Inflammasome mediated neuronal-microglial crosstalk: a therapeutic substrate

for the familial *C9orf72* variant of the frontotemporal dementia/amyotrophic lateral sclerosis

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Abstract

Intronic G_4C_2 hexanucleotide repeat expansions (HRE) of *C9orf72* are the most common cause of familial variants of frontotemporal dementia/amyotrophic lateral sclerosis (FTD/ALS). G_4C_2 HREs in *C9orf72* undergo non-canonical repeat-associated translation, producing dipeptide repeat (DPR) proteins, with various deleterious impacts on cellular homeostasis. While five different DPRs are produced, poly(glycine-arginine) (GR) is amongst the most toxic and is the only DPR to accumulate in the associated clinically relevant anatomical locations of the brain. Previous work has demonstrated the profound effects of a poly(GR) model of *C9orf72* FTD/ALS, including motor impairment, memory deficits, neurodegeneration, and neuroinflammation. Neuroinflammation is hypothesized to be a driving factor in the disease course; microglia activation is present prior to symptom onset and persists throughout the disease. Here, using an established mouse model of *C9orf72* FTD/ALS we investigate the contributions of the nod-like receptor pyrin-containing 3 (NLRP3) inflammasome in the pathogenesis of FTD/ALS. We find that inflammasome-mediated neuroinflammation is increased with microglial activation, cleavage of caspase-1, production of IL-1β and upregulation of *Cxcl10* in the brain of *C9orf72* FTD/ALS mice. Excitingly, we find that genetic ablation of *Nlrp3* significantly improved survival, protected behavioral deficits and prevented neurodegeneration suggesting a novel mechanism involving HRE-mediated induction of innate immunity. The findings provide experimental evidence of the integral role of HRE in inflammasome-mediated innate immunity in the *C9orf72* variant of FTD/ALS pathogenesis and suggest the NLRP3 inflammasome as a therapeutic target.

47   **Keywords**: amyotrophic lateral sclerosis, frontotemporal dementia, microglia, C9orf72,

48   neuroinflammation, neurodegeneration, inflammasome

Introduction

Amyotrophic lateral sclerosis (ALS) is a progressive neurodegenerative disorder in which patients exhibit selective loss and demyelination of corticospinal motor neurons leading to terminal motor function impairments [1]. The pathophysiology underlying ALS is poorly characterized, which leaves patients with limited therapeutic options. *C9orf72* G_4C_2 repeat hexanucleotide expansions (HRE) represent ALS's most common genetic cause and frontotemporal dementia (FTD), which represents approximately 25 % of familial ALS cases [2]. Intronic G_4C_2 HRE of *C9orf72* are the most common feature of the *C9orf72* familial variants of FTD/ALS resulting in the generation of several neurotoxic dipeptide repeat (DPR) proteins [3]. Most importantly, the poly (glycine-arginine) (GR) is amongst the most toxic and the primary DPR to accumulate in susceptible brain regions undergoing degeneration in the brain of ALS/FTD subjects [4]. Recent evidence strongly supports the pathogenetic role of poly (GR) in *C9orf72* FTD/ALS and models of *C9orf72* FTD/ALS, including motor impairment, memory deficits, neurodegeneration, and neuroinflammation [5,6].

Here we find evidence suggesting a potential new mechanism by which neuronal stress is induced by DPR proteins in the *C9orf72* variant of FTD/ALS. Most important, we find that silencing *Nlrp3*-mediated innate immunity significantly attenuates mortality and neurodegeneration and most notably mitigates behavioral impairment. The findings provide evidence of the integral role of innate immunity in c9FTD/ALS pathogenesis and suggest the NLRP3 inflammasome as a therapeutic target.

The high rate of concurrent diagnosis of FTD and ALS and the ongoing investigation into

overlapping pathomechanisms have led to the notion of an FTD/ALS neurodegenerative

spectrum disorder [7]. Recent investigations into the pathogenesis of ALS find that dysregulated

innate immune activity may act as a detrimental mechanism that affects neuronal structure and

function in line with our findings [8]. Our evidence suggests that activation of innate immunity

in our model system [9], is highly relevant for its deleterious effect in promoting

neuroinflammatory cascades through a mechanism involving proinflammatory cascades in the

brain, as also described in other neurodegenerative conditions [10-12]. For example, since

neuronal stress is a common feature in other forms of FTD/ALS, our evidence provides the basis

for the broad therapeutic translatability in other forms of ALS, including SOD1^{G93A} and TDP-43.

Collectively, our study adds critical information toward understanding the etiology and

pathogenesis of the familial *C9orf72* variant of FTD/ALS implicating novel mechanisms

involving chemokines-mediated activation of the NLR3P inflammasome mediated innate

immunity as a therapeutic target for the *C9orf72* variant of FTD/ALS.

Materials and Methods

Reagents and mice

The AAV$_9$-GFP and AAV$_9$-GFP-(GR)$_{100}$ viruses were a kind gift from Dr. Leonard Petrucelli. Unless specified otherwise, cell culture reagents were obtained from ThermoFisher Scientific (Waltham, MA) and antibodies were obtained from Abcam (Cambridge, MA). C57BL/6J WT mice (#000664) and *Nlrp3*$^{-/-}$ mice (#021302) were obtained from The Jackson Laboratory (Bar Harbor, ME) and socially housed on a 12:12-h light/dark cycle with lights on at 07:00 h in a temperature-controlled (20□±□2□°C) vivarium. Mice were given food and water ad libitum and were bred to obtain mouse pups used in our studies. All procedures were approved by the Institutional Animal Care and Use Committee of the Icahn School of Medicine at Mount Sinai.

C9orf72 experimental model

Upon confirmation of pregnancy, WT and *Nlrp3*$^{-/-}$ dames were separated and monitored daily for litters. After the identification of a milk spot to confirm that newborn (p0) pups were being nursed, the pups were injected with AAV$_9$-GFP or AAV$_9$-GFP-(GR)$_{100}$ by the ICV method [13]. Pups were cryoanesthetized by placing them in a padded 15 mL tube partially submerged in a slurry of water and crushed ice. Upon confirmation of anesthesia by toe-pinch, we identified the point of injection, approximately two-fifths of the distance between bregma and each eye. A Hamilton syringe (#7634-01; Reno, NV) with a 32 gauge needle (10 mm long, Hamilton #7803-04) was loaded with 4 µL of the AAV vector and placed 2 mm deep, orthogonal to the mouse's head at the point of injection. 2 µL of the AAV vector was

injected at a rate of 1 µL min into each lateral ventricle [14]. Upon completion of injections,

each pup was rapidly returned to physiological temperatures by placing on a warming pad.

