1 Ventral motor thalamic input to prelimbic cortex, but not to striatum, mediates cost-

2 benefit decision-making in rats

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7 Abstract

8 Both prelimbic corticostriatal neurons and striatal fast-spiking interneurons contribute to 9 decisions that require a trade-off between cost and benefit. We investigated whether ventral 10 motor thalamic input to prelimbic cortex or striatum contributes to cost-benefit decision-11 making. Optogenetic inhibition of ventral motor thalamic axon terminals in prelimbic cortex 12 biased rats towards a high cost-high benefit option and, in anesthetized rats, decreased 13 neuronal activity in deep layers of prelimbic cortex. Stimulation of ventral motor thalamic 14 nuclei induced a neuronal response in deep layers of prelimbic cortex and simultaneous 15 optogenetic inhibition of layer 1 inhibitory interneurons similarly decreased neuronal activity. 16 Chemogenetic inhibition of striatal-projecting ventral motor thalamic neurons did not affect 17 cost-benefit decision-making. Our results indicate that ventral motor thalamic input to prelimbic cortex, but not striatum, mediates cost-benefit decision-making, probably by 18 19 regulating prelimbic corticostriatal neuron activity directly as well as indirectly through a 20 network of cortical inhibitory interneurons.

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Keywords: ventral motor thalamus; prelimbic cortex; striatum; cost-benefit decision-making;
 approach-avoidance; layer 1 inhibitory interneurons; corticostriatal neurons; optogenetics

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26 Introduction

27 Prefrontal cortical areas are involved in a variety of decisions that require a trade-off: 28 Anterior cingulate cortex regulates the willingness to expend physical (Walton et al., 2003) or 29 mental effort to receive a larger reward (Hosking et al., 2014), orbitofrontal cortex is 30 necessary in risk- and delay-based decision-making (Mobini et al., 2002), dorsomedial 31 prefrontal corticostriatal neurons encode approach-avoidance behavior (Loewke et al., 2021), 32 and prelimbic corticostriatal neurons mediate the trade-off between a more costly, more 33 beneficial and a less costly, less beneficial option (Friedman et al., 2015). Recent studies 34 have highlighted the importance of rodent ventral motor thalamic nuclei (ventromedial, 35 ventral anterior and ventrolateral thalamic nucleus; MT) in tasks that require animals to choose between two options (Catanese and Jaeger, 2021; Gaidica et al., 2018; Guo et al., 36 37 2017). In rodents, MT sends dense projections to prelimbic cortex (Arbuthnott et al., 1990; 38 Herkenham, 1979) and some MT neurons send collaterals to striatum (Elena Erro et al., 2002; 39 Kuramoto et al., 2009), raising the question whether MT input to prelimbic cortex or striatum 40 is involved in decisions that require a trade-off.

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42 A previous study has shown that optogenetic inhibition of prelimbic corticostriatal neurons 43 biased rats towards a more costly, more beneficial option (Friedman et al., 2015). In contrast, 44 the same perturbation did not affect choices made between a high and low benefit, or a high 45 and low cost option (Friedman et al., 2015). We and others previously showed that MT projections to prelimbic cortex preferentially contact corticostriatal pyramidal neurons 46 47 (Arbuthnott et al., 1990; Collins et al., 2018; Sieveritz and Arbuthnott, 2020). Hence, our 48 first experiment investigated whether MT input to prelimbic cortex is necessary in cost-49 benefit decision-making. We demonstrated that optogenetic inhibition of MT axon terminals 50 in prelimbic cortex biased rats towards a high cost-high benefit option.

51 (Friedman et al., 2015) further showed that optogenetic inhibition of prelimbic corticostriatal 52 neurons in cost-benefit decision-making also disinhibited striatal fast-spiking interneurons. 53 Involvement of striatum in cost-benefit decision-making was also confirmed in macaques 54 (Amemori et al., 2020). Like prelimbic cortex, striatum receives input from MT. Some MT 55 neurons that project to cortex send collaterals to striatum (Elena Erro et al., 2002; Kuramoto 56 et al., 2009). We will refer to them as MT striatal-projecting neurons. Striatal-projecting 57 neurons that originate in MT and other midline/intralaminar thalamic nuclei target striatal 58 inhibitory interneurons (Johansson and Silberberg, 2020; Klug et al., 2018; Sidibé and Smith, 59 1999; Smith et al., 2004) and striatal fast-spiking interneurons (Johansson and Silberberg, 60 2020; Klug et al., 2018; Nakano et al., 2018); the very same striatal interneurons that are disinhibited by optogenetic inhibition of prelimbic corticostriatal neurons in cost-benefit 61 62 decision-making (Friedman et al., 2015). Hence, our second experiment investigated whether 63 MT striatal-projecting neurons are necessary in cost-benefit decision-making.

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65 Our third and fourth experiment investigated a potential mechanism to explain how optogenetic inhibition of MT input to prelimbic cortex might induce the observed bias in 66 cost-benefit decision-making. MT provides input to prelimbic pyramidal neurons and 67 68 prelimbic layer 1 inhibitory interneurons (Collins et al., 2018; Sieveritz and Arbuthnott, 69 2020). Layer 1 inhibitory interneurons, in turn, inhibit a network of cortical inhibitory 70 interneurons (Cruikshank et al., 2012), which regulates the activity of deep layer pyramidal 71 neurons (Jiang et al., 2013; Lee et al., 2015). Given our results we propose that MT input to 72 both prelimbic pyramidal neurons and prelimbic layer 1 inhibitory interneurons is necessary 73 to regulate the activity of corticostriatal pyramidal neurons in prelimbic cortex. We further 74 propose that inhibition of MT input reduces the activity of these corticostriatal pyramidal 75 neurons and induces the observed behavioral bias.

