Title: A tissue specific atlas of gene promoter DNA methylation variability and the

clinical value of its assessment

Authors: *Ryan H Miller[1], *Chad A Pollard[2], Kristin R Brogaard[1], Andrew C Olson[1], Larry I

Lipshultz[5], Erica B Johnstone[6], Yetunde O Ibrahim[7], Jim M Hotaling[8], Enrique F Schisterman[3],

Sunni L Mumford[4], *Kenneth I Aston[8], *Tim G Jenkins[2,8]

R Miller and C Pollard contributed equally

K Aston and T Jenkins contributed equally

Affiliations:

[1] Inherent Biosciences, Salt Lake City, UT, USA

[2] Department of Cell Biology and Physiology, Brigham Young University, Provo, UT, USA

[3] Department of Biostatistics, Epidemiology and Informatics, Perelman School of Medicine,

University of Pennsylvania, Philadelphia, PA, USA

[4] Epidemiology Branch, Division of Intramural Population Health Research, *Eunice Kennedy*

Shriver National Institute of Child Health and Human Development, Bethesda, Maryland

[5] Scott Department of Urology, Baylor College of Medicine, Houston, TX, USA

[6] Division of Reproductive Endocrinology and Infertility, Department of Obstetrics and

Gynecology, University of Utah School of Medicine, Salt Lake City, UT, USA

[7] Division of Reproductive Endocrinology and Infertility, Department of Obstetrics and

Gynecology, University of Texas Health Science Center in San Antonio, TX, USA.

[8] Division of Urology, Department of Surgery, University of Utah School of Medicine, Salt Lake

City, UT, USA

*To whom correspondence should be addressed:

Tim Jenkins

Email: tim_jenkins@byu.edu

Abstract:

Background: Complex diseases have multifactorial etiologies making clinically actionable

diagnostic markers difficult to identify. Novel tools with higher diagnostic yield and utility in

driving personalized care are needed.

Methods: We utilized Illumina methylation array data from over 2400 samples to assess DNA

methylation patterns in 20 distinct cell types ranging from sperm to brain as well as various

disease states. We generated a simple analysis pipeline for DNA methylation data that focuses

on intra-individual methylation variability within gene promoters. The analysis is designed, not to

identify single causative gene alterations but instead focuses on any movement away from

"healthy" methylation. This approach identifies altered regulation across multiple genes in

related pathways thus enabling us to detect shifts in gene regulatory activity associated with

distinct tissues and phenotypes. We explored three distinct questions in our assessment. 1) Are

patterns of epigenetic variability tissue specific? 2) Do diseased tissues exhibit altered variability

patterns compared to normal tissue? 3) Can epigenetic variability be detected in complex

disease?

Results: Unsupervised clustering analyses established that patterns of epigenetic variability are

tissue specific and that these patterns are at least as predictive of tissue type as differential

methylation analysis. We demonstrated the ability to use these patterns to differentiate between

healthy and diseased tissue with unsupervised clustering even in cases of complex

multifactorial diseases. We applied this method to the clinical use case of male infertility and

found that men undergoing intrauterine insemination (IUI) with the lowest number of

epigenetically dysregulated promoters in their sperm were almost twice as likely to father a child

than men participating in IUI with the highest number of dysregulated promoters (p=0.011). We

saw no significant difference in birth rates between groups of men with high and low numbers of

dysregulated promoters undergoing in vitro fertilization (IVF), indicating IVF as a better

treatment than IUI to achieve live birth in the presence of multi-pathway dysregulation in sperm.

Conclusions: This study demonstrates that patterns of epigenetic variability can differentiate

between tissue types. While intuitive, this finding has never been demonstrated previously and

suggests that specific epigenetic variability patterns may be used to predict phenotypic changes

in disease states as these are, by definition, functional changes to cellular phenotypes. We

demonstrate that the variability of gene regulatory marks are distinct between healthy and

diseased tissue. This is particularly apparent at genes known to be important to cell function of

the tissue of interest. While in some cases these regional alterations can be seen across the

entire genome, more often the regulatory alterations that define a pathological phenotype are

restricted to genes of known importance to a particular tissue. Importantly, in the case of sperm,

we found that these patterns of variability did have utility in predicting infertile patients who

would conceive through intrauterine insemination (IUI). We would propose that this

discriminatory ability is due to the fact that the signature can be assessed in an n-of-1 context

and that the patterns of variability identify any shift away from regulatory normalcy in pathways

known to be impactful in the tissue of interest, and not only assessing the presence or absence

of rare genetic variants. While the data presented here are encouraging, more work needs to be

performed in other tissues to determine when, and in what context, these findings could be

clinically actionable.

