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2	ACCURATE DECODING OF THE SPINAL CORD OUTPUT IN HUMANS WITH
3	IMPLANTED HIGH-DENSITY ELECTRODE ARRAYS
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21	Keywords: motor neurons, motor units, electrodes, coherence, connectivity, Renshaw cell,

22 recurrent inhibition

23 ABSTRACT

24 Invasive electromyography opened a new window to explore motoneuron behaviour in vivo. 25 However, the technique is limited by the small fraction of active motoneurons that can be concurrently detected, precluding a population analysis in natural tasks. Here, we developed a 26 high-density intramuscular electrode for *in vivo* human recordings along with a fully 27 automatic methodology that could detect the discharges of action potentials of up to 67 28 29 concurrently active motoneurons with 99% accuracy. These data revealed that motoneurons 30 of the same pool receive common synaptic input at frequencies up to 75 Hz and that late recruited motoneurons inhibit the discharges of those recruited earlier. These results 31 32 constitute an important step in the population coding analysis of the human motor system in 33 vivo.

34 INTRODUCTION

The introduction of intramuscular needles and wires for electromyography (EMG) by Adrian and Bronk (1929) and Basmajian and Stecko (1962) opened a window to explore the neural underpinning of movement control. By recording muscle fibre action potentials, intramuscular EMG reveals the timing of the action potentials discharged by the innervating spinal motoneurons (MN). The analysis of motor units (MUs) from intramuscular EMG decomposition rapidly became the standard approach to study MN behaviour *in vivo* in humans and other species (Desmedt, 1973).

Nonetheless, the use of EMG to assess MNs also imposes some constraints. Some
intramuscular electrodes are highly selective to detect the electrical activity of a small
number of muscle fibres. This makes it easy to identify the discharge times of a few MUs
through EMG decomposition, which is conventionally based on spike sorting of action
potentials with similar morphology (LeFever and De Luca, 1982). However, the electrode

selectivity implies that only a small fraction of the hundreds of active MNs can be studied
concurrently. To increase the number of sampled MUs, investigators have serially recorded
single MU activity. While serial recordings have unravelled patterns of MN firing, a MN
population analysis is still missing, which limits our understanding of the process of
generation of the neural output of the spinal cord. Currently, there is no robust method that
provides simultaneous decoding of a large portion of the active MNs in natural tasks.

53 The identification of large populations of concurrently active MUs is necessary to characterise the synaptic inputs received by MNs. Coherence among spike trains of the 54 homonymous MN pool reflects the common synaptic input at various frequency bands. A 55 56 single MN cannot accurately sample an input with a frequency greater than half its average 57 discharge rate (Lazar and Pnevmatikakis, 2008; Lazar and Tóth, 2004), which is usually in the range 10 - 40 Hz (Enoka and Fuglevand, 2001). As a result, sampling by few MNs limits 58 59 the frequency range at which coherence (and thus common synaptic input) can be observed. However, as the common synaptic input is spread to the whole MN pool (Farina et al., 2014), 60 pooling the spike trains extracted from large populations of MUs allows sampling at higher 61 frequencies. 62

As a further example, analysis of the output of a population of MNs is also a way to 63 investigate connectivity among MNs, e.g. due to Renshaw inhibition (Eccles et al., 1961; 64 65 Renshaw, 1941). Renshaw cells receive collateral projections from MN axons and synapse on MNs mediating recurrent inhibition back to the MN pool. However, the distribution of 66 recurrent inhibition throughout the MN pool is unknown in humans (Alvarez, 2019). Most 67 knowledge about recurrent inhibition stems from experiments on anesthetized animal 68 preparations, and direct translation of findings to human studies of intact MNs during natural 69 behaviour is challenging. Again, technological advances for sampling large populations of 70 71 MUs in vivo in humans are necessary (Alvarez, 2019).

A way to increase the number of concurrently detected MUs in natural tasks uses 72 decomposition of activity recorded with high-density grids of surface electrodes (Holobar et 73 al., 2009). However, surface EMG only detects the activity of superficial MUs (Farina et al., 74 2010). As an alternative approach to increase the number of sampled MUs, we previously 75 introduced multichannel intramuscular electrodes based on thin-film technology (Farina et 76 al., 2008; Muceli et al., 2015), which provide a large and unbiased sample of MUs from both 77 78 deep and superficial muscles. These electrodes comprise a linear array of detection points in a flexible wire that can record across the muscle cross-section. Tens of MUs can be 79 80 concurrently detected with these systems (Muceli et al., 2015). Yet, these systems are limited to only 16 electrode sites and they require partially manual spike sorting. Spike sorting 81 software for multichannel intramuscular EMG indeed currently relies on human oversight to 82 edit the results (McGill et al., 2005). 83

When increasing the number of recorded signals, the EMG decomposition process must be applied to each recorded EMG channel. With conventional spike sorting, this increases computation time as well as manual editing of the results (Enoka, 2019). Alternative to spike sorting, blind source separation (BSS) methods can be applied to separate sources (MUs) when a large number of observations (EMG channels) is available (Negro et al., 2016). However, classic BSS limits the maximum number of extracted sources to the number of observations (in practice to less than the observations).

