

1 **Biosynthesis and apoplast accumulation of the apocarotenoid pigment**  
2 **azafrin in parasitizing roots of *Escobedia grandiflora***

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## 40 **Summary**

- 41 • The herbaceous hemiparasite *Escobedia grandiflora* (Orobanchaceae) is used in  
42 traditional medicine in the Andean region. Their roots accumulate an orange  
43 pigment with a significant relevance as a cooking dye that exhibits antioxidant and  
44 cardioprotective properties.
- 45 • The present work combined metabolic and cytological analyses with *de novo*  
46 transcriptome assembly, gene expression studies, and phylogenetic analyses to  
47 confirm the chemical identity of the pigment and investigate its biosynthesis and  
48 function in *Escobedia* roots.
- 49 • The pigment was conclusively shown to be azafrin, an apocarotenoid likely derived  
50 from the cleavage of  $\beta$ -carotene. Candidate genes for the production of azafrin in  
51 *Escobedia* roots are proposed based on RNA-seq supported by RT-qPCR and  
52 phylogeny reconstruction analyses. In particular, our data suggest that azafrin  
53 production relies a carotenoid cleavage dioxygenase (CCD) different from CCD7  
54 and similar to CCD4 enzymes. We also show that azafrin is delivered to the root  
55 apoplast and that it accumulates in the area where the *Escobedia* haustorium  
56 contacts the host's root, suggesting a role of azafrin in the parasitization process.
- 57 • Altogether, our work represents an unprecedented step forward in our understanding  
58 of the *Escobedia* parasitization system, but it also provides vital information  
59 towards the eventual domestication of this valuable medicinal plant.

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63 **Key Words:** apocarotenoid, azafrin, *Escobedia*, haustorium, hemiparasite, *de novo*  
64 transcriptome assembly, root.

## 65 **Introduction**

66 *Escobedia grandiflora* (L. f.) Kuntze (Orobanchaceae) (hereafter referred to as  
67 *Escobedia*) is a perennial hemiparasitic plant native to Central and South America,  
68 where it associates with high diversity in plant communities of dry and wetland non-  
69 forested ecosystems (Burguer & Barringer, 2000; Cardona & Muriel, 2015; Cardona-  
70 Medina *et al.*, 2019; Cardona-Medina *et al.*, 2021). Wild populations of this herbaceous  
71 plant have supported several traditional uses in the Andean region. The abundant orange  
72 pigment in its root is used as a cooking dye and local medicine for hepatitis, jaundice,  
73 hyperlipidaemia, and obesity (Silva *et al.*, 2010; Muriel *et al.*, 2015). Such water-  
74 soluble pigment was tentatively proposed to be azafrin, a C<sub>27</sub> apocarotenoid (Kuhn,  
75 1935; Eschenmoser & Eugster, 1975). Azafrin, which exhibits antioxidant and  
76 cardioprotective properties (Yang *et al.*, 2018), is found at high levels in particular  
77 organs of other parasitic plants, including the roots of *Centranthera grandiflora* and the  
78 rhizomes of *Alectra parasitica*, but also in non-parasitic medicinal plants such as  
79 *Caralluma umbellata* (Agrawal *et al.*, 2014; Evanjaline & Vr, 2018; Verma *et al.*, 2019;  
80 Zhang *et al.*, 2019).

81 Apocarotenoids are cleavage products of carotenoids. Some of them are biologically  
82 active molecules with roles as regulators of plant development and environmental  
83 interactions, including abscisic acid (ABA), strigolactones (SL), and others yet to be  
84 fully characterized (Walter *et al.*, 2010; Hou *et al.*, 2016; Felemban *et al.*, 2019;  
85 Moreno *et al.*, 2021). Plant apocarotenoids often modulate the interaction with  
86 herbivores, arbuscular mycorrhizal (AM) fungi, and parasitic plants (Moreno *et al.*,  
87 2021; Wang *et al.*, 2021). A well-known case is SL, which stimulate the germination of  
88 some parasitic seeds from Orobanchaceae, contribute to establishing AM symbiosis,  
89 and modulate other rhizospheric communication with symbionts and parasites (Torres-  
90 Vera *et al.*, 2016; Mutuku *et al.*, 2021). Other apocarotenoids with roles in rhizospheric  
91 interactions include blumenols, mycorradicins, zaxinone and anchorene (Moreno *et al.*,  
92 2021; Wang *et al.*, 2021).

93 Biosynthesis of plant carotenoids takes place in plastids, and it begins with the  
94 formation of C<sub>40</sub> 15-*cis*-phytoene through condensation of two molecules of C<sub>20</sub>  
95 geranylgeranyl diphosphate (GGPP) by phytoene synthase (PSY), the first and main  
96 rate-determining enzyme of the pathway (Rodriguez-Concepcion *et al.*, 2018; Moreno  
97 *et al.*, 2021). PSY is usually encoded by small gene families in plants (Stauder *et al.*,

98 2018). Phytoene desaturation and isomerization produce lycopene, the red carotenoid  
99 responsible for the colour of ripe tomatoes. The formation of  $\beta$  rings in the two ends of  
100 the lycopene molecule generates  $\beta$ -carotene, the main pro-vitamin A carotenoid and the  
101 proposed precursor of azafrin (Rodriguez-Concepcion *et al.*, 2018; Zhang *et al.*, 2019).  
102 Cleavage of the C<sub>40</sub> skeleton of carotenoids to produce apocarotenoids can either take  
103 place non-enzymatically or be catalysed by carotenoid cleavage dioxygenase (CCD)  
104 enzymes (Felemban *et al.*, 2019; Moreno *et al.*, 2021). In the model plant *Arabidopsis*  
105 *thaliana*, CCD enzymes of the 9-*cis*-epoxycarotenoids dioxygenase (NCED) type are  
106 involved in the biosynthesis of ABA whereas CCD7 and CCD8 participate in the first  
107 steps of SL production. Other CCD enzymes such as plastid-localized CCD4 and  
108 cytosolic CCD1 are involved in the production of other apocarotenoids, including  
109 volatiles and growth regulators (Auldridge *et al.*, 2006; Hou *et al.*, 2016; Felemban *et*  
110 *al.*, 2019; Moreno *et al.*, 2021). The biosynthetic pathway of azafrin was proposed to  
111 begin with the isomerization of  $\beta$ -carotene to 9-*cis*- $\beta$ -carotene by the enzyme  
112 DWARF27 (D27), followed by cleavage by CCD7 to produce 10'-apo- $\beta$ -carotenal, a  
113 common precursor of SL (Bruno & Al-Babili, 2016; Zhang *et al.*, 2019). Then, an  
114 unknown aldehyde dehydrogenase would transform aldehyde into carboxylic acid, and a  
115 cytochrome P450 monooxygenase would catalyse the oxidation reactions to produce  
116 azafrin (Zhang *et al.*, 2019).

117 Here we use modern mass-spectrometry technologies to ascertain the identity of the  
118 *Escobedia* root pigment as azafrin, propose candidate genes involved in its production,  
119 demonstrate its accumulation in the apoplastic space of root cells, and provide insights  
120 on its possible function in parasitic plant-host interactions.

121

## 122 **Materials and Methods**

### 123 *Plant material*

124 Roots and dried fruits of *Escobedia grandiflora* (L. f.) Kuntze were collected from nine  
125 mature individuals (i.e., in the flowering period) in a wild population located in the  
126 Lagoinha do Leste Municipal Park (27°46'53.0"S, 48°29'16.1"W, 190 m.a.s.l.),  
127 municipality of Florianópolis, Brazil. After collection, the roots were frozen at -80°C,  
128 lyophilized, and then pulverized with a TissueLyser (Qiagen) to obtain a fine powder  
129 for further chromatography and RNA extraction. Seeds were collected from dried fruits,

130 imbibed for five days in distilled water and sown as described by Cardona-Medina *et al.*  
131 (2019). Twenty imbibed seeds were sown in 2-liter pots without a host plant in  
132 greenhouse conditions with a long day photoperiod. After nine months, roots were  
133 collected, frozen and processed as described above for wild (host-attached) samples.  
134 Furthermore, twenty imbibed seeds were sown in 5-liter pots with *Pennisetum*  
135 *purpureum* Schumach (Poaceae) as a host plant (Cardona & Muriel, 2015; Cardona-  
136 Medina *et al.*, 2019) and grown for nine months to observe different root and haustoria  
137 development phases, as reported by Cardona-Medina *et al.* (2019).

138

#### 139 *Azafrin identification and quantification analysis*

140 Azafrin identification was based on HPLC-DAD-MS(APCI+) analysis carried out as  
141 described (Supporting Information Methods S1). For azafrin quantification, lyophilized  
142 roots from *Escobedia* plants were used for pigment extraction, chromatographic  
143 separation, and detection at 450 nm as described (Supporting Information Methods S1).  
144 Three biological replicates were performed in every experiments.

