1	The role of preterm birth and postnatal stress in neonatal structural					
2	brain development					
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#### 40 Abstract

41 Preterm birth disrupts the emerging foundations of the brain's architecture, and the continuum of 42 early-life stress-provoked alterations reaches from a healthy adaptation with resilience to severe 43 vulnerability and maladjustment with psychopathology. The current study examined how 44 structural brain development is affected by a stressful extra-uterine environment and whether 45 changes in topological architecture at term-equivalent age could explain the increased 46 vulnerability for behavioral symptoms during early childhood. Longitudinal changes in structural 47 brain connectivity were quantified using diffusion-weighted imaging (DWI) and tractography in 48 preterm born infants (gestational age <28 weeks), imaged at 30 and/or 40 weeks of gestation 49 (N=145, 43.5% female). A global index of postnatal stress was based on invasive procedures 50 during hospitalization (e.g., heel lance). Infants were classified as vulnerable and resilient based 51 on having more or less internalizing symptoms at 2-5 years of age (n=71). Findings were 52 replicated in an independent validation sample (N=123, 39.8% female, n=91 with follow-up). 53 Higher stress levels impaired structural connectivity growth in the amygdala, insula, 54 hippocampus, and posterior cingulate cortex. The hippocampus, amygdala, and subthalamic 55 nucleus showed lower global connectivity in vulnerable relative to resilient individuals. The 56 distinct characteristics of the resilient brain allowed for a good predictive accuracy of group membership using local network measures (80%,  $p < 10^{-5}$ ,  $\kappa = 0.61$ ). These findings emphasize the 57 58 detrimental impact of postnatal stress and, more importantly, the relative plasticity of the preterm 59 brain. Resilience following postnatal stress appertains to a potential compensatory or innate 60 ability to propagate global information flow.

# 61 Significance Statement

- 62 The underdeveloped preterm brain is exposed to various external stimuli following birth.
- 63 Although the importance of early adversity has been widely recognized, the essential
- 64 understanding of the effects of early chronic stress on neonatal brain networks as well as the
- 65 remarkable degree of resilience is not well understood. We aim to provide an increased
- understanding of the impact of postnatal stress on brain development between 30 and 40 weeks of
- 67 gestation and describe the topological architecture of a resilient brain. We observed global
- 68 alteration in neonatal brain networks following postnatal stress and identified key contributive
- 69 regions conferring resilience to the development of future internalizing symptoms.

# 70 Introduction

71 During critical periods of brain development, the extra-uterine environment impacts the 72 maturation of the structural brain and behavioral functions. Preterm birth has long-lasting adverse 73 effects on brain development and increases the risk for psychiatric symptoms later in life 74 (Eikenes et al., 2011; Fischi-Gómez et al., 2015; Loe et al., 2013; Spittle et al., 2009). The 75 development of the preterm brain is contingent on several (clinical) factors, and emerging data 76 suggest that postnatal stressors such as the number of invasive procedures also play a role (Chau 77 et al., 2019; Doesburg et al., 2013; Ranger et al., 2015; Ranger & Grunau, 2013). A paucity of 78 longitudinal studies has explored the complex interaction between postnatal stress, brain 79 development, and behavioral functions following preterm birth. We thus examined the impact of 80 extra-uterine postnatal stress on brain development and how alterations in brain network 81 architecture influences vulnerability for behavioral symptoms during early childhood (2-5 years). 82

83 Early-life adversities may alter trajectories of brain maturation during a critical period of development. Cross-sectional studies investigating the effects of preterm birth on brain structure 84 85 and function have shown lower white matter integrity in association tracts (forceps minor, 86 forceps major, inferior frontal-occipital fasciculus/inferior longitudinal fasciculus, superior 87 longitudinal fasciculus, and uncinate fasciculus); and projection fibers (e.g., thalamic radiation, 88 corticospinal tract; Duerden et al., 2018; Menegaux et al., 2017; Vollmer et al., 2017; Zwicker et 89 al., 2013). Preterm birth is further related to an upregulation of functional connectivity between 90 stress-related and stress-vulnerable regions, such as the temporal cortex, thalamus, anterior 91 cingulate gyrus, hippocampus, and amygdala (De Asis-Cruz et al., 2020; Johns et al., 2019; 92 Papini et al., 2016). More recently, advances in graph theory enabled researchers to reveal 93 meaningful information about the topological architecture of the neonatal brain. Studies showed,

94	for instance, that the fundamental community structural properties (i.e., groups of densely
95	connected regions reflecting subsystems or "building blocks" of a network) of a preterm born
96	infant seem to be similar to typically developing fetuses and neonates (Song et al., 2017; Turk et
97	al., 2019); initial connectomic studies also highlight a more segregated and less integrated
98	network organization in preterm-born infants (Ball, Boardman, et al., 2013; Ball, Srinivasan, et
99	al., 2013; Groppo et al., 2014; Sa de Almeida et al., 2021) and children (de Kieviet et al., 2021;
100	Fischi-Gomez et al., 2016), indicating differences in connectomic composition. These neonatal
101	alterations in brain connectivity architecture may play a significant role in developing future
102	psychopathology (Gilchrist et al., 2021; Kaufmann et al., 2017; Van Essen & Barch, 2015).
103	Indeed, altered brain connectivity is implicated in a wide range of major psychiatric conditions,
104	from ADHD and anxiety to Major Depressive Disorder (Suo et al., 2017; Tozzi et al., 2021;
105	Wang et al., 2021).
106	
107	In this study, we examined the influence of stress on the development of premature brain

108 connectivity and, second, whether alterations in macroscale network architecture at term-

109 equivalent age may be predictive of vulnerability for anxiety-related symptoms during early

110 childhood (2-5 years of age). We examined diffusion imaging and tractography from preterm

111 infants, combined with data on postnatal stress related to their hospitalization. We aim to identify

112 specific differences in resilient and vulnerable infants that may enable resilient individuals to

113 maintain relative mental wellbeing during early childhood.