Here, we describe two breakthroughs in the technology to investigate MN behaviour *in vivo*. First, we designed, manufactured and tested a novel implantable electrode array for human studies with a much greater number of recording sites and higher site density than any previous systems. The novel design allowed the implantation of the array acutely with needles of similar size to those used in conventional concentric needle recording. Second, we used a fully automatic decomposition algorithm (no manual editing) that enabled the decoding of the high-density multiunit recordings with accuracy comparable to that achieved
by extensive manual editing of each trace by an expert operator. Further, with this new
technology, we addressed two fundamental open questions in MN physiology. We found that
a MN pool receives common synaptic input is a frequency range up to 75 Hz, much greater
than previously thought. We then analysed the effect of individual MU discharges on the MN
population output to determine the connectivity among MNs.

103 RESULTS

104 Intramuscular thin-film electrode array

105 We designed and manufactured a high-density intramuscular array with 40 platinum electrodes of area 5257 µm² each (Fig. 1 A), linearly distributed over a 2-cm length. Figure 106 107 1B shows the complete layout of the double-sided thin-film structure. The structure is built 108 on a polyimide substrate, has a total length of 7 cm and is U-shaped with two filaments of width 655 µm and 150 µm (Fig. 1C), and thickness of 20 µm. The wider filament contains 109 two linear arrays of 20 oval electrodes each (Fig. 1A), with 1-mm inter-electrode distance on 110 the top (cyan) and bottom (green) sides of the polyimide (Fig. 1C). The two arrays have a 111 shift of 0.5 mm (Fig. 1C). Since the double-sided structure is only 20-µm thick, it is 112 113 equivalent to a linear array of electrodes with 0.5 mm inter-site distance. The number of electrodes is limited by the number of interconnection lines fitting on the filament. The 114 115 advantage of two arrays on the two sides of the structure is that the filament width can be 116 reduced for a given number of electrodes. Also, the occurrence of short-circuits during manufacturing is reduced. The narrower filament is inserted into a 25-gauge needle (100 117 Sterican, B. Braun, Melsungen, Germany), to introduce the thin-film structure into a muscle, 118 119 with a procedure similar to that used in classic fine wire EMG. The needle is withdrawn 120 leaving the array inside the muscle.

121

[FIGURE 1]

122 Signal quality and motor unit yield

The electrode array was tested in three healthy men (S1-S3). Two arrays were inserted 123 in the tibialis anterior of subject S1, while one array was implanted in the other two subjects. 124 S1 performed a steady contraction at 20% of maximal force (MVC), whereas S2 and S3 125 contracted the tibialis at 30% MVC. The electrodes recorded high quality signals, with a 126 baseline noise of $15.8 \pm 9.9 \,\mu\text{V}$ (average \pm standard deviation across 4 arrays of 40 channels 127 128 each). Figure 1D displays representative signals recorded from S1 to show the signal-to-noise ratio. Figure 1E shows the firing patterns of the MUs extracted via manual decomposition 129 from the signals recorded from array1 in S1. In the raster plot, each row represents a different 130 MU, and each vertical line the discharge of an action potential. Within the selected time 131 frame (5 s), 45 MUs were consistently active, 1 MU was recruited during the contraction and 132 1 had a few isolated discharges. Figure 3F shows a representative example of a MU action 133 potential detected across several electrodes of the 40-channel array. 134 The recorded signals were decomposed independently into the constituent MU action 135

potential trains by two expert investigators (SM and AH). We refer to the two decomposition 136 137 processes as manual and automatic decomposition. For manual decomposition, intramuscular EMG signals from each thin-film system were decomposed channel by channel using spike 138 139 sorting software (McGill et al., 2005), manually edited for resolving missed discharges and 140 superimpositions, and merged (after resolving differences in the discharge patterns of the same MU extracted from different channels) so that each MU's activity was represented by a 141 unique firing pattern. For automatic decomposition, all signals from the same array were 142 143 decomposed with the BSS method (see Methods and Holobar and Zazula (2007)). We then

144 compared the MU firing patterns extracted by the two decomposition procedures (manual and145 automatic) via the rate of agreement (RoA).

Table 1 reports the data obtained via the decomposition process. The activity of 161 146 MUs was manually decomposed from the signals recorded from the 4 arrays, yielding 38735 147 148 unique discharges in 20 s. The RoA between all possible pairs of MUs detected from the same array (1225, 630, 741, 630, for S1 array1, S1 array2, S2, and S3, respectively) ranged 149 150 from 0 to 11%, confirming that all identified MU spike trains had few common discharges, i.e., they were unique. The number of channels in which the peak-to-peak amplitude of the 151 corresponding action potential exceeded 10 times the RMS baseline noise ranged from 4 to 152 153 40 (median 18) for all MUs but 3 (148 MUs in total). The presence of the same MU over 154 multiple channels contributed to the accurate extraction of the MU firing patterns (Mambrito and De Luca, 1984). The average firing rate was 14.8 ± 1.7 , 11.0 ± 1.2 , and 12.7 ± 1.9 Hz for 155 156 S1-3, in agreement with previous studies (Connelly et al., 1999; Erim et al., 1996). Most MUs were active for the whole 20 s interval, but 10 of 161 fired less than 50 times each and 157 158 were excluded from the calculation of the average firing rate and number of channels exceeding baseline to increase the reliability of the estimates. There were no MUs in common 159 160 between array1 and array2 of S1 (RoA between all possible pairs (1800) ranged between 0 161 and 5%). The cross-spike triggered averaging procedures produced averages at the baseline noise level, further confirming that there were no MUs in common between array1 and 162 array2. 163

