1	Letter
2	Discoveries
3	Title
4 5	Monotreme-specific conserved proteins derived from retroviral reverse transcriptase
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17 Abstract

18 Endogenous retroviruses (ERVs) have played an essential role in the evolution of 19 mammals. Many ERV-derived genes are reported in the therians that are involved in the 20 placental development. However, the contribution of the ERV-derived genes in 21 monotremes, which are oviparous mammals, remains to be uncovered. Here, we 22 conducted a comprehensive search for possible ERV-derived genes in platypus and 23 echidna genomes and identified three reverse transcriptase-like genes, named "RTOM1, 2, and 3." They were found to be clustered in the GRIP2 intron. Phylogenetic analysis 24 25 revealed that *RTOM1*, 2, and 3 are strongly conserved between these species, and they 26 were generated by tandem duplications before the divergence of platypus and echidna. 27 The RTOM transcripts were specifically expressed in the testis, suggesting the 28 physiological importance of RTOM genes. This is the first study reporting monotreme-29 specific de novo gene candidates derived from ERVs, which provides new insights into 30 the unique evolution of monotremes.

32 Endogenous retroviruses (ERVs) are remnants of retroviral genomes found in the host 33 genomes. ERVs are retroviruses that infect the host germline cells and are integrated into 34 the host genome (Johnson 2019). Young ERVs retain their viral open reading frames (ORFs), but gradually lose their intact ORFs due to the accumulation of mutations. 35 36 However, proteins expressed from ERVs sometimes evolve as functional genes in the host 37 (Ueda et al. 2020). A typical example is the syncytin genes, ERV-derived fusogenic genes, 38 which are expressed in the human placenta (Mi et al. 2000; Blond et al. 2000; Blaise et 39 al. 2003) and are required for mouse placenta formation (Dupressoir et al. 2009; 40 Dupressoir et al. 2011). Syncytin genes have been independently acquired from different 41 ERVs in different mammalian lineages, which is a representative example of the 42 convergent evolution (Imakawa et al. 2015). In addition, other ERV-derived genes have 43 also been found to be expressed in the placenta. For example, HEMO encoding a secreted 44 envelope protein (Heidmann et al. 2017) as well as gagV1 and pre-gagV1 genes (Boso et 45 al. 2021) are highly expressed in the human placenta. However, it is unknown whether 46 ERV-derived genes are co-opted in monotremes that are egg-laying mammals. 47 Comparative studies for the detection of ERV-derived genes have been conducted in 48 mammalian genomes, including the platypus (Nakagawa and Takahashi 2016; Wang and 49 Han 2020). For monotremes, however, only the genome sequence of one species, the 50 platypus, was available (OANA5). and the quality was limited (Warren et al. 2008). 51 Recently, high-quality monotreme genomes of platypus (mOrnAna1.p.v1) and echidna (mTacAcu1.pri) were sequenced using long-read sequencing technology (Zhou et al. 52 53 2021), making it possible to search for conserved ERV genes in monotremes. Here, we 54 performed a comparative analysis of the genomes of these two monotremes and found 55 three ERV-derived genes that are specific to the monotreme lineage.

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57 To comprehensively search for ERV genes in monotremes, we extracted ORFs from the 58 genomes of platypus and echidna. The amino acid sequences obtained by the virtual 59 translation of these ORFs were used as queries for the sequence search. We used the 60 hidden Markov model (HMM) of the retroviral genes in the Gypsy Database 2.0 (GyDB) 61 (Llorens et al. 2011) as the subject of the sequence search (supplementary table S1). We 62 identified ORFs similar to gag, pro, pol, and env genes (fig. 1A). These ORFs are 63 presumed to be a mixture of (1) ORFs that are evolutionarily conserved and (2) ORFs of 64 young transposons that retain their ORFs. To exclude young ERV ORFs, we performed the clustering analysis based on the amino acid sequence identity. Since young ERVs are 65 66 thought to be included in large clusters due to their mutual similarity to each other, we 67 removed sequences that belonged to large clusters consisting of more than 10 sequences. 68 Next, using the platypus ORFs as queries, and the echidna ORFs as the subjects, we 69 conducted a sequence similarity search using BLASTp. We obtained nine ORF pairs with 70 high amino acid similarity and the same synteny between platypus and echidna 71 (supplementary table S2). For six pairs among these, we found respective homologs in the human genome, indicating that they were either ERV genes acquired in the common 72 73 mammalian ancestor or host genes with high similarity to ERVs. Indeed, one of the six 74 genes is ASPRV1 that is a known ERV-derived protease gene acquired in the common 75 ancestor of mammals and is responsible for skin maintenance (Matsui et al. 2011). The 76 remaining three genes were not found in the human genome. They were located tandemly 77 in the intron of the GRIP2 gene in the opposite direction (fig. 1B). All three ORFs showed 78 high similarity to the reverse transcriptase (RT) of spumaretrovirus in GyDB 79 (supplementary table S3). Therefore, we designated these genes as RTOM [RT-like ORF