76 **Results**

77 We trained 4- to 7-week-old male Sprague-Dawley rats on a benefit-benefit, cost-cost and 78 cost-benefit decision-making task. Rats were presented with two retractable levers and each 79 lever was associated with a specific combination of benefit and cost (Figure 1A). On the 80 benefit-benefit decision-making task one lever was associated with a high benefit paired with 81 a low cost, and the other lever was associated with a low benefit paired with a low cost. On 82 the cost-cost decision-making task one lever was associated with a high cost paired with a 83 high benefit, while the other lever was associated with a low cost paired with a high benefit. 84 On the cost-benefit decision-making task one lever was associated with a high cost paired 85 with a high benefit, while the other lever was associated with a low cost paired with a low benefit. The high benefit in all tasks was 0.1 mL of sweetened condensed milk diluted at 20% 86 87 and the low benefit was 0.1 mL of sweetened condensed milk that had been further diluted 88 than the high reward (1-19%) or tap water. The high cost was a bright light presented at 1.75 kLx for 10 secs, and the low cost was a dim light presented at 1.0 lx for 10 secs. The first 89 90 three days of behavioral training on the cost-benefit decision-making task were used to adjust 91 the dilution of the sweetened condensed milk delivered as the low benefit, so that rats would 92 choose both the high cost-high benefit and the low cost-low benefit option in about 50% of the trials. 93

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95 Optogenetic inhibition of MT input to prelimbic cortex biased rats towards a high cost-high
96 benefit option

At 9 weeks of age, twenty-two rats that had learned all three decision-making tasks received
unilateral virus injections into MT. We injected 50-70 nl of either an adeno-associated virus
expressing archaerhodopsin (AAV5-CAG-ArchT-GFP, n=10; ArchT rats) or a control virus
(AAV5-CAG-GFP, n=12; controls). In addition, we implanted a short optical fiber attached

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to a 590 nm light emitting diode (LED fiber optic) through the contralateral hemisphere into
ipsilateral prelimbic cortical layer 1 (Figure 1B). We confirmed that virus injections were
primarily confined to MT (Figure 1C). Tips of LED fiber optics were located in prelimbic
cortical layer 1 in close proximity to virus-expressing MT axon terminals (Figure 1C).

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After a 12- to 16-day-long recovery period, we assessed rats' choice behavior. For each of the 106 107 three decision-making tasks, rats were presented with 40 trials per day on 3 consecutive days. 108 We compared rats' choice behavior without (light OFF) and with delivery of the 590 nm light 109 (light ON). In ArchT rats, delivery of the 590 nm light induced optogenetic inhibition of 110 virus-expressing MT axon terminals in prelimbic cortical layer 1. On each day, the first 20 111 trials were light OFF trials, while the last 20 trials were light ON trials. Optogenetic 112 inhibition of MT axon terminals in prelimbic cortical layer 1 on the cost-benefit decision-113 making task biased rats towards the high cost-high benefit option (Figure 1D). In contrast, 114 choice behavior on the benefit-benefit and cost-cost decision-making tasks was not affected 115 (Figure 1E and 1F). To compare the percentage of high cost-high benefit choices on the cost-116 benefit decision-making task, we performed a mixed-design ANOVA with the injected virus 117 as between animal factor and light ON/OFF as within animal factor. We observed a significant interaction effect between the injected virus and light ON/OFF (p=0.031, Cohen's 118 119 F=0.517, df=1). and a main effect for light ON/OFF (p=0.005, Cohen's F=0.707, df=1). We 120 performed post-hoc testing using multiple t-tests and applied a Bonferroni correction to 121 account for multiple comparisons (adjusted significance level=0.0028). In light ON trials, we 122 observed a significant increase in the percentage of high cost-high benefit choices for ArchT 123 rats (p=0.00004, r=0.926, df=9), but not for controls (p=0.552, r=0.182, df=11). This 124 indicates that optogenetic inhibition of MT axon terminals in prelimbic layer 1 biased rats' 125 choices towards the high cost-high benefit option (Figure 1D). To determine whether the 126 increase in the percentage of high cost-high benefit choices from light OFF to light ON trials 127 differed significantly between controls and ArchT rats, we used an uncorrected Student's t-128 test. The increase was significantly larger for ArchT rats than for controls (p=0.026, r=0.536, 129 df=20), further confirming that optogenetic inhibition of MT input to prelimbic cortex biased 130 choices towards the high cost-high benefit option.

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Although not significant, we observed a similar trend when data was split by day (Figure 1 figure supplement 1). Given that only 20 light ON and OFF trials were administered on each individual day of behavioral testing, it is not surprising that none of the effects reached significance on any individual day. However, we did observe an increase in high-cost highbenefit choices in ArchT rats in light ON trials on the second day of behavioral testing that approached significance (p=0.0037, r=0.791, df=9; adjusted significance level=0.0028).





