Pan-cancer association of DNA repair deficiencies with whole-

genome mutational patterns

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Abstract

Background

Cancer genomes are shaped by DNA damage and repair. DNA Repair deficiencies in cancers may result in characteristic mutational patterns, as exemplified by deficiency of *BRCA1/2* and efficacy prediction for PARP-inhibitors. We systematically evaluated the ability to identify and predict mono- or biallelic deficiencies of 736 DDR genes from associations with genome-wide mutational patterns, including structural variants, across 6,065 whole-genome sequenced cancers.

Results

We assembled 535 cancer-specific patient cohorts with shared deficiency of a DNA damage response gene. We trained and evaluated a predictive model for each cohort, and shortlisted 24 gene deficiencies that could be predicted with high accuracy from mutational patterns. These included expected gene deficiency models for *BRCA1/2*, *MSH3/6*, *TP53*, and *CDK12*. *CDK12* is associated with tandem-duplications, and we demonstrate that this association can predict gene deficiency with high accuracy (AUROC=0.97) in prostate cancers.

Our novel associations include deficiencies of *ATRX, IDH1, HERC2, CDKN2A, PTEN,* and *SMARCA4*. We found that loss of *ATRX* or *IDH1*, which are often co-mutated, were associated with the same mutational phenotype in central nervous system cancers; *HERC2* loss was associated with an increased number of short deletions in skin cancers and significantly co-occurring with *TP53* loss; and *PTEN* loss was associated with decreased levels of structural rearrangements in cancers of the uterus and the central nervous system.

42   **Conclusion**

43   Our systematic, generic approach yielded a catalogue of predictive models, which may provide

44   targets for research and development of treatment, and potentially help guide therapy.

46   **Keywords:** DNA damage response, repair deficiency, predictive modeling, personalised

47   medicine, mutational signatures.

Background

The DNA damage response (DDR) is a central component to the genetic integrity of cells, and deficiencies in DNA repair pathways may affect mutational patterns genome-wide[1–3]. Furthermore, some DDR deficiencies are known to modulate the response to therapies, e.g., *BRCA1/2* deficiency renders cancers susceptible to treatment with PARP inhibitors[4], mismatch repair-deficient cancers are sensitive to checkpoint inhibitors[5], and *CDK12*-mutated cancers have a suggested sensitivity to CHK1 inhibitors[6]. Because of this, efforts have been made to annotate inactivating mutations in DDR genes[7]. However, the approach is limited by the lack of functional impact annotation of most variants, which are generally denoted as "variants of unknown significance" (VUS). Moreover, loss of gene activity could also occur by other means, such as transcriptional silencing.

A different approach is to investigate whether DDR deficiencies can be identified through associated genome-wide mutational scars. This approach has been pioneered for homologous recombination deficiency mediated by *BRCA1/2* deficiencies (-d), which may be successfully predicted by measuring the mutational accumulation of, primarily, small deletions flanked by microhomologous sequences[2,8].

Other mutational patterns are associated with specific DDR deficiencies and are thus potentially amenable to predictive modelling: Firstly, damage to the tumour suppressor gene *TP53* gene is related to increased genomic instability[9,10]. Secondly, mismatch repair-deficient cancers, such as cancers with loss of *MSH3* or *MSH6*, are associated with microsatellite instability[11,12]. Finally, *CDK12* deficiency is associated with a genome-wide phenotype of large tandem duplications[13,14]. The scope of this approach can now be evaluated

systematically across DDR genes by exploiting available whole cancer genomes from

thousands of patients(15,16).

To initiate a systematic analysis of DDR genes, mutations observed genome-wide may be

condensed into mutational summary statistics for predictive modelling, including statistics based

on single-base substitutions (SBSs), indels, and different types of structural variants (SVs). The

SBSs may be statistically assigned to and represented by a set of so-called SBS signatures that

are catalogued and enumerated within the COSMIC database(17). Some of these are

associated with specific DNA repair deficiencies as well as genotoxic exposures, such as

ultraviolet (UV) light and smoking. Each mutational signature captures the relative frequency of

the different mutation types and their flanking nucleotides(18).

Here we performed a systematic screen for DDR gene deficiencies that can be predicted

through their association with genome-wide mutational patterns. We developed a generic

approach to train predictive statistical models that identify associations with individual mutational

summary statistics, including SBS signatures, indels, and large SVs. We applied it to 736 DDR

gene deficiencies, considering both monoallelic and biallelic loss of function (LOF), identified

across 32 cancer types, in a combined set of whole cancer genomes from 6,065

patients(15,16). The underlying aim was to identify novel associations with potential biological

relevance and to identify DDR deficiencies that can be predicted with sufficiently high certainty

to have a potential for clinical application.

Our analysis revealed 24 DDR genes where deficiencies are associated with mutational

summary statistics in individual cancer types across 48 predictive models. These results

recapitulated the expected associations between mutational patterns and deficiencies of

BRCA1/2, TP53, MSH3/6, and *CDK12*. We supplemented this knowledge by providing a

predictive model of *CDK12* deficiency that achieved high accuracy (AUROC=0.97) in prostate

cancer. Furthermore, we present unexpected predictive models of several DDR deficiencies;

ATRX and *IDH1* deficiency in cancers of the central nervous systems, *HERC2* and *CDKN2A*

deficiency in skin, *PTEN* deficiency in cancers of the central nervous system and uterus, and

SMARCA4 deficiency in cancers of unknown primary.

Results

DDR gene deficiencies across 6,065 whole cancer genomes

We compiled and analysed 2,568 whole genome sequences (WGS) from The Pan-Cancer

Analysis of Whole Genomes (PCAWG)(15) and 3,497 WGS from the Hartwig Medical

Foundation (HMF)(16). In total we investigated 6,065 whole cancer genomes of 32 cancer types

(**Fig. 1a; Additional file 1, Supp. Table 1**).

For each genome, we evaluated 736 known DDR genes for both germline and somatic loss of

function (LOF) events(19–21). We annotated both mono- and biallelic LOF events, where each

event could be either a single nucleotide variant, an indel, or a loss of copy number (**Fig. 1b,c;**

Additional file 1, Supp. Table 2). Pathogenicity of SNVs and indels was evaluated using a

combination of CADD scores (>25; 0.3% most pathogenic) (22) and ClinVar annotation, when

available (**Methods**).

