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ORFanID: A Web-Based Search Engine for the Discovery and Identification of Orphan and Taxonomically Restricted Genes

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Abstract

With the multiplicity of genomes sequenced today, it has been shown that significant

percentages of genes in any given taxon do not possess orthologous sequences in other taxa. These

sequences are typically designated as orphans/ORFans when found as singletons in one species only

or taxonomically restricted genes (TRGs) when found at higher taxonomic ranks. Therefore,

quantitative and collective studies of these genes are necessary for understanding their biological

origins. Currently, orphan gene identifying software is limited, and those previously available are either

not functional, are limited in their database search range, or are too complex algorithmically. Thus, an

interested researcher studying orphan genes must harvest their data from many disparate sources.

ORFanID is a graphical web-based search engine that efficiently finds orphan genes and TRGs at all

taxonomic levels, from DNA or amino acid sequences in the entire NCBI database cluster and other

large bioinformatics repositories. To our knowledge, this is the first program that allows the

identification of orphan genes using both nucleotide and protein sequences in any species of interest.

ORFanID identifies genes unique to any taxonomic rank, from species to a domain, using

standard NCBI systematic classifiers. The software allows for user control of the NCBI database search

parameters. The results of the search are provided in a spreadsheet as well as a graphical display. All

the tables in the software are sortable by column, and results can be easily filtered with fuzzy search

functionality. In addition, the visual presentation is expandable and collapsible by taxonomy.

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1. Introduction

Following the introduction of large-scale, high-throughput automated DNA sequencing in the

mid1990s, the comparative analysis of whole genomes has revealed that a significant number of

protein-coding open reading frames (ORFs) occur only in one species or only in taxonomically

restricted groups (TRG's). These TRG's occur at systematic ranks from genus upwards. Species-

specific ORFs have been designated as "orphan" (sometimes spelled "ORFan") genes, whereas more

widely distributed ORFs, not present universally but only Linnaean taxa, have been designated as

"taxonomically restricted genes" (TRGs). By definition, orphan genes have no counter orthologous

(i.e., homologous) sequences in any other species. Similarly, TRGs are not present in any genomes

outside their respective taxa. For example, a TRG may be found only in the genus *Drosophila*, but not

in any other Dipteran or in any larger systematic category: Insecta, Arthropoda, and so forth.

Historically, most genetic analyses have omitted the study of orphan and taxonomically

restricted genes and favored focusing on conserved genes, common either to all organisms (e.g., a few

tens of ribosomal sequences) or to broader systematic categories (e.g., the Wnt signaling pathway in

Metazoa), due to the difficulty of studying taxonomically unique ORFs. This is because functional

roles are generally assigned to newly sequenced genes via homology criteria (e.g., existing

annotations). Since conserved genes were straightforward to analyze in evolutionary theory,

systematics, translational and medical research, this focus is easily understood. However, we believe

that understanding orphan genes, which evidence shows (Prabh and Rödelsperger 2016; Wissler et al.

2013; Yu and Stoltzfus 2012), are uniquely involved in making one species distinct from another

phenotypically, is equally significant.

The last decade has seen a rapidly increasing interest in studying orphan genes (Gao et al. 2020;

Weisman et al. 2020; and Johnson 2018). Genomic sequencing has revealed that significant fractions

of the genes in the complete genome of a given species do not possess orthologous sequences in other

species. Therefore, TRGs represent evolutionarily important mediators of phenotypic novelty (Johnson

and Tsutsui 2011; Khalturin et al. 2009; Tautz 2011; Tautz and Domazet-Lošo 2011). Previous dogma

implied that all genes have descended by modification from the set of coding sequences present in the

Last Universal Common Ancestor (LUCA). Thus, the prevailing theory had posited that the duplication

of existing genes, followed by a divergence into new functions, brought forth all extant genes (Lupas

et al. 2001). This duplication-and-divergence process model predicted that any extant ORF should

reveal its history compared to the known universe of other ORFs, given that all ORFs stand on

branching lineages descended from their predecessors in LUCA.