### 114 Materials and Methods

### 115 Subjects

- 116 Infants were included when they were scanned between 28-32 and/or 39-42 post-menstrual age.
- 117 Data collection was part of standard clinical care, with permission obtained to use this data for
- 118 clinical research from the medical ethical review committee of the University Medical Center
- 119 Utrecht (METC Utrecht). Preterm infants with chromosomal and/or congenital anomalies were
- 120 excluded. Details and demographics of the main and validation datasets are outlined in Table 1.
- 121

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122 Main dataset
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123 Data of *N*=145 preterm infants born infants clinically diagnosed as 'extremely preterm' with a

124 gestational age <28 weeks were included in our study, admitted to the Neonatal Intensive Care

125 Unit (NICU) between 2013 and 2019 at the Wilhelmina Children's Hospital Utrecht, The

126 Netherlands. Infants were scanned using a 45 directions diffusion protocol.

127

128 Validation dataset

129 A replication sample containing N=123 preterm infants born infants with a gestational age <28

130 weeks was included to assess the robustness of our results. Infants were admitted to the NICU

between 2008 and 2013 and were scanned using a 32 directions diffusion protocol.

132

## 133 Magnetic Resonance Imaging

- 134 MRI data included the examination of 3T structural anatomical T2-weighted imaging and
- 135 diffusion-tensor imaging (main dataset: dMRI, n=45 directions; validation dataset, n=32
- 136 directions) (3T Achieva MR scanner). Images were obtained as part of a 35-minute scanning

137 session.

138

139	T2 data were acquired using a Turbo Spin Echo (TSE) sequence, using parameters: TR=6112ms,
140	TE=120ms, voxel resolution in millimeters $0.53 \times 0.64 \times 2$ for 30 weeks and TR=4851ms,
141	TE=150ms, voxel resolution in millimeters 0.78×0.89×1.2 for 40 weeks. dMRI data were
142	acquired at 2 mm isotropic resolution and SENSE factor of 2 in 2 shells; 45 non-collinear
143	directions for the main dataset, with a b-value of 800 s/mm <sup>2</sup> and one non-diffusion weighted
144	image (b=0) with TR 6500 ms and TE 80 ms; and 32 non-collinear directions for the validation
145	dataset, with a b-value of 800 s/mm <sup>2</sup> and one non-diffusion weighted image (b=0) with TR 5685
146	ms and TE 70 ms.
147	
148	Infants were immobilized by wrapping them into a vacuum cushion. MiniMuffs (Natus Europe,
149	Münich, Germany) and earmuffs (EM's kids Everton Park, Australia) were used to reduce noise
150	and the infant's propensity to move during image acquisition. Before scanning, preterm born
151	infants scanned at 30 weeks were either sedated with 30 mg/kg oral chloral hydrate or not sedated
152	at all, whereas infants scanned at 40 weeks were all sedated with 50 to 60 mg/kg oral chloral
153	hydrate. Scanning was halted if the infant woke up, and attempts were made to re-settle the infant
154	without taking them out of the patient immobilization system. A neonatologist or physician
155	assistant was present at all times during the examination.
156	
157	Data processing
158	Structural images
159	Volumetric tissue segmentation of grey and white matter, and labeling of subcortical and cortical

160 areas, was performed on the T2 image (voxel resolution in millimeters  $0.53 \times 0.64 \times 2$  for 30

161	weeks and $0.78 \times 0.89 \times 1.2$ for 40 weeks) using the structural pipeline from the developmental
162	human connectome project (dHCP; <u>http://www.developingconnectome.org/</u> ). The dHCP pipeline
163	utilizes an "Expectation-Maximization" scheme that combines structure priors and an intensity
164	model of the images (Makropoulos et al., 2018). A total of 47 (sub-)cortical grey matter labels
165	were automatically generated during segmentation (see Figure 1).
166	
167	DWI tractography
168	Diffusion-weighted images were corrected for eddy current distortions, motion-induced signal
169	drop-out, and head motion using a non-parametric approach using FSL (FSL EDDY) (Andersson
170	& Stamatios, 2016). The b0 image (voxel-size $2 \times 2 \times 2$ for the main dataset, voxel-size
171	$1.41 \times 1.41 \times 2.00$ for validation dataset, b = 0 s/mm <sup>2</sup> ) was registered to the T <sub>2</sub> -weighted image for
172	anatomical alignment of the DWI images using FLIRT with a boundary-based-registration (BBR)
173	cost function (Greve & Fischl, 2009). The linear transformation matrix was combined with a non-
174	linear warp registration using FSL FNIRT (Andersson et al., 2007) to map the diffusion space to
175	an age-matched template. A single tensor model was used to estimate the main diffusion direction
176	in each voxel (Basser et al., 1994) based on the 45 diffusion-weighted images ( $b = 800 \text{ s/mm}^2$ ; 32
177	directions for the validation dataset). An FA and MD whole-brain map was created based on the
178	fitted tensors. White matter pathways were reconstructed using FACT (fiber assignment by
179	continuous tracking [Mori & Van Zijl, 2002]). Tractography involved starting eight streamline
180	seeds in each white matter voxel, with fiber tracking, continued along the main diffusion
181	direction of each voxel until a streamline showed high curvature (>65°), exited the brain mask,
182	and/or when a streamline entered a voxel with low FA ( $<0.05$ ). The mean FA value of a
183	streamline was computed as the weighted average FA value, including all voxels that a streamline
184	passed. Individual brain networks consisting of 47 grey matter regions and their interconnecting

185 pathways were created by combining the subcortical and cortical segmentation map with all 186 reconstructed white matter tractography streamlines, mapping for all combinations of regions 187 their interconnecting streamlines, with the weight of each region-to-region connection taken as 188 the non-zero mean FA of the selected streamlines. Connections with a low connectivity strength 189 (lowest 5%) were taken as potential false-positive reconstructions and set to 0. A group-based 190 threshold was applied, retaining connections present in at least 50% of the participants, balancing 191 the number of false-positive and false-negative structural connections (de Reus & van den 192 Heuvel, 2013). Results were validated using different levels of group-based consensus thresholds 193 (50-90%, steps of 5%).

194

Three summary measures were used to detect outliers among connectivity matrices, namely the presence of odd connections, the absence of common connections, and the average fractional anisotropy. We calculated the interquartile range (IQR) for each group separately by subtracting the 25th percentile from the 75th percentile (i.e., IQR = Q3-Q1). Participants with a score below Q1-2×IQR or above Q2+2×IQR for any of the three measures were considered outliers. This quantification led to the removal of 7 outliers at 30 weeks of gestation and 19 outliers at 40 weeks of gestation.

