1	Demography and linked selection interact to shape the genomic
2	landscape of codistributed woodpeckers during the Ice Age
3	
4	Short title: Demography and selection shape avian genomic landscape
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16	Abstract

17 The glacial cycles of the Pleistocene had a global impact on the evolution of species. Although the influence 18 of genetic drift on population genetic dynamics is well understood, the role of selection in shaping patterns 19 of genomic variation during these dramatic climatic changes is less clear. We used whole genome 20 resequencing data to investigate the interplay between demography and natural selection and their influence 21 on the genomic landscape of Downy and Hairy Woodpecker, species co-distributed in previously glaciated 22 North America. Our results revealed a dynamic population history with repeated cycles of bottleneck and 23 expansion, and genetic structure associated with glacial refugia. Levels of nucleotide diversity varied 24 substantially along the genomes of Downy and Hairy Woodpecker, but this variation was highly correlated 25 between the two species, suggesting the presence of conserved genomic features. Nucleotide diversity in both species was positively correlated with recombination rate and negatively correlated with gene density, 26 27 suggesting that linked selection played a role in reducing diversity in regions of low recombination and 28 high density of targets of selection. Despite strong temporal fluctuations in Ne, our demographic analyses 29 indicate that Downy and Hairy Woodpecker were able to maintain relatively large effective population 30 sizes during glaciations, which might have favored natural selection. The magnitude of the effect of linked 31 selection seems to have been modulated by the individual demographic trajectory of populations and 32 species, such that purifying selection has been more efficient in removing deleterious alleles in Hairy 33 Woodpecker owing to its larger long-term N<sub>e</sub>. These results highlight that while drift captures the expected 34 signature of contracting and expanding populations during climatic perturbations, the interaction of multiple 35 processes produces a predictable and highly heterogeneous genomic landscape.

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### 40 Introduction

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42 Pleistocene glacial cycles altered the distribution and evolution of entire communities [1,2]. Despite the 43 profound impact glaciations had on the evolutionary trajectory of species, the majority of research on the 44 topic has focused on how demographic dynamics have shaped neutral genetic variation [2,3]. Population 45 expansion [4,5], genetic structuring in refugia [6-10], and decreased diversity in expanding populations 46 [11–13] are among the most common patterns recovered. However, as species rapidly expanded and 47 colonized areas under extreme environmental change they would have been subject to strong selective 48 pressures, such as increased tolerance to cold and selection against deleterious mutations [14,15]. 49 Understanding how natural selection, along with genetic drift, interact with features of the genome to shape 50 the genomic landscape of diversity and differentiation will clarify the broader significance of the Ice Age 51 on the evolution of species.

52 Demography and natural selection play a central role shaping levels of genetic diversity, but their 53 effects are intertwined [16–18]. Neutral genetic diversity ( $\theta$ ) is the product of the rate at which new alleles 54 are generated (i.e., mutation rate  $\mu$ ) by the effective population size (N<sub>e</sub>), so that diversity levels are predicted to increase as a function of the size of populations (In diploids,  $\theta = 4N_e\mu$  [19,20]). On the other 55 56 hand, fixation of beneficial alleles (selective sweep [21,22]) or removal of deleterious mutations 57 (background selection [22–25]) can cause genetic diversity to decrease across the genome through the effect 58 of linked selection [24]. Demographic perturbations that cause  $N_e$  to fluctuate over time and space (e.g., 59 glacial bottlenecks) are, therefore, expected to result in a larger accumulation of mildly deleterious alleles 60 when compared to large populations with constant  $N_e$  because of the reduced efficacy of purifying selection 61 when genetic drift is strong [26-30]. Hence, populations resulting from founder events, such as at the 62 leading edge of a postglacial expansion, often show elevated genetic load [27,30,31].

63 Levels of diversity and differentiation along the genome also vary due to the differing effects of 64 intrinsic genomic properties [32–36]. Genome features such as variation in mutation rate, recombination 65 rate, distribution of functional elements, and nucleotide composition impact the rates at which genetic 66 variants are produced, maintained, and lost [37]. Regions enriched for functional elements (e.g., coding 67 sequences), for instance, tend to exhibit significantly lower levels of genetic diversity due to the recurrent 68 effect of natural selection [33,38–40]. The loss of variation is further amplified by linkage disequilibrium 69 (LD), which reduces diversity at neutrally-evolving sites in close proximity to the targets of selection 70 (hitchhiking effect [21]). The extent to which linked selection affects neighboring sites depends on the 71 recombination rate, which shows considerable genome-wide variation [41–44]. Larger reductions in 72 nucleotide diversity are expected to occur in genomic regions enriched for functional elements and with 73 lower recombination rates. A correlation between nucleotide diversity, gene density, and recombination

rate is therefore indicative that linked selection is at play. Quantifying covariance between evolutionarily
independent species can help understand the interplay between these various conserved features of the
genome and their impact on patterns of diversity and differentiation along the genome.

77 We aim to address drift-selection dynamics during the Pleistocene climatic cycles by estimating 78 the impact of demography and linked selection on the genome of Downy (Dryobates pubescens) and Hairy 79 (D. villosus) Woodpeckers, two co-distributed species that share similar ecologies and evolutionary 80 histories. Downy and Hairy Woodpecker are year-round residents of a variety of habitats in North America, 81 occurring in sympatry across an exceptionally broad geographic area from Alaska to Florida, although the 82 range of the Hairy Woodpecker extends further south, reaching portions of Central America and the 83 Bahamas [45]. Despite looking very similar, the two species are not sisters and share a common ancestor 84 more than eight million years ago, without any evidence of recent hybridization [46,47]. During the glacial 85 cycles of the Pleistocene, especially when the polar ice sheets reached their maximum extent (Last Glacial 86 Maximum; 21 kya), a large portion of the present-day distribution of Downy and Hairy Woodpeckers were 87 covered in ice, and populations of both species were restricted to southern refugia [12,48,49]. After the 88 retreat of Pleistocene glaciers, Downy and Hairy Woodpeckers extended their distributions north, 89 recolonizing higher latitudes. Phylogeographical studies in Downy and Hairy Woodpecker revealed that 90 populations currently inhabiting previously glaciated areas show strong signatures of population expansion 91 and population structuring consistent with multiple glacial refugia [12,48–51]. This shared demographic 92 history provides an opportunity to investigate multiple genomic factors that might have impacted the 93 distribution of diversity across populations and within the genomes of these two natural evolutionary 94 replicates.

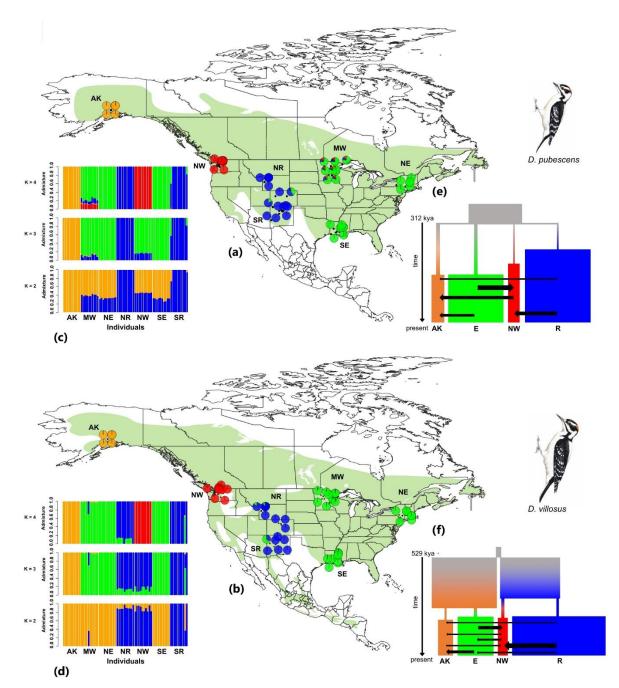
95 In this study, we generated whole-genome resequencing data for Downy and Hairy Woodpeckers 96 to test whether the heterogeneous genomic landscape of diversity and differentiation in both taxa is 97 correlated with intrinsic features of the genome, such as recombination rate and gene density, and whether 98 differences in demographic history had an impact on the efficacy of selection. We hypothesize that if linked 99 selection reduced diversity at linked neutral sites along the genome, local levels of nucleotide diversity 100 should be correlated with the rate of recombination and the density of targets of selection. In addition, we 101 predict that if the efficiency of selection is a function of the demographic trajectory of populations during 102 the Ice Age, large and more stable populations (i.e., larger long-term Ne) will exhibit lower genetic load 103 and a stronger correlation between nucleotide diversity and intrinsic genomic properties, such as 104 recombination rate. These results have implications for our understanding of the relative importance of 105 neutral and selective processes on the evolution of the genomic landscape of species heavily impacted by 106 glaciations.

