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Group dynamics and habitat use of the Giant Otter, *Pteronura brasiliensis* (Zimmermann, 1780), in seasonally flooded forest in the Araguaia River, Central Brazil: A 10-years study.

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22 **Abstract**

23 We carried out monthly surveys of the giant otter population between 2010 and 2020 in
24 a study area comprised of 1,500 hectares of igapó flooded forest with oxbow lakes in the
25 Cantão region of central Brazil. We recorded 16-32 resident adults in the study area each
26 year, distributed in 4-8 groups. Resident groups exhibited extensive home range overlap,
27 with each group using several lakes and larger lakes used in rotation by up to six groups.
28 Dens and campsites were also shared by multiple groups, but lakes were used by only
29 one group at a time, and encounters between groups were very rare. 24 adult otters were
30 observed to join an existing group. Some individuals changed groups multiple times.
31 Resident adult turnover was high. Each year an average of 36% of resident adults were
32 new immigrants, and 72% of groups left the area within two years. Resident groups had,
33 on average, one litter every three years, and annual cub production showed high
34 variability and a negative correlation to the number of new immigrants in the area. No
35 pairs of giant otters reproduced successfully during the study. Groups of three otters
36 formed through the recruitment of an adult individual by an existing pair and reproduced
37 as successfully as larger groups. Group dynamics and territorial behavior in the Cantão
38 flooded forest ecosystem, where optimal giant otter habitat is continuous in all directions,
39 were found to be different from that reported in areas composed of patchy (isolated oxbow
40 lakes) or linear (rivers) habitat. This suggest that giant otter social and territorial behavior
41 is plastic and adapts to the spatial characteristics of the habitat.

42

43 **Introduction**

44 The giant otter (*Pteronura brasiliensis*) is an endangered top predator of
45 tropical South American lakes and rivers [1-5]. Giant otters originally ranged broadly from
46 the Andes to the coast of Brazil, but they were extirpated from much of their range by
47 hunting for the pelt trade, primarily between 1940 and 1980. Today the easternmost
48 remnant population of the species occurs in the Araguaia river basin of central Brazil.

49

50 **The Cantão Ecosystem**

51 The Cantão wetlands ecosystem is located at the confluence of the Javaés
52 and Araguaia rivers, in the state of Tocantins in central Brazil (Fig 1). The region is a
53 sharp ecotone between the Cerrado and Amazon biomes, with exceptional biodiversity
54 [6]. The Javaés, a large black water river, is a 400-km offshoot of the Araguaia that flows
55 around the world's largest freshwater island, Ilha do Bananal. Where it flows back into the
56 Araguaia it forms a 100,000 hectare inland delta named Cantão, an elongated triangular
57 floodplain crisscrossed by meandering channels and dotted with over 900 oxbow lakes.
58 This is the largest expanse of suitable habitat for giant otters in the Araguaia river basin,
59 and the species is reported to be common in the area [7-8].

60 Between December and May, the rising waters of the Araguaia dam the
61 Javaés, and the entire delta floods with dark acidic waters, connecting the lakes. The
62 igapó flooded forest which grows in Cantão is adapted to this cycle, with most tree species
63 growing and fruiting during the peak of the flood and dropping their fruit into the water,
64 where they are consumed by a wide variety of frugivorous fish. The dominant tall tree
65 species are the landi (*Callophylum brasiliensis*) and the piranha (*Piranhea trifoliolata*),
66 which grow to over 20 meters height. Non-forest habitat includes areas of shrubby

67 vegetation characterized by sarã (*Sapium haemospermum*) and goiabinha (*Psidium*
68 *riparium*), which turn into marshes where bladderwort (*Utricularia* sp.) and other floating
69 vegetation proliferates in the wet season. Shrub and marsh habitats occur on recently
70 deposited sediment and cover less than 5% of the area of Cantão, but are sunlit and very
71 productive during the floods, concentrating schools of fish like pacús (*Myloplus* sp.) and
72 piranhas (*Serrasalmus* spp.).

73 In May water levels begin to drop quickly, and between June and September
74 there is little to no precipitation. During the dry season the marshes and flooded forest dry
75 out completely, and fish become concentrated in the lakes and in deep pools along river
76 channels. Most fish predators, including giant otters, arapaima, peacock bass, caimans,
77 and wading birds reproduce during this season.

78 To the east the Cantão floodplain is bordered by rolling plains of Cerrado
79 vegetation, from which it is separated by the narrow Coco River, actually the easternmost
80 channel of the Javaés delta. To the west it is bordered by the Araguaia river, which is up
81 to three km wide here. Due to the very flat nature of the central Araguaia basin, seasonally
82 flooded habitat similar to that found in Cantão also occurs in narrow strips and on river
83 islands for hundreds of kilometers upstream along the Araguaia and Javaés rivers and
84 their tributaries, although much of this has been altered by dams and irrigation projects in
85 recent years.

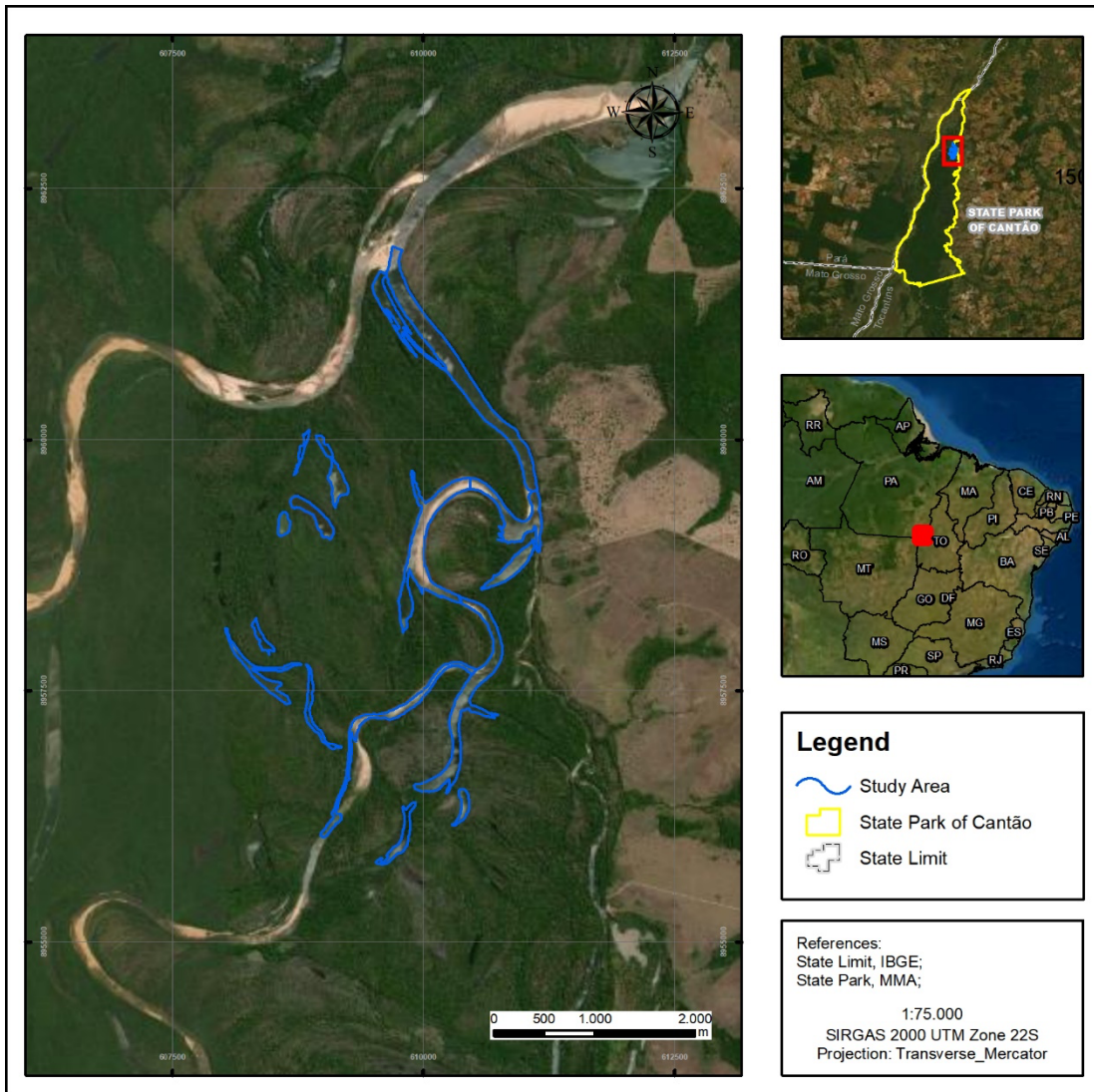


Fig 1. Study area in Cantão, Brazil.

Due to the abundance of nutrients made available by the annual flood, the aquatic ecosystem of Cantão is exceptionally rich and productive, hosting over 298 species of fish, whose abundance is among the highest known for Amazonia [9]. At the base of the food chain are many species of pacú, which feed primarily on fruit dropping from the flooded vegetation; piranhas, which are omnivorous, eating fish and falling arthropods as well as vegetable matter; and piaus (*Schizodon vittatus*), whose

95 specialized lips allows them to feed on the rich layer of mucus and microorganisms which
96 covers the submerged vegetation. All of these are in turn preyed upon by an abundance
97 of larger predators, including giant otters [10]. Other large aquatic apex predators that are
98 common in Cantão include black caiman (*Melanosuchus niger*), araguaia river dolphins
99 (*Inia araguaiaensis*), and arapaima (*Arapaima gigas*).

100 Most of the Cantão ecosystem is protected within 90,000-hectare Cantão State
101 Park. The park is bordered by river channels on all sides and contains over 850 oxbow
102 lakes with surface area greater than one ha, and over 240 km of channels meandering
103 through its interior. Until 2017 the park was considered one of the best managed protected
104 areas in the Brazilian Amazon [11] and was relatively well funded and staffed. The park
105 is completely uninhabited except for a small area near the town of Caseara, which has
106 been used by local people for seasonal agriculture since before the creation of the park.
107 Fishing is prohibited inside the park, and most of it is off limits to unauthorized persons.
108 Despite this, much of the park is vulnerable to invasion by fish poachers, who seek high
109 value species like arapaima and tucunaré (*Cichla* spp.) which have been depleted outside
110 the protected area. These poachers set up clandestine camps and fish nearby lakes until
111 they are depleted. They not only reduce availability of fish prey, but also scare away or
112 shoot giant otters, which they blame for declining fish stocks. Policy changes starting in
113 2019 have weakened park management, with patrols becoming less frequent and
114 poacher activity intensifying.

115

116 **Materials and Methods**

117 We conducted our studies in the vicinity of Instituto Araguaia's research
118 station. The station is located in Instituto Araguaia's 540-hectare private inholding within
119 Cantão State Park, thus facilitating logistics for the fieldwork. This area includes 15 oxbow
120 lakes and 9,300 m of river channels. During the low water season, the river channels
121 themselves become a string of long deep pools, ecologically very similar to oxbow lakes,
122 separated by shallow sandbanks. The study site includes one of these stretches of river
123 channel, totaling 16 lakes or lake-like bodies of water which retain water depths greater
124 than two meters during the dry season. The largest lake, Lago Grande, is 2,220 m long
125 and 110 m wide and remains connected to the river channel year-round. The other lakes
126 range from 230 m to 1,218 m in length. These bodies of water are contained within a
127 perimeter encompassing approximately 1,500 hectares of igapó flooded forest, with some
128 marshes. The site is representative of the Cantão ecosystem as a whole, containing
129 roughly proportionate samples of each of the park's natural communities. It is also one of
130 the sectors of Cantão State Park least impacted by fish poachers, who are dissuaded by
131 the year-round presence of Instituto Araguaia's researchers, rangers, and volunteers.

132 Surveys followed the 'Population Census Methodology Guidelines for the
133 Giant Otter [12]. In the dry season, lakes and river channels were surveyed using canoes,
134 and isolated lakes were surveyed on foot. In the wet season, lakes, channels, flooded
135 marshes, and igapó forests were surveyed by canoe. Traditional dugout canoes, as well
136 as fiberglass canoes, were used, powered by 44-pound electric motors. We found that
137 giant otters are less disturbed when approached with an electric motor than by paddling
138 because with the electric motor the observer can remain silent and motionless.