164

[TABLE 1]

165 *Decomposition accuracy*

166 The manual decomposition of each channel (20-s recording) took >8h by the expert 167 operator. The fully automatic decomposition of each array (40 channels, 22 s) took 2h and 9

min of computational time on average across the 4 arrays (Intel CORE i9 vPro 9Gen 168 Processor with 32 GB RAM). Table 1 includes the comparison between the output of the 169 manual and automatic decomposition procedures. About 80% of the MUs identified by 170 manual decomposition were identified by the automatic decomposition. Only one MU 171 identified by automatic BSS did not match a MU extracted by manual decomposition. The 172 investigator who performed the manual decomposition initially identified the unmatched MU, 173 174 but she discarded it from further analysis because of lack of confidence in the decomposition accuracy due to the low amplitude of its action potentials. Eight MUs that were not extracted 175 176 by the automatic decomposition (21%) fired less than 50 times. 177 The average RoA across the 123 MU spike trains that were identified by both 178 procedures (manual and automatic) was $99 \pm 3\%$. Of those 123 spike trains, 64 matched the

automatic results with a 100% RoA, and 36 had a RoA \geq 99%. We inspected the

disagreement between the output of the two procedures and found that only 3 common MUs

had a RoA in the range 80 to 85% due to misalignments in discharge timings which was

182 greater than our strict threshold of 0.5 ms. One of the three MUs had a satellite action

183 potential. Among the common MUs, 16 discharges identified by the manual decomposition

and missed by the automatic decomposition were doublets.

Taken together, these results indicate that the high-density intramuscular array yields
high MU sampling and the activity of most of the MUs can be reliably extracted by a fully
automatic procedure with comparable accuracy to manual decomposition.

188 *Motor unit population coherence*

189 We calculated the coherence between groups of MUs of increasing numerosity (Fig.

190 2). Figure 2A shows 20 s of spike trains extracted from S1. Figure 2B shows the

191 corresponding coherence for groups of MUs between 1 and 34. The coherence was

192	statistically significant (i.e., above the 95% confidence level) for frequencies of about 75 Hz,
193	proving that the synaptic input bandwidth goes well beyond the β band. Similarly, the
194	coherence was still significant at ~75 Hz for S3 (Fig. 2D). In both cases, an increase of
195	coherence in the gamma band with the number of MUs is clear. On the contrary, for S2, the
196	coherence bandwidth was limited to 40 Hz (Fig. 2C).
197	[FIGURE 2]
198	Reciprocal effect of motoneuron discharges on the homonymous pool
199	The discharge of a MN depends on supraspinal and spinal inputs, including from
200	interneurons. A particular class of interneurons, the Renshaw cells, cause recurrent inhibition
201	of the homonymous MN pool (Hultborn et al., 1979). Renshaw cells are facilitated during
202	weak and inhibited during strong contractions (Hultborn and Pierrot - Deseilligny, 1979). We
203	expected to see the effects of reciprocal inhibition in our recordings when the subject exerted
204	forces of 20 or 30% MVC. As there are opposing views on the distribution of recurrent
205	inhibition between early- and late-recruited MUs within the same MN pool (Granit et al.,
206	1957; Haase et al., 1975; Hultborn et al., 1988), we separately investigated higher and lower
207	threshold MUs. Results are reported in Fig. 3 as synchronization cross-histograms. Firing rate
208	was considered a surrogate of recruitment order, in that early recruited MUs discharge faster,
209	at a given moderate level of force, than those recruited later (De Luca and Erim, 1994). As
210	can be observed in both S1 and S3, late recruited MNs caused more inhibition of the
211	discharges of the early recruited MNs at ~15 ms (dip in Fig. 3 A and C) than the converse.
212	On the other hand, for S2 (Fig. 3B), inhibition continued up to ~40 ms. No dips were
213	observed in the cross-histograms obtained by applying different perturbations (see
214	METHODS, Connectivity among motoneurons) to the original firing patterns and

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215 maintaining the firing rate unchanged (control condition; results not shown), implying that

the latter did not influence the results presented.

217

[FIGURE 3]

218 DISCUSSION

We have presented the development of a high-density electrode array for intramuscular recordings that enables the automatic accurate extraction of tens of MUs concurrently active. We have shown representative examples of MU population analysis enabled by our system.

223 Intramuscular array

224 Our electrode array configuration consists of polymer (Hassler et al., 2011) and metal that are micromachined (Stieglitz et al., 2000) into a thread containing 40 electrodes. The 225 materials and minimal thickness (20 µm) confer the required flexibility to interface the 226 muscle without being unpleasant for the subject. Each electrode has an area of 5257 μ m². 227 Such small electrodes inevitably present high electrical impedance which reduces the signal-228 to-noise ratio. The contacts were therefore coated with microrough platinum that increases 229 the active surface and reduces the impedance by 10 times compared to an untreated electrode 230 (Muceli et al., 2015, 2019). The array has electrodes manufactured on both sides of the 231 substrate (Poppendieck et al., 2015) to enable increased spatial resolution and to reduce the 232 233 likelihood of short-circuits. This improvement in the technology allowed us to build 40 electrodes in a 2-cm long filament. 234

235 *Motor unit decomposition*

Four intramuscular electrode arrays were tested in 3 subjects. Electrodes were insertedinto the tibialis anterior and used to acquire EMG during isometric contractions at moderate

force. Each array yielded an average number of 40 concurrently active MUs. Eighty-six MUs could be extracted from a contraction at 20% MVC with two high-density electrode arrays in S1. Given that the tibialis anterior is assumed to comprise about 450 MUs (Enoka, 1995) and the relatively low muscle force exerted by S1, the identified 86 MUs represent a relative large proportion of those that were active during the contraction.