145

#### 146 *RNA-seq*

147 Total RNA was extracted from a pool of *Escobedia* roots grown with their hosts in a  
148 wild population. Samples representing different stages of the parasitizing process (i.e.  
149 root development) were extracted using the Maxwell® RSC Plant RNA kit (Promega)  
150 with the Maxwell® RSC Instrument (Promega), according to the manufacturer's  
151 instructions. RNA samples were pooled in equivalent amounts and library construction  
152 was performed with Illumina TruSeq Stranded mRNA kit, according to the  
153 manufacturer's instructions. A single library was sequenced at a depth of 30 million  
154 reads. Raw paired-end (2x150 bp) reads were processed as follows. Removal of adaptor  
155 sequences, sliding-window trimming, length, and quality filtering of the raw paired-end  
156 reads were performed with *fastp* v0.20.0 (Chen *et al.*, 2018) using `-w 16 -5 -3 -r -W 4 -`  
157 `M 20 -l 40`. All other settings were left at default. *De novo* transcriptome assembly of  
158 surviving reads was performed with *Trinity* v2.11.0 (Haas *et al.*, 2013) using default  
159 settings. Transcriptome analysis and functional annotation was performed as described  
160 (Supporting Information Methods S2).

161

162 *Phylogenetic analysis*

163 Phylogenetic reconstructions were performed with MrBayes (Huelsenbeck & Ronquist,  
164 2001). The resulting topology based on a phylogram was constructed through the  
165 standard stepwise pipeline. Firstly, the candidate protein sequences were aligned with  
166 MUSCLE (Edgar, 2004) using default parameters and the multiple sequence alignment  
167 outcome was exported in nexus format (Maddison *et al.*, 1997). MEGAX (Kumar *et al.*,  
168 2018) was used to identify the best substitution protein model, i.e. with the lowest  
169 Bayesian information criterion (BIC) score. Each sequence was considered as 'partially-  
170 deleted' in which a candidate is discarded if it presents higher percentage of ambiguous  
171 sites than the threshold specified in the Site Coverage Cutoff parameter in MEGAX  
172 options (similar to the complete deletion option but with a threshold set to 100%, i.e.  
173 absence of ambiguous sites). Once the multiple sequence alignment was performed, the  
174 best substitution model was identified and the distribution of rates among sites was  
175 selected. In our case, the best model was Jones-Taylor-Thornton (JTT) with rates  
176 among sites following a gamma distribution. The phylogenetic analysis was then  
177 conducted by bayesian inference, using the Markov Chain Monte Carlo algorithm  
178 (MCMC) available in MrBayes. The different clusters' reliability was calculated with  
179 the posterior probabilities (Gelman *et al.*, 1995), being the values higher than 0.70  
180 acceptable and the values higher than 0.90 highly supported.

181

182 *RT-qPCR*

183 RNA extracted from *Escobedia* roots was used for real-time quantitative PCR (RT-  
184 qPCR) as described (Supporting Information Method S3). RT-qPCR data were  
185 normalized with the *Escobedia* actin gene DN8798\_c0\_g1\_i1. Primers are listed in  
186 Supporting Information Table S1. For statistical analyses of the results, a one-way  
187 ANOVA was performed in which the host conditions were the explanatory variable, and  
188 azafrin and relative transcript levels of candidate genes were the response variable.  
189 Response variables were square-root transformed. ANOVA validation was based on the  
190 Shapiro-Wilk test and the analysis of plotting residuals. Significant differences ( $p \leq 0.05$ )  
191 were tested using Tukey's test. The data were analyzed in R environment version 4.0.3 (  
192 R Core Team, 2021).

193

194 *Structural and anatomical analyses*

195 Anatomical analyses were performed as described (Supporting Information Methods  
196 S4). Haustoria were fixed in a solution with 2.5 % glutaraldehyde in a 0.1 M sodium  
197 phosphate buffer and dehydrated through an ethanolic series (Ruzin, 1999). For  
198 scanning electron microscopy (SEM) analyses, the fixed and dehydrated samples were  
199 processed as described (Supporting Information Methods S4). The hyaline body  
200 ultrastructure was visualized by transmission electron microscopy (TEM), according to  
201 Pueschel (1979) after processing the samples as described (Supporting Information  
202 Methods S4). Azafrin detection by confocal microscopy of fresh hand-cut root sections  
203 was performed as described by D'Andrea *et al.*(2014), using the 488 nm ray line of an  
204 argon laser for excitation and 500-550 nm of emission window.

205

206 **Results and Discussion**

207 *Escobedia grandiflora* roots accumulate azafrin and also produce aeginetin

208 While early studies in *Escobedia* species proposed that the orange pigment that  
209 accumulates in roots is azafrin (Kuhn, 1935; Karrer & Jucker, 1948; Eschenmoser &  
210 Eugster, 1975), clearcut demonstration is still missing. Consistent with those studies, the  
211 HPLC analysis of a root extract from *Escobedia* plants of a wild population revealed the  
212 presence of a major compound (>95%; peak 2) with an on-line UV-visible spectrum  
213 with maxima at 390, 421, 436 nm (Fig. 1). This spectrum agrees with a chromophore  
214 structure with seven to eight conjugated double bonds (c.d.b), in accordance with the  
215 structure proposed for azafrin with a seven c.d.b. polyene chain and a conjugated  
216 carbonyl group (Fig. 1a). However, the acid mobile phase likely affected absorption  
217 maxima and fine structure, resulting in the UV-visible spectrum being slightly different  
218 from that reported in the literature (Britton, 1991; Britton *et al.*, 2004). When peak 2  
219 was collected and purified from the diode array detector outlet, the resulting UV-visible  
220 spectrum in ethanol (388, 407, 431 nm) matched the one reported for azafrin in previous  
221 studies (Britton, 1991; Britton *et al.*, 2004) (Fig. 1b). The chemical identity of this peak  
222 was confirmed by mass spectrometry using HPLC-DAD-MS(APCI+). As shown in  
223 (Fig. 1c), the mass spectrum was consistent with the formula  $C_{27}H_{38}O_4$   
224 (MW=426.2770), with a fragmentation pattern presenting three characteristic fragments  
225 corresponding to the protonated molecule ( $[M+H]^+$ , 427.27) and the loss of one and two

226 water molecules derived from the hydroxy groups ( $[M+H-18]^+$ , 409.26;  $[M+H-18-18]^+$ ,  
227 391.25). The fragment derived after the neutral loss of the carboxylic group  $[M-46]^+$  at  
228 381.26 was also detected but with low relative abundance. These results conclusively  
229 confirmed that peak 2 of the chromatogram, corresponding to the most abundant  
230 pigment by far in *Escobedia* roots (Fig. 1a), was indeed azafrin.

231 Regarding the minor compound eluting before azafrin (peak 1; Fig. 1a), its UV-visible  
232 spectrum presented maxima at 395 and 409 nm (Fig. 1d), suggesting a chromophore  
233 with 6 c.d.b. (i.e., 1 c.d.b. shorter compared to azafrin). The mass spectrum of this  
234 compound showed characteristic fragments:  $[M+H]^+$  at 401.26,  $[M+H-18]^+$  at 383.25,  
235  $[M+H-18-18]^+$  at 365.24 and  $[M-46]^+$  at 355.26 (Fig 1e). Remarkably, this mass  
236 fragmentation profile was very similar to the one observed for azafrin but fragments  
237 showed 28 u.m.a. less, which correspond to an alkene unit ( $-\text{CH}_2=\text{CH}_2-$ ). These data  
238 corroborated that the structure has a chromophore with 1 c.d.b less than azafrin. The  
239 protonated molecule ( $[M+H]^+$  at 401.26) was consistent with a molecular structure with  
240 a formula  $\text{C}_{25}\text{H}_{36}\text{O}_4$  (MW=400.2613), which corresponds to the apocarotenoid aeginetin  
241 (Fig. 1a), isolated from the roots of *Aeginetia indica* (Eschenmoser *et al.*, 1982; Britton,  
242 1991), a holoparasitic herb of the same plant family as *Escobedia*, Orobanchaceae.

243 Azafrin has been identified in non-parasitic species but it is only accumulated at high  
244 levels in the roots or rhizomes of root hemiparasitic plants (Agrawal *et al.*, 2014; Zhang  
245 *et al.*, 2019). It is interesting to note that azafrin-overaccumulating hemiparasitic plants  
246 such as *Escobedia*, *Centranthera grandiflora* (hereafter referred to as *Centranthera*) and  
247 *Alectra parasitica* are classified in the same subclade (I) within the Buchnerae clade of  
248 Orobanchaceae family (Nickrent, 2020). Two other species belonging to this subclade I  
249 (*Melasma stricta* and *Notochilus coccineus*) were reported to have orange roots, but the  
250 identity of the pigment has not been identified yet (Safford, 1999; *speciesLink network*,  
251 2021). Similarly, aeginetin has only been reported in plants of the Buchnerae clade,  
252 including *Aeginetia* (subclade H, highly related to subclade I), *Centranthera*  
253 (Eschenmoser *et al.*, 1982; Zhang *et al.*, 2019; Nickrent, 2020), and now *Escobedia*. It  
254 is possible, therefore, that the production of these apocarotenoids, and particularly the  
255 accumulation of azafrin, might be play a biological function related to root parasitism in  
256 this specific group of plants.