Figure 1. Optogenetic inhibition of MT axon terminals in prelimbic cortical layer 1 biases rats towards a high cost-high benefit option A- Illustration of the sequence of events on each trial. B- 50-70 nL of either AAV5-CAG-ArchT-GFP or the control virus AAV5-CAG-GFP were injected unilateral into MT and an LED fiber optic was implanted into ipsilateral

144 prelimbic cortical layer 1. C- Upper illustration: Virus expression (green) was confined to 145 MT, which was counterstained with GAD67 (magenta). Lower illustration: Virus-expressing MT axon terminals (green) and the LED fiber optic tip (yellow arrow) were located in 146 147 prelimbic cortical layer 1. The yellow dashed line marks the approximate span of prelimbic 148 cortical layer 1. Glial fibrillary acid protein, a neuronal marker for gliosis, is marked in 149 magenta. D- Upper illustration: Mean percentage of high cost-high benefit choices +/- the 150 standard error of the mean for controls (blue) and ArchT rats (red). For behavioral training, 151 the mean across the first 20 trials on the last day of training is shown. For behavioral testing, 152 data are separated between light ON and OFF trials. Lower illustration: Mean percentage of 153 high cost-high benefit choices in light ON and OFF trials for each individual control (blue) 154 and ArchT rat (red). E- Mean percentage of high benefit and F- high cost choices +/- the 155 standard error of the mean for controls (blue) and ArchT rats (red).



Figure 1 – figure supplemental 1. Data split by individual behavioral testing days showed similar trends to data averaged across all behavioral testing days. Mean percentage of high cost-high benefit, high benefit or high cost choices +/- standard error of the mean for controls (blue) and ArchT rats (red) in light ON and OFF trials on each individual day of behavioral testing.



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Figure 1 – figure supplemental 2. Data on the benefit-benefit and cost-cost decision-making
task for each individual animal. A- Mean percentage of high benefit and B- high cost choices
for each individual controls (blue) and ArchT rat (red) in light ON and OFF trials.



170 Figure 1 – figure supplemental 3. Rats show a within session retention effect on the benefit-171 benefit and cost-cost decision-making task. We compared the choice behavior on the first and 172 last 20 trials on the last three days of behavioral training and on each day of behavioral 173 testing. Plots show the mean percentage of high benefit, high cost or high cost-high benefit choices +/- the standard error of the mean for controls (blue) and ArchT rats (red) on the first 174 175 or last 20 trials on each day on the last three days of behavioral training and on each day of 176 behavioral testing. For behavioral testing the first 20 trials were light OFF trials, while the 177 last 20 trials were light ON trials. Data on the percentage of high cost-high benefit choices is 178 not presented for the last three days of behavioral training, since the first three days of 179 training were used to titrate the dilution of sweetened condensed milk for each rat. Data that includes the first three training days, which is the case for most rats, does not represent the 180

- 181 actual choice behavior of rats after titration of the sweetened condensed milk dilution. Effects
- 182 sizes are indicated in Appendix 1 Table 1.

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Figure 1 - figure supplement 4. Correlation between individual dilution of sweetened
condensed milk determined for each rat and high cost-high benefit choices for controls (blue)
and ArchT rats (red).



Figure 1 – figure supplemental 5. Percentage of omitted trials and reaction times on nonomitted trials upon optogenetic inhibition of MT axon terminals in prelimbic cortical layer 1. Plots show the mean percentage of omitted trials or reaction times on non-omitted trials +/the standard error of the mean on the cost-benefit, benefit-benefit and cost-cost decisionmaking task for controls (blue) and ArchT rats (red) averaged over the first 20 trials on the last day of behavioral training, in light ON and OFF trials.

197 No changes in choice behavior were observed on the benefit-benefit and cost-cost decision198 making tasks

We observed a main effect of light ON/OFF in the benefit-benefit (p=0.001, Cohen's F=0.903, df=1) and cost-cost decision-making tasks (p=0.014, Cohen's F=0.605, df=1).

However, post-hoc testing (Bonferroni correction applied to account for multiple comparisons; adjusted significance level=0.0028) did not confirm that the percentage of high benefit choices (ArchT: p=0.019, r=0.690, df=9; controls: p=0.016, r=652, df=11; Figure 1E and Figure 1 - figure supplement 2A) or high cost choices (ArchT: p=0.093, r=0.531, df=9; controls: p=0.076, r=0.508, df=11; Figure 1F and Figure 1 - figure supplement 2B) had changed significantly. This indicates that optogenetic inhibition of MT terminals in prelimbic cortex did not alter choice behavior in either of these two tasks.

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209 Given that we used a block design and observed an increase in the percentage of high benefit 210 choices and a decrease in the percentage of high cost choices from the first to the second half 211 of each behavioral training session (Figure 1 -figure supplement 3), it is likely that the main 212 effect of light ON/OFF was caused by a within session retention effect. However, the 213 observed effect might instead have been due to unexpected electrophysiological side effects 214 caused by exposure of the brain tissue to the 590 nm light, which was delivered to prelimbic 215 cortical layer 1 in light ON trials. When we plotted the percentage of high benefit or high cost 216 choices on the first and last 20 trials on the last 3 days of behavioral training (Figure 1 – 217 figure supplement 3), we observed an increase of high benefit and a decrease of high cost 218 choices between the first and last 20 trials on each of the last 3 days of behavioral training 219 (Figure 1 - figure supplement 3). Given that we observed this effect during behavioral 220 training, which happened prior to implantation of the 590 nm LED fiber optics, a within 221 session retention effect is a more likely explanation than a side effect of the 590 nm light. In 222 our experiments, the 590 nm light was delivered at a maximum intensity of 1.2mW and for a 223 maximum of 20 secs in our experiments. In brain slices, delivery of a 532 nm light stimulus 224 at 1 mW for up to 30 secs did not change the firing rate of cortical neurons (Stujenske et al., 225 2015), making it unlikely that light delivery alone increased or decreased neuronal activity.