On average, 31 MUs per array could be automatically decomposed with an accuracy 243 244 of 99% when compared with manual expert decomposition. Compared to previous systems with fewer electrodes (Muceli et al., 2015), the number of automatically extracted MUs with 245 the proposed high-density electrode is 2 to 3 times greater and the accuracy substantially 246 247 higher (Negro et al., 2016). For example, our previous attempt at automatic decomposition of 248 EMG recorded with two arrays of 16 channels each yielded 22 out of 53, 24 of out 57, and 21 out of 60 (i.e., about 40%) manually detected MUs at different force levels, with an average 249 250 RoA of 94%. Our high-density system enabled automatic decomposition of about 80% of the manually detected MUs action potential trains constituting the interference EMG with a 99% 251 252 RoA. Eight MUs identified by manual decomposition discharged less than 50 times, which was insufficient for the automatic identification. The yield of MUs per channel was also 253 superior to that achieved by BSS of high-density surface EMG data from the tibialis anterior 254 255 (21 MUs/64 channels) (Del Vecchio et al., 2020) that in any case can only detect MUs with large action potentials at the skin surface. 256

The automatic decomposition was validated against the manually decomposed dataset. The RoA between the two procedures was 99% on average (across 123 MUs). This value is remarkably high and can be attributed to the high-density of channels. The comparison between the two decomposition procedures is a conservative approach for estimating accuracy. As signals were decomposed independently by two decomposition methods and operators, the likelihood that the same mistake is made in the two cases is very low (Mambrito and De Luca, 1984). Therefore, the procedure of validation of the automatic
decomposition in this study is robust. In addition, the average pulse-to-noise ratio across the
124 MUs automatically extracted was 42 dB, greater than values reported for surface EMG
decomposition (Holobar et al., 2014), further confirming the high accuracy of the automatic
decomposition procedure.

We inspected the disagreement between the two decomposition procedures, and we 268 269 identified two sources of errors (doublets and misalignments). Some of the doublets could not be identified by the automatic BSS decomposition. This is to be expected as doublets may 270 have an action potential with smaller amplitude compared to the main action potential when 271 272 the second input (forming the doublet) arrives at the end-plate before the muscle had fully 273 recovered (Denslow, 1948). As the BSS algorithm can only identify action potentials with similar shape, a decrease in amplitude prevented the BSS from associating the doublet to the 274 275 same MU as the main action potential. Nonetheless, an adaptive change in threshold for detection may in the future solve this problem. 276

277 Three MUs found by both decomposition procedures had misalignment for discharges >0.5 ms and this influenced the RoA for those MUs. These misalignments are not necessarily 278 279 errors. The MU action potential train detected at a certain electrode produces time-locked trains in other electrodes that fall in that MU territory, but can also exhibit some jitter from 280 discharge to discharge due to fluctuations in muscle fiber conduction velocity (Stålberg and 281 Sonoo, 1994). In retaining only one firing pattern per MU, we discarded this information on 282 the jitter. Also, one of the three MUs had a satellite potential which showed some size and 283 temporal jitter. The two algorithms may have used either the main potential or the satellite 284 potential as a reference for the alignment, which may then cause misalignments. Note that the 285 results of the automatic decomposition did not undergo any post-processing. Otherwise, some 286

287 mistakes could have been easily corrected by plotting the firing rate against time to detect any288 inconsistencies.

Finally, this work validated for the first time BSS decomposition on a very large number of MUs. Previous validation via comparison between surface and intramuscular data was limited to an average of 1 MU per contraction commonly found in the two datasets (Holobar et al., 2010). In this study, rather than two datasets, we compared the decomposition performance when the same signals were independently analysed by two operators using two different procedures. The total number of common MUs was 123, i.e., 31 per electrode array.

295 *MU population coherence*

296 Our coherence analysis showed that the synaptic input common to the MN pool may have frequency content up to 75 Hz (Fig. 2 B and D) and that the estimated coherence 297 298 increases with the number of MUs included in the analysis. Therefore, large populations of concurrently active MUs are necessary to infer characteristics of the neural drive. For a 299 certain frequency of the synaptic input to be detected as common (i.e., statistically significant 300 in the coherence plot), the synaptic input has to be sampled at least twice as fast as that 301 frequency component (Lazar and Pnevmatikakis, 2008). Each MN integrates the supraspinal 302 303 and afferent inputs and discharges an action potential when the net input exceeds the recruitment threshold. Under the assumption of a common input uniformly distributed to the 304 305 whole MN pool (Farina et al., 2014), the effective sampling frequency of the synaptic input is 306 the cumulative frequency of all active MNs, i.e. the frequency of the spike train obtained pooling all spike trains together. In voluntary sustained contractions, a MN usually discharges 307 308 less than 40 action potentials per second (Enoka and Fuglevand, 2001). As a result, sampling 309 by few MNs limits the maximal frequency of the signal recorded from the output of the spinal

310 cord, while large populations allow the synaptic input to be reconstructed more accurately311 from the MN output.