However, the finding of orphan genes has led to a changing picture of gene evolution and

formation. The novel emergence of genes suggests de novo formation may be a predominant

mechanism for gene emergence (Tautz and Domazet-Lošo 2011). This radical shift in thinking has

significant ramifications for understanding the nature of genes, the genome's non-coding regions, and

fully functional sequences (Tautz 2011). This calls for the re-analysis of existing data and comparative

genomic analysis, which can provide new and accurate understandings leading to theories in genetics,

genomics, and the tree of life (Ibrahim et al. 2021). In time, the biological understanding of the origins

and the functions of orphan genes will have applications in both medicine and evolutionary biology

across the tree of life. Therefore, it can be asserted that orphan genes (and TRGs) represent one of the

most intriguing aspects of biology, lying at the intersection of genomics, genetics, comparative and

structural biology, phylogenetics, and evolution.

To study growing numbers of these novel genes across genomes calls for easy-to-use

bioinformatics tools that can be utilized by scientists from the life sciences and those with

computational backgrounds. Tools have been made available through BLAST (Fischer and Eisenberg

1999; Yin and Fischer 2006) and related machine language programs (Ekstrom and Yin 2016).

However, web-based, easy-to-use intuitive tools are further necessary and useful for identifying and

discovering orphan genes across taxonomic lineage phylostratigraphy - the process of determining the

phylogenetic origin of every gene in a genome (Domazet-Lošo et al. 2007).

The tool options a researcher can find today to study orphan genes are very limited, simply

because most software solutions focus on identifying orthologs or inferencing ortho groups and because

their scope is generally limited to proteins. For instance, ORFanFinder (Ekstrom and Yin 2016)

functionality is limited to plants, bacteria, and fungi. While the URL provided in the original

publication (http://cys.bios.niu.edu/orfanfinder) does not work, it appears that ORFanFinder is active

at http://bcb.unl.edu/orfanfinder/ although it does not seem to have been updated. SequenceServer

(Priyam et al. 2019) performs blast without classifying the proteins/DNA sequences to taxonomic

levels. The software Geneious (Kearse et al. 2012) performs alignment and can build a phylogenetic

tree, but identifying orphans using this software may be arduous. Similarly, OrthoFinder (Emms and

Kelly 2019) provides the option to use DIAMOND (Buchfink et al. 2015) or it's recommended

MMseq2 (Mirdita et al. 2019) is for aligning sequences. OMA orthology (Altenhoff et al. 2018) or the

series of analytical resources developed by the Bioinformatics Resource Centers (BRCs) for Infectious

Diseases program [bioinformatics tools, workspaces and services for bioinformatics data analysis like

AmoebaDB, FungiDB, OrthoMCL (Li et al. 2003)] only show orthologous genes/proteins and do not

identify orphan genes. In short, these tools perform alignment or identify conserved genes from the

genomes but are not uniquely designed to identify orphans as ORFanID is designed to do.

ORFanID's distinctiveness is threefold: (1) Its scope includes processing not just the

protein/amino acid sequences but also DNA/nucleotide sequences. (2) Using its built-in homology

interpreter and classifier, the search engine provides the taxonomic rank of a gene either as an orphan

gene or as a gene restricted to a taxonomic level in the tree of life; (3) As Orphans and TRGs are

identified, ORFanID builds its own database with the results of the analysis and provides the researcher

with the possibility to mine the data further.

2. Methods

2.1 Algorithm and Implementation

ORFanID identifies orphan genes and TRGs from a given list of DNA or protein sequences

(Figure 1) mainly by accession number. Based on the protein or DNA sequence, detectable homologous

sequences are found in the NCBI non-redundant databases using the BLAST alignment tool. All the

tools mentioned above recognize NCBI databases are among the most trusted sources. BLAST is a

well-established tool, but users acknowledge it is slow in processing as the databases grow rapidly.

If the query sequence is a protein, the BLASTP program is used, while the BLASTN program

is used for the nucleotide sequences. ORFanID allows the user to submit multiple sequences in FASTA

format so that the queries can be queued for processing using software message brokering techniques.