257



258 *Analysis of the Escobedia root transcriptome allows the identification of candidate*  
259 *genes for carotenoid biosynthesis.*

260 To identify tentative genes involved in the synthesis of azafrin in *Escobedia*, we  
261 extracted RNA from a pool of orange roots collected from different plants in a natural  
262 population and used it for RNA-seq analysis. Following adapter removal, trimming, and  
263 quality filtering with *fastp*, 76 million 2x150 bp paired-end reads (~ 10.8 Gb of  
264 sequence data) were used for *de novo* transcriptome assembly using *Trinity*. Summary  
265 statistics of the final assembly include, among others, a total of 115,882 transcripts  
266 (65,701 ‘genes’) ranging between 200 and 12,858 bp in length, a mean and N50  
267 sequence length of 1,111 and 1,798 bp, a GC-content of 0.43, and a 25.5% of total  
268 transcripts deemed as lowly or not expressed (i.e., FPKM < 0.5) (Supporting  
269 Information Fig. S1a). Additionally, 61,325 and 48,576 transcripts showed evidence-  
270 supported coding sequences predicted using *TransDecoder* and *EvidentialGene*  
271 pipelines, respectively. Transcripts containing predicted CDS by *TransDecoder*  
272 revealed that many were complete (i.e., full-length and containing both start and stop  
273 codons) and predominantly being >1kb in length (Supporting Information Fig. S1b).  
274 BUSCO assessments with the embryophyta lineage database also indicated very high  
275 predicted completeness of the full transcriptome assembly and reduced CDS-only set  
276 (i.e., 93.7% - 94.2% of 1,375 BUSCOs evaluated) (Supporting Information Fig. S1c).  
277 These BUSCO scores rival those observed in many sequenced plant genomes with high-  
278 quality gene models (Veeckman *et al.*, 2016).

279 Functional annotation according to MapMan BIN v4 categories, matching Pfam  
280 domain of predicted peptides, or shared homology with plant Uniprot database  
281 sequences, revealed 51,302, 51,578, and 66,335 transcripts (44 – 57% of total  
282 transcripts), respectively (Supporting Information Table S2). Notably, we observed a  
283 greater representation of transcripts associated to MapMan BIN15\_RNA biosynthesis,  
284 BIN18\_Protein modification, BIN19\_Protein homeostasis, BIN24\_Solute transport, and  
285 BIN50\_Enzyme classification, among others (Supporting Information Fig. S1d). More  
286 relevant for azafrin biosynthesis, BIN categories related to biosynthetic pathways of  
287 carotenoids (BIN9.1.6.1) and apocarotenoids (BIN9.1.6.3), among others, were also  
288 successfully assigned to transcripts (Supporting Information Fig. S1d). Additionally,  
289 45,339 and 68,727 transcripts present in *Escobedia* roots shared orthology with  
290 *Arabidopsis* and *Centranthera* genes, respectively (Supporting Information Table S2).

291 Together, the assembly statistics, gene completeness scores, and number of functional  
292 categories and domain assignments to transcripts suggest a reasonably high assembly  
293 quality of the pooled root transcriptomes.

294 According to our annotation pipelines, we identified thirty-four genes (30 predicted  
295 complete and 4 partial sequences) potentially involved in carotenoid and apocarotenoid  
296 pathways that were expressed in *Escobedia* roots (Fig. 2; Supporting Information Table  
297 S3). The first committed step of the carotenoid pathway is the production of phytoene  
298 from GGPP catalysed by PSY (Fig. 2a). PSY, the main flux-controlling enzyme of the  
299 plant carotenoid pathway (Fraser *et al.*, 2002; Rodriguez-Concepcion *et al.*, 2018), is  
300 usually encoded by small gene families encoding distinct isoforms associated with  
301 organ- or tissue-specific production of carotenoids. For example, tomato PSY1 is  
302 essential for fruit carotenoid production during ripening, while PSY2 is preferentially  
303 found in photosynthetic tissues, and PSY3 functions in the root. The root-associated  
304 PSY3 isoforms from dicots form a widespread phylogenetic clade found to participate  
305 in arbuscular mycorrhiza (AM) interactions, whereas those from monocots form a  
306 different clade and are involved in ABA formation (Stauder *et al.*, 2018). Two PSY  
307 isoforms were found to be expressed in *Escobedia* roots, namely PSYa and PSYb (Fig.  
308 2a). The phylogenetic comparison of the *Escobedia* PSYa and PSYb isoforms with PSY  
309 sequences from *Centranthera* and two other root hemiparasitic species  
310 (*Phtheirospermum japonicum* and *Striga asiatica*,) together with well-characterized  
311 PSY sequences from monocots (maize, rice) and dicots (tomato, carrot, alfalfa,  
312 *Arabidopsis*) led to their classification in a sub-clade of only root hemiparasitic PSY  
313 sequences with a 100% of posterior probability (Fig. 3). This subclade, however, was  
314 separated from the clades harbouring root-associated PSY3 sequences from dicots or  
315 monocots (Fig. 3). Similar to *Escobedia*, two genes encoding PSY and belonging to the  
316 same subclade were found in *Centranthera* (Fig. 3). It is interesting to note that the  
317 *Centranthera* gene encoding PSYa was more actively expressed in leaves and stems  
318 than in roots whereas higher levels of transcripts encoding PSYb were found in azafurin-  
319 producing roots compared to leaves and stems (Fig. 2a) (Zhang *et al.*, 2019). These  
320 results suggest that some non-PSY3 isoforms of PSY might have a prominent role in the  
321 roots of at least some hemiparasitic plants. In the case of *Escobedia*, genes encoding  
322 both PSYa and PSYb isoforms are expressed at similarly high levels in roots,

323 suggesting that azafrin production may require an active metabolic flux into the  
324 carotenoid pathway.

325 In the next section of the carotenoid pathway (i.e., from phytoene to lycopene),  
326 transcripts corresponding to single genes were found for phytoene desaturase (PDS),  $\zeta$ -  
327 carotene isomerase (Z-ISO), and carotenoid isomerase (CRTISO), whereas two  
328 *Escobedia* genes were expressed encoding  $\zeta$ -carotene desaturase (ZDS) (Fig. 2a).  
329 Interestingly, *Arabidopsis* mutants defective in ZDS have been reported to produce an  
330 apocarotenoid signal that negatively impacts plastid and leaf development (Avendaño-  
331 Vázquez *et al.*, 2014; Escobar-Tovar *et al.*, 2021). Thus, the relatively high expression  
332 levels of the two ZDS-encoding genes detected in *Escobedia* roots (Fig. 2a) might result  
333 in high ZDS activity and hence prevent the formation of the apocarotenoid signal  
334 generated in ZDS-defective mutants. After lycopene, the carotenoid pathway branches  
335 out (Fig. 2a). Cyclization of the two ends of the linear lycopene molecule to produce  
336 one  $\beta$  and one  $\epsilon$  ring generates  $\alpha$ -carotene. These reactions are catalysed by lycopene  $\beta$   
337 and  $\epsilon$  cyclases (LCYB and LCYE, respectively). By contrast,  $\beta$ -carotene is synthesized  
338 from lycopene when only  $\beta$  rings are formed by LCYB enzymes. Transcripts encoding  
339 LCYE were found at much lower levels than those encoding the two LCYB isoforms  
340 expressed in *Escobedia* and *Centranthera* roots, LCYBa and LCYBb (Fig. 2a),  
341 suggesting a higher flux through the  $\beta$ ,  $\beta$  branch compared to the  $\beta$ ,  $\epsilon$  branch. In  
342 agreement with this conclusion, transcripts for the LUT1 enzyme, which catalyses the  
343 hydroxylation of  $\epsilon$  rings to produce lutein (the most abundant carotenoid in green  
344 photosynthetic tissues), are much less abundant than those for  $\beta$ -ring hydroxylases  
345 (BCHa and BCHb). Based on the higher abundance of transcripts for BCHa in both  
346 *Escobedia* and *Centranthera*, this might be the main BCH isoform transforming  $\beta$ -  
347 carotene into zeaxanthin (Fig. 2a). Then, two highly expressed zeaxanthin epoxidase  
348 isoforms (ZEPa and ZEPb) in *Escobedia* and a single ZEP-encoding gene in  
349 *Centranthera* produce violaxanthin in roots. The very low levels of transcripts found for  
350 violaxanthin epoxidase (VDE) suggest that the main metabolic flux is from zeaxanthin  
351 to violaxanthin in *Escobedia* and *Centranthera* roots.