The litters were returned to dames upon completion of injection for the entire litter and

monitored for acceptance. Mice were weaned at p30 by sex. For confirmation of diffusion

prior to studies, a small number of mice were injected with Trypan Blue and sacrificed two

hours later for dissection of the brain and examination by stereoscopic microscope.

Behavioral testing

After reaching 3 months of age, mice were tested through a battery of behavioral tests. All

behavioral testing took place during the light phase of the day. On all days of behavioral

testing, mice were acclimated to an anteroom directly adjacent to the behavioral testing room

for 30 min. On Day One, mice were tested in the Open Field Test for basal anxiety and

general locomotor impairments [15]. The Open Field apparatus consisted of a 40cm x 40cm x

40cm Plexiglas box with opaque white walls, situated within a dimly-lit room (200 lux). Mice

were placed in the center of the apparatus and were allowed to freely explore for 10 minutes

before being returned to their home cage. On Day Three through Five, mice were tested in a

Hanging Wire Test for muscular impairments [16]. The Hanging Wire apparatus consisted of

a 2 mm-thick wire suspended 35 cm over a layer of corn cob bedding, situated in a brightly lit

room (500 lux). Mice were lifted from their home cage by the base of their tail and were

placed near the wire until they grasped it with their forelimbs. The number of falls over a 2

min period was recorded. Falls in which the mice hung from the wire from their hindlimbs

were excluded from the number of falls. At the end of the behavioral trial, mice were returned

to their home cages. On Days Seven through Nine, mice were tested in a Contextual and Cued

Memory Test in two Contexts. Context A was a 30cm x 24cm x 21cm conditioning chamber

(Med Associates, Fairfax VT) within a room with white walls and bright lighting. Context A

had a bare metal grid floor, bare grey walls, bright lighting, and background fan noise.

Context A was cleaned with a 0.5% hydrogen peroxide solution (Virox, Oakville Canada)

between each trial. Context B was a chamber of the same dimensions within a room with dim

lighting. The chamber had a white plastic floor, curved white plastic walls, dim lighting, no

background fan noise, and was scented with 0.25 % benzaldehyde in 70 % ethanol. Context B

was cleaned with a 70 % ethanol solution between each trial. On Day Seven, mice were

allowed to explore Context A for 4 min. At 180s, white noise (85 dB) played for 30 s and was

co-terminated with a footshock (2 s, 0.75 mA). After the 4 min trial, the mouse was returned

to its home cage. On Day Eight, mice were allowed to explore Context A for 4 min in the

absence of white noise and footshock (Context Recall). On Day Nine, mice were allowed to

explore Context B in the constant presence of white noise (85 dB, Cue Recall). For all tasks,

the behavior was analyzed and recorded with Any-Maze v6.0 (Stoelting, Wood Dale IL).

Immunohistochemistry

After completion of behavioral studies, a subset of mice was deeply anesthetized with

ketamine/xylazine (100 mg/kg + 10 mg/kg, intraperitoneally), and then perfused

transcardially with cold sterile PBS followed by 4 % PFA in PBS. Brains were removed,

drop-fixed in 4 % PFA overnight, then washed once with cold PBS and stored in PBS. Tissue

sections (50 μm thick) were taken with a vibratome (Leica; Wetzlar, Germany) and were

stored in PBS with 0.02 % sodium azide (w/v). Sections were washed in PBS followed by 10

min permeabilization in 0.1 % Triton X-100 in PBS (PBST). Sections were then incubated in

blocking solution (5 % normal goat serum in PBST) for 1.5 hr. The sections were washed

three times with PBST and incubated with primary antibodies diluted in blocking solution:

1:500 Rabbit anti-Iba1 (ab178846, Abcam) and 1:250 chicken anti-GFP (A10262,

ThermoFisher) overnight at 4 °C. Post incubation, the sections were washed three times in

PBST and incubated with secondary antibodies diluted in blocking solution for two hours at

room temperature (RT): Goat anti-Rabbit conjugated Alexa Fluor 568 (Abcam #175471,

1:500) and 1:500 Goat anti-Chicken Alexa Fluor 488 (A11039, Thermofisher). The sections

were washed three times in PBST and incubated in 1μM DAPI solution (#ab228549, Abcam)

for 5 min. The sections were washed twice in PBS and mounted on slides using ProLong

Diamond Antifade Mountant (P36970, ThermoFisher).

Stereology and cell morphology

Assessment of neuronal loss was assessed by GFP-expressing neurons count in the in the

layers IV and V of the primary somatosensory (S1) cortex. Similarly, microglia density was

determined in the same layers IV and V of the S1 cortical region by MBF Stereo Investigator

(Williston VT). The number of sections required for each region was determined by an initial

pilot study which found that six sections were needed for the S1 cortical region, each spaced

equidistantly for both GFP-expressing neurons and IBA-1 immunopositive microglia. A

widefield microscope (AxioImager M2/Z2, Carl Zeiss, Oberkochen Germany) along with

MBF Stereo Investigator were used to visualize each section and to determine the number of

microglia in each region. Using the Optical Fractionator workflow, the region of interest was

traced onto each section based on the mouse brain atlas by Paxinos and Franklin. This was

followed by a systematically random grid overlay consisting of squares measuring 300 µm by

300 µm, covering the region of interest. From each of these squares, an unbiased sampling

region (100 µm by 100 µm) was used to manually count microglia somata in the area. Once

each section was completed, the software ran an algorithm to estimate the number of

microglia in the region. In parallel for IBA-1 immunopositive microglia, the Cavalieri

estimator in the software was used to determine the volume of each region. The microglia

count and volume were then used to determine the microglia density in the S1 cortical region.