We inferred a total of 8,408 biallelic DDR gene deficiencies, primarily through a combination of

somatic or germline variants (SBSs and indels) with pathogenic potential (n=1,702), or loss-of-

heterozygosity (LOH) events combined with a single pathogenic germline (n=3,562) or somatic

(n=3,078) variant (SBS or indel; **Fig. 1b**). On average we observed a single, biallelic DDR gene

loss per patient, with some patients showing extreme rates of somatic pathogenic mutations

(**Fig. 1c**). As expected, *TP53* deficiency (*TP53*-d) was the most frequent LOF event (81 biallelic

and 1,746 monoallelic events; 29% of patients affected; **Additional file 1, Supp. Table 2; Fig.**

1d), while 70% of DDR genes had biallelic deficiency in less than ten samples across all cancer

types (511/736; **Fig. 1d**). Among monoallelic events, we identified 15,063 pathogenic germline

(59%) and 10,336 somatic (41%) events.

Whole genome mutational summary statistics

We collected mutational summary statistics for each cancer genome, which were used as

features for the downstream predictive models (**Fig. 1e,f; Additional file 2, Supp. Table 3-4**).

For SBSs, we evaluated exposure towards predefined sets of cohort-specific SBS

signatures(18,23). Short indels and SVs were simply categorised and counted: Deletions were

sub-categorised based on surrounding sequence repetitiveness and presence of

microhomology. SVs were sub-categorised by type (tandem duplications (tds), inversions (inv),

deletions (del), and translocations (trans)), five size ranges (not relevant for translocations), and

cluster presence (**Methods**). Several SBS signatures as well as some types of indels have

suggested etiologies (collected in **Additional file 1, Supp. Table 5)**.

Statistical modeling of DDR gene deficiencies

For the downstream statistical analysis we restricted our focus to DDR genes in cancer types

with more than five biallelic LOF events in either PCAWG or HMF (n=194 LOF-sets) or more

than ten monoallelic LOF events (n=341 LOF-sets) (**Additional file 1, Supp. Table 6**). Using

BRCA2-d in the set of HMF breast cancer samples (n=645) as an example, we observed

biallelic LOF events in 17 (2.6%; 14 germline, 3 somatic) patients and monoallelic LOF events in

7 (1.1%; 4 germline, 3 somatic) samples (**Additional file 1, Supp. Table 8**). We further

observed VUS events in 53 patients (8.2%; 42 germline, 11 somatic), which were excluded from

the analysis. The remaining *BRCA2* wild-type (WT) samples (n=568; 88.1%) were used as a

background set for training the predictive models (**Fig. 2a**).

For each LOF-set we trained a LASSO logistic regression model and evaluated the ability to

discriminate between deficient and WT samples (**Methods**). For *BRCA2*-d we observed a

strong association with the number of deletions at sites of microhomology (**Fig. 2b**), with a

median of 608 deletions per patient in *BRCA2*-d breast cancers versus 81 in *BRCA2* WT breast

cancers, in agreement with prior findings(2,8,24). The LASSO regression also included non-

clustered inv 10-100Kb and clustered tds 1−10Kb, although both show high variance among

patients for both deficient and WT (**Fig. 2b**) and have considerably smaller coefficients,

ultimately contributing little influence on overall predictive performance (**Fig. 2c**).

For each LOF-set we evaluated the predictive performance using the area-under-the-receiver-

operating-curve (AUROC) score as well as the precision-recall area-under-the-curve (PR-AUC)

score. The PR-AUC score is a more robust measure for unbalanced data sets(25); however, the

expected value for non-informative (unskilled) models equals the fraction of true positives and

thus varies between LOF-sets. Therefore, we used the PR-AUC enrichment over the

expectation (PR-AUC-E) as our selection criteria for predictive models. For the downstream

analysis, we shortlisted and only considered models found to have a PR-AUC-E that was

substantial (>0.2; more than two standard deviations above the mean across all 535 LOF-sets)

and significant (Benjamin-Hochberg False discovery rate (FDR)<0.05; Monte Carlo simulations)

(**Methods**; **Additional file 1, Supp. Table 7**).

In the example of *BRCA2*-d in breast cancers of the HMF data set, our model achieved an

AUROC of 0.93 and a PR-AUC-E of 0.29 (**Fig. 2d,e; Additional file 1, Supp. Table 7**). Out of

30,000 permuted LOF-sets, none had a similar or higher PR-AUC score and we considered the

model significant with a p-value $< 3 \times 10^{-5}$ (FDR adjusted q-value $< 6 \times 10^{-4}$) (**Fig. 2f,g**). Notably,

the *BRCA2*-d model did not include non-clustered deletions <100Kb, SBS signature 3, and SBS

signature 8, all features which have been associated with BRCAness[8]. However, SBS

signature 3 and non-clustered deletions 1-10Kb in size are included in the model when the

deletions at sites of microhomology are omitted from the input data set, suggesting that they are

excluded during feature selection due to their high positive correlation with the number of

deletions at sites of microhomology among HMF breast cancers (Pearson corr. > 0.7; **Fig. 2h**;

Additional file 1, Supp. Table 9).

Our selection criteria resulted in 48 shortlisted predictive models across 24 DDR genes (**Fig. 3a;**

Additional file 1, Supp. Table 7). As exemplified for *BRCA2*, each model is specified by a set

of predictive features representing mutational patterns associated with DDR gene LOF**.** We

divided the models into four groups based on etiology and origin: models of *BRCA1/2*-d (eight

models of *BRCA2*-d and a single model of *BRCA1*-d; **Fig. 3b**); models of monoallelic *TP53*-d

(11 models; **Fig. 3c**); models of various monoallelic gene deficiencies derived from colorectal

cancer patients (eight models; **Fig. 3d**); and models including other DDR genes and cancer

types, including previously undescribed associations (20 models; **Fig. 3e**).

Recapitulation and predictive modelling of expected associations

BRCA1/2 **deficiency**

Five models predicted biallelic loss of *BRCA2* in cancers of the ovary, prostate, pancreas, and breast. In addition, three models predicted *BRCA2* monoallelic loss in cancers of the pancreas, breast, and prostate. Finally, we derived a single model of biallelic *BRCA1* loss in ovarian cancer (**Fig. 3c; Fig. 4a**)**.** All models significantly outperformed their Monte-Carlo simulations (q<0.05; Benjamini Hochberg FDR control) and had PR-AUC-E above 0.2 (**Fig. 4b,c**).