Introduction:

In 2003, one of the most profound efforts ever undertaken in the biological sciences, the Human Genome Project, was completed[1]. At the time there was a great deal of hope that unlocking the genetic code was the key to diagnosing and treating the vast majority of diseases. While the discoveries made have been of great interest to many and have opened the door for important genetic and epigenetic findings, clinically meaningful impacts remain elusive for many diseases. In large part, this is due to the complex, multifactorial nature of most disease processes, with etiologies resulting from a constellation of genetic, epigenetic, and environmental perturbations. As a result, novel approaches to our analysis of genetic and epigenetic data are needed to identify clinically actionable predictors of disease or disease progression.

In hindsight, the lack of clinical efficacy for many epigenetic and genetic findings is not surprising. While it is common to identify a genetic or epigenetic association to a given disease, those associations occur so rarely in a population, or are only one of many alterations required to generate a pathological phenotype, that it is unreasonable to produce a highly predictive screening test capable of impacting clinical care. Importantly, while the causative factors of complex disease are multifactorial and highly variable, the tissue and cellular phenotypes that occur as a result of the disease are likely to be more conserved. Therefore, novel diagnostic approaches should focus, not on single genes or independent modifications associated with a pathology, but on a holistic screen of alterations to gene regulatory activity at genes specifically important to the affected tissue.

Certain data types are ideal for analyses focused on perturbations to gene regulatory networks. DNA methylation is of particular interest in this effort. Because a tissue's phenotype is defined by gene activity, and gene activity is controlled (at least in part) by epigenetic marks, it is intuitive that marks such as DNA methylation are effectively the fingerprint of cell and tissue

type. A logical extension of this idea is the fact that DNA methylation and other epigenetic marks

are altered in diseases such as cancer[2,3] and type 2 diabetes[4,5] not because they are

causative features of the disease, but because the diseases induce epigenetic modifications to

achieve a perturbed phenotype. Further, unlike the fairly static nature of the genome, DNA

methylation is a dynamic biomarker affected by a host of factors such as age[6,7] and various

modifiers including obesity[8,9], exercise[10,11], and environment[12]. Despite the evidence that

clearly suggests that DNA methylation is tied to complex disease states, we need to use novel

analytical approaches to realize its full potential.

Herein, we present a novel approach to DNA methylation analysis and an assessment of its

efficacy as a clinical predictor. One recent study used a similar method specifically for women's

cancers and found significant utility of the approach[13]. In our case, we describe a simple

analysis of promoter DNA methylation variability that allows us to assess gene regulatory

networks in a novel way. We provide evidence using DNA methylation array data from over

2400 samples and 20 cell types that our analysis can be used to identify and assess the genes

most tightly regulated in specific cell types and that these patterns are highly tissue-specific. We

then show the utility of this approach in an n-of-1 analysis to demonstrate that there are gene

regulatory network perturbations common among individuals who suffer from specific

pathologies in many different tissues. Lastly, we demonstrate in sperm the potential clinical

value of this approach.

Methods:

Data Collection

Several publicly available datasets were used in this study. Infinium HumanMethylation450

Bead Chip data was obtained for tumor and healthy tissue samples (n=494) from The Cancer

Genome Atlas (TCGA) Program as compiled by the University of California Santa Cruz Xena

Functional Genomics Explorer[14] (https://xenabrowser.net/datapages/). Infinium

HumanMethylation450 Bead Chip data for CD4+ T cell (n=11), CD8+ T cell (n=14), Alzheimer's

disease and control brain (n=190), lung (n=6), liver (n=26), and skin methylation data (n=18)

from healthy and diseased individuals were accessed from the NIH Gene Expression Omnibus

(GSE130029, GSE130030, GSE66351, GSE51077, GSE61258, GSE115797, respectively).

Sperm Infinium HumanMethylation450 Bead Chip data from fertile sperm donors as well as

patients undergoing in vitro fertilization (IVF) (n=166) was used from a previously published

single-site study by Aston, et al[15] as well the sperm Infinium MethylationEPIC Array data from

a clinical multi-site study of patients being seen by physicians for fertility care (n=1311) as

published by Jenkins et al[16].

Sample Collection

Semen samples were procured from University of Utah Andrology department from consented

patients undergoing fertility care (n=60), as well as two independent fertile sperm donor cohorts

(n=59). Semen samples from consented patients seeking fertility care were also procured from

the Urology Department at Baylor College of Medicine (n=49).

Sample Preparation

For all semen samples, somatic cell lysis, sperm isolation, DNA extraction, and bisulfite

conversion were performed as described by Aston, et al[15]. The bisulfite converted sperm DNA

was hybridized to Illumina Infinium HumanMethylationEPIC microarrays and ran as

recommended by the manufacturer (Illumina) at Infinity BiologiX.