For assessment of cell morphology, images of coronal sections were taken on a Zeiss

LSM880 Airyscan confocal microscope (Oberkochen, Germany) using an X20/0.8 NA air

immersion objective controlled by Zeiss Zen Black software. For 3D analysis, z-stack images

were obtained by capturing an image every 0.7µm covering the entire 50µm-thick section.

Images were deconvoluted using AutoQuant X3.1 (Media Cybernetics, Rockville MD) and

3D analysis was performed using Imaris 9.1.2 (Bit Plane Inc, Concord MA) using the surface

tool to reconstruct the soma and the filaments tool to reconstruct the branches.

Western blotting

After completion of behavioral trials, a subset of mice was sacrificed and brain regions were

immediately frozen on dry ice. Cerebral cortex was lysed with 1X Cell Lysis Buffer

supplemented with 1 mM PMSF (Cell Signaling #8553S, Danvers MA) and Protease

Inhibitor Cocktail (Sigma-Aldrich #11873580001). Tissue lysates were separated by

electrophoresis and then transferred to a nitrocellulose membrane. Membranes were blocked

for 1 h at RT, followed by treatment with primary antibodies (anti-caspase-1 antibody, 20B-

0042; anti-IL-1β antibody 6243S; anti-α-tubulin antibody, T9026) at 4°C for overnight. Each

membrane was then treated with a secondary antibody conjugated with horseradish

peroxidase (anti-rabbit-or anti-mouse IgG -HRP-antibodies) for 1h at RT. Each band was

detected using chemiluminescence detection kit (32106; Thermo Fisher Scientific) and data

was analyzed using image J software to measure relative protein expression.

Cortical microglia cultures

Cortices from 1-3 day-old C57BL/6J mouse pups were isolated, digested, and seeded at a

density of 8 cortices per 24-well plate (12ml total volume). Every three days, the medium

(DMEM + 10 % FBS + 1 % penicillin-streptomycin) was replenished. After 3 weeks, mixed

glial cultures reached confluence and were isolated by mild trypsinization as previously

described [17]. Briefly, cells were washed with culture medium without FBS and treated with

a mixture of trypsin (0.25 % without EDTA) and DMEM-F12 medium in a 1:3 ratio. After 40

min incubation, mixed glial cells detached and left a layer of microglia attached to the bottom

of the culture dish. Pure microglia were isolated by 15 min incubation with trypsin (0.05%

with EDTA) at 37°C followed by gentle shaking. Cells were counted and seeded in 24-well

plates at a density of 7.5 x 10^4 cells/well.

Cortical neuron cultures

Cortices from 3-day-old C57BL/6J mouse pups were isolated and finely diced in ice-cold

HBSS. Cortices were then incubated in 10X Trypsin Solution (Sigma #59427C) with DNase I

(Sigma #D4513) for 15 min at 37 °C with period inversion. Cells were then spun at 200 g for

5 min, and the pellet was triturated with a serological pipette and strained in a 40 μm cell

strainer (BD Falcon #352340). Centrifugation followed by trituration was repeated once, and

then the pellet was spun down and resuspended in Neurobasal medium supplemented with

0.25 % GlutaMAX, 2 % B-27, 10 % Fetal Bovine Serum, and 1 % Penicillin/Streptomycin at

a density of 5 x 10^5 cell/mL. Two hours later, the medium was changed to Neurobasal

medium supplemented with 0.25 % GlutaMAX, 2 % B-27, and 1 % Penicillin/Streptomycin,

and half the medium was replenished every 3-4 days afterward. Three days later, cells were

infected with 2 x 10^{10} vg/mL AAV. Expression was confirmed by fluorescence microscopy.

Cell supernatant and lysates were collected for assessment of LDH release and CXCL10

production (R&D Systems #DY466).

Analysis of Cytokines

IL-1β in microglia culture supernatant was measured with Mouse IL-1β /IL-1F2 DuoSet

ELISA Kit (R&D Systems DY401, Minneapolis MN) according to the manufacturer's

instructions. CXCL10 was measured in neuronal culture supernatant with Mouse CXCL10

DuoSet ELISA (R&D Systems DY466-05, Minneapolis MN) according to the manufacturer's

instructions. Primary microglia were stimulated with recombinant Mouse CXCL10 protein

(R&D Systems 466-CR- 608 050/CF, Minneapolis MN) and incubated with Mouse CXCL10

antibody (R&D Systems AF-466- 609 NA, Minneapolis MN). TNF-α and IL-1β were

measured in the supernatant by ELISA with Mouse TNF-α Quantikine ELISA Kit (R&D

Systems MTA00B, Minneapolis MN) and Mouse IL-1β/IL-1F2 DuoSet ELISA Kit according

to manufacturer's instructions.

Gene expression and RNAseq

Total RNA was isolated using the RNeasy Minikit (QIAGEN #74106; Hilden, Germany) and

precipitated by the ethanol/sodium acetate method. RNA concentration and quality were

initially measured using a Nanodrop 2000 (Thermofisher). Secondary analysis of

concentration and quality was conducted by the Genomics CoRE Facility at the Icahn School

of Medicine at Mount Sinai using Qubit RNA BR Assay Kit (ThermoFisher #Q10211).

Library construction and RNA sequencing were performed by Novogene (Durham, NC).

RNAseq data were processed using the NGS-Data-Charmer pipeline. Briefly, adaptors and

low-quality bases were trimmed from reads, which were then aligned to the mm10 genome

using HISAT2 (version 2.2.1). Read counts in the mm10 GENCODE annotation (version

M22) were generated using FeatureCounts DESeq2 (version 1.24.0, R version 3.6.1) was then

used to calculate differential expression from the read counts. The R package 'biomaRt'

(version 2.40.5) was used to translate ensembl ids into common gene symbols.

Gene ontology

Mouse genes involved in inflammatory processes were extracted from the Mouse Genome

Informatics group database (MGI). MGI mouse genes with the GO term "Inflammatory

response□ were extracted. Ingenuity Pathway Analysis was applied to all differential genes

identified in the previous analysis.