# 107 **Results**

# 108 Congruent population structure and genetic diversity

We characterized population genetic structure in Downy and Hairy Woodpeckers across an array of ecological zones that would have been subject to varying effects of Pleistocene climatic cycles. We collected whole genomes of 70 individuals each of Downy and Hairy Woodpecker (140 total samples; Table S1), representing seven geographic locations in North America: Northeast (NE), Southeast (SE), Midwest (MW), Southern Rockies (SR), Northern Rockies (NR), Pacific Northwest (NW), and Alaska (AK; Figure 1a–b). Sequenced reads were mapped to a pseudo-reference genome of Downy Woodpecker

- 115 [52], yielding an average sequencing depth of 5.1x (1.4–12.5x) for Downy Woodpecker and 4.5x (1.1–
- 116 11.7x) for Hairy Woodpecker. A total of 16,736,465 and 15,463,356 single nucleotide polymorphisms
- 117 (SNPs) were identified in the Downy and Hairy Woodpecker genomes, respectively, using the genotype
- 118 likelihood approach implemented in ANGSD [53].

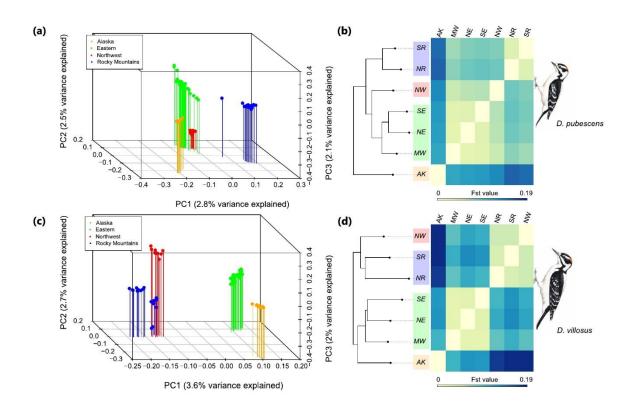




120 Figure 1. Geographic distribution of genetic variation and demographic history of the Downy (D. 121 pubescens; top) and Hairy Woodpecker (D. villosus; bottom). (a-b) Results of the NGSadmix analysis 122 for the K = 2-4. Each bar indicates an individual's estimated ancestry proportion for each genetic cluster, 123 represented by different colors. (c-d) Map indicating the current range of Downy and Hairy Woodpecker 124 (green shade), the locality of the samples, and their respective admixture proportions from NGSadmix (pie 125 charts). (e-f) The best-fit demographic models from *fastsimcoal2*. The width of the rectangles and arrows 126 are scaled relative to the estimated effective population sizes in haploid individuals (Ne) and the migration 127 rate (m) in fraction of haploid individuals from donor population per generation, respectively. Only the 128 values of migration rate  $> 10^{-7}$  x N<sub>e</sub> migrants per generation are shown. Illustrations reproduced with 129 permission from Lynx Edicions.

130 To assess patterns of genetic differentiation among these broadly distributed populations, we first 131 performed a principal component analysis (PCA) on a subset of 71,229 and 71,816 independently-evolving (linkage disequilibrium  $r^2 < 0.2$ ) SNPs for Downy and Hairy Woodpecker, respectively. The three first 132 133 principal components (PCs) explained together 7.5% (Downy) and 8.3% (Hairy) of the total genetic 134 variance. We recovered congruent genetic structure across both species' ranges (Figure 2a,c). Geographic 135 structure was generally characterized by a genetic discontinuity between boreal-eastern and western 136 populations. In Downy Woodpecker, however, the Pacific Northwest population fell more closely related 137 to the Eastern group than the Western group (Figure 2a). Consistent with these findings, NGSadmix [54] 138 supported four geographically congruent genetic clusters (K=4) in the Downy and Hairy Woodpecker: East 139 (NE, SE, and MW), Pacific Northwest (NW), Rocky Mountains (SR and NR), and Alaska (AK: Figure 1c-140 d). The average genome-wide estimate of  $F_{ST}$  was slightly larger in Hairy Woodpecker (average  $F_{ST} = 0.1$ ; 141 0.03–0.19) than Downy Woodpecker (average  $F_{ST} = 0.08$ ; 0.03–0.16), indicating larger (but overlapping) 142 levels of population differentiation. In both species, the largest values of F<sub>ST</sub> involved comparisons between 143 Alaska and other populations (Downy:  $F_{ST}$  [AK vs NR] = 0.16; Hairy:  $F_{ST}$  [AK vs SR] = 0.19), and the 144 lowest were within the East and the Rocky Mountains clusters (Downy:  $F_{ST} = 0.03-0.06$ ; Hairy:  $F_{ST} = 0.03-0.06$ ;

145 0.04).



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147 Figure 2. Population genetic structure in the Downy (top) and Hairy (bottom) Woodpecker. (a,c)

148 Principal component analysis (PCA) of Downy and Hairy Woodpecker based on 71,228 and 71,763

unlinked genome-wide SNPs, respectively, with < 25% missing data and a minor allele frequency (maf) >

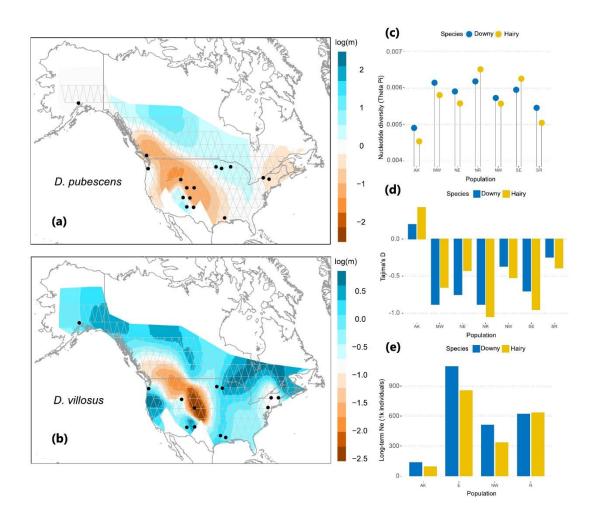
150 0.05. (**b**,**d**) Heatmap showing genome-wide pairwise  $F_{ST}$  values (left) and associated maximum likelihood

tree based on the polymorphism-aware phylogenetic model (PoMo) in IQ-Tree 2. All nodes show 100%

bootstrap support. Darker colors on the heatmap correspond to larger values of  $F_{ST}$ . Illustrations

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154 Because the expansion and contraction of glaciers were expected to impact population structuring 155 across the landscape, we explored spatial patterns of gene flow using the estimated effective migration 156 surface (EEMS [55]). EEMS compares pairwise genetic dissimilarity among localities to identify 157 geographic areas that deviate from the null expectation of isolation by distance (IBD). In both species, we 158 detected a pronounced reduction in effective migration near the Great Plains and along the Rocky 159 Mountains, especially in its Northern portion. In contrast, eastern North America showed a higher degree 160 of connectivity when compared to the west (Figure 3). This finding indicates that major topographic 161 features and variation in habitat availability contributed to the maintenance of population differentiation, 162 despite the presence of gene flow.



### 163

164 Figure 3. Spatial patterns of gene flow and genome-wide genetic variation in Downy and Hairy 165 Woodpecker. (a) Effective migration surface inferred by EEMS in Downy Woodpecker and (b) Hairy 166 Woodpecker. Warmer colors indicate lower and colder colors indicate higher effective migration (on a 167 log scale) relative to the overall migration rate over the species range. Triangles represent the grid chosen 168 to assign sampling locations to discrete demes. (c) Genome-wide pairwise nucleotide diversity ( $\theta_{\pi}$ ) per 169 population. (d) Genome-wide Tajima's D per population. (e) The harmonic mean of effective population

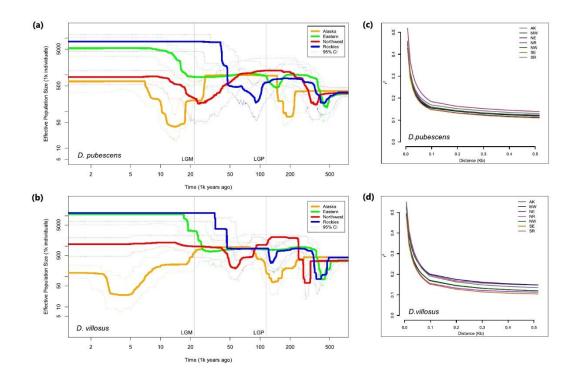
- 170 size (N<sub>e</sub>) estimated over the past one million years with Stairway Plot 2 for all four genetic clusters.
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172 Demographic history

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We tested for signatures of Quaternary climatic oscillations on population dynamics of Downy and Hairy Woodpecker by assessing changes in  $N_e$  over time and estimating demographic parameters. First, we employed Stairway Plot 2 [56] to infer fluctuations in  $N_e$  over the past 500k years in each of the four detected genetic clusters assumed to represent panmictic populations. Stairway Plot 2 uses the site frequency spectrum (SFS) to fit a flexible multi-epoch model of changes in population size. For all

demographic analyses, we used the folded SFS and specified a mutation rate of 4.007 x  $10^{-9}$  mutations per site per generation and a generation time of one year for both species [57]. Changes in effective population size over time were generally consistent between both species, being characterized by recurrent episodes of bottleneck followed by population expansion (Figure 4a–b). We found that within each genetic cluster, nucleotide diversity was highly correlated with the harmonic mean of the N<sub>e</sub> estimated from Stairway Plot 2 over the past 500 kya (long-term N<sub>e</sub>; linear regression: t = 4.876; R<sup>2</sup> = 0.76; p < 0.002; Figure S1), indicating these independent analyses were consistent.