The very large frequency content identified for the neural drive from the spinal cord 312 to muscles is unexpected as muscles can only contract within a narrow bandwidth (<10 Hz) 313 (Baldissera et al., 1998). The issue of the mismatch between the bandwidth of the neural 314 drive and of the muscle dynamics has been previously discussed in relation to the β band 315 316 (Watanabe and Kohn, 2015). It has long been known that beta oscillations are present in MN output (Ibáñez et al., 2021) while they are filtered out by the muscle contractile properties. 317 The new observation of a much greater frequency content than the β oscillations indicates the 318 319 variety of common inputs received by the MN pool. Gamma-range cortico-muscular 320 coherence has been observed during strong isometric voluntary contractions (Ushiyama et al., 2012), and during dynamic contractions (Andrykiewicz et al., 2007), suggesting that the 321 322 gamma-band rhythmic drive from the cortex contributes, at least in part, to the EMG activity at that frequency band. Our results show that human muscles can manifest rhythmic electrical 323 324 oscillations in the gamma-band also during low intensity isometric contractions.

325 Reciprocal influence of motoneuron discharges onto the homonymous pool

326 Our study included the analysis of the influence of the discharges of early recruited MUs on those recruited later (Fig. 3, $R1 \rightarrow R2$) and vice versa (Fig. 3, $R2 \rightarrow R1$). We 327 observed that the highest value of the six cross-histograms was obtained at 0 s, indicating the 328 329 common drive received by the MN pool (De Luca and Erim, 1994). Early recruited MUs were less likely to fire for about 15 ms (Fig. 3A and C, S1 and S3, $R2 \rightarrow R1$) or 40 ms (Fig. 330 3B, S2, R2 \rightarrow R1) after the discharge of later recruited MUs. This observation fits with 331 332 recurrent inhibition by Renshaw cells which occurs with similar timing (Bhumbra et al., 333 2014). Recurrent inhibition has been studied in isolated cells in *in vitro* experiments or in

anesthetized animal preparations. The main method to test homonymous recurrent inhibition 334 in humans is indirect and relies on changes in H-reflex modulation caused by presumed 335 recurrent effects (Pierrot-Deseilligny and Burke, 2005). An elegant method to evaluate 336 recurrent inhibition in humans at individual MN level has been proposed by Özyurt et al. 337 (2019). However, this method can only be used to assess the impact of the largest on smaller 338 MUs as it evaluates the effect of electrical stimulation on the background firing of small 339 340 MUs. On the contrary, our method can be applied in both directions across the MN pool during voluntary contractions. Özyurt et al. (2019) reported an average latency for recurrent 341 342 inhibition of 37.7 ms from a peripheral stimulus for the soleus muscle, which is compatible with the dips at ~ 40 ms visible in the cross-histograms of S2 (Fig. 3B). For S1 and S3, 343 inhibition occurred earlier than for S2 (Fig. 3A and C). 344

In conclusion, we present a novel high-density intramuscular array along with a methodology that fully automatically identifies the spike trains of relatively large number of MUs, unveiling new knowledge behind MN population coding. We demonstrated that the number of automatically identified MUs is high enough to reveal the presence of significant coherence between groups of MNs in the frequency range up to 75 Hz and the effect of Renshaw inhibition on the homonymous MN pool. These results constitute an important step forward in the *in vivo* population coding analysis of the human motor system.

352 ACKNOWLEDGEMENTS

353 The authors would like to thank Marco Beato and Rob Brownstone, University354 College London, for useful discussion.

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475 FIGURE CAPTIONS

FIGURE 1: Design of the double-sided electrode array and representative recordings. (A) 476 Close-up of an oval electrode. (B) Whole structures with the tracks running towards the 477 connection pad. (C) Close-up of the electrode array tip. Electrodes represented in cyan are 478 located on the top side of the thin-film array and those in green are located on the bottom side 479 of the wider filament. (D) Representative recordings obtained from the tibialis anterior of S1 480 481 during a contraction at 20% of the maximal force (MVC). (E) Firing pattern of 45 MUs 482 extracted from the signal shown in D. (F) Multichannel action potentials of a representative 483 motor unit obtained by averaging the red-coloured EMG channels in panel D with the firing pattern of the same colour in panel E as a trigger. 484

FIGURE 2. Coherence between populations of motor units. (A) Firing pattern of 68 motor units active during 20 MVC contraction (S2, 2 arrays). Coherence between combinations of cumulative spike trains (CSTs) obtained by pooling an increasing number of motor units from subject S1 (B), S2 (C), and S3 (D). Black dashed horizontal line is the 95% confidence limit. Coherence increased with the motor unit numerosity and the population coherence was significant up to 40 Hz in S2, and up to 75 Hz in S1 and S3, respectively. Note: 60 s of data were used for S1, 20 s for S2 and S3.