Data Preprocessing

Figure 1 contains a flow chart of data processing and statistical analysis. The raw methylation

array data from the sperm, neuron, glia, skin, CD4+ T cell, and CD8+ T cell samples were

preprocessed using the minfi R package[17] using SWAN normalization to produce beta and m-

values for each cytosine-guanine dinucleotide (CpG). Density plots of the beta values of each

sample were examined to ensure the distribution of beta values followed a bimodal distribution

with prominent peaks between 0.0-0.2 and 0.8-1.0 and flat valleys from 0.2-0.8. Any samples

not following this distribution were removed and the remaining samples were renormalized. Beta

values are described as (methylated probe intensity / [methylated + unmethylated probe

intensity + 100]) and range from 0-1 with values around 0 being unmethylated and values

around 1 being methylated. M-values are described as (log(methylated probe intensity /

unmethylated probe intensity) and are useful measures of methylation to prevent bias arising

from heteroscedasticity seen when analyzing beta values[18] (Supplementary Figure S1,

Additional File 1).

For data processing of sperm samples, we removed any sperm samples from analysis that did

not have a mean methylation value less than 0.20 of all the CpG beta values in the differentially

methylated region of DLK1 as described by Jenkins, et al[19] (chr14:101,191,893-101,192,913,

GRCh37). According to Jenkins et al, the methylation states of the probes in this region are a

good discriminator between sperm and somatic cells and this procedure ensured analyses were

only performed on samples containing sperm DNA methylation and not contaminating somatic

cell DNA methylation.

Raw data for the TCGA datasets as assembled on the UCSC Xena platform and the lung and

liver datasets (GSE51077 and GSE61258, respectively) were not available, so the available

beta values were used. These beta values were logit-transformed to obtain the m-values for

these samples.

Statistical analysis

We define a given gene promoter as the genomic region one kilobase upstream and one

kilobase downstream from the transcription start site of a given gene. A gene promoter needed

to contain five or more methylation array probes to be used in any downstream analysis. Gene

methylation promoter variability (or "promoter variability") is defined as the standard deviation of

the m-values of the methylation array probes present in a defined promoter region

(Supplementary Figure S2, Additional File 1).

Hierarchical clustering was performed on all promoter variability values of samples from various

tissue types using the R software package 'pheatmap' (R version 4.0.3) with default parameters.

In cases where more than 20 samples existed for a given tissue, 20 samples were randomly

selected for inclusion in the clustering analysis to give a more uniform number of samples per

tissue type. Principal component analyses were performed on all promoter variability values

using the 'sklearn' library in Python (Python version 3.7.3).

We found the most epigenetically stable promoters of a given tissue type by identifying the

promoters with the lowest levels of variability in healthy samples of that tissue type. We did this

by first calculating a stability threshold for each promoter in a given tissue. A promoter stability

threshold represents the highest level of variability we expect to see in a given promoter of a

healthy sample of a given tissue (Supplementary Figure S2, Additional File 1). Then, the

promoters were rank ordered by the stability threshold values in ascending order. For the

analyses comparing promoters across tissue types (Figures 2B, 2C, 2F, 2G), we defined the

most stable promoters as the top first percentile of promoters with the lowest stability thresholds

in healthy samples of the given tissue. The most stable promoters for the sperm n-of-1 analyses

were defined as the top 10th percentile of promoters with the lowest stability thresholds in fertile

sperm donors.

Sperm n-of-1 analyses were performed by finding the most stable promoters in a cohort of fertile

sperm donors and counting the number of dysregulated promoters in each sample. We defined

a dysregulated promoter as a promoter that fell above the corresponding variability threshold.

Samples with the lowest number of dysregulated promoters are most similar to healthy controls.

Statistical differences in the pregnancy and live birth rates of men undergoing intrauterine

insemination (IUI) and in vitro fertilization (IVF) with the least and most dysregulated promoters

were calculated with two-sided t-tests. The men undergoing IUI had been through an average of

2.5 IUI attempts.

Tissue-specific gene ontology enrichment analyses were performed by running the PANTHER

Overrepresentation Test (http://pantherdb.org/webservices/go/overrep.jsp) on the gene names

of the first percentile of most stable promoters in a given tissue. Each test was run using a

background gene set that consisted of all genes with promoters containing five or more

methylation array probes.

Results:

Tissues have unique methylation variability signatures

Using microarray DNA methylation data, we explored the differences in gene promoter

methylation variability of various healthy tissues. Unsupervised clustering of all gene promoter

variability values showed tissue specificity and also revealed similarities among related tissues,

Figure 2A. For example, gastrointestinal tissue samples such as those from the esophagus,

stomach, colon and rectum, clustered closely together. We likewise saw clustering of samples

associated with the immune system (CD4+ T cells, CD8+ T cells, thymus), female reproduction

(endometrium, cervix), and brain (glia, neurons).