80 in Monotreme], and three genes were named as RTOM1, RTOM2, and RTOM3 in order of their location from the 5' direction (fig. 1B). The RTOM coding sequences were 81 82 searched in the genomes of 6 mammals, 2 birds, 8 reptilians, and 2 amphibians (supplementary table S4); however, significant hits were not obtained other than in 83 84 platypus and echidna (BLASTn: E-value < 1E-5). Therefore, the *RTOM* genes could be 85 monotreme-specific and by originated more than 55 million years ago, the divergence 86 time of platypus and echidna (Zhou et al. 2021).

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We found that the gene structures of *RTOM* genes in the platypus genome were annotated 88 89 in the RefSeq database (fig. 2A). RTOM1, 2, and 3 genes of platypus contained two 90 introns in the 5' UTR, and the entire RTOM ORFs are expressed as mRNA excluding a 91 second splicing variant of RTOM3 that partially lost its ORF (fig. 2A). In echidna, 92 RTOM2 and RTOM3 gene structures were annotated in the RefSeq transcripts; however, 93 RTOM1 was not annotated. By conducting transcriptome assemblies of RNA-seq data of 94 echidna tissues (supplementary table S5), we reconstructed the RTOM1 transcript (fig. 95 2B; supplementary data S1). As a result, all echidna *RTOM* transcripts have two introns 96 in the 5' UTR, which was similar to observations for platypus. According to the alignment 97 of the six amino acid sequences of platypus and echidna RTOM genes, RTOM2 lacks a 98 region shared by RTOM1 and RTOM3, but the C-terminal region was conserved among 99 the RTOM proteins without insertion or deletion (fig. 2C). To investigate the tissue-100 specific expression of RTOM genes, we analyzed the RNA-seq data of platypus and echidna (supplementary table S5). In platypus, RTOM1, 2, and 3 were all highly expressed 101 102 in the testis (fig. 2D). GRIP2 was expressed not only in the testis but also in the brain, 103 and its expression level was lower than that of the RTOM genes. This suggests that the

104 RTOM expression was not a result of the GRIP2 expression. We further investigated the 105 mapped reads using Interactive Genome Viewer (Thorvaldsdóttir et al. 2013) and found 106 that *RTOM3* showed a splicing variant with an intron in the coding region, as shown in 107 the RefSeq transcript (supplementary fig S1). In echidna, we found that all RTOM 108 transcripts were specifically expressed in the testis, similar to platypus. Expression of 109 GRIP2 in echidna testis was also relatively low, strengthening the idea that the RTOM 110 expression is independent of GRIP2 expression (fig. 2E). Given the higher expression 111 level of *RTOM2* in both platypus and echidna, this gene may play a central role of the 112 RTOM proteins. It is still possible that the relative expression levels of three genes may 113 change according to tissues and developmental stages that were not examined in this study.

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115 To obtain insights into the viral origin of the *RTOM* genes, we performed a BLASTp 116 search of the amino acid sequence of platypus RTOM1 against the NCBI virus database. 117 We found that retrovirus Pol proteins from various distinct lineages, namely 118 gammaretrovirus, deltaretrovirus, epsilonretrovirus, and spumaretrovirus, are similar to 119 the RTOM1 proteins (BLASTp: E-value < 1E-20). In all hits, the retroviral Pol proteins 120 showed high similarity to the latter half of RTOM1 (about 370-607aa). Domain search 121 against the Pfam database (Mistry et al. 2021) in the HMMER web service (Finn et al. 122 2011) revealed that the latter half of RTOM1 and RTOM3 contain RT domains (fig. 3A; 123 supplementary fig. S2). A phylogenetic tree was constructed from the RT regions of the 124 RTOM proteins and the retroviral Pol proteins (fig. 3B). The RTOM proteins appear to 125 be more related to class III retroviruses, including spumaviruses or spumavirus-related 126 MuERV-L (Llorens et al. 2009). The tree topology suggested that RTOM1 emerged at 127 first, and RTOM2 and RTOM3 were then generated by tandem gene duplications before