202

## 203 Behavioral measures

204 *Postnatal stress* 

205 Data on invasive and stressful procedures were automatically extracted from the digital medical

- 206 system. A global index of NICU-related stress was computed using a Principal Component
- 207 Analysis on six parameters: skin-breaking procedures (i.e., heel lance, arterial and venous

208	punctures, peripheral venous line insertion), total days of invasive mechanical ventilation, and
209	suctioning of the nose and mouth. Each row (i.e., subject) was weighted on the total days of
210	NICU admission. The extracted component explained 72.5% of the variance, with factor loading
211	ranging from 0.74 to 0.91. This approach avoids the confounding effects of multicollinearity and
212	continuously measures global NICU-related stress in further analyses.
213	
214	Residualized approach to postnatal stress
215	All participants were invited for standard clinical follow-up at 2.5 and/or 5.75 years of age. Both
216	the main and validation dataset had follow-up data, resulting in a total of 162 infants with both an
217	MRI a term-equivalent age and data on behavioral symptoms (see Table 2 for an overview).
218	During the clinical follow-up, parents reported on the level of internalizing symptoms of their
219	child, such as depression and anxiety, using the Child Behaviour Checklist (CBCL; Achenbach &
220	Rescorla, 2001). The CBCL is a parent-report questionnaire used to assess the frequency of
221	dysfunctional behavior exhibited by the child in the past six months. Caregivers rate their
222	children's behavior by answering questions about their child on a 3-point scale (0-2), zero being
223	"not true" one being "somewhat or sometimes true", and two being "very true or often true". If
224	children did not have a behavioral symptom assessment at 5.75 years of age, we used the 2.5
225	years assessment (moderate correlation between the two-time points; $r = 0.45$ , $p < 0.001$ , see
226	Figure 2-A). The follow-up also included other assessments not part of the current study, such as
227	motor development and intelligence.
228	
229	Resilience was quantified as a metric of mental health by indexing the internalizing symptoms

subscale of the CBCL, taking into account the degree of NICU-related stressor exposure using

231 simple linear regression. We observed a significant positive association between postnatal stress

232	and early childhood internalizing symptoms ( $t(11,151) = 4.08$ , $p < 0.001$ ). The fitted regression
233	line (see Figure 2-B) reflected the normative level, with participants positioned above the linear
234	line (i.e., positive residual) expressing an over-reactivity of behavioral symptoms to stressor
235	exposure in the neonatal period and data points below the linear line (i.e., negative residual)
236	representing individuals with under-reactivity to stressor exposure (Amstadter et al., 2014; Van
237	Harmelen et al., 2017).
238	
239	Preterm-born individuals were classified accordingly: the resilient group showed fewer
240	behavioral symptoms than expected, and a vulnerable group showed more behavioral symptoms
241	problems than expected.
242	
243	Statistical analysis
244	Analyses (connectome development and group-differences, see below) were corrected for
245	confounding factors, including gender, birthweight (z-scores), mean FA, gestational age, age at
246	
	scan, degree of brain injury (i.e., intraventricular hemorrhage), neonatal surgeries, administration
247	scan, degree of brain injury (i.e., intraventricular hemorrhage), neonatal surgeries, administration of pre-and postnatal corticosteroids (i.e., accelerates lung maturation), and days of morphine.
247 248	scan, degree of brain injury (i.e., intraventricular hemorrhage), neonatal surgeries, administration of pre-and postnatal corticosteroids (i.e., accelerates lung maturation), and days of morphine.
247 248 249	scan, degree of brain injury (i.e., intraventricular hemorrhage), neonatal surgeries, administration of pre-and postnatal corticosteroids (i.e., accelerates lung maturation), and days of morphine. <i>Stress and connectome development</i>
247 248 249 250	<ul> <li>scan, degree of brain injury (i.e., intraventricular hemorrhage), neonatal surgeries, administration</li> <li>of pre-and postnatal corticosteroids (i.e., accelerates lung maturation), and days of morphine.</li> <li><i>Stress and connectome development</i></li> <li>Longitudinal changes in whole-brain structural connectivity between 30 and 40 weeks of</li> </ul>
247 248 249 250 251	scan, degree of brain injury (i.e., intraventricular hemorrhage), neonatal surgeries, administration of pre-and postnatal corticosteroids (i.e., accelerates lung maturation), and days of morphine. <i>Stress and connectome development</i> Longitudinal changes in whole-brain structural connectivity between 30 and 40 weeks of gestation were examined using a time×postnatal stress interaction model using network-based
247 248 249 250 251 252	scan, degree of brain injury (i.e., intraventricular hemorrhage), neonatal surgeries, administration of pre-and postnatal corticosteroids (i.e., accelerates lung maturation), and days of morphine. <i>Stress and connectome development</i> Longitudinal changes in whole-brain structural connectivity between 30 and 40 weeks of gestation were examined using a time×postnatal stress interaction model using network-based statistic (NBS), a permutation-based method specifically designed to statistically assess network
<ul> <li>247</li> <li>248</li> <li>249</li> <li>250</li> <li>251</li> <li>252</li> <li>253</li> </ul>	scan, degree of brain injury (i.e., intraventricular hemorrhage), neonatal surgeries, administration of pre-and postnatal corticosteroids (i.e., accelerates lung maturation), and days of morphine. <i>Stress and connectome development</i> Longitudinal changes in whole-brain structural connectivity between 30 and 40 weeks of gestation were examined using a time×postnatal stress interaction model using network-based statistic (NBS), a permutation-based method specifically designed to statistically assess network differences (Zalesky et al., 2010). We created a NBS linear-mixed model adjusting for gender,

255 administration of pre-and postnatal corticosteroids (i.e., accelerates lung maturation), and 256 administration of morphine in days, which was applied to all non-zero  $N_i \times N_i$  connections of the 257 individual networks (lower triangle; consensus-based threshold). The N×N matrix of F-statistics 258 and matching p-values associated with the interaction effect was thresholded at a p-value of p < p259 0.05. NBS defines the largest connected component, and the size of the largest component is 260 tested against a null-model of permuting subject labels 10000 times. The subsequent null 261 distribution was used to calculate a *p*-value for the largest identified component. We used the 262 main sample and validated the findings in a separate, independent population (see Table 1). 263

264 *Group-differences between resilient and vulnerable individuals* 

265 Differences in network organization between resilient and vulnerable individuals were assessed 266 by examining global and local network metrics from the individual structural matrices at term-267 equivalent age (R packages *igraph*, *braingraph*; R Core Team, 2021). A GLM was specified to 268 test for significant group-difference in network metrics and is compared to permuted data (on 269 graph- or vertex-level), building a null-distribution. Graph-level analyses were permuted 10000 270 times and vertex-level measures were permuted 5000 times. To correct for multiple comparison 271 the contrast was thresholded on p < 0.001.