The results will be combined into a single blast report in tab-delimited format, downloadable by the

user. ORFanID sends a customized BLAST command to retrieve taxonomy IDs of each hit (the

"staxid" column of Figure 2A). Using the rank lineage information file provided by the NCBI

Taxonomy Database, the ORFanID Homology Interpreter finds the defined taxonomy level (species,

genus, family, order, class, phylum, kingdom, and superkingdom) for each sequence found in the

BLAST results. (Figure 2B).

After finding the complete lineage for the individual homology for each input DNA or protein

sequence, the ORFanID Classifier begins searching from the Superkingdom level working towards the

Species level to find common ancestors (Figure 3). The taxonomic rank at which homologs are located

then yields the designation "Taxonomically Restricted Gene" (TRG) for the cluster of orthologs

(homologs) captured by the classifier. If no orthologous sequences are found, and the ORF remains a

species-unique sequence, it will be classified as an "Orphan Gene." If the ORF is unique at the

subspecies level, the gene is classified as a "Strict Orphan" by ORFanID. Using this algorithm,

ORFanID identifies and displays orphan genes or TRGs. Results can be viewed and analyzed

graphically. Further details on the ORFanID algorithm are provided in the Supplementary Information

section of this publication.

2.2 Operation

ORFanID accepts either the protein or amino acid sequences of a single or multiple gene

sequences in the FASTA format. Users can easily retrieve numerous genes or protein sequences by

providing multiple accessions to the sequence search engine. Currently, ORFanID supports both NCBI

as well as Uniprot accessions. Optionally, the user can upload a FASTA file or directly copy the

sequence into the ORFanID engine according to specifications provided on the ORFanID website.

Secondly, users can select the species from the dropdown menu, containing species scientific names,

the NCBI taxonomy ID, and an image of the species for convenient visual recognition. Finally, by

using the advanced parameters, the accuracy of the results can be fine-tuned based on the E-value and

a maximum number of target sequences for each BLAST search. Default values for the advanced

parameters are as follows: max target sequences, 500; identity 60% (minimum percent similarity of

alignment); expected value, 10-3; since most cited papers use this value.

As Figure 4 illustrates, four distinct examples are provided to new users for demonstrative

purposes. Once the user submits their sequence and the species, along with the advanced parameters,

ORFanID will execute the steps discussed in the Algorithm section above and display results in Figure

5A. Figure 5A, the top-left table, summarizes the metadata of the analysis. The graph on the top right

depicts the taxonomy of the TRG or orphan gene for convenient visualization. The table at the bottom

shows the categorization levels of the genes discovered, along with the actual taxonomy level for each

gene.

The homology results can be viewed graphically by selecting any of the graph icons at the

bottom of the results page (Figure 5B). The number of BLAST matches for each taxonomic rank is

visualized in this interactive chart. Each node in the tree shows the related orthologs found at each

taxonomic rank. The graphical display is expandable and collapsible by taxonomy level. All the tables

on the results page are sortable by column, and the results can be easily filtered by fuzzy search

functionality. Besides its web service, ORFanID can be downloaded and installed on a local server.

The Source-code and installation instructions are freely available online.

At the user experience level, ORFanID is equipped with a dedicated web page that outlines the

installation and operating instructions. The site also includes video tutorials that will help users

understand its web interface. As noted previously, the sequence submissions page also provides four

example sets of input gene data for demonstrational purposes. They are available both as FASTA file

sequences and as NCBI accession numbers. These four examples analyze sequences of the following

species: *Escherichia coli* (562), *Drosophila* melanogaster (7227), *Homo sapiens* (9606), and

Arabidopsis thaliana (3702). The operation of the ORFanID application is architected for ease of use,

rich graphics, and reasonable speed for providing a research instrument to identify orphan genes at all

taxonomic levels.