Tissues are regulated at tissue-specific biological pathways

We sought to identify how promoter variability differs among various tissue types. We identified

the most stable promoters in sperm and assessed the average methylation variability values for

these promoters in many samples across several tissue types as seen in Figure 2B. At

promoters indicated as most stable in the male germline, sperm samples have significantly

lower mean values than other tissue samples. Gene ontology analysis of these sperm

promoters show significant enrichment for sperm-related biological processes (Figure 2F). We

also looked at the mean of the promoter variability values of the known sperm-related genes

protamine 1 (PRM1), protamine 2 (PRM2), and protamine 3 (PRM3) which are genes expressed

exclusively in sperm and replace the majority of histones to achieve extreme nuclear

compaction in this specialized cell[20]. As expected, sperm samples displayed significantly less

variability in these promoters than other tissues (Figure 2D). These same analyses were

performed for the most stable promoters in neurons (Figures 2C, 2E) and a known neuron-

specific gene, CASP8 (Figure 2G) with similar results. Additional File 1 (Supplementary Figure

S4, Additional File 1) contains the results of these same analyses performed for several other

tissue types. It is important to note that while the most stable promoters in a given tissue are

generally characterized by very low promoter variability in samples from the given tissue, these

promoters all have varying degrees of absolute methylation (hypo, mid, or hyper-methylation), a

feature missed by traditional differentially methylated region (DMR) analyses (Supplementary

Figure S5, Additional File 1). It is also conceivable that this method can help overcome technical

biases inherent to methylation microarrays such as batch effects.

Methylation variability can differentiate between healthy and diseased tissue

In addition to distinguishing between tissue types, analysis of promoter methylation variability

can enable the differentiation of diseased and healthy tissue samples of the same tissue type.

One notable example is the ability to distinguish between tumor and healthy tissue based on

promoter variability signatures. Figure 3A depicts the first two principal components of promoter

variability values for colon primary tumor tissue and healthy colon tissue. The healthy colon

tissue samples appear to be tightly clustered together, whereas the tumor samples are widely

distributed throughout the plot. Figure 3B shows the difference in promoter variability between

psoriatic skin lesions and adjacent healthy skin samples from the same individuals. Figure 3C

shows a principal component analysis of neurons, glial cells, as well as bulk cell samples from

postmortem brain tissue of individuals with Alzheimer's disease and controls. The plot shows

clear separation among the neurons, glial cells, and bulk cell samples indicating a difference in

promoter variability among different cell types in the same tissue. There is also separation

between control and Alzheimer's disease samples in neuron and glial cell samples but such

separation is not apparent among the bulk cell samples which may suggest subtle differences in

promoter variability might be more apparent when samples are sorted for individual cell types.

Methylation variability of sperm can identify a subset of men with male factor infertility

We performed n-of-1 analyses on over 1500 sperm samples from fertile sperm donors as well

as men being treated for male factor infertility. Figure 4A shows there was a significantly higher

number of dysregulated promoters in men being treated for male factor infertility compared to

fertile sperm donors. Supplementary Figure S8 (Additional File 1) shows the number of

dysregulated promoters in multiple cohorts of sperm samples, including the sperm donor cohort

used to find the most stable promoters in sperm as well as the stability thresholds. To give a

visual explanation of promoter methylation, Figure 4B depicts the promoter variability values

(red dots) of the most stable sperm promoters and the corresponding stability thresholds for

these promoters (black line) in an individual fertile sperm donor sample as well as a patient

being treated for male factor infertility (Figure 4C). It is clear that the patient being treated for

male factor infertility has many more dysregulated promoters than the fertile sperm donor. This

suggests that male factor infertility may be more related to a global shift in methylation variability

at promoters important for sperm cells rather than single nucleotide changes or epimutations.

We then looked at the relationship between dysregulated promoters and pregnancy and live

pregnancy rates in 1311 individuals being seen by a physician for infertility care. Table 1A

shows that in men undergoing intrauterine insemination (IUI), those with least number of

dysregulated promoters (lowest 10th percentile of patients) had significantly higher pregnancy

and live birth rates than IUI patients with the most number of dysregulated promoters (top 10th

percentile of patients). However, we saw no difference in pregnancy and live birth rates when

comparing men undergoing in vitro fertilization (IVF) with the least and most number of

dysregulated promoters, suggesting IVF should be the preferred fertility treatment option for

men with high levels of methylation dysregulation.