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188 Figure 4. Changes in effective population size  $(N_e)$  over time and linkage disequilibrium (LD) in 189 **Downy (top) and Hairy (bottom) Woodpecker. (a–b)** Inferred history of effective population size of all 190 four genetic clusters in Downy (a) and Hairy Woodpecker (b) obtained with Stairway Plot 2 using the folded SFS. For this analysis, we specified a mutation rate of  $4.007 \times 10^{-9}$  mutations per site per 191 192 generation. Both axes are represented in a log scale. Dotted lines represent 95% confidence intervals, and 193 vertical lines represent the Last Glacial Period (LGP; 115 kya) and the Last Glacial Maximum (LGM; 21 194 kya). (c-d) Decay of linkage disequilibrium (LD) in all seven populations of Downy (c) and Hairy (d) 195 Woodpecker.

196 To further elucidate the evolutionary relationships among populations of Hairy and Downy197 Woodpecker, we built a rooted maximum likelihood tree from genome-wide intergenic SNPs using the IQ-

198 TREE polymorphism-aware phylogenetic model (PoMo [58,59]). The topology for Hairy Woodpecker 199 showed two distinct clades – an East + Alaska and a West clade. The tree for Downy Woodpecker, however, 200 revealed a different topology. First, the Pacific Northwest population (NW) was more closely related to the 201 eastern clade than to the western clade, supporting our PCA analysis. In addition, the Alaska (AK) 202 population was sister to all other populations. Two hypotheses could explain this pattern: either (i) Alaska 203 was a distinctive clade that differentiated from the other Downy Woodpecker populations as a consequence 204 of persistence in a separate glacial refugium near Beringia, as has been suggested for other North American 205 taxa [2,60,61], or (ii) the topology of the Downy Woodpecker population tree was more reflective of other 206 factors, such as patterns of gene flow and geographic distance among localities, as opposed to the actual 207 order of population splits. If this was the case, then we expect the relationships among populations to better 208 fit a polytomous tree rather than a bifurcating tree.

To test these alternative population histories, we used the SFS-based method *fastsimcoal2* v2.6.0.3 [62] to estimate demographic parameters and evaluate the support for two alternative models – (i) a model where all populations diverge synchronously from a single ancestral refugium and expand independently with asymmetric gene flow, and (ii) a bifurcating model where populations diverge at different times from multiple refugia (e.g., Beringia and East or East and West) and expand independently with asymmetric gene flow, following the IQ-TREE topology.

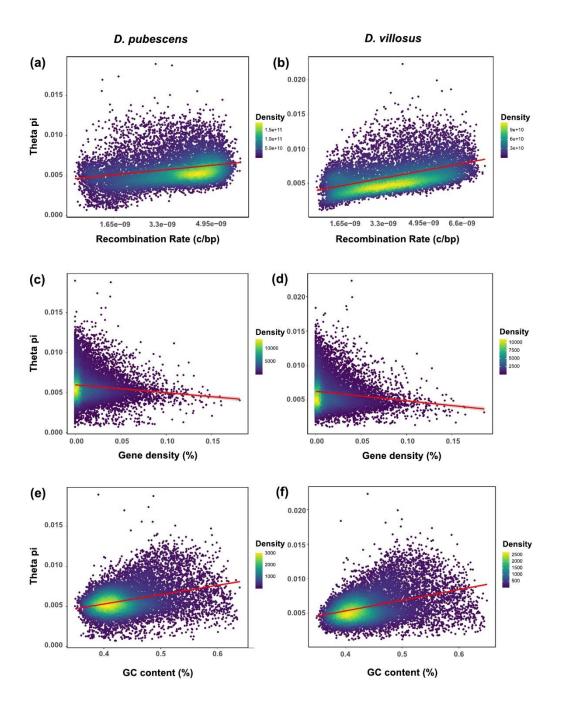
215 Demographic analyses with *fastsimcoal2* show differing support for alternative demographic 216 models among the two focal species. The best-supported model for Hairy Woodpecker was model ii (Table 217 S2; Figure 1f), in which two ancestral populations (putatively located in East and West) diverged from each 218 other around 529 kya (95% CI = 513-561 kya; Table S3) and gave rise to the four genetic clusters, which 219 then underwent strong bottlenecks. A final explosive expansion then occurred between 193–212 kya when 220 populations grew up to 12-fold. In contrast, Downy Woodpecker showed support for model i, in which all 221 populations diverge from a single major refugium (Table S2; Figure 1e). This divergence occurred around 222 312 kya (95% CI = 146–551 kya; Table S3) and was accompanied by a large bottleneck, reducing  $N_e$  to 223 less than 10% of its original size in most populations. A final population expansion then occurred at the end 224 of the Mid-Pleistocene (152–232 kya). Overall, estimates of Ne from *fastsimcoal2* confirmed the trends 225 observed in Stairway Plot 2, albeit with less resolution. We found large and variable levels of post-226 expansion gene flow across populations in both species (Downy: 0-4.8 migrants per generation; Hairy: 0-227 6.66 migrants per generation) that confirmed our EEMS migration surfaces.

## 228 *Genomic correlates of nucleotide diversity and differentiation*

To elucidate the evolutionary processes shaping levels of genetic variation along the genome of Downy and
 Hairy Woodpecker, we investigated the correlation between regional levels of nucleotide diversity,

231 measured across non-overlapping 100 kb windows, and three genomic features: recombination rate, gene 232 density, and base composition. We found that nucleotide diversity varied widely along the genome  $(\theta_{\pi \text{ Downy}})$ = 7.5 x 10<sup>-4</sup>-1.9 x 10<sup>-2</sup>;  $\theta_{\pi \text{ Hairy}}$  = 1.1 x 10<sup>-3</sup>-2.2 x 10<sup>-2</sup>), but this variation was highly correlated between 233 234 Downy and Hairy Woodpecker (Pearson's r = 0.9; p < 0.001; Figure S2). To estimate recombination rates, 235 we used ReLERNN [63], a method that uses a machine-learning approach to infer per-base recombination 236 rates. We found recombination rates to be highly correlated between the two species (Pearson's r = 0.66; p 237 < 0.001). Across the genome, we estimated a mean per-base recombination rate (r) = 2.42 x 10<sup>-9</sup> c/bp (0- $3.87 \times 10^{-9}$ ) in Downy Woodpecker and  $r = 3.69 \times 10^{-9}$  c/bp ( $4.85 \times 10^{-10} - 3.87 \times 10^{-9}$ ) in Hairy Woodpecker. 238 239 Considering the average long-term Ne of Downy and Hairy Woodpecker as approximately 1 x 10<sup>6</sup> in the 240 East population, these recombination rates correspond to a population-scaled rate  $\rho = 4N_e r = 0.008$  and 241 0.012, respectively. Mean recombination rates were 2–3-fold higher in autosomal chromosomes compared 242 to the sex-linked Z chromosome (Figure S3-4), consistent with differences in N<sub>e</sub> between sex chromosomes [64-66]. As a result of both high recombination rates and large Ne, we also observed that linkage 243 244 disequilibrium (LD) in Downy and Hairy Woodpecker decays very rapidly. LD drops to half of its initial 245 levels in less than 100 bp (Figure 5c-d). Consistently, the average LD was greater for populations with 246 smaller Ne or populations that have likely experienced a more recent founder event, such as Alaska and the 247 Southern Rockies. We found a significant positive association between nucleotide diversity ( $\theta_{\pi}$ ) and 248 recombination rates in both species (linear regression – Downy: t = 47.67,  $R^2 = 0.165$ , p < 0.001; Hairy: t = 54.17,  $R^2 = 0.204$ , p < 0.001; LOESS regression – Downy: span = 0.5,  $R^2 = 0.207$ ; Hairy: span = 0.5,  $R^2$ 249 250 = 0.207; Figure 5a-b). This association, however, is expected (to a certain extent) even if diversity is not

251 correlated with recombination rates because recombination rates are estimated directly from  $\theta_w$ .



