobials (9,13,26–28). Although comparisons are arduous due to  
249 differences in the antimicrobial agents and breakpoints used in these studies, *L. monocytogenes*  
250 isolates from ruminant listeriosis have relatively lower resistance rates than those reported for  
251 food and environmental origins, except for intrinsic antimicrobial resistance. These results might  
252 be related to sample source differences and antimicrobial resistance transfer from cross-  
253 contamination through the food chain (13,29). The antimicrobial resistance of clindamycin  
254 classified into the class of lincosamides, generally used in the treatment of diseases of human and  
255 veterinary medicine showed relatively higher intermediate rates (58.3%) than those reported in  
256 Poland (34.6%), Italy (29%), and the USA (27%) (12,18,23,26). Furthermore, the resistance of  
257 ciprofloxacin-resistant isolates classified into critically important antimicrobial class in WHO  
258 also showed slightly higher intermediate rates (29.1%) than those reported in Poland (20.4%) and  
259 Italy (24%) (23,26). Considering the acquisition of additional gene mutations, intermediate MIC  
260 values in clindamycin and ciprofloxacin widely used in hospitals to treat Gram-positive  
261 infections could indicate a possible future shift to resistance phenotypes (26). To our knowledge,  
262 there are limited reports of antimicrobial resistance in ruminant clinical listeriosis. Therefore,  
263 continuous surveillance of antimicrobial-resistant *L. monocytogenes* is necessary to improve  
264 animal and human health. For the treatment of listeriosis, beta-lactams (penicillin and

265 ampicillin), macrolides (erythromycin), aminoglycoside (gentamicin and streptomycin),  
266 tetracycline, and trimethoprim/sulfamethoxazole are more effective than ceftriaxone, oxacillin,  
267 and clindamycin. Therefore, these agents are recommended as empirical antimicrobials for the  
268 treatment of clinical listeriosis.

269 The significant relevance of antimicrobial resistance patterns among the animal sources,  
270 serotypes, PFGE clusters, and STs was not observed in this study, potentially due to the diverse  
271 phenotypic and genetic origins of the isolates tested. To elucidate the relevant characteristics that  
272 contribute to antimicrobial resistance in *L. monocytogenes*, further studies with larger numbers of  
273 isolates from diverse locations and sources are required.

274 In conclusion, this report is the first comprehensive characterization of *L. monocytogenes* in  
275 clinical ruminant listeriosis cases in Korea. Our results indicated that the ruminant *L.*  
276 *monocytogenes* isolates belonged to serotypes 4b, 1/2a, and 1/2b. Based on molecular  
277 characterization, clinical ruminant *L. monocytogenes* isolates were genetically diverse and  
278 associated with isolates from humans, food, and the environment. According to the clinical signs  
279 and histopathological lesions of ruminant *L. monocytogenes* isolates, ruminant listeriosis  
280 pathogens, such as ST365, ST9, ST101, ST18, ST224, and ST219, cannot be ignored. Although  
281 the ruminant *L. monocytogenes* isolates were susceptible to the primary antimicrobial used for  
282 treating human listeriosis, continuous monitoring of the antimicrobial resistance in *L.*  
283 *monocytogenes* isolates is needed. Further research is required to predict the virulence  
284 phenotypes and improve the genetic characterization of clinical ruminant *L. monocytogenes*  
285 isolates.

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293

294 *Authors' contributions:*

295 Jongho Kim and Jong Wan Kim: conceptualization, methodology, writing of the original draft,  
296 review and editing of the final manuscript.

297 Ha-Young Kim: conceptualization, supervision, review and editing of the manuscript.

298

299 *Competing interests:*

300 The authors declare that they have no competing interests.

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### 389 **Figure legends**

390 Figure 1. PFGE dendrogram generated using the unweighted pair group method with the  
391 arithmetic mean method from *AscI* of *L. monocytogenes* isolates from ruminant listeriosis cases.

392 Figure 2. Phylogenetic analysis of concatenated MLST loci using the neighbor-joining method  
393 with 1,000 replicates. Strain names, hosts, serotypes, and sequence types are indicated.