Quantitative reverse transcription PCR

For qPCR analysis, gene expression was measured in 4 replicates by Power UP SYBR Green

Master Mix (ThermoFisher #A25778) using an ABI PRISM 7900HT Sequence Detection

System. Hypoxanthine phosphoribosyl transferase (*Hprt*) expression level was used as an

internal control and data was normalized using the $2^{-\Delta\Delta Ct}$ method [18]. Levels of target gene

mRNA were expressed relative to those of GFP + Veh mice for *in vivo* studies. Primers used

in this study were designed using Primer-BLAST software [19] and are listed in Table S1.

Tissue processing

Four mice from each group (GR_{100} and GFP) were processed for sequencing in cerebral

cortex (CTX) per mouse. After assessing sample quality, one FC sample was excluded due to

poor quality, and all tissue samples from a single mouse were removed due to sample

misclassification.

Statistical Analysis

All figure values are presented as the mean and standard error of the mean (s.e.m.). Statistical

tests are indicated in the figure legends. A confidence interval of 95 % was used for all

analyses. In all studies, outliers (> 2 SD from the mean) were excluded. All statistical analysis

was performed using GraphPad Prism 9 software (GraphPad Software, San Diego CA). *$p <$

0.05, **$p < 0.01$, ***$p<0.001$, ****$p<0.0001$, *ns* not significant. Statistically insignificant

trends are indicated by *p*-value.

Results

1. GFP-(GR)$_{100}$ animal model of c9FTD/ALS exhibits behavioral impairment, neurodegeneration, and neuroinflammation, leading to a significant innate immune-driven response

To investigate the effects of GR$_{100}$ induced neuronal stress in the brain in c9FTD/ALS, neonatal intracerebroventricular injections of adeno-associated virus serotype 9 (AAV$_9$) vectors containing either GFP (control) or 100 repeats of the GR dipeptide (GFP-(GR)$_{100}$), was conducted, as previously reported [20]. AAV$_9$ has a strong expression pattern in neurons of the brain, but not microglia [21], allowing for the targeted investigation of neuronal-induced effects. The body weight of GFP and GFP-(GR)$_{100}$ mice did not differ throughout the study (**supplementary Fig 1a**). Examination of c9FTD/ALS behavioral features in GFP-(GR)$_{100}$ revealed significant mortality over the course of 3 months after injection, with over 25 % of animals dying before completion (**Fig 1a**). To assess behavioral responses, mice were tested for contextual memory, motor function, and anxiety. GFP-(GR)$_{100}$ animals exhibited a significant reduction in freezing in the contextual memory paradigms (***p<0.01, Fig 1b**), as well as a significant increase in falls in the hanging wire test (****p<0.0001, Fig 1c**). Similarly, GFP-(GR)$_{100}$ mice exhibited a significant elevated anxiety in the open field test (**p<0.05, Fig 1d**).

Next, cortical neuron degeneration was assessed by quantification of GFP-expressing neurons in the layers of IV and V in the S1 cortical region, which revealed a significant reduction in GFP-expressing neurons in GFP-(GR)$_{100}$ mice compared to GFP mice (****p<0.001, Fig 1f**).

Microglia-mediated neuroinflammation has been described in cases of ALS [22].

Microgliosis has also been previously described to occur in this model, beginning at 1.5

months of age [23]. We hypothesized that microglia, the innate immune cells of the brain,

may be causally related to the pathogenesis of c9FTD/ALS. To determine microglial response

to the disease process, we first stereologically quantified the density of microglia in the S1, a

relevant region of the brain that contributes to the behavioral impairments we observed in

GFP-(GR)$_{100}$. We found a significant increase of microglia density in GFP-(GR)$_{100}$ mice

compared to GFP mice (*** $p<0.05$, Fig 1h**). Moreover, morphological analyses were

conducted to assess activation state of microglia (**Fig 1g),** which revealed a trending increase

in the level of ramifications closer to the soma in GFP-(GR)$_{100}$ mice as reflected by the

increase in intersecting segments within 10 µm of the soma (**Fig 1g right top and bottom**

panels and Fig 1i) compared to GFP mice (**Fig 1g left top and bottom panels and Fig 1i**).

This is accompanied by a statistically significant decrease in the number of intersecting

segments further away from the soma (20 – 30 µm away from the soma) (**Fig 1i**) as assessed

by Sholl analysis, indicative of an activated state with increased branch complexity as shown

in **Fig 1g**. This increased density and morphological ramification of microglia is indicative of

a neuroinflammatory response occurring in the S1 cortical regionally overlapping neuronal

loss in GFP-(GR)$_{100}$ mice.

Next, we investigated the effects of microglial activation seen in GFP-(GR)$_{100}$ mice in

neuroinflammatory responses as assessed by innate immunity including cleavage of caspase-1

and IL-1β level (**Fig 1j, 1k**). Interestingly, we found that GFP-(GR)$_{100}$ mice exhibited an

increase in the ratio of active caspase-1 to pro-caspase-1 (****$p<0.01$, Fig 1j**) in the cerebral

cortex. Additionally, IL-1β was significantly increased in the same region of GFP-(GR)$_{100}$

compared to GFP mice (*** $p<0.05$, Fig 1k**). Collectively, the elevation of active caspase-1,

pro-caspase-1, IL-1β, and activation of microglia suggests that there is a significant innate

immune-driven response *in vivo* in GFP-(GR)$_{100}$ mice potentially associated to activation of

inflammasome in microglia.

2. Genetic ablation of *Nlrp3* inflammasome confers protection against innate immune-

driven inflammation in the cerebral cortex of c9FTD/ALS mice

Based upon our results identifying significant innate immune-related inflammation in a GFP-

(GR)$_{100}$ model of c9FTD/ALS, we targeted the NLRP3 inflammasome complex via genetic

ablation. To test the effects of genetic ablation of *Nlrp3*, GFP-(GR)$_{100}$ or GFP expression in

the mouse brain we conducted neonatal intracerebroventricular injections of AAV$_9$ vectors in

mice lacking the *Nlrp3* gene (*Nlrp3*$^{-/-}$).

Weights of *Nlrp3*$^{-/-}$-GFP and *Nlrp3*$^{-/-}$-GFP-(GR)$_{100}$ did not differ over the course of the study

(**supplementary Fig 1b**). Next, examination of the behavioral effects of inflammasome

targeted interventions revealed no change in mortality in *Nlrp3*$^{-/-}$-GFP-(GR)$_{100}$ micec ompared

to *Nlrp3*$^{-/-}$-GFP mice (**Fig 2a**). Assessment of memory function demonstrated unaffected

contextual memory in *Nlrp3*$^{-/-}$-GFP-(GR)$_{100}$ mice compared to *Nlrp3*$^{-/-}$-GFP mice (**Fig 2b**).