Local graph parameters, including clustering coefficient, nodal efficiency, eigenvector centrality, and communicability, were calculated to capture the influence of a region on the network. Global measures included clustering coefficient, modularity, strength, and global efficiency. *Clustering coefficient* describes the tendency of regions to cluster together in triangles and is computed by the ratio between the number of connections between region *i* and its neighbor regions and the total number of possible connections with neighbors. A higher clustering coefficient is considered

278 to be a measure of local network segregation (Rubinov & Sporns, 2010). The global measure is 279 computed by taking the mean clustering coefficient of all individual regions in the network. 280 *Nodal efficiency* describes for every region in the network the length of the shortest paths 281 between a given region *i* and all other regions *i*, and measures the average lengths of all shortest 282 paths identified for region *i* (Achard & Bullmore, 2007). Higher nodal efficiency is indicative of 283 a higher capability of information integration, and these regions can also be categorized as a hub. 284 The global measure is computed by taking the mean of nodal efficiency of all individual regions 285 in the network. Betweenness centrality describes the influence of a region in the communication 286 between pairs of regions and is measured by the frequency with which a region falls between 287 pairs of other regions on their shortest interconnecting path (Rubinov & Sporns, 2010). This 288 measure reflects the potential influence of a region to control information flow between non-289 directly connected regions. Communicability describes how well a region communicates with 290 every other region in the network and is computed by the weighted sum of all paths and walks 291 between region *i* and *j* (Estrada & Hatano, 2008). High communicability indicates that there are 292 multiple and strong alternative paths connecting the region with other regions. *Modularity* 293 describes the degree to which a network can be organized into modules of densely interconnected 294 regions but sparsely connected between modules and is computed by the difference between the 295 number of edges that lie within a community and a random network of the same degree sequence 296 (Rubinov & Sporns, 2010). High modularity reflects a highly segregated network. Strength 297 describes the total sum of the weights of all individual nodal connections in the network. 298 Together, these provide a good understanding of the connectivity and influence of a particular 299 region on the network.

300

301 Multiclass prediction classification

302 Random-forest regression with conditional inference trees (RFR-CIF) was used to assess how 303 well node-wise centrality measures could predict the correct classification of the resilient (stress-304 underreactive) and vulnerable (stress- overreactive) individuals. The predictive multiclass model 305 consisted of a centrality measure (i.e., betweenness centrality) of 47 grey-matter nodes. Analyses 306 were repeated using the other node-wise centrality measures (see Table 4). The predictors were 307 used to build and validate a predictive multiclass model that best fit the combined (main and 308 validation) dataset using 10-fold cross-validation and was tested using a hold-out dataset (65% 309 build and validation [n = 105], 35% testing [n = 57]). The model was fitted on the combined main 310 and validation dataset to increase reliability in estimating probabilities. Slight differences in 311 features due to technical variability in acquisition protocol were removed while preserving 312 biological variability using ComBat prior to model fitting (Fortin et al., 2017, 2018; Johnson et 313 al., 2007).

314

### 315 Results

The sample consisted of a main (N=145,  $M_{age}=26.53$ ,  $M_{sd}=0.97$ , 43.5% female) and validation (N=123,  $M_{age}=26.54$ ,  $M_{sd}=1.00$ , 39.8% female) dataset of preterm born individuals. Both the main (n=71) and validation (n=91) dataset have follow-up data on parent-reported internalizing symptoms. Key demographics of the two samples are presented in Table 1.

320

## 321 The effects of postnatal stress on the development of whole-brain structural connectivity

We performed network-based statistics (NBS; see Methods for details) to identify sub-networks of edge-wise effects that showed significant alterations in growth depending on the degree of postnatal stress exposure. NBS analysis revealed one significant cluster of connections, involving 48 connections, with slower growth in connectivity strength from 30 to 40 weeks of gestation for

326	individuals exposed to higher stress ( $p = 0.003$ , consensus-based threshold, see Figure 1A and
327	2A). The cluster spanned both hemispheres, involving 20 brain regions such as the amygdala,
328	thalamus, caudate nucleus, and cortical regions such as the insula, fusiform, parahippocampal
329	gyrus, anterior/posterior cingulate cortex, parietal lobe, and frontal lobe. Figure 3 provides a
330	matrix of the vertices and edges involved. The sub-network reduced in size but remained
331	significant across prevalence thresholds (Figure 4E). Also, postnatal stress significantly affected
332	white-matter connectivity at term-equivalent age, with higher stress resulting in lower structural
333	connectivity in a sub-network of 49 connections (Figure 4C, $p = 0.014$ ).
334	
335	The NBS findings were replicated in an independent sample, providing robust evidence for the
336	effects of postnatal stress on the growth of white-matter connectivity. We masked the
337	connectivity matrix such that only connections were retained if they were part of the sub-network
338	identified in the main sample. Then, we calculated a non-zero mean of connectivity strength and
339	tested the effects of postnatal stress on changes in connectivity strength between 30 and 40 weeks
340	of gestation. We observed a significant stress×time interaction such that higher levels of postnatal
341	stress were associated with slower growth in connectivity strength (Estimate=- $0.007(0.003)$ ), $F(1, $
342	37) = 4.79, <i>p</i> = 0.035, 95% CI [-0.014, -0.001], see Figure 4B). Also, higher stress was associated
343	with significantly lower levels of white-matter connectivity at term-equivalent age ( $t(13,96) = -$
344	2.44, $p = 0.016$ , see Figure 4D).
345	
346	Network architecture at term-equivalent age reveal differences between resilient and