352

353 *Azafrin production in Escobedia roots might rely on CCD4 rather than CCD7 enzymes.*

354 Presence of transcripts encoding NCED and other ABA biosynthetic enzymes such as  
355 ABA2, ABA3, AAO3 (Fig. 2a), suggest that violaxanthin can be converted into ABA in

356 azafrin-producing *Escobedia* and *Centranthera* roots. Besides ABA, other  
357 apocarotenoids are formed by the activity of CCD enzymes. Among them, SL and  
358 azafrin production are proposed to share the first isomerization step from  $\beta$ -carotene  
359 (Fig. 2a). Transcripts encoding D27 were found in azafrin-accumulating roots,  
360 supporting the conclusion that  $\beta$ -carotene can be isomerized to 9-*cis*- $\beta$ -carotene in this  
361 tissue to allow the production of SL or/and azafrin (Fig. 2a). Both apocarotenoids were  
362 also proposed to share the next step of the pathway, i.e. the cleavage of C<sub>40</sub> 9-*cis*- $\beta$ -  
363 carotene by CCD7 to produce C<sub>27</sub> 10'-apo- $\beta$ -carotenal (Zhang *et al.*, 2019). In-depth  
364 phylogenetic analysis of the CCD family from *Escobedia*, *Centranthera* and several  
365 other plants, including hemiparasitic species, showed four clades designated as CCD1  
366 (paraphyletic), CCD4, CCD7, and CCD8 (Fig. 4). The only gene encoding CCD1 was  
367 found to be poorly expressed in *Escobedia* roots, whereas in *Centranthera* it was less  
368 expressed in roots than in other tissues such as leaves and stems (Fig. 2a). The CCD4  
369 clade contained seven CCD4 sequences from *Escobedia* (CCD4a to g), of which  
370 CCD4b and CCD4c were most highly expressed in *Escobedia* roots (Fig. 2a). Of the  
371 eight CCD4 sequences found in *Centranthera* (CCD4a to h), only CCD4d was  
372 predominantly expressed in the azafrin-producing roots (Fig. 2).

373 A striking conclusion of our phylogenetic analysis is that no sequences of *Escobedia*  
374 were present in the CCD7 clade, which included sequences of *Centranthera* and other  
375 hemiparasitic species as well as typical CCD7 enzymes from *Arabidopsis* and tomato.  
376 We hence speculated that *Escobedia* roots CCD4b or/and CCD4c might catalyse the  
377 same C9-C10 cleavage reaction that CCD7 enzymes perform in other plants or tissues.  
378 The CCD4 subfamily is probably the most variable group of CCD enzymes. They are  
379 encoded by several genes in many species, resulting in isoforms that often differ in their  
380 expression profile and substrate selectivity (Hou *et al.*, 2016). In general, CCD4  
381 enzymes have broad substrate specificity, and many of them appear to have a role in  
382 carotenoid catabolism, particularly in carotenoid-sink tissues such as flowers, fruits,  
383 seeds, and roots (Walter *et al.*, 2010; Rubio-Moraga *et al.*, 2014). They usually cleave  
384 carotenoids (notably  $\beta$ -carotene) at the C9-C10/C9'-C-10' double bond, but the  
385 *Arabidopsis* CCD4 enzyme also catalyses the C9-C10 cleavage of  $\beta$ ,  $\beta$  xanthophylls  
386 such as zeaxanthin while other CCD4 enzymes cleave asymmetrically at the C7-  
387 C8/C7'-C-8' double bond (Rubio *et al.*, 2008; Huang *et al.*, 2009; Ma *et al.*, 2013;  
388 Lätari *et al.*, 2015; Bruno *et al.*, 2016). Interestingly, *Arabidopsis* CCD4 has been

389 shown to catalyse the cleavage of  $\beta$ -carotene to all-*trans*-10'-apo- $\beta$ -carotenal and, at a  
390 much lower efficiency, of 9-*cis*- $\beta$ -carotene to the SL precursor 9-*cis*-10'-apo- $\beta$ -  
391 carotenal (Bruno *et al.*, 2016). It can thus be suggested that *Escobedia* CCD4b or/and  
392 CCD4c isoforms might also catalyse these reactions to deliver precursors for SL and  
393 azafrin biosynthesis, hence making the participation of a CCD7 enzyme unnecessary.  
394 Interestingly, in the roots of *Centranthera* transcripts encoding CCD7 were expressed at  
395 much lower levels than those encoding D27 (Fig. 2a), whereas CCD4b was much more  
396 highly expressed than CCD7 (Fig. 2a) (Zhang *et al.*, 2019). It is therefore possible that  
397 CCD4 isoforms might produce the precursors for SL and azafrin in both *Escobedia* and  
398 *Centranthera* roots. Further supporting this conclusion, our analysis indicated that  
399 *Centranthera* CCD4b is the only gene that shows root-specific differential upregulation  
400 compared to stem and leaf tissues among all other azafrin-related *Escobedia* orthologs  
401 (Fig. 2b; Supporting Information Table S3).

402 To complement the RNA-seq analysis, transcript levels encoding CCD4b and CCD4c  
403 were measured in roots of *Escobedia* plants grown either with or without hosts (Fig. 5).  
404 Previous studies observed that the roots of *Escobedia* were colourless in the initial  
405 developmental stages (i.e., before parasitizing a host), while the orange pigment became  
406 most visible after haustoria penetration in the host root (Cardona-Medina *et al.*, 2019).  
407 HPLC analyses confirmed that azafrin levels were substantially increased in *Escobedia*  
408 roots when growing in the presence of host plants compared to those grown in their  
409 absence (Fig. 5). The level of transcripts encoding CCD4c were similar regardless of the  
410 presence of a host, whereas those encoding CCD4b were present at much higher levels  
411 in azafrin-producing roots (Fig. 5). Together, these results suggest that CCD4b might be  
412 the main enzyme participating in the production of azafrin.

413 C<sub>27</sub> 10'-apo- $\beta$ -carotenal is the substrate of CCD8 enzymes in the next step of the SL  
414 biosynthesis pathway (Fig. 2a). *Escobedia* and *Centranthera* genes encoding homologs  
415 for CCD8 were found to be expressed at much lower levels than the one for D27 in  
416 roots (Fig. 2a). Based on these data, we hypothesise that the pathway for producing  
417 strigolactones (via CCD8) is not very active in azafrin-producing *Escobedia* and  
418 *Centranthera* roots. This might not be a general trend in parasitic plants. For example,  
419 the tubercle of the holoparasitic plant *Phelipanche aegyptiaca* showed a high expression  
420 of D27, CCD7, and CCD8 when parasitizing the host roots (Emran *et al.*, 2020).  
421 However, mycorrhizal plants that produce high levels of apocarotenoids reduce their

422 production and secretion of SL, likely because abundant apocarotenoid production in  
423 AM-colonized roots might generate a metabolic sink and successfully compete for SL  
424 precursors (Walter *et al.*, 2010). Similarly, the presumably low flux towards SL in  
425 azafrin-producing *Escobedia* and *Centranthera* roots might be related to the  
426 requirement of very high levels of common precursors to support the massive  
427 production of azafrin in the roots of these hemiparasitic plants. Strikingly, we were  
428 unable to detect any carotenoid species in *Escobedia* roots, suggesting that the  
429 carotenoid pathway in this organ is mainly directed to provide substrates for  
430 apocarotenoid production, and no intermediates are accumulated. Similarly, no  
431 detectable amounts of carotenoids were found in AM roots of all plants investigated  
432 despite the required high flux through the carotenoid pathway (Fester *et al.*, 2002).

433

434 *Azafrin accumulates in the apoplast of the Escobedia root cortex.*

435 An anatomical analysis was next performed to investigate where azafrin accumulated in  
436 the roots of *Escobedia* plants collected in the wild. The light microscopy visualization  
437 of the internal structure of the root revealed that the orange pigment corresponding to  
438 azafrin was not located in plastids (the site where all plant carotenoids are made) or in  
439 the cell cytosol (where the synthesis of many apocarotenoids is completed) but  
440 accumulated in the intercellular spaces (i.e., apoplast) of the root cortex (Fig. 6a).

441 Confocal laser scanning microscopy analyses based on the autofluorescence produced  
442 by the c.b.d. system present in the polyene chain of carotenoids and apocarotenoids of  
443 sufficient length such as C<sub>27</sub> azafrin (D'Andrea *et al.*, 2014) confirmed that the orange  
444 pigmentation detected by light microscopy was due to the presence of azafrin as both  
445 autofluorescence and color signals overlapped (Fig. 6b; Supporting Information Fig.  
446 S2). Light and confocal microscopy of carrot (*Daucus carota*) roots also showed an  
447 overlap of color and autofluorescence signals, but in this case they were both detected  
448 inside plastids (i.e., chromoplasts) as they correspond to carotenes ( $\beta$ -carotene and, to a  
449 lower extent,  $\alpha$ -carotene) instead of their cleavage products (Supporting Information  
450 Fig. S2). By contrast, azafrin was virtually absent from the large starch-filled plastids  
451 (i.e., amyloplasts) present in *Escobedia* roots (Fig. 6; Supporting Information Fig. S2).