Discussion:

Here, we introduce a novel method which assesses gene promoter DNA methylation variability

to identify highly regulated genes in multiple tissue types and how these can be impacted in

various disease states. Hierarchical clustering of gene promoter variability from many tissues

demonstrates how unique these patterns are in different tissues and how these patterns remain

largely consistent in related, but distinct tissues. For example, numerous tissues from the

gastrointestinal tract cluster together as do tissues important to the function of the immune

system.

We also found that the most stable promoters in a given tissue have significantly lower

methylation variability than the same promoters in other tissues highlighting the importance of

unique genes and gene networks to any given tissue's function. Importantly, when assessing

DNA methylation variability within the same tissue type, we were also able to visualize

differences between healthy and diseased tissues such as in cancer, psoriasis, and Alzheimer's

disease.

To highlight the potential clinical impact of the assessment of promoter level DNA methylation

variability, we examined the pattern's utility in an assessment of male factor infertility and found

that men being seen by a physician for infertility had much higher levels of dysregulated

promoters. In addition, men undergoing IUI treatments with the most number of dysregulated

promoters had significantly lower pregnancy and live birth rates compared to men undergoing

IUI treatments with the least number of dysregulated promoters. However, this stark difference

in pregnancy and live birth rates was not seen between men with the highest and lowest levels

of dysregulated promoters in men undergoing IVF. This finding has great clinical significance

because it suggests that if a man is struggling with infertility and has a high level of promoter

dysregulation, he has much better odds at having a child after undergoing IVF than if he simply

went through multiple rounds of IUI.

Taken together, these data suggest that promoter DNA methylation variability is an excellent

indicator of tissue type. This is likely due to the fact that the analysis of variability is able to

successfully detect genes that are the most tightly regulated (via DNA methylation in this case)

in any given tissue. The assumption is that these genes must play an important role in cell

function unique to each tissue. This is supported by our data that demonstrated that promoter

DNA methylation variability is increased on average in abnormal tissues when compared to

normal tissue. This was particularly apparent in our assessment of sperm DNA methylation

variability patterns. While much work still needs to be performed, these patterns are promising

and may prove to have significant utility in clinical decision making.

Of additional value in our assessment of this approach and its translational capacity is the ability

to perform these analyses in an n-of-1 context. Specifically, because we are assessing intra-

promoter variability within a single individual, we are able to reliably assess variability in a single

individual with limited concerns of batch effects which would require normalization. While this

has yet to be fully vetted in the current work, it does appear that these analyses, if proven to

have high predictive power, could be translated to a diagnostic tool.

While we did perform one of the largest analyses to date in terms of tissue types and sample

numbers, many questions still remain to be answered. Among the most critical is the utility of

this analysis in many different tissues and disease states. Because we were only able to

perform a deep analysis in sperm due to the large numbers of samples, similar work needs to

be done in other tissues to determine if this analysis can provide clinically actionable

information. Even in our sperm study, this work needs to be replicated in independent cohorts to

determine its efficacy. One area of concern for this type of analysis is the fact that not all tissues

are able to be as easily purified as sperm. In fact, we found that when looking at bulk tissues in

the brain, we lost any ability to discriminate between healthy and diseased tissue. Thus, future

work needs to focus on as pure of tissue as possible to yield meaningful results. This could

create technical challenges that make the utilization of this analysis untenable in some

applications. Despite this, there will certainly be tissues and diseases in which the assessment

of promoter DNA methylation variability will be feasible.

These findings provide a novel means to define which genes each cell and tissue type tightly

regulate to ensure their unique phenotype and function. Because these signals have potential

utility in both the a basic understanding of tissue specific epigenetic patterns and in the clinical

assessment of diseased tissues, as well as the prediction of outcomes, this work provides

important foundational findings upon which tissue and disease specific assessments can be

constructed in the future. While much work remains to determine clinical actionability for various

applications, the results here are encouraging and may offer another tool with which we can

assess the health of tissues and, importantly, predict the outcomes from various clinical

interventions.

Availability of data and materials:

The data from this study are available from the corresponding author upon reasonable request.

References:

1. Collins FS, Morgan M, Patrinos A. The Human Genome Project: Lessons from Large-Scale

Biology. Science [Internet]. American Association for the Advancement of Science; 2003 [cited

2022 Feb 3]; Available from: https://www.science.org/doi/abs/10.1126/science.1084564

2. Zhao SG, Chen WS, Li H, Foye A, Zhang M, Sjöström M, et al. The DNA methylation

landscape of advanced prostate cancer. Nat Genet. 2020;52:778–89.

3. Nishiyama A, Nakanishi M. Navigating the DNA methylation landscape of cancer. Trends

Genet. Elsevier; 2021;37:1012–27.