3. Results and Evaluations

We tested the functionality of ORFanID by analyzing the protein and DNA sequences (or NCBI

accessions) of various prokaryotic and eukaryotic organisms, such as *E. coli*, *C. elegans*, *S. cerevisiae*,

D. melanogaster, and *H. sapiens* (Supplementary Table 1). We adjusted the parameters (e-value $<=10^3$)

to filter low-quality results (transposable elements, low complexity protein regions, etc.) from our

analyses. Our results show that the ORFanID effectively assigns the protein accessions to their

respective taxonomic levels (Supplementary Table 1). For instance, paired box-containing pax-6

proteins are tissue-specific transcriptional factors highly conserved across most animal phyla. As

expected, testing the *Drosophila* melanogaster Pax-6 proteins, Eyeless (NP_726607.1) and Twin of

eyeless (NP_001259080.1) using ORFanID, showed that these proteins are restricted to phylum and

class levels.

Similarly, we tested several proteins in *H. sapiens*, including N-cym (NP_001316897.1) and

SPANX (NP_073152.2). N-cym protein regulates the stability of the proto-oncogene MYCN in

neuroblastoma cells. In contrast, the SPANX family proteins are expressed in human spermatozoa and

are extensively studied in Down syndrome patients (Salemi et al. 2009). A previous study predicted

that these two proteins are restricted to the order Primates (Kouprina et al. 2007). Surprisingly,

ORFanID showed that only N-cym is order restricted, while SPANX was classified as a family

restricted protein. To understand this disparity, we searched OrthoDB (Kriventseva et al. 2019) and the

non-redundant database of NCBI using *H. sapiens* SPANX protein (NP_073152.2) as the query.

Interestingly, both databases show that SPANX proteins are restricted to the Hominidae family. These

results support that the ORFanID algorithm is accurate in classifying proteins to their respective

taxonomic group based upon the functionality of BLAST and the choice of parameter settings.

Next, to accurately identify species-specific orphan genes, we tested genes from various species

previously shown to be orphans by using ORFanID. The *A. thaliana QQS* gene is one of the first plant

genes shown to be a species-level orphan (Li et al. 2009). It is involved in the regulation of starch

biosynthesis in leaves (Li et al. 2009), increasing of seed protein, and enhancing the resistance to

pathogens (Qi et al. 2018). Our results show that ORFanID accurately classifies QQS protein

(NP_189695.1) as an *A. thaliana* specific protein. Similarly, we tested the species-specific orphan

genes of some model organisms, such as *D. melanogaster*, *C. elegans*, and *S. cerevisiae*. ORFanID

precisely identified these published genes as species-specific orphans. The *D. melanogaster* group-

specific orphan genes, jeanbaptiste, and karr were predominantly expressed in the male germline and

are functionally very important because RNAi-mediated knock-down of these genes lead to male-

specific developmental defects and partial-lethality (Reinhardt and Jones 2013). As we expected,

ORFanID grouped these genes as strict species-level orphans. In *S. cerevisiae*, the de novo genes bsc4

and fyv5, which regulate DNA repair and vegetative growth, respectively, were accurately predicted

as species-specific by ORFanID. Finally, we analyzed the *C. elegans*-specific gene ify-1 required for

proper chromosome segregation during cell division. As expected, ORFanID rightly categorized ify-1

as a species-specific protein. This result was further confirmed by the database "wormbase.org" (Harris

et al. 2020), which did not show any orthologous of the ify-1 gene. Taken together, these results suggest

that ORFanID works accurately and reliably in classifying and identifying species-specific orphan

genes. Refer to Supplemental Information for a complete list of taxonomically restricted or species-

specific orphans we tested using ORFanID.

Nonetheless, as the NCBI and other such databases grow, what is currently classified as an

orphan may be re-classified at another level in their taxonomic group. Furthermore, the database of

orphans and TRGs is a dynamic list. As such, Orphans may have a provisional status and thus change

as sampling grows. However, we noticed over the years that the percentage of orphans in every species

tends to stabilize with the growing number of genomes synthesized. Hence, ORFanID regularly updates

the databases and files obtained from NCBI.