139 Surveys were conducted between sunrise and 11:00h, and between 15:00h
140 and sunset, which are the giant otters' peak hours of activity [2, 13]. When giant otters
141 were sighted, the group was followed from a distance large enough to avoid alarming the
142 animals (between 30 and 200 meters, depending on the behavior of the group).
143 Panasonic DMC-FZ series cameras with 18-50x optical zooms were used to film and
144 photograph the giant otters, allowing subsequent identification of individuals by their
145 throat markings and accurate counts of group size. Data from direct observations were
146 complemented with images from Reconyx and Bushnell camera traps (several models
147 over the years), placed at the entrance of active dens, on campsites, and along giant otter
148 trails between lakes.

149 Survey years were defined to extend from May 1 to April 30 of the following
150 year to coincide with the period between peak floods and to encompass a full giant otter
151 reproductive season. For survey purposes, two stretches of the river channel within the
152 core area that remain deep enough in the dry season for giant otters to swim and forage
153 were classified as "lakes". Both of them are isolated from other water bodies by extensive
154 shallow areas during the dry season and are very similar to lakes in terms of dimensions
155 and habitat characteristics.

156 Regular surveys started in September 2010 encompassing four lakes around
157 Instituto Araguaia's research station. In 2011 surveys were carried out in eight lakes, in
158 2012 in 12 lakes, and from 2013 to 2019 surveys covered the entire core study area,
159 defined to include 16 lakes and lake-like stretches of deep river channels. In 2020 it was
160 not possible to adequately survey one group of three lakes on the eastern edge of the
161 study area because armed fish poachers set up a permanent camp in the area during the

162 dry season. Surveys were conducted monthly between August 2010 and April 2021, for
163 periods varying between four and 23 field days. In the dry season (June-November) every
164 lake in the core area was surveyed by researchers at least once a week, with camera
165 traps left at active dens and campsites. In the wet season access to parts of the area was
166 blocked during periods when water levels were too high for surveys on foot but not high
167 enough to allow access by canoe. During these periods most lakes were surveyed at
168 least once a month, and temporarily inaccessible lakes were surveyed using camera traps
169 left at known wet season den sites for periods of up to two months. Additional data was
170 obtained during annual expeditions to lakes in the region adjacent to the core study area,
171 as well as to other sectors of Cantão Park.

172 A sighting catalog for individual giant otters was developed according to
173 Groenendijk et al. [12]. Individuals were identified by their unique throat markings. Each
174 individual entered into the catalog was given a name and an identifying number. Each
175 group recorded also received a group number. Groups whose composition changed were
176 considered to be the same group when at least 60% of the individual members remained
177 constant [12]. Sex information was obtained when possible by the identification of sexual
178 characteristics in videos and camera trap images.

179 Giant otters were considered to be resident in the core study area if they
180 exhibited territorial behaviors (denning, use of latrines, or actively approaching intruders
181 while periscoping) and were recorded within the study area on at least three separate
182 days for a period of 30 or more days. Groups and solitary otters that did not fulfill these
183 criteria were considered to be transient and were excluded from the analyses of habitat
184 use and range overlap but were included in the analyses of group size and composition.

185 Giant otter records obtained inside the flooded forest during the wet season were
186 assigned to the nearest lake for home range evaluation.

187 Animals recorded were classified into three age groups: “Newborn cubs” were
188 defined as animals up to around 60 days old, which remain inside the den and cannot
189 enter the water on their own, although they may sometimes be seen briefly outside the
190 den entrance, or while being carried by adults; “free-swimming cubs” are animals 60-180
191 days old which are able to enter the water on their own, initially for brief swimming lessons
192 with the adults and later to follow the adults in their daily foraging, and which can be
193 identified as cubs by their swimming behavior and size [12]; all other animals were
194 classified as “adult-sized”.

195 It was often possible to distinguish juveniles up to one year old from older
196 individuals but to be able to use data from multiple observers, we did not use this
197 information in our analysis. Only records of free-swimming cubs were included in the data
198 analysis. Records of newborn cubs could only be obtained opportunistically and were
199 excluded from the analysis because we had no way of determining the mortality rate of
200 cubs before they became free-swimming and could be observed reliably. Litter sizes and
201 cub survival rates were calculated based on the number of free-swimming cubs recorded
202 each season that survived until the following year.

203 Annual turnover rate of resident adult individuals at the study site was
204 calculated by dividing the number of resident adults that were not resident on the previous
205 year by the total number of resident adults on the site each year. The same calculation
206 was performed for resident groups. Dispersal distances were calculated both in a straight
207 line and along the shortest water route, following meandering river channels and lakes.

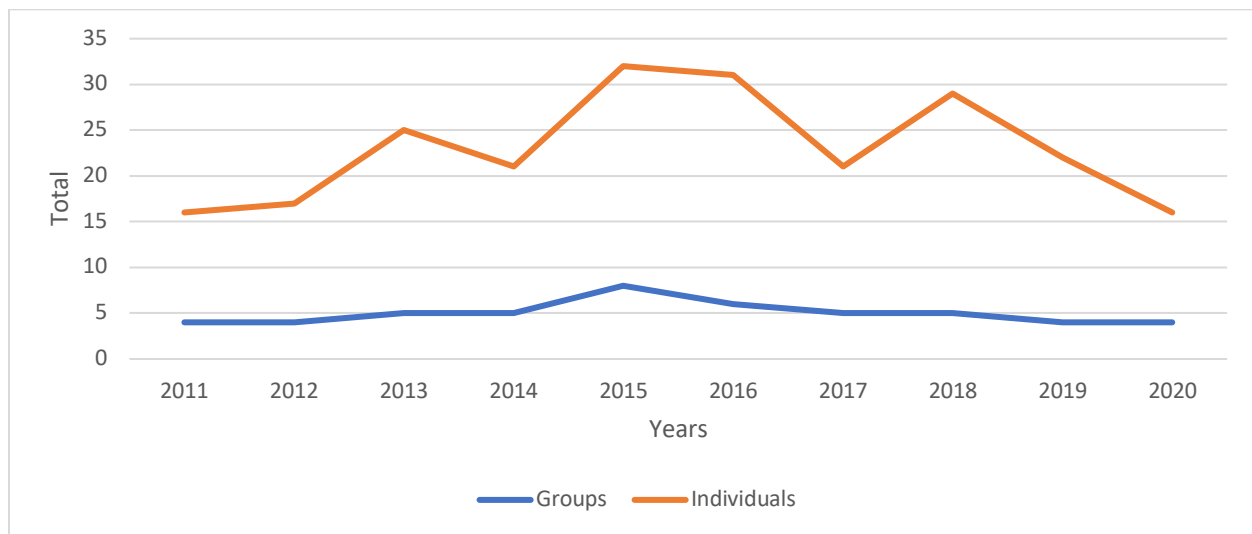
208 Although we analyzed our results in the light of published material regarding
209 giant otters, we did not identify many long-term continuous surveys similar to our study
210 which could serve as a comparative parameter to our data.

211

212 **Results**

213 We obtained 3141 records of giant otters during the study, being 2651 through
214 camera traps and 490 through direct observation. We were able to identify 168 individual
215 giant otters. The total number of adult-sized otters recorded in the studied area each year
216 varied from 16 to 32 (mean = 23; SD = 6), distributed between 4 and 8 groups (mean =
217 5; SD = 1.2) (Fig 2).

218



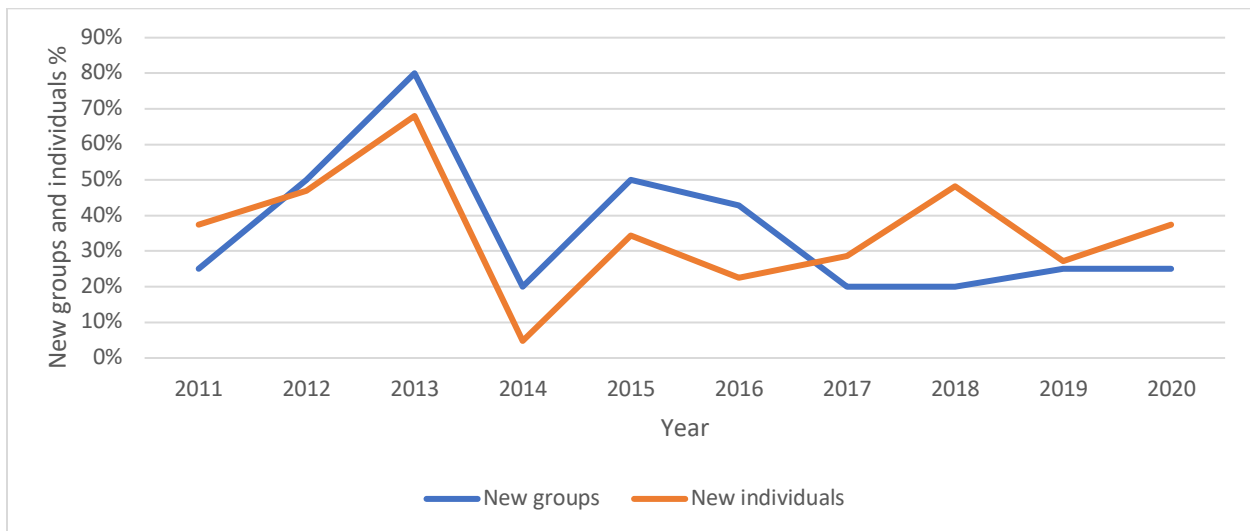
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220 **Fig 2. Total number of giant otter individuals and groups in the study area in**
221 **Cantão, Brazil.**

222

223 The annual turnover of individuals and groups in the core study area was high.
224 Between one and 17 of the resident adult giant otters recorded each year (or 5-68% of all
225 resident adults recorded; mean = 36%) were new residents that had not been present in
226 the previous year (Fig 3). These immigrants moved into the study area either as entire
227 groups or as individuals that joined a resident group (Fig 4). 72% of groups whose arrival
228 date into the study area was known remained resident in the area for two years or less
229 before moving elsewhere. A single group remained in the area for 8 years and is still
230 present as of July 2021 (Fig 5).

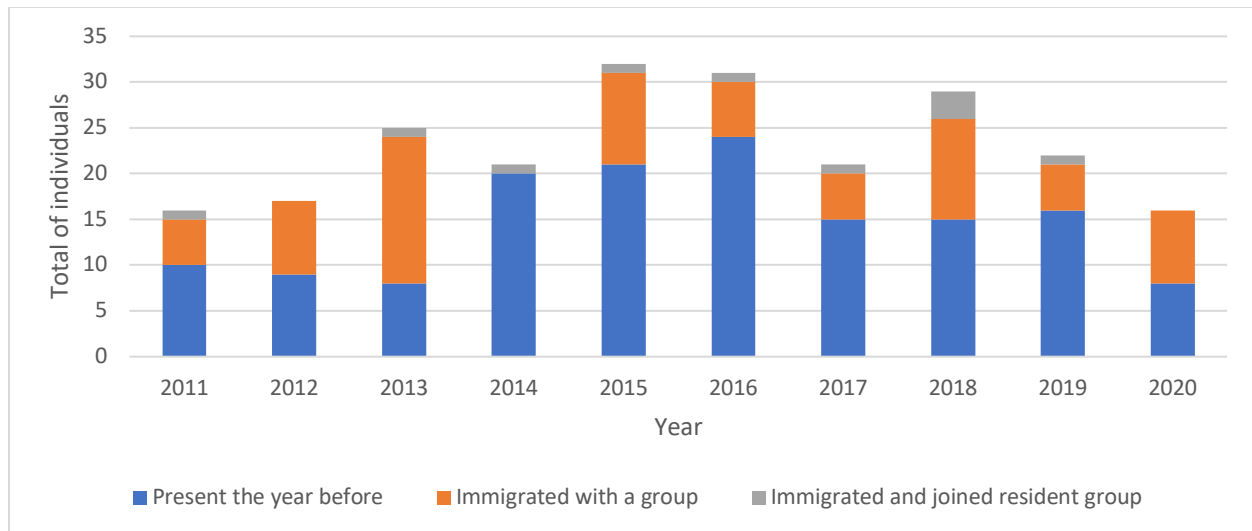
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233 **Fig 3. Annual turnover rate of individuals and groups (%) of giant otter in the study**
234 **area in Cantão, Brazil.**