128 the divergence of platypus and echidna (fig. 3C). In the non-RT region of RTOM1 129 (approximately 1-369aa), no significant hits for retroviruses were obtained (fig. 3A). We 130 performed a BLASTp search for all non-redundant proteins in the GenBank database for 131 the non-RT region of RTOM1; however, no similar proteins were found except for 132 RTOM2 and 3 (E-value < 0.05). Therefore, the non-RT region of the *RTOM* genes dons 133 not seem to be derived from ERV genes or conserved host genes. Considering the 134 structural divergence of the non-RT region, such as deletion of RTOM2 and splicing 135 variant of platypus RTOM3 (fig. 2C), the RT region is a core domain of the RTOM 136 proteins, and the non-RT region may provide functional modifications specific to each 137 RTOM protein.

138

139 During the 187-million-years history after diverging from monotremes (Zhou et al. 2021), 140 therians have acquired many ERV genes and evolved their unique features, especially the 141 placenta (Imakawa and Nakagawa 2017). Our work revealed that monotremes also 142 domesticated ERV genes. The functional inference of the RTOM proteins is difficult as 143 co-opted RT genes, such as RTOMs, have not been reported in other vertebrates to the 144 best of our knowledge. One possibility is that RTOM proteins may function as restrictive 145 factors against ERVs and retrotransposons. For example, gag-derived Fv1 (Best et al. 146 1996) and env-derived Fv4 (Ikeda and Sugimura 1989) inhibit retroviral infection in mice. 147 It is possible that the RT domains in the *RTOM* genes compete with retrotransposition as 148 antagonists. Another possibility is that RTOM proteins are involved in physiological 149 functions unique to monotremes. In future studies, it would be important to clarify which 150 cells, viz. germ cells or somatic cells, in testis express the RTOM genes. Further studies 151 pertaining to *RTOM1*, 2, and 3 in platypus and echidna will expand our understanding of 152 ERV co-option during the evolution of mammals.

153

154 Materials and Methods

155 Identification of conserved ERV genes

156 The platypus genome (mOrnAna1.p.v1, GCF 004115215.1) and the echidna genome 157 (mTacAcu1.pri, GCF 015852505.1) were used for the ERV gene screening (please see 158 fig. 1). The 240-nt ORF flanked by stop codons were retrieved using the getorf program 159 in the European Molecular Biology Open Software Suite (Rice et al. 2000). For HMM-160 based sequence search, hmmscan was used (Expected threshold: 1E-5) in HMMER3 161 v3.2.2 (Eddy 2011). ORFs were clustered using CD-HIT v4.8.1 (Li and Godzik 2006) 162 with 50% amino acid identity. The sequence search for platypus ORFs against echidna 163 ORFs was conducted using BLASTp v2.10.0+ with an e-value < 1E-50 (Camacho et al. 164 2009). Hits with a bitscore > 400 were retrieved and checked the syntemy was checked 165 using the UCSC genome browser (https://genome.ucsc.edu/index.html).

166

167 Expression analysis

168 RNA-seq data of platypus (20 samples from 6 tissues) (Marin et al. 2017) and echidna 169 (11 samples from 7 tissues) (Zhou et al. 2021) were used (supplementary file S5). Low-170 quality reads were trimmed and filtered using fastp v0.19.5 with default options (Chen et 171 al. 2018). The filtered reads were mapped to the each reference genome using HISAT2 172 v2.1.0 (Pertea et al. 2016). Based on the 11 RNA-seq sequencing data mapped on the 173 echidna genome, we obtained the echidna RTOM1 transcript by conducting transcriptome assembly using Stringtie2 v2.1.6 with "--merge" option (Kovaka et al. 2019). We added 174 175 the coordinates of the echidna RTOM1 transcript (supplementary data S1) to the RefSeq

176	gene coordinates. We then calculated the expression levels for 20 platypus and 11 echidna
177	RNA-seq samples using the Stringtie2 program with default options (Kovaka et al. 2019).
178	
179	Phylogenetic analysis
180	Representative retroviral Pol amino acid sequences were retrieved from the GyDB
181	collection
182	(https://gydb.org/index.php/Alignment?alignment=POL_retroviridae_Biology_Direct_4
183	_41_2009&format=txt) (Llorens et al. 2009). A multiple alignment was generated using
184	MAFFT v7.487 (Katoh and Standley 2013), and poorly aligned regions were removed
185	using trimAl v1.4.rev15 (Capella-Gutiérrez et al. 2009). A phylogenetic tree was
186	constructed using IQ-TREE2 v2.0.8 (Minh et al. 2020) with 1000 replicates of ultrafast-
187	bootstrap (Hoang et al. 2018). The tree was visualized using FigTree v1.4.4
188	(http://tree.bio.ed.ac.uk/software/figtree/).

