

1 **Ultraviolet Dosage and Decontamination Efficacy was** 2 **Widely Variable Across 14 UV Devices after Testing a Dried** 3 **Enveloped Ribonucleic Acid Virus Surrogate for SARS-** 4 **CoV-2**

5 **Running title:** UV Decontamination, Enveloped Virus

6 **Tony L. Buhr¹, Erica Borgers-Klonkowski¹, Bradford W. Gutting¹, Emlyn E. Hammer¹,**
7 **Shelia M. Hamilton¹, Brett M. Huhman², Stuart L. Jackson², Neil L. Kennihan¹, Samuel D.**
8 **Lilly¹, John D. Little, Jr², Brooke B. Luck¹, Emily A. Matuczinski¹, Charles T. Miller¹,**
9 **Rachel E. Sides¹, Vanessa L. Yates¹, Alice A. Young¹**

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11 ¹Naval Surface Warfare Center-Dahlgren Division, Concepts and Experimentation Branch
12 (B64), Dahlgren, VA USA

13 ²Naval Research Laboratory (Plasma Physics Division), Washington, DC USA

14 **Correspondence:**

15 Tony L. Buhr, PhD

16 tony.l.buhr.civ@us.navy.mil

17 **Keywords:** Φ 6, enveloped virus, decontamination, ultraviolet (UV), SARS-CoV-2,
18 COVID19

21 **Abstract**

22 **Aims:** The dosages and efficacy of 14 ultraviolet (UV) decontamination technologies were
23 measured against a SARS-CoV-2 surrogate virus that was dried on to different materials for lab
24 and field testing.

25 **Methods and Results:** A live enveloped, ribonucleic acid virus surrogate for SARS-CoV-2 was
26 dried on stainless steel 304 (SS304), Navy Top Coat-painted SS304 (NTC), cardboard,
27 polyurethane, polymethyl methacrylate (PMMA), and acrylonitrile butadiene styrene (ABS) at >
28 8.0 log₁₀ plaque-forming units (PFU) per test coupon. The coupons were then exposed to UV
29 light during both lab and field testing. Commercial and prototype UV-emitting devices were
30 measured for efficacy; 4 handheld devices, 3 room/surface-disinfecting machines, 5 air-
31 disinfection devices, and 2 larger custom-made machines. UV device dosages ranged from 0.01-
32 729 mJ cm⁻². Anti-viral efficacy among the different UV devices ranged from no
33 decontamination up to nearly achieving sterilization. Importantly, cardboard required far more
34 dosage than SS304.

35
36 **Conclusions:** Enormous variability in dosage and efficacy was measured among the different
37 UV devices. Porous materials limit the utility of UV decontamination.

38
39 **Significance and Impact of the Study:** UV devices have wide variability in dosages, efficacy,
40 hazards, and UV output over time indicating that each UV device needs independent technical
41 measurement and assessment for product development, and prior to use.

42
43

44 INTRODUCTION

45 UV light, particularly UV-C, is a known microbe disinfectant for air, water and
46 nonporous surfaces (Anonymous 2021a, Anonymous 2021b). UV-C radiation can only inactivate
47 microbes including viruses if they are directly exposed to the UV light. Therefore inactivation is
48 far less effective if a microbe is associated with soil, dust, oils, any type of host cell debris, or if
49 it is embedded in porous materials (Anonymous 2021a). This is particularly relevant for obligate
50 pathogens like viruses which are naturally associated with host cell components and body fluids;
51 mucus in the case of respiratory virus like SARS-CoV-2 (Stadnytskyi et al. 2020). The
52 effectiveness of UV-C lamps in inactivating environmentally relevant SARS-CoV-2 virus is
53 unknown because there is limited consistent and/or reliable published data about the wavelength,
54 dose, and duration of UV-C radiation required to inactivate the SARS-CoV-2 virus (Anonymous
55 2021a; Anonymous 2021b). This is true of all viruses because UV efficacy is further complicated
56 by the fact that test methods for virus preparation and testing, particularly enveloped viruses, are
57 highly variable among laboratories. Purified enveloped viruses are often tested in laboratories,
58 even though these viruses only exist naturally when associated with host cell components and
59 debris in nature, and they can be compromised during purification. For example, hemagglutinin
60 stabilizes influenza A (Russell 2021) and mucus stabilizes SARS-CoV-2 (Stadnytskyi et al.
61 2020), but both are typically absent from laboratory virus preparations. These stabilizing
62 components can be added to virus, but often are not added, and there are other host cell
63 components that may act as stabilizers as well. Furthermore, based on published measurements,
64 SARS-CoV-2 respiratory droplets are typically 0-1 virions per speech particle, 99.9875-
65 99.9998% mucus, less than 0.013% virus, and the water in SARS-CoV-2 respiratory particles
66 evaporates within seconds to generate dry particles in the respirable size range (Stadnytskyi et al.
67 2020). Enveloped virus is more stable at dry conditions compared to wet environments (Chan et
68 al. 2011; Buhr et al. 2020; Hadi et al. 2020), and drying viruses via lyophilization is frequently
69 used to stabilize virus for long-term storage (Greiff et al. 1954; Greiff and Richtel 1966;
70 Malenovska 2014). Hence tests on wet virus vice dry virus will also greatly impact
71 decontamination kinetics. Rhinotillexis (nose-picking) creates additional environmental loads of
72 infectious virus, which is also composed of mucus mixed with unpurified virus, and varying
73 levels of free water (Hendley et al. 1973; Weber et al. 2008).

74 In addition to methods gaps to define, characterize and standardize SARS-CoV-2 virus
75 debris composition and drying, standardized methods for reproducibly preparing large titers of
76 SARS-CoV-2 for testing without artificial post-harvest cleaning and concentration steps are
77 needed. Furthermore, there were/are urgent needs during the COVID-19 pandemic to test
78 decontamination devices, like UV, in field tests (any test outside of biosafety containment).

79 Viruses that fall under higher World Health Organization (WHO) biosafety level (BSL)
80 classifications such as SARS-CoV-2 (BSL-3) and its BSL-2 surrogate coronaviruses
81 (Anonymous 2020) cannot be widely used in field tests because of cost, time, and safety
82 constraints. For field testing, the enveloped virus surrogate $\Phi 6$ (Bibby et al. 2015; Gallandat and
83 Lantagne 2017; Fedorenko et al. 2020) was previously used to make live/dead $\Phi 6$ test indicators
84 to directly test and compare decontamination efficacy across lab and field tests (Buhr et al.
85 2020).

86 *Pseudomonas* virus $\Phi 6$ is a BSL-1 enveloped RNA virus originally isolated in a bean
87 field as a lytic virus that infects the plant pathogenic bacterium *Pseudomonas syringae* pathovar
88 *phaseolicola* (Vidaver et al. 1973; Van Etten et al. 1976; Mindich 2004). The $\Phi 6$ envelope
89 structure is similar to many other enveloped viruses as the envelope consists of a
90 glycoprotein/protein-embedded lipid membrane and the host cell has similar temperature
91 sensitivity to mammalian cells at around 40°C. This is important since the envelope components
92 are considered a target for inactivation by many different decontaminants including UV light,
93 particularly at 222 nm (McDonnell and Burke 2011; Wiggington et al. 2012; Hadi et al. 2020;
94 Anonymous 2021a). $\Phi 6$ is a 13.5 kb double-stranded (ds)RNA phage (Mindich 2004), and
95 spherical (80-100 nm diameter) with structural similarity to coronaviruses (50-200 nm diameter).
96 The 13.5 kb dsRNA genome, the equivalent of 27 kb of single stranded RNA (ssRNA), is
97 comparable to the 26-32 kb of ssRNA in coronaviruses. In theory, a surrogate virus should have
98 a similar number of adjacent pyrimidines compared to SARS-CoV-2 since pyrimidine
99 dimerization is considered an important mechanism of UV inactivation (e.g. Heßling et al. 2020).
100 Based on pyrimidine target numbers only, $\Phi 6$ (6,613 adjacent pyrimidine pairs) and SARS-CoV-
101 2 (7,600 pairs) should have similar UV sensitivity, although ssRNA may be slightly more
102 sensitive than dsRNA due to the potential for repair of dsRNA by the undamaged strand (Tseng
103 and Li 2005). Hence sequence data alone theoretically implies that $\Phi 6$ inactivation goals should
104 be similar to or slightly more conservative than SARS-CoV-2. Separately, it is currently difficult
105 to compare UV efficacy both within and across different viruses based on existing data because
106 experimental tests are highly variable across different labs and studies (Hadi et al. 2020).
107 Overall, the sequence comparison between the two viruses is likely moot because debris, drying,
108 and porosity of contaminated surfaces have dominant impacts on decontamination kinetics
109 (Anonymous 2021a, Anonymous 2021b), and practical confidence that test methods approach
110 the challenge of field conditions is needed from field testing in order to increase confidence in
111 devices to be employed by end users.

112 The subject of decontamination using UV light has attracted tremendous attention during
113 the COVID-19 pandemic (reviewed in Raiszadeh and Adeli 2020), and numerous products
114 incorporating UV light sources are available on the market to decontaminate air, water and
115 surface materials. Variability in UV devices is extensive and includes differences in electronics,
116 bulbs, power, and product designs. Devices that incorporate UV lights include handheld devices,
117 room decontamination devices and HVAC systems. The distance from light sources at which
118 decontamination/inactivation occurs is also widely variable ranging from a couple of centimeters
119 to a couple of meters. UV light sources also differ and include mercury (Hg), Krypton Chloride
120 (KrCl), Xenon (Xe) and various light emitting diodes (LED), which range in wavelength, and
121 there are several different manufacturers. Additionally, although Hg bulbs are the most common,
122 Hg bulb dosage significantly varies over time after the Hg bulb is turned on, and Hg comes with
123 the risk of toxicity. The variability in these decontamination devices is further complicated by

124 variability in test methods which include different virus preparation methods, tests with
125 unpurified vs. purified virus, tests with wet virus or dried virus, presence of organic debris, and
126 differences in porosity of surface materials. Assessments of UV for decontamination must also
127 take into account maintenance since UV lights need to be cleaned in order to maintain dosage
128 (Anonymous 2021a, Anonymous 2021b).

129 Here $\Phi 6$ was prepared at $>10 \log_{10}$ PFU ml⁻¹ without post-harvest processing or
130 concentration steps, and then dried on to different materials for >24 hours (h) to make BSL-1
131 live/dead enveloped virus test indicators at $\geq 8.0 \log_{10}$ PFU coupon⁻¹. Numerous UV devices
132 were tested in both lab and field trials for both screening and iterative UV product improvement.

133

134 MATERIALS AND METHODS

135 $\Phi 6$ and Host Cell Preparations

136 Virus and host cell preparation was previously described (Buhr et al. 2020). $\Phi 6$ and its host
137 organism *P. syringae* pathovar *phaseolicola* HB10Y (HB10Y), causal agent of halo blight of the
138 common bean, *Phaseolus vulgaris*, were isolated in Spain. Both were a kind gift from Dr.
139 Leonard Mindich at Rutgers University, New Jersey Medical School. HB10Y was prepared by
140 inoculating 100-200 ml of 3% tryptic soy broth (TSB; Fluka PN#T8907-1KG) in a 1-liter (l)
141 smooth-bottom Erlenmeyer flask with a high efficiency particulate air filter cap. Cultures were
142 incubated at $26 \pm 2^\circ\text{C}$, 200 revolutions (rev) minute (min)⁻¹ for 20 ± 2 h. 11.1 ml of 100% glycerol
143 (Sigma PN #G7757-500ML) was added per 100 ml of host culture. Final concentration of
144 glycerol was 10%. One-ml aliquots of HB10Y were pipetted into screw-cap microfuge tubes
145 with O-rings, and stored at -80°C . HB10Y samples were titered prior to freezing by serially
146 diluting samples in 10 millimolar (mM) of 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid
147 (HEPES, Sigma PN#H4034-100G) + 10% Sucrose (Sigma PN #S7903-250G), pH 7.0, and
148 plating on tryptic soy agar (TSA; Hardy Diagnostics, Santa Maria, CA). Plates were inverted and
149 incubated at $26 \pm 2^\circ\text{C}$ for 48 ± 2 h to show titers of $\sim 10^9$ cells ml⁻¹. After freezing, tubes were
150 thawed at room temperature (RT, $22 \pm 3^\circ\text{C}$), serially diluted and plated to show sustained viability
151 after long-term storage at -80°C .