Moreover, given the numerical aperture and core radius of our optical fibers, a 561 nm light stimulus delivered at 1.2 mW is reduced to as little as 0.14 mW/mm2 at a 1 mm distance from the fiber tip (Deisseroth, 2021), making it even less likely that light delivery caused unexpected side effects in our experiments.

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231 *Effects are not explained by pre-existing differences in choice behavior or caused by surgery* 232 We confirmed that none of the behavioral effects, or the absence thereof, were explained by 233 pre-existing differences in choice behavior. Choice behavior of controls and ArchT rats was 234 comparable before and in light OFF trials after the surgery (p>0.0028; Figure 1D, 1E and 1F; 235 for detailed p-values and effect sizes see Appendix 1 - Table 2). We further confirmed that behavioral effects or the absence thereof were not caused by surgery. No significant changes 236 237 in choice behavior were observed pre- as compared to post-surgery for either controls or 238 ArchT rats (p>0.0028; Figure 1D, 1E and 1F; for detailed p-values and effects sizes see 239 Appendix 1 - Table 2). Lastly, we confirmed that reward sensitivity in light OFF trials as 240 indicated by individually determined sweetened condensed milk dilutions did not correlate 241 with the percentage of high cost-high benefit choices (Figure 1 - figure supplemental 4; 242 ArchT: Kendall's Tau=0.149, p=0.572, df=9; controls: Kendall's Tau=0.095, p=0.675, 243 df=11).

244

245 Optogenetic inhibition of MT axon terminals in prelimbic cortex did not disrupt motor
246 function

Given that we inhibited axon terminals emerging from MT, a brain region known to be heavily involved in motor control, we might have interfered with the rats' motor function. To confirm that motor function was not disrupted, we compared the percentage of omitted trials as well as the average reaction time on non-omitted trials between light ON and OFF trials

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251 within ArchT rats and within controls (Figure 1 -figure supplement 5). Comparing the 252 percentage of omitted trials, we observed a main effect for light ON/OFF on the cost-benefit decision-making task (p=0.035, Cohen's F=0.506, df=1). Comparing the average reaction 253 254 time, we observed an interaction effect (p=0.025, Cohen's F=0.542, df=1) and a main effect for light ON/OFF on the cost-cost (p=0.013, Cohen's F=0.607, df=1) as well as a main effect 255 for light ON/OFF on the benefit-benefit decision-making task (p=0.001, Cohen's F=0.855, 256 257 df=1). However, post-hoc testing with an applied Bonferroni correction for multiple 258 comparisons (adjusted significance level=0.0083) did not confirm any of these effects 259 (p>0.0083, for detailed p-values and effect sizes see Appendix 1 - Table 2). Hence, we 260 concluded that our rats' motor function was not disrupted. Rats were able to move towards 261 the lever and to move at comparable speeds in light ON and OFF trials.

262

263 Chemogenetic inhibition of MT striatal-projecting neurons did not induce a bias on the cost264 benefit decision-making task

265 Midline and intralaminar thalamic nuclei provide input to striatal fast-spiking interneurons (Johansson and Silberberg, 2020; Klug et al., 2018; Nakano et al., 2018); the same neurons 266 267 that show altered activity in cost-benefit decision-making during optogenetic stimulation or 268 inhibition of prelimbic corticostriatal neurons (Friedman et al., 2015). Hence, we explored 269 whether chemogenetic inhibition of MT striatal-projecting neurons also induces a bias in 270 choice behavior on the cost-benefit decision-making task. However, we first verified that MT 271 thalamostriatal projection neurons project to striatal fast-spiking interneurons in striatum. 272 Given that midline and intralaminar thalamostriatal projection neurons also provide input to 273 plateau-depolarization low-threshold spike neurons (PLTS neurons; Sidibé and Smith, 1999; 274 Smith et al., 2004) and cholinergic interneurons (Johansson and Silberberg, 2020; Klug et al.,

275 2018; Lapper and Bolam, 1992), we further tested whether MT striatal-projecting neurons276 also project to these two types of neurons.

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278 To definitively label MT striatal-projecting neurons, we used a method called retro-279 DREADDs (Augur et al., 2016), which allowed us to express an adeno-associated virus tagged with hM4Di and a fluorochrome in specific projection neurons. First, we injected 50-280 281 60 nL of either a Cre-dependent virus tagged with hM4Di and mCherry (AAV5-hSyn-DIO-282 hM4D(Gi)-mCherry, n=13) or a control virus without the hM4Di tag (AAV5-hSyn-DIO-283 mCherry, n=11) into MT. In the same surgery, we injected 300 nL of a retrograde, Cre-284 expressing adeno-associated virus into striatum (AAVrg-pmSyn1-EBFP-Cre; Figure 2A). The approach resulted in specific labeling of MT striatal-projecting neurons (Figure 2B). 285 286 Labeled terminals of these MT neurons in striatum were found in close proximity to fast-287 spiking interneurons stained for parvalbumin (Tepper et al., 2018) (PV), PLTS neurons 288 stained for brain nitric oxide synthase (Tepper et al., 2018) (bNOS) and cholinergic 289 interneurons stained for choline acetyltransferase (Tepper et al., 2018) (CHAT; Figure 2C). 290 Thus, it is likely that MT striatal-projecting neurons provide input to fast-spiking 291 interneurons, PLTS neurons and cholinergic interneurons in striatum.