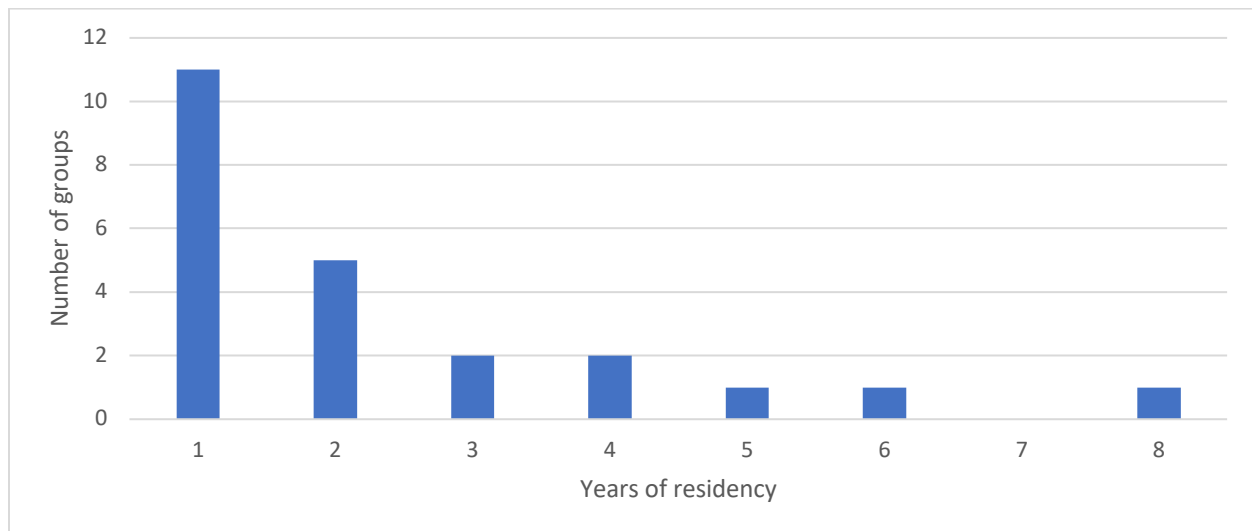
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237 **Fig 4. Origin of individuals of giant otter recorded in the study area in Cantão,**
238 **Brazil.**

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240

241 **Fig 5. Years of residency of giant otter groups in the study area in Cantão, Brazil.**

242

243 **Habitat Use and Range Overlap**

244

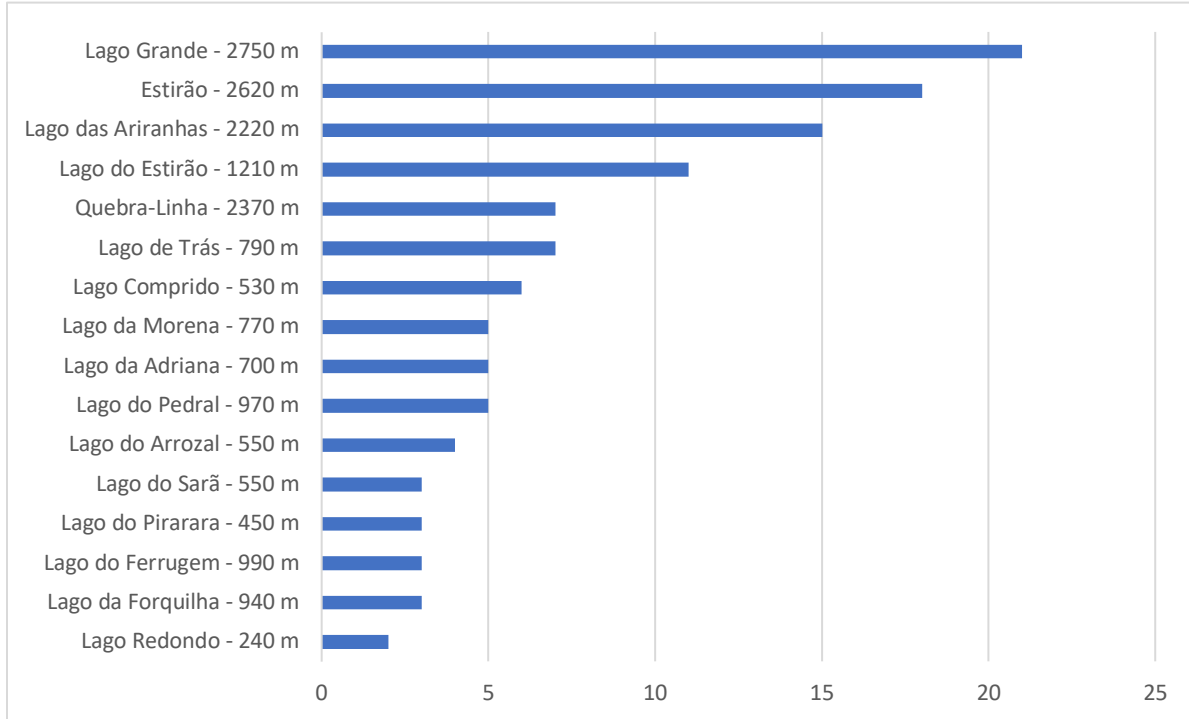
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Resident giant otter groups exhibited extensive home range overlap within the core study area. Most groups used several lakes throughout the year, but the set of lakes

246 used by each group changed from year to year. Small, isolated lakes tended to be used
247 by a single group each year. Over the ten years of the study, in the 11 monitored lakes
248 that are less than 1,000 meters long, only five instances were recorded of a lake being
249 used by more than one group during the same year. Three of these instances took place
250 in lakes located within 100 meters of a larger lake which was also being used by one or
251 more of the groups. Of the 5 monitored lakes longer than 1000 meters, two (Quebra-Linha
252 and Lago do Estirão) are undergoing siltation due to natural processes and are
253 significantly narrower and shallower than most large Cantão lakes. These were used by
254 1–3 groups each year. The remaining three bodies of water longer than 1000 meters
255 (Lago Grande, Estirão, and Lago das Ariranhas) are relatively wide and deep over most
256 of their lengths (Fig 6). Throughout the survey, most (52 %) records of resident groups
257 were obtained in these three lakes, with each lake being used by up to six groups at
258 different times over a single year.

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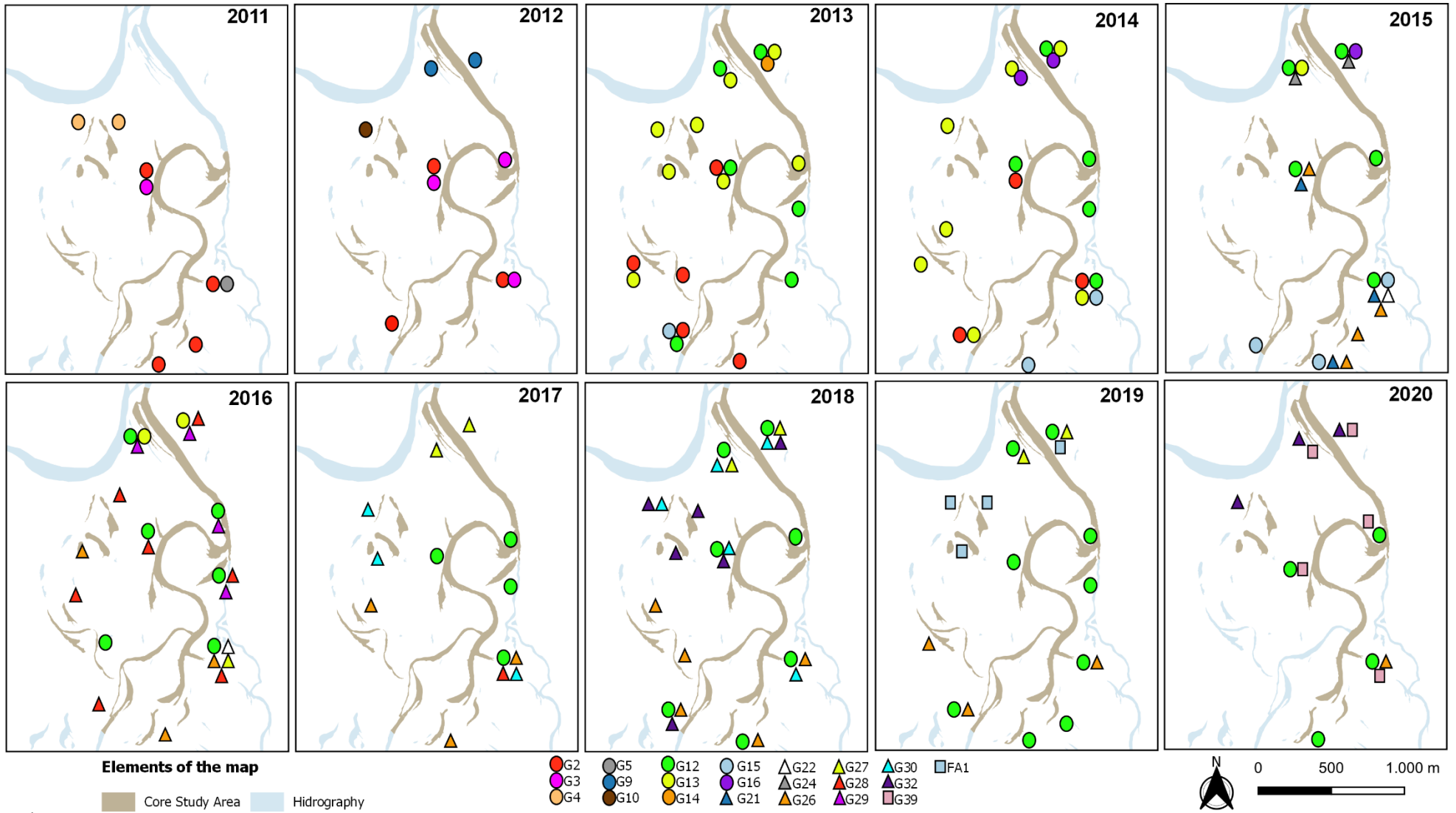
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262 **Fig 6. Total number of groups of giant otter recorded at each lake in the study**
263 **area in Cantão, Brazil.**

264

265 Lake usage patterns observed were highly variable (Fig 7). Groups rarely
266 remained in each lake for more than a few hours before moving on. A group might use a
267 particular lake once or several times over a few days, and then not return to it for a period
268 ranging from a few days to until the following year. Some groups returned to certain lakes
269 regularly over multiple years, while other groups used them sporadically, with long
270 intervals between visits.

271



273

Fig 7. Lake usage by resident groups of giant otter in the study area in Cantão, Brazil.

274

275 During periods when two or more groups shared a lake, usage patterns varied
276 from each group using the lake on different months to two or more groups using the lake
277 on alternate days over 1 – 2 weeks. Only 16 times throughout the survey there was more
278 than one group recorded in a given lake on the same day. While using lakes, groups
279 tended to use the same dens and campsites as the previous groups. Denning sites and
280 campsites in prime locations were used almost continually by as many as 11 different
281 groups throughout the survey.

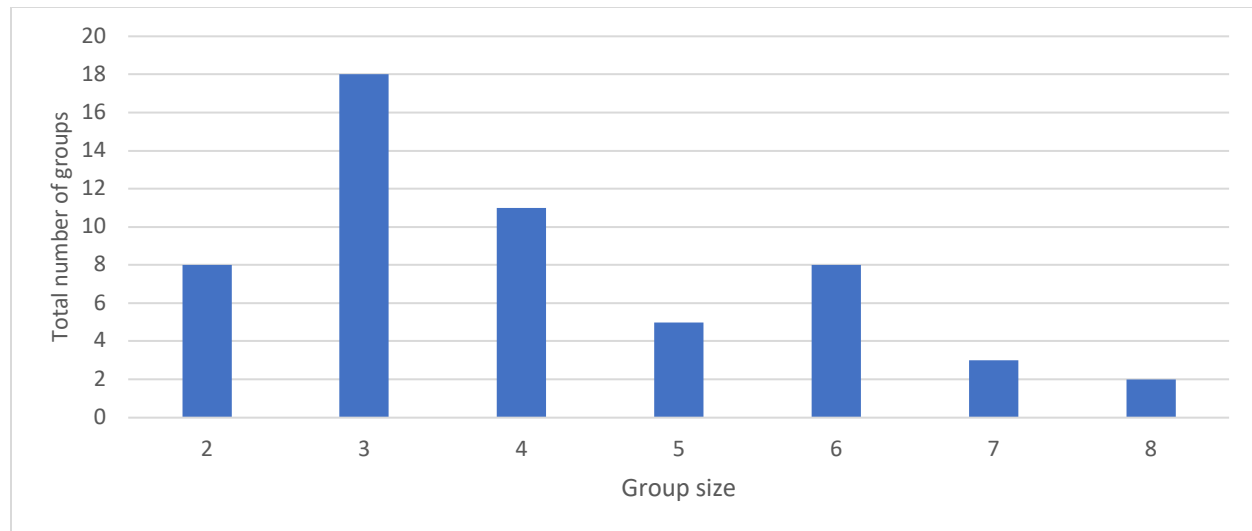
282 During the annual floods, giant otters extended their range into the flooded
283 areas between lakes. Most giant otter encounters during this season occurred inside the
284 flooded vegetation, although most records of throat markings were obtained while the
285 animals were crossing open water or by camera traps. During the floods, groups were
286 observed to use lakeside dry-season dens and campsites located on ground high enough
287 to remain above water, but also campsites on patches of high ground within the flooded
288 forest, far from open water.