4. Davegårdh C, García-Calzón S, Bacos K, Ling C. DNA methylation in the pathogenesis of

type 2 diabetes in humans. Mol Metab. 2018;14:12–25.

5. Ahmed SAH, Ansari SA, Mensah-Brown EPK, Emerald BS. The role of DNA methylation in

the pathogenesis of type 2 diabetes mellitus. Clin Epigenetics. BioMed Central; 2020;12:1–23.

6. Horvath S. DNA methylation age of human tissues and cell types. Genome Biol. BioMed

Central; 2013;14:1–20.

7. Jenkins TG, Aston KI, Cairns B, Smith A, Carrell DT. Paternal germ line aging: DNA

methylation age prediction from human sperm. BMC Genomics. BioMed Central; 2018;19:1–10.

8. Benton MC, Johnstone A, Eccles D, Harmon B, Hayes MT, Lea RA, et al. An analysis of DNA

methylation in human adipose tissue reveals differential modification of obesity genes before

and after gastric bypass and weight loss. Genome Biol. BioMed Central; 2015;16:1–21.

9. Godfrey KM, Sheppard A, Gluckman PD, Lillycrop KA, Burdge GC, McLean C, et al.

Epigenetic Gene Promoter Methylation at Birth Is Associated With Child's Later Adiposity.

Diabetes. American Diabetes Association; 2011;60:1528–34.

10. Barrès R, Yan J, Egan B, Treebak JT, Rasmussen M, Fritz T, et al. Acute Exercise

Remodels Promoter Methylation in Human Skeletal Muscle. Cell Metab. Elsevier; 2012;15:405–

11.

11. Rönn T, Volkov P, Davegårdh C, Dayeh T, Hall E, Olsson AH, et al. A Six Months Exercise

Intervention Influences the Genome-wide DNA Methylation Pattern in Human Adipose Tissue.

PLOS Genet. Public Library of Science; 2013;9:e1003572.

12. Tobi EW, Lumey LH, Talens RP, Kremer D, Putter H, Stein AD, et al. DNA methylation

differences after exposure to prenatal famine are common and timing- and sex-specific. Hum

Mol Genet. 2009;18:4046–53.

13. Bartlett TE, Jones A, Goode EL, Fridley BL, Cunningham JM, Berns EMJJ, et al. Intra-Gene

DNA Methylation Variability Is a Clinically Independent Prognostic Marker in Women's Cancers.

PLOS ONE. Public Library of Science; 2015;10:e0143178.

14. Goldman MJ, Craft B, Hastie M, Repečka K, McDade F, Kamath A, et al. Visualizing and

interpreting cancer genomics data via the Xena platform. Nat Biotechnol. 2020;38:675–8.

15. Aston KI, Uren PJ, Jenkins TG, Horsager A, Cairns BR, Smith AD, et al. Aberrant sperm

DNA methylation predicts male fertility status and embryo quality. Fertil Steril. 2015;104:1388-

1397.e5.

16. Jenkins T, Aston K, Carrell D, DeVilbiss E, Sjaarda L, Perkins N, et al. The impact of zinc

and folic acid supplementation on sperm DNA methylation: results from the folic acid and zinc

supplementation randomized clinical trial (FAZST). Fertil Steril [Internet]. 2021 [cited 2021 Dec

1]; Available from: https://doi.org/10.1016/j.fertnstert.2021.09.009

17. Aryee MJ, Jaffe AE, Corrada-Bravo H, Ladd-Acosta C, Feinberg AP, Hansen KD, et al.

Minfi: a flexible and comprehensive Bioconductor package for the analysis of Infinium DNA

methylation microarrays. Bioinformatics. 2014;30:1363–9.

18. Du P, Zhang X, Huang C-C, Jafari N, Kibbe WA, Hou L, et al. Comparison of Beta-value and

M-value methods for quantifying methylation levels by microarray analysis. BMC Bioinformatics.

BioMed Central; 2010;11:1–9.

19. Jenkins TG, Liu L, Aston KI, Carrell DT. Pre-screening method for somatic cell

contamination in human sperm epigenetic studies. Syst Biol Reprod Med. Taylor & Francis;

2018;64:146–55.

20. Balhorn R. The protamine family of sperm nuclear proteins. Genome Biol. BioMed Central;

2007;8:1–8.

425 Figure Legends:

Figure 1: Data processing and statistical analysis workflow.

Processing and analysis of Illumina's Infinium HumanMethylation450 and

HumanMethylationEPIC array data from multiple tissue types to derive promoter variability and

promoter stability thresholds and analyze their relationships among tissue types and between

healthy and diseased tissues.