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Figure 5. Genomic predictors of nucleotide diversity in Downy (left) and Hairy (right) Woodpecker. Association between nucleotide diversity ( $\theta_{\pi}$ ) and three features of the genome: (**a**–**b**) recombination rates

255 (Downy: t = 47.67, p <0.001; Hairy: t = 54.17, p <0.001), (**c-d**) gene density (Downy: t = -12.03, p

256 <0.001; Hairy: t = -14.89, p <0.001), and (e-f) GC content (Downy: t = 36.37, p <0.001; Hairy: t = 44.16,

p < 0.001). Each point in the scatter plot represents a 100 kb window of the genome. Colors indicate the

density of points.

259 To further investigate the impact of linked selection on the genomic landscape of diversity, we also 260 tested the prediction that regions of the genome with a higher density of targets of selection (i.e., genes) 261 exhibit lower nucleotide diversity. Gene density was measured as the percentage of coding sequence in 262 each of the 100 kb windows. Our results revealed a weak but significant negative association between 263 nucleotide diversity ( $\theta_{\pi}$ ) and gene density (linear regression – Downy: t = -12.03, R<sup>2</sup> = 0.0123, p < 0.001; 264 Hairy: t = -14.89,  $R^2 = 0.0189$ , p < 0.001; LOESS regression – Downy: span = 0.5,  $R^2 = 0.0139$ ; Hairy: 265 span = 0.5,  $R^2 = 0.021$ ; Figure 5c–d). This association was not driven by the collinearity between gene 266 density and recombination because this correlation was positive and negligible (Downy: Pearson's r =267 0.045, p < 0.001; Hairy: Pearson's r = 0.032, p < 0.001). We also found that regions with high GC content 268 tended to show higher nucleotide diversity (linear regression – Downy: t = 36.37,  $R^2 = 0.0123$ , p < 0.001; Hairy: t = 44.16,  $R^2 = 0.145$ , p < 0.001; Figure 5e–f). GC content, however, was positively correlated with 269 270 gene density in both species (Downy: Pearson's r = 0.25; p < 0.001; Hairy: Pearson's r = 0.25; p < 0.001; 271 Figure S5–6) and weakly correlated with recombination rates in Hairy Woodpecker (Pearson's r = 0.064; 272 p < 0.001; Figure S5–6). We then performed a principal component regression (PCR) to separate the effect 273 of individual explanatory variables and control for the multicollinearity among predictor variables. 274 Principal component regression summarizes variables into orthogonal components (PCs) and uses these 275 components as predictors in a linear regression. PC2, which represented almost exclusively recombination 276 rates (Table 1), uniquely explained 12.3% and 18.6% of variation in nucleotide diversity in Downy and 277 Hairy Woodpecker, respectively (PC2 linear regression – Hairy: t = 51.1,  $R^2 = 0.186$ , p < 0.001; Downy: t 278 = 40.14,  $R^2 = 0.123$ , p < 0.001). Both PC1 and PC3 represented the correlation between gene density and 279 GC content, but PC3 had a much stronger effect (Table 1), accounting for 14.4% and 15.5% of the variation 280 in nucleotide diversity in Downy and Hairy Woodpecker, respectively (PC3 linear regression – Downy: t 281  $= 45.92, R^2 = 0.155, p < 0.001;$  Hairy: t = 43.97,  $R^2 = 0.144, p < 0.001$ ). Considering that gene density and 282 GC content had an equal contribution to PC3 (Table 1), we were unable to differentiate their relative 283 contributions to the relationship. Regardless, our analyses confirm the central role that these genomic 284 properties played in shaping patterns of nucleotide diversity along the genome.

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Species	Explanatory variables	% of variance explained (R <sup>2</sup> )		
		PC1	PC2	PC3
Downy	Recombination rate	0.08	11.89	0.11
Woodpecker	Gene density	1.7	0.03	7.8
	GC content	1.65	0.36	7.58
	Total	3.45	12.3	15.51
Hairy Woodpecker	Recombination rate	0.35	17.35	0.01
	Gene density	2.84	1.02	7
	GC content	2.96	0.06	7.34
	Total	6.18	18.6	14.47

# 295 Table 1. Principal component regression.

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297 The effect of linked selection is expected to be weaker in populations that underwent more severe 298 bottlenecks due to their smaller long-term N<sub>e</sub> when compared to stable populations that maintained large 299  $N_e$  [67,68]. We tested this prediction by quantifying the strength of correlation between nucleotide diversity 300  $(\theta_{\pi})$  and gene density in all four genetic clusters of Downy and Hairy Woodpecker which showed varied 301 demographic responses to the Pleistocene glaciations. We found that long-term N<sub>e</sub> predicted the coefficient 302 of correlation between genetic diversity and the density of targets of selection (Table 2). Alaska, for 303 example, showed the weakest correlation (Downy: Pearson's r = -0.1008, t = -10.8, p < 0.001; Hairy: 304 Pearson's r = -0.1083, t = -11.6, p < 0.001), whereas Rocky Mountains showed the strongest (Downy: 305 Pearson's r = -0.1106, t = -11.9, p < 0.001; Hairy: Pearson's r = -0.1351, t = -14.5, p < 0.001). Although 306 the differences in coefficients were small, these results support the expectation that different demographic 307 trajectories affect the efficacy of natural selection owing to differences in levels of genetic drift.

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Populations	Downy Woodpecker		Hairy Woo	dpecker
	Pearson's r	t-value	Pearson's r	t-value
AK	-0.1008*	-10.867	-0.1083*	-11.643
NW	-0.1007*	-10.847	-0.1384*	-12.966
E	-0.1077*	-11.618	-0.1215*	-13.084
R	-0.1106*	-11.927	-0.1351*	-14.571
				0.001

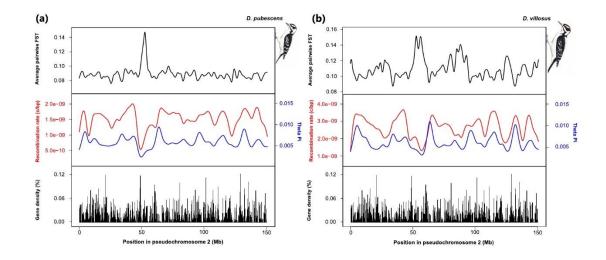
#### 314 Table 2. Strength of correlation between nucleotide diversity ( $\theta_{\pi}$ ) and gene density across the 315 four genetic clusters of Downy and Hairy Woodpecker.

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\* p < 0.001.

317 Because genomic properties are also expected to impact levels of population differentiation across 318 the genome, we also tested the association between nucleotide diversity, recombination rate, and the 319 average intraspecific population differentiation ( $F_{ST}$ ) across non-overlapping 100 kb windows. For each 320 window, we calculated the  $F_{ST}$  between each pair of populations and summarized the global  $F_{ST}$  landscape 321 using two approaches: (i) the average F<sub>ST</sub> across all population pairs; and (ii) the first principal component 322 (PC1) explaining most of the variation in pairwise  $F_{ST}$  (Downy: variance explained = 37.51%; Hairy: 323 variance explained = 47.5%). Summaries of F<sub>ST</sub> produced by these two approaches were highly correlated 324 (Downy: Pearson's r = 0.97; p < 0.001; Hairy: Pearson's r = 0.98; p < 0.001), so we only considered the 325 average  $F_{ST}$  for simplicity. There was considerable variation in  $F_{ST}$  along the genome (Downy:  $F_{ST} = 0.01$ – 326 0.25; Hairy:  $F_{ST} = 0.01 - 0.32$ ), indicating high variability in patterns of population differentiation. We 327 recovered a significant negative association between average  $F_{ST}$  and nucleotide diversity, suggesting that 328 areas of genome that show elevated differentiation tend to be characterized by reduced diversity (linear regression – Downy: t = -19.12,  $R^2 = 0.03$ ; p < 0.001; Hairy: t = -53.49,  $R^2 = 0.2$ ; p < 0.001; Figure 6). 329 330 Finally, we found a negative association between average  $F_{ST}$  and recombination rates, indicating higher differentiation in regions of low recombination (linear regression – Downy: t = -32.18,  $R^2 = 0.08$ ; p < 0.001; 331 332 Hairy: t = -41.55,  $R^2 = 0.13$ ; p < 0.001). 333

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336Figure 6. Landscape of diversity and differentiation of chromosome 2 of Downy (a) and Hairy (b)

**Woodpecker for illustration.** Top plot shows the average pairwise  $F_{ST}$  calculated across non-overlapping 100 kb windows. Middle plot indicates the recombination rate in c/bp (red) and the nucleotide diversity ( $\theta_{\pi}$ ; blue) for each non-overlapping 100 kb window. Bottom plot represents the percentage of coding sequence in each non-overlapping 100 kb window. Illustrations reproduced with permission from Lynx Edicions.