All *BRCA2*-d models were predominantly predicted by deletions at sites of microhomology, consistent with the role of *BRCA2* in homologous recombination and suppression of microhomology-mediated end joining(26). Both clustered and non-clustered tds in the range of 1-100Kb were included as features for various models, though with much smaller predictive power. The biallelic breast cancer model based on PCAWG further included SBS signature 3 as a predictive feature (**Fig. 4d**). Contrasting to the models of *BRCA2*-d, *BRCA1*-d in ovarian cancer was exclusively associated with clustered and non-clustered tds (1-10Kb; **Fig. 4d**). This aligns with the findings of Davies *et al*.(8)**,** which also found that *BRCA1*-d was more closely associated with a tandem-duplicator phenotype.

TP53 **deficiencies**

We detected 11 predictive models (four based on PCAWG and seven on HMF) of monoallelic *TP53*-d across cancers of the breast, skin, ovary, uterus, neuro-endocrine tissues (NET), biliary gland, head and neck, pancreas, and the central nervous system (CNS) (**Fig. 4e**). These predictive models performed with PR-AUC-E values ranging from 0.21 to 0.48 in breast and biliary gland cancers, respectively. Similarly, AUROC values ranged from 0.48 to 0.88, again in breast and biliary gland cancers (**Fig. 4f,g**). In line with existing literature(27), *TP53-d* is

associated with a significantly increased number of structural variants across the genome

(Wilcoxon rank-sum test; **Fig. 4h,i**), except in skin cancers.

Colorectal cancer models

In the HMF colorectal cancers, we discovered eight predictive gene deficiency models (*MSH3,*

SMC2, SMC6, BMPR2, CLASP2, SRCAP, *UBR5,* and *UVRAG)* of monoallelic LOF with PR-

AUC-E ranging from 0.21 (*CLASP2*) to 0.62 (*MSH3*) (AUROC ranging from 0.68 for *SRCAP*-d

to 0.94 for *MSH3*-d; **Fig. 4j,k,l**).

The high number of models of monoallelic deficiencies may arise from spurious LOF events in

DDR genes in a subset of colorectal cancers that are hypermutated. Some colorectal cancers

are signified by mismatch repair deficiencies, such as LOF of *MSH3* or *MSH6*, creating a high

number of deletions in repetitive DNA(11,12). Indeed, we found that this pattern was most

profound among the *MSH3* mutated cancers (**Fig. 4m,n**). Furthermore, we found co-mutation

with *MSH3* across all LOF-sets ranging from 20% (*SRCAP*) to 33% (*BMPR2*) of the patients

with mutations (**Fig. 4p**), suggesting that the models (except for the model of *MSH3*-d) might be

the consequence of the hypermutator phenotype. In other words, the causality is reversed in

these cases, and the mutational process driven by *MSH3*-d caused the majority of their LOF

events. This notion is supported by investigating the features of the models. All eight models are

characterized by a single, primary predictive feature: Insertions (*SMC2, SMC6, BMPR2, UBR5,*

and UVRAG), deletions in repetitive DNA (*MSH3, SRCAP*), or deletions not flanked by repetitive

or microhomologous DNA (*CLASP2*) (**Fig. 4o**). Each of these features has a high positive

correlation (Pearson corr. > 0.93) with the number of deletions in repetitive DNA. This

correlation suggests that all eight models relate to a genome-instability phenotype, which may

be driven by the *MSH3* co-mutated patients or, potentially, a concurrent deficiency of other

genes within the mismatch repair system (**Additional file 1, Supp. Table 9**).

Biallelic LOF of *MSH6* associated with increased number of deletions in repetitive DNA in

prostate cancer

MSH6, a gene implicated in mismatch repair and microsatellite stability[12]**,** was mutated in

both alleles in 7 out of 342 HMF prostate cancer patients. Deficiencies could be predicted with

high accuracy (PR-AUC-E=0.25; AUROC=0.98) by an enrichment of deletions in repetitive DNA

(**Fig. 5a,b; Additional file 1, Supp. Table 7**). This is consistent with existing findings of

mismatch repair deficiency and its presence in metastatic prostate cancers[28].

High predictive power for *CDK12* deficiency

CDK12 is a kinase that regulates transcriptional and post-transcriptional processes of the

DDR[29–31]**.** We found that *CDK12*-d prostate cancers had an increased number of mid- and

large-sized tandem duplications (100Kb - 10Mb), compared to *CDK12*-WT (**Fig. 5c,d**). Several

studies have observed similar tandem duplication phenotypes in ovarian cancers[13,14,32] and

castration resistant prostate cancers[33,34]. In agreement, nine of the ten patients in our data

set were treated with drugs associated with castration resistance (4 Enzalutamide, 3

Abiraterone, 1 Caba.,1 Pembro.)[35].

Whereas the tandem duplication phenotype has been previously associated with loss of *CDK12*,

in this study we present the first predictive algorithm utilising and quantifying the high predictive

value of these patterns (PR-AUC-E=0.73 and AUROC=0.97). Indeed, the loss of CDK12 has

been demonstrated to sensitise cancer cells to CHK1-[6] and PARP-inhibitors[36,37].

We went on to test the *CDK12*-d model across other cancer types (**Fig. 5c**). As expected, we

observed predictive power in cancers of the ovary and breast, though at a lower level (PR-AUC-

E=0.19 and AUROC=0.72). No predictive power was observed for the remaining cancer types.

Novel predictive gene deficiency models

The shortlisted predictive LOF models further include biallelic LOF of *ATRX, PTEN, HERC2,*

MEN1, SMARCA4, BAP1, and *RB1,* as well as monoallelic LOF of *ATRX, IDH1, PTEN,*

CDKN2A, ARID1A, TP53BP1, HERC2, and *RB1* (**Fig. 3e; Additional file 1, Supp. Table 7**).

The number of mutated patients in each LOF-set varied from eight (biallelic *SMARCA*-d in

cancers of unknown primary) to 22 (monoallelic *HERC2*-d in skin). We here present the models

of *ATRX, IDH1, SMARCA4, CDKN2A, PTEN, and HERC2*; remaining models may be found in

the supplementary material (**Additional file 1, 3, 4, Supp. Table 7, Supp. Fig. 1**).