452 Carotenoids are synthesized and accumulated in different plastid types, including  
453 amyloplasts (Horner *et al.*, 2007; Sun *et al.*, 2018; Rodriguez-Concepcion *et al.*, 2018).  
454 Studies of apocarotenoid formation in mycorrhizal roots have led to conclude that the

455 C<sub>27</sub> products of CCD4 or/and CCD7 activities are exported from the plastid and used in  
456 the cytosol as substrates of CCD1 enzymes that convert them into downstream products  
457 such as C<sub>13</sub> (colorless) blumenols and C<sub>14</sub> (yellow) mycorrhadins (Walter *et al.*, 2010;  
458 Fiorilli *et al.*, 2019; Moreno *et al.*, 2021). Similarly, the potential C<sub>27</sub> product of CCD4b  
459 activity in the amyloplasts of *Escobedia* roots might be exported from the plastids to the  
460 cytosol. Accumulation of C<sub>27</sub> apocarotenoids is uncommon in nature, probably because  
461 CCD1 enzymes normally degrade them (Floss *et al.*, 2008; Walter *et al.*, 2010). The low  
462 expression level of the only gene encoding CCD1 in *Escobedia* roots (Fig. 2a) suggests  
463 that most of this C<sub>27</sub> intermediate might remain available for other cytosolic enzymes to  
464 transform it into downstream products. The differences between azafrin and 10'-apo-β-  
465 carotenal are one terminal carboxyl group and two hydroxyl groups in the cyclohexane  
466 skeleton (Zhang *et al.*, 2019). The activity of cytosolic aldehyde dehydrogenase and  
467 cytochrome P450 monooxygenase enzymes could transform the aldehyde group of 10'-  
468 apo-β-carotenal into carboxylic acid and insert oxygen atoms, respectively, eventually  
469 improving hydrophilicity (Fig. 2a). Water-soluble azafrin might then be released from  
470 the root cells and accumulate in the apoplast. Based on the putative role reported for the  
471 accumulation of colored apocarotenoids in AM-inoculated roots, it is possible that  
472 azafrin might participate in the interaction with the rhizosphere, e.g. by providing  
473 protection from oxidative damage caused by biotic or abiotic stresses (Strack & Fester,  
474 2006).

475

476 *Evidence for a possible role for azafrin in the parasitization process.*

477 Following germination, the root of *Escobedia* is colourless and seedlings grow very  
478 slowly until a host is parasitized, which involves both the formation of specialized  
479 organs (haustoria) to penetrate the host root and the pigmentation of the root, i.e. the  
480 accumulation of azafrin (Cardona & Muriel, 2015; Cardona-Medina *et al.*, 2019). The  
481 haustorium is an organ characteristic of parasitic plants that have evolved in multiple  
482 independent angiosperms. It contains structures for mechanical attachment to the host  
483 root and vascular connections that involve the differentiation of various specialized cell  
484 types (Teixeira-Costa, 2021). A detailed exploration of this structure in *Escobedia*  
485 showed numerous tubular haustorial hairs associated with the orange swelling periphery  
486 (Supporting Information Fig. S3). These hairs participate in securing the haustorium to  
487 the epidermis of the host root and facilitate the contact and penetration into host tissues

488 (Heide-Jorgensen & Kuijt, 1995; Cui *et al.*, 2016). The haustorial opening, i.e., the area  
489 that makes contact with the host root, was observed in the haustorium apex (Supporting  
490 Information Fig. S3a). Longitudinal sections of the *Escobedia* haustorium attached to  
491 host roots revealed a complex internal structure, presenting four recognizable regions  
492 (Supporting Information Fig. S3b): haustorial base, vascular tissue, hyaline body, and  
493 intrusive cells (endophyte). The haustorial base connects the parasitic root with the  
494 haustorium, morphologically similar to root tissue. The vascular tissue comprised of  
495 provascular cells and tracheary elements (haustorium xylem) is arranged  
496 perpendicularly to the haustorial base and towards the host root xylem. Intrusive cells of  
497 the haustorium penetrate and advance inside the host root, constituting the endophyte.  
498 Tracheary elements of the haustorium were observed inside the host's metaxylem,  
499 indicating parasitism success in the host root (Supporting Information Fig. S3b).  
500 Interestingly, we noticed that every haustorium attached to host roots contained orange  
501 pigment depositions corresponding to azafrin in the region directly contacting the host  
502 root interface (Fig. 7; Supporting Information Fig. S3b).

503 The reason why azafrin accumulates in the haustorium-host root interface is still  
504 unknown. We propose that azafrin might inhibit the host defence responses during the  
505 penetration of the haustorium inside the roots. Haustorium penetration in host roots  
506 involves enzymatic secretion and mechanical pressure (by haustorial hairs and cellular  
507 division) that degrade and disrupt the host cells walls (Heide-Jorgensen & Kuijt, 1995;  
508 Hood *et al.*, 1998; Losner-Goshen *et al.*, 1998). This process causes a wound in the host  
509 root that allows the entry of haustorium intrusive cells into the host vascular system.  
510 Host roots quickly respond to the wound by activating the production of reactive  
511 oxygen species (ROS), which can activate programmed cell death (PCD) and trigger  
512 plant defence responses (Minibayeva *et al.*, 2009; Tripathy & Oelmüller, 2012),  
513 including the generation of phenolic compounds and callose deposition, induction of  
514 immunity-related genes, and deposition of lignin and suberin to avoid the advance of  
515 parasitization (Hiraga *et al.*, 2001; Minibayeva *et al.*, 2009; Saucet & Shirasu, 2016).  
516 Evidence of necrosis involving ROS was found in resistant hosts during unsuccessful  
517 penetration by the haustorium of *Orobanche cumana* (Letousey *et al.*, 2007). Thus, the  
518 presence of azafrin in the haustorium might inhibit host PCD and defense responses by  
519 eliminating extracellular ROS as a strategy to facilitate parasitization (Mor *et al.*, 2008).  
520 Inactivation of defence responses has also been observed in biotrophic pathogens during



521 the parasitism of host plants, due to its need to proliferate in living host cells (Siddique  
522 *et al.*, 2014). Likewise, endophytic fungi can produce antioxidants to circumvent  
523 damage by ROS during beneficial interaction with the host plant (Hamilton *et al.*,  
524 2012). Together, we speculate that azafrin accumulation in the haustorium-host root  
525 interface might also play an antioxidant role to counteract the ROS-related host defence  
526 responses, hence allowing the parasitism to succeed. Further work should  
527 experimentally address this and other unanswered questions about the role of azafrin  
528 and other apocarotenoids in the parasitization strategy of *Escobedia*. The information  
529 would be vital to eventually achieve the domestication and cultivation and hence  
530 exploitation of this important medicinal plant.

531

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550

551

## 552 **Author Contributions**

553 ECM, RON and MRC designed the research; ECM collected plant material and  
554 performed the experiments; ECM and DHM carried out azafrin analysis; AP, JTM and  
555 DW conducted all bioinformatic analyses; ECM, MS, RON, MRC performed  
556 microscopical analyses; ECM and MRC wrote the paper. All authors contributed to  
557 interpretations and revisions of the manuscripts.

558

## 559 **Data availability**

560 RNA-Seq original sequence data can be found in the Sequence Read Archive (SRA)  
561 database of the NCBI under the Bioproject accession PRJNA798758.

562

## 563 **Supporting Information**

564 **Methods S1.** Azafrin detection and quantification.

565 **Methods S2.** Transcriptome analysis and functional annotation

566 **Methods S3.** RT-qPCR.

567 **Methods S4.** Structural and anatomical analyses.

568 **Fig. S1** *De novo* transcriptome assembly from *Escobedia* roots.

569 **Fig. S2.** Carotenoid and azafrin distribution in carrot and *Escobedia* roots.

570 **Fig S3.** Overview of the *Escobedia* haustorium.

571 **Data S1.** Nucleotide sequences of *Escobedia* PSY and CCD homologs.

572 **Table S1.** Primers used for RT-qPCR.

573 **Table S2.** *De novo* transcriptome assembly using Trinity.

574 **Table S3.** Accessions and FPKM values of *Escobedia* and *Centranthera* transcripts  
575 involved in azafrin biosynthesis.

576 **Table S4.** PSY and CCD accessions used in phylogenetic analyses.