152 $\Phi 6$ was prepared after inoculating broth cultures of HB10Y. A frozen stock prep of HB10Y
153 was thawed at $22 \pm 3^\circ\text{C}$. HB10Y was added either directly from a frozen stock or by transferring a
154 single colony from a streaked TSA plate to 200 ml of 3% TSB in a 1-l smooth-bottom
155 Erlenmeyer flask with a HEPA cap and incubated at $26 \pm 2^\circ\text{C}$, 200 rev min⁻¹ overnight. Cells were
156 then diluted and grown to mid-log-phase. The host flask was inoculated with 0.5-1 ml of $\Phi 6$ at a
157 stock concentration of ~ 11 - $12 \log_{10}$ PFU ml⁻¹. The culture was incubated at $26 \pm 2^\circ\text{C}$, 200 rev
158 min⁻¹ for 24 ± 2 h. The $\Phi 6$ preparation was stored at 4°C until after titering was completed. After
159 titer determination was completed, typically around 11 - $12 \log_{10}$ PFU ml⁻¹, then 1-1.3 ml volumes
160 were aliquoted into 1.5-ml screw-cap tubes with O-rings, inverted and stored at -80°C .

161 Coupon Materials and Sterilization

162 2 centimeter (cm) x 2 cm coupons of different test materials were inoculated with ≥ 8.0
163 \log_{10} PFU $\Phi 6$ virus inoculum (Buhr et al. 2020). Materials for inoculation included stainless
164 steel 304 (SS304), SS304 coupons painted with Navy Top Coat (NTC) (Coatings Group at the
165 University of Dayton Research Institute (Dayton, OH, USA), acrylonitrile butadiene styrene
166 (ABS) plastic, polymethyl methacrylate (PMMA) plastic (keyboard keys from Hewlett-Packard
167 computer keyboards, later replaced with ABS), polyurethane plastic and cardboard. Plastic and
168 SS304 represent non-porous materials. NTC represent semi-porous surfaces found on military
169 ships. Cardboard represents porous materials used in shipping although it is not considered as
170 porous as fabrics or carpeting.

171
172 For sterilization, SS304 and NTC coupons were rinsed with 18 mega-Ohm-cm, de-
173 ionized water, placed on absorbent paper in an autoclave-safe container and autoclaved for 30
174 min at 121°C, 100 kilopascals. Keyboard keys were removed, trimmed, cleaned with soap, then
175 rinsed with de-ionized water and wrapped in aluminum foil. ABS coupons were similarly rinsed
176 with de-ionized water and wrapped in foil. Cardboard coupons were devoid of noticeable debris,
177 flaws, and ink, and were wrapped in foil. After wrapping in foil, the keyboard keys, ABS, and
178 cardboard were all sterilized via hot, humid air at 95°C and 90% relative humidity (RH) for 4 h.
179 Polyurethane coupons, having been pre-cut, were soaked in ethanol to remove ink residue left
180 over from the cutting process. They were then rinsed with de-ionized water, sterilized via
181 immersion in 70% ethanol for greater than 20 min, and allowed to dry. All sterilized coupons
182 were stored in sterile containers until used.

183

184 **Coupon Inoculation and General Test Design**

185

186 Five independent preparations of $\Phi 6$ were removed from -80°C storage and thawed at
187 22±3°C. Working inoculum was prepared by transferring stock $\Phi 6$ into 50-ml conical tubes
188 containing 10mM HEPES + 10% Sucrose pH 7.0 with a final concentration of $\sim 9 \log_{10}$ PFU ml⁻¹.
189 Coupons were inoculated with 0.1 ml of $\Phi 6$ working inoculum, and subsequently held at 22±3°C
190 for greater than 24 h to dry and adhere to the material. The keyboard keys were slightly slanted.
191 Therefore, during inoculation and drying, the keys were positioned in a sterilized surface which
192 was elevated on an incline via slats to provide a level inoculation surface.

193 Once the inoculum had dried onto the coupons, they were exposed to UV light from the
194 candidate devices as described in the below sections. Specific parameters for testing the
195 individual devices varied but coupon number and preparation prior to testing was maintained
196 across all experiments. For each test, five individual coupons were included for each of the test
197 materials (SS304, cardboard, NTC, polyurethane, and either keyboard keys or ABS plastic), each
198 inoculated with one of five independent virus preparations as described above. Extraction and
199 shipping control coupons (inoculated and transported, when necessary, to the testing sites but not
200 exposed to UV light) as well as negative control coupons not inoculated with virus were also
201 included for every experiment. Finally, the $\Phi 6$ virus inoculum used to prepare the coupons was
202 maintained at RT from the date of coupon inoculation through the test and viral titer was
203 measured at the conclusion of test exposures for each experiment. After UV exposure during
204 testing, surviving virus was extracted and quantified as described below.

205 **Spectroscopic Analysis Hardware and Calibration**

206

207 The primary spectrometer used for this work is the Ocean Optics Maya 2000 Pro, which is
208 capable of measuring optical spectra from 180 – 630 nm with an average bin size of 0.22 nm
209 across the measurable spectrum. The distribution is not strictly linear, but can be specifically
210 determined as necessary for data processing. The spectrometer was used with a fiber bundle
211 (BFL200HS02), which incorporates seven $\Phi 200\text{-}\mu\text{m}$ core fibers into a single high-OH package.
212 This enables the measurement of sources with low output so the spectrometer can both retain a
213 high signal-to-noise ratio and enable the use of a cosine corrector (CCSA2) for most
214 measurements.

215 The Maya 2000 Pro spectrometer was calibrated using a Cathodeon R48 Deuterium Lamp,
216 serial number CH5627. The spectral irradiance from this lamp is in units of $\text{mW}\cdot\text{m}^{-2}\cdot\text{nm}^{-1}$ in 5
217 nm intervals from 200 – 400 nm. To perform the calibration, the lamp is mounted vertically and
218 positioned so that a horizontal line through the center of the area to be irradiated passes through
219 the center of the lamp emission area, as well as perpendicular to the lamp window. The
220 calibration refers to the spectral irradiance over an approximately 10 mm^2 area in a vertical plane
221 located at a distance of 200 mm from the outside surface of the output window on the lamp. The
222 lamp is operated from a 300-mA power supply, and must be operated continuously for 30 min
223 prior to recording data on the spectrometer.

224 The spectrometer was mounted on an optical table, with a three-axis linear translation
225 stage (Thorlabs LTS300) used to enable precision alignment between the spectrometer fiber
226 sensor head and the source of interest. The three-axis system is capable of measuring a 300 mm x
227 300 mm x 300 mm volume with computer automation using a process-controlled script via the
228 Thorlabs Kinesis software. The data acquisition software used National Instruments LabVIEW
229 for all aspects except direct control of the translation stages. All of the data was written to a
230 single Technical Data Management Streaming data file for post-processing, which enabled all of
231 the measurements to have a common time base for analysis. Post-processing was accomplished
232 with the Jupyter software environment, with discrete Python code blocks to allow for processing
233 of specific sources as needed. The raw TDMS data file is loaded into a cache file on the
234 processing server, and a series of factors and calibrations are applied to prepare the raw data for
235 analysis. Static measurements are relatively simple, as the position is fixed and no further
236 analysis is required. Sweeps in a two-dimensional space with the translation stages requires
237 synchronization of the position with digital fiducial markers to construct an image of the
238 measured plane at a given distance from the source.

239 **Ultraviolet Devices and Testing**

240 A focus of this work was to provide information for screening field devices and to
241 provide feedback for iterative product improvement. Specific data for prototypes were
242 deliberately omitted since all prototypes were in the process of iterative improvement.

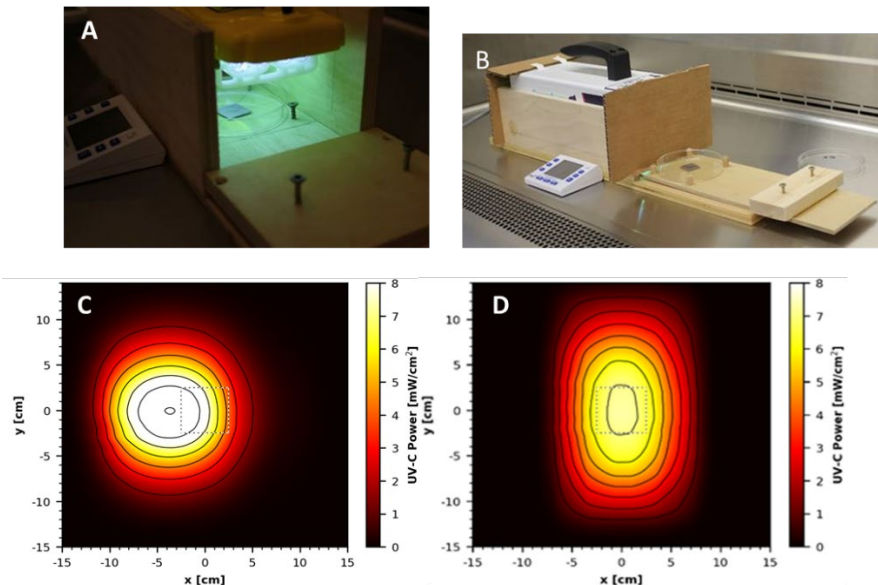
243 Commercial handheld devices (18-watt, 35-watt)

244 Two commercial handheld devices were acquired and tested, each within a custom test
245 apparatus. The first was the GermAwayUV 18W Handheld UV-C Surface Sanitizer (SKU
246 202110, bulb SKU 195317, CureUV, Delray Beach, FL, USA), a 120V/60Hz device containing
247 two 12.7-cm long, U-shaped (Hg) UV bulbs emitting 254 nm UV-C light (**Figure 1A**). An
248 average intensity of 7.61 mW cm^{-2} was measured within a decontamination footprint of 4.47 cm

249 x 5.39 cm at a 5-cm standoff distance from the bulb (heat map of UV coverage is shown in
250 **Figure 1C**). The second device was the GermAwayUV Premier 35W Handheld UV-C Surface
251 Sanitizer (PN14-110-800-100, EPA Product No. 94850-DV-6, CureUV, Delray Beach, FL,
252 USA), 120V/60Hz handheld containing two Hg bulbs that emit 254 nm UV-C light, with
253 reflective material positioned within the unit to enhance UV coverage (**Figure 1B**). The twin
254 tube bulbs spanned a length of 22.5 cm. The 35W device provided an average intensity of 6.95
255 mW cm^{-2} at 5-cm standoff distance from the bulb (**Figure 1D**). The 35W handheld was later
256 discovered to contain ineffective ballasts (P/N 14-110-800-100), which negatively impacted
257 results.

258 For testing the two handheld devices, wooden holding chambers were constructed in
259 which the devices could be placed to provide standardized exposures to test materials. They were
260 designed to hold the UV source 5 cm above the surface of a test coupon, to prevent UV
261 reflection, and to allow coupons to be inserted into the apparatus via a sliding tray for a specified
262 time period of virus inactivation and then promptly removed (**Figure 1A and 1B**). The design of
263 the chambers was the same for the two devices, and only varied in size to accommodate the
264 different dimensions of each device. Because Hg bulbs require a warm-up time to generate
265 consistent dosage, the devices were powered on 30 min prior to testing to warm up and remained
266 powered on for the duration of the test. To prevent potential contamination, the test chambers
267 and devices were wiped down with pH6.8-adjusted bleach prior to being positioned inside a
268 biosafety cabinet (BSC) for testing.

269



271 **Figure 1.** Testing setup and UV coverage for 18W and 35W handheld devices. (A)
272 GermAwayUV 18W handheld device and custom test chamber, shown during a coupon
273 exposure. (B) GermAwayUV 35W handheld device and custom test chamber, shown in the
274 pre/post exposure state. (C) and (D) UV coverage heat maps for the 18W device (C) and the
275 35W device (D) taken 5 cm from the source.

276 The sliding tray was constructed to hold a sterile Petri dish via guides and included a stop
277 bar to ensure that the sample would be consistently positioned directly under the center of the
278 UV source for maximum exposure. A cardboard barrier was placed over the opening of the
279 chamber to prevent premature UV exposure onto test coupons when the materials were outside
280 the test chamber. The plastic lid was removed from the Petri dish prior to UV exposure and the
281 dish was wide enough that the dish edges did not impede UV transmission.