394 \* One base difference was found (<sup>9</sup>T → <sup>9</sup>C). The closest allele was 3. ST, sequence type.

395 Figure 3. Phylogenetic analysis of concatenated MLST loci using the neighbor-joining method  
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397 from this study and obtained from the MLST database as references. STs identified in this study  
398 are represented in red. STs isolated from bovine, caprine, and human listeriosis cases are  
399 indicated with a square (■), triangle (▲), and circle (●), respectively. ST, sequence type.



401 Table 1. Clinical features and characterization of ruminant (n = 24) and human (n=2) *Listeria monocytogenes* isolates in Korea

Isolates	Source (isolated organs)	Region	Clinical	Year (month)	Age or pregnancy period	Serogroup	Serotype	Lineage	<i>ilsX</i> <sup>1</sup>	Sequence types	Clonal complex
LM4	Caprine (Brain)	Gyeongbuk	Circling	2013 (April)	2 years	II <sub>a</sub>	1/2a	II	-	ST18	CC18
LM7	Caprine (Brain)	Gyeongbuk	Circling	2013 (May)	1 year	II <sub>a</sub>	1/2a	II	-	ST365	CC14
LM9	Caprine (Brain)	Gyeongbuk	Circling	2013 (May)	1 year	II <sub>a</sub>	1/2a	II	-	ST365	CC14
LM11	Bovine (Placenta, lung, gastric juice)	Jeonbuk	Abortion	2015 (March)	8 months	IV <sub>b</sub>	4b	I	+	ST1	CC1
LM15	Bovine (Lung, gastric juice)	Jeonbuk	Abortion	2015 (March)	9 months	IV <sub>b</sub>	4b	I	+	ST1	CC1
LM17	Caprine (Brain)	Jeonnam	Circling	2015 (June)	< 1 year	IV <sub>b</sub>	4b	I	+	ST1	CC1
LM18	Caprine (Brain)	Gyeongnam	Circling	2016 (March)	3 years	II <sub>b</sub>	1/2b	I	+	ST224	CC224
LM19	Caprine (Brain)	Gyeongnam	Circling	2016 (April)	2 years	II <sub>b</sub>	1/2b	I	+	ST224	CC224
LM20	Caprine (Brain)	Gyeongbuk	Circling	2016 (June)	< 1 year	IV <sub>b</sub>	4b	I	+	ST219	CC475
LM21	Caprine (Brain)	Jeonbuk	Circling	2016 (June)	1 year	II <sub>c</sub>	1/2a	II	-	ST9	CC9
LM22	Bovine (Placenta)	Chungnam	Abortion	2017 (January)	8 months	IV <sub>b</sub>	4b	I	+	ST1	CC1
LM25	Caprine (Brain)	Chungnam	Circling	2017 (January)	2 years	IV <sub>b</sub>	4b	I	+	ST1	CC1
LM26	Caprine (Brain)	Chungnam	Circling	2017 (April)	NT <sup>*</sup>	II <sub>a</sub>	1/2a	II	-	ST365	CC14
LM27	Caprine (Brain)	Chungnam	Circling	2017 (April)	NT	II <sub>a</sub>	1/2a	II	-	ST365	CC14
LM29	Caprine (Brain)	Chungnam	Circling	2017 (May)	< 1 year	IV <sub>b</sub>	4b	I	+	ST1	CC1
LM30	Caprine (Brain)	Gyeonggi	Circling	2017 (June)	3 years	II <sub>c</sub>	1/2a	II	-	ST9	CC9
LM32	Bovine (Lung, gastric juice)	Gyeongbuk	Abortion	2018 (March)	8 months	II <sub>a</sub>	1/2a	II	-	ST91	CC14
LM34	Bovine (Lung, gastric juice, muscle)	Gangwon	Abortion	2018 (March)	9 months	II <sub>a</sub>	1/2a	II	-	ST91	CC14
LM36	Caprine (Brain)	Chungnam	Circling	2018 (March)	NT	II <sub>a</sub>	1/2a	II	-	ST91	CC14
LM39	Bovine (Placenta)	Chungnam	Abortion	2018 (April)	8 months	IV <sub>b</sub>	4b	I	+	ST1	CC1
LM40	Bovine (Brain)	Chungnam	Abortion	2018 (June)	8 months	IV <sub>b</sub>	4b	I	+	ST1	CC1
LM41	Bovine (Muscle)	Jeonnam	Abortion	2019 (January)	9 months	II <sub>a</sub>	1/2a	II	-	ST8	CC8
LM42	Caprine (Brain)	Jeonnam	Circling	2019 (March)	1 year	IV <sub>b</sub>	4b	I	+	ST4	CC4
LM43	Caprine (Brain)	Gangwon	Circling	2019 (May)	< 1 year	IV <sub>b</sub>	4b	I	+	ST1	CC1
NCCP14714 <sup>2</sup>	Human (Blood)	Jeonbuk	listeriosis	2009 (May)	NT	II <sub>b</sub>	1/2b	I	+	ST224	CC224
NCCP15743 <sup>2</sup>	Human (Blood)	Gyeonggi	listeriosis	2012 (February)	NT	II <sub>a</sub>	1/2a	II	-	ST101	CC101