Similarly, motor function (**Fig 2c**) and anxiety (**Fig 2d**) assessed by hanging wire and open

field tests remained unaffected in *Nlrp3*$^{-/-}$-GFP-(GR)$_{100}$, with mice equally performing as

found in *Nlrp3*$^{-/-}$-GFP mice.

We next investigated the effects of targeting the NLRP3 inflammasome on neurodegeneration

in GFP-(GR)100 mice in the S1 cortical region. No changes in the count of GFP-expressing

neurons were noted in $Nlrp3^{-/-}$-GFP-(GR)$_{100}$ compared to $Nlrp3^{-/-}$-GFP (**Fig 2e, f**). Lack of

neurodegeneration and protection in the associated behavioral tasks indicate a role of $Nlrp3$ in

c9FTD/ALS pathogenesis.

Based our evidence inflammasome-mediated neuroinflammation in the cereberal cortex of

WT GFP-(GR)$_{100}$ mice (**Fig 1j and 1k**), we further investigated these findings in the cereberal

cortex of $Nlrp3^{-/-}$-GFP-(GR)$_{100}$ mice. We found that no change in the ratio active caspase-1 to

pro-caspase-1 in $Nlrp3^{-/-}$-GFP-(GR)$_{100}$ mice compared to $Nlrp3^{-/-}$-GFP mice (**Fig 2g**).

Similarly, no significant differences in level of active IL-1β were observed in $Nlrp3^{-/-}$-GFP-

(GR)$_{100}$ mice compared to $Nlrp3^{-/-}$-GFP mice (**Fig 2h**). This evidence supports hypothesis of

microglia-driven innate immune responses in the brain of c9FTD/ALS.

3. GFP-(GR)$_{100}$ expression induces transcriptional changes in innate immune microglia-

mediated responses of c9FTD/ALS animals

We next investigated the transcriptional regulation of genes in the CTX of GFP-(GR)$_{100}$ mice

relative to GFP mice to assess regional distribution of changes. Overall transcriptional

patterns depicting the significantly differentially expressed genes are visualized in **Fig 3a**,

with the top three mapped upregulated and downregulated genes labeled as identified by the

magnitude of Log$_2$Fold Change, as visualized in a volcano plot. The top-upregulated mapped

gene in GFP-(GR)$_{100}$ is $Cxcl10$ (Log$_2$fold Change = 4.51; p_{adj} = 0.06, **Fig 3a**), which has been

previously shown to result in the proliferation and activation of microglia [24]. Based on this

in vivo data suggesting a causal link between inflammasome activation and neurodegeneration

resulting in behavioral impairments, we hypothesized that GFP-(GR)$_{100}$ expression would

invoke transcriptional changes in innate immune responses. We proceeded with the

examination of the pathways and regulators enriched in the differentially expressed genes

using Ingenuity Pathway Analysis (IPA) confirms enrichment in neuroinflammatory signaling

pathways including *'Complement System', 'TREM1 Signaling', 'Chemokine Signaling', and*

'NF-κB Signaling', in the CTX (**Fig 3b**). These pathways are core regulators of inflammatory

responses and microglial activation. Amongst validated genes (**Fig 3c**), we found that *Cxcl10*

is significantly upregulated, with a 7-fold increase in GFP-(GR)$_{100}$ mice, compared to GFP

mice (**Fig 3d**). The transcriptomic changes exhibited in GFP-(GR)$_{100}$ animals heavily point to

significant innate immune microglia-mediated responses. Our findings of significant *Cxcl10*

upregulation suggest there is a neuronal signal released that can activate microglia and induce

innate immune cascades in GFP-(GR)$_{100}$ mice.

4. GFP-(GR)$_{100}$ induces secretion of neuronal CXCL10 activating a microglial innate

immune response

As *Cxcl10* is an important signal for the immune responses of microglia [24], we investigated

the effect of GFP-(GR)$_{100}$ infection in primary neuronal and microglial cultures on CXCL10

production, and whether CXCL10 induces microglia activation. First, we investigated whether

the expression of GFP-(GR)$_{100}$ induced neuronal cell death *in vitro*. GFP-(GR)$_{100}$ transfected

neurons exhibited a significant increase in the release of lactate dehydrogenase (LDH)

compared to GFP transfected neurons (***$p<0.05$, Fig 4a**), confirming the GFP-(GR)$_{100}$-

induced neuronal injury and death observed *in vivo*. As *Cxcl10* was shown to be highly

upregulated *in vivo* in GFP-(GR)$_{100}$ mice, we hypothesized that neurons are able to produce

and secrete CXCL10, in response to GFP-(GR)$_{100}$. To test this, primary cortical neurons were

transfected with either AAV$_9$-GFP or AAV$_9$-GR$_{100}$ and cell supernatants were analyzed for

CXCL10 concentration. GFP-(GR)$_{100}$ neurons demonstrated significantly increased

production of CXCL10 compared to GFP transfected neurons (****p<0.0001**, **Fig 4b**). As we

identified *Cxcl10* is highly upregulated *in vivo* and was determined experimentally to be

significantly released by primary cortical GFP-(GR)$_{100}$ neurons *in vitro* (**Fig 4b**), we next

investigated whether CXCL10 activates microglia.