289

290 **Group Dynamics**

291 Observed group size ranged from 2 to 8 individuals (mean = 4.1, median = 4,
292 n = 55) (Fig 8). Group size and composition changed over time with births, adult
293 individuals joining existing groups, and individuals disappearing.

294



295

296 **Fig 8. Size of groups of giant otter in the study area in Cantão, Brazil.**

297

298 We recorded 24 episodes of adult-sized giant otters joining a group of two or
299 more animals. In 11 of these observations, the new otter was formerly a member of a
300 resident group. Three otters joining groups were resident solitaries, and 10 were new to
301 the area. In two cases the new group members subsequently left the group and joined a
302 different group. Of 18 adult-sized individuals of known sex that joined existing groups, 13
303 (72%) were male. Of the individuals that were new to the study area and joined a resident
304 group whose sex was determined, three were male and two were female. In 16 cases
305 where we were able to determine the status within the group of the new member, three
306 became the reproductive female, three became the reproductive male, and the remainder
307 (two females, two males, and six of undetermined sex) became non-reproductive
308 subordinate group members. One of the subordinate females became the breeding
309 female of her group when the original breeding female disappeared after three years.
310 19 groups formed by three giant otters were recorded in the core study area. 18 of these
311 trios (94%) were resident groups. Six groups of three otters were formed when a

312 preexisting pair of giant otters was joined by a third adult-sized individual, and one group
313 of three otters was composed of former members of three different resident groups. This
314 group was first recorded after it had formed so it was not possible to determine whether
315 it also started as a pair that was later joined by a third individual. Of the five individuals
316 that joined a pair to form a group of three whose sex was determined, four were male.
317 One group that was first recorded as a trio consisted of two males and one female. The
318 remaining groups of three were either already formed when first recorded or were the
319 remnants of a larger group that had lost members. We observed immigrant groups or
320 individuals of giant otters dispersing distances up to 16.5 km of linear distance (Table 1).
321

322 **Table 1. Dispersal distances for immigrant groups of giant otter and individuals of**
323 **known origin, in the study area in Cantão, Brazil.**

Individual or Group	Dispersal year	Place of Origin	Dispersal place	Linear distance	Shortest water route
Pb_52	2014	Estirão	Lago das Ariranhas	10.92 km	13 km
Pb_52	2015	Lago das Ariranhas	Lago Grande	14.05 km	17,4 km
Pb_81	2015	Lago da Lua	Lago Grande	16.5 km	31,6 km
Pb_52	2016	Lago Grande	Paredão	5.93 km	9,44 km
FA1	2019	Lago do Pequizeiro	Lago Comprido	9.01 km	13,06 km

324

325 28 solitary giant otters were recorded in the core study area. Eleven of these
326 met the criteria to be classified as a resident, and three of these were formerly members
327 of resident groups. The remaining solitaires were transients. Resident solitaires were
328 often observed to approach boats and periscope. Nine of the solitary otters recorded
329 subsequently formed pairs or joined existing groups in the study area, and seven of these
330 had been resident solitaires during the previous year. Of 12 solitary giant otters whose
331 sex was determined, eight were male and four were female. Of 4 transient solitaires of
332 known sex, three were male.

333 23 pairs of giant otters were recorded in the study area throughout the survey.
334 Of these, nine were residents and 14 were transient. Only one resident pair remained in
335 the area as a pair for more than one year. All other resident pairs either left the area or
336 were joined by a third adult animal within one year. 21 pairs were formed during the study.
337 All consisted of at least one member that was a former resident of the study area, and in
338 five pairs both members were former residents. Only two pairs were formed by new
339 members to the area. Of 28 individual otters of known sex that formed pairs, 17 (68%)
340 were former residents (eight males and nine females) and eight were new to the area (six
341 males and two females).

342 Fig 9 illustrates the changing composition and exchange of members over time
343 of 17 giant otter groups monitored during the study. Group 2, a breeding resident group,
344 was joined by an adult-sized female in 2011, which remained subordinate to the breeding
345 pair. In 2013 the breeding male disappeared and was replaced by Pb 53 a new male
346 arrival. In 2014 this new male bred with the 2011 female and was assisted in rearing the
347 cubs by two remaining offspring of the original breeding pair. In 2015 the group left the

348 core study area. Group 12 immigrated into the study area with three members in 2012,
349 being two males and a female, and was soon joined by a female (Pb 30) whose parental
350 group disappeared from the study area. Within a week one of the males left G12 to
351 become the breeding male of G13, a group of five otters. In 2014 G12 had a litter, and
352 Pb 30 acted as a babysitter for the breeding pair. In 2013 Pb 30 left the group to form a
353 pair with a Pb 91, a new arrival to the study area. G12, now with three adult-sized
354 members, had two more litters, and in 2019 was joined by a male giant otter that assumed
355 a subordinate role to the breeding pair. Meanwhile, after an unsuccessful attempt to
356 breed, individual Pb 30 disappeared from the area and her mate, Pb 91, formed a trio
357 with two other animals, one of which was Pb 53, which had left G2 and returned to the
358 study area after a year's absence.

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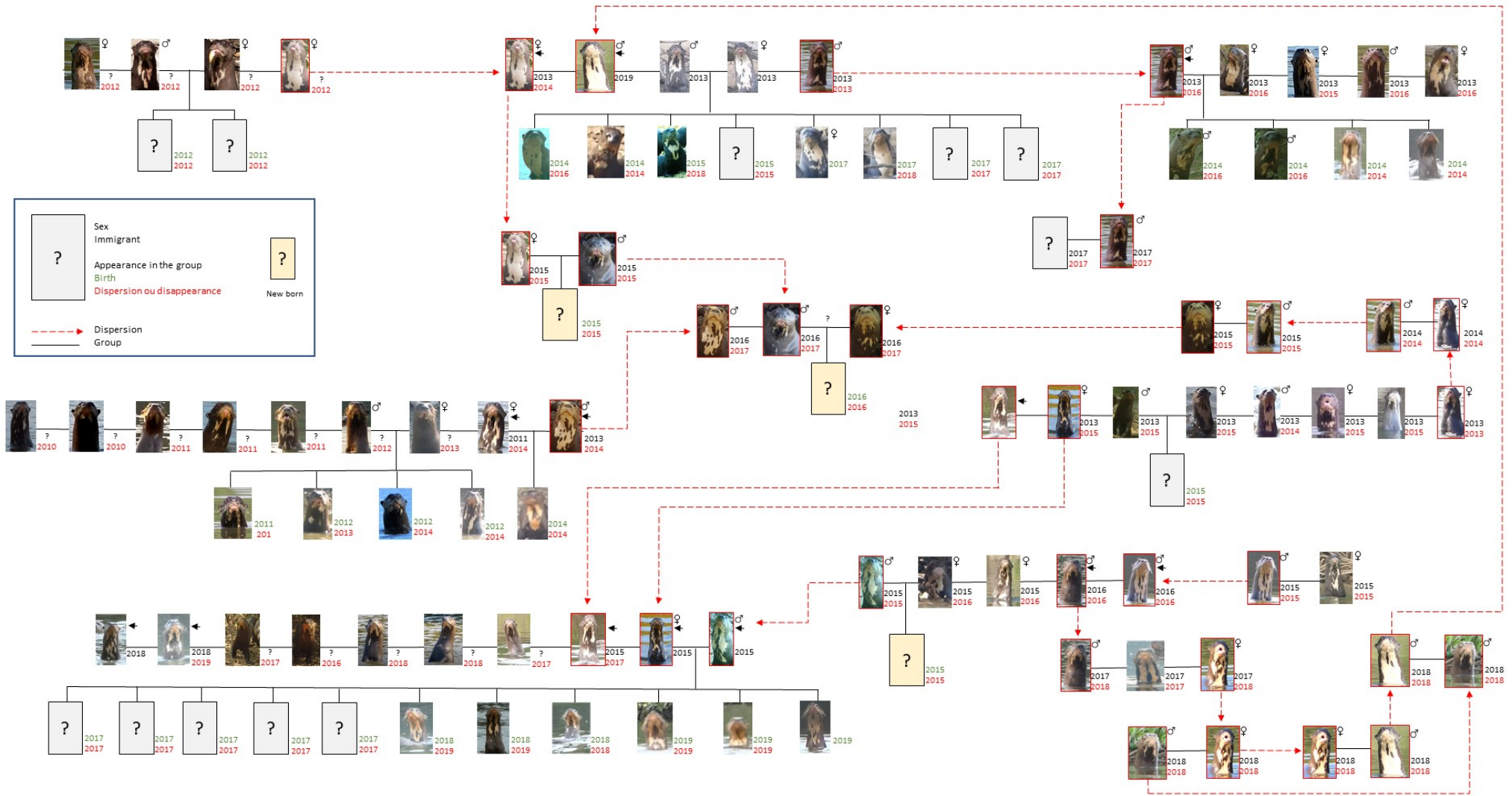
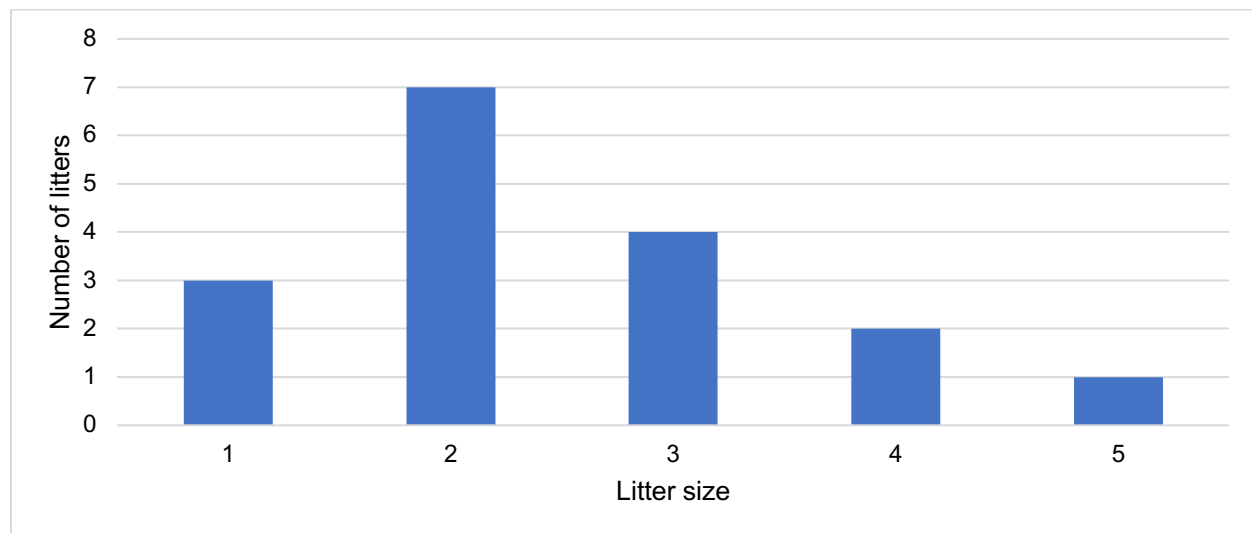


Fig 9. Member turnover and formation dynamics of giant otter groups in the study area in Cantão, Brazil.

362 **Reproduction**

363 We recorded 17 litter events that produced 42 cubs that reached the free-
364 swimming stage. Litter size at the free-swimming stage ranged from 1-5 (mean = 2.5;
365 median = 2) (Fig 10). Seven cubs too young to enter the water on their own were also
366 recorded, and five of these (71,5%) disappeared before reaching the free-swimming
367 stage. All first records of free-swimming cubs occurred between June and December,
368 suggesting that births took place between April and October.