Predictive models of *ATRX*-d and *IDH1*-d in CNS cancers

We found that *ATRX*-d (monoallelic: PR-AUC-E=0.21, AUROC=0.71; biallelic: PR-AUC-E=0.23,

AUROC=0.76; **Fig. 6a**) and *IDH1*-d (monoallelic: PR-AUC-E=0.24, AUROC=0.82; **Fig. 6b**) in

CNS cancers were both predicted by a decreased number of SBS signature 8 mutations. In

addition, *ATRX*-d was further predicted by non-clustered inv. 10-100Kb, although with a small

coefficient and hence contributing limited discriminatory power. We discovered that 7 of11 *IDH1*

mutated patients were also *ATRX* mutated (28-fold enrichment compared to *IDH1* WT skin

cancers; $p=1.2 \times 10^{-8}$, Fisher's exact test).

Co-mutation between *IDH1* and *ATRX* is well-described in gliomas(38). Interestingly, LOF of

either gene is associated with lack of SBS signature 8, a signature associated with

BRCAness(8,39) and late-replication errors(40). This suggests that CNS cancers with

IDH1/ATRX deficiency are not subject to the same mutational processes as other CNS cancers.

Predictive model for *SMARCA4*-d in cancer of unknown primary

We discovered eight patients (HMF) out of 77 with cancers of unknown primary with *SMARCA4*-

d (biallelic) that could be predicted with relatively high accuracy (PR-AUC-E=0.44;

AUROC=0.85; **Fig. 6c**). These cancers showed an enrichment of SBS signature 27 (a signature

first detected in myeloid cancers(41)), which has been suggested to be a sequencing artefact

though it also displays strong strand bias(17) (**Additional file 1, Supp. Table 5**). Among

cancers of unknown primary, SBS signature 27 correlates strongly with SBS signature 4

(Pearson corr.=0.96), despite the signatures different composition (cosine similarity of

signatures = 0.17) (**Additional file 1, Supp. Table 9; Fig. 6h,i**). This suggests that SBS

signature 4 may also be associated with *SMARCA4*-d and we indeed found that its predictive

performance (PR-AUC-E of 0.43; AUROC=0.83) was almost equivalent to SBS signature 27.

Signature 4 is associated with smoking across several cancer types(42,43); interestingly,

SMARCA4-d is seen in aggressive thoracic sarcomas(44) and strongly enriched among patients

with a history of smoking(45). Its gene product, BRG1, has been suggested as a lung cancer

transcriptional regulator of genes that induce tumour proliferation(46) and metastasis(47). We

evaluated the ability of SBS signature 4 to predict *SMARCA4*-d in other cancer types and found

a significant predictive association in lung cancer, though much lower than for cancers of

unknown primary (**Fig. 6j**). We also found a significant ability to predict *SMARCA4*-d by the

number of SBS signature 27 mutations in cancers of the NET (Wilcoxon test, one-tailed

p=0.002) and head and neck (p=4.8x10^{-7}), but we could not evaluate this in lung cancers, as the

signature is not among its set of cohort-specific signatures.

Twelve cancers had a high posterior probability of *SMARCA4*-d (**Fig. 6j**) despite being

SMARCA4 WT and lacking pathogenic events. No other single DDR gene was mutated among

all twelve patients, with *TP53* having the most LOF events (6 of 12 cancers). Given that cancers

of unknown primary have disparate origins, this raises the possibility that the patients with high

posterior probability of *SMARCA4*-d may have metastasized from a cancer type or subtype with

both high levels of SBS signature 4 and 27, as well as high incidence of *SMARCA4*-d. Further

studies are thus needed to clarify if the observed associations can be explained through such

an ascertainment bias rather than causatively by *SMARCA4*-d.

Predictive model for monoallelic *CDKN2A*-d in skin cancer

Both germline and somatic variants in *CDKN2A* are known to predispose for melanoma(48). In

the PCAWG skin cancer cohort, we found that a monoallelic predictive model of *CDKN2A*-d

achieved relatively high accuracy (PR-AUC-E=0.28; AUROC=0.82). Its predictive features are

enrichment of deletions flanked by microhomology, non-clustered inv. (100Kb-1Mb), and SBS

signature 7, in order of predictive importance (**Fig. 6d**). Apart from the inversions, these features

are also significantly associated with biallelic *CDKN2A*-d in the HMF skin cancer cohort

(**Additional file 4, Supp. Fig. 2a,b**) and are included in the corresponding predictive model,

though it had lower predictive performance (PR-AUC-E=0.008; AUROC=0.542) and was not

shortlisted (**Additional file 1, Supp. Table 6**). In both HMF and PCAWG, most of the observed

LOF events are somatic, with the majority being biallelic (16 of 18 in PCAWG; 32 of 33 in HMF;

Additional file 1, Supp. Table 8). The presence of deletions flanked by microhomology

suggests a possible reduction in error-free double-stranded break repair in combination with an

increased accumulation of SBS signature 7.

Predictive model for *HERC2-d* in metastatic skin cancer

HERC2-d has been associated with susceptibility to developing melanoma(49). We found

HERC2-d predictable in HMF skin cancer patients (biallelic: PR-AUC-E=0.24, AUROC=0.73;

monoallelic: PR-AUC-E=0.23, AUROC=0.66; **Fig. 6e)**, primarily based on enrichment of

deletions unflanked by microhomology or repetitiveness.

HERC2 encodes a protein ligase that modulates the activity of P53(50). We observed that

seven of the nine patients with biallelic *HERC2*-d also had a monoallelic pathogenic event in

TP53 (8-fold enrichment; p=4.6x10^{-6}, Fisher's exact test). Patients that are co-mutated in *TP53*

(monoallelic) and *HERC2* (mono- or biallelic) showed a significantly higher number of deletions

compared to patients with LOF in either gene alone (Wilcoxon test, One-tailed p<0.031) and

cancers that are wild-type for both genes (p<7.2x10^{-7}) (**Additional file 4, Supp. Fig. 2c**).

***PTEN* deficiency associates with fewer structural variants in CNS and uterine cancers**

PTEN is a tumour suppressor gene found in various cancer types(51,52) and its deficiency was

found to be associated with mutational patterns in uterine and CNS cancers (**Additional file 1,**

Supp. Table 7). In CNS, we acquired two identical models from the HMF data set, as we

observed no monoallelic events without a second hit (mono- and biallelic PR-AUC-E=0.37,

AUROC=0.74; **Fig. 6f**). In uterine cancer, we acquired significant predictive models from both

HMF and PCAWG (HMF, biallelic: PR-AUC-E=0.37, AUROC=0.74; HMF, monoallelic: PR-AUC-

E=0.36, AUROC=0.79; PCAWG, monoallelic: PR-AUC-E=0.22, AUROC=0.74; **Fig. 6g**). The

model of biallelic *PTEN*-d in uterine cancers is primarily based on depletion of non-clustered inv.