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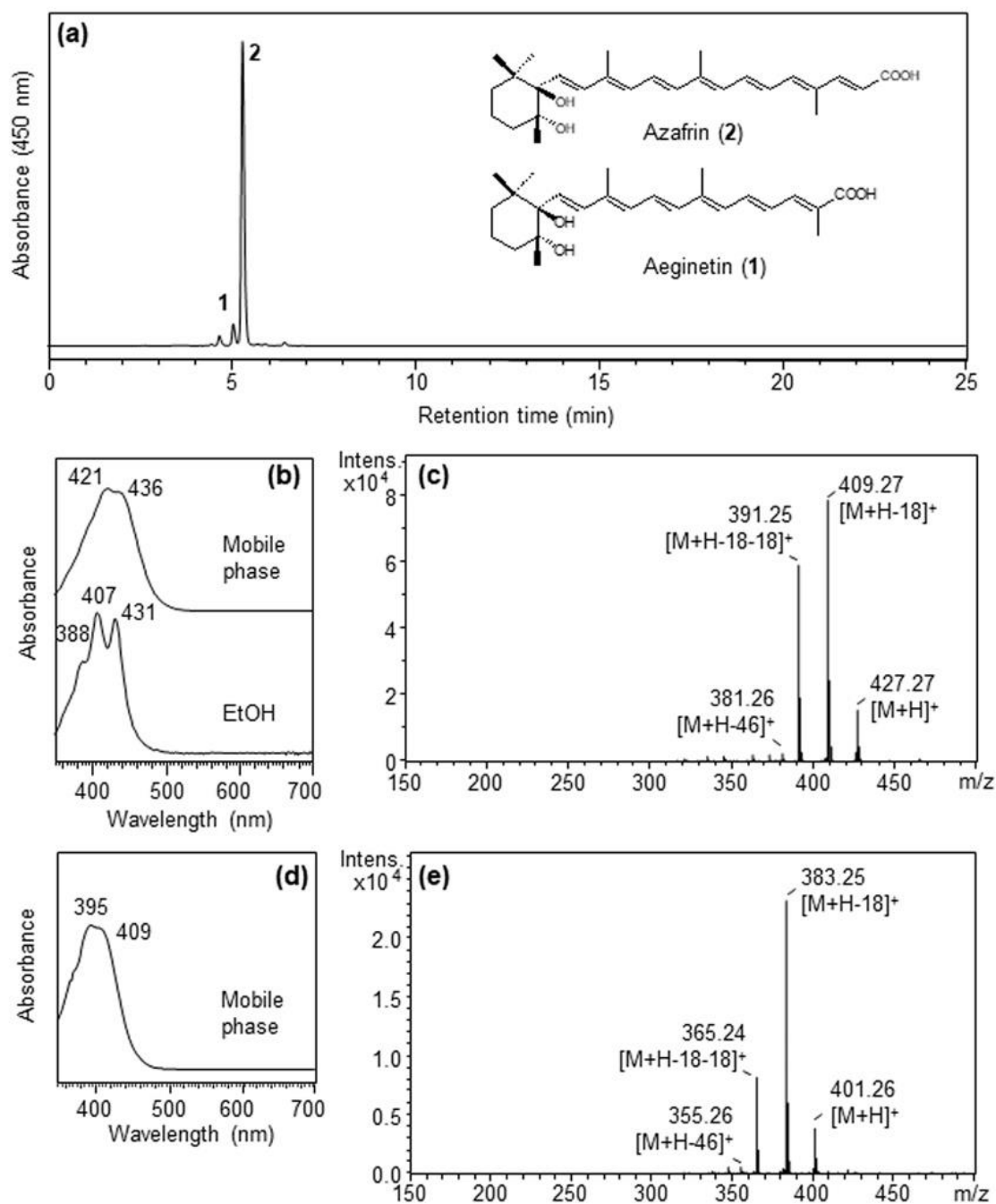
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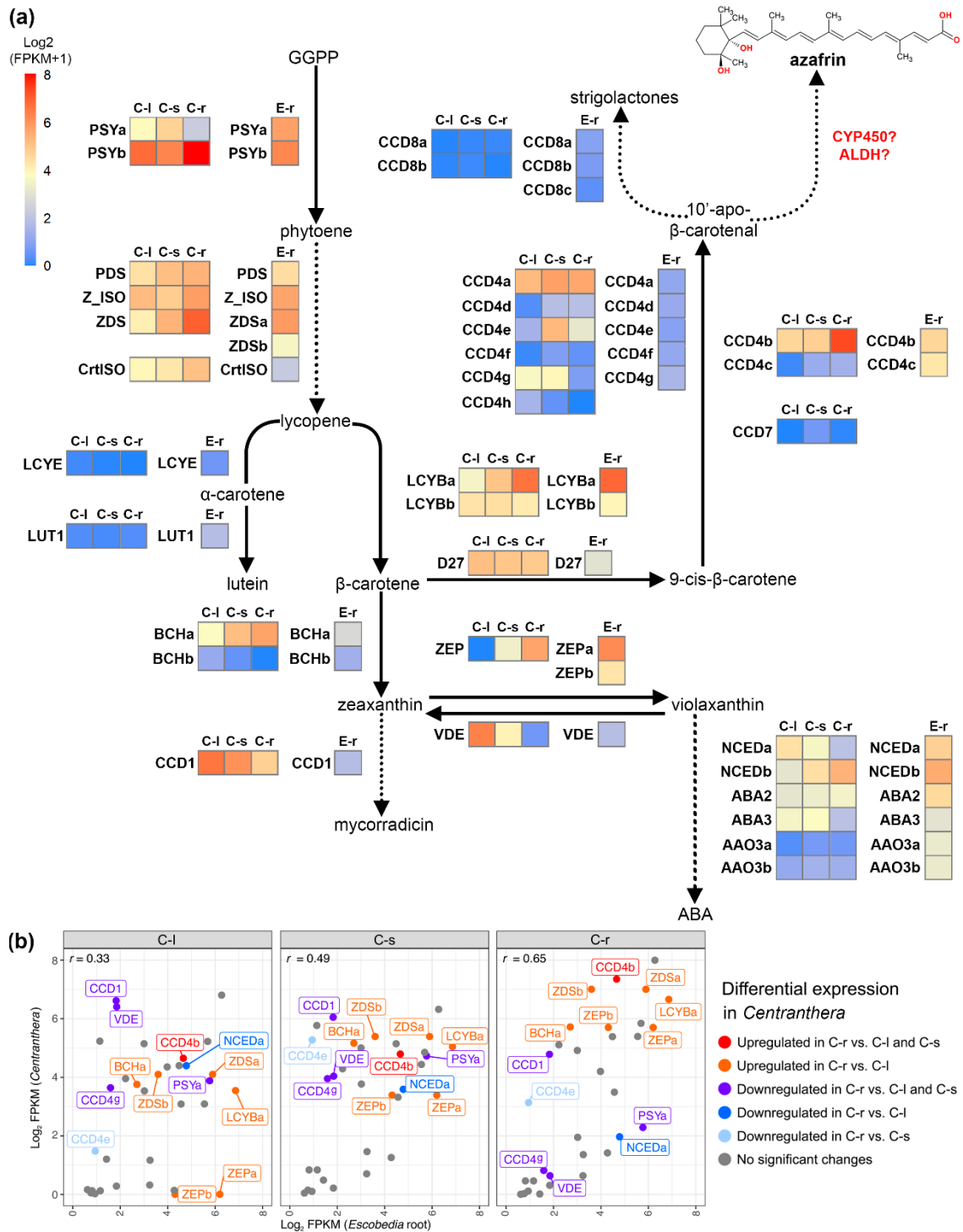
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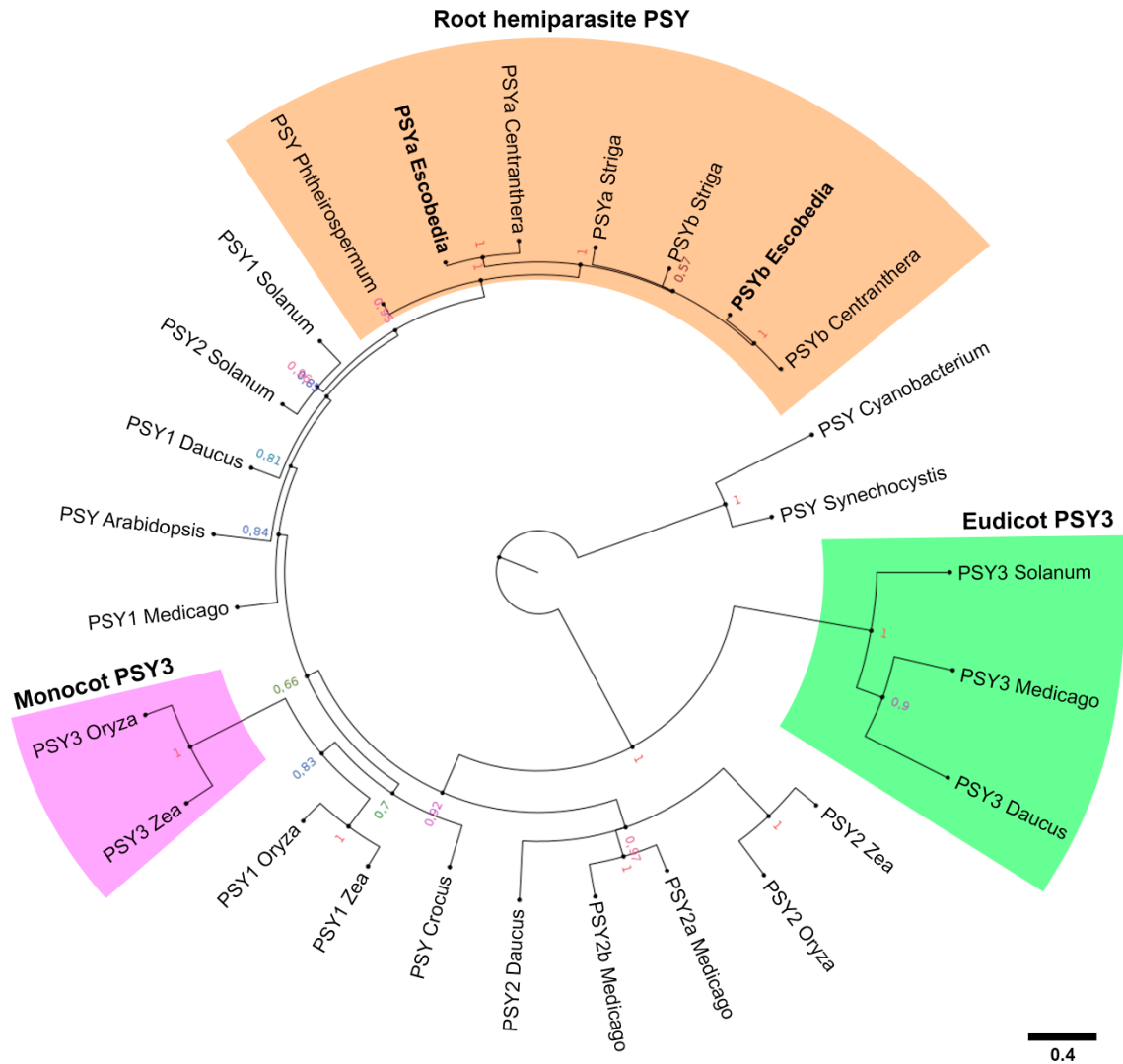
## Figures