402 ND: Not determined.

403 <sup>1</sup> All isolates harbored the following 10 virulence genes: *inlA*, *inlC*, *inlJ*, *lmo2672*, *plcA*, *actA*, *plcB*, *mpl*, *hly*, and *iap*.

404 <sup>2</sup> human isolates of *L. monocytogenes* were obtained from the Korea Disease Control and Prevention Agency (KDCA).

405 Table 2. Antimicrobial susceptibility and cumulative percentage of *L. monocytogenes* (n = 24) inhibited by 18 antimicrobials

Antimicrobial	Cumulative percentage of strains inhibited at antimicrobial concentration (µg/mL) of:													MIC <sub>50</sub> (µg/mL) <sup>a</sup>	MIC <sub>90</sub> (µg/mL) <sup>a</sup>	S (%) <sup>b</sup>	I (%) <sup>b</sup>	R (%) <sup>b</sup>	MIC Breakpoint (µg/mL) <sup>c</sup>
	<0.06	0.12	0.25	0.5	1	2	4	8	16	32	64	128	>1000						
<b>Oxacillin</b>					4.2	29.2	66.7	100						4	8	<b>29.2</b>	ND <sup>d</sup>	<b>70.8</b>	≥4
<b>Ceftriaxone</b>								8.3	37.5	54.2	100			32	64	<b>8.3</b>	<b>29.2</b>	<b>62.5</b>	≥32
Ampicillin		12.5	66.7	100										0.25	0.5	100	0	0	>1
<b>Ciprofloxacin</b>				4.2	70.9	100								1	2	<b>70.9</b>	<b>29.1</b>	0	≥4
<b>Clindamycin</b>			4.2	41.7	87.5	100								1	2	<b>41.7</b>	<b>58.3</b>	0	≥4
Erythromycin			100											≤0.25	≤0.25	100	0	0	>1
Gatifloxacin					100									≤1	≤1	100	0	0	≥8
Gentamicin						100								≤2	≤2	100	0	0	≥16
Levofloxacin				4.2	75	100								1	2	100	0	0	≥8
<b>Linezolid</b>					25	87.5	100							2	4	<b>87.5</b>	<b>12.5</b>	0	≥8
Penicillin		8.3	66.6	100										0.25	0.5	100	ND	0	>1
Quinupristin/dalfopristin			4.2	54.2	100									0.5	1	100	0	0	≥4
Rifampin				100										≤0.5	≤0.5	100	0	0	≥4
Streptomycin												100		≤1,000	≤1,000	ND	0	0	≥1,024
Tetracycline						100								≤2	≤2	100	0	0	≥16
Trimethoprim/Sulfamethoxazole				100										≤0.5	≤0.5	100	0	0	≥4/76
Vancomycin					100									≤1	≤1	100	0	0	≥32
Daptomycin						4.2	58.4	100						4	8	ND	ND	ND	-

406 The gray zone represents the tested concentration range for each antimicrobial from the GPN3F plate.

407 Susceptibility and resistance are indicated as vertical double (sensitive) and single (resistant) lines according to the guidelines for each reference.