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196	
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297 Figure legends

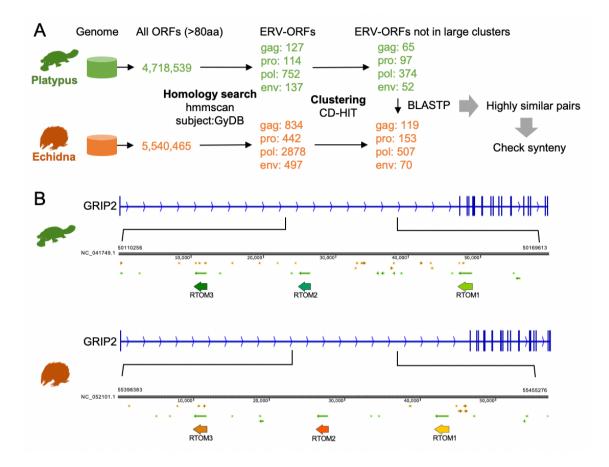


FIG. 1. Identification of RTOM1, 2, and 3. (A) Schematic representation of the in silico screening for conserved ERV-derived genes in platypus and echidna. (B) Genomic context of RTOM1, 2, and 3.

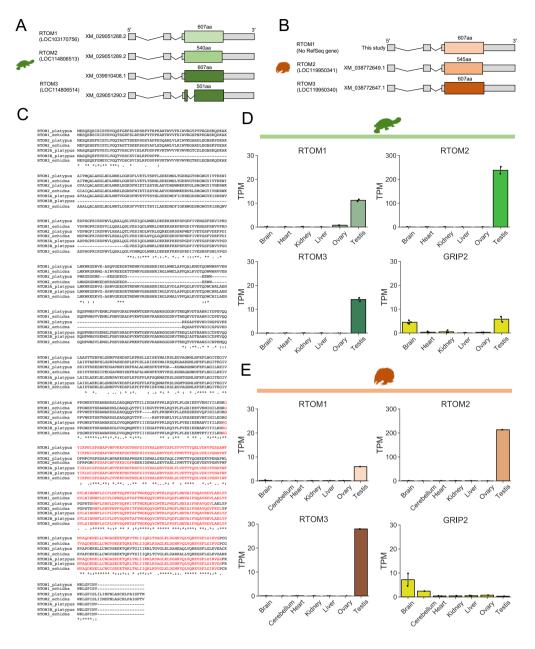
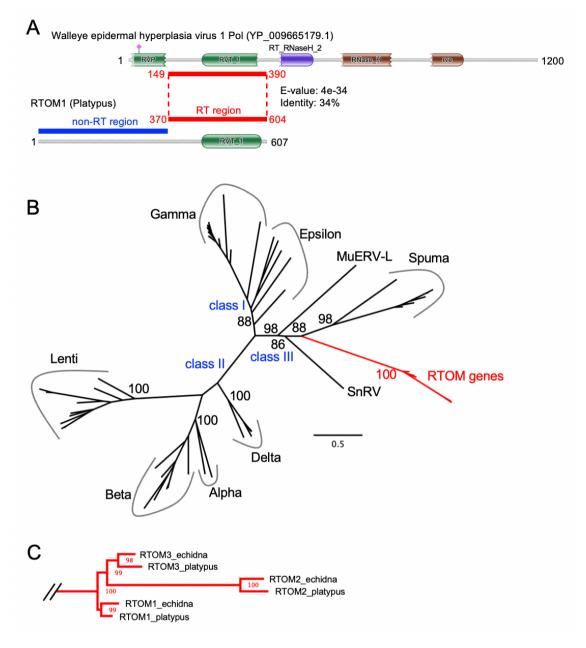


FIG. 2. Expression of *RTOM1*, 2, and 3. (A) Schematic representation of the RefSeq transcripts of the *RTOM* genes in platypus. (B) Schematic representation of the reconstructed *RTOM1* transcript and RefSeq transcripts of the *RTOM2* and 3 genes in echidna. (C) Multiple alignment of the amino acid sequences of RTOM proteins. The amino acid sequence of echidna RTOM1 was obtained from the genomic ORF. "RTOM3A_plasypus" and "RTOM3B_platypus" are protein isoforms derived from

- 309 "XM 039910408.1" and "XM 029051290.2," respectively. The regions showing
- 310 similarity to the HMM of spumaretrovirus RT domain in GyDB are indicated in red. (D
- and E) Tissue-specific expression of *RTOM* genes and *GRIP2* in (D) platypus and (E)
- 312 echidna. Normalized expression levels are presented as transcript per million (TPM).