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# **343** Genetic load and the efficacy of selection

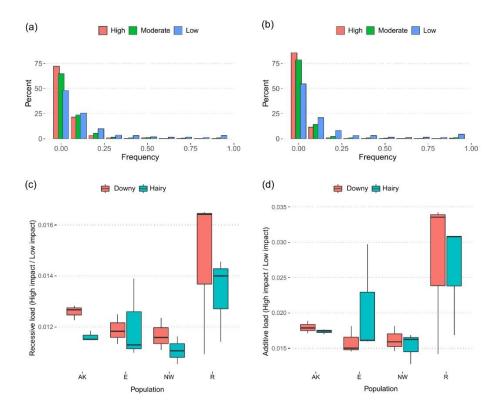
344

345 To further explore the magnitude of linked selection in the genome of Downy and Hairy Woodpecker, we 346 classified each variant according to their functional impact as predicted by the gene annotation. We found 347 that the majority of identified SNPs in Downy and Hairy Woodpecker were classified as modifiers (Downy: 348 99.35%; Hairy: 99.13%), which are variants in intergenic or intronic regions whose impacts are hard to 349 determine but tend to be neutral to nearly neutral. Low impact variants (i.e., synonymous mutations) 350 characterized 0.46% and 0.64% of SNPs in Downy and Hairy Woodpecker, respectively. Moderate impact 351 variants, mutations that cause a change in amino acid sequence (i.e., nonsynonymous mutations) 352 represented 0.17% and 0.22% of the SNPs in Downy and Hairy Woodpecker, respectively. Finally, only 353 0.006% and 0.007% of the SNPs were classified as high impact in Downy and Hairy Woodpecker, 354 respectively. These variants correspond to mutations that cause loss of function, such as loss or gain of a 355 start or stop codon and are therefore expected to occur at very low frequencies.

We investigated differences in the burden of deleterious alleles carried by populations of Downy and Hairy Woodpecker that could reflect differences in the efficacy of purifying selection. For this analysis, we focused on sites that were polymorphic in at least one of the two species and whose ancestral states

359 could be determined unambiguously. Our results revealed that the frequency distribution of mutations with 360 moderate and high impact shifted downwards compared to the mutations with low impact (Figure 7a–b). 361 This indicates that purifying selection was successful in purging mutations that were highly deleterious. 362 Hairy Woodpecker, however, showed a larger excess of low frequency mutations of high impact when 363 compared to Downy Woodpecker (Figure 7a–b), suggesting that purifying selection might have been more 364 efficient in Hairy Woodpecker. To further evaluate whether the efficacy of purifying selection varied across 365 populations with different demographic trajectories, we estimated for each individual the genetic load as 366 the ratio of the count of homozygous derived alleles of high impact (i.e., highly deleterious) over the count 367 of homozygous derived alleles of low impact (i.e., synonymous). This metric is a proxy for the genetic load 368 under a recessive model while controlling for the underlying population differences in the neutral SFS 369 [69,70]. We also computed the same metric considering an additive model, in which the presence of a single 370 copy of the derived allele has fitness consequences. Our results reveal that the recessive deleterious load 371 was overall larger in Downy than Hairy Woodpecker, but this difference was not statistically significant 372 (Kruskal-Wallis  $\chi^2 = 1.33$ , df =1, p = 0.24; Figure 7c–d). The recessive deleterious load was much larger in 373 the Rocky Mountains when compared to other populations. Alaska also showed elevated recessive 374 deleterious load in both species, generally larger than the East and Pacific Northwest (Figure 7c–d). Overall, 375 these findings do not support the prediction that populations with stronger bottlenecks exhibit high 376 deleterious load.

377



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Figure 7. Deleterious load in Downy and Hairy Woodpecker. (a) Site frequency spectrum (SFS) for
variants with low (neutral), moderate (mild), and high (deleterious) impact in Downy Woodpecker and
(b) Hairy Woodpecker. (c) Ratio of homozygous derived variants of high impact (deleterious) over
homozygous derived variants of low impact (neutral) in each genetic cluster and species (recessive
model). (d) Ratio of the total number of derived variants of high impact (deleterious) over total number of
derived variants of low impact (neutral) in each genetic cluster and species (additive model). Horizontal
bars denote population medians.

386

387Lastly, we investigated the overall impact of natural selection on protein-coding sequences of388Downy and Hairy Woodpecker. We calculated the ratio of synonymous over nonsynonymous substitutions389(dN/dS) along the branches leading to Downy and Hairy Woodpecker using a set of 397 high-quality390orthologous genes distributed throughout the genome. dN/dS ratio was higher in Downy Woodpecker391(dN/dS = 0.065) than in Hairy Woodpecker (dN/dS = 0.053), suggesting that purifying selection might have392been weaker in the Downy Woodpecker lineage over deeper evolutionary times (i.e. >4Ne generations ago393[71–73]).

394

395

### 396 Discussion

397 Our genomic analyses reveal that both Ice Age demographic fluctuations and linked selection played a 398 significant role shaping patterns of diversity and differentiation across populations and along the genomes 399 of Downy and Hairy Woodpecker. We found that genome-wide nucleotide diversity, as well as the 400 landscape of recombination, are highly correlated between these two species, which diverged more than 8 401 mya. Despite shared environmental pressures, this coupling suggests that intrinsic properties of the genome, 402 such as recombination rate, might be conserved across deep evolutionary time. We posit that linked 403 selection might underlie the genomic heterogeneity observed, as demonstrated by a significant association 404 between nucleotide diversity, recombination rate, and gene density. Despite strong fluctuations in Ne over 405 the Pleistocene, Downy and Hairy Woodpecker maintained large population sizes, which might have 406 facilitated the action of natural selection. Nevertheless, given the large differences in long-term Ne observed 407 among populations, our results indicate variation in the efficacy of selection.

# 408 *Conserved properties of the genome underlie the correlated genomic landscape of Hairy and Downy*409 *Woodpecker*

410 We recovered large heterogeneity in patterns of nucleotide diversity ( $\theta_{\pi}$ ) and F<sub>ST</sub> along the genomes of 411 Downy and Hairy Woodpecker. Despite this variation, our results revealed a highly correlated genomic 412 landscape between the two species. Such covariation in levels of genome-wide measures of diversity and 413 differentiation across distantly related species is common [34,35,74–77] and suggests that properties of the 414 genome, such as mutation rate, recombination rate, and density of targets of selection are conserved across 415 deep evolutionary time [78]. For example, bird genomes are known to show large karyotypic stability, with 416 very few chromosomal rearrangements and high synteny across highly divergent species [79–82]. Features 417 of the genome, such as recombination rates and GC content, might also be conserved across species. We 418 found that estimates of recombination rate are highly correlated between Downy and Hairy Woodpecker, 419 although higher in the latter. Linkage disequilibrium (LD), which is a function of both recombination rate 420 and  $N_{e}$ , was extremely short in Downy and Hairy Woodpecker. Whereas linkage disequilibrium extends 421 for over thousands of base pairs in humans [83,84], for instance, it breaks after only 100 bp in Downy and 422 Hairy Woodpecker. Such properties have been observed in other bird species with very large N<sub>e</sub>[85,86]. 423 We also found large variation in recombination rates both within and among chromosomes, with the Z 424 chromosome showing the lowest rates. Considering the lack of recombination across much of the Z 425 chromosome in female birds (heterogametic sex; ZW), at the population level, crossing-over occurs at a 426 much lower rate in sex chromosomes than in their autosome counterparts [64,87,88]. Similar to Downy and 427 Hairy Woodpecker, recombination in the chicken (Gallus gallus) was approximately 2.5 times lower in the

Z chromosome than in the autosomes [89,90]. As a consequence, many bird species show reduced diversityand faster divergence in the Z chromosome [64,85,91,92].

430 The interplay between natural selection and recombination produces a heterogeneous genomic landscape

431 One of the main mechanisms proposed to explain the substantial heterogeneity in levels of polymorphism 432 along the genome is the effect of linked selection [21,23,24]. Both positive selection (i.e., in favor of a 433 beneficial allele) and negative selection (i.e., against a deleterious allele) are expected to reduce diversity 434 around functional elements [21,23]. Such a reduction is extended to all neighboring sites that happen to be linked to the target of selection (hitchhiking effect [21]). The extent to which adjacent sites are affected by 435 436 linked selection is dependent on the recombination landscape, such that regions where recombination rate 437 is lower tend to show lower genetic diversity and vice versa [32,93,94]. Similarly, the higher the density of 438 functional elements (i.e., targets of selection), the more severe is the reduction in genetic diversity due to 439 the effect of recurrent selection [33,38–40]. Although a correlation between nucleotide diversity and 440 recombination may arise in the absence of linked selection, we do not expect that to be true for gene density, 441 since directional selection unavoidably affects levels of polymorphism in regions presumed to be 442 functional. Such correlations are therefore interpreted as evidence of the effect of selection on linked neutral 443 sites and can be used to assess the magnitude of linked selection [24,95]. In light of these results, we 444 identified strong evidence that linked selection has contributed to patterns of genetic diversity along the 445 genomes of Downy and Hairy Woodpecker. First, nucleotide diversity ( $\theta_{\pi}$ ) was positively associated with 446 recombination rates in both species. Second, there was a weak but highly significant association between 447 nucleotide diversity ( $\theta_{\pi}$ ) and gene density. Third, as predicted by theory, the strength of association between 448 nucleotide diversity ( $\theta_{\pi}$ ) and gene density varied according to the long-term N<sub>e</sub>, such that larger populations 449 showed more pronounced signatures of linked selection.