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370

371 **Fig 10. Number of litters and litter size of giant otters in the study area in Cantão,**
372 **Brazil.**

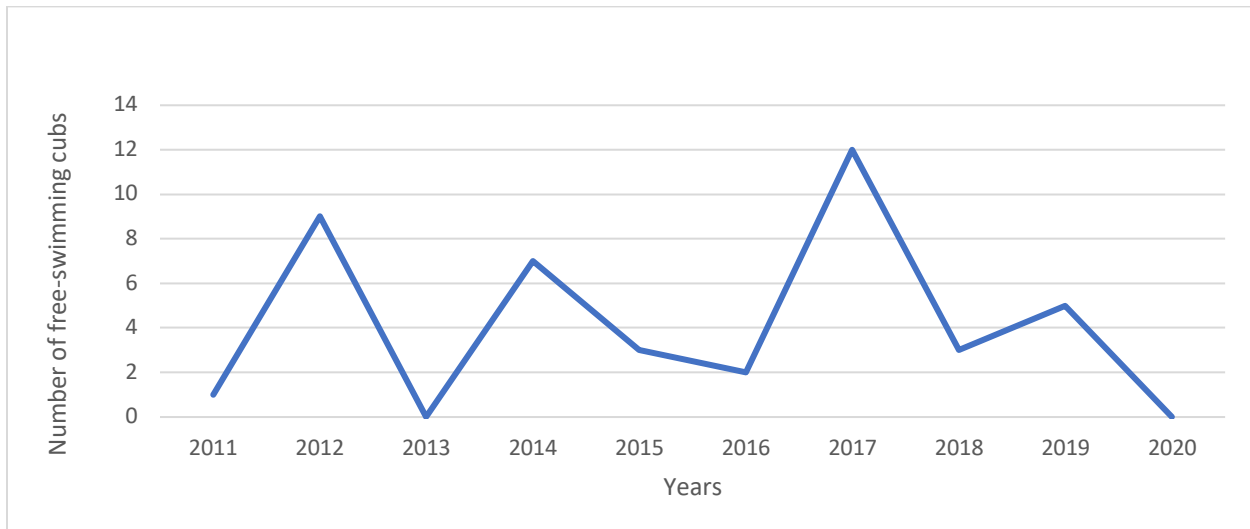
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374 The number of cubs produced per year in the core study area between 2012
375 and 2020 ranged from 0 to 12 (mean = 4.2) and showed high annual variability (Fig 11).
376 Resident groups had, on average, one litter for every three years of residency (N = 55).

377 The average number of free-swimming cubs produced per year per resident adult
378 (including both breeding and non-breeding group members) was 0.18 (N = 228).

379

380



381

382 **Fig 11. Annual number of free-swimming cubs (approx. 60 days old) of giant otters**
383 **in the study area in Cantão, Brazil.**

384

385 Only one pair was observed to have reared cubs to the free-swimming stage
386 while residing in the core study area, and these cubs disappeared a few weeks later. Two
387 other pairs were recorded to have produced newborn cubs that did not survive to the free-
388 swimming stage (Table 2).

389

390 **Table 2. Number of litters by group size of giant otter in the study area in Cantão,**
391 **Brazil.**

Group size	Number of group-years	Number of litters	Litters per group-year	Total free-swimming cubs	Cubs/group	Free-swimming cubs/adult (all adults)
2	8	1	0,13	1	0,13	0,06
3	18	7	0,39	16	0,89	0,30
4	11	3	0,27	5	0,45	0,11
5	5	1	0,20	4	0,80	0,16
6	8	5	0,63	16	2,00	0,33
7	3	0	0,00	0	0,00	0,00
8	2	0	0,00	0	0,00	0,00
Total adults = 210	55	17	0,31	42		0,2

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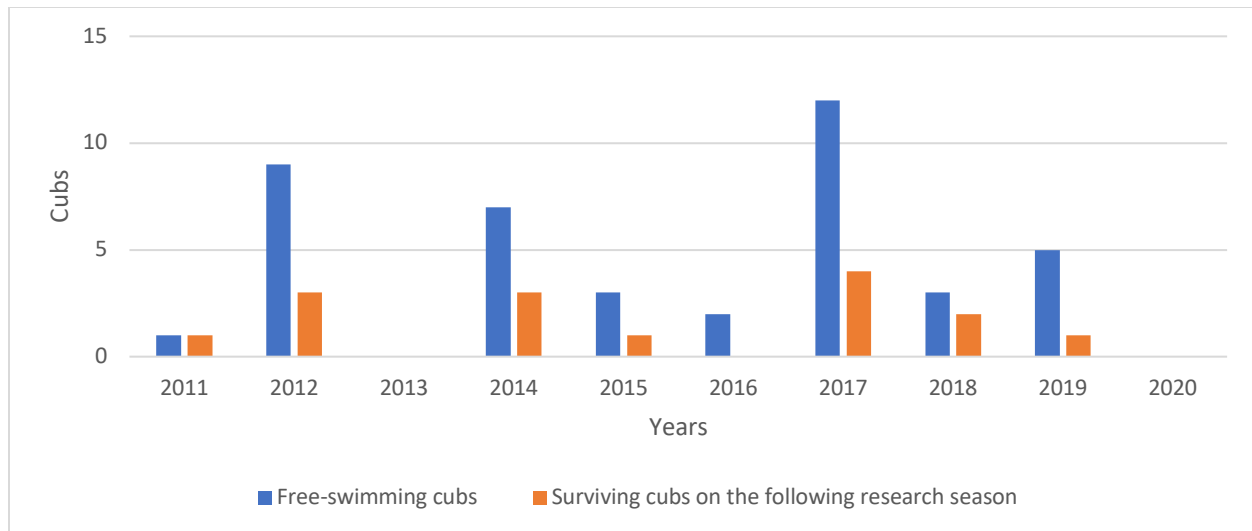
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The mean number of free-swimming cubs born per resident group year (including years when a resident group had no free-swimming cubs) was 0.76 (n = 55 group years). Pairs of giant otters had the lowest number of litters per group-year, while groups of three giant otters averaged as many free-swimming cubs produced per adult group member as larger groups. 10 groups that had free-swimming cubs were recorded again in the following year. Among these, the average cub survival rate after one year was 56%. The mean number of surviving cubs after one year per adult-sized group member was 0.37 (n = 41) (Fig 12).



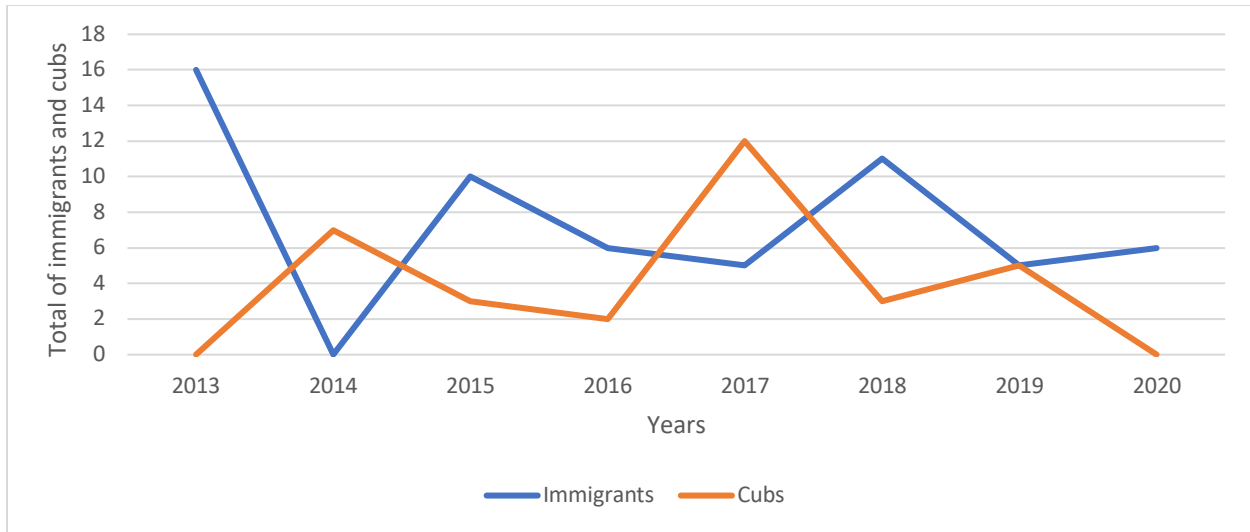
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403 **Fig 12. Survival of free-swimming cubs of giant otter after one year in the study**
404 **area in Cantão, Brazil.**

405

406 Annual cub production did not seem to correlate with the height or duration of
407 the annual flood but showed a negative correlation with the number of members of
408 immigrant groups that moved into the area during each of these years ($r = -0.56$) (Fig 13).
409 Data from 2011 and 2012 were excluded from this calculation because part of the study
410 area was not surveyed during those years.

411



412

413 **Fig 13. Correlation between number of free-swimming cubs and number of**
414 **immigrant giant otters in the study area in Cantão, Brazil.**

415

416 Discussion

417 Until recently, giant otters were thought to live in stable family groups
418 occupying stable home ranges [1, 14]. More recent studies showed that groups
419 sometimes include unrelated members [15], and that group home ranges can overlap
420 those of other groups [16, 17]. In Cantão we found giant otter group composition and
421 home ranges to be very fluid. Group home ranges overlap extensively and shift from year
422 to year, with multiple groups sharing the largest lakes, but not synchronically. Even dens
423 are commonly used by different groups. Group composition also changes constantly, not
424 only as cubs are born and adult members leave, but also as new adult-sized individuals
425 join existing groups, eliciting a complex social system in the species.

426 In the Pantanal, Ribas et al. [15] found that some groups included subordinate
427 individuals that were not offspring of the breeding pair, whereas Leuchtenberger and

428 Mourão [17] also observed adult-sized animals entering new groups as subordinates. In
429 southeast Peru, by contrast, Groenendijk et al [18] found that immigrants were only
430 recruited into a resident group if they claimed the dominant breeding status. In our study
431 we observed adult-sized individuals joining established groups as both subordinate and
432 breeding members. Nine out of 23 resident groups recorded (39%) were observed to
433 accept new members throughout the study. Of 36 adult-sized new group members whose
434 origin was determined during the study, 15 were cubs that had survived their first year
435 while 21 had joined the group as adults. This suggests that giant otter groups in Cantão
436 may, on average, contain more immigrants than offspring of the breeding pair as
437 subordinate members.

438 Despite sharing most or all of their home range with other groups, giant otter
439 groups in Cantão are quite successful at avoiding one another. Only 16 times during the
440 study we recorded different groups in the same lake on the same day. Agonistic
441 encounters also appear to be rare. While we sometimes saw otters with bite marks from
442 fights that quickly healed, we never saw a seriously injured otter, suggesting that
443 individuals engaged in few agonistic encounters. Agonistic encounters also appear to be
444 uncommon in southeast Peru [18]. These observations contrast with findings in the
445 Pantanal, where agonistic interactions were frequently recorded [17, 19-21].

446

447 **Reproduction**

448 Reproduction of giant otters in Cantão showed great annual variability, with
449 resident groups producing free-swimming cubs on average once every three years. This
450 contrasts with what was observed in southeast Peru [18], where resident groups

451 produced one litter per year. Variations in the annual number of cubs produced did not
452 correlate with flood level or duration but showed an inverse relationship with the total
453 number of resident adults and with the number of individuals in groups that immigrated
454 into the area during the previous year. This suggests that successful reproduction of giant
455 otters in Cantão may be depressed by an increase in the density of resident giant otters
456 as well as by the disruptions provoked by the arrival of new individuals or groups into a
457 patch of habitat [18]. Mourão and Carvalho [19], observed infanticide and cannibalism in
458 the species by a solitary male that was located close to the home range of a group formed
459 by six adults and agonistic behaviors were reported in areas with high individual density
460 or in contact zones of two groups territories [21, 22]. Males that enter in a new group,
461 however, often adopt the former youngs and contribute to their raising [9].

462 In Cantão none of the eleven resident pairs recorded during the study
463 reproduced successfully, and none of the 12 transient pairs observed were accompanied
464 by cubs. In contrast, groups of six or three giant otters were more successful in the
465 number of cubs produced per group-year and in terms of the total number of cubs
466 produced throughout the study. Groups of four and five individuals had a slightly lower
467 reproductive rate than groups of three. This suggests that pairs of giant otters were
468 generally unsuccessful in reproducing in this environment and that the formation of trios
469 of giant otters is critical to the reproduction of the species in Cantão. All six trios whose
470 formation was observed were formed through the recruitment of an adult individual by an
471 existing pair.

472 The observed cub survival rate after one year (55%, $n = 27$) is comparable to
473 that reported in other studies [23]. Groenendijk et al. [18] found that 63% of cubs survived
474 their first year in southeast Peru.