347 vulnerable individuals

348 Based on the normative levels of stress-reactivity (based on the relationship between postnatal

349	NICU-related stress and long-term behavioral symptoms, see "Resilience to postnatal stress"
350	Methods), 41 and 42 neonates were classified as stress under-reactive (now being referred to as
351	resilient), and 30 and 49 infants were classified as stress over-reactive (now being referred to as
352	vulnerable). There were no group differences in birth weight, age at birth, age at scan,
353	corticosteroids, days of morphine administration, and mean FA (see Table 2). There was,
354	however, a slight difference in gender in the main dataset (included as a covariate). The reported
355	findings below were thresholded on 75% prevalence, i.e., connections were included if they were
356	reported in at least 75% of the participants. The results reported below are based on structural
357	connectivity at term-equivalent age.
358	
359	Global measures
360	Analyses revealed no significant group effects in measures of global network architecture.
361	
362	Local measures
363	We observed significant group effects on local network measures. Group differences were
364	region-specific such that both reduced and increased centrality were observed in vulnerable
365	relative to resilient individuals (see Table 3).
366	
367	We first examined the contribution of regions in local network organization as measured by
368	'nodal clustering'. Vulnerable infants, relative to resilient, showed a lower clustering of several
369	cortical brain regions overall, including the posterior cingulate cortex ( $t(69) = -5.48$ , $p < 0.001$ ),
370	parahippocampal gyrus ( $t(69) = -5.25$ , $p < 0.001$ ), frontal lobe ( $t(69) = -6.29$ , $p = p < 0.001$ ), and
371	parietal lobe ( $t(69) = -6.61$ , p = $p < 0.001$ ). In contrast, higher clustering was observed in the
372	hippocampus ( $t(69) = 7.19$ , p = $p < 0.001$ ), amygdala ( $t(69) = 4.8$ , p = $p < 0.001$ ), and medial

anterior temporal lobe (t(69) = 6.3, p = p < 0.001). It is important to note that only differences in the posterior cingulate cortex and parietal lobe were successfully replicated in the validation sample. Statistical details of group differences found in the main and validation dataset can be found in Table 3.

377

We assessed the contribution of regions in global communication across the brain through betweenness centrality'. On average, vulnerable infants showed a lower centrality of the hippocampus (t(69) = -9.5, p = p < 0.001) and the anterior fusiform (t(69) = -7.45, p = p < 0.001), whereas a higher centrality was observed in the brain stem (t(69) = 3.76, p = p < 0.001), posterior cingulate cortex (t(69) = 5.72, p = p < 0.001), and parietal lobe (t(69) = 6.11, p = p < 0.001, see Table 3). These results suggest differential susceptibility in connections central to global brain communication.

385

386 We further examined global network integration through 'communicability', a metric that

387 considers all possible communication paths between regions in the network. Vulnerable

individuals showed, on average, lower communicability of the hippocampus (t(69) = -16.03, p < -1

389 0.001), amygdala (t(69) = -3.74, p < 0.001), and subthalamic nucleus (t(69) = -11.44, p < 0.001,

390 see Figure 5 and Table 3). A higher global integration was observed in the posterior

391 parahippocampal gyrus (t(69) = 9.65, p < 0.001), posterior fusiform (t(69) = 9.65, p < 0.001), and

392 parietal lobe (t(69) = 3.73, p < 0.001).

393

394 Resilient and vulnerable infants did not differ on measures of nodal efficiency.

## 396 Multiclass predictive classification

- 397 Random Forest regression with conditional inference trees was used to investigate potential
- 398 predictive power from local network metrics. Local network measures (i.e., communicability) of
- the 47 (sub-)cortical grey matter regions were able to correctly classify vulnerable and resilient
- 400 individuals with an accuracy of 80.4% ( $p < 10^{-5}$ ,  $\kappa = 0.606$ , AUC = 0.914). The combined sample
- 401 (i.e., main and validation) correctly identified the groups with better than 80% balanced accuracy
- 402 (see Table 4). Importantly, similar results were obtained with the other centrality measures. For
- 403 model classification and calibration, see Figure 6.

#### 404 **Discussion**

405 Preterm-born infants have a life-long increased risk for stress-related psychopathology 406 characterized by anxiety and socio-emotional problems (Arpi & Ferrari, 2013; Upadhyaya et al., 407 2021). The current study showed that higher stress exposure during NICU admission is 408 associated with slower growth in regions such as the amygdala, hippocampus, insula, and 409 posterior cingulate cortex. Despite these global alterations in development, resilient infants at 410 term-equivalent age can propagate information through regions central for bottom-up emotion 411 regulation. We observed an excellent predictive accuracy of group membership using local 412 network measures at term-equivalent age shortly following exposure. The extra-uterine, 413 postnatal, stressful environment contributes to significant alterations in brain development, but 414 only a proportion of infants show a higher susceptibility for future behavioral problems. A 415 developmental approach is needed to understand longitudinal brain growth following postnatal 416 stress and the neurobiological mechanisms that might confer resilience or vulnerability later in 417 life.

418

419 Our findings underscore the impact of postnatal stress on the growth of structural brain 420 connections in corticolimbic pathways across both hemispheres, including critical regions 421 involved in (bottom-up) emotion regulation and processing such as the amygdala, insula, 422 hippocampus, parahippocampal gyrus, and posterior cingulate cortex. These findings align with 423 evidence from other neuroimaging studies showing a delayed development in white matter 424 pathways following preterm birth relative to term-controls (Bouyssi-Kobar et al., 2018; Dodson 425 et al., 2017; Duerden et al., 2018). We now show evidence that in addition to the effects of 426 prematurity, stressful early exposure significantly contributed to a more pronounced impact on 427 delayed development in a sub-network of connections. Interestingly, our findings indicate that

resilient individuals can compensate for global alterations in white-matter pathways or
reconfigure the brain's large-scale architecture by selecting resources facilitating information
flow throughout the network.