(10-100Kb), whereas both the HMF and PCAWG models of monoallelic deficiency are primarily

based on depletion of non-clustered tds. (10-100Kb) (**Fig. 6g**). In contrast, our models of

363   *PTEN*-d in CNS cancers from HMF were based on depletion of both non-clustered deletions (1-

364   10Kb) and non-clustered translocations (**Fig. 6f**).

Discussion

The cancer-specific, incomplete repair of endogenous and exogenous DNA lesions leave

specific genome-wide mutational patterns. Their detection provides potentially powerful

information on the fidelity of individual DNA repair pathways and response to chemo- and

immunotherapies. Taking advantage of a large pan-cancer data set, our analysis shows that

mutational patterns associate with DNA repair defects across a wide range of cancers and

repair mechanisms. In this study, we have contributed concrete initial predictive algorithms for

mutational patterns of several DDR gene deficiencies, with potential use for clinical intervention.

The clinical scope of our approach is exemplified by recent regulatory approval for PARPi

administration, which was supported by statistical predictions of homologous recombination

deficiency (HRD) based on mutational patterns(53) We have similarly predicted *CDK12*

deficiency (*CDK12*-d) which has previously been associated with a tandem duplication

phenotype– with power similar to that of HRD detection. This suggests a clinical benefit of

clinical application of predictive algorithms to test for *CDK12*-d, specifically when considering

the application of CHK1-inhibitors in prostate, ovary, and breast cancer treatment(6).

We also find high predictive power for *MSH6*-d in prostate cancers by counting deletions in

repetitive DNA, also known as the microsatellite instability phenotype (MSI)(54). It is common

practice to search for signs of MSI in colorectal cancers, endometrial cancers, and aggressive

prostate cancers. This prediction is most commonly made using a panel of repetitive DNA

regions, such as the Bethesda panel(55). Here we demonstrated high predictive power in

prostate cancers based on mutation summary statistics, which may be routinely extracted from

whole genome sequencing. The correct identification of patients with high MSI supports the

administration of immune checkpoint blockade treatment, as the mutational phenotype leads to

an increased expression of neo-peptides and thus an increased sensitivity towards the immune

response(56,57).

Recent studies have suggested the use of CDK4/6 inhibition in *SMARCA4* deficient

patients(58). We discovered that a large subset of cancers of unknown primary (>10%) have

SMARCA4 deficiency and that these cancers can be accurately predicted from their whole

genome accumulation of SBS signature 4 or SBS signature 27 mutations. This is a clinically

challenging cancer type due to the lack of a primary cancer to guide treatment and any such

prediction may serve in selecting treatment for these complex cancers; however, additional

studies are needed to establish causality.

Of note, the inclusion of monoallelic events allowed for larger sets of patients with DRR gene

LOF, thereby increasing the power of our study when causative associations with mutational

patterns exist. This is for instance the case for *TP53,* which is known to be functionally impacted

by monoallelic events(59). We shortlisted 11 models of TP53-d, which were all monoallelic. We

further found that inclusion of monoallelic LOF events matched the predictive power for *ATRX*

biallelic LOF in CNS cancers and *HERC2* biallelic LOF in skin cancers, suggesting that single

genetic hits are sufficient to affect repair and ensuing mutational patterns for these genes.

Incorrectly annotated LOF events in DDR genes may affect both the ability to discover

associations with mutational patterns and the performance of any associated predictive models.

On the other hand, highly stringent LOF criteria may result in true LOF events being overlooked,

and create small deficiency sets with insufficient power to discover true associations. For the

annotation of germline LOF events, we restricted our focus to rare variants (population

frequency ≤0.5%), as more common variants are likely benign. Yet, given the high number of

variants evaluated overall, false positive germline LOF calls are expected. However, they are

unlikely to associate with specific mutational patterns and thus are unlikely to contribute

significant, shortlisted false positive predictive models of DDR deficiency. Likewise, in cancers

with hypermutator phenotypes, somatic LOF events may be caused by and associated with

specific mutational patterns. This leads to predictive models that in effect detect instances of

reverse causality, as discussed for the monoallelic models found in colorectal cancer. We

investigated whether reverse causality was a likely explanation for an association captured by a

predictive model by evaluating whether the annotated LOF events matched the predictive

mutational features (**Additional file 1, Supp. Table 9**). In general, biallelic LOF criteria is less

sensitive to wrongly annotated LOF events than monoallelic criteria, as double hits are rare

compared to single hits. For both mono- and biallelic predictive models, the data permutations

ensure that the observed association between the DDR gene LOF events and given mutational

patterns are surprising.

Our systematic approach provides a proof-of-concept that will become increasingly powerful as

the available data sets increase in number and size. For the current data sets, consistent

validation of detected associations was challenging due to small cohorts and differences in

cancer biology.

434 Conclusions

435 The current study yields a catalogue of predictive models that captures both known and novel

436 associations between DDR gene deficiencies and mutational patterns. The included DDR genes

437 may provide targets for research and development of treatment. With further optimization,

438 predictive models such as these may guide the selection of therapy by adding certainty of

439 disabled or compromised repair deficiency phenotypes found in cancers of individual patients.

441 Methods

442 Data

The analysis was conducted on 6,098 whole cancer genomes filtered down to a set of 6,065

whole cancer genomes (see below). The data came from two independent data sets: the Pan-

Cancer analysis of Whole Genomes (PCAWG; #Samples = 2,583; ICGC study ID.

EGAS00001001692)(15) and the Hartwig Medical Foundation (HMF; #Samples = 3,515; Acc.

Nr. DR-044)(16). The data sets contain patients across 32 primary tumour locations and

represent diverse patient groups in terms of age, gender, and disease history. PCAWG consists

of tumours both with and without metastasis, whereas HMF exclusively consists of donors with

tumours showing metastatic capability. In order to best relate the two data sets, all tumours are

catalogued by the site of primary disease. In 77 HMF samples, the primary site was unknown

and these are annotated as such (**Additional file 1, Supp. Table 1**).