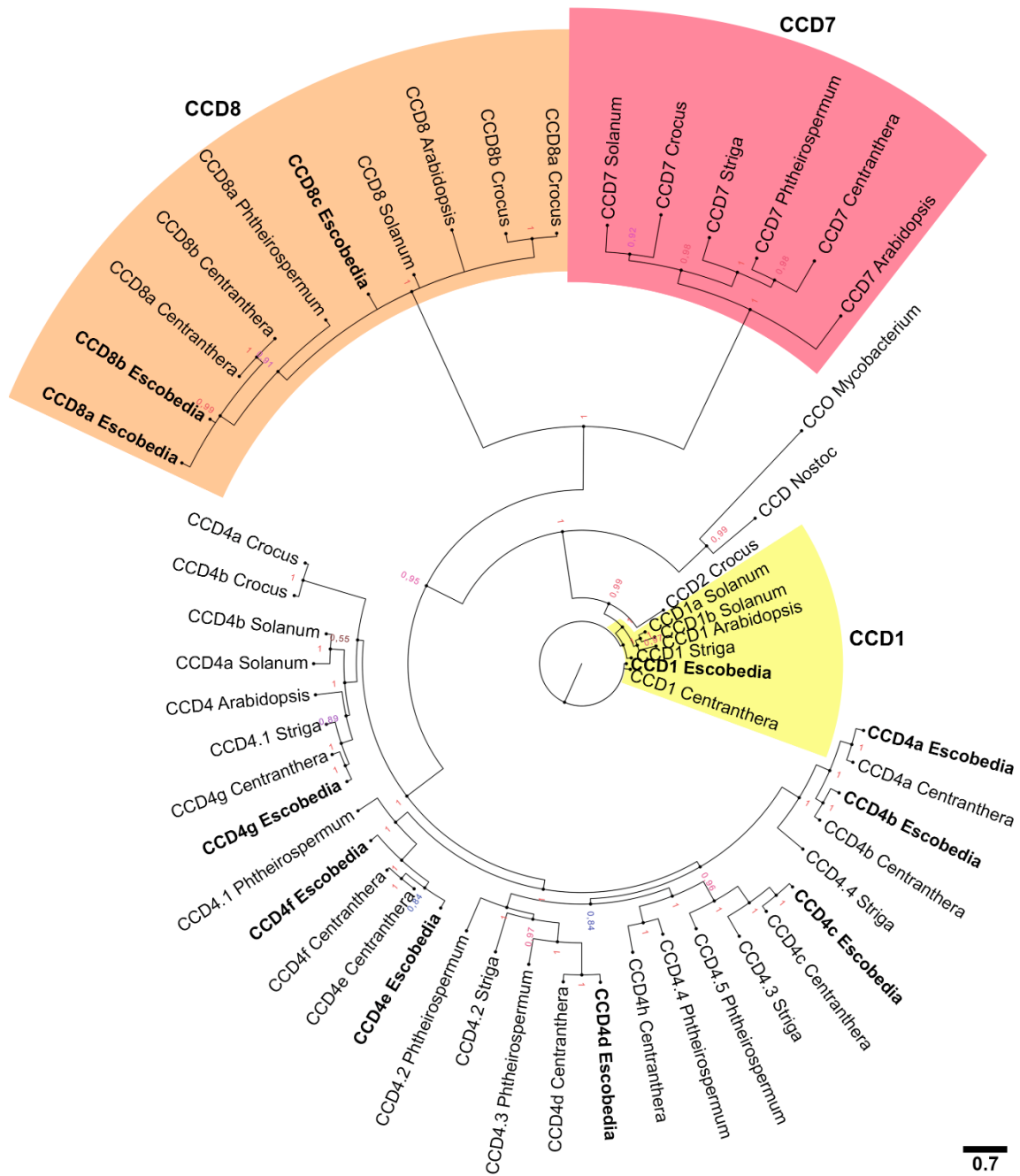
**Fig. 1.** *Escobedia* roots accumulate azafrin and lower levels of aeginetin. (a) HPLC-DAD chromatogram of *Escobedia* root pigment extract at 450 nm; (b) UV/Vis spectra of the major peak (2; azafrin) in the mobile phase and after isolation in ethanol; (c) mass spectrum of peak 2; (d) UV/Vis spectra of the minor peak (1; aeginetin) in the mobile phase; (e) mass spectrum of peak 1.



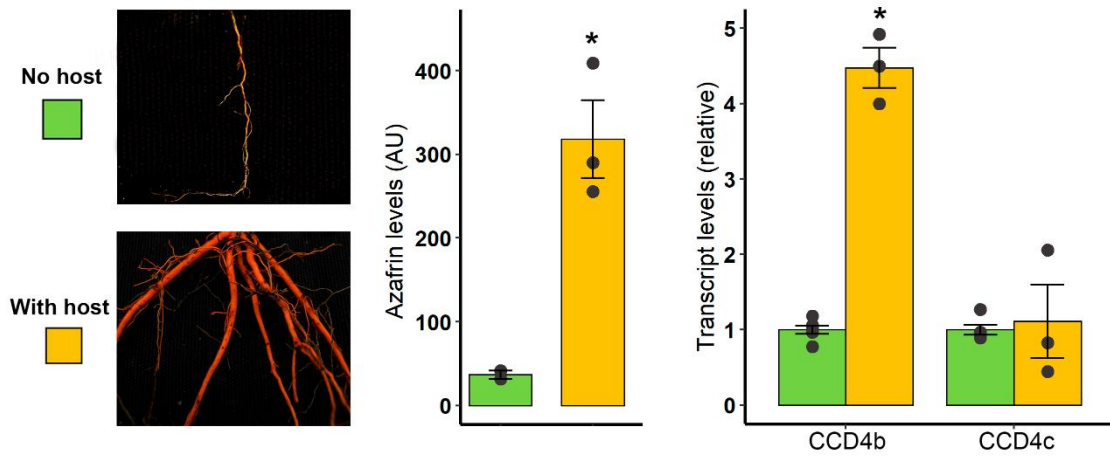
**Fig. 2.** Candidate genes of the azafrin biosynthetic pathway in *Escobedia* and *Centranthera*. **(a)** Proposed pathway and abundance of enzyme-encoding transcripts in *Escobedia* roots (E-r) and *Centranthera* leaf (C-l), stem (C-s) and root (C-r) tissues. Dotted lines represent multiple steps. Colours represent transcript abundance based on RNA-seq analyses (acronyms and FPKM values are listed in Supporting Information Table S3). Transcripts for the enzymes indicated in red were not identified in the root transcriptome. **(b)** Spearman correlation of gene expression values between *Escobedia* roots and the indicated *Centranthera* tissues. Scale corresponds to  $\log_2$  transformed values (FPKM+1). Data for *Centranthera* were retrieved from Zhang et al. (2019) and reanalysed.