292

The injected animals, a total of twenty-four male Sprague-Dawley rats, had all successfully learned the three decision-making tasks described above. We tested whether chemogenetic inhibition of MT striatal-projecting neurons biased rats on any of the three decision-making tasks. We compared the choice behavior of rats that had been injected with the hM4Di-tagged virus and expressed hM4Di in MT striatal-projecting neurons (hM4Di rats) with the choice behavior of controls. We compared the choice behavior after an intraperitoneal injection of either D21 agonist or saline. In hM4Di rats, the D21 agonist activates the hM4Di receptors

expressed in MT striatal-projecting neurons, which chemogenetically inhibits the neurons. Incontrols, the D21 agonist does not affect neuronal activity.

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303 For each decision-making task rats were presented with 60 trials per day on two consecutive 304 days. Saline was injected on one of the days and D21 agonist on the other day. To our 305 surprise, inhibition of MT striatal-projecting neurons upon injection of the D21 agonist did 306 not induce behavioral changes in either group of rats (Figure 2D, 2E and 2F). The absence of 307 effects cannot be explained by pre-existing differences in choice behavior between controls 308 and hM4Di rats (Figure 2D, 2E and 2F). Furthermore, the absence of effects was not caused 309 by surgery. The choice behavior within each group of rats was comparable pre- and post-310 surgery (Figure 2D, 2E and 2F).

311

Chemogenetic inhibition did not disrupt motor function. We compared the percentage of omitted trials as well as the average reaction time on non-omitted trials between between D21 agonist and saline trials within hM4Di rats and within controls. An ANOVA showed no main or interaction effects (p>0.05). hM4Di rats were able to move towards the lever after D21 agonist injections and moved towards it at a comparable speed (Figure 2 - figure supplement 1).



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Figure 2. Chemogenetic inhibition of MT striatal-projecting neurons did not induce a bias on the cost-benefit, benefit-benefit or cost-cost decision-making task. A- 50-60 nL of either AAV5-hSyn-DIO-hM4D(Gi)-mCherry or the control virus AAV5-hSyn-DIO-mCherry were injected into MT. In the same surgery, 300 nL AAVrg-pmSyn1-EBFP-Cre were injected into

324 striatum. B- The left illustration shows that virus expression in neurons as classified by the spread of mCherry (magenta) was primarily confined to MT. The illustration on the top 325 326 shows that virus was expressed in MT axons in striatum (magenta). C- MT axons in striatum 327 target striatal cell bodies of PV-, bNOS- and CHAT-expressing interneurons. D- Mean percentage of high cost-high benefit, high benefit or high cost choices +/- standard error of 328 329 the mean of controls (blue) and hM4Di rats (red) across the first 20 trials on the last day of 330 behavioral training, across the 60 trials after saline injection, or across the 60 trials after D21 331 agonist injection (0.1 mg/kg).



Figure 2 - figure supplemental 1. Percentage of omitted trials and reaction times upon
 chemogenetic inhibition of MT striatal-projecting neurons. Plots show the mean percentage

of omitted trials or reaction times on non-omitted trials +/- the standard error of the mean on
the cost-benefit, benefit-benefit and cost-cost decision-making task for controls (blue) and
hM4Di rats (red) averaged over the first 20 trials of the last day of behavioral training, on
saline and on D21 agonist trials.

340

341 Optogenetic inhibition of MT input to prelimbic cortex decreases the activity of deep layer
342 pyramidal neurons

We explored a possible mechanism that explains how MT input to prelimbic cortex regulates 343 344 cost-benefit decision-making. In accordance with our first behavioral experiment, unilateral injections of 50-70 nl AAV5-CAG-ArchT-GFP were placed in MT in four 9- to 11-week-old 345 male Sprague-Dawley rats. After a 12- to 16-day-long recovery period, rats were prepared for 346 347 in vivo recording experiments under isoflurane anesthesia. An optical fiber, which was 348 coupled to a 590 nm LED, was inserted through the contralateral hemisphere and placed in 349 ipsilateral prelimbic cortical layer 1. To stimulate MT, a bipolar stimulating electrode was 350 placed in ipsilateral MT. A glass electrode filled with 3.0M potassium methyl sulfate 351 (KMeSO₄) and goat anti-rat Alexa Fluor 594 (dilution between 1:50 to 1:200) was lowered 352 into deep layers of ipsilateral prelimbic cortex to record extracellular neuronal activity 353 (Figure 3A). Virus injection sites were comparable to the one presented in Figure 1B. Figure 354 3B and 3D illustrate the placement of optical fibers, bipolar stimulating electrodes and glass 355 recording electrodes.