Filtering variants for the annotation of LOF in DDR genes

We annotated variants across a set of 736 genes related to the DNA damage response

(**Additional file 1, Supp. Table 2**). The set of genes is combined from three sources,

Knijnenburg et al.(20); Pearl et al.(19) and Olivieri et al.(21).

We filtered the downloaded variant call files (VCF) of all samples for variants between the

Ensembl (GRCH37/hg19) start- and end position of each DDR gene (see coordinates in

Additional file 1, Supp. Table 2). We discarded variants classified as none-PASS in the VCF

files and variants of the PCAWG data set supported by only one of our four variant callers.

Furthermore, we discarded all variants which occurred in more than 200 samples across the two

data sets in order to avoid noise arising from single nucleotide polymorphisms (SNPs) called as

single nucleotide variants (SNVs), SNPs with high frequency in particular populations and

possibly technical artefacts. We also discarded somatic variants with variant allele fractions

(VAF) below 0.2 and variants where gnomAD (V2.1.1) showed a germline population frequency

above 0.5%(60).

Filtering samples

In the HMF data, we selected the earliest available sample whenever multiple samples existed

for the same patient. For the PCAWG data, we used the official whitelist, obtained at the ICGC

resources (https://dcc.icgc.org/pcawg), and for patients with more than one sample, we always

selected the earliest, whitelisted sample available. We discarded six PCAWG samples of

bone/soft tissue, as these were discarded in prior publications based on the PCAWG data

set(23) leaving 2,568 PCAWG samples and 3,497 HMF samples across 32 sites of the body. A

list of all Donor IDs, Sample IDs, and primary sites of disease may be found in **Additional file 1,**

Supp. Table 1.

Annotating loss of heterozygosity and deep deletions

We also used the copy number profiles of each sample to discover genes with biallelic or

monoallelic losses of parts of genes. Any overlap between a gene and a copy number loss was

indicated as a loss-of-function event, under the assumption that losing any part of the protein

coding DNA is detrimental to the complete protein product. We considered events in which the

minor allele copy number was below 0.2 to be a loss of heterozygosity, whereas we considered

events with a total tumor copy number below 0.3 (major and minor allele summarized) as deep

deletions. This cutoff is adapted from the work of Nguyen et al.(24).

Annotating pathogenic variants and mutations

We annotated all variants and mutations with CADD phred scores (V1.6) in order to separate

likely pathogenic variants from likely benign variants(22). Furthermore, we annotated all variants

with their status in the ClinVar database (when present). Combining ClinVar and CADD phred

scores ensured that we would be able to discover associations across all DDR genes, not only

genes with ClinVar annotations, which are expected to be incomplete for any given gene.

All variants with CADD phred scores of 25 or higher were considered pathogenic, whereas non-

synonymous mutations with CADD phred scores below 25 and above 10 were considered

variants of unknown significance (VUS). Variants with CADD phred scores below 10 were

considered benign. With the cutoff value of 25, we only consider variants estimated to be among

the 0.3% most pathogenic.

Annotating biallelic and monoallelic hits

We considered genes with a single pathogenic variant, either somatic or germline, to be

monoallelic hit. In cases where the monoallelic hit is accompanied by a loss-of-heterozygosity

(LOH), we considered the event a biallelic loss. Finally, genes that were completely depleted in

a sample were considered biallelic lost. We did not consider a single LOH event as a

monoallelic loss due to the high frequency of such events, with ~25 times higher rates of LOH

than deep deletions.

Mutational summary statistics of single base substitutions, indels and structural variants

We summarised the genome-wide set of somatic mutations by using Signature Tools Lib,

developed by Degaspari et al(23).

Firstly, we used Signature Tools Lib to count single nucleotide variants by base change and

context, and assigned these counts to a set of organ-specific signatures, as recommended by

Degaspari et al. To ensure the ability to test models across cancer types, we have converted

the organ-specific signature exposures to reference signature exposures using the conversion

matrix found in **Additional file 1, Supp. Tables 9, 10** in the paper by Degaspari et al(23). For

twelve signatures (N1-N12) this was not possible, and these signatures are considered to be

novel. Likewise two signatures associated with mismatch repair (MMR) deficiency, MMR1 and

MMR2, associated with several of the COSMIC MMR signatures. For these, we preserved the

signature label as given by signature tools lib. For cohorts with no organ-specific signatures

(cancers of unknown primary, neuroendocrine tissue, thymus, urinary tract, penis, testis, small

intestine, vulva, double primary, and the adrenal gland), we have assigned mutations directly to

the full set of 30 reference signatures (COSMIC signatures 1-30). Note that we excluded age-

related signature 1 from the modeling, as this signature confounded by acting as a proxy for the

age of the patient so that the model would be learning to differentiate old from young patients

rather than patients with activity of different mutational processes or repair deficiencies.

Secondly, Signature Tools Lib was used to count indels: insertions were simply counted,

whereas deletions were separated by the DNA context of the deletion, being microhomologous,

repetitive, or none of the two. Microhomology was defined by whether the deleted sequence

was similar to the region immediately 3' of the breakpoint, as this indicates repair by

microhomology-mediated end joining. Repetitive deletions were defined by whether there is a

repeat of the indel at the 3' end of the breakpoint(23). We decided to not further compose indels

into signatures, for the ease of interpretation; the type of deletion has a clear relation to the

mechanism of repair, which is what we are investigating in this paper.

Finally, we used Signature Tools Lib to count structural variants: First, SVs were separated into

two groups, clustered and non-clustered based on the average distance between

rearrangements (the inter-rearrangement distance): Regions with at least 10 breakpoints having

at least 10 times smaller inter-rearrangement distance than the average across the genome of

that cancer, were considered clusters(61). SVs were further divided based on the type of

mutation: Deletions, tandem duplications, inversions and translocations. Deletions, tandem

duplications, and inversions were further divided by size, with intervals being 1-10Kb, 10-100Kb,

100Kb - 1Mb, 1-10Mb, and finally mutations with lengths above 10Mb. As with indels, we

decided not to decompose SV counts into rearrangement signatures, to ease interpretability and

because SV signatures are less established in the field. The per-sample exposure to each

summary statistic may be seen in **Additional file 1, Supp. Table 3** (log transformed and scaled

in **Additional file 1, Supp. Table 4;** see method below) and the suggested etiology (when

existing) may be seen in **Additional file 1, Supp. Table 5**(17,39).