Tumor necrosis factor-alpha (TNF-α) constitutes a hallmark for microglia activation and has

previously been shown to play an important role in the transcriptional regulation of

components of the NLRP3 inflammasome [25]. To test the ability of CXCL10 to induce TNF-

α expression, primary cortical microglia cultures were treated with increasing CXCL10

concentration and assessed released level of TNF-α in cell supernatant. We found that

CXCL10 significantly increased the microglial production of TNF-α in a dose-dependent

manner (***p<0.01; ****p<0.0001**, **Fig 4c**), while the co-treatment with a CXCL10

neutralizing antibody results in a significant reduction in the TNF-α secreted by microglia

(****p<0.0001**, **Fig 4d**). Finally, to analyze if CXCL10 mediates the activation of IL-1β

processing inflammasome complexes, we measured the release of IL-1β from primary cortical

microglia in response to increasing concentration of CXCL10. We found a significant, dose-

dependent increased secretion of IL-1β (****p<0.0001**, **Fig 4e**). Co-treatment with CXCL10

neutralizing antibody results in a significant reduction in IL-1β released by microglia

(***p<0.05; **p<0.01; ****p<0.0001**, **Fig 4f**). This evidence suggests neuronal-microglial

crosstalk whereby GFP-$(GR)_{100}$ induces secretion of neuronal CXCL10, activating a

microglial innate immune response, resulting in inflammasome activation and subsequent

neuroinflammation.

In conjunction with the rest of our data, these experiments suggest that neurons in

c9FTD/ALS produce damage associated molecular patters (DAMPs) such as CXCL10 caused

by GFP-$(GR)_{100}$, which significantly stimulates pro-inflammatory reactions from microglia,

leading to inflammasome activation (**Fig 4g**).

Discussion

Our study provides evidence linking inflammasome activation to neurodegeneration and provides a basis for the investigation of innate immune inflammasome inhibitors as a treatment of disease in c9FTD/ALS. Here, we identify a key mechanism by which neuronal stress initiated by G_4C_2 HREs in c9FTD/ALS can activate microglia, producing an inflammasome-dependent innate immune response, which can be therapeutically targeted. As neuronal stress occurs throughout various forms of FTD/ALS [26-28], the self-perpetuating cycle of neuronal stress inducing microglial inflammasome activation and resultant neuroinflammation may represent a conserved therapeutic substrate throughout the various disease subtypes of FTD/ALS. Ultimately, we demonstrate that by targeting microglial reactivity through inflammasome inhibition, FTD/ALS pathogenesis was significantly attenuated through the genetic ablation of *Nlrp3*.

The results of these studies identify the contributions of inflammasome activation in microglia to the pathogenesis of c9FTD/ALS. Microglial activation and the activation of the NLRP3 inflammasome have been demonstrated as crucial mediators in other neurodegenerative conditions including Alzheimer's disease [20], Parkinson's disease [29], and primary progressive multiple sclerosis [30]. Inflammation has also been noted pre-symptomatically in models of ALS [31,32] and the incidence of ALS has been demonstrated to be higher in individuals who have been diagnosed with autoimmune disease [33], indicating a putative role in the disease pathogenesis and a target for therapeutic intervention. Neuroimaging studies conducted on individuals with ALS have demonstrated microglial activation throughout the brain [22,34].

Upon examination of the microglia in the S1 cortical region, we observed microgliosis consistent with previously identified findings [23]. Morphologically, microglia in GFP-$(GR)_{100}$ were in an activated state; these findings are congruent with those identified in other neurodegenerative diseases, including Alzheimer's disease, in which morphologically activated microglia are present [35]. Inflammasome activation has been identified in other forms of ALS [36-38]. We noted an increase in the ratio of active-caspase-1 to pro-caspase-1 in the CTX of WT GFP-$(GR)_{100}$ mice; these increases were attenuated via genetic ablation of *Nlrp3* as well as the production of active IL-1β. These results shed light on the role the NLRP3 inflammasome might have on the pathogenesis of FTD/ALS.

In addition to improvements in neurodegeneration and neuroinflammation, full genetic ablation of the *Nlrp3$^{-/-}$* inflammasome conferred significant protection behaviorally in *Nlrp3$^{-/-}$* -GFP-$(GR)_{100}$ mice compared to *Nlrp3$^{-/-}$*-GFP mice; mortality was almost completely eliminated, contextual memory was preserved, motor function was protected, and no increase in anxiety was observed. To determine if the NLRP3 inflammasome can be therapeutically targeted, further studies may employ experimental models whereby *Nlrp3* ablation by Cre dependent-designer receptor exclusively activated by designer drugs (DREADD) mice allow for temporal control of *Nlrp3* expression, thereby evaluating both its role in pre-symptomatic disease and evaluating whether the NLRP3 inflammasome may serve as a therapeutic target after symptom onset.

Cxcl10, which we found to be highly upregulated in the cerebral cortex of GFP-(GR)$_{100}$ mice,

encodes for a small cytokine belonging to the CXC chemokines family. Upon neuronal death,

CXCL10 is produced and exerts a chemotactic function by attracting microglia and CD8$^+$ T

cells, and can induce the activation of microglia, thereby causing the release of pro-

inflammatory cytokines [39]. Chemotactic effects are demonstrated in our FTD/ALS model

by the microgliosis in the cortex of GFP-(GR)$_{100}$ mice where we see a significant increase in

the density of microglia. Neuronal stress has been demonstrated in other forms of ALS,

including in cases of sporadic ALS [40]. Dysregulation of CXCL10 chemotaxis in peripheral

blood cells from ALS patients has been directly observed, which was associated with

increased inflammatory responses [41]. This evidence supports our *in vitro* findings, where

we demonstrated that neuronal cultures expressing (GR)$_{100}$ dipeptides released higher

concentrations of CXCL10, and the treatment of microglia cultures with increasing

concentrations of CXCL10 promoted a dose-dependent increase in TNF-α and in IL-1β

production indicating microglial activation.

In summary, our studies identify a novel neuronal-microglial crosstalk mechanism in

c9FTD/ALS whereby neuronal stress-induced secretion of CXCL10 triggers inflammasome

activation in microglia, thereby creating a self-propagating cycle of neurodegeneration and

neuroinflammation. Our findings show targeting the inflammasome responses represents a

putative therapeutic strategy, as evidenced by the genetic ablation of *Nlrp3* and resultant

protection from behavioral impairment and neuropathologies.