356

While we recorded extracellular neuronal activity from neuronal clusters in deep layers of prelimbic cortex, we stimulated MT nuclei for 0.5 ms at -5 mA to induce a response in the recorded neuronal clusters (light OFF). Figure 3C shows an example of evoked spikes and of recorded spontaneous activity. When MT stimulation evoked a response, we simultaneously 361 delivered 590 nm light to prelimbic cortical layer 1 to optogenetically inhibit MT axon 362 terminals (light ON). Simultaneous optogenetic inhibition of MT input to prelimbic cortex 363 did not completely silence the activity of neuronal clusters in deep layers of prelimbic cortex. 364 However, the average number of extracellular spikes per millisecond observed between 2 and 20 ms after MT stimulation significantly decreased from an average of 0.11 spikes per 365 366 millisecond without optogenetic inhibition to 0.06 spikes per millisecond under optogenetic 367 inhibition (paired t-test, p=6.6e-8, df=38, Figure 3E). Our results indicate that MT input to 368 neurons in deep layers of prelimbic cortex can evoke a response in these neurons. 369 Optogenetic inhibition of MT input decreases the number of induced extracellular spikes. We 370 propose that optogenetic inhibition of MT axon terminals in prelimbic layer 1 reduces the 371 activity of corticostriatal pyramidal neurons in prelimbic cortex and, in turn, causes the 372 observed behavioral bias towards a high cost-high benefit option.

373

Observed spikes are wider after MT stimulation than during segments of spontaneous activity (Figure 3G and 3H) and are widest when MT stimulation is paired with optogenetic inhibition of MT axon terminals in prelimbic cortical layer 1 (Figure 3I). Calcium-dependent spikes in cortex are wider (De La Peña and Geijo-Barrientos, 2000; Pockberger, 1991; Stafstrom et al., 1985), indicating that MT stimulation might induce calcium-dependent spikes. In addition, optogenetic inhibition of MT input to layer 1 seems to further increase the proportion of induced calcium-dependent spikes.

381

Although a previous study reported long-term effects upon sustained optogenetic inhibition of axon terminals such as an increase in spontaneous neurotransmitter release (Mahn et al., 2016), we did not observe any rebound spiking after optogenetic inhibition of MT axon terminals for as long as 20 secs. We never inhibited axon terminals for longer than 20 secs,

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- indicating that the results outlined above were not caused by unexpected side effects from
- 387 optogenetic inhibition (Figure 3F). Overall, our results are in line with previous studies that
- 388 used the same virus (AAV5-CAG-ArchT-GFP) or the same viral construct as serotype 2
- 389 (AAV2-CAG-ArchT-GFP) to inhibit axon terminals, which showed robust behavioral effects
- 390 (Stefanik et al., 2016; Stefanik and Kalivas, 2013) and partly confirmed that the virus is
- 391 suitable for inhibiting axon terminals (Ozawa et al., 2017; Stefanik et al., 2013a, 2013b).



Figure 3. In anesthetized rats, MT stimulation induced a response in deep layer prelimbic
 neurons and optogenetic inhibition of MT input to prelimbic cortex reduced the response. A-

396 MT was injected with 50-70 nL AAV5-CAG-ArchT-GFP. A glass electrode was used to 397 record from neurons in deep layers of prelimbic cortex. MT was stimulated with a bipolar 398 microelectrode. A 590 nm LED was placed in prelimbic cortical layer 1 to optogenetically 399 inhibit MT axon terminals. B- Positioning of bipolar stimulating electrodes in MT. C-400 Neuronal response evoked by MT stimulation (left) and spontaneous activity recorded from 401 one neuronal cluster (right). Extracellular spikes are marked by a small black line above the 402 spike. D- Positioning of optical fiber tips (orange) and glass electrode tracks (green) in 403 prelimbic cortex. E- Peri-stimulus time histograms show extracellular responses from 5 ms 404 prior until 25 ms after MT stimulation in light OFF (blue) or ON trials (red). F- A peri-405 stimulus time histogram illustrates spontaneous neuronal activity in deep layers of prelimbic 406 cortex. The vertical red line marks the time the 590 nm light was turned off. The red dotted 407 lines indicate the mean number of spikes in the 100 ms before and after the light was turned 408 off. G- Histogram of the width of spikes during segments of spontaneous activity, after MT 409 stimulation on H- light OFF trials, or I- light ON trials.

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411 *MT* input to prelimbic layer 1 inhibitory interneurons contributes to the regulation of 412 neuronal activity in deep layers of prelimbic cortex

413 The ventromedial thalamus, one of the nuclei that make up MT, provides input to both 414 prelimbic pyramidal neurons and prelimbic layer 1 inhibitory interneurons (Sieveritz and 415 Arbuthnott, 2020). Hence, we were interested in whether prelimbic layer 1 inhibitory 416 interneurons contribute to MT-mediated regulation of neuronal activity in deep layers of 417 prelimbic cortex. We previously proposed that MT input regulates the activity of prelimbic 418 layer 1 inhibitory interneurons. We further proposed that, in turn, layer 1 inhibitory 419 interneurons regulate a network of cortical inhibitory interneurons, which ultimately regulates 420 the activity of deep layer pyramidal neurons (Sieveritz and Arbuthnott, 2020).