475

476 **Dispersal and Group Formation**

477 Observed group size in Cantão differs from that reported in other studies. In
478 southeast Peru [18] reported groups of up to 13 individuals, with a mean group size of six
479 otters. In the Xixuaú Preserve in Brazil, the mean group size was 4.5 individuals [3],
480 whereas in the Pantanal mean group size was 4.8 [24]. The maximum group size reported
481 has 15 individuals [25]. However, these studies did not report whether group size counts
482 included cubs or only adults. Our group size counts excluded cubs, as the number of cubs
483 accompanying a group is not stable, varying with new births and cub mortality. In Cantão
484 the maximum group size observed was eight individuals, with a mean and median of four
485 individuals per group. Only four groups with more than six members were recorded during
486 the study and none of them reproduced. Three of these larger groups were reduced to
487 six members in the following year, and one lasted for two years as a resident non-
488 breeding group of eight members before being losing two members, after which the group
489 had a litter. This preponderance of groups of three otters in Cantão further underscores
490 the importance of trios in the ecology of giant otters in the region.

491 Dispersing giant otters are believed to go through a solitary transient phase
492 during which they search for a mate and an empty patch of territory [2]. In Cantão we
493 recorded 17 transient and 11 resident solitary individuals, with observed periods of
494 residency varying from two months to over a year. Nine of these resident solitaries (82%)

495 eventually formed a pair or joined a resident group; only two transient solitaries (12%)
496 were observed to do so during the same period. Seven out of eight solitaries males
497 recorded were new to the study area, while all solitaries females (N = 4) originated from
498 resident groups.

499 Pairs of giant otters recorded during the study tended to be transient and
500 unstable and were unable to reproduce successfully. In 95% of recorded pairs with known
501 history (N = 21) at least one member was a former resident of the study area. Ribas et al.
502 [15] reported that newly formed pairs in the Pantanal also tended to be in the vicinity of
503 the territory of at least one of the original groups. Only one of the eleven resident pairs
504 observed in our study remained a pair for longer than one year. Pairs either became a
505 trio through the recruitment of an outside individual, separated, or left the study area.
506 Individual otters often went through two or more pair and/or trio formation attempts before
507 settling into a stable group or disappearing.

508 In contrast to pairs, trios of giant otters tended to be stable, resident, and to
509 reproduce successfully. Trios accounted for 35% of all resident groups recorded during
510 the study and for 38% of all free-swimming cubs produced. Cub survival after one year
511 for trios was 55% (N = 11), while for pairs it was 0% (N = 2) and for larger groups, it was
512 64% (N = 14). Once a group became a trio it was able to grow larger by the addition of
513 surviving cubs from previous years as well as immigrant individuals. However, as group
514 size increased, the tendency for members to leave or disappear from the group also
515 increased. Of 14 trios recorded that were seen again in the following year, three (21%)
516 had been reduced to two members, seven (50%) remained as a trio, and four (29%) had
517 increased in size. Of 17 groups of four or more members seen again in the following year,

518 nine (53%) decreased in size, six (35%) remained with the same number of members,
519 and only two (12%) increased in size. By contrast, eight (36%) out of a total of 22 resident
520 and transient pairs recorded became trios during the study. Additional transient pairs may
521 have become trios and left the study area without being recorded as a trio. This suggests
522 that the trio of adult-sized otters is a stable group configuration for giant otters in Cantão.
523

524 **Home range shifting and overlap**

525 Home range overlap observed for resident giant otters in Cantão was very
526 common. Most groups shared their home range with at least one other group, and larger
527 lakes were often shared by four or five groups at different times. Groups rarely used the
528 same lake for more than a few days, and when they left, they were often replaced by
529 other groups. Some groups used certain lakes for alternating periods of one to several
530 days over a month or more, often sleeping in the same dens and using the same latrines
531 used previously by other groups. Groups with small cubs sometimes remained in the
532 same lake for a month or more, but generally moved the cubs to a different lake at least
533 once before they became free-swimming. This contrasts with findings by Staib [13] that
534 indicate that giant otter ranges do not overlap at all in oxbow lake environments in
535 southeast Peru. Evangelista and Rosas [26] observed partial range overlap in a tropical
536 river habitat. Leuchtenberger et al. [25] also observed partial range overlap along linear
537 river habitat in the Pantanal. The home range of some groups in the Pantanal overlapped
538 partially with that of neighboring groups, but each group appeared to have a core territory
539 that is actively defended. Although groups in the Pantanal tended to not use overlapped
540 areas at the same time, 12 agonistic encounters between groups were observed over a

541 two-year study, including fights [27]. In Cantão only three agonistic encounters were
542 recorded over ten years, both limited to territorial vocalizations between groups, but
543 without fights.

544 Almost all observed groups shifted at least part of their home range from year
545 to year, and many shifted to a completely different set of lakes between years. The high
546 turnover rate of resident groups within the core study area is indicative of the frequency
547 of large-scale shifting of home ranges. Sometimes group home ranges drifted slowly over
548 the years until they left the study area, and other times a group would move to a
549 completely new home range from one year to the next. Only seven of 23 (30%) resident
550 groups remained in the study area for more than two years, and only five (21.7%)
551 remained for more than three years. Regardless of how much they shifted their home
552 ranges, groups were faced with a new set of neighbors each year, often sharing some of
553 the same lakes. In oxbow lakes in southeast Peru, resident groups tend to remain within
554 the same home range indefinitely [18, 28]. In the continuous river habitat of the Pantanal,
555 Leuchtenberger et al. [27] observed shifting home ranges in a pattern similar to that
556 observed in Cantão.

557

558 **Plasticity of Giant Otter Social and Territorial Behavior**

559 The observed differences in giant otter group dynamics and territorial behavior
560 between Cantão and other sites can be explained by the spatial characteristics of the
561 habitat. Previous long-term studies of the species were conducted in areas composed of
562 patchy (isolated oxbow lakes) or linear (rivers) habitats. In the Cantão flooded forest
563 ecosystem, as in some parts of the Brazilian Pantanal [17], optimal giant otter habitat is

564 continuous in all directions. In the dry season, most of Cantão lakes are connected within
565 a few hundred meters of several other lakes. We also observed that giant otters use the
566 flooded forests during the flood season. This affects both dispersal opportunities and cost-
567 benefit tradeoffs for territorial defense.

568 Every resident giant otter group in our study had several other groups residing
569 within a few hundred meters of its home range, and most of them shared part or all of
570 their home range with up to six other groups. High-quality habitats can favor individual
571 propensity to emigrate [29]. Dispersing giant otters in Cantão not only have a hospitable
572 and predictable environment in all directions, which they may explore before emigrating,
573 they are also familiar with potential partners in the surrounding area, some of which may
574 be scent-marking at the same sites as the potential disperser's group. In the isolated
575 oxbow lake environments studied elsewhere, potential dispersers may have to transit
576 large patches of a suboptimal environment with which they are unfamiliar and depend on
577 chance to meet potential partners. In linear river habitats, the potential disperser may find
578 optimal habitat extending in one dimension, and maybe familiar with potential partners
579 belonging to upstream and downstream neighboring groups. This habitat effect can
580 explain the observed increase in average group size as individuals move from continuous
581 and bidimensional habitats (flooded forest with high oxbow lake density) to linear but
582 continuous habitats (rivers), to patchy discontinuous habitat (isolated lakes).

583 The fact that optimal habitat in Cantão is continuous but also fragmented into
584 individual lakes may explain the tolerance for home range overlap displayed by resident
585 giant otter groups. A “dear enemy” effect [30], where territorial animals direct less
586 aggression toward established neighbors than toward strangers, maybe at play. Although

587 the dear enemy effect is more common when neighbors had well-established territories
588 [31-33], the use of scent cues for individual and group recognition may act as a way to
589 reduce aggressiveness in these fluctuating territories [34-36]. The resource availability in
590 Cantão also renders the circumstantial benefits toward aggressive behaviors between
591 groups to be minimal. Fish prey is abundant in hundreds of Cantão lakes, but foraging
592 giant otters are constantly on the move, rarely stopping for more than a few minutes even
593 at the most productive sites, probably reducing disturbance effects of fishing on fish
594 wariness. Foraging giant otter groups create considerable disturbance through splashing,
595 jumping, and turbulent swimming, and groups are soon forced to move to a different lake
596 to continue foraging, even if the lake they just traversed still has plenty of fish. If a group
597 arrives at a lake and finds that another group is already there, it may derive little
598 immediate benefit from chasing the other group away because the lake has been
599 disturbed, providing few foraging returns, being more profitable just to move on to another
600 lake. A group wishing to avoid conflict can easily avoid encountering other groups by
601 simply moving to one of many nearby lakes. Since lakes in Cantão are not large enough
602 to be occupied continuously by a single group, a group cannot secure exclusive use of a
603 lake no matter how much energy is expended in territorial defense. The optimal solution
604 appears to be to tolerate other resident groups sharing the lakes within its home range
605 as long as fish prey availability does not become a limiting factor.

606 The “dear enemy” effect is facilitated by the ability to recognize familiar
607 neighbors [37], and giant otters are particularly well adapted for this due to their individual
608 throat markings, scent cues, familiar sounds, and periscoping behavior. This may also

609 explain the tendency for the total number of adult otters in our research area to remain
610 within a relatively narrow range even with a high annual turnover of resident groups.

611 The high annual variation in the number of cubs produced by giant otter groups
612 in Cantão is also different from what was reported from other sites. This may also be
613 explained by the specific territorial dynamics generated by the local landscape. We found
614 a negative correlation between production of free-swimming cubs and the number of new
615 adults moving into the area. A high proportion of newly arrived groups increases the
616 likelihood of stressful encounters and/or costly avoidance behavior between groups. In
617 captivity, stress caused by visitors can cause a giant otter mother to stop lactating [38].
618 Londoño and Tigreros [39] reported that stress caused by noise or the presence of
619 strangers caused giant otters to carry pups under 30 days old into the water, where in the
620 wild they would be at risk of drowning or encountering predators. Schenk and Staib [40]
621 observed that reproductive success was depressed for giant otters living in lakes heavily
622 visited by tourists. Likely, increased stress caused by increased population density or the
623 arrival of unfamiliar groups depresses the reproductive rate of giant otters in Cantão, and
624 this may also contribute towards the maintenance of population density close to the
625 environment's carrying capacity.

626

627 **Implications for Conservation**

628 The main bottleneck for successful colonization of new habitat by dispersing
629 giant otters is whether a dispersing individual can meet a potential mate at the right time,
630 in a suitable place [28]. If so, colonization of new areas may be more difficult in
631 environments like Cantão, where it appears that the formation of a trio of giant otters is a

632 prerequisite for successful reproduction. This possibility should be taken into account in
633 reintroduction projects for the species, which currently assume that the introduction of
634 pairs of animals into the unoccupied habitat is sufficient to start a new population [41].
635 Our findings also indicate that giant otters may change partners several times before
636 settling into a stable group and successfully reproducing. This may be due to genetic or
637 other incompatibilities that require trial and error to avoid. Isolated reintroduced groups
638 may not be able to reproduce successfully even if they were captured and relocated as a
639 group.

640 If the hypothesis of depressed reproductive success caused by stress
641 provoked by encounters with strangers is correct, it could mean that frequent encounters
642 with humans may also reduce the rate of reproduction of giant otters. Even when intruding
643 humans don't directly encounter giant otter groups, the disturbance of prey by fishing or
644 other activity may have an effect analogous to an additional giant otter group foraging
645 through the habitat, and if it occurs repeatedly, it may reduce reproductive success and
646 decrease the area's carrying capacity. We documented three episodes of giant otters
647 relocating very young pups after brief encounters with intruders in Cantão. Two of these
648 episodes were merely a motorboat passing by the breeding den. The same groups were
649 largely indifferent to approaches by researchers with whom they were familiar, to the
650 extent of bringing out the cubs for swimming lessons in the presence of five researchers
651 observing without cover from less than 100 meters away. Breeding refuges where
652 humans are excluded, or allowed only under strict regulation and monitoring, may be
653 essential to the reproduction of giant otters. This reinforces the importance of the strict

654 protected areas (IUCN Category 2 or higher) with zones where no visitation is allowed for
655 the conservation of the species.

656 The Cantão ecosystem appears to sustain a high density of giant otters
657 compared to other sites, mainly due to its abundance of fish prey and suitable habitat.
658 Overall giant otter densities at other protected areas tend to be relatively low because
659 these areas consist largely of unsuitable habitat, while all of Cantão State Park consists
660 of habitat similar to that of the study area. If the density of resident groups in the 16 lakes
661 of our study area is indicative of the density of the species throughout the park's 850
662 oxbow lakes, this may be one of the most important protected areas for the species today.
663 Habitat similar to Cantão's, with large numbers of oxbow lakes within an igapó flooded
664 forest matrix, also occurs at other sites in the Amazon basin, such as along the lower
665 reaches of the Juruá, Purus, Tefé, and Jaú rivers. Identifying and surveying these sites,
666 even if giant otters are currently rare or absent in some of them, may help to identify
667 critical areas for the recovery and protection of the species.