282 A N=5 was tested for each material at each time point. Each of the 5 coupons was
283 inoculated with an independent virus preparation, emphasizing statistical accuracy over
284 precision, and 3 separate exposures were tested for a total N=15. Test chambers held the UV
285 source at a distance of 5 cm from the coupons, with the exception of keyboard keys. The
286 keyboard keys were taller and the distance from the UV bulb was 4.28-4.38 cm. The 18W and
287 35W handheld devices emitted steady state intensities of 10.12 mW cm⁻² and 6.9 mW cm⁻²
288 respectively at the geometric center under the device. Test coupons were exposed to 10 or 20
289 seconds (s) of UV-C radiation from the 18W handheld and 2, 5, or 10 s of UV-C radiation from
290 the 35W handheld. Different exposure times for the two devices were chosen based on pre-
291 experimental predictions that were considered for practical application of the devices in a field
292 setting. Prior to testing it was assumed that 35W radiation would exceed 18W and 10 s was a
293 common time variable for both the 18W and 35W handhelds. During testing, the ambient
294 environment was 22±2°C and 40% RH. The surface temperature within the test chamber reached
295 36°C under the 18W device and 48°C under the 35W device. Following UV exposure, coupons
296 were transferred using sterile forceps to 50 ml conical tubes for extraction.

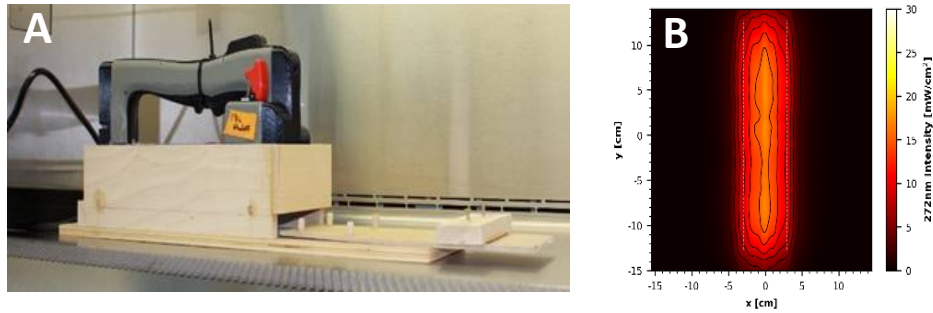
297 Prototype Handheld devices (272 nm LED and 222 nm Lamp Modules)

298 Two additional handheld devices were tested for efficacy of virus inactivation, which
299 were prototypes rather than commercial units. The first prototype was one of two custom 3-D
300 printed proprietary units and featured eight LED strips which emitted 272 nm wavelength UV-C
301 light. The face of the handheld was 320 mm x 100 mm with the LED strips covering 255 mm x
302 60 mm. An average intensity of 12.71 mW cm⁻² was measured within a decontamination
303 footprint of 6 cm x 25.5 cm at 5 cm standoff distance from the bulb (**Figure 2A and 2B**). The
304 second prototype device utilized three 222 nm UV-C Excimer Lamp Modules installed into a
305 2.54 cm thick white plastic panel with power supply. It is important to note that this was strictly
306 an early prototype undergoing iterative improvements, and the UV sources were spaced too far
307 apart for a wand configuration. An average intensity of 1.54 mW cm⁻² was measured at 5 cm
308 standoff distance from an individual module (**Figure 3A and 3B**).

309

310

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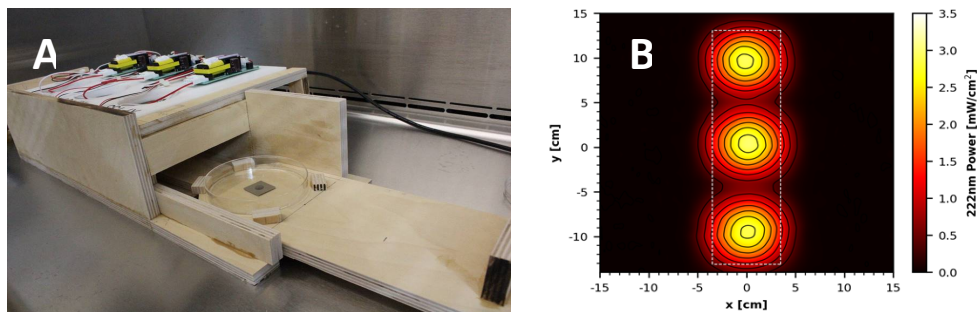


312

313 **Figure 2. (A)** Prototype 272 nm LED handheld inside wooden test chamber. **(B)** UV coverage
314 heat map taken 5 cm from the source.

315

316



317

318 **Figure 3. (A)** Prototype 222 nm Excimer Lamp Module Board inside wooden test chamber. **(B)**
319 UV coverage heat map taken 5 cm from the source.

320

321 The wooden test chambers for the prototype handhelds followed the same design as those
322 for the 18W and 35W devices, with the additional feature of a wooden barrier that removed the
323 need for cardboard to prevent premature UV exposure onto test coupons when the materials were
324 outside the UV chamber. Again, there was a 5 cm vertical standoff distance from the UV light
325 source to the surface of the test coupons. Mimicking the 18W and 35W handheld unit tests, the
326 devices were powered on 30 min prior to testing to warm up and remained powered on for the
327 duration of the test. An Ophir Spiricon Starbright Dosimeter (S/N 949685, P/N 7201580) and
328 sensor (S/N 954282, P/N 7Z02479) were used to confirm that the 222 nm device was on and
329 emitting 222 nm UV radiation, as the design of the prototype did not allow visual confirmation
330 that the light was on after it was plugged in. The test chambers and handheld UV devices were
331 wiped down with pH6.8-adjusted bleach prior to being positioned inside a BSC for testing.

332 A N=5 coupons for each material were tested at each time/dosage with each coupon
333 inoculated with an independent virus preparation. During tests, virus-inoculated coupons were
334 transferred singly to sterile Petri plates and inserted into the test chambers via the sliding tray for
335 timed UV exposures at the geometric center of the handheld device. For the 272 nm device, the

336 cardboard coupons were anchored down using sterile pipette tips due to the large amount of air
337 movement generated by the cooling fans of the device. In the 272 nm prototype, coupons were
338 exposed to a steady state intensity of 15.6 mW cm^{-2} measured at the geometric center of the
339 device with a 5 cm standoff distance. Similarly, the 222 nm prototype emitted an intensity of
340 2.96 mW cm^{-2} at a similar location centered under a single lamp module. Following UV
341 exposure, the coupons were transferred to 50-ml conical tubes for extraction. For both devices,
342 test coupons were exposed to UV-C radiation for 2, 5, or 10 s. For the 272 nm device, the
343 ambient environment during testing was $21 \pm 2^\circ\text{C}$ and 21% RH and the surface temperature under
344 the sterilizer reached $34.7 \pm 2^\circ\text{C}$. For the 222 nm device, the ambient environment was $21.8 \pm 2^\circ\text{C}$
345 and 20% RH and the surface temperature reached $28.3 \pm 2^\circ\text{C}$ within the test chamber.

346 Prototype Mounted Pulsed Xe Unit for Room Decontamination

347 A prototype room-decontamination unit featuring a pulsed Xe UV bulb was tested. The
348 unit consists of a pulsed Xe bulb within a frame intended to be mounted onto a wall, ceiling, or
349 mobile tripod for room decontamination. The UV source emitted a small burst of broad-spectrum
350 light every 6 s with the burst lasting for a short duration. The light spectrum included UV-C,
351 UV-B, UV-A, and violet-blue light. Reflector material was positioned behind the source to
352 enhance UV output.

353 Testing of the modified prototype took place within an enclosure provided by the vendor.
354 The device was mounted at a 2-m, 1-m, and 0.5-m vertical standoff distance above the testing
355 surface. Test coupons were placed below the prototype in sterile petri dishes and aseptic
356 technique was employed to the greatest extent possible while outside of a BSC, to prevent
357 contamination. The coupons contained within Petri dishes were uncovered just prior to the test
358 and re-covered at the conclusion of the exposure times. Independent tests were run for 3
359 exposure times (15, 30, and 60 min), each taken at 0.5-m, 1-m, and 2-m distances from the UV
360 source. These time increments were determined via the recommended cycle lengths from the
361 vendor and corresponded to vendor test data (30 and 60 min only). The device was pre-
362 programmed for 30 min run times, therefore for the 15-min increment, coupons were removed
363 from the enclosure without shutting off the device after 15 min had elapsed from the time of the
364 first flash. For the 60-min cycle, two decontamination cycles were run sequentially.

365 Commercial Rolling Units for Room Decontamination

366 Two commercial rolling units designed for room decontamination were purchased. The
367 first was the Xenex Lightstrike (Model PXUV4D, S/N 002628, Xenex Disinfection Systems, San
368 Antonio, TX, USA), which contained one pulsed Xe bulb (broad spectrum across the germicidal
369 spectrum of 200-315 nm), which extends and retracts at the top of the unit and pulsed at a rate of
370 67 flashes per s. An average intensity of 0.02 mW cm^{-2} was measured at a 1.78 m standoff
371 distance from the bulb, but intensities and dosages at specific wavelengths were not carefully
372 analyzed/dissected since this work was not aimed at correlating specific wavelength dosages
373 within a broad spectrum to kill. The second unit was the Light Emitting Module (“LEM,” Rapid
374 UV-C Disinfection Model R3, S/N 473, 120V/12A, STERILIZ, LLC, 150 Linden Oaks,
375 Rochester, NY 14625-2802), which contained a ring of twenty Hg bulbs with a 41-cm diameter
376 that emitted predominantly 254 nm wavelength UV-C light. The device was tested at an
377 exposure distance of 2.63 m from the center of the Hg bulb ring. The length of exposure was

378 controlled based upon the cumulative dosage recorded via the LEM system dosimeters placed
379 next to the test coupons and targeted for exposures of 60, 100, and 140 mJ cm⁻². Coupons were
380 exposed to an average intensity calculated to be 0.23-0.24 mW cm⁻². Due to the different
381 intensities of the UV sources, the devices were set at different distances from test coupons to
382 achieve similar dosages in an attempt to directly compare the killing efficacy of a broad
383 spectrum light source to a 254 nm source.

384 For testing, the Xe or Hg rolling units were positioned in the corner of a triangular area
385 and non-reflective folding panels were set up to prevent UV light exposure to personnel outside
386 of the decontamination area. Magnets were glued to the underside of test coupons prior to
387 inoculation of virus and a black, non-reflective, metal sheet rack was utilized as a support for the
388 test coupons. The rack was bent into a curved shape in an attempt to maintain a constant UV
389 exposure distance to all coupons. Testing of these two devices required transport of coupons to
390 the testing site, and coupons were transported in 50 ml conical tubes at room temperature.
391 Negative control coupons as well as additional shipping controls (inoculated and transported, but
392 not exposed to UV light) were also included. Conditions in the testing room were not aseptic but
393 care was taken to avoid contamination at each step and coupons were only transferred to and
394 from the metal rack using sterile forceps. After UV exposure, samples were transferred to new
395 sterile conical tubes and transported back to the microbiology lab for virus extraction and
396 quantification.

397 Specific testing conditions differed slightly between the two rolling units. For testing the
398 Xenex Lightstrike, the metal stand holding virus-inoculated test coupons was placed such that
399 the coupon height was between 1.09 and 1.55 m above the ground (approximately parallel to the
400 height of the pulsed Xe bulb) and the distance between the coupons and the UV light source was
401 1.72-1.78 m. Based on preliminary dosage readings, the Xenex Lightstrike did not need a 30-min
402 warm up time. Two time points of 5 and 20 min were tested. Room conditions were measured at
403 23.3±1°C and 74% RH for the first exposure and 25.1±1°C and 22% RH for the second
404 exposure. As the tests occurred in succession approximately 30 min apart, the shift in
405 environmental conditions with the rise in temperature and drop in humidity is speculated to be
406 driven by Xe unit itself. Additionally, the smell of ozone was detected in the air following the
407 completion of each test.

408 For testing the LEM, the metal stand holding virus-inoculated test coupons was placed
409 such that the coupon height was approximately 1.2 m above the ground (parallel to center of the
410 Hg bulbs) and the distance between the center of the ring of UV bulbs to the center of the metal
411 arc with coupons was approximately 2.62 m. The distance between the test coupons and the
412 nearest UV bulb was 2.43m. Testing for this device included three independent exposures of 60,
413 100 and 140 mJ cm⁻² which took 4 min 22 s, 7 min 2 s, and 9 min 33 s, respectively. The
414 exposure conditions were 26±1°C, 38% RH. Ozone level in the room was measured at 0.08 ppm
415 for the LEM, compared to 0.26 ppm for the Xenex Lightstrike (0.1 ppm is the 8-h Occupational
416 Safety and Health Assessment (OSHA) limit).