431

432 Measures of integration estimate the efficiency of communication among all nodes in a network, 433 enabling the integration and distribution of neural information between spatially distant brain 434 regions (Rubinov & Sporns, 2010). Vulnerable individuals showed lower global integration of 435 the hippocampus, a region that is a crucial regulator of the hypothalamic-pituitary-adrenal axis 436 activation and plays a critical role in the storage and retrieval of emotional memories (Chan et al., 437 2014; Duval et al., 2015). Prior studies on (early-life) trauma indicated that the hippocampus is 438 particularly vulnerable to chronic pain and stress, with lower volumes and a hypoconnectivity 439 following early-life trauma (Andersen et al., 2008; Shin & Liberzon, 2009). We observed a 440 similar pattern for the amygdala and subthalamic nucleus. The amygdala is part of the (medial) 441 temporal lobe and densely connected with the prefrontal cortex, and has extensive anatomical 442 connections with the paraventricular thalamus and hippocampus. This region plays a critical role 443 in perception, regulation, and plasticity of emotion (Davis & Whalen, 2000; Yang et al., 2017). A 444 less interconnected amygdala in vulnerable infants might seem contradictory, as it does not agree 445 with studies showing evidence of lower amygdala connectivity in resilient trauma-exposed adults 446 (Roeckner et al., 2021). However, a less interconnected amygdala might also be evidence of a 447 decreased inhibitory control of more segregated, cortical, regions including the ventromedial 448 prefrontal cortex (vmPFC) (Andrewes & Jenkins, 2019; Johnstone et al., 2007; Rogers et al., 449 2017). The lower centrality of the frontal lobe in vulnerable infants substantiates this 450 interpretation. Hence, the increased integration of the hippocampus and amygdala might be a key 451 system in a healthy adaptation with resilience following early disturbances of preterm birth.

452

453	Previous studies in children and adults with depression and anhedonia consistently reported a
454	lower capacity for integration (Cullen et al., 2014; Yang et al., 2017). The subthalamic nucleus
455	interconnects with the amygdala and hippocampus and receives convergent cortical and pallidal
456	projections (Accolla et al., 2016) and plays a role in threat appraisal (Serranová et al., 2011).
457	Although the subthalamic nucleus received attention concerning Parkinson's disease, the
458	increased social and affective alterations following deep-brain stimulation have been implicated
459	in the emergence of enhanced affective processing and decreased depressive symptoms
460	(Schneider et al., 2003; Smeding et al., 2006). Higher integration of these regions might be
461	beneficial in retaining mental wellbeing following preterm birth.
462	
463	Preterm-born infants (Bouyssi-Kobar et al., 2019; Sa de Almeida et al., 2021) and children (de
464	Kieviet et al., 2021; Young et al., 2018) show alterations in information flow. A balance between
465	integration and segregation is essential for efficient communication through local processing and
466	global communication. A lower integration between regions important for fear memory and
467	emotion-processing in vulnerable infants renders their networks more susceptible. It puts them at
468	increased risk for behavioral problems by making it harder to effectively compensate for second-
469	hit abnormalities that might occur within a network or region.
470	
471	The dysconnectivity of neuroanatomical networks has been implicated in the emergence of
472	several neurological and psychiatric disorders, including anxiety and depression (Akiki et al.,
473	2018; Sang et al., 2018; Yu et al., 2013). The relative preservation of global integration of regions
474	implicated in emotion processing and regulation may support impaired white matter pathways

475 more effectively after preterm birth. These results might indicate that resilient individuals can

476 increase and diminish information flow of specific regions, enabling them to compensate for 477 global alterations following preterm birth. Interestingly, however, it remains elusive whether a 478 higher centrality of the hippocampus could be interpreted as a compensatory adaptation following 479 preterm birth, enabling preterm-born individuals to "bounce back" or if it could be considered a 480 preexisting protective factor. Resilience studies following childhood trauma indicated that an 481 increased hippocampal connectivity aids emotion regulation and enables one to successfully cope 482 with trauma (Richter et al., 2019; van Rooij et al., 2021). Similarly, resilient individuals exhibit 483 lower insula activity, facilitating an appropriate adjustment of emotional resources (Haase et al., 484 2016; Waugh et al., 2008). Although the current study contributes to the literature of resilience 485 following preterm birth, future studies investigating the (neuroprotective) mechanisms by which 486 global integration is increased in resilient infants are warranted.

487

488 While a direct inverse relationship between resilience and vulnerability does not exist, 489 vulnerability studies seem to present the best available approximation for the concept of 490 resilience in preterm-born individuals. In line with studies on trauma exposure, preterm-born 491 individuals with more problem behavior seem to show reduced hippocampal connectivity and 492 lower volumes (Aanes et al., 2015; Rogers et al., 2018) and a lower interconnected amygdala 493 (Rogers et al., 2017). Further, preliminary interventional studies focusing on neuroprotection, 494 reducing the impact of postnatal stress following preterm birth (e.g., music and massage therapy) 495 showed significantly improved white matter maturation of the uncinate fasciculus (Sa de Almeida 496 et al., 2020). Hence, these results implicate that increasing information flow of the amygdala and 497 hippocampus may lead to symptom attenuation and is consistent with our observation that 498 vulnerable and resilient individuals differ in a small number of regions or pathways that may 499 facilitate compensation.

500

The differences in neural representations between resilience and vulnerable infants enable the accurate classification of group membership. The current study shows that at term-equivalent age, the connectome shows distinguishable features in topological architecture. Demonstrating these patterns highlights that resilience and vulnerability occur in the context of unique neurobiological differentiability and may be considered a valuable biomarker for predicting behavioral symptoms in early childhood.

507

508 Several methodological issues should be taken into consideration when interpreting our findings. 509 Although our unique dataset enables us to investigate individual differences in longitudinal 510 white-matter development, we could only model linear change. Studies involving three or more 511 time points (Remer et al., 2017) can fit several slopes, including quadratic, logarithmic, and 512 cubic, facilitating a more nuanced understanding of how postnatal stress affects brain 513 development. For instance, a quadratic growth pattern would mean that the effects of postnatal 514 stress emerge during a specific developmental period and then declines or disappear during a 515 particular period and then reappears later. Despite this methodological limitation, our results 516 nevertheless provide convincing evidence that between 30 and 40 weeks of gestation, postnatal 517 stress significantly reduces linear growth in a sub-network of connections. Another limitation is 518 that the resilient infants might have been healthier than the vulnerable infants. Although the 519 residualisation approach controls for the degree of postnatal stress exposure, resilient infants 520 could still have experienced fewer complications and clinical procedures compared to vulnerable 521 infants. Notably, infants did not differ on a large set of clinical parameters (see Table 2). In other 522 words, resilient preterm-born infants did not endure fewer clinical procedures, and it is unlikely 523 that they were healthier than vulnerable infants.