We compared ORFanFinder to ORFanID, resulting from genes from five different organisms

(*Caenorhabditis elegans*, *Escherichia coli*, *Homo sapiens*, *Oryza sativa*, and *Zea mays*). Results show

that ORFanID is more sensitive in classifying orphan and strict-orphan genes (ORFanFinder is no

longer updated, and the last version of its database is from 2018). Refer to Supplemental Table 2 for

more details.

We also compared ORFanID with abSENSE (Weisman et al. 2020) and found that ORFanID

classifies only 68% of the Fungal genes as orphans and 90% of the Insect. This attests that ORFanID

is very sensitive in classifying orphans and TRGs. This experiment may also attest to the reality in

which a percentage of orphans may prove not to be orphans when more organisms are sequenced and

available in the DNA public databases. Refer to Supplemental Table 3 for more detailed results.

4. Discussion

Here we present the implementation of a web-based, easy-to-use intuitive tool helpful in

identifying and discovering orphan genes across any taxonomic lineage by geneticists/biologists,

bioinformaticians, and computational biologists. The search engine is designed to require minimal

computational skills to operate when compared to other bioinformatics programs. This web-based

interactive tool is based on the BLAST database and uses the genomic sequences for various species

made available through NCBI (Fischer and Eisenberg 1999) and (Yin and Fischer 2006). While

previous stand-alone programs such as ORFanFinder have existed in the past, their scope was limited

mainly to protein databases. To our knowledge, this is the first program that allows users to identify

orphan genes and TRGs using both nucleotide and protein sequences; and from ORFanID, any taxon

of interest found in the NCBI databases can be classified.

Taken together with its interactive user interface, ORFanID allows users to seamlessly use the

BLAST database to investigate and categorically identify orphan genes. These findings can lead to the

creation of databases of these clandestine genes pre-identified at the various taxonomic levels as

ascertained by ORFanID, leading to a much deeper understanding of the purpose of orphan genes, their

function in genomes, and their potential impact on life.

5. Author Contributions

RG conceptualized and led the project along with PN. SH and VG architected and developed the

software. KR tested the program and helped with writing. TG and ET helped with writing and editing.

VG managed the software team. The authors declare that there is no conflict of interest.

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8. Supplementary Information

Supplementary data are available in the online repository of the journal. The Supplementary Material

for this article can be found online at: (please insert the link when ready)

9. Data Availability and Implementation Statement

The ORFanID Web Application and Database are freely available to non-commercial users at

http://www.orfangenes.com/. The Development Stack of ORFanID comprises a VueJs front-end, a

back-end in the Spring Boot framework, and a database in PostgreSQL. The software modules of

ORFanID are containerized using Docker and communicate using RESTful APIs. ORFanID supports

all major browsers.

The Source Code for ORFanID is freely available at https://github.com/orfanid

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Figures

Figure 1: Core engine of ORFanID

ORFanID is a web-based application developed on Java Spring Framework. The Web UI was

developed in Thymeleaf and MaterializedCSS, which follows Google Material design concepts.

A

Input Query Gene	Homology Genes found	p.Identity	length	mismatch	gaps	Q.Start	Q.End	S.Start	S.End	E-Value	Bitscore	StaxId
YP_002791247.1	gi|1116622776|ref|WP_072146903.1|	94.737	19	1	0	1	19	1	19	0.12	34.7	562
YP_002791247.1	gi|1337959342|ref|WP_103433047.1|	94.737	19	1	0	1	19	1	19	0.12	34.7	562
YP_002791247.1	gi|1367384489|ref|WP_106423590.1|	94.737	19	1	0	1	19	1	19	0.15	34.7	562
YP_002791247.1	gi|1362691806|ref|WP_106379540.1|	100	18	0	0	1	18	1	18	0.19	34.3	562
YP_002791247.1	gi|1119742609|ref|WP_072652649.1|	100	18	0	0	1	18	1	18	0.22	34.3	562
YP_002791247.1	gi|983401378|ref|WP_060569283.1|	84.211	19	3	0	1	19	20	38	0.73	33.5	1736699
YP_002791247.1	gi|1183803052|ref|WP_085005794.1|	78.947	19	4	0	1	19	47	65	1.3	32.7	61648
YP_002791247.1	gi|1105446713|ref|WP_071845974.1|	78.947	19	4	0	1	19	47	65	1.8	32.3	1972431
NP_414542.1	gi|693159127|ref|WP_032294921.1|	100	20	0	0	1	20	1	20	0.036	36.2	562
NP_414542.1	gi|485761283|ref|WP_001386572.1|	100	21	0	0	1	21	1	21	0.006	38.1	562