417 Prototype Medium Conveyor

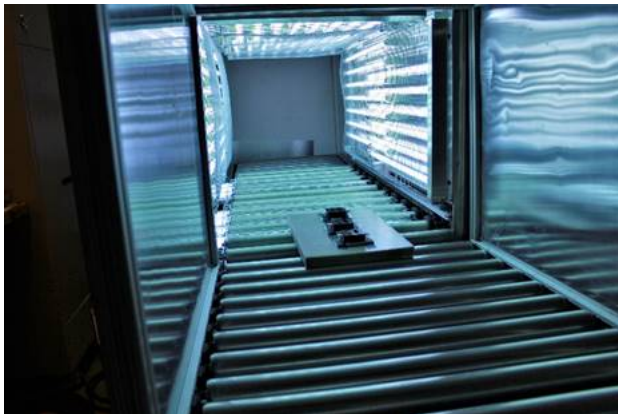
418 The prototype medium conveyor featured a chamber measuring 2.03 m long x 0.78 m
419 wide x 0.69 m tall that was lined on all interior surfaces with UV-C emitting (254 nm) Hg bulbs,

420 including below the powered rollers (**Figure 4**). Testing of this device required transport of
421 coupons to the testing site, and coupons were transported in 50 ml conical tubes at room
422 temperature. Negative control coupons as well as additional shipping controls (inoculated and
423 transported, but not exposed to UV light) were also included.

424 Two rounds of testing were performed with the conveyer device, with slight differences
425 in experimental setup and UV dosages. For both rounds, dosimeters were first used in trial-and-
426 error runs to determine the required run-through time to reach the target UV exposures. The
427 dosimeters used were Roithner LaserTechnick GmbH GIVA-S12SD dosimeters from Vienna,
428 Austria, with dimensions of 4.3-cm x 3.5-cm x 1.8-cm. In the first round of experiments, three
429 dosimeters were horizontally taped to a 2% polyethylene board (46.7-cm x 28.6-cm x 2.54-cm)
430 and were sent through the conveyor to get dosage readings based on exposure time (**Figure 4**).
431 After target exposure times were determined, coupons were placed inside sterile Petri dishes and
432 set on the same polyethylene support board before exposure in the conveyer. The first round of
433 testing included exposures of 60 mJ cm⁻² (22 s), 100 mJ cm⁻² (32 s), and 140 mJ cm⁻² (44 s).
434 Conditions within the conveyer for this round were 27.7 °C, 63.2% RH, 0.09 ppm ozone.

435 In the second round of testing, the initial runs were again dosimeter-only to determine
436 exposure times to reach the targeted UV dosages. The same dosimeters were used, but this time
437 they were placed on a ceramic tile (~45.7-cm x 45.7-cm). During testing, coupons were placed
438 directly on the ceramic tile support to prevent the sides of the Petri dishes from blocking any UV
439 light from reaching the coupons. Test conditions were 17.3±1°C and 20.1% RH. Ozone reading
440 was not captured since the ozone reader was unavailable.

441



442

443 **Figure 4.** Dosimeters traveling down conveyor to determine exposure times for target UV dosages.

444

445 Prototype Big Box UV Chamber for Pallets

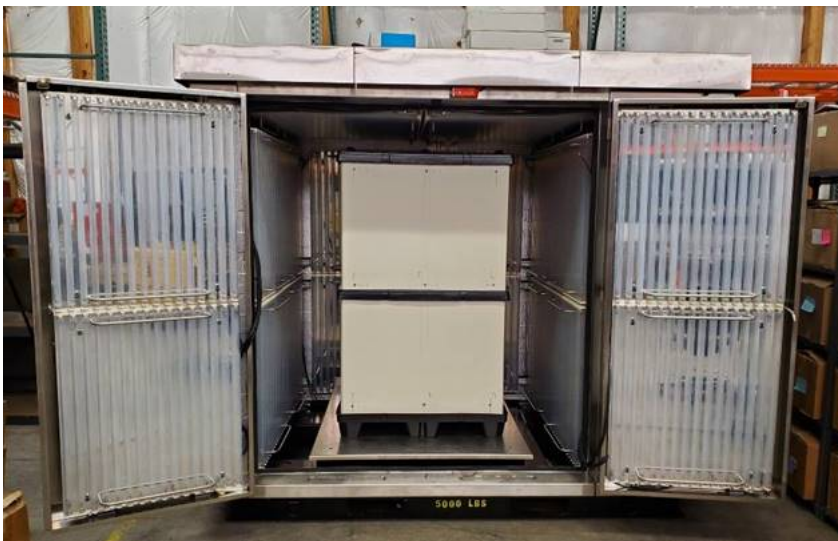
446 A prototype Big Box UV Sterilizer, a proprietary UV-C decontamination device, was
447 acquired for virus inactivation testing. The outside dimensions were 2.74-m x 2.24-m x 2.4-m
448 with an interior large enough to accommodate a recommended maximum load with dimensions

449 of 1.21-m wide x 1.21-m long x 1.52-m tall. Max interior load was 1,134 kg. The interior was
450 lined on five surfaces with a total of 320 T8 Hg bulbs, each measuring 0.9 m long and emitting
451 254 nm UV-C light. A double-stacked pallet mock-up of dimensions 1.02-m x 1.1.22-m x 1.64-
452 m was placed within the UV chamber (**Figure 5**), centered from left to right and positioned up
453 against the rear backstop on the base of the chamber.

454 During testing, coupons were placed in Petri dishes on top of the pallet in five separate
455 locations, with lids removed prior to exposure. The UV chamber doors were closed, and the
456 chamber was operated via a pre-programmed cycle set to run for 2 min followed by a 30 s
457 exhaust. After UV exposure, the coupons were recovered and the surviving virus was extracted
458 and quantified. There was a single combined 2 min exposure test run for all coupons except for
459 ABS plastic coupons, which was tested for 2 min on a separate test day. Room temperature
460 extraction control samples were transported to and from the test location along with test
461 coupons. Peak ozone generated was 0.36 ppm which is purged prior to opening the doors.

462

463



464

465 **Figure 5.** Prototype Big Box UV-C Chamber with a double stacked pallet.

466

467 Prototype Fixed UV Devices for Room Decontamination

468 Three prototype devices were also tested that were intended to be installed on the ceiling
469 or wall to provide viral decontamination of the air. These devices followed the same general
470 concept but differed slightly in design and were tested in iterations that featured different UV
471 light sources (Hg and KrCl bulbs). Test setup for these devices was largely similar to the
472 previous devices, with each test being carried out for five coupons, each inoculated from one of
473 five independent viral preparations. Sterile control and extraction control coupons were also
474 included. However, only one coupon material was tested for each device. Because the purpose of

475 these devices is air decontamination, the specific test material employed here was not
476 particularly important so long as the material was non-porous with high extraction efficiency and
477 the materials provided no additional decontamination properties. SS304 was initially used for
478 testing, and was later replaced by quartz glass in one case as it allows greater UV transmittance
479 to maximize the surface area that would be exposed to UV light, similar to the way air particles
480 would be exposed at all angles to direct or reflected UV-C light. Tests were carried out for 5, 10,
481 and 15 s for each device, though the distance from the UV source differed for each device as
482 described below. For all experiments, the devices were powered on for at least 30 min prior to
483 testing to mitigate any start-up fluctuations in UV output.

484 Prototype Device A (Hg bulb and KrCl bulb iterations)

485 Prototype device A featured an internal UV light source within an enclosed chamber.
486 Fans controlled flow into the chamber where air was exposed to UV-C radiation and then
487 exhausted through vents opposite from the fans. The first prototype contained two Philips TUV
488 15W/G15 T8 mercury bulbs emitting 254 nm UV-C light. Three fans were mounted in the device
489 to provide airflow at 3,030 l min⁻¹ total through an effective inner volume of 24.64 l. This leads
490 to a residence time of 0.49 s that air will be exposed to UV radiation within the upper chamber.
491 Exposures were at 5-cm, 10-cm, and 15-cm from the UV source.

492 The second iteration of device A replaced the dual Hg bulb with a single, custom KrCl
493 excimer bulb from Far-UV Sterilay that emitted 222 nm UV-C, with the goal of developing a
494 device with good decontamination efficacy that also posed less of a hazard to personnel exposed
495 to the light source. The modified device A also included Teflon reflective surfaces to resist dirt
496 build-up and provide reflectance of the UV-C light. High purity non-crystalline-fused silica glass
497 plates, also called quartz glass, were added to channel airflow parallel to the UV-C source and
498 increase the total contact time between contaminated air and the UV-C light. This increased the
499 total UV dosage applied to air in the unit, thereby providing greater efficacy. The modified
500 prototype device featured three fans providing 1,700 l min⁻¹ of airflow each into the unit. One fan
501 is always operated with the UV-C power switch. Two additional power switches are present for
502 each additional fan, therefore, the device can operate at 1,700, 3,400, and 5,100 l min⁻¹ airflow.
503 The effective interior volume was 24.33 l.

504 The efficacy of the UV light source within the modified device A (KrCl bulb) was tested
505 with the lid attached. Inoculated quartz glass test coupons were placed individually into a tray
506 and slid inside the unit through slots cut in the frame. Slots were cut at set distances of 4, 10, and
507 20 cm from the center of the UV bulb. These distances were aligned to prominent design features
508 in the box. The 4-cm test distance (4-cm from the center of the bulb or 2-cm from the edge of the
509 bulb) aligned to an average distance from the bulb in the middle or second air flow channel. The
510 10-cm distance aligned to the outer channels just behind the quartz glass, and the 20-cm distance
511 also aligned to the outer channels behind the glass at the furthest distance within the device
512 where air would be exposed to UV-C.

513 Prototype Device B (Hg bulb and KrCl bulb iterations)

514 Prototype device B featured a single UV-C source in an open-ended unit. Fans directed
515 airflow into the underside of the unit, and air then exited the frame under and past the UV-C

516 source and then out into the surrounding room air. As with device A, two iterations of the design
517 were tested. The first iteration contained one Philips TUV PL-L 36W/4P Hg bulb. Device B
518 was designed to be mounted to a wall and featured one fan to draw air upwards from underneath the
519 device and exhaust out the top and upper sides. It required a mounting height of 2.15 m in order
520 to ensure that no humans or pets are exposed to the UV-C light coming out the sides of the
521 device. Test exposures for this device were conducted at 5-cm, 10-cm, and 15-cm from the UV
522 source for 5, 10, and 15 s each. Coupons were placed in plastic Petri dishes with lids removed for
523 exposures.

524 The second version of device B contained one KrCl excimer bulb emitting 222 nm UV-C
525 light, the same bulb as in the second iteration of device A. With replacement of the 254 nm Hg
526 bulb with 222 nm UV emission, it no longer had the strict requirement of a 2.15 m mounting
527 distance, according to the prototype developer. However, 222-nm UV light exposure were still a
528 concern for Navy personnel. Device B contained limited Teflon as a reflective surface placed
529 near the bulb to direct and concentrate light outward. Unlike device A, device B does not feature
530 a closed compartment where reflectivity with the Teflon can occur (substantially removing that
531 potential for an increase in applied dosage). The device featured a recessed UV compartment
532 between 10-15 cm deep with cross sectional area 38.7-cm x 11.4-cm. The compartment was
533 angled upward at approximately 45° from vertical to exhaust air and provide continuous UV
534 exposure of ambient air. The average measured airflow at the compartment outlet was 2,237 l
535 min⁻¹. Test exposures for this device were conducted at 5, 15, and 30.5, 61 and 122 cm from the
536 UV source for 5, 10, and 15 s each. Coupons were placed in plastic Petri dishes with lids
537 removed for exposures.

538 Prototype Device C (Hg bulb type only)

539 Prototype device C followed a similar concept to device B, with a slightly different
540 configuration and form factor. It was designed to be mounted to a wall and featured one Philips
541 TUV 36W/G36 T8 Hg bulb and two internal fans, with the fans placed to draw air upwards
542 through the unit to exhaust out the top and upper sides. Like device B, it requires a mounting
543 height of 2.15 m in order to ensure that no humans or pets are exposed to the UV-C light coming
544 out the upper sides of the device. Test exposures for this device were conducted at 5, 10 and 15
545 cm from the UV source for 5, 10, and 15 s each. Coupons were placed in plastic Petri dishes with
546 lids removed for exposures.