FIGURE 3. Analysis of motor unit synchronization for subjects S1 (A), S2 (B), and S3 (C). Left panels show the average discharge rate of the motor units in a 20 s time interval. Central R1 \rightarrow R2 (R2 \rightarrow R1) panels display the influence of earlier (later) recruited motor units on the discharge timing of the later (earlier) recruited motor units via cross-histograms between pairs of motor unit spike trains. The two rightmost columns represent the same values in logarithmic scale so that the inhibition can be more readily visualised.

498 TABLE 1: Decomposition performance for the high-density intramuscular signals: manual

499 versus automatic decomposition

ID	Number of MUs (manual)	Number of MUs (automatic)	Number of MUs (common)	RoA (mean ± SD, %)	PNR (automatic, dB)
S1 array1	50	40	39	99 ± 3	40.5 ± 7.4
S1 array2	36	27	27	98 ± 4	41.1 ± 6.7
S2	39	27	27	100 ± 1	42.0 ± 5.3
S 3	36	30	30	99 ± 4	44.9 ± 8.5

500 MU: motor unit; RoA: rate of agreement; SD: standard deviation; PNR: pulse to noise ratio

501 METHODS

502 Manufacturing process

The thin-film electrode array structure was built using microfabrication processes. The electrode array was built over a silicon wafer used as a platform for the production. The structure was built layer by layer with layers of metal for tracks sandwiched between three layers of polyimide. Metals were patterned using a photolithography process.

First, a platinum etch mask was deposited and lift-off structured on a 4 inches silicon 507 wafer. In the next step, a 5 µm polyimide layer (PI 2611, HD Microsystems) was spun on the 508 509 wafer and cured at 350°C. The lower platinum electrode contacts and tracks were then sputtered and lift-off structured. Another 10 µm polyimide layer was deposited, followed by 510 511 the upper platinum electrode tracks and contacts, which were sputtered and lift-off structured, 512 followed by a final 5 µm polyimide layer for insulation. To reach the contacts on the lower side, the silicon wafer was etched from the backside using reactive ion etching. In a second 513 reactive ion etching step, the lower electrode contacts were opened using the previously 514 deposited platinum layer as etch mask. An aluminum etch mask was then deposited on the 515 top side and used for reactive ion etching of the polyimide to open the contacts on the upper 516 517 side. After removal of the aluminum mask, the microfabrication process was completed, and the separated double-sided electrode arrays were removed from the wafer using tweezers. 518 519 The electrode contacts were coated with microrough platinum using electroplating from an 520 aqueous solution of hexachloroplatinic acid (Poppendieck et al., 2014). This reduced the electrode impedance by about one order of magnitude so that the resulting values of 521 impedance spectroscopy were ~10 k Ω at 1 kHz. A plug (Harwin M50-4902045 connector) 522 523 was soldered to the adapter as the interface with external hardware. Each electrode array was

inserted into a hypodermic needle with the bevel smoothed with a laser (PICCO LASER,O.R. Lasertechnologie, DE).

526 Subjects

527 Three healthy men (age range: 29 - 39 years) participated in the experiment, which
528 was approved by the Ethical Committee of the University Medical Center of Göttingen and
529 conducted according to the Declaration of Helsinki (2008).

530 *Experimental procedure*

The subject was seated in the chair of a Biodex System 3 (Biodex Medical Systems 531 Inc., NY, USA) with the right leg and foot stably fixated. He was asked to perform two brief 532 533 maximal voluntary contractions with 5 minutes interval in between to recover from fatigue. The peak of the two was considered as the maximal voluntary contraction (MVC). Electrode 534 array placement followed 5 extra minutes of rest. The skin was cleaned with alcohol and the 535 thin-film electrode array(s) were inserted into the middle of the proximal half of the tibialis 536 anterior muscle, perpendicular to the skin with the tip of the needle to a depth of 2.5 cm 537 538 below the fat layer as estimated by ultrasound (Telemed Ltd. Vilnius, Lithuania). The two electrode arrays in S1 were about 3 and 1 cm distant in the longitudinal and perpendicular 539 direction of the muscle, respectively. 540

Intramuscular EMG signals were recorded with a multichannel amplifier (EMGUSB2, OT-Bioelettronica, Torino, Italy) with a gain of 200-500, and band-pass filtered (8th
order Bessel filter, high-pass cut-off frequency 10-100; low-pass cut-off frequency 4400 Hz),
before being sampled at 10 kHz, using a 12-bit A/D converter. The EMG signals were
acquired in a unipolar derivation with reference and ground electrodes at the ankle.

The subject was then asked to perform a brief contraction at 20 and 30% MVC during 546 which the experimenters judged the signal quality. Following these trials, S1 was asked to 547 perform a steady contraction at 20% MVC, whereas S2 and S3 were given 30% MVC as the 548 target force level. Subjects were asked to perform a steady contraction lasting at least 1 min. 549 The subject was provided with real-time force feedback displayed on a screen. The target 550 force level was represented as straight line on the computer screen and the force exerted by 551 552 the subject as a running dot. The subject was instructed to keep the position of the dot as close as possible to the straight line. He was allowed to complete the 1 min contraction at 553 554 once or in multiple contractions with rest at will in between.

555 Signal quality assessment

EMG signals were bandpass-filtered in the bandwidth 100-4400 Hz (third-order Butterworth, zero-lag filter) so that the frequency content was the same for all signals. We quantified the baseline noise as the average across 160 channels (4 electrode arrays x 40 channels / array) of the root-mean-square of a 4 s segment of data recorded at rest.