Figure Legends

Figure 1. Innate immune-driven neuroinflammation and neurodegeneration in a GFP-

(GR)$_{100}$ model of c9FTD/ALS. (a) Probability of survival of GFP and GFP-(GR)$_{100}$. (b)

Freezing in contextual fear memory task. (c) Number of falls on the third day of the Hanging

Wire Test. (d) Time spent in the central zone in the Open Field Test. (e) Representative GFP

and GFP-(GR)$_{100}$ expression neurons in the layers of IV and V of S1 cortical region. Green:

GFP and GFP-(GR)$_{100}$. Length bar, 100 μm. (f) Count of GFP- and GFP-(GR)$_{100}$-expression

neurons in the S1 cortical region. (g) 3D reconstruction of microglia in the S1 cortical region,

with increasing radii in 5 μm steps to measure branch intersections. Length bars, 15 μm and

10 μm in top and bottom panels, respectively. Red: IBA-1 immunostaining. Green: Soma.

Yellow: branches. (h) Microglial density in the S1 cortical region. (i) Sholl analysis of

microglia in S1 cortical region. (j) Representative bands (upper panel) and densitometric

quantification of the ratio of active caspase-1 to pro-caspase-1 (bottom panel) in the cerebral

cortex, normalized to GFP. (k) Representative bands (upper panel) and densitometric

quantification of cleaved IL-1β in the cerebral cortex (bottom panel), normalized to GFP. The

probability of survival (a) was analyzed by log-rank test. For (b-d, f, h-k), * $p<0.05$,

** $p<0.01$, *** $p<0.001$, **** $p<0.0001$ by unpaired t-test. Data are presented as mean ± SEM.

Figure 2. Genetic ablation of NLRP3 inflammasome attenuates neurodegeneration and

behavioral deficits in a GFP-(GR)$_{100}$ model of c9FTD/ALS. (a) Probability of survival of

Nlrp3$^{-/-}$-GFP and *Nlrp3$^{-/-}$*-GFP(GR)$_{100}$mice. (b) Freezing in contextual fear memory task. (c)

Number of falls in the third day of the Hanging Wire Test. (d) Time spent in the central zone

in the Open Field Test. **(e)** Representative *Nlrp3$^{-/-}$*-GFP and *Nlrp3$^{-/-}$*-GFP(GR)$_{100}$ expressing

neurons in the layers IV and V of S1 cortical region, Green: GFP and GFP-(GR)$_{100}$. Length

bar, 100 μm. (f) Count of *Nlrp3*$^{-/-}$-GFP- and *Nlrp3*$^{-/-}$-GFP-(GR)$_{100}$-expression neurons in the

layers IV and V of S1 cortical region. (g) Representative bands (upper panel) and

densitometric quantification of the ratio of active caspase-1 to pro-caspase-1 (bottom panel) in

the cerebral cortex, normalized to GFP. (h) Representative bands (upper panel) and

densitometric quantification of the ratio of cleaved IL-1β caspase-1 to Tubulin (bottom panel)

in the cerebral cortex, normalized to *Nlrp3*$^{-/-}$-GFP. The probability of survival (a), was

analyzed by log-rank test. For (b-d, f, g, h), were analyzed by unpaired *t*-test. Data are

presented as mean ± SEM.

Figure 3. Transcriptional changes of GFP-(GR)$_{100}$. (a) A volcano plot of CTX

transcription. The X-axis represents the log2 fold change, while the Y-axis represents the

negative log10 FDR-adjusted *p*-value. Genes with an adjusted *p*-value less than 0.10 are

shown in blue. The 3 most highly upregulated mapped genes and 3 most highly

downregulated genes are highlighted in red. (b) Inflammation-related IPA pathway; select

immune system-related pathways are shown for the CTX. The *p*-value of each entry is

represented by the size of the corresponding circle. The z- score, indicating the computed

activation or repression of the given pathway, is indicated with a color gradient. (c) Validation

of significant IPA genes identified via RNAseq. Gene ontologies are indicated on the left.

Colors indicate log$_2$ fold change (Log$_2$FC). In c, **p*<0.05, ***p*<0.01, ****p*<0.001,

****p<0.0001 by Two-Tailed Welch's t-test. Statistically insignificant trends (*p*<0.1) are

indicated. *ns*: not significant (*p* > 0.1). (d) Validation of the most highly upregulated gene,

Cxcl10 mRNA expression, in the CTX of GFP and GFP-(GR)$_{100}$ mice. In d, * *p*<0.05 by

Two-tailed   Welch's   t   Test.

Figure 4. In vitro evaluation of neuronal signaling and resultant activation microglia in c9FTD/ALS. (a) Evaluation of neurotoxicity of GFP-(GR)$_{100}$. (b) Neuronal release of CXCL10 after transfection with GFP-(GR)$_{100}$. (c) CXCL10 mediated dose-dependent release of TNF-α. (d) Neutralization of CXCL10 and TNF-α release. (e) CXCL10 mediated dose-dependent release of IL-1β. (f) Neutralization of CXCL10 and IL-1β release. (g) Schematized mechanism of GFP-(GR)$_{100}$ inducing the neuronal release of CXCL10, which may then bind to microglial receptors and initiate an inflammasome response. The data shown correspond to average values of biological triplicates ran in technical duplicates. For a-f, *$p<0.05$, **$p<0.01$, ***$p<0.001$, ****$p<0.0001$ by one-way ANOVA.

Figure 1

Figure 2

Figure 3

 Figure 4

Supplementary Information

563 **Supplementary Table S1.** Primers used in this study.