Figure 2: Tissues have unique patterns of gene methylation promoter variability

A) Hierarchical clustering of promoter variability of 18 sample types representing five biological

systems. **B)** and **C)** Average promoter variability of 6 distinct cell types in the most stable

promoters (top 1st percentile) in sperm and neurons, respectively. One dot represents one

sample, and boxplots are overlaid to show the distribution of average promoter variability of

each tissue. All p-values comparing methylation variance between sperm and neuron to other

tissues types were ≤ 5.16E-14. **D)** Average protomer variability in the 6 cell types of three

sperm-specific protamine promoters. **E)** Promoter variability from one neuron specific apoptosis

promoter in the 6 cell types. All p-values for panels D and E when comparing sperm and

neurons to other cell types were ≤ 9.99E-17. **F)** and **G)** Gene ontology enrichment of the most

stable promoters for sperm and neurons, respectively.

Figure 3: Diseased tissue samples have unique patterns of gene methylation promoter

variability compared to healthy

A) Principal component analysis of promoter variability values from primary colon tumors and

normal colon tissue. **B)** Principal component analysis of promoter variability values from

matched psoriatic lesion and healthy skin samples. **C)** Principal component analysis of promoter

variability among neurons, glial cells, and bulk cells from postmortem brains of individuals with

Alzheimer's disease as well as controls.

Figure 4. Dysregulated promoters are enriched in men seeking fertility care compared to fertile

controls

A) Dysregulated promoters in samples from five independent studies. The most stable sperm

promoters and corresponding stability thresholds were calculated from a cohort of fertile sperm

donor samples. Circle colors represent the following: blue - Jenkins et al[16], green - Baylor

College of Medicine, purple - fertile sperm donors, Red and Orange - University of Utah

Andrology two independent collections. **B)** Promoter variability at the most stable sperm

promoters in a single fertile donor sperm sample (red dots). The stability threshold for these

promoters are shown in black. A red dot above the black line indicates a dysregulated promoter.

C) Same analysis as in B) but for a single patient being treated for male factor infertility.

18   18

Table 1. Pregnancy and live birth rates in patients with the least and most dysregulated

promoters

A) Pregnancy and live birth rates from male patients undergoing on average 2.5 intrauterine

insemination (IUI) cycles (N=528). **B)** Pregnancy and live birth rates from male patients

undergoing IVF (N=234). For each patient's sperm sample, the number of dysregulated

promoters were counted. Pregnancy and live birth rates were compared between the patient

cohort with the top 10th percentile bottom 10th percentile of dysregulated promoters.

Supplementary Information Figure Legends:

Additional File 1: Supplementary figures and tables

Figure S1. Heteroscedasticity of beta values in a sperm donor sample. **Figure S2**. Variability

equations. **Figure S3**. Hierarchical clustering of different control tissues using beta values

Figure S4. Average promoter variability at tissue-specific promoters. **Figure S5**. Example of

promoters with low methylation variability but varying levels of methylation. **Figure S6**. Principal

component analysis of diseased and control samples. **Figure S7**. Hierarchical clustering of

diseased and control tissue samples. **Figure S8**. N-of-1 dysregulated promoter analysis of

sperm samples from multiple cohorts. **Table S1**. Pregnancy/Birth rates in men with normal

sperm concentration (≥ 15 million sperm / mL). **Table S2**. Statistics from men with the least and

most number of dysregulated promoters. **Table S3**. Ranking by sperm concentration.

Acknowledgements:

Funding:

The Folic Acid and Zinc Supplementation Trial was supported by contracts

HHSN275201200007C and HHSN275201300026I from the Intramural Research Program of the

Eunice Kennedy Shriver National Institute of Child Health and Human Development. SLM was

supported in part by the Intramural Research Program of the *Eunice Kennedy Shriver* National

Institute of Child Health and Human Development, National Institutes of Health, Bethesda,

Maryland. The work focused on sperm dysregulation was supported in part by Inherent

Bioscience's National Science Foundation The Small Business Innovation Research (SBIR)

Grant No. (2034014).

Author information:

498
Ryan H Miller and Chad Pollard contributed equally to this work. Tim G Jenkins and Kenneth I

Aston contributed equally to this work.