560 Signal decomposition

The recorded signals were independently manually and automatically decomposed 561 into the constituent MU action potential trains by two expert investigators (SM and AH, 562 respectively). In both cases, signals were high pass filtered at 250 Hz prior decomposition. In 563 case of manual decomposition, intramuscular EMG signals from each thin-film array were 564 decomposed using the decomposition software EMGLAB (McGill et al., 2005), that relies on 565 566 spike sorting to detect MU action potentials. Each channel was decomposed independently and the series of discharges of a single MU were manually edited for resolving missed 567 discharges and superimpositions. This process was conducted for each MU identified from 568 the same channel until the residual signal, obtained by subtracting all averaged MU action 569

potentials from the raw signal, was comparable in power with the raw signal baseline noise, 570 indicating that all MU activity had been accounted for. As the same MU could be detected in 571 adjacent channels, the decomposition results from all channels were then merged by 572 automatically identifying the MUs detected at more than one electrode. Discharge patterns 573 with more than 75% discharges closer than 1 ms were considered to belong to the same MU 574 identified on different channels. Differences in the discharge patterns of the same MU 575 576 extracted from different channels were examined and resolved by the investigator in charge, so that at the final stage of the manual decomposition, each MU was represented by a unique 577 578 firing pattern.

A second investigator (AH), automatically decomposed the 20 s signals using the convolution kernel compensation algorithm (CKC) (Holobar and Zazula, 2007). To briefly summarize the algorithm working principle, assuming absence of noise, we can express the intramuscular EMG signal $x_c(k)$ recorded at channel *c* as the sum of trains of action potentials (one train for each active MU):

584
$$x_c(k) = \sum_{i=1}^{M} \sum_{l=0}^{L-1} h_{ci}(l) \sum_r \delta(k - \phi_{ir} - l), \ k = 0, \dots, f_S T \qquad (eq. 1)$$

where f_s is the sampling frequency, *T* the signal duration, $h_{ci}(l)$ is the action potential of the *i-th* MU as recorded at the *c-th* channel, $\sum_r \delta(k - \phi_{ir})$ the spike train of the *i-th* MU with spikes at times ϕ_{ir} , *L* the duration of the action potentials, and *M* the number of active MUs.

588

Equation 1 can be re-written in matrix form as follows:

$$\underline{x}(k) = \sum_{l=0}^{L-1} \underline{H}(l) \underline{s}(k-l) \qquad \text{with } s_i(k) = \sum_r \delta(k - \phi_{ir}). \qquad (\text{eq. 2})$$

589

590 Once the mixing matrix \underline{H} is identified, the source pulse trains can be extracted by

multiplying the EMG signals (\underline{x}) by the inverse of <u>*H*</u> (unmixing matrix). The reliability of the

automatic decomposition was assessed by the pulse-to-noise ratio, which is a signal-based

593 metric that has been validated to assess the decomposition accuracy of BSS-based

- 594 decomposition algorithms (Holobar et al., 2014).
- 595 Assessment of the decomposition accuracy

596 For each electrode array (3 subjects, 4 arrays), we report the number of MUs identified by the manual and automatic decomposition, and those commonly identified by both approaches. 597 We first inspected the results of the manual decomposition. We calculated the RoA (Holobar 598 599 et al., 2010) between each pair of MU firing patterns identified from the same 40 channel array, to ensure that they were unique. The RoA was defined as the ratio between the number 600 of discharges that were present in both firing patterns (common) and the sum of the number 601 of common discharges and the number of discharges present in only one of the two firing 602 patterns. A tolerance of 10 sample (< 1 ms) was used when identifying common discharges. 603

Each MU firing pattern was accurately estimated from the comparison between the 604 firing patterns of that MU in multiple channels. To assess the robustness of the estimation, we 605 calculated the multichannel MU action potentials by spike triggered averaging (Farina et al., 606 607 2002), i.e., by averaging the EMG of each channel using the discharges obtained from 608 decomposition as a trigger. For each MU, we then counted the number of channels where the 609 peak-to-peak amplitude of the action potential was greater than 10 times the average RMS of 610 the baseline noise across the 40 channels. The higher the number of channels exceeding the 611 threshold, the higher the likelihood that the firing pattern was accurately estimated (Mambrito and De Luca, 1984). 612

The RoA was also used to check whether there were MUs in common between array
1 and array 2 of S1. As a further check, we performed cross-spike triggered averaging by
averaging the EMG of each channel of array1 (array2) using the discharges obtained from

decomposition of the EMG from array2 (array1) as a trigger. A temporal support of 20 ms
(centered about the MU firing) was used in the spike triggered averaging procedure to
account for the propagation delay between the position of the electrode arrays, which were
about 3 cm apart. For MUs in common between the two arrays, the cross-averaging
procedure will yield an action potential with higher amplitude than the baseline noise.

We then compared the MU firing patterns extracted by the two decomposition procedures (manual and automatic). Here RoA was defined as the ratio between the matched discharges resulting from the comparison of the two procedures and the sum of matched and unmatched discharges. Discharge patterns with more than 75% discharges closer than 0.5 ms were considered to belong to the same MU identified by the manual and automatic procedure (common MU).