408 <sup>a</sup> MIC<sub>50</sub> and MIC<sub>90</sub> are concentrations at which the growth of isolates was inhibited by 50% and 90%, respectively.

409 <sup>b</sup> S, susceptible; I, intermediate; R, resistant.

410 <sup>c</sup> MIC breakpoints are those that were recommended by a previous study (18); Ampicillin, Erythromycin, and Penicillin interpretations are based on (19).

411 <sup>d</sup> ND, not determined

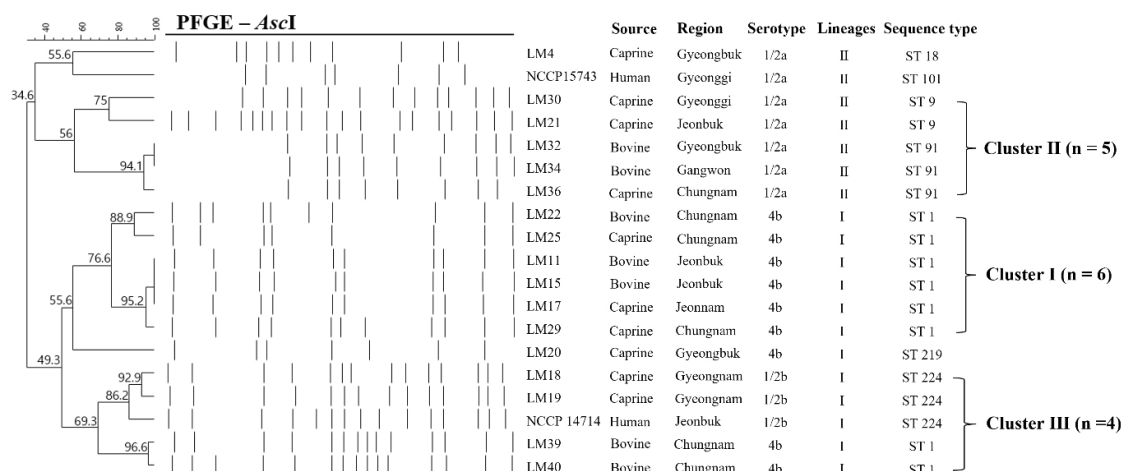


Figure 1. PFGE dendrogram generated using the unweighted pair group method with the arithmetic mean method from *AscI* of *L. monocytogenes* isolates from ruminant listeriosis cases.