668

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679

680

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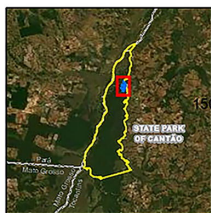
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
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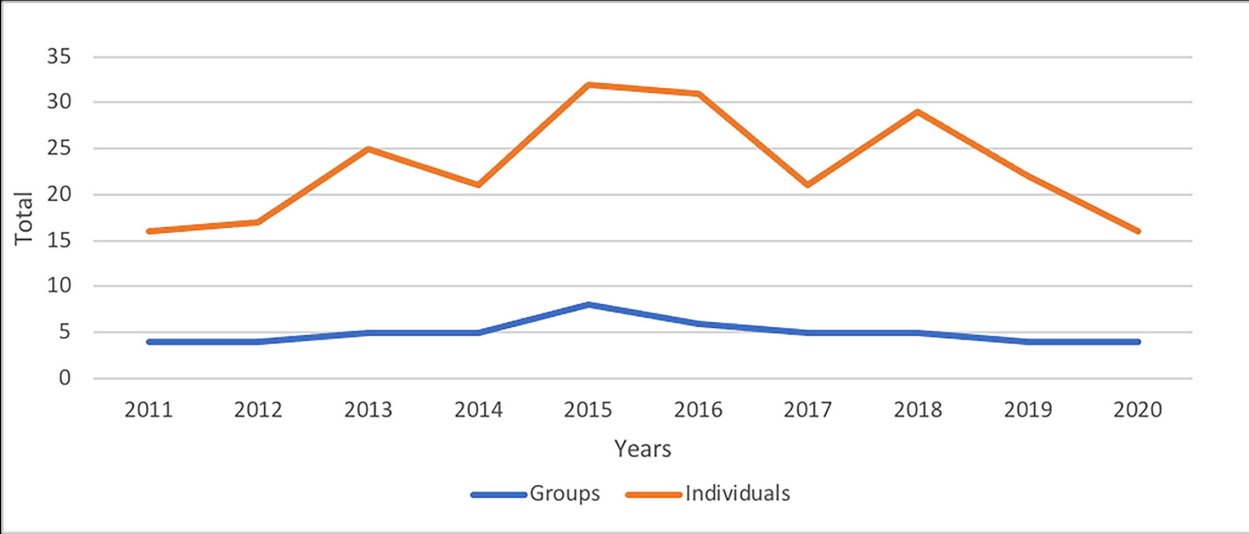


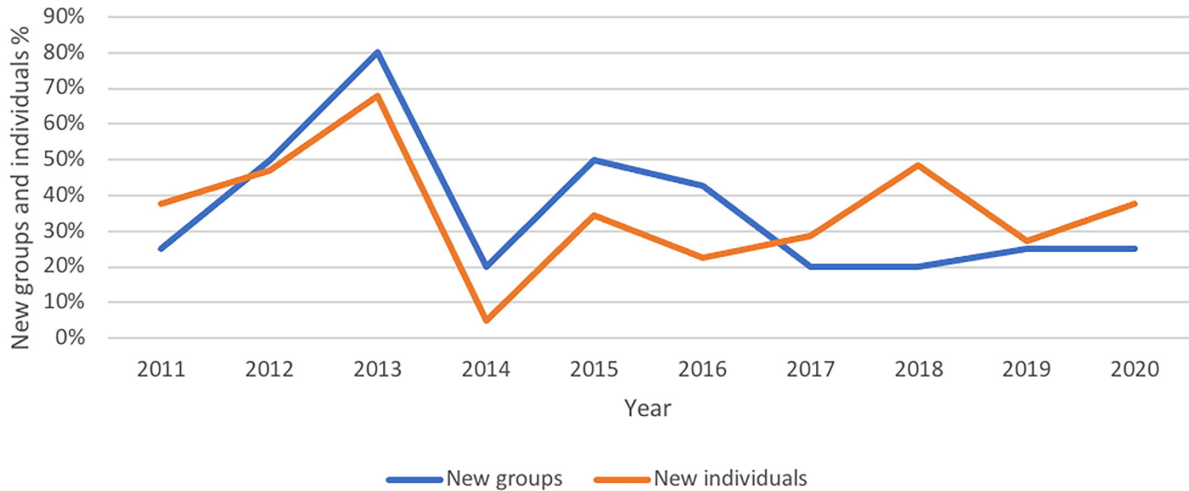
Legend

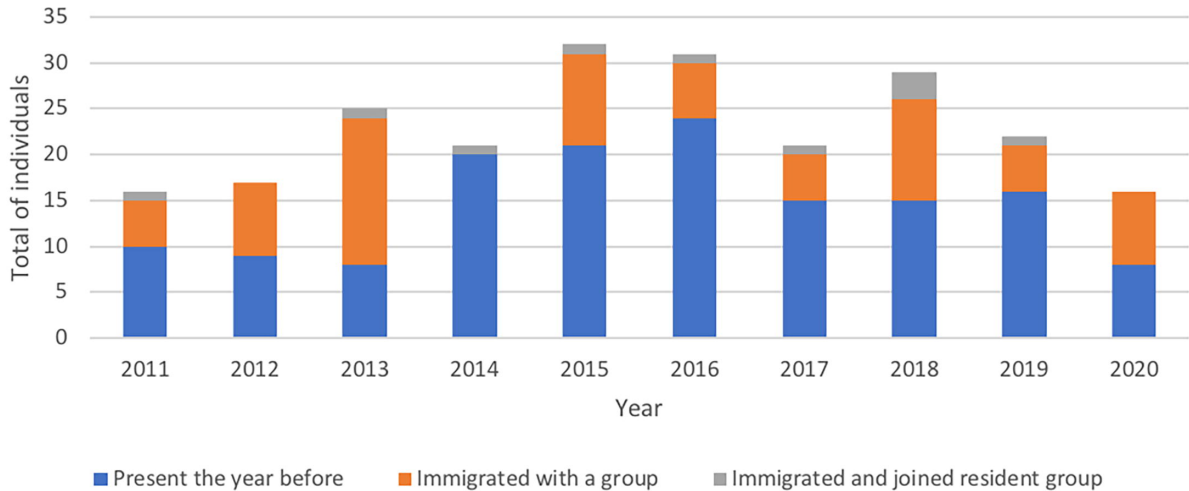
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-  State Park of Cantão
-  State Limit

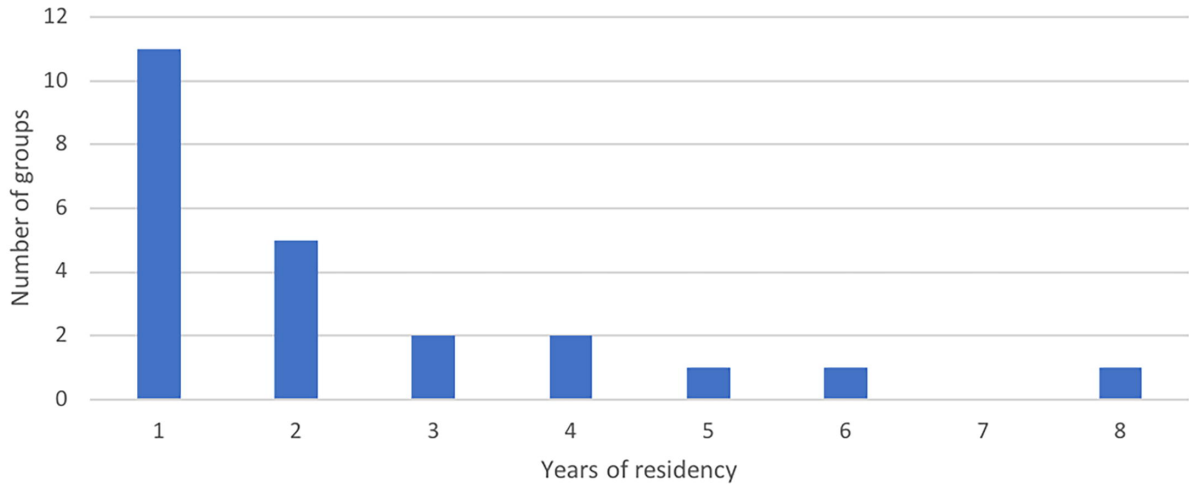
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State Park, MMA;

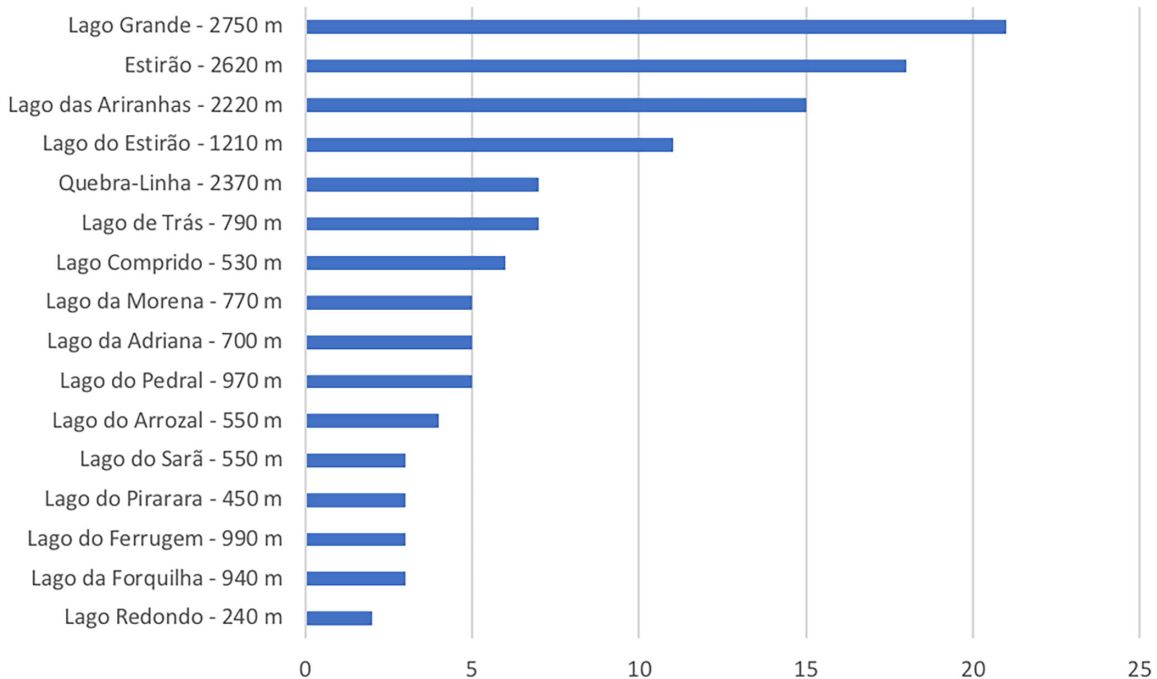
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SIRGAS 2000 UTM Zone 22S
Projection: Transverse_Mercator