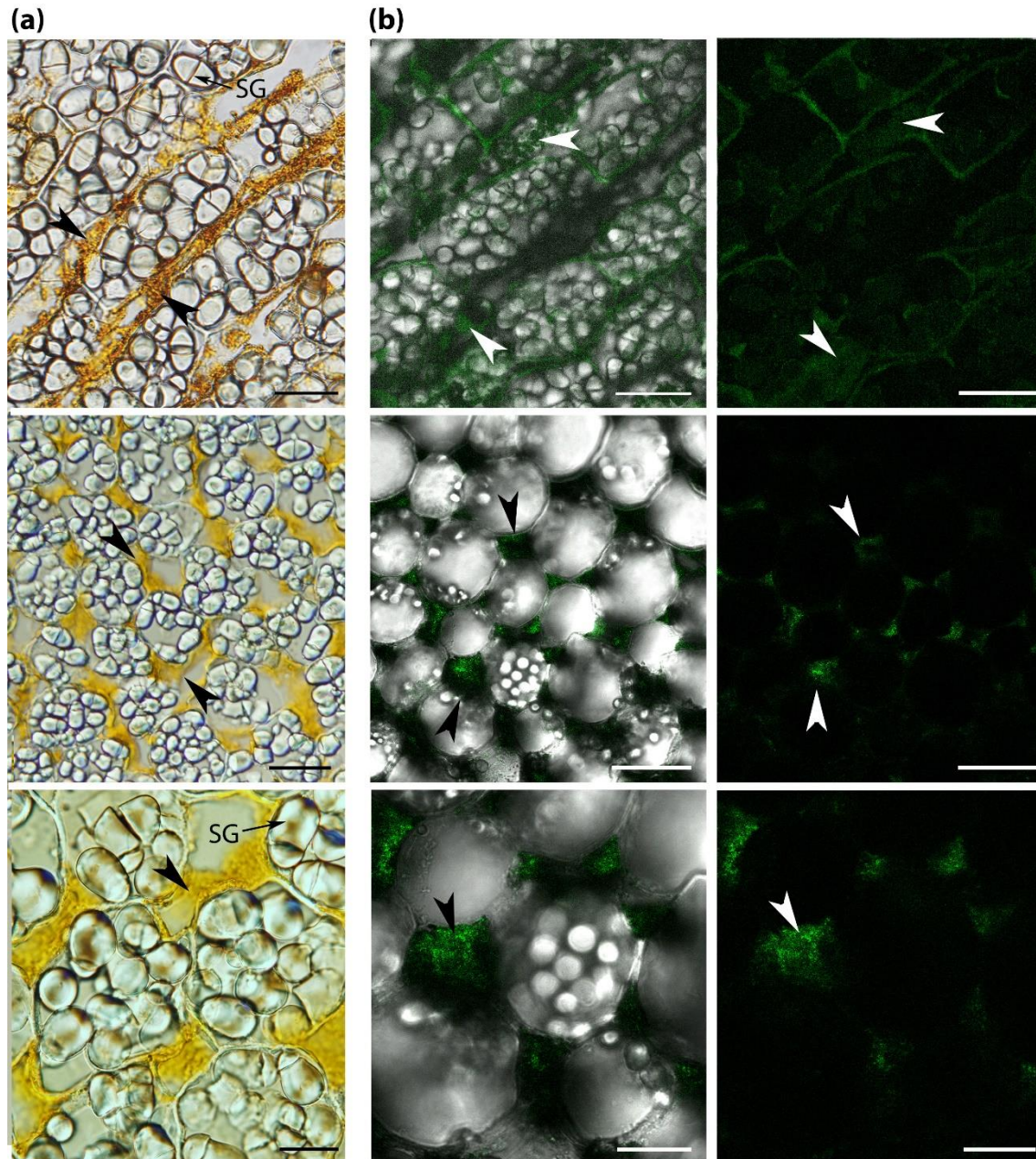
**Fig. 3.** Phylogenetic analysis of the phytoene synthase (PSY) family in several plants. The computed Bayesian tree includes twenty-five angiosperm species and two bacteria as outgroups. The total number of generations is 200,000. The average standard deviation of split sequences is 0.019. Distinctly separate clades are indicated with colours. *Escobedia* sequences are in bold. Gene accessions are listed in Supporting Information Table S4.



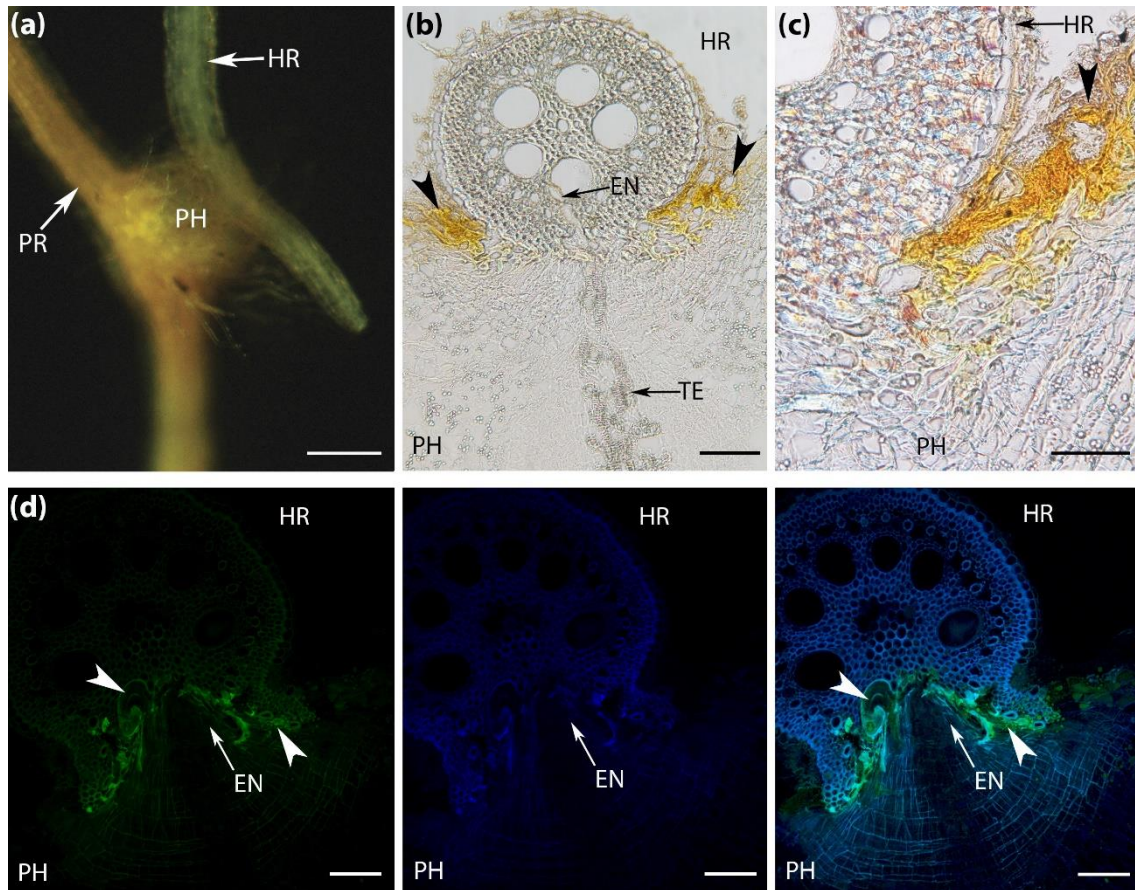
**Fig. 4.** Phylogenetic analysis of the carotenoid cleavage dioxygenase (CCD) family in several plants. The computed Bayesian tree includes fifty-one sequences from seven angiosperms and two bacteria as outgroups. The total number of generations is 185,000. The average standard deviation of split sequences is 0.02. Distinctively separate clades are indicated with colours. *Escobedia* are in bold. *Escobedia grandiflora* sequences are in bold text. Asterisks indicate incomplete amino acid sequences. Gene accessions are listed in Supporting Information Table S4.



**Fig. 5.** Levels of azafrin and transcripts encoding CCD4 homologs in roots of *Escobedia* plants grown with (orange) and without a host (green). Asterisks represent significant differences by Tukey test ( $P < 0.05$ ). Error bars represent standard error of the means ( $n=3$ ).



**Fig. 6.** Azafrin accumulates in the apoplastic space of the *Escobedia* root cortex. **(a)** Micrographs under light microscopy show azafrin as an orange pigment in the intercellular spaces (apoplast) of the cortex (arrowheads). **(b)** Micrographs under confocal microscopy show azafrin as green autofluorescence (arrowheads). Fluorescence images (right panels) are shown next to the corresponding merged micrographs of fluorescence and bright field images of the same field. Images show representative images of root longitudinal sections (upper panels) and cross-sections (central and lower panels). SG, starch grains. Scale bars: 50  $\mu\text{m}$  (upper and central panels), 20  $\mu\text{m}$  (lower panels).



**Fig. 7.** Azafrin accumulates in the haustorium-host root interphase. **(a)** External view of *Escobedia* haustorium attached to a host (*Pennisetum purpureum*) root. **(b)** Representative light microscopy picture of the haustorium-host root interface showing an accumulation of the orange pigment azafrin (arrowhead). **(c)** Detail of azafrin pigment accumulation in the haustorium-host root interface (arrowhead). **(d)** Representative confocal microscopy images showing the localization of azafrin-associated fluorescence in the haustorium-host root interface. From left to right: green autofluorescence corresponding to azafrin (arrowhead); blue autofluorescence corresponding to cell walls; and merged green and blue autofluorescence. HR, host root; EN, endophyte; PH, parasite haustorium, PR, parasite root; TE, tracheary elements. Scale bars: 200  $\mu\text{m}$  (a), 100  $\mu\text{m}$  (b, d), 50  $\mu\text{m}$  (c).