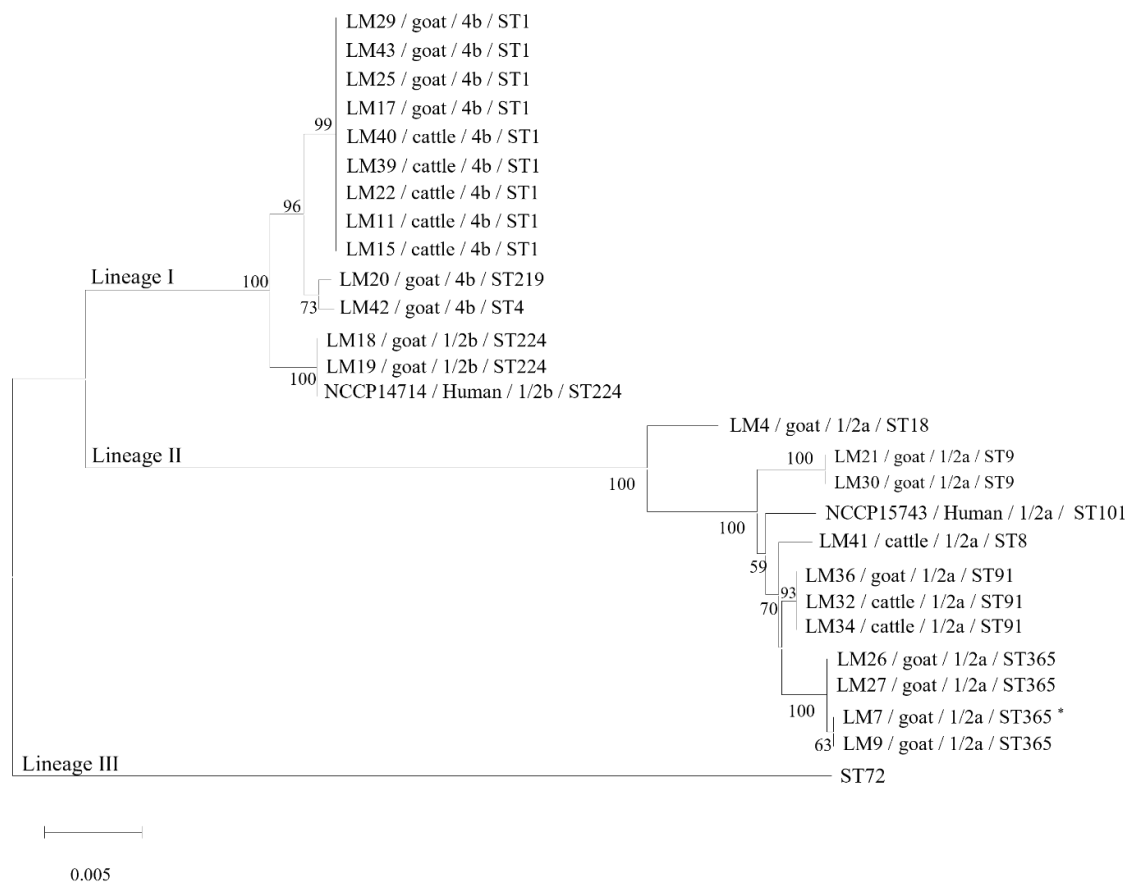


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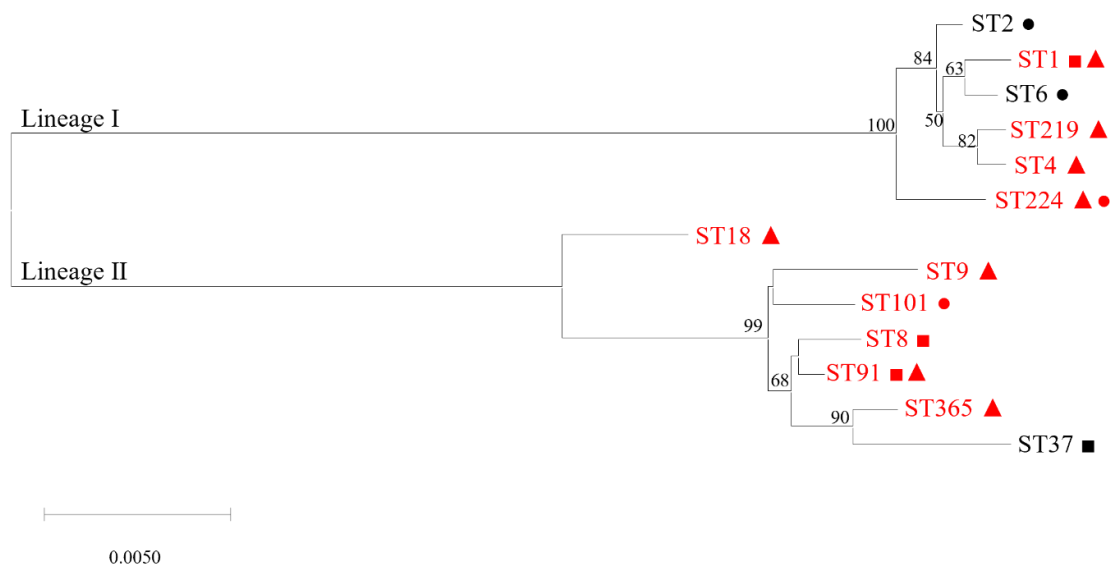


Figure 3. Phylogenetic analysis of concatenated MLST loci using the neighbor-joining method with 1,000 replicates. Sequence types (STs) represented in the phylogeny tree were identified from this study and obtained from the MLST database as references. STs identified in this study are represented in red. STs isolated from bovine, caprine, and human listeriosis cases are indicated with a square (■), triangle (▲), and circle (●), respectively. ST, sequence type.