547 **Φ6 Extraction from Coupons and Plating**

548 An overlay procedure for Φ6 was previously described (Buhr et al. 2020). For Φ6
549 extraction from materials (coupons), 5 ml of 10mM HEPES + 10% sucrose pH7 were added to
550 each conical tube with a virus-inoculated coupon and vortexed for 2 min. After vortexing, 5 ml
551 of HB10Y log-phase culture (confirmed with real-time Coulter Multisizer analysis) were added
552 and allowed to infect at RT for 15 min, followed by 2 min of vortexing. Each sample was serially
553 diluted, from -2 to -6, in 900 μl of 10 mM HEPES + 10% sucrose pH7. For each Φ6 dilution,
554 from -1 to -6, 200 μl were transferred into individual tubes containing 200 μl log-phase HB10Y.
555 Then 200 μl of those Φ6/HB10Y mixtures were added to individual TSB overlay tubes, poured
556 onto individual TSA plates and allowed to solidify for ≥30 min. Additionally, 1,000 μl was
557 transferred from the 50 ml sample conical tube directly to a TSB overlay tube, and the remaining

558 8.3 ml was poured onto two TSA plates, and also allowed to solidify for ≥ 30 min. Solidified
559 plates were then inverted, incubated for 20 ± 2 h at 26°C and quantified. Plates were incubated
560 an additional 24 h, RT and quantified a final time.

561 Quantitation and calculations of survival were performed as previously described (Buhr
562 et al. 2020). An important difference between virus and prior spore quantitation is that virus and
563 spore inoculum dried on to coupons was stable. However, titers of virus controls stored in
564 solution were unstable and highly variable. Therefore, virus inoculation titers was defined as
565 100% extraction, or maximum recoverable virus, and used to calculate extraction efficiency for
566 each material. This is a key difference compared to spore quantitation because spores are stable
567 in non-nutrient aqueous solution at temperatures up to at least 65°C (Buhr et al. 2012).

RESULTS

568 To increase confidence in decontamination results and to conservatively estimate
569 decontamination requirements for enveloped virus in its native state, enveloped virus test
570 coupons were prepared to be protected similar to a natural virus without interfering with the
571 virus assay. Respiratory illnesses are typically caused by particles within the $0.5\text{-}6\ \mu\text{m}$ size range
572 since particles of these sizes aerosolize well and effectively adhere within the lungs (e.g. Hofer et
573 al. 2021). A typical infectious dried particle of this size usually only contains 0-10 live virions,
574 while the remainder of the particle ($>99.9\%$) is primarily composed of salt, mucin glycoprotein
575 (in human airway mucus, 75-90% carbohydrate), and a minor amount of surfactants (Williams et
576 al. 2006; Vejerano and Marr 2018, Hadi et al., 2020, Stadnytskyi et al. 2020). Thus, $\Phi 6$ virus
577 was unpurified to maintain natural stabilization with host cell debris, and was diluted in a 10%
578 sucrose solution to mimic the presence of carbohydrates in mucus without inhibiting the
579 decontamination assay (Brakke 1951, Malenovska 2014, Buhr et al. 2020, Hadi et al. 2020,
580 Stadnytskyi et al. 2020). In addition, enveloped virus was dried on coupons prior to testing since
581 SARS-CoV-2 respiratory particles evaporate within seconds to generate dry particles, and drying
582 on fomites is also historically documented as a route of infection for enveloped virus (Fenn 2001,
583 Malenovska 2014, Hadi et al. 2020, Stadnytskyi et al. 2020).

584 Enveloped virus stability had been confirmed previously: purified virus was unstable, but
585 unpurified virus was stable and could be stored dried onto coupons for at least 2 weeks prior to
586 extraction (Buhr et al 2020). Furthermore, there was no $\Phi 6$ inactivation after unpurified virus
587 was dried onto different surfaces for at least 24 h, RT followed by a 10 d exposure to 26.7°C at
588 80% RH, and only $2.4\ \log_{10}$ inactivation was seen after treatment at 70°C , 5% RH for 24 h (Buhr
589 et al 2020). More work will be needed to confirm that $\Phi 6$ and SARS-CoV-2 are stabilized
590 similarly in the presence of carbohydrates and mucus, and after drying, but the first challenge is
591 to generate sufficient SARS-CoV-2 virus to match the titers (and statistical confidence) of the $\Phi 6$
592 tests. This goal has not yet been met. In addition, neither SARS-CoV-2 nor BSL-2 virus field
593 testing is likely to happen with regularity.

594
595 The original quantitative objective was to show enveloped virus inactivation of $\geq 7\ \log_{10}$
596 out of a $\geq 8\ \log_{10}$ challenge. This challenge level was set because measurements with high
597 concentrations of microbes greatly increase the confidence in inactivation and mitigate the risk

598 of incomplete decontamination (Hamilton et al 2013). Furthermore, an individual highly infected
599 with SARS-CoV-2 can emit $>8 \log_{10}$ virus particles in a 24 h period based on published data, and
600 coronavirus nasal swabs showed $>8 \log_{10}$ virus per swab as calculated using a PCR assay (Leung
601 et al. 2020; Stadnytskyi et al. 2020). High challenge levels also increase confidence since
602 exposure limits (infectious dosages) are not well defined for many viruses such as SARS-CoV
603 and SARS-CoV-2. UV light does not fall under the United States Environmental Protection
604 Agency (EPA) jurisdiction for disinfection claims since it is not classified as a chemical
605 disinfectant. However, for this study, the inactivation goal was reduced from $7 \log_{10}$ to $3 \log_{10}$
606 inactivation during the COVID-19 pandemic to match the EPA N-list for decontaminants. This
607 was helpful because inactivation numbers for sanitation, disinfection and sterilization could be
608 used for technical assessments (Rutala et al. 1996). The $\geq 8 \log_{10}$ challenge was maintained to
609 meet confidence requirements for end users. This also met the goal of previous work where a ≥ 7
610 \log_{10} virus challenge was a threshold and $\geq 8 \log_{10}$ virus challenge was an objective (Buhr et al.
611 2014).

612 UV Handheld Devices

613 Two commercial handheld UV devices, the GermAway 18W and 35W handheld
614 sanitizers, and two prototype handheld UV devices, a 272 nm LED prototype and a 222 nm
615 prototype, were tested. The dosage and virus inactivation results are summarized in **Tables 1**
616 (\log_{10} reduction) and **2** (\log_{10} survival). Dosages and virus inactivation were measured at a 5 cm
617 distance, which was considered a reasonable, practical distance for a handheld device used to
618 scan over surfaces. The keyboard keys were slightly taller and closer to the light source. Thus,
619 the dosage on the keys was slightly greater than the other materials but no dosage calculations
620 were made specifically for those keys.

621
622 To evaluate the efficacy of the devices, a minimum of $3\text{-}\log_{10}$ inactivation was targeted,
623 which is equivalent to a 99.9% reduction and corresponds to the current EPA requirements for
624 chemical disinfection. A 10 s exposure with the GermAway 18W unit failed to meet the $\geq 3 \log_{10}$
625 inactivation threshold for all tested materials. A 20 s exposure successfully achieved a greater
626 than $3 \log_{10}$ inactivation out of an $8.2 \log_{10}$ virus challenge on SS304, NTC, keyboard keys, and
627 polyurethane but failed to meet the $3 \log_{10}$ inactivation threshold on cardboard.

628
629 The GermAwayUV 35W handheld sanitizer failed to meet the $\geq 3 \log_{10}$ inactivation
630 threshold out of an $8 \log_{10}$ PFU virus challenge on all five materials for all three exposure
631 durations, achieving less than $2 \log_{10}$ PFU inactivation. The GermAwayUV 35W handheld
632 sanitizer delivered lower dosage than the 18W handheld despite nearly double the power. Hence
633 there was no correlation between power and dosage/efficacy, and the importance of measuring
634 every device was apparent.

635
636 The 272 nm LED prototype successfully achieved a $\geq 3 \log_{10}$ PFU inactivation out of an
637 $8.5 \log_{10}$ PFU virus challenge for SS304 at 2, 5, and 10 s, for ABS at 5 and 10 s, and for NTC
638 and polyurethane at 10 s. The hardest, smoothest material was SS304 and it showed the greatest
639 \log_{10} reduction at all 3 time points. Cardboard showed the lowest inactivation rate with no
640 treatments providing $\geq 3 \log_{10}$ PFU inactivation. Overall, the 272nm LED prototype showed
641 significantly greater virus inactivation compared to the 18W and 35W handheld commercial
642 devices.

643
644 The 222nm Excimer UV prototype failed to achieve a $>3 \log_{10}$ inactivation out of an 8.5
645 \log_{10} virus challenge for all 5 materials tested, making it the least effective of the four handheld
646 devices tested. Further testing with longer exposure times might produce results passing the ≥ 3
647 \log_{10} inactivation threshold. From a practical standpoint this data showed that this 222 nm
648 prototype had poor efficacy and very limited utility. Since this was a prototype, iterative
649 improvements can be made to improve performance of this device.

650 Room Decontamination Devices

651 Results for a mounted prototype containing a pulsed Xe bulb are shown in **Tables 1**
652 (\log_{10} reduction) and **2** (\log_{10} survival). This device emitted broad-spectrum light in pulses
653 occurring every 6 s, with the duration of each pulse measured at 0.489 s, and the majority of the
654 dosage applied over the first few milliseconds of that time. Because of the broad spectrum
655 nature, the UV dosage could not be confidently measured. This device demonstrated measurable
656 efficacy at 0.5 m for 60 min and the results were best on non-porous materials. Efficacy was very
657 limited at 1 and 2 m and shorter exposure times, particularly on porous cardboard, followed by
658 semi-porous NTC. As usual, the best efficacy was on the smooth surfaces; plastic and SS304.

659 Results for the Xenex Lightstrike unit with pulsed Xe UV bulb are shown in **Tables 1**
660 and **2**. The Lightstrike emitted pulses at a rate of 67 per s. Test 1 for 5 min occurred at
661 environmental conditions of $23.3 \pm 1^\circ\text{C}$ and 74% RH. Test 2 for 20 min occurred at $25.1 \pm 1^\circ\text{C}$ and
662 22% RH. The 2 tests occurred in succession approximately 30 min apart, and the smell of ozone
663 was detected in the air following the completion of each test. Additionally, the Xenex did not
664 require a warmup time in contrast to devices with Hg bulbs. The Xenex Lightstrike failed to
665 achieve a $\geq 3 \log_{10}$ inactivation out of an 8.4 \log_{10} PFU virus challenge for all 5 materials tested.

666 Results for the LEM with Hg bulbs are shown in **Tables 1** and **2**. The LEM successfully
667 achieved a $\geq 3 \log_{10}$ PFU inactivation out of an 8.4 \log_{10} PFU virus challenge for SS304 at all
668 three dosages, for polyurethane at the higher two exposures, and for NTC and keyboard keys at
669 the highest dosage only. It failed to meet the $\geq 3 \log_{10}$ PFU inactivation threshold for cardboard at
670 all three exposure levels.