Statistical modeling

Preparing subsets of data for modeling

We separated the two data sets and divided the samples into their respective cohorts. We stratified the data for each DDR gene; designating whether the patient had a biallelic hit, monoallelic hit, or no mutation / only benign mutations. We excluded patients with inconclusive variants (CADD phred >10,<25) within the gene. For modeling of cancers with biallelic hits in a gene, we selected all DDR gene-cohort combinations where more than five patients had biallelic hits in the same gene (n=194 LOF-sets). Likewise, we selected all cases with more than ten samples with monoallelic hits (n = 341) (**Additional file 1, Supp. Table 6**). This setup means that each sample may be included in developing several models, but occur only once in each model as either mutated or non-mutated. Biallelic mutated samples were also included in the models of monoallelic loss, as we consider biallelic loss a special case of monoallelic loss.

Generating models using LASSO logistic regression

For each LOF-set we calculated per-sample weights to counter the imbalance in the data, so that the weight of each sample was one minus the proportion of samples with this mutational status (either hit or non-hit/benign). We then used LASSO logistic regression from the R-package "glmnet" (v4.0)(62) to select features with predictive power. For each of k cross-fold validation sets (with k being the number of biallelic or monoallelic hit samples, respectively), we ran a LASSO regression with a nested 5-fold cross-validation and the assigned weights (as mentioned above to counter imbalance in the data), in order to produce a set of lambda values. From the LASSO we selected the lambda value corresponding to the binomial deviance which is one standard deviation away from the observed minimal binomial deviance of the regression, in order to avoid overfitting (overfitting may occur if taking the lambda of the minimal binomial

deviance). In a few cases, the LASSO converged to larger sets of features than what is

justifiable by the low number of mutated samples, and so we limited the number of features to

one feature per ten mutated samples, rounded up and added one (e.g. 12 mutated samples

gives basis for maximum three features). For each of the k cross-folds we used the model to

predict the left-out data, and used these predictions for the evaluation of the model

performance.

Finally, we generated a model by including the entire data set. This was done in order to train

the best possible model in terms of selected features and coefficients, and this is the model

reported in the main figures, whereas the performance measures shown in the main figures are

derived from the k-fold cross-validation.

Evaluating model predictive performance

We used k-fold cross validation to get a measure of the predictive performance of each model.

Due to the strong imbalance in the data, we use the precision-recall area-under-the-curve (PR-

AUC) enrichment from the baseline (PR-AUC-E) as our statistic of performance, while also

reporting traditional AUROC scores. The baseline is defined as the PR-AUC obtained by a non-

informed model, which is equal to the true positive rate of the input data, e.g. 10 mutated out of

a 100 patients would have a baseline PR-AUC of 0.1. By subtracting the baseline from the PR-

AUC of each model we are able to compare the performance between models despite different

sample sizes.

Model selection

Across the 535 LOF-sets we observed a mean PR-AUC-E of 0.04 with a standard deviation of

0.099 (90%-quantile = 0.18) (**Additional file 1, Supp. Table 6**). We shortlisted models with PR-

AUC-E above two standard deviations above this (PR-AUC-E > 0.2) for further analysis

(**Additional file 1, Supp. Table 7**). To evaluate the significance of each model, we proceeded

to generate model-specific null-models as described below:

We generated a null-model for each case. This was done by permuting the mutation state of the

LOF-set and then running the LASSO logistic regression on the permuted data. We ran a

minimum of 10,000 permutations for each of the 535 cases, storing the PR-AUC-E for each

permutation. For LOF-sets where we observed fewer than five PR-AUC-E values more extreme

than the value from the true LOF-set, we ran an additional 10,000 models. This was continued

up to 30,000 permutations after which we stopped and concluded the p-value (**Additional file 1,**

Supp. Table 6). P-values were adjusted to consider the false discovery rate (FDR) of 535 tests,

and tests with FDR p-value above 0.05 were excluded from the model shortlist (**Additional file**

1, Supp. Table 7).

In conclusion, 48 models of 24 genes were shortlisted for further investigation. Of these, we

describe 43 in the main text and, more briefly, 5 in **Supplementary text** and **Supplementary**

figure 1. The inclusion into the main manuscript was done by collegial evaluation of interest to

the field and does not represent a definitive difference in quality of the models.

Declarations

Availability of Data and Materials

The analysis was based on two pre-existing data sets, that of the Pan-Cancer Analysis of Whole

Genomes and that of the Hartwig Medical Foundation. The public parts of the PCAWG data set

may be accessed at https://dcc.icgc.org/releases/PCAWG, whereas controlled files may be

accessed through gbGaP and daco, as instructed on this site https://docs.icgc.org/pcawg/data/.

The ICGC study ID of the project is EGAS00001001692.

The HMF data used in this project may be found by accession code DR-044 and can be

obtained upon request at the Hartwig Medical Foundation

(https://www.hartwigmedicalfoundation.nl/en).

Code availability

631   The code needed to reproduce the analysis is available at

632   https://github.com/SimonGrund/DDR_Predict , including data pre-processing, modeling of the

633   535 predictive models and modelling of ≥10,000 data permutation null models for each of the

634   535 models.

Competing interests

636   The authors declare no competing interests.

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643   Author contributions

644   The project was conceived and designed by JSP and SGS, with early contributions by JB. The

645   data analysis was carried out by SGS under supervision of JSP with input from MHC, including

646   data preparation, exploratory analysis, and statistical modeling. SGS generated all figures and

647   tables. SGS, AS, GAP, ERH, and JSP interpreted the results. SGS, ERH and JSP wrote the

648   manuscript. All authors accepted the manuscript.

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 Figures and legends

Figure 1: Cancer-types, DDR gene deficiencies, and mutational summary statistics

a Cohort sizes for the 32 cancer types comprising the 6,065 whole cancer genomes collected

from the Hartwig Medical Foundation (HMF; n=3,497) and the PanCancer Analysis of Whole

Genomes (PCAWG; n=2,568). **b** mono- and biallelic loss-of-function (LOF) events were

annotated across 736 DDR genes based on both pathogenic variants and copy-number-losses

(loss of heterozygosity; LOH), overall and (**c**) per patient (**d**) with varying no. of LOF events per

DDR gene (x-axis; logarithmic). **e** Whole genome mutational patterns were represented as

summary statistics and used as input features for the predictive models of DDR gene deficiency.