525	Our longitudinal findings suggest that postnatal stress leads to sparser brain connectivity after
526	preterm birth. Importantly, alterations in specific brain areas impacting bottom-up emotion
527	regulation render preterm infants resilient to internalizing symptoms later in life. These findings
528	emphasize the detrimental impact of postnatal stress and the relative plasticity of the preterm
529	brain. The current results suggest that resilience appertains to a potential compensatory or innate
530	ability to propagate global information flow, informing future intervention studies on fostering
531	specific nodal changes.

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*Figure 1.* A total of 47 grey matter regions are segmented by the structural pipeline of the developmental Human Connectome Project (dHCP). HPL; hippocampus left, HPR; hippocampus right, AML; amygdala left, AMR; amygdala right, ATLML; anterior temporal lobe medial part left, ATLMR; anterior temporal lobe medial part right, ATLLL; anterior temporal lobe lateral part left; ATLLR; anterior temporal lobe lateral part right, GPAL; gyri parahippocampalis et ambiens anterior part left, GPAR; gyri parahippocampalis et ambiens anterior part right, STGL; superior temporal gyrus middle part left, STGR; superior temporal gyrus middle part right, MITGAL; medial and inferior temporal gyri anterior part left, LOGAR; lateral occipitotemporal gyrus/anterior fusiform right, CBL; cerebellum left, CBR; cerebellum right, BRS; brainstem, OLL; occipital lobe left, OLR; occipital lobe right, GPPL; gyri parahippocampalis et ambiens posterior part left; GPPR; gyri parahippocampalis et ambiens posterior right, LOGPL; lateral occipitotermporal gyrus/posterior fusiform part right, MITGPR; medial and inferior temporal gyrus/posterior fusiform part right, MITGPL; medial and inferior temporal gyrus/posterior fusiform part right, CGAR; lateral occipitotermporal gyrus posterior fusiform part left, LOGPR; lateral occipitotermporal gyrus posterior fusiform part right, MITGPL; medial and inferior temporal gyru posterior part left, MITGPR; medial and inferior temporal gyru posterior part left, STGPR; superior temporal gyrus posterior part right, STGPL; superior temporal gyrus posterior part left, STGPR; superior temporal gyrus posterior part right, CGAL; cingulate gyrus anterior part right, FLL; frontal lobe left, FLR; frontal lobe right, CNL; caudate nucleus left, CNR; caudate nucleus right, THL; thalamus left, THR; thalamus right, SNL; subthalamic nucleus left, SNR; subthalamic nucleus right, LNL; lentiform nucleus left, LNR; lentiform nucleus right.



*Figure 2.* **A.** Significant and positive association between internalizing symptoms assessed at 2 and 5 years of age. **B.** Residualisation approach; orange observations are categorized as stress-overreactve (vulnerable), and green observations are characterized as stress-underreactive (resilient).



*Figure 3.* Matrix of largest significant subnetwork (NBS threshold of 50%) identified in the main dataset. Edges with a cross are part of the subnetwork showing a significant time×stress effect (matrix shows the delta in mean connectivity between 30 and 40 weeks of gestation) (**A**) or a significant main effect of stress (**B**) at term-equivalent age. An overview of abbreviations can be found in Figure 1. FA = fractional anisotropy.



*Figure 4.* **A.** Schematic representation of the longitudinal time×stress effects, orange representing high stress (highest 25%) and green representing low stress (lowest 25%). **B**. Replication of time×stress effects in an independent sample (32 directions diffusion protocol). **C.** Negative effect of postnatal stress on structural connectivity at term-equivalent age (included 49 connections; 45 directions diffusion protocol). **D.** Replication of stress effects in an independent sample (32 directions diffusion grotocol). **E.** Robustness of NBS findings across a range of prevalence thresholds (50%: p < 0.05; prevalence threshold of 60%: p < 0.05, prevalence threshold of 70%: p < 0.05, two-sided permutation testing, 10,000 permutations).



*Figure 5.* Distribution of group differences in communicability values between vulnerable and resilient infants (left) and regions colored according to T-value (right; vulnerable < resilient [green], Scholtens, L. H, de Lange, S. C., & van den Heuvel, 2021).



*Figure 6.* **A**. Shows the difference between the mean of predicted probabilities of the vulnerable an resileitn group. **B**. Shows the true frequency of the positive label against its predicted probability, the x-axis represents the average predicted probability in each bin and the y-axis is the proportion of sample whose class is the vulnerable class (*fraction of positives*).

Demographics	MainProtocol A(N = 145)	Validation Protocol B (N = 123)
Age at birth, mean $\pm$ SD, weeks	26.53±1.01	26.54±1.00
Age at scan, mean $\pm$ SD, weeks	31.00±0.84 41.27±0.68	30.71±0.84 41.33±1.01
30 week MRI, n 40 weeks MRI, n serial MRI, n	76 128 59	55 110 42
Gender, female/male, n	63/82	49/74
Birthweight z-score <sup>a</sup> , mean $\pm$ SD, grams	-0.61±1.41	-0.52±1.44
Postnatal stress <sup>b</sup> , median [range]	-0.69 (-3.26-5.14)	-1.26(-1.59-4.80)
Days of morphine, mean $\pm$ SD	3.72±7.11	3.17±5.18
Prenatal corticosteroids [yes/no]	128/17	115/8
Postnatal corticosteroids [yes/no]	39/106	40/83
Intraventricular hemorrhaging [yes/no]	44/101	37/85
Necrotizing enterocolitis, n	21	10
Retinopathy of prematurity, n	53	40

**Table 1.** Sample demographic and neonatal clinical details of participants (N = 268)

nb. Protocol A refers to 45 diffusion directions, Protocol B refers to 32 diffusion directions.