Gene	Primer, 5' -> 3'	
	Forward	Reverse
mouse *Hprt*	CCCCAAAATGGTTAAGGTTGC	AACAAAGTCTGGCCTGTATCC
mouse *C4b*	GACGGGGAAACCAGTGACAA	TGAGCTCAGAGAGCCAGAGT
mouse *Serping1*	GAACTTGGACCAGGACGCAG	GCTGGTAGCTTCGGGATCTG
mouse *C4a*	ATATGCATGGAGCGCCTACG	CTTGCCATTGCGCCAGATAC
mouse *Itgax*	GAGGCTGCAAGCATCATTCG	GCATCAAAGTTCTCCACGCTG
mouse *Nlrc4*	CAGGTCACAGAAGAAGACCTGA	ACTTCCCTTTGCCAGACTCG
mouse *Nlrc5*	TTGCCTACTATGGGGAGCCT	AGCTCCACAAGACTCAGCAC
mouse *Cybb*	GAGGTTGGTTCGGTTTTGGC	GGCATCTTGGAACTCCTGCT
mouse *Cxcl10*	ATGACGGGCCAGTGAGAATG	GAGGCTCTCTGCTGTCCATC
mouse *Trem2*	ACAGCACCTCCAGGAATCAAG	AGGATCTGAAGTTGGTGCCC
mouse *Fcgr2b*	ATCTGGACTGGAGCCAACAAG	TTCTTCATCCAGGGCTTCGG
mouse *Nlrp3*	GCCATCATCAGCTCCTGTGT	ACTGGCTGACTGAACGACTG
mouse *Pycard*	CCGAGTTTCATCCCCAGTCC	GCCTTTGATCCCAGGCCTAT
mouse *Il1b*	CTCCATGAGCTTTGTACAAGG	TGCTGATGTACCAGTTGGGG
mouse *Il18*	AGCAGTGGTTTTCAGCTGGG	GGGAACAGCCAGTGTTCAGT
mouse *Tlr4*	CCTGTGGACAAGGTCAGCAA	CTCGGCACTTAGCACTGTCA
mouse *Il1r1*	GTCATTCTTGTGCACGCCAG	AACTGCCTCCTCAAGTCAGC
mouse *Nfkbia*	AGGACGAGGAGTACGAGCAA	CGTGGATGATTGCCAAGTGC
mouse *Tlr2*	AGGTGCGGACTGTTTCCTTC	CCGGTGATGCAATTCGGATG
mouse *Crebbp*	CCCACACTGTCGAACCATGA	ACAGTCATGTCGTGTGCAGT
mouse *Ep300*	AGGAGGAAGAACAGCCAAGC	CAGGGATTCCTAGAAGCTGCG
mouse *Trim21*	AGGAGAGGCTCCTGTCATTCA	GCCCCCATTCTTCCCAACTT
mouse *Usp18*	CAGGAGTCCCTGATTTGCGT	GGGCTGGACGAAACATCTCA
mouse *Psmb8*	GATGACAATGGGACTCGGCT	CTCCACTTTCACCCAACCGT
mouse *Tap1*	CGGCAACCTTGTCTCATTCG	CATGTTTGAGGGTGCCAACG
mouse *Ifit3*	GCAGCACAGAAACAGATCACC	GTTGCACACCCTGTCTTCCA
mouse *Ccl2*	CAGGTCCCTGTCATGCTTCT	GTGGGGCGTTAACTGCATCT
mouse *Gnaq*	GGTGTGCTGACCTAAGACCC	ACCCCAGGTCAAATGGCAAA
mouse *H2-Q6*	GTGTGACCTTGGGTCTTCATTT	TCCTGGTGTGTAGGCGAAG
mouse *H2-Q7*	CGGGAGCGGGTTGTAAAGT	CACCAGCAAGAGCAGCATTG
mouse *Plce1*	ACTCTTCTCATCCTCGGGGT	GGCTTGACTCTGGACGAACA
mouse *Plcg2*	GATCATGGAGACTCGGCAGG	GACAAACTGGGTGCCGTAGA
mouse *Plcl2*	CGCGGAGCAAAGGACAAAAA	CCGATGTAGTCGTCGTCCAG
mouse *Creb1*	GAGAAGCGGAGTGTTGGTGA	ACTCTGCTGGTTGTCTGCTC
mouse *Map2k6*	CCTGGCCCATCATGTAGCTG	CTCTTGACCGATGCAGCAGA
mouse *Cxcl12*	GGTGCTCAAACCTGACGGTA	CCTGGCCTTCATGGGATTGT
mouse *Stat1*	GTCATCCCGCAGAGAGAACG	AGGGTATGGAGCAGAGCTGA
mouse *Gpx1*	CAGTATGTGTGCTGCTCGGC	CATTCTCCTGGTGTCCGAACTGAT
mouse *Rictor*	ACTGACGCCAAGCAGGTTTA	TTCTTGCACCTCGGTCATCT
mouse *Tnfaip1*	GATTCGTCACTCCTGCGGAA	CTGAACGGCCAGGTTTTTGG
mouse *Cd22*	ACACAGGAGGAAACAGGCTT	ACCAGGAACAGGTGTAGGGA
mouse *Slc17a6*	TCTGTCCGTGGTCCTGAAATG	GCTGATCTTTGCGAACGTGA

566 **Supplementary Figure 1**. Physiological monitoring data of GFP and GFP-(GR)$_{100}$ (a) and

567 *Nlrp3*$^{-/-}$-GFP and *Nlrp3*$^{-/-}$-GFP(GR)$_{100}$ mice (b).

Ethics approval

All of the experimental procedures were approved by the Mount Sinai Institutional Animal Care and Use Committee (IACUC) (Approval number: IACUC-2019-0006).

Consent to participate

Not applicable.

Consent for publication

Not applicable

Availability of data and materials

The authors declare that the data supporting the findings of this study are available within the paper and its Supplementary information files. Any remaining data that support the results of the study will be available from the corresponding author upon reasonable request.

Competing interests

The authors declare that they have no conflicts of interest with the contents of this article.

Funding

The study was supported by the generous support of the Altschul Foundation to G.M.P. and by the Veteran Administration program on ALS. G.M.P. holds a Senior VA Career Scientist Award. We acknowledge that the contents of this study do not represent the views of the

NCCIH, the ODS, the National Institutes of Health, the U.S. Department of Veterans Affairs,

or the United States Government.

Author Contributions

K.J.T and C.S conducted *in vivo* experiments of the GFP-(GR)$_{100}$ model. Immunofluorescence

staining, image acquisition, and analysis were performed by K.J.T, C.S, U.H.I, U.R, and T.O.

In vitro experiments were conducted by M.S.V, M.A.R, and R.I.A. Molecular analyses,

including Western Blots, and RT-qPCR were performed by K.J.T, C.S, E.Y, M.S.V., H.W,

and M.A.R. Bioinformatics analyses were conducted by H.W and M.E. Generation and

purification of the GFP-(GR)$_{100}$ and GFP viruses were completed by Y.J.Z and L.P. K.J.T,

C.S, F.J.H, and G.M.P designed the project. K.J.T, C.S, R.I.A, E.Y, and G.M.P prepared the

manuscript. All authors discussed and commented on the article.

Acknowledgments

We thank Josh Palmieri for his impeccable work with administrative duties.

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