671 Prototype Medium Conveyer

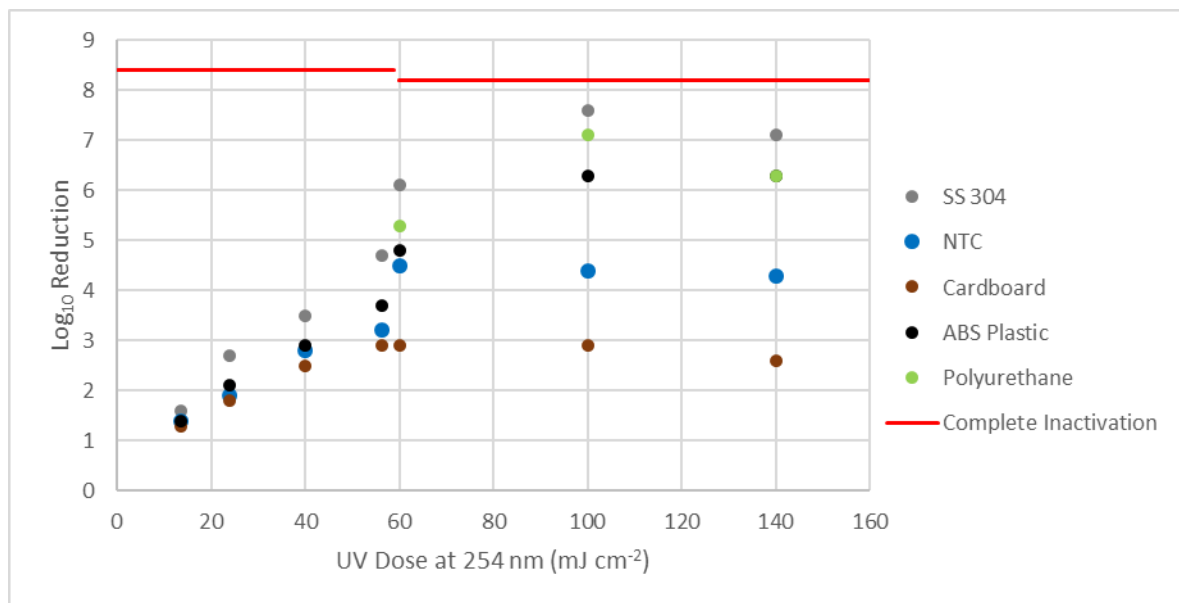
672 Two rounds of testing were carried out for the prototype medium conveyer with Hg
673 bulbs, with each round varying in dosages tested and in the method of exposing the test coupons
674 (see Methods section for this device). The dosages over time were not perfectly linear. The
675 dosage variability over time might have been variability in dosimeter readings and/or variability
676 in Hg bulb dosages after warmup. Test results are shown in **Tables 1** and **2**. During round 1
677 testing at 60 mJ cm^{-2} (20 s), 100 mJ cm^{-2} (32 s) and 140 mJ cm^{-2} (44 s), the conveyer
678 successfully achieved a $\geq 3 \log_{10}$ PFU inactivation out of an 8.2 \log_{10} PFU virus challenge for all
679 three exposure times on SS304, NTC, ABS plastic, and polyurethane, with slightly higher
680 inactivation results for ABS plastic and polyurethane at the higher two treatments. It failed to
681 meet the $\geq 3 \log_{10}$ PFU inactivation threshold on cardboard for all three exposure times.

682 For round 2 of testing, the dosages measured during testing were 13.7 mJ cm^{-2} (8s), 23.8
683 mJ cm^{-2} (16s), 40.0 mJ cm^{-2} (24s), and 56.2 mJ cm^{-2} (32s). Regardless of dosage variability, the

684 conveyer successfully achieved a ≥ 3 \log_{10} inactivation out of an 8.4 \log_{10} virus challenge for
685 SS304 at 24 and 32 s, for NTC at 32 s, and for ABS plastic at 32 s time points. For all other
686 materials and round 2 exposure times, it failed to reach the ≥ 3 \log_{10} PFU threshold inactivation.

687 **Figure 6** plots the \log_{10} reduction from the conveyer against dosage on the different
688 materials. The conveyor produced UV dose-dependent inactivation at lower dosages (13.7-56.2
689 mJ cm^{-2}), but inactivation leveled off across all surfaces tested at higher dosages (60-140 mJ cm^{-2}).
690 mJ cm^{-2}). The size of the shielded virus population was dependent on material porosity since the
691 highest level of inactivation was observed on non-porous SS304, followed by polyurethane, ABS
692 plastic, NTC, and then porous cardboard. In addition, an additional sub-population of virus
693 protected by debris was shielded from exposure to radiation because of the presence of host cell
694 debris as indicated by a flattening of the kill rate across all the materials including smooth
695 SS304. That sub-population of debris-complexed virus manifest may manifest higher resistance
696 to the damaging effects of the UV radiation because of both shielding and drying; it is widely
697 known that UV damage produces covalent bonds in nucleic acid and biochemical reactions
698 involving bond formation typically require a solvent like water.

699



701 **Figure 6.** Prototype Medium Conveyor \log_{10} reduction.

702

703 Prototype Big Box UV Chamber

704 Results for the prototype Big Box UV sterilizer are shown in summary **Tables 1 and 2**.
705 A large double-stacked pallet mock-up was set inside the Big Box UV sterilizer. Coupons were
706 then set on top of the plastic and cardboard mock-up for UV exposure, and the distance from
707 virus-inoculated coupon to the nearest Hg bulbs on the chamber ceiling was 16.5 cm. The
708 dosages varied significantly at different locations in the box resulting in a dosage range of 377-

709 729 mJ cm⁻² for the test materials. Virus inactivation test results after UV treatment of 8.4 log₁₀
710 PFU of enveloped virus deposited per coupon (8.2 log₁₀ PFU for ABS plastic) showed a ≥5 log₁₀
711 PFU inactivation for SS304, polyurethane, and ABS plastic and a ≥3 log₁₀ PFU inactivation for
712 NTC and cardboard. As for all other devices, the hardest, smoothest material (SS304) was most
713 effectively treated while the most porous material (cardboard) was hardest to decontaminate.

714 Overall, the prototype Big Box chamber showed higher virus inactivation compared to
715 almost all other devices, corresponding to the significantly higher UV dosage achieved with the
716 large number of Hg bulbs in the chamber. The data highlights the overall limitations of UV
717 technology to provide complete virus inactivation since virus sterilization was not achieved
718 despite a large, powerful system featuring a total of 320 Philips T8 Hg bulbs.

719 Prototype Fixed UV Devices for Air and/or Surface Decontamination

720 Devices intended for air decontamination represent a challenge because methods to
721 mimic actual respiratory enveloped virus have yet to be developed. While there are nebulization
722 protocols for wet purified virus, these methods have little practical relevance for field testing of
723 environmentally relevant SARS-CoV-2 virus where the virus is protected by mucus (the surface
724 of which primarily consists of carbohydrate), the infectious particles only consist of ≤0.13%
725 virus, and the infectious 4 μm particles are dry, not wet (Hadi et al. 2020; Stadnytskyi et al.
726 2020). For the purposes of this work, the methods for field testing on virus-inoculated surfaces
727 were maintained in order to comparatively screen and assess the effectiveness of the UV bulbs
728 used in the different prototypes, particularly since there was so much variability in dosage and
729 efficacy among different UV sources up to this point. This approach helped with iterative
730 assessments and prototype improvements.

731 Mounted Prototypes A, B (Hg bulb and KrCl bulb prototypes), and C (Hg bulb type only):

732 Results for the mounted prototype A, B and C are shown in **Tables 3** (log₁₀ reduction)
733 and **4** (log₁₀ survival). For the original prototype A with the Hg bulbs, there was minimal log₁₀
734 reduction at different distances and times against virus-inoculated SS304. A modified prototype
735 version with a greatly optimized internal configuration and a KrCl bulb was tested against virus-
736 inoculated quartz glass. Time and cost restrictions prevented a test on virus-inoculated SS304.
737 The modified prototype A unit was determined to require approximately 5 min for the UV-C
738 output to stabilize. The device was verified to emit a peak wavelength of 222 nm with a slight
739 spike at 252 nm likely from the SiO₂ glass casing of the bulb (data not shown). This modified
740 prototype A unit showed a significant improvement over the original prototype. There were too
741 many significant changes between the first and second prototypes to isolate any single variable
742 as the primary reason for the improved efficacy.

743 For the original prototype B with a Hg bulb, there was minimal log₁₀ reduction at
744 different distances and times against virus-inoculated SS304. A modified prototype B with a
745 KrCl bulb emitting 222 nm UV was tested. Test results showed worse efficacy results than the
746 original prototype B. Overall, this device was the least effective of the wall-mounted prototypes,
747 and it was not modified as extensively compared to the modified prototype A. The 222 nm KrCl
748 bulb clearly did not improve efficacy in this prototype.

749 Prototype C had the least favorable design, and given its low efficacy, it was not pursued
750 for modification.

751

752 **DISCUSSION**

753 The focus of this research was to establish reference test methods for UV
754 decontamination of enveloped virus, and to both assess and accelerate improvements in UV
755 devices. $\Phi 6$ was selected as a BSL-1, enveloped RNA virus test indicator for both lab and field
756 tests. $\Phi 6$ has been widely used as an enveloped virus surrogate (de Carvalho et al. 2017). It
757 bears structural similarity to many other enveloped viruses including coronaviruses, suggesting
758 that the $\Phi 6$ structure should be similarly susceptible to general decontaminants. Furthermore, the
759 structural molecules of the virus are produced by host cells with temperature sensitivity at around
760 40°C, further suggesting that $\Phi 6$ should be similarly susceptible to general decontaminants as
761 animal coronaviruses. The capabilities for measuring UV efficacy using both physics-based
762 equipment and live, enveloped virus test indicators allowed standardized test measurements in
763 both lab and field tests to directly compare the different UV devices.

764 The UV test results here showed that high UV dosages are needed to inactivate enveloped
765 virus protected by environmental debris, and porous materials are difficult to decontaminate,
766 particularly in comparison with purified virus alone. These limitations of UV light are well
767 documented by regulatory agencies and those limitations also apply to SARS-CoV-2
768 (Anonymous 2021a and 2021b). Nonetheless, UV efficacy was measurable and very high
769 dosages were effective even on relatively porous materials like cardboard. It is unlikely that UV
770 would be useful for highly porous fabrics used to make bags, carpeting and clothing, and those
771 were not tested. In contrast, hot, humid air inactivates dirty microbes with similar kinetics
772 regardless of material porosity (e.g. Buhr et al 2012, 2015, 2016, 2020). This is a hallmark
773 difference between highly penetrative decontaminants and a surface decontaminant like UV.

774 The prototype medium conveyer generated the highest virus inactivation per
775 dosage. Inoculated coupons were exposed to UV-C light on three sides since the coupons were
776 set on a flat surface during exposure in the conveyer. The big UV box also generated high levels
777 of virus inactivation, but the medium conveyer was highest efficacy dose⁻¹. In contrast the
778 handheld devices, pulsed Xenon devices, LEM, and the original prototypes A, B, and C, and
779 modified prototype B were all evaluated with a UV source emitted from predominantly one
780 direction with slightly varying angles of exposure. The increased angles of exposure in the
781 conveyer and big box likely improved UV-C penetration. Hence, the unique geometry, design
782 and electronics of each device impacted the effectiveness above and beyond the wavelength and
783 dosage.

784 Anti-viral efficacy among the different UV devices ranged from no decontamination up
785 to nearly achieving enveloped virus sterilization. Enormous variability in dosage and efficacy
786 was measured within and among the different devices. This variability strongly indicated that all
787 UV devices need to be measured for both UV dosage and for anti-viral efficacy before
788 purchasing and using large numbers of these devices. The efficacy of a pulsed Xe bulb was
789 measurable at close distances, but significantly lower than Hg bulbs. However, pulsed Xe
790 devices do have some practical advantages such as requiring minimal warm up time and no Hg

791 toxicity. LEDs are also far more practical than Hg bulbs because LEDs have the lowest hazard,
792 lowest variability in UV output, and the 272 nm LED showed highest efficacy. However, the
793 availability of UV LEDs has been limited, and UV dosages can also be limiting depending on the
794 manufacturer, model, the electronics and overall design of any given device. Longer wavelength
795 UV (272 nm) showed the best efficacy in handheld devices and 272 nm is more penetrating than
796 short wavelengths. However, 222 nm KrCl lights showed measurable efficacy in conjunction
797 with proprietary prototype advancements.

798 Finally, decontamination with UV comes with tradeoffs that affect the decision of the end
799 user. The time of exposure needed to generate efficacy needs to be assessed by end users because
800 long exposure times will limit the utility of UV, especially for handheld and air decontamination
801 devices. Another tradeoff to be assessed by end users is the need for cleaning/maintenance of UV
802 devices to remove dirt/debris that accumulates on the light sources, and/or change light sources.
803 Devices and methods to monitor UV dosage over time are needed to assist in maintenance, a
804 particularly important subject that is rarely addressed. Additional tradeoffs are ozone generation,
805 which can be toxic, and operation times; Hg bulbs in particular require warmup times in order to
806 reach a steady-state. In general, Hg bulbs generate a maximum dosage immediately, and then the
807 dosages were stabilized at a lower level after a warm up period. The Hg devices would have
808 performed better had only this initial dose been tested, but that data would not translate to
809 practical application. Lastly, the end user needs an understanding of the organism(s) to be killed,
810 how it is stabilized in the environment, and the impact of test methods on results, as these factors
811 will impact confidence in any application. Assessment of these tradeoffs will facilitate practical
812 application of UV decontamination. As test standards and UV sources improve, UV will become
813 a more viable option for some decontamination applications. In retrospect, the objective of this
814 work was to catalyze those improvements.