B

Taxonomy Id	Scientific name	Species name	genus name	family name	order name	class name	Phylum name	kingdom name	Superkingdom name
562	Escherichia coli	N/A	Escherichia	Enterobacteriaceae	Enterobacterales	Gammaproteobacteria	Proteobacteria	N/A	Bacteria
562	Escherichia coli	N/A	Escherichia	Enterobacteriaceae	Enterobacterales	Gammaproteobacteria	Proteobacteria	N/A	Bacteria
562	Escherichia coli	N/A	Escherichia	Enterobacteriaceae	Enterobacterales	Gammaproteobacteria	Proteobacteria	N/A	Bacteria
562	Escherichia coli	N/A	Escherichia	Enterobacteriaceae	Enterobacterales	Gammaproteobacteria	Proteobacteria	N/A	Bacteria
562	Escherichia coli	N/A	Escherichia	Enterobacteriaceae	Enterobacterales	Gammaproteobacteria	Proteobacteria	N/A	Bacteria
1736699	Citrobacter sp. 50677481	N/A	Citrobacter	Enterobacteriaceae	Enterobacterales	Gammaproteobacteria	Proteobacteria	N/A	Bacteria
61648	Kluyvera intermedia	N/A	Kluyvera	Enterobacteriaceae	Enterobacterales	Gammaproteobacteria	Proteobacteria	N/A	Bacteria
1972431	Phytobacter ursingii	N/A	Phytobacter	N/A	Enterobacterales	Gammaproteobacteria	Proteobacteria	N/A	Bacteria
562	Escherichia coli	N/A	Escherichia	Enterobacteriaceae	Enterobacterales	Gammaproteobacteria	Proteobacteria	N/A	Bacteria
562	Escherichia coli	N/A	Escherichia	Enterobacteriaceae	Enterobacterales	Gammaproteobacteria	Proteobacteria	N/A	Bacteria

383 **Figure 2:** (A) Finding homologous genes by sequence similarity. (B) Finding taxonomy levels for

384 each homology.

Taxonomy Id	Scientific name	Species name	genus name	family name	order name	class name	Phylum name	kingdom name	Superkingdom name
562	Escherichia coli		Escherichia	Enterobacteriaceae	Enterobacterales	Gammaproteobacteria	Proteobacteria		Bacteria
1736699	Citrobacter sp. 50677481		Citrobacter	Enterobacteriaceae	Enterobacterales	Gammaproteobacteria	Proteobacteria		Bacteria
61648	Kluyvera intermedia		Kluyvera	Enterobacteriaceae	Enterobacterales	Gammaproteobacteria	Proteobacteria		Bacteria
1972431	Phytobacter ursingii		Phytobacter		Enterobacterales	Gammaproteobacteria	Proteobacteria		Bacteria

Taxonomy Id	Scientific name	Species name	genus name	family name	order name	class name	Phylum name	kingdom name	Superkingdom name
562	Escherichia coli	N/A	Escherichia	Enterobacteriaceae	Enterobacterales	Gammaproteobacteria	Proteobacteria	N/A	Bacteria

388 **Figure 3:** Gene Classification. The search starts from the superkingdom to species level until it

389 finds homologous sequences.

392 **Figure 4:** ORFanID Input Page.

 Figure 5: (A) ORFanID Results Page. (B) ORFanID BLAST Results Graphical Display.