Concretely, each patient was annotated with the number of single-base-substitutions (SBS) that

are accounted to each SBS signature (Alexandrov et al.(18); Degaspari et al.(23)), number of

indels divided by context (mh = microhomology; rep = repetitive), and (**f**) number of structural

variants divided by clusterness, size, and type (del = deletion; inv = inversion; tds = tandem

duplication; trans = translocation)

Figure 2: Predictive modelling of BRCA2 deficiencies in the HMF breast cancers

a Mutational status of *BRCA2* across 645 HMF breast cancer patients. **b** Mutational summary

statistics for the HMF breast cancer patients divided by biallelic *BRCA2* loss-of-function (LOF;

red) and *BRCA2* wild type (WT; grey) (selected predictive features in bold). **c** Predictive features

and their coefficients for model of biallelic *BRCA2* loss with predictive performance measured in

(**d**) AUROC and (**e**) PR-AUC (PR-AUC-E=PR-AUC - baseline=0.29; **Methods**). **f** Distributions of

AUROC and (**g**) PR-AUC-E values obtained from 30,000 random data permutations compared

to observed values (punctuated lines). **h** Correlation between selected predictive features

(horisontal) and other highly correlated (Pearson corr. >0.65) mutational features (vertical).

Figure 3

Figure 3: Predictive models of DDR gene deficiencies

a The predictive performance (PR-AUC-E; x-axis) and significance (FDR; logarithmic y-axis) of

the 535 predictive models (one model per gene with more than five biallelic or more than ten

patients either mono- or biallelic mutated in either HMF or PCAWG in any one cancer type;

Methods). Significance (q-value representing FDR) evaluated by counting equally or more-

extreme PR-AUC-E values across >10,000 permuted data sets and applying Benjamini-

Hochberg FDR control. Models with FDR below 0.05 and PR-AUC-E above 0.2 are shortlisted

(**Methods**). **b** Shortlisted predictive models of deficiency of *BRCA1* or *BRCA2*; (**c**) *TP53*

monoallelic predictive models; (**d**) monoallelic gene deficiency models across colorectal cancer

patients; (**e**) and remaining gene deficiency models not contained in the other sub-groups.

Figure 4: Predictive models with anticipated etiology or origin

a Overview of predictive models for *BRCA1*-d and *BRCA2*-d, showing data source, type of

model, and LOF-set statistics (**b**) PR-AUC-E, (**c**) AUROC, (**d**) and the predictive features and

their coefficient for individual models. **e,f,g** Overview of predictive models of *TP53*-d (as in a-c).

h For each cohort the number of structural variants (x-axis; logarithmic) for *TP53* LOF patients

(red) versus *TP53* wild type patients (grey) and (**i**) the significance of their difference (two-sided

Wilcoxon rank-sum test). **j,k,l** Predictive models of gene deficiencies in colorectal cancers (as

in a-c). **m** Number of deletions in repetitive DNA (as in h) and (**n**) its significance (as in i). **o** The

predictive features of each model (as in d) and (**p**) the percentage of patients that are co-

mutated with *MSH3*.

Figure 5: *CDK12* mutated prostate tumours are predicted by tandem duplications

a Biallelic predictive model *MSH6*-d in HMF prostate cancer patients and its PR-AUC-E and

AUROC. **b** Boxplots of mutation counts between patients that are *MSH6*-d loss-of-function (red)

and *MSH6* wild-type (grey), (mutation counts are normalised and log-transformed; **Methods). c**

Biallelic predictive model for *CDK12*-d with performance measures (as in a). **b** Boxplots of

mutation counts for *CDK12* deficient and wildtype patients (as in b). **e** *CDK12*-d predictive

performance for different cancer types. Predicted probability of *CDK12*-d (x-axis) for patients

with *CDK12* LOF (red) and *CDK12* wild type (grey) are shown for prostate cancer, breast and

ovary cancer, and all other cancer types (y-axis).

Figure 6

 Figure 6: Novel predictive models of DDR gene deficiencies

a Predictive model of *ATRX*-d and its PR-AUC-E, AUROC, and selected features and their

coefficients. Same information for predictive models of (**b**) *IDH1*-d*,* (**c**) *SMARCA4*-d, (**d**)

CDKN2A-d, (**e**) *HERC2*-d, and (**f**) *PTEN*-d in CNS cancers and (**g**) uterine cancers*.*

h Number of SBS sig. 27 and SBS sig. 4 (y-axis; logarithmic) among patients of unknown

primary with *SMARCA4* biallelic LOF (red) or wild-type (grey). **i** Pearson correlation between the

per-patient number of SBS sig 27 (y-axis) and SBS sig 4 (x-axis; logarithmic) mutations, with an

overlaid linear model (blue) and its 95% confidence interval (grey). **j** Using a model trained to

predict *SMARCA4* biallelic LOF in HMF cancers of unknown primary, we evaluate the predictive

power across cohorts (one-tailed wilcoxon rank-sum test) displaying significant cohorts

separately (colours as in **a**).

Additional files

Additional file 1: Supplementary tables 1,2 and 4-9; Microsoft Excel (xlsx)

Supp. Table 1: All included samples and their primary tumour locations

Supp. Table 2: 736 DDR genes, hg19 coordinates and the number of pathogenic events across

6,065 cancer genomes

Supp. Table 5: Proposed Etiologies of base substitution signatures

Supp. Table 6: All models (n=535)

Supp. Table 7: Shortlisted models (n=48)

Supp. Table 8: Pathogenic events in each of the 535 LOF-sets

Supp. Table 9: Correlation between features in shortlisted models

Additional file 2.1: Supp. Table 3: All SBS signature contributions, indels counts, and SV counts,

tab-separated values (.tsv), may be opened in Microsoft Excel per sample

Additional file 2.2: Supp. Table 4: All SBS signature contributions, indels counts, and SV counts,

per sample, log-transformed and scaled to z-scores, tab-separated values (.tsv), may be opened

in Microsoft Excel per sample

Additional file 3: Supplementary text; pdf (.pdf)

Supplementary Text: Significant models that are not described in the main text

Additional file 4: Supplementary figures; pdf (.pdf)

Supp. Fig. 1: Shortlisted predictive models of DDR gene deficiencies not included in the main

manuscript

Supp. Fig. 2: CDKN2A-d in PCAWG and HMF skin cancers, HERC2-d in HMF skin cancers,

and ARID1A-d in PCAWG prostate cancers