450 Natural selection is also expected to impact levels of genetic differentiation along the genome 451 [35,96,97]. We estimated a weak but significant negative association between nucleotide diversity ( $\theta_{\pi}$ ) and 452 the average pairwise F<sub>ST</sub>, indicating that regions of the genome that are highly differentiated between 453 populations tend to show reduced diversity. These correlations are consistent with the effect of linked 454 selection continuously eroding diversity near targets of selection (especially in regions of low 455 recombination), which leads to the inflation of local levels of population differentiation [96]. Because 456 beneficial alleles are not expected to appear frequently, background selection against deleterious alleles is 457 the most likely selective mechanism underlying the correlation between  $F_{ST}$ , nucleotide diversity, and 458 recombination rate [97,98]. These findings suggest that population-specific selection associated with local 459 adaptation (i.e., divergent selection) is not necessary to produce a correlated genomic landscape. 460 Comparative analyses across both distantly and closely related bird species demonstrate that linked

selection can reduce genetic diversity prior to population splits and consequently produce parallel patternsof genetic differentiation in regions of low recombination [75,77,98,99].

463

464 Dynamic population demography characterizes the evolution of Hairy and Downy Woodpecker in the465 Pleistocene

466 We found that population structure was spatially congruent between Downy and Hairy Woodpecker, but 467 that their demographic histories and extent of genetic structuring varied. Both species were characterized 468 by phylogeographic clusters that are consistent with previous studies [12,48,49] and were concordant with 469 structuring in glacial refugia, albeit forming during different time scales. The observation of common 470 geographic patterns formed across different time periods highlights the predictability of the interaction of 471 the physical landscape, drift, and gene flow on genetic diversity. Further evidence of the dramatic effects 472 of Pleistocene climatic fluctuations on genetic diversity were the repeated cycles of population contraction 473 and expansion. Yet, despite strong variation in  $N_e$  over the past 500 ky, our data indicates that Downy and 474 Hairy Woodpecker have been resilient enough to maintain relatively large populations, which favored the 475 maintenance of very high genetic diversity, even in the face of repeated bottlenecks. While non-equilibrium 476 population dynamics are a hallmark of species that occur in previously glaciated areas [2], the relationship 477 between the magnitude of Plesitocene population size reductions and the efficacy of selection under these 478 conditions remain poorly explored in empirical systems.

479 Consistent with theoretical predictions, nucleotide diversity within populations was strongly 480 correlated with the long-term N<sub>e</sub>. Alaska showed the lowest genome-wide genetic diversity, likely as a 481 consequence of being one of the latest areas to be deglaciated and most recently founded. On the other 482 hand, the Northern Rockies exhibited the largest nucleotide diversity and long-term Ne, in both focal 483 species. Data from multiple sources support the existence of a temporally fluctuating ice-free corridor along 484 the Canadian Rocky Mountains that might have functioned as a glacial refugium [10,100–102]. Thus, it is 485 possible that suitable habitat might have allowed rapid growth and persistence of large populations in the 486 North Rockies during the glacial periods of the Pleistocene.

487 The efficacy of linked selection was affected by different evolutionary trajectories of Downy and Hairy
488 Woodpecker

We investigated whether differences in the demographic trajectories of populations of Downy and Hairy Woodpecker in response to the Pleistocene glaciation had an impact on the efficacy of natural selection across the genome. Given that purifying selection is more efficient in larger populations [103], we hypothesized that populations that underwent a stronger bottleneck or maintained lower levels of N<sub>e</sub> were

493 more likely to have accumulated highly deleterious mutations (i.e., genetic load [26–30]). We failed to find 494 support for this prediction. In contrast to our expectations, we found that the Rocky Mountains, the genetic 495 cluster with the largest long-term N<sub>e</sub>, exhibited the largest genetic load in both species. One possible 496 explanation for this finding is that highly deleterious alleles might have been more efficiently purged from 497 populations that went through more severe bottlenecks due to higher inbreeding [67]. For example, species 498 whose populations underwent extreme bottlenecks show fewer mutations of high impact because extensive 499 inbreeding makes highly deleterious alleles more likely to be exposed in homozygosity [104–106]. This is 500 not the case for Downy and Hairy Woodpecker, which despite repeated episodes of bottlenecks still 501 managed to maintain considerably large population sizes, making inbreeding very unlikely to have 502 occurred. Besides, we found that Alaska, the population with the lowest long-term N<sub>e</sub>, does not carry the 503 fewest highly deleterious alleles, as predicted by the "purging under inbreeding" scenario. Instead, it carries 504 a larger load than the East and the Pacific Northwest, which are populations with a higher long-term N<sub>e</sub>. At 505 the species level, however, we found that genetic load was generally larger in Downy Woodpecker than 506 Hairy Woodpecker, which is consistent with more efficient purifying selection in Hairy Woodpecker. This 507 finding makes sense considering that Hairy Woodpecker exhibits slightly larger Ne than Downy 508 Woodpecker. Supporting this observation, we also found a larger excess of highly deleterious mutations at 509 low frequencies in Hairy Woodpecker, indicating that deleterious alleles were less likely to rise to high 510 frequencies in Hairy Woodpecker than Downy Woodpecker likely due to more efficient selection. Lastly, 511 we observed that the genome-wide ratio of non-synonymous over synonymous substitutions (dN/dS) was 512 higher in Downy Woodpecker than Hairy Woodpecker. Elevated genome-wide, as opposed to gene-513 specific, dN/dS ratio is suggestive of a reduction in the efficacy of purifying selection [71,72]. This result 514 indicates that a smaller  $N_e$  in the lineage leading to Downy Woodpecker might have allowed more fixation 515 of slightly deleterious alleles.

516 In conclusion, we investigated the impact of demography and natural selection on the genomic 517 landscape of two co-distributed woodpecker species whose population histories have been profoundly 518 impacted by the Ice Age. We found that despite a dynamic demographic history, Downy and Hairy 519 Woodpecker were able to maintain very large N<sub>e</sub> even during glacial periods, which might have facilitated 520 the action of natural selection. Supporting this conclusion, our results reveal a correlation between 521 nucleotide diversity, recombination rate, and gene density, which suggests the effect of linked selection 522 shaping the genomic landscape. In addition, we found that the magnitude of linked selection was associated 523 with population-specific Ne trajectories, indicating that demography and natural selection operated in 524 concert to shape patterns of polymorphism along the genome. This study adds to the growing body of 525 literature supporting the role of natural selection in driving patterns of genome-wide variation but highlights

526 the difficulty of interpreting the outcome of the interplay between genetic drift and natural selection in 527 organisms with non-equilibrium demographic dynamics and large effective population sizes.

# 528 Material and Methods

# 529 Sample collection and whole genome sequencing

530 We collected 70 samples for both the Downy Woodpecker (D. pubescens) and Hairy Woodpecker (D. 531 *villosus*) in each of seven populations (n = 10 per population) across their temperate North American ranges 532 (Figure 1): New York (Northeast), Louisiana (Southeast), Minnesota (Midwest), New Mexico and 533 Colorado (Southern Rockies), Wyoming (Northern Rockies), Washington (Pacific Northwest), and Alaska. 534 The samples were obtained through museum loans of vouchered specimens and augmented by field 535 collections in Wyoming, Louisiana, and Alaska (Table S1). We extracted genomic DNA from tissue 536 samples using the MagAttract High Molecular Weight DNA Kit from Qiagen following manufacturer's 537 instructions (Qiagen, California, USA). These samples were then submitted for whole genome resequencing 538 on a paired-end Illumina HiSeq X Ten machine at RAPiD Genomics (Gainesville, Florida, USA).