815

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825

826 **COMPETING INTERESTS**

827 None reported

828 **REFERENCES**

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833 [devices/coronavirus-covid-19-and-medical-devices/uv-lights-and-lamps-ultraviolet-c-radiation-](https://www.fda.gov/medical-devices/coronavirus-covid-19-and-medical-devices/uv-lights-and-lamps-ultraviolet-c-radiation-disinfection-and-coronavirus)
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952 **Table 1.** Dosage and efficacy of handheld, room and chamber-type devices showing log₁₀
 953 reduction data. Handheld and room decontaminating devices were tested by exposing coupons to
 954 UV at use-case exposure distances and times. The conveyer prototype was tested via positioning
 955 coupons on the rollers in the center of the conveyor, and the big box prototype tested via
 956 positioning coupons on top of a double-stacked pallet placed inside the unit. Legend: White =
 957 Fail <2 log₁₀; low decontamination; Yellow = Fail ≥2 log₁₀, <3 log₁₀; sanitation, Light Blue =
 958 Pass ≥ 3 log₁₀; disinfection, and Dark Blue = Pass ≥ 6 log₁₀; approaching virus sterilization.
 959 Dosage is based on the steady state emission, not peak emission. Due to the broad spectrum
 960 nature of the pulsed Xe bulb, dosage could not be accurately calculated. N/A – dosage
 961 measurements had no meaning because of the broad spectrum light source.

Name	Description	Dosage (mJ cm ⁻²)	Exposure Distance	Exposure Time	Efficacy (Log ₁₀ Reduction after an >8 log ₁₀ challenge of live Φ6)				
					SS 304	NTC	Cardboard	Keyboard Keys/ABS	Polyurethane
GermAway UV 18W Handheld	2 12.7 cm Hg U-shape bulbs in handheld device 254 nm UV-C MSRP \$100	101.2	5 cm	10 s	2.5 ± 0.1	2.0 ± 0.0	1.9 ± 0.0	1.9 ± 0.0	2.0 ± 0.0
		202.4	5 cm	20 s	4.3 ± 0.2	3.1 ± 0.2	2.0 ± 0.1	4.5 ± 0.1	3.3 ± 0.1
GermAway UV 35W Handheld	2 22.5 cm Hg twin tube bulbs in handheld device 254 nm UV-C MSRP \$450	13.8	5 cm	2 s	0.3 ± 0.0	0.2 ± 0.0	0.3 ± 0.0	0.3 ± 0.0	0.3 ± 0.0
		34.5	5 cm	5 s	0.9 ± 0.1	0.6 ± 0.0	0.7 ± 0.0	0.8 ± 0.1	0.7 ± 0.0
		69.0	5 cm	10 s	1.4 ± 0.1	1.2 ± 0.1	1.2 ± 0.0	1.3 ± 0.0	1.1 ± 0.1
272 nm Prototype Handheld	8 LED strips divided by angled plastic in handheld device 272 nm UV-C	31.2	5 cm	2 s	3.0 ± 0.3	1.6 ± 0.2	1.6 ± 0.1	1.6 ± 0.2	1.6 ± 0.2
		78.0	5 cm	5 s	5.2 ± 0.1	2.8 ± 0.1	2.5 ± 0.2	3.1 ± 0.2	2.0 ± 0.9
		156	5 cm	10 s	6.2 ± 0.4	3.8 ± 0.2	2.4 ± 0.1	4.9 ± 0.2	4.7 ± 0.5
222 nm Excimer Prototype Handheld	3 UV lights attached to 2.54 cm thick plastic panel in handheld device 222 nm UV-C	5.9	5 cm	2 s	0.6 ± 0.1	0.4 ± 0.2	0.1 ± 0.1	0.3 ± 0.1	0.3 ± 0.1
		14.8	5 cm	5 s	0.9 ± 0.2	0.1 ± 0.1	0.5 ± 0.1	0.5 ± 0.1	0.6 ± 0.2
		29.6	5 cm	10 s	1.1 ± 0.2	0.9 ± 0.1	0.6 ± 0.1	0.9 ± 0.1	1.1 ± 0.1
Mounted Pulsed-Xenon Prototype	Pulsed-Xe bulb in small housing ceiling, wall, or tripod-mounted Broad-spectrum UV-B, UV-C	N/A	0.5 m	15 min	1.4 ± 0.1	1.1 ± 0.1	1.1 ± 0.1	1.5 ± 0.1	N/A
			0.5 m	30 min	2.3 ± 0.1	2.3 ± 0.1	1.6 ± 0.1	2.9 ± 0.1	N/A
			0.5 m	60 min	5.2 ± 0.2	3.2 ± 0.3	2.4 ± 0.2	5.2 ± 0.2	N/A
			1 m	15 min	0.5 ± 0.0	0.4 ± 0.1	0.4 ± 0.1	0.3 ± 0.1	N/A
			1 m	30 min	0.8 ± 0.0	0.7 ± 0.0	0.7 ± 0.1	0.7 ± 0.0	N/A
			1 m	60 min	1.5 ± 0.1	1.3 ± 0.1	1.0 ± 0.0	1.3 ± 0.1	N/A
			2 m	15 min	0.0 ± 0.1	0.1 ± 0.1	0.2 ± 0.0	0.1 ± 0.0	N/A
			2 m	30 min	0.1 ± 0.0	0.0 ± 0.0	0.2 ± 0.0	0.2 ± 0.1	N/A
Xenex Lightstrike	1 pulsed-Xe bulb mounted on rolling cart Broad-spectrum UV-B, UV-C MSRP \$125,000	N/A	178 cm	5 min	0.8 ± 0.1	0.4 ± 0.0	0.5 ± 0.0	0.5 ± 0.0	N/A
		N/A	178 cm	20 min	1.7 ± 0.0	1.5 ± 0.1	1.3 ± 0.0	2.2 ± 0.1	NA
Light Emitting Module (LEM)	20 Hg bulbs mounted in a ring on rolling cart 254 nm UV-C MSRP \$95,000	60	263 cm	4 min 22 s	3.1 ± 0.3	2.6 ± 0.2	1.6 ± 0.1	2.5 ± 0.2	2.1 ± 0.1
		100	263 cm	7 min 2 s	4.6 ± 0.5	2.7 ± 0.2	2.2 ± 0.1	2.7 ± 0.2	3.1 ± 0.1
		140	263 cm	9 min 33 s	5.3 ± 0.5	3.4 ± 0.1	2.7 ± 0.1	4.0 ± 0.2	3.9 ± 0.1
Medium Conveyer Prototype	Chamber lined on 4sides with Hg bulbs, powered conveyer belt to move items through 254 nm UV-C	60	62 cm	20 s	6.1 ± 0.2	4.5 ± 0.1	2.9 ± 0.3	4.8 ± 0.2	5.3 ± 0.1
		100	62 cm	32 s	7.6 ± 0.3	4.4 ± 0.2	2.9 ± 0.2	6.3 ± 0.2	7.1 ± 0.3
		140	62 cm	44 s	7.1 ± 0.4	4.3 ± 0.5	2.6 ± 0.1	6.3 ± 0.3	6.3 ± 0.3
		13.7	62 cm	8 s	1.6 ± 0.2	1.4 ± 0.1	1.3 ± 0.1	1.4 ± 0.1	N/A
		23.8	62 cm	16 s	2.7 ± 0.2	1.9 ± 0.1	1.8 ± 0.1	2.1 ± 0.1	N/A
		40.0	62 cm	24 s	3.5 ± 0.3	2.8 ± 0.1	2.5 ± 0.1	2.9 ± 0.1	N/A
		56.2	62 cm	32 s	4.7 ± 0.4	3.2 ± 0.2	2.9 ± 0.1	3.7 ± 0.1	N/A
Big Box Prototype	Chamber lined on sides and top with Hg bulbs (total 320) 254 nm UV-C	377-729	17 cm	2 min	5.4 ± 0.2	3.6 ± 0.3	3.1 ± 0.2	5.7 ± 0.1	5.4 ± 0.3

963 **Table 2.** Dosage and efficacy of handheld, room and chamber-type devices showing log₁₀
 964 survival data. Handheld and room decontaminating devices were tested by exposing coupons to
 965 UV at use-case exposure distances and times. The conveyer prototype was tested via positioning
 966 coupons on the rollers in the center of the conveyor, and the big box prototype tested via
 967 positioning coupons on top of a double-stacked pallet placed inside the unit. Legend: White =
 968 Fail <2 log₁₀; low decontamination; Yellow = Fail ≥2 log₁₀, <3 log₁₀; sanitation, Light Blue =
 969 Pass ≥ 3 log₁₀; disinfection, and Dark Blue = Pass ≥ 6 log₁₀; approaching virus sterilization.
 970 Dosage is based on the steady state emission, not peak emission. Due to the broad spectrum
 971 nature of the pulsed Xe bulb, dosage could not be accurately calculated. N/A – dosage
 972 measurements had no meaning because of the broad spectrum light source.

Name	Description	Dosage (mJ cm ⁻²)	Exposure Distance	Exposure Time	Log ₁₀ Survival after a >8 log ₁₀ challenge of live Φ6					
					Control	SS 304	NTC	Cardboard	Keyboard Keys/ABS	Polyurethane
GermAway UV 18W Handheld	2 12.7 cm U-shape Hg bulbs in handheld device 254 nm UV-C MSRP \$100	101.2	5 cm	10 s	8.4 +/- 0.1	5.9 ± 0.5	6.4 ± 0.1	6.5 ± 0.1	5.5 ± 1.1	6.4 ± 0.1
		202.4	5 cm	20 s	8.2 +/- 0.0	3.9 ± 0.4	5.0 ± 0.4	6.1 ± 0.3	3.7 ± 0.3	5.1 ± 0.2
GermAway UV 35W Handheld	2 22.5 cm twin tube Hg bulbs in handheld device 254 nm UV-C MSRP \$450	13.8	5 cm	2 s	8.2 +/- 0.1	7.8 ± 0.2	7.9 ± 0.1	7.8 ± 0.1	7.8 ± 0.2	7.8 ± 0.1
		34.5	5 cm	5 s		7.2 ± 0.2	7.5 ± 0.1	7.3 ± 0.1	7.3 ± 0.3	7.4 ± 0.1
		69.0	5 cm	10 s		6.6 ± 0.3	6.9 ± 0.2	6.9 ± 0.1	6.8 ± 0.2	7.0 ± 0.2
272 nm Prototype Handheld	8 LED strips divided by angled plastic in handheld device 272 nm UV-C	31.2	5 cm	2 s	8.5 +/- 0.2	5.5 ± 0.6	7.0 ± 0.3	6.9 ± 0.2	6.9 ± 0.3	6.9 ± 0.4
		78.0	5 cm	5 s		3.3 ± 0.0	5.7 ± 0.1	6.0 ± 0.4	5.4 ± 0.3	6.5 ± 1.9
		156	5 cm	10 s		2.3 ± 0.7	4.7 ± 0.3	6.1 ± 0.1	3.6 ± 0.5	3.8 ± 0.9
222 nm Excimer Prototype Handheld	3 lamp modules attached to 2.54 cm thick plastic panel, in handheld device 222 nm UV-C	5.9	5 cm	2 s	8.3 +/- 0.2	7.7 ± 0.2	7.9 ± 0.3	8.2 ± 0.1	8.0 ± 0.1	7.9 ± 0.0
		14.8	5 cm	5 s		7.4 ± 0.3	8.2 ± 0.1	7.8 ± 0.1	7.8 ± 0.2	7.6 ± 0.3
		29.6	5 cm	10 s		7.1 ± 0.4	7.1 ± 0.4	7.6 ± 0.1	7.4 ± 0.2	7.1 ± 0.1
Mounted Pulsed-Xenon Prototype	Pulsed-Xe bulb in small housing ceiling, wall, or tripod-mounted Broad-spectrum UV-B, UV-C	N/A	0.5 m	15 min	8.2 +/- 0.1	6.8 ± 0.2	7.0 ± 0.2	7.1 ± 0.2	6.7 ± 0.1	N/A
			0.5 m	30 min		5.9 ± 0.3	5.8 ± 0.3	6.6 ± 0.1	5.3 ± 0.2	N/A
			0.5 m	60 min		2.9 ± 0.4	5.0 ± 0.8	5.7 ± 0.5	3.0 ± 0.6	N/A
			1 m	15 min		7.7 ± 0.1	7.7 ± 0.2	7.7 ± 0.1	7.8 ± 0.1	N/A
			1 m	30 min		7.4 ± 0.1	7.5 ± 0.0	7.4 ± 0.1	7.4 ± 0.1	N/A
			1 m	60 min		6.7 ± 0.2	6.8 ± 0.2	7.2 ± 0.1	6.8 ± 0.2	N/A
			2 m	15 min		8.2 ± 0.1	8.1 ± 0.1	8.0 ± 0.1	8.1 ± 0.0	N/A
			2 m	30 min		8.1 ± 0.1	8.1 ± 0.1	8.0 ± 0.1	8.0 ± 0.1	N/A
Xenex Lightstrike	1 pulsed-Xe bulb mounted on rolling cart Broad-spectrum UV-B, UV-C MSRP \$125,000	N/A	178 cm	5 min	8.4 +/- 0.0	7.6 ± 0.2	8.0 ± 0.1	7.9 ± 0.1	7.8 ± 0.1	N/A
		N/A	178 cm	20 min		6.7 ± 0	6.9 ± 0.3	7.1 ± 0.0	6.2 ± 0.1	N/A
Light Emitting Module (LEM)	20 Hg bulbs mounted in a ring on rolling cart 254 nm UV-C MSRP \$95,000	60	263 cm	4 min 22 s	8.4 +/- 0.2	5.3 ± 0.6	5.8 ± 0.5	6.8 ± 0.2	5.9 ± 0.5	6.3 ± 0.1
		100	263 cm	7 min 2 s		3.8 ± 1.1	5.7 ± 0.5	6.2 ± 0.2	5.7 ± 0.4	5.3 ± 0.1
		140	263 cm	9 min 33 s		3.1 ± 1.1	5.0 ± 0.3	5.8 ± 0.2	4.4 ± 0.3	4.6 ± 0.2
Medium Conveyer Prototype	Chamber lined on 4 sides with Hg bulbs, powered conveyer belt to move items through 254 nm UV-C	60	62 cm	20 s	8.2 +/- 0.1	2.1 ± 0.4	3.7 ± 0	5.3 ± 0.6	3.4 ± 0.4	2.9 ± 0.1
		100	62 cm	32 s		0.6 ± 0.6	3.8 ± 0.5	5.3 ± 0.4	2.0 ± 0.4	1.1 ± 0.7
		140	62 cm	44 s		1.1 ± 0.8	3.9 ± 1.1	5.6 ± 0.1	1.9 ± 0.6	0.9 ± 0.5
		13.7	62 cm	8 s	8.4 +/- 0.2	6.8 ± 0.4	7.0 ± 0.1	7.1 ± 0.1	7.0 ± 0.1	N/A
		23.8	62 cm	16 s		5.6 ± 0.4	6.5 ± 0.1	6.5 ± 0.2	6.3 ± 0.1	N/A
		40.0	62 cm	24 s		4.8 ± 0.6	5.5 ± 0.2	5.8 ± 0.3	5.4 ± 0.2	N/A
		56.2	62 cm	32 s		3.7 ± 1.0	5.1 ± 0.4	5.5 ± 0.2	4.7 ± 0.1	N/A
Big Box Prototype	Chamber lined on sides and top with Hg bulbs (total 320) 254 nm UV-C	377-729	17 cm	2 min	8.4 +/- 0.1	3.0 ± 0.4	4.8 ± 0.6	5.3 ± 0.5	2.5 ± 0.3	3.0 ± 0.6
					8.2 +/- 0.1 (ABS plastic only)					