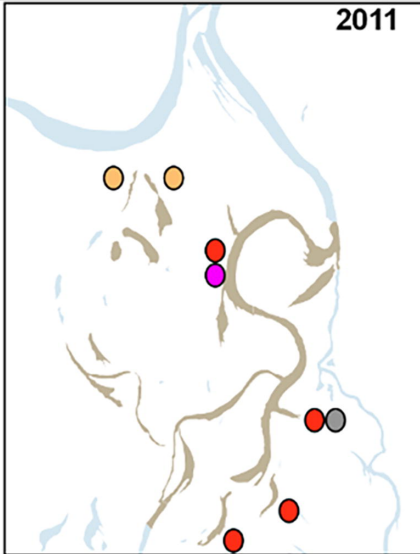




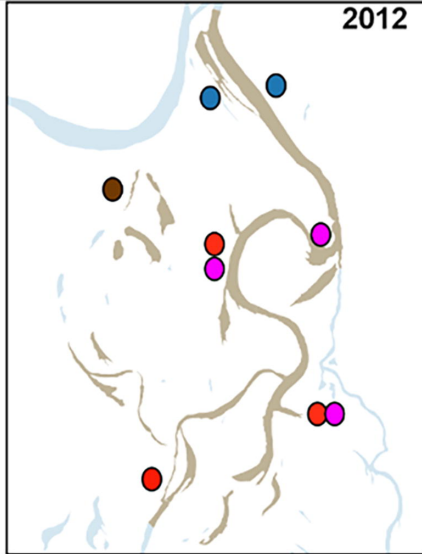




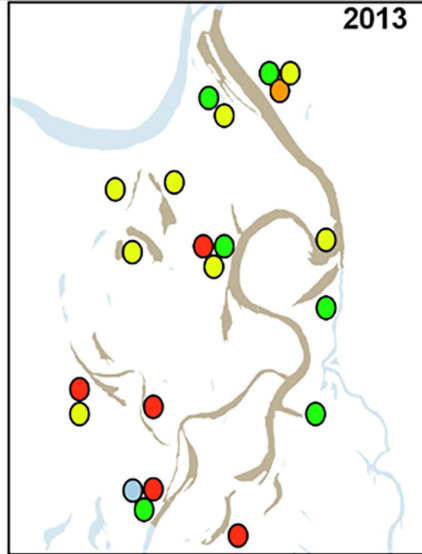
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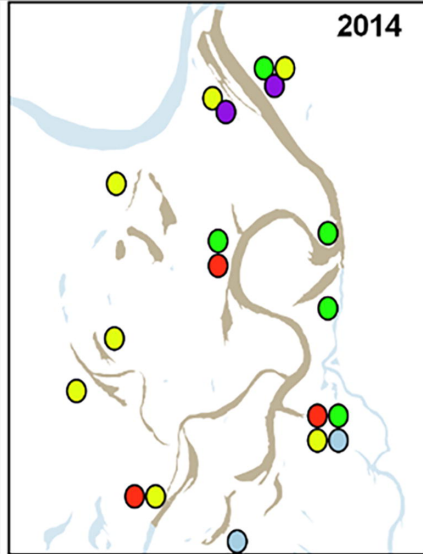
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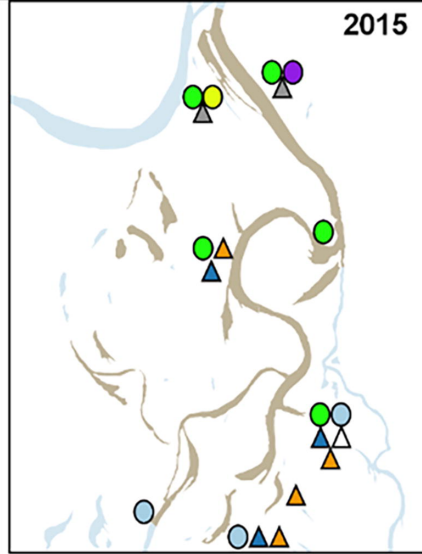
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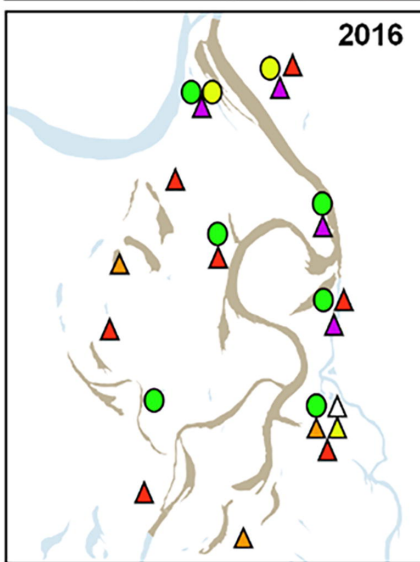
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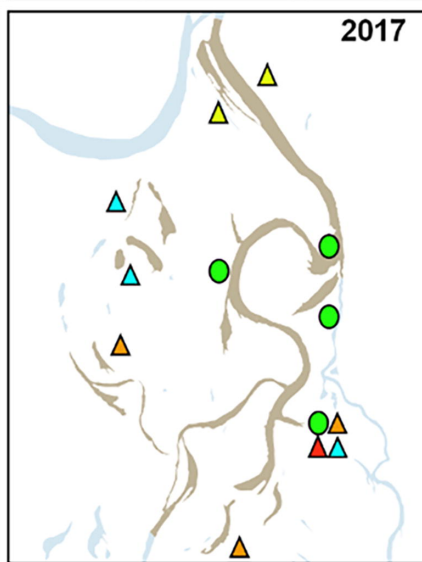
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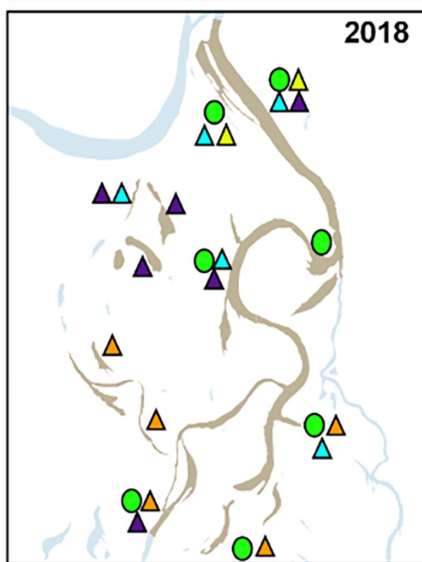
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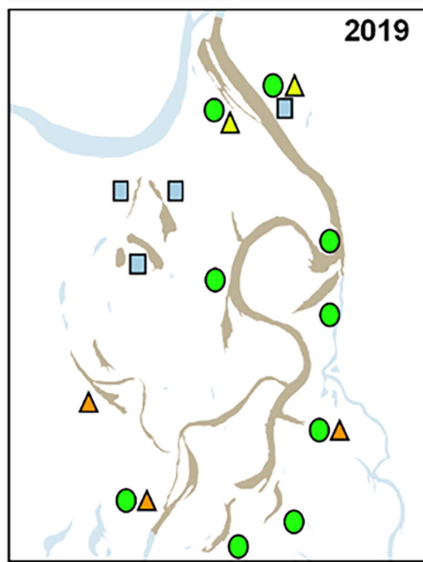
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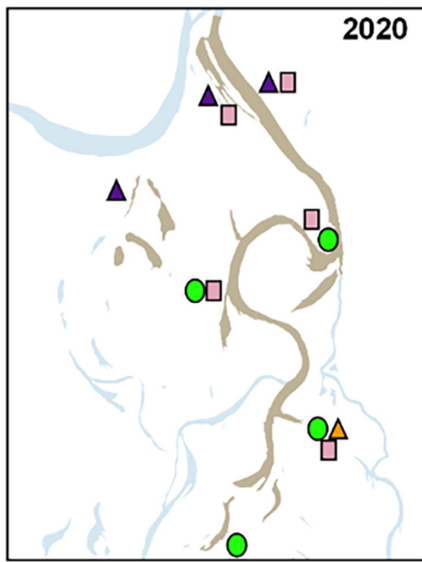
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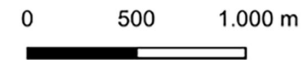


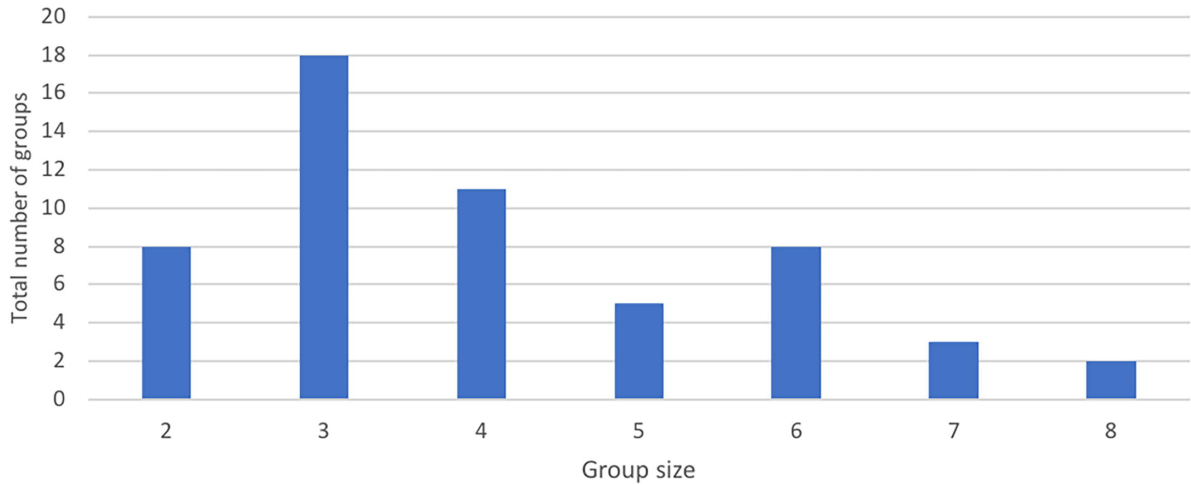
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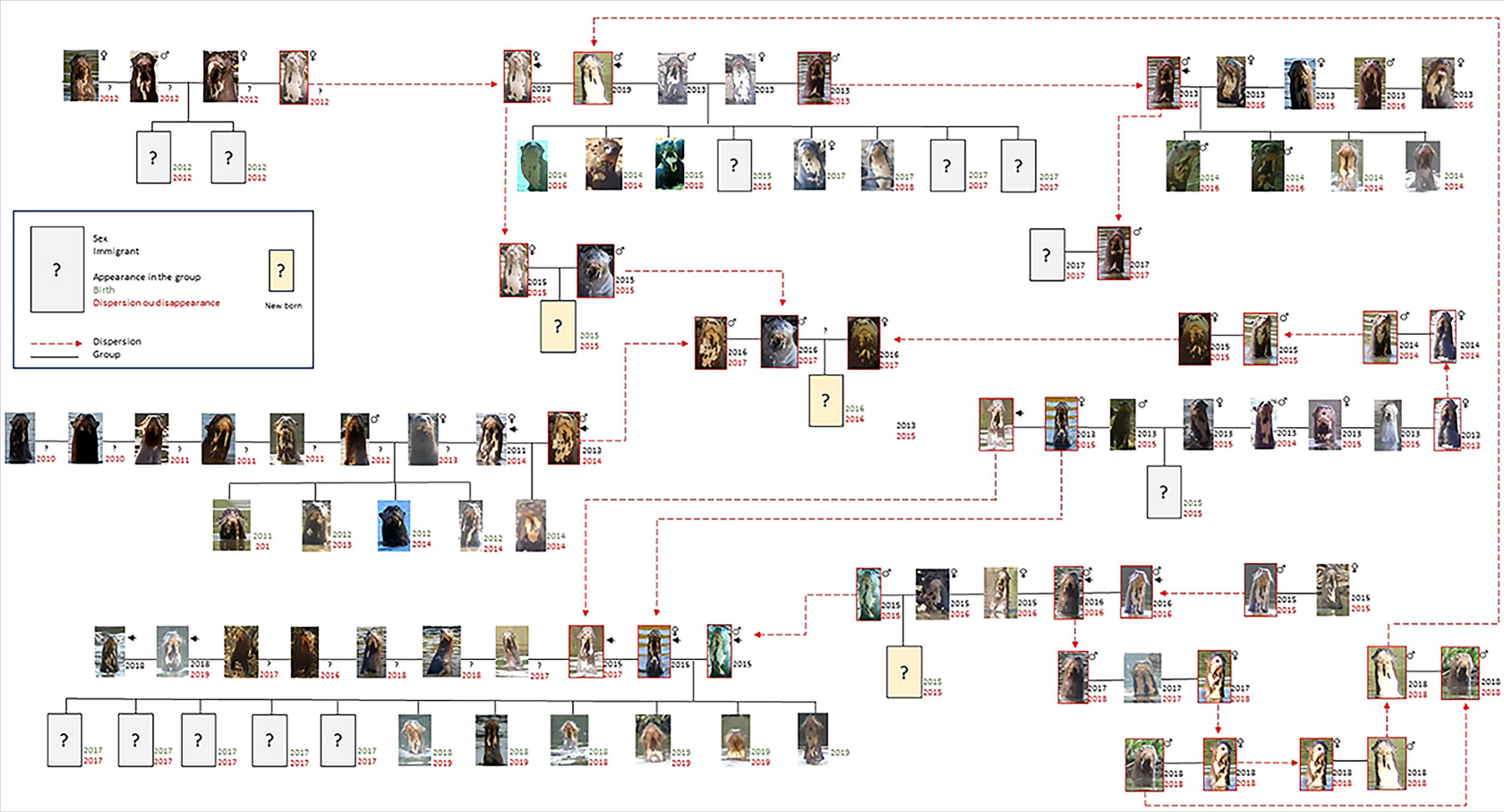


Elements of the map

Core Study Area Hydrography







Number of litters

8
7
6
5
4
3
2
1
0

1

2

3

4

5

Litter size