421 To test our prediction, we stimulated MT and simultaneously inhibited layer 1 inhibitory 422 interneurons using optogenetics. In three 9- to 10-week-old Sprague-Dawley rats, we placed 423 small unilateral injections of 10-20 nl AAV5-CAG-ArchT-GFP in prelimbic cortical layer 1. 424 Given that prelimbic cortical layer 1 only contains inhibitory interneurons (Kubota, 2014) 425 and no pyramidal neurons, this resulted in specific expression of AAV5-CAG-ArchT-GFP in 426 prelimbic layer 1 inhibitory interneurons (Figure 4C). We observed no expression of the virus 427 in pyramidal neurons or any other neurons in deeper layers of prelimbic cortex. Similar to the 428 previous experiment, an optical fiber that was coupled to a 590 nm LED was inserted through 429 the contralateral hemisphere and placed in ipsilateral prelimbic cortical layer 1. To stimulate 430 MT, a bipolar stimulating electrode was placed in ipsilateral MT. A glass electrode filled 431 with 3.0M potassium methyl sulfate (KMeSO₄) and goat anti-rat Alexa Fluor 594 (dilution 432 between 1:50 to 1:200) was lowered into deep layers of ipsilateral prelimbic cortex to record 433 extracellular neuronal activity (Figure 4A). Figure 4B and 4D illustrate the placement of 434 optical fibers, bipolar stimulating electrodes and glass recording electrodes.

435

436 As outlined for the previous experiment, we stimulated ipsilateral MT for 0.5 ms at -5 mA to 437 induce responses in neuronal clusters in deep layers of prelimbic cortex (light OFF trials). 438 Figure 4E shows an example of evoked spikes and of recorded spontaneous activity. When 439 MT stimulation evoked a response, we simultaneously delivered 590 nm light to prelimbic 440 cortical layer 1 to induce optogenetic inhibition in layer 1 inhibitory interneurons (light ON 441 trials). Optogenetic inhibition of layer 1 inhibitory interneurons resulted in a decrease of 442 evoked responses (Figure 4F). The average number of extracellular spikes per millisecond 443 observed between 2 and 20 ms after MT stimulation decreased significantly; independent of 444 the strength of MT stimulation (paired t-test; for MT stimulation of -5 mA: p=1.2e-6, df=36; 445 for -3 mA: p=1.2e-5, df=36; for -1 mA: p=0.8e-2, df=36). Overall, our result indicates that

446 prelimbic layer 1 inhibitory interneurons contribute to MT-mediated regulation of deep layer447 neurons in prelimbic cortex.

448

Evoked spikes had comparable widths in segments of spontaneous activity and after MT stimulation (Figure 4G and 4H), but were wider when MT stimulation was paired with optogenetic inhibition of layer 1 inhibitory interneurons (Figure 4I). Given that calciumdependent spikes in cortex are wider (De La Peña and Geijo-Barrientos, 2000; Pockberger, 1991; Stafstrom et al., 1985), inhibition of layer 1 inhibitory interneurons seems to increase the number of MT-induced calcium-dependent spikes. The result further underlines the importance of layer 1 inhibitory interneurons in processing of MT input to prelimbic cortex.



457

Figure 4. In anesthetized rats, optogenetic inhibition of layer 1 inhibitory interneurons in prelimbic cortex reduced extracellular responses to MT stimulation. A- Prelimbic cortical layer 1 was injected with 10-20 nL of AAV5-CAG-ArchT-GFP. A glass electrode was used

461 to record from neurons in deep layers of prelimbic cortex. MT was stimulated with a bipolar 462 microelectrode. A 590 nm LED was placed in prelimbic cortical layer 1 to optogenetically 463 inhibit layer 1 inhibitory interneurons. B- Positioning of bipolar stimulating electrodes in 464 MT. C- The optical fiber tip was located in and virus expression was limited to inhibitory interneurons (green) in prelimbic cortical layer 1. D- Positioning of optical fiber tips (orange) 465 466 and glass electrode tracks (green). E- Neuronal response evoked by MT stimulation (left) and 467 spontaneous activity recorded from the same neuronal cluster (right). Extracellular spikes are marked by a small black line above the spike. F- Peri-stimulus time histograms show 468 469 extracellular responses extracellular responses in light OFF trials from 5 ms prior until 25 ms 470 after MT stimulation at -5 mA (blue), and in light OFF trials after MT stimulation at -5 mA 471 (red), -3 mA (yellow) and -1 mA (pink). G- Histogram of the width of spikes during 472 segments of spontaneous activity, after MT stimulation on H- light OFF trials, or I- light ON 473 trials.

474

475 **Discussion**

476 Optogenetic inhibition of MT axon terminals in prelimbic cortical layer 1 biased rats towards 477 a high cost-high benefit option, indicating that MT input to prelimbic cortex is necessary for 478 cost-benefit decision-making. Rats were still able to accurately discriminate high and low 479 benefit, and high and low cost options, indicating that MT input to prelimbic cortex is not 480 necessary to process benefit or cost. However, it is crucial for trade-off choices that require 481 rats to integrate multiple, conflicting reward values. Such integration of reward values might 482 happen in MT before the outcome of such a computation is transmitted to prelimbic cortex. 483 Alternatively, MT input to prelimbic cortical layer 1 might modulate inputs from other brain 484 regions to prelimbic cortex that drive integration of multiple, conflicting reward values. The 485 latter explanation is supported by findings that MT input to prelimbic cortex is primarily