627 *MU population coherence*

The discriminated spike trains were used to compute spectral coherence between groups of 628 MUs, with numerosity ranging from 1 to half of the maximum number of extracted MUs. The 629 allocation of MUs into groups was repeated 25 times for each group size (i.e., 1, 2, 3, ... MU 630 spike trains) and the average coherence across the 25 repetitions was calculated. For each 631 632 MU, spike trains were represented with binary vectors of 0 and 1, with 1 indicating the occurrence of a discharge. Within each MU group, the spike trains were summed to provide a 633 cumulative spike train. Coherence analysis was performed on 0.5 s non-overlapping Hanning 634 635 windows of the cumulative spike trains with a length of the Fast Fourier Transform equal to the sampling rate. To define the significance threshold for coherence peaks, the confidence 636 637 level CL was calculated as (Rosenberg et al. 1989):

638
$$CL = 1 - (1 - \alpha)^{\frac{1}{N-1}}$$
 (eq. 3)

640 where *N* and α represent the number of segments used in the coherence calculation (data 641 length/number of windows) and the confidence level (95%), respectively.

642 *Connectivity among motoneurons*

Connectivity among MNs was estimated by the cross-histogram of the discharge of 643 pairs of MUs (1 ms resolution). To consider the opposing views on the distribution of 644 recurrent inhibition between early- and late-recruited MUs within the homonymous MN pool 645 (Granit et al., 1957; Haase et al., 1975; Hultborn et al., 1988), we investigated separately 646 647 higher and lower threshold MUs. MUs were ordered by firing rate based on the fact that at a given force, earlier recruited MUs discharge faster than later recruited ones (De Luca and 648 Erim, 1994). As control conditions, we generated 4 types of firing patterns with the same 649 number of discharges as the detected MUs in the same time interval and *i*) uniformly 650 distributed discharge times, *ii*) equal inter-spike intervals, *iii*) discharged times obtained from 651 the experimental ones by applying a time shift of 0 to 70 ms to the whole MU action potential 652 train (different for the different MUs, but the same for all action potentials of the same MU), 653 654 and iv) discharged times obtained from the experimental ones by adding or subtracting a time 655 in the range of 0 to 10% of the average inter-spike interval for each MU (different time shifts for each individual action potential). 656

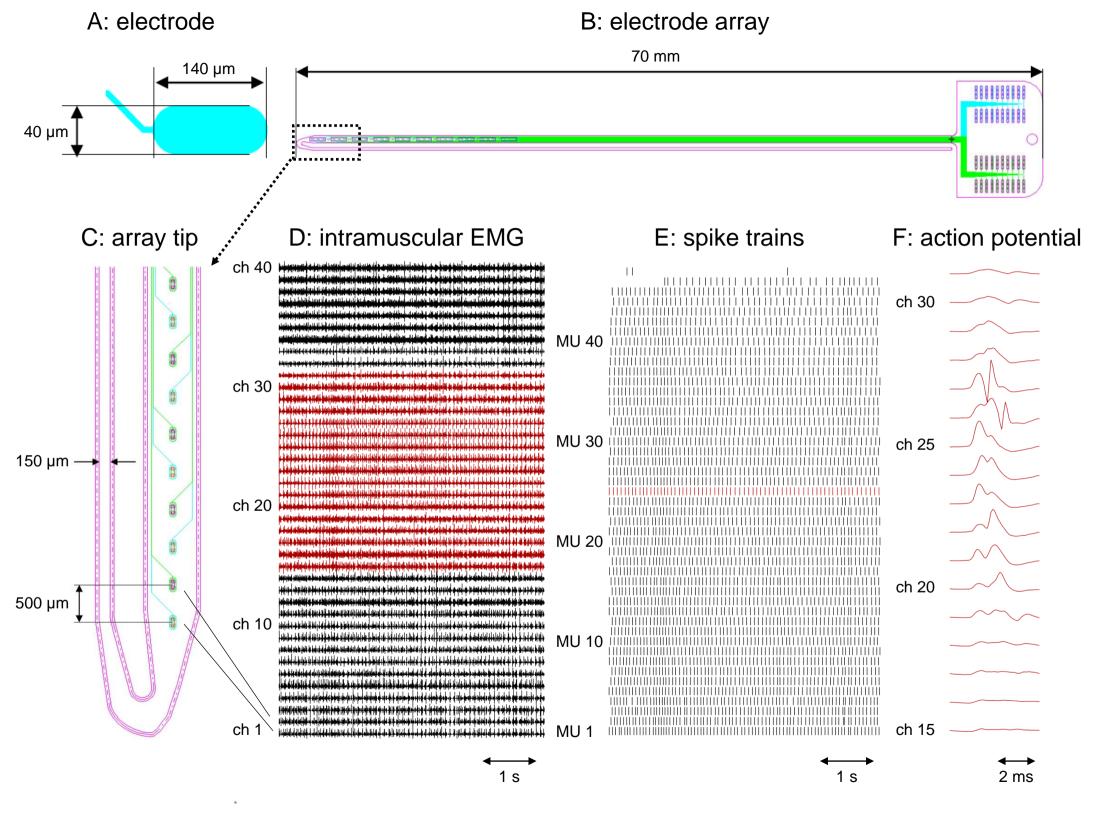


Fig 1