973 **Table 3.** Dosage and efficacy of room-air-irradiating prototypes showing log₁₀ reduction data.
 974 Prototypes were tested at representative exposure distances but the exposure times were much
 975 longer than expected for application in order to provide modeling data. Bio-efficacy testing on
 976 the original prototypes A, B, and C evaluated the performance of the UV light source only.
 977 Testing on the modified prototypes A and B evaluated the internal improvements to the device.
 978 Thus bio-efficacy data presented would be significantly less if realistic, shorter times were tested.
 979 Legend: White = Fail <2 log₁₀; low decontamination; Yellow = Fail ≥2 log₁₀, <3 log₁₀;
 980 sanitation, Light Blue = Pass ≥ 3 log₁₀; disinfection, and Dark Blue = Pass ≥ 6 log₁₀; approaching
 981 virus sterilization. Dosage is based on the average power from the bulb area, not the peaks. .
 982 Efficacy values indicate log₁₀ reduction after a ≥8 log₁₀- challenge of live, dried enveloped virus.

Name	Description	Dosage (mJ cm ⁻²)	Exposure Distance (cm)	Exposure Time (s)	Efficacy (Log ₁₀ Reduction after a >8 log ₁₀ challenge of live Φ6)	
					SS 304	Quartz Glass
Ceiling-mounted prototype A: Original	2 Hg bulbs within enclosed chamber; fans circulate air through unit 254 nm UV-C	18.6	5	5	0.9 ± 0.1	NA
		37.2		10	1.3 ± 0.1	NA
		55.8		15	1.7 ± 0.1	NA
		12.9	10	5	0.6 ± 0.1	NA
		25.7		10	1.0 ± 0.1	NA
		38.6		15	1.3 ± 0.1	NA
		10.6	15	5	0.5 ± 0.1	NA
		21.1		10	0.8 ± 0.1	NA
		31.7		15	1.1 ± 0.1	NA
Wall-mounted device A: Modified	KrCl/Excimer lamp within enclosed chamber; fans circulate air through unit 222 nm UV-C	15.2	4	5	NA	5.5 ± 0.6
		30.5		10	NA	6.4 ± 0.3
		45.8		15	NA	6.6 ± 0.7
		6.9	10	5	NA	2.2 ± 0.2
		13.7		10	NA	4.6 ± 0.7
		20.6		15	NA	6.7 ± 0.2
		3.4	20	5	NA	1.3 ± 0.1
		6.8		10	NA	2.4 ± 0.3
		10.2		15	NA	4.0 ± 0.6
Wall-mounted prototype B: Original	Hg bulb within open sconce; fans circulate air through unit 254 nm UV-C	29.9	5	5	1.6 ± 0.1	NA
		59.8		10	2.5 ± 0.1	NA
		89.7		15	3.4 ± 0.1	NA
		15.8	10	5	1.1 ± 0.1	NA
		31.6		10	1.7 ± 0.1	NA
		47.4		15	2.3 ± 0.1	NA
		9.9	15	5	0.8 ± 0.1	NA
		19.7		10	1.3 ± 0.2	NA
		29.6		15	1.5 ± 0.1	NA
Wall-mounted prototype B:: Modified	KrCl/Excimer lamp within open sconce; fans circulate air through unit 222 nm UV-C	15.6	5	5	0.5 ± 0.1	NA
		31.2		10	0.9 ± 0.2	NA
		46.8		15	1.2 ± 0.3	NA
		7.5	15	5	0.6 ± 0.2	NA
		15.0		10	0.5 ± 0.3	NA
		22.5		15	0.9 ± 0.1	NA
		2.8	30.5	5	0.1 ± 0.1	NA
		5.6		10	0.2 ± 0.1	NA
		8.4		15	0.2 ± 0.2	NA
		0.2	61	5	0.0 ± 0.2	NA
		0.3		10	0.0 ± 0.2	NA
		0.5		15	0.1 ± 0.1	NA
		0.1	71	5	0.0 ± 0.1	NA
		0.2		10	0.0 ± 0.1	NA
		0.3		15	0.0 ± 0.1	NA
Wall-mounted prototype C	Hg bulb within open sconce; fans circulate air through unit 254 nm UV-C	16.6	5	5	0.9 ± 0.2	NA
		33.2		10	1.6 ± 0.0	NA
		49.8		15	2.2 ± 0.2	NA
		9.1	10	5	0.7 ± 0.1	NA
		18.2		10	0.9 ± 0.1	NA
		27.3		15	1.3 ± 0.1	NA
		6.4	15	5	0.5 ± 0.1	NA
		12.8		10	0.8 ± 0.0	NA
		19.2		15	1.2 ± 0.1	NA

984 **Table 4.** Dosage and efficacy of room-air-irradiating prototypes showing log₁₀ survival data.
 985 Prototypes were tested at representative exposure distances but the exposure times were much
 986 longer than expected for application in order to provide modeling data. Bio-efficacy testing on
 987 the original prototypes A, B, and C evaluated the performance of the UV light source only.
 988 Testing on the modified prototypes A and B evaluated the internal improvements to the device.
 989 Thus bio-efficacy data presented would be significantly less if realistic, shorter times were
 990 tested. Legend: White = Fail <2 log₁₀; low decontamination; Yellow = Fail ≥2 log₁₀, <3 log₁₀;
 991 sanitation, Light Blue = Pass ≥ 3 log₁₀; disinfection, and Dark Blue = Pass ≥ 6 log₁₀; approaching
 992 virus sterilization. Dosage is based on the average power from the bulb area, not the peaks.
 993 Efficacy values indicate log₁₀ reduction after a ≥8 log₁₀- challenge of live, dried enveloped virus.

Name	Description	Dosage (mJ cm ⁻²)	Exposure Distance (cm)	Exposure Time (s)	Log ₁₀ Survival after a >8 log ₁₀ challenge of live Φ6					
					Control	SS 304	Quartz Glass			
Ceiling-mounted Prototype A: Original	2 Hg bulbs within enclosed chamber; fans circulate air through unit 254 nm UV-C	18.6	5	5	8.2 +/- 0.0	7.3 ± 0.3	NA			
		37.2		10		6.9 ± 0.1	NA			
		55.8		15		6.5 ± 0.2	NA			
		12.9	10	5		7.6 ± 0.2	NA			
		25.7		10		7.2 ± 0.3	NA			
		38.6		15		7.0 ± 0.1	NA			
		10.6	15	5		7.8 ± 0.1	NA			
		21.1		10		7.4 ± 0.3	NA			
		31.7		15		7.1 ± 0.2	NA			
Wall-mounted Prototype A: Modified	KrCl/Excimer lamp within enclosed chamber; fans circulate air through unit 222 nm UV-C	15.2	4	5	8.2 +/- 0.1	NA	2.7 ± 1.4			
		30.5		10		NA	1.8 ± 0.7			
		45.8		15		NA	1.6 ± 1.6			
		6.9	10	5		NA	6.0 ± 0.5			
		13.7		10		NA	3.5 ± 1.5			
		20.6		15		NA	1.4 ± 0.4			
		3.4	20	5		NA	6.8 ± 0.3			
		6.8		10		NA	5.7 ± 0.7			
		10.2		15		NA	4.1 ± 1.3			
Wall-mounted Prototype B: Original	Hg bulb within open sconce; fans circulate air through unit 254 nm UV-C	29.9	5	5	8.2 +/- 0.0	6.7 ± 0.1	NA			
		59.8		10		5.7 ± 0.2	NA			
		89.7		15		4.8 ± 0.3	NA			
		15.8	10	5		7.1 ± 0.2	NA			
		31.6		10		6.5 ± 0.2	NA			
		47.4		15		5.9 ± 0.3	NA			
		9.9	15	5		7.5 ± 0.2	NA			
		19.7		10		6.9 ± 0.4	NA			
		29.6		15		6.7 ± 0.2	NA			
Wall-mounted Prototype B: Modified	KrCl/Excimer lamp within open sconce; fans circulate air through unit 222 nm UV-C	15.6	5	5	8.1 +/- 0.3	7.6 ± 0.1	NA			
		31.2		10		7.2 ± 0.4	NA			
		46.8		15		6.9 ± 0.6	NA			
		7.5	15	5		7.6 ± 0.2	NA			
		15.0		10		7.6 ± 0.6	NA			
		22.5		15		7.2 ± 0.1	NA			
		2.8	30.5	5		8.1 ± 0.1	NA			
		5.6		10		7.9 ± 0.2	NA			
		8.4		15		7.9 ± 0.2	NA			
		0.2	61	5		8.1 ± 0.2	NA			
		0.3		10		8.1 ± 0.2	NA			
		0.5		15		8.0 ± 0.1	NA			
		0.1	71	5		8.1 ± 0.1	NA			
		0.2		10		8.1 ± 0.1	NA			
		0.3		15		8.1 ± 0.2	NA			
		Wall-mounted Prototype C	Hg bulb within open sconce; fans circulate air through unit 254 nm UV-C	16.6		5	5	8.2 +/- 0.0	7.4 ± 0.3	NA
				33.2			10		6.7 ± 0.1	NA
				49.8			15		6.1 ± 0.3	NA
9.1	10			5	7.5 ± 0.2	NA				
18.2				10	7.3 ± 0.2	NA				
27.3				15	6.9 ± 0.2	NA				
6.4	15			5	7.7 ± 0.1	NA				
12.8				10	7.4 ± 0.1	NA				
19.2				15	7.1 ± 0.1	NA				