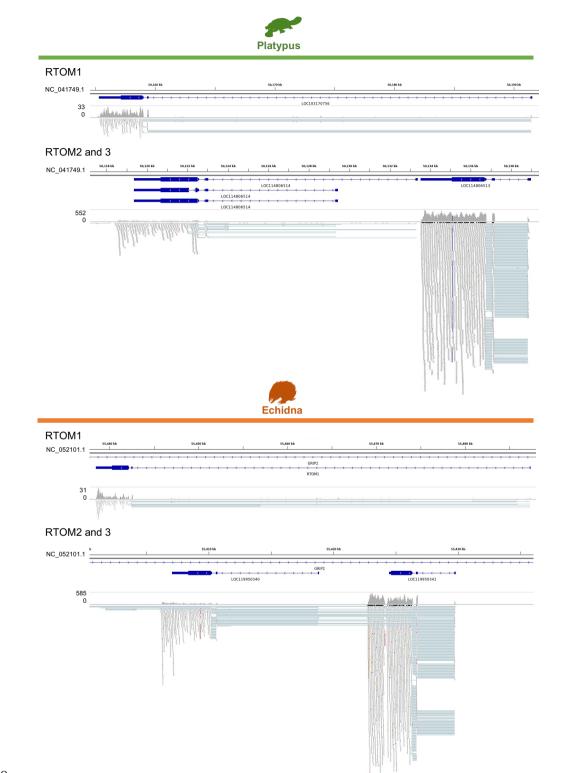
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FIG. 3. Evolution of RTOM1, 2, and 3. (A) Comparison between platypus RTOM1 and
retroviral Pol protein. Walleye epidermal hyperplasia virus 1 is represented as an example.
A region showing similarity to the Pol protein by BLASTp was designated as "RT region."
A region that did not show similarity to any retroviral genes was designated as "non-RT
region." (B) A phylogenetic tree constructed from the amino acid sequences of RT regions
of the six RTOM proteins and the retroviral Pol proteins in GyDB. The multiple alignment

- 321 is available in supplementary data S2. Ultrafast-bootstrap values obtained from 1000
- 322 times replication are shown in major branches. (C) Detailed representation of the clade
- 323 of the RTOM genes in (B).

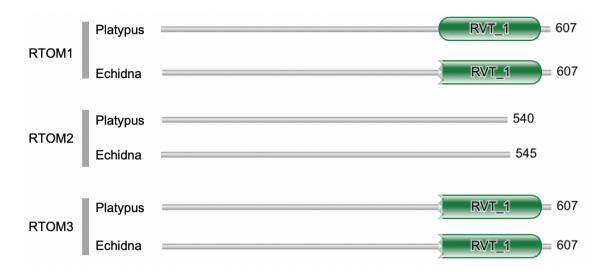
325 Supplementary Materials

- 326 Supplementary fig. S1. Screenshots of Interactive Genomic Viewer of RNA-seq reads on
- 327 the RTOM genes.
- 328 Supplementary fig. S2. Protein domains in RTOM1, 2, and 3.
- 329 Supplementary table S1. The HMM profiles in GyDB used in this study.
- 330 Supplementary table S2. ERV-like ORFs shared between platypus and echidna
- 331 Supplementary table S3. The GyDB HMMs hit to the RTOM genes
- 332 Supplementary table S4. Species and genomes used for genes similar to the RTOM genes.
- 333 Supplementary table S5. RNA-seq data used in this study.
- 334 Supplementary data S1. Nucleotide sequence of the echidna *RTOM1* transcript.
- 335 Supplementary data S2. Alignment of representative retroviral pol genes and the RTOM
- 336 genes.



339 Supplementally FIG. S1. Screenshots of Interactive Genomic Viewer of RNA-seq reads
340 on the *RTOM* genes. The transcript tracks in blue lines display the coordinates from the

- 341 RefSeq GTF files. Thick blue lines indicate the coding sequences. Since there is no
- 342 corresponding RefSeq transcript for echidna *RTOM1*, its gene coordinate was manually
- 343 added from assembled transcripts in this study (Materials and Methods).



345

346 Supplementally FIG. S2. Protein domains in RTOM1, 2, and 3. The domain search was

347 conducted using hmmscan in HMMER web server with default options
348 (<u>https://www.ebi.ac.uk/Tools/hmmer/search/hmmscan</u>).