### 539 *Read alignment, variant calling and filtering*

540 Raw reads were trimmed for Illumina adapters using Trimmomatic v0.36 [107] with the following 541 parameters: "ILLUMINACLIP:TruSeq3-PE-2.fa:2:30:10:8:true", resulting in an average of 35,689,979 542 paired reads per sample. Read quality was assessed with FastQC v0.11.4. [108]. Given the high syntemy 543 and evolutionary stasis of bird chromosomes [82], we produced a chromosome-length reference genome 544 for Downy Woodpecker by ordering and orienting the scaffolds and contigs of the Downy Woodpecker 545 genome assembly [52] along the 35 chromosomes of the Zebra finch (Taeniopygia guttata; version 546 taeGut3.2.4) using Chromosemble from the Satsuma package [109]. We verified the completeness of this 547 new reference by searching for a set of single-copy avian orthologs using BUSCO v2.0.1 (Benchmarking 548 Universal Single-Copy Orthologs [110]). A total of 91.1% of these genes were present and complete in our 549 pseudo-chromosome reference, indicating sufficient completeness. We finally transferred the prediction-550 based genome annotation of the Downy Woodpecker [52] by mapping the genomic coordinates of each 551 annotated feature against the pseudo-chromosome reference using gmap [111]. A total of 99.98% of all the 552 14,443 annotated genes in Downy Woodpecker were successfully mapped to the pseudo-chromosome 553 reference.

Trimmed reads for both Downy and Hairy Woodpecker were aligned against the pseudochromosome reference genome of the Downy Woodpecker using BWA v0.7.15 mem algorithm [112]. On average, 97.27% of reads from Downy Woodpecker and 96.38% of reads from Hairy woodpecker were

557 successfully mapped, demonstrating that despite the large evolutionary distance between these two species 558 [47], sequence conservation allows efficient mapping. Resulting sequence alignment/map (SAM) files were 559 converted to their binary format (BAM) and sequence group information was added. Next, reads were 560 sorted, marked for duplicates, and indexed using Picard (http://broadinstitute.github.io/picard/). The 561 Genome Analysis Toolkit (GATK v3.6 [113]) was then used to perform local realignment of reads near 562 insertion and deletion (indels) polymorphisms. We first used the RealignerTargetCreator tool to identify 563 regions where realignment was needed, then produced a new set of realigned binary sequence 564 alignment/map (BAM) files using IndelRealigner. The final quality of mapping was assessed using 565 QualiMap v.2.2.1 [114].

566 We implemented two complementary approaches for the downstream analysis of genetic 567 polymorphism. First, we used ANGSD v0.917 [53], a method that accounts for the genotype uncertainty 568 inherent to low depth sequencing data by inferring genotype likelihoods instead of relying on genotype 569 calls. We estimated genotype likelihoods from BAM files using the GATK model (-GL 2 [113]), retaining 570 only sites present in at least 70% of sampled individuals (*-minInd 50*) and with the following filters: a 571 minimum mapping quality of 30 (-minMapQ 30), a minimum quality score of 20 (-minQ 20), a minimum 572 frequency of the minor allele of 5% (*-minMaf 0.05*), and a P-value threshold for the allele-frequency 573 likelihood ratio test statistic of 0.01 (-SNP\_pval 0.01). Allele frequencies were estimated directly from 574 genotype likelihoods assuming known major and minor alleles (-doMajorMinor 1 -doMaf 1). A total of 575 16,736,465 and 15,463,356 SNPs were identified for Downy and Hairy Woodpecker, respectively. Because 576 several downstream analyses lack support for genotype likelihoods, we also called genotypes using GATK 577 v3.8.0 [115]. First, we run HaplotypeCaller separately for each sample using the --emitRefConfidence 578 GVCF -minPruning 1 -minDanglingBranchLength 1 options to create one gVCF per individual, then we 579 ran GenotypeGVCFs with default settings across all samples to jointly call genotypes. In the absence of a 580 training SNP panel for our non-model species, we applied hard filtering recommendations from the Broad 581 Institute's Best Practices (https://gatk.broadinstitute.org/). We filtered SNPs with quality by depth below 2 582 (QD < 2.0), SNPs where reads with the alternative allele were shorter than those with the reference allele 583 (ReadPosRankSum < -8), SNPs with evidence of strand bias (FS > 60.0 and SOR > 3.0), SNPs with root 584 mean square of the mapping quality below 40 (MQ < 40.0), and SNPs in reads where the alternative allele 585 had a lower mapping quality than the reference allele (MQRankSumTest < -12.5). In addition, we used 586 VCFtools v0.1.17 [116] to retain only biallelic SNPs occurring in at least 75% of samples, with a minimal 587 mean coverage of 2x, a maximum mean coverage of 100x, and a P-value above 0.01 for the exact test for 588 Hardy-Weinberg Equilibrium. We applied three different minor allele frequency (maf) thresholds -0.05589 (for most analyses), 0.02 (for the estimation of recombination rates), and no threshold (for demographic 590 analyses based on the SFS).

### 591 *Population structure*

592 To assess population structure, we performed a principal components analysis (PCA) using the R package 593 SNPRelate v3.3 [117]. We first applied the function *snpgdsLDpruning* to select a subset of unlinked SNPs 594 (LD r<sup>2</sup> threshold = 0.2), with < 25% missing data and a maf > 0.05, which resulted in a total of 71,228 SNPs 595 for Downy Woodpecker and 71,763 SNPs for Hairy Woodpecker. We then used the function *snpgdsPCA* 596 to calculate the eigenvectors and eigenvalues for the principal component analysis. We investigated 597 population structure by looking at the first three principal components (PC1–PC3). In addition, we used 598 NGSadmix [54], implemented in ANGSD [53], to investigate the number of genetic clusters, and associated 599 admixture proportions for each individual. NGSadmix is a maximum likelihood approach analogous to 600 STRUCTURE [118], but bases its inferences on genotype likelihoods instead of SNP calls, therefore 601 accounting for the uncertainty of genotypes.

602 We also described the relationships among populations by building a maximum likelihood tree 603 based on the polymorphism-aware phylogenetic model (PoMo [58]) implemented in IQ-Tree 2 [59]. PoMo 604 is a phylogenetic method that accounts for incomplete lineage sorting inherent to population-level data by 605 incorporating polymorphic states into DNA substitution models. We used a python script 606 (https://github.com/pomo-dev/cflib) to convert our vcf files containing only intergenic SNPs into the input 607 format of PoMo (counts file). IQ-Tree was run using the HKY+P model of sequence evolution with 100 608 non-parametric bootstraps to assess support. We used three samples from Hairy Woodpecker as an outgroup 609 to root the tree for Downy Woodpecker, and vice versa.

610 We estimated pairwise  $F_{ST}$  values among populations in each species using ANGSD v0.917 [53]. 611 We first produced site-allele-frequency likelihoods using the command *-doSaf*, followed by the *realSFS* -612 *fold 1* command to generate a folded site frequency spectrum (SFS). We then estimated weighted  $F_{ST}$  values 613 using the *realSFS fst* command both globally and across non-overlapping 100 kb windows.

614 We investigated patterns of gene flow across the landscape using the estimated effective migration 615 surface (EEMS [55]), which is a method to visualize variation in patterns of gene flow across a habitat. 616 Low values of relative effective migration rate (m) indicate a rapid decay in genetic similarity in relation to 617 geographic distances, which suggests the presence of barriers to gene flow. In contract, high values of m618 indicate larger genetic similarity than expected given the geographic distance, suggesting genetic 619 connectivity. We generated pairwise identity-by-state (IBS) matrices using the -doIBS function in ANGSD 620 [53] and used these matrices to represent dissimilarity between individuals. We ran EEMS using 200 demes 621 and performed a single MCMC chain run with  $1 \times 10^7$  iterations following a burn-in of  $5 \times 10^6$ , and a 622 thinning of 9,999. We then checked the posterior probabilities to ensure convergence.

623 *Demographic inference* 

624 We inferred past changes in effective population size  $(N_e)$  using Stairway Plot 2 [56], a method that 625 leverages information contained in the site frequency spectrum (SFS) to estimate recent population history. 626 Unlike methods based on the Sequentially Markov Coalescent (e.g, PSMC, SMC++), Stairway Plot 2 is 627 applicable to a large sample of unphased whole genome sequences, and it is insensitive to read depth 628 limitations. We estimated the folded site frequency spectrum for each population using the *realSFS* function 629 in ANGSD [53]. For each population, we used the default 67% sites for training, and calculated median 630 estimates and 95% pseudo-CI based on 200 replicates. We assumed a mutation rate of 4.007 x  $10^{-9}$ 631 mutations per site per generation, as estimated from coding regions of the Northern Flicker's genome [57] 632 and a generation time of one year for both species. We then utilized the estimates of Ne from Stairway Plot 633 2 across the past 500 kya to calculate the harmonic mean on linear-stepped time points, representing each 634 population's long-term Ne.