Affiliations:

Inherent Biosciences, Salt Lake City, UT, USA

Ryan H Miller, Kristin R Brogaard, Andrew C Olson, Tim G Jenkins

Department of Cell Biology and Physiology, Brigham Young University, Provo, UT, USA

Chad Pollard, Tim G Jenkins

Department of Biostatistics, Epidemiology and Informatics, Perelman School of Medicine,

University of Pennsylvania, Philadelphia, PA, USA

Enrique Schisterman

Epidemiology Branch, Division of Intramural Population Health Research, Eunice Kennedy

Shriver National Institute of Child Health and Human Development, Bethesda, Maryland

Sunni L Mumford

Scott Department of Urology, Baylor College of Medicine, Houston, TX, USA

Larry Lipshultz

Division of Reproductive Endocrinology and Infertility, Department of Obstetrics and

Gynecology, University of Utah School of Medicine, Salt Lake City, UT, USA

Erica B Johnstone

Division of Reproductive Endocrinology and Infertility, Department of Obstetrics and

Gynecology, University of Texas Health Science Center in San Antonio, TX, USA

Yetunde O Ibrahim

Division of Urology, Department of Surgery, University of Utah School of Medicine, Salt Lake

City, UT, USA

Jim M Hotaling, Kenneth I Aston, Tim G Jenkins

Contributions:

TGJ and KIA conceived and designed the study. RHM, CAP, and KB performed all analyses.

RHM, CAP, KRB, KIA, and TGJ wrote the manuscript. All authors read and approved the final

manuscript.

Corresponding Author:

Tim G Jenkins, tim_jenkins@byu.edu

Ethics Declarations:

Ethics approval and consent to participate:

All research and sample procurement was conducted in accordance with the regulations and

guidelines established by the Institutional Review Boards of University of Utah (IRB_00012049,

University of Utah) and Baylor College of Medicine (H-50666, Baylor College of Medicine).

Consent was obtained from all participants prior to their donation of tissue samples. All other

data sets were publicly available.

Consent for publication:

Not Applicable

Competing interests:

The authors declare the following potential competing financial interests:

RHM is an employee of Inherent Biosciences. KRB and ACO are co-founders of Inherent

Biosciences. TGJ, KIA, LIL, RHM, and JMH have minor stock ownership in Inherent

Biosciences. CAP works with Inherent Biosciences via a part-time internship program. A

provisional patent on this work was filed prior to manuscript submission. Remaining authors

declare no competing interests.

Supplementary Information:

554 Additional File 1

555 Supplementary figures and tables

Raw .idat files from Illumina methylation microarrays

SWAN normalization

Preprocess raw data with minfi (R package)

Check sample beta value distributions

(For sperm samples, ensure mean of DLK1 probes < 0.20)

Illumina methylation microarray data sets with no publicly available raw data

Calculate promoter variability of each promoter with 5+ probes

Hierarchical clustering and principal component analyses (Figures 2A, 3A, 3B, 3C)

In each tissue, calculate promoter stability thresholds for each promoter and sort promoters based on thresholds

Use subset of most stable promoters for gene ontology analyses (Figs 2F, 2G)

For n-of-1 analyses, count number of dysregulated promoters in each sample (Figures 4A, Table 1)

A

System
- Brain (light grey)
- Female Reproduction (pink)
- Male Reproduction (blue)
- Immune (red)
- Gastrointestinal (green)

Sample Type
- glia
- neuron
- breast
- cervix
- endometrium
- prostate
- sperm
- CD4+ T cell
- CD8+ T cell
- thymus
- bile duct
- colon
- esophagus
- kidney
- liver
- pancreas
- rectum
- stomach

System   Sample Type

Legend (top): Sperm · Neuron · Glia · Liver · Lung · Skin

B Most Stable Promoters in Sperm

Average Promoter Variability

C Most Stable Promoters in Neurons

Average Promoter Variability

D Sperm Specific Protamine Promoters

Average Promoter Variability

E Neuron Specific Apoptosis Promoter

Promoter Variability

F Sperm: Most Stable Promoters

GO Term	Fold Enrichment	P-Value
Spermine Biosynthetic Process	74.25	2.25E-04
Serotonin Transport	61.55	3.85E-04
Quaternary Ammonium Group Transport	41.03	6.26E-03

G Neuron: Most Stable Promoters

GO Term	Fold Enrichment	P-Value
Cerebellar Molecular Layer Formation	52.27	3.72E-02
Cerebellar Molecular Layer Morphogenesis	26.14	5.53E-02
Cerebellar Molecular Layer Development	26.14	5.53E-02

Table 1A

Patient Cohort	Pregnancy Rate from IUI	Live Birth Rate from IUI
Patients with the least number of dysregulated promoters (bottom 10th percentile, n=54)	48.1%	40.7%
Patients with the most number of dysregulated promoters (top 10th percentile n=50)	26.0%	18.0%
	p=0.019	p=0.011

Table 1B

Patient Cohort	Pregnancy Rate from IVF	Live Birth Rate from IVF
Patients with the least number of dysregulated promoters (bottom 10th percentile, n=36)	75.0%	61.1%
Patients with the most number of dysregulated promoters (top 10th percentile, n=23)	73.9%	60.9%
	p=0.927	p=0.986