	Main dataset		Validation dataset			
Demographics	<b>Resilient</b> $(N = 41)$	<b>Vulnerable</b> $(N = 30)$	<i>p</i> -value	<b>Resilient</b> ( <i>N</i> = 42)	<b>Vulnerable</b> $(N = 49)$	<i>p</i> -value
Age at birth, mean $\pm$ SD, weeks	26.63±1.00	26.54±0.92	ns	26.47±1.00	25.57±0.92	ns
Age at scan, mean $\pm$ SD, weeks	41.17±0.78	41.22±0.46	ns	41.14±0.48	41.46±1.36	ns
Gender, female/male, n	12/29	16/14	< 0.05	16/26	14/35	ns
Birthweight z-score <sup>a</sup> , mean ± SD, grams	-0.44±1.35	-0.82±1.47	ns	-0.61±1.31	-0.68±1.66	ns
Fractional anisotropy, mean ± SD	0.22±0.04	0.22±0.04	ns	0.27±0.04	0.27±0.03	ns
Postnatal stress, median [range]	-0.43 [-2.84,2.62]	-0.73 [-2.56, 3.24]	ns	-1.29 [-3.53, 1.42]	-1.48 [-2.93, 1.53]	ns
Days of morphine, mean ± SD	2.68±4.36	2.29±2.25	ns	2.95±3.99	3.20±5.79	ns
Prenatal corticosteroids [yes/no]	36/5	26/4	ns	39/3	47/2	ns
Postnatal corticosteroids [yes/no]	12/29	9/21	ns	15/27	17/32	ns
Intraventricular hemorrhaging (yes/no)	15/26	9/21	ns	12/30	11/38	ns
Necrotizing enterocolitis [yes/no]	5/36	5/25	ns	1/41	4/45	ns
Retinopathy of prematurity [yes/no]	15/26	13/17	ns	17/25	13/36	ns

# Table 2. Sample demographic and neonatal clinical details of resilient and vulnerable infants

Internalizing symptoms T-score,	43 [33-55]	58 [49-73]	< 0.001	41 [29-51]	58 [47-74]	< 0.001
median [range]						

<sup>a</sup> Dutch Perinatal registry reference data (Perined)

Statistical significance was assessed with either a T-test (for continuous data) or a Kruskal-Wallis test (for ordinal data).

<b>1</b>		Main	Valio	dation
	T-value	95% CI	T-value	95% CI
Communicabili				
HPL	-16.03	[-0.102, -0.066]	-9.6	[-0.035, -0.017]
HPR	-5.56	[-0.047, -0.011]		
AML	-9.25	[-0.038, -0017]		
AMR	-3.74	[-0.016, -0.001]	-7.01	[-0.024, -0.008]
ATLML	-5.73	[-0.039, -0.01]	-3.31	[-0.028, -0.0001]
MITGAR	5.13	[0.005, 0.027]		
LOGAR	9,1	[0.011, 0.025]		
CBL	4.84	[0.003, 0.021]		
BRS	-9.49	[-0.027, -0.012]		
GPPR	7.35	[0.018, 0.051]		
LOGPR	9.65	[0.009, 0.02]	7.96	[0.003, 0.007]
CGAR	-8.96	[-0.066, -0.029]		
CGAL	-11.33	[-0.08, -0.043]		
CGPR	-5,7	[-0.028, -0.007]		
FLL	-9.63	[-0.029, -0.014]		
PLR	3.73	[0.001, 0.025]	5.52	[0.005, 0.022]
THR	-4.18	[-0.023, -0.002]		
SNR	-11.44	[-0.041, -0.022]	-5.17	[-0.032, -0.006]
LNL	-4.06	[-0.023, -0.002]		
Betweenness				
HPL	-9.5	[-5.781, -2.689]	-8.06	[-2.153, -0.87]
AML	-6.79	[-0.747, -0.242]		
AMR	-5.04	[-0.512, -0.094]		
ATLML	-4.68	[-1.118, -0.166]		
ATLMR	-7.18	[-2.358, -0.821]		
GPAL	5.22	[0.594, 2.953]		
LOGAL	-7.45	[-0.555, -0.202]	-8.72	[-0.591, -0.258]
CBL	4.58	[0.751, 5.444]		
BRS	3.76	[0.161, 3.963]	6.32	[2.499, 8.388]
GPPR	7.3	[0.982, 2.764]		
CGAL	-7.19	[-0.232, -0.081]		
CGPR	4.65	[0.076, 0.523]		
CGPL	5.72	[0.191, 0.78]	4.99	[0.135, 0.725]
PLR	6.11	[3.175, 11.527]	8.97	[4.038, 9.021]
PLL	4.84	[1.868, 11.306]		
CNR	6.25	[1.05, 3.673]		
CNL	5.96	[1.056, 3.998]		
SNL	9.42	[0.145, 0.314]		
Clustering				
HPL	7.19	[0.014, 0.04]		
AML	4.8	[0.007, 0.044]		

Table 3.	<i>Group-difference</i>	on nodal centralit	y measures for c	contrast vulnerable 🛛	> resilient.
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ATLMR	6.3	[0.009, 0.032]
GPAL	-4.29	[-0.027, -0.003]
STGL	-4.94	[-0.035, -0.006]
LOGAL	5.21	[0.006, 0.028]
CBL	-3.68	[-0.021, -0.001]
GPPR	-5.25	[-0.047, -0.01]
CGPR	-8.03	[-0.049, -0.019]
CGPL	-5.48	[-0.045, -0.01] -5.12 [-0.033-0.007]
FLR	-6.29	[-0.026, -0.007]
FLL	-5.2	[-0.021, -0.004]
PLR	-7.45	[-0.019, -0.007] -6.61 [-0.019-0.006]
PLL	-8.49	[-0.023, -0.01]
CNR	-5.14	[-0.035, -0.007]
CNL	-8.46	[-0.034, -0.014]
SNL	-7.34	[-0.026, -0.009]
Nodal efficie	ncy	
ATLLL	3,9	[0.001, 0.001]
HPL	-7,04	[-0.02, 0.02]
ATLML	-4,27	[-0.009, 0.009]
CGAL	-5,92	[-0.021, 0.021]

	Vulnerable versus Resilient	Overall
Communicability		
Sensitivity	0.778	Accuracy: 0.804
Specificity	0.828	95% CI: [0.676, 0.898]
Balanced accuracy	0.803	K=0.606
<b>Betweenness centrality</b>		
Sensitivity	0.704	Accuracy: 0.768
Specificity	0.828	95% CI: [0.636, 0.87]
Balanced accuracy	0.766	K =0.533
Nodal efficiency		
Sensitivity	0.630	Accuracy: 0.746
Specificity	0.862	95% CI: [0.616-0.856]
Balanced accuracy	0.75	K =0.495
<b>Clustering coefficient</b>		
Sensitivity	0.741	Accuracy: 0.77
Specificity	0.793	95% CI: [0.636-0.87]
Balanced accuracy	0.767	K =0.534

#### Table 4. Multiclass classification using 10-fold cross-validation