635 We further investigated the demographic history of the two species using *fastsimcoal2* v2.6.0.3, a 636 composite likelihood method that uses the joint site frequency spectrum (jSFS) to perform model selection 637 and estimate demographic parameters [62]. We tested the support for two competing demographic models: 638 (i) a model where all populations diverge synchronously from a single large refugium and expand 639 independently with asymmetric gene flow, and (ii) a bifurcating model where populations diverge at 640 different times from multiple refugia and expand independently with asymmetric gene flow. Since we only 641 need a reasonably large subset of the genome to get an accurate estimate of the site frequency spectrum 642 [119], we generated the four-population folded jSFS from a set of high quality SNPs with no maf filtering 643 (Downy: 6,030,759 SNPs; Hairy: 7,967,215 SNPs) present in chromosome 1 using easySFS.py 644 (https://github.com/isaacovercast/easySFS). We projected the jSFS down to 20 chromosomes (i.e., 10 645 diploid samples) per population to avoid issues associated with differences in sample size and missing data. 646 To minimize the impact of selection, we only included sites in non-coding regions of the genome. All 647 models followed the topology of the population tree obtained from IQ-Tree 2 and assumed a mutation rate of 4.007 x  $10^{-9}$  mutations per site per generation. For each model, we conducted 75 iterations of the 648 649 optimization procedure, each with 40 expectation conditional maximization cycles and 100,000 650 genealogical simulations per cycle. We performed model selection using the run with the highest likelihood 651 for each model. For each species, we chose the model with the largest relative Akaike information criterion 652 (AIC<sub>w</sub>) as the best-fit model. We obtained 95% pseudo-CI for parameter estimates by performing 100 653 parametric bootstrap estimates simulating jSFSs under the best model and re-estimating parameters using 654 these simulated datasets.

655 *Genetic diversity, recombination rates, and linkage disequilibrium* 

656 We compared genetic diversity among populations of the two species by estimating the genome-wide 657 pairwise nucleotide diversity  $\theta_{\pi}$  and the Watterson estimator of the rescaled mutation rate per base  $\theta_{W}$  sing 658 ANGSD [53]. We first ran the command -doSaf 1 -minMapQ 30 -minQ 20 in ANGSD to generate site-659 allele-frequency likelihoods based on the GATK model [115], then we used -realSFS with the option -fold 660 1 to estimate the folded SFS. ANGSD was also used to estimate genome-wide Tajima's D. We estimated 661 recombination rates (r = recombination rate per base pair per generation) along the genome of the two 662 species using ReLERNN, a deep learning algorithm [63]. ReLERNN takes as input a vcf file and simulates 663 training, validation, and test datasets matching the empirical distribution of  $\theta_{W}$ . ReLERNN then uses the 664 raw genotype matrix and a vector of genomic coordinates to train a model that directly predicts per-base 665 recombination rates (as opposed to a population-scaled recombination rate) across sliding windows [63]. 666 To reduce the impact of population structure on estimates, we restricted the prediction of recombination 667 rates to the Eastern populations (Northeast + Southeast + Midwest), the genetic cluster with most samples. 668 Given the conserved landscape of recombination in birds, we do not expect major differences in 669 recombination across populations [82]. We used the SNP dataset with maf > 0.02 and ran the analysis with 670 default settings. Because ReLERNN is robust to demographic model misspecification [63], we simulated an equilibrium model considering a mutation rate of 4.007 x 10<sup>-9</sup> mutations per generation [57] and 671 672 assuming a generation time of one year. Finally, we explored the recombination history of each population 673 by analyzing their patterns of linkage disequilibrium (LD) decay using PopLDdecay [120]. We calculated 674 pairwise  $D'/r^2$  using the default maximum distance between SNPs of 300 kb and plotted it as a function of 675 genomic distance (in kb).

# 676 *Genomic predictors of regional variation in nucleotide diversity*

677 To investigate the factors shaping the genomic landscape of diversity in the two woodpecker species, we 678 tested the effect of (i) recombination rate, (ii) gene density, and (iii) GC content on regional patterns of 679 nucleotide diversity. We computed pairwise nucleotide diversity ( $\theta_{\pi}$ ) across 100 kb non-overlapping 680 windows using ANGSD [53]. We first used the *-doThetas* function to estimate the site-specific nucleotide 681 diversity from the posterior probability of allele frequency (SAF) using the estimated site frequency 682 spectrum (SFS) as a prior. Then, we ran the *thetaStat do\_stat* command to perform the sliding windows 683 analysis. To quantify variation in recombination rates, we calculated weighted averages of recombination 684 rates estimated in ReLERNN across 100 kb non-overlapping windows. We assessed gene density (i.e., 685 density of targets of selection) as the proportion of coding sequence (in number of base-pairs) for any given 686 100 kb non-overlapping window and estimated GC content in each 100 kb non-overlapping window using 687 the function GC of the R package *sequence* version 3.6-1 [121]. We fit a general linear regression in R to 688 assess the relationship between nucleotide diversity ( $\theta_{\pi}$ ) and the three predictor variables – recombination

rate, gene density, and base composition. We also fit a LOESS model to account for the potential nonlinearity of these relationships using the R package *caret* [122]. Models were trained using cross-validation of 80% of the total data. To control for the collinearity among these variables, we also ran a principal component regression (PCR). PCR is a technique that summarizes the predictor variables into orthogonal components (PCs) before performing regression, therefore removing the correlation among variables. PCR was conducted using the R package pls [123]. All variables were Z-transformed before these analyses.

We also investigated the association between patterns of intraspecific population differentiation ( $F_{ST}$ ) and intrinsic properties of the genome (i.e., nucleotide diversity and recombination rates). To summarize the genomic landscape of differentiation into a single response variable we employed two approaches: for each 100 kb windows, we (i) calculated the average  $F_{ST}$  across all pairwise population comparisons; (ii) we performed a principal component analysis and extracted that first principal component (PC1) that explained the greatest covariance among all pairwise population comparisons.

### 701 Natural selection and genetic load

702 To estimate the genetic load of each species and populations, we first used the software snpEff v4.1 [124] 703 to classify SNPs into one of four categories of functional impact, according to the predicted effect of the 704 gene annotation – (i) modifiers: variants in non-coding regions of the genome (e.g., introns, intergenic) 705 whose effects are hard to predict; (ii) low: variants in coding sequences that cause no change in amino acid 706 (i.e., synonymous); (iii) moderate: variants in coding sequences that cause a change in amino acid (i.e., 707 nonsynonymous); and (iv) high: variants in coding sequences that cause gain or loss of start and stop codon. 708 We then selected a subset of 12 individuals with the lowest percentage of missing data (therefore, 709 maximizing the total number of sites) in each species to polarize our SNPs. To do so, we looked for biallelic 710 SNPs in Downy Woodpecker for which one of the alleles were fixed in Hairy Woodpecker and vice versa. 711 The allele fixed in the outgroup was assumed to be the ancestral state. This is a sensitive step in the 712 estimation of genetic load, so we only kept SNPs for which the ancestral state could be determined 713 unambiguously [70]. We ended up with a total set of 363,903 polarized SNPs across the genome.

We characterized the site frequency spectrum (SFS) for each type of variant (according to the impact inferred from snpEff) by estimating the total frequency of each derived allele and calculating the proportion of each allele frequency bin. As a proxy for genetic load, for each individual, we estimated the ratio of the number of derived alleles of high impact (i.e., loss of function) in homozygosity over the number of derived alleles of low impact (i.e., synonymous) in homozygosity. This metric assumes a recessive model, in which derived alleles are only deleterious when in a homozygous state. We therefore also considered an additive model (i.e., semi-dominant) that assumes that derived alleles have deleterious effects in both

homozygosity and heterozygosity. For this metric, we counted the total number of derived alleles, insteadof only the ones in homozygosity [70].

723 To look at selection over a deeper evolutionary scale, we estimated dN/dS, the ratio of 724 nonsynonymous over synonymous substitution, using a set of 397 genes that were orthologous across 725 Downy Woodpecker, Hairy Woodpecker and two avian outgroups - Chicken (Gallus gallus) and Zebra 726 Finch (*Taeniopygia guttata*). We identified orthologous genes across all four species using the software 727 JustOrthlogs [125] and only kept well-aligned loci. We first downloaded Ensembl genome assemblies and 728 gene annotations for version GRCg6a and bTaeGut1 v1.p of the Chicken and Zebra Finch genome, 729 respectively (Ensembl v103). We then extracted coding sequences (CDS) for all identified orthologs from 730 their respective reference genomes using a GFF3 parser included in JustOrthologs and aligned them with 731 the frameshift-aware MACSE software [126]. We used the parameter setting --min percent NT at ends 732 0.3 and -codonForInternalStop NNN for aligning and exporting sequences. The resulting amino-acid 733 alignments were inspected with HMMcleaner to mask sites that were likely misaligned. We finally used 734 codeml to estimate the overall dN/dS ratio along each branch of the tree assuming a one-ratio branch model 735 in PAML [127].

736

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