486 modulatory (Collins et al., 2018). The former explanation highlights a long debate on 487 whether thalamic nuclei only relay information between specific brain areas, or whether they 488 perform computations on incoming streams of information and transmit the outcome of these 489 computations to other brain areas. Research in the sensory domain demonstrated that sensory 490 thalamic nuclei can integrate two incoming streams of signals (Saalmann and Kastner, 2011; 491 Wolff et al., 2021). More recent research on the central thalamus, a region that borders with 492 MT, has further shown that thalamic nuclei concerned with motor control might likewise 493 integrate two streams of incoming signals (Matsuyama and Tanaka, 2021). In our case, MT 494 might integrate benefit and cost before transmitting the outcome to prelimbic cortex. When 495 no integration of the two is required, inhibition of MT input to prelimbic cortex does not 496 affect choice behavior. However, when integration is required, inhibition of MT input to 497 prelimbic cortex biases rats towards a high cost-high benefit option. Independent of which of 498 the two explanations is correct, our results demonstrate that MT input to prelimbic cortex is 499 necessary to integrate multiple, conflicting reward values. We thus extend previous findings 500 in mice that showed MT activity is correlated with action initiation (Gaidica et al., 2018) and 501 reciprocal projections between MT and anterior lateral motor cortex play a role in sensory 502 discrimination (Guo et al., 2017).

503

We uncovered a possible mechanism by which MT input to prelimbic cortex might regulate cost-benefit decision-making. Corticostriatal pyramidal neurons in deep layers of prelimbic cortex are known to be involved in cost-benefit decision-making (Friedman et al., 2015). We demonstrated that optogenetic inhibition of MT input to prelimbic cortex as well as inhibition of prelimbic layer 1 inhibitory interneurons reduced extracellular responses of neurons in deep layers of prelimbic cortex. We previously reported that about 80% of MT axon terminals that project onto prelimbic pyramidal neurons, project onto corticostriatal 511 pyramidal neurons (Sieveritz and Arbuthnott, 2020). Given that MT stimulation evoked a 512 response in the neuronal clusters that we recorded from, it is likely that we recorded from clusters of corticostriatal pyramidal neurons. Taking this into consideration, we propose a 513 514 two-fold mechanism to explain how MT input to prelimbic cortex regulates cost-benefit 515 decision-making: 1) MT input directly regulates the activity of corticostriatal pyramidal 516 neurons; and 2) MT input regulates the activity of layer 1 inhibitory interneurons, which 517 induces feedforward inhibition in a network of cortical inhibitory interneurons. In turn, 518 corticostriatal pyramidal neurons are disinhibited. When layer 1 inhibitory interneurons are 519 inhibited, as was the case in our experiment, corticostriatal pyramidal neurons are no longer 520 disinhibited and their activity is reduced. We further propose that the resulting decrease in 521 corticostriatal pyramidal activity caused the observed bias towards a high cost-high benefit 522 option (Figure 5). We believe that such a mechanism is likely, given that direct optogenetic 523 inhibition of corticostriatal pyramidal neurons similarly induced a bias towards a high cost-524 high benefit option (Friedman et al., 2015).



526

527 Figure 5. Proposed two-fold mechanism. MT input to prelimbic cortex regulates the activity of corticostriatal pyramidal neurons directly as well as indirectly via a network of cortical 528 529 inhibitory interneurons. When layer 1 inhibitory interneurons are optogenetically inhibited, 530 corticostriatal pyramidal neurons are no longer disinhibited and their activity is reduced. 531 When MT axon terminals in prelimbic cortical layer 1 is optogenetically inhibited, the 532 activity of corticostriatal pyramidal neurons is reduced due to missing MT input as well as 533 due to them being inhibited by the network of cortical inhibitory interneurons. This causes the observed behavioral bias towards a high cost-high benefit option. 534

535 MT input might regulate the activity of corticostriatal pyramidal neurons through 536 subthreshold excitation. We used high negative currents (-5 mA) that exceed those observed 537 under physiological conditions, to evoke responses in neuronal clusters in deep layers of 538 prelimbic cortex. In contrast, a previous study showed that optogenetic stimulation of MT 539 axon terminals in prelimbic cortex *in-vitro* does not evoke action potentials in deep layer 540 pyramidal neurons and only subthreshold excitation was observed (Collins et al., 2018). 541 Therefore, MT might gate inputs from other subcortical sources to corticostriatal pyramidal 542 neurons in prelimbic cortex by regulating subthreshold excitation of these neurons. A similar 543 mechanism was observed for the mediodorsal thalamic nucleus, which gates responses in 544 prefrontal cortical neurons that were evoked by stimulation of the hippocampal output tract (Floresco and Grace, 2003). Alternatively, MT might regulate the activity of corticostriatal 545 546 pyramidal neurons by long-term potentiation or depression, a mechanism that has been 547 demonstrated for posterior medial thalamic input to barrel cortex (Williams and Holtmaat, 548 2019).

549

550 In contrast to optogenetic inhibition of MT axon terminals in prelimbic cortical layer 1, 551 global chemogenetic inhibition of MT striatal-projecting neurons did not alter the choice 552 behavior of our rats. These results were surprising to us, first, because MT striatal-projecting 553 neurons target striatal fast-spiking interneurons, which are associated with cost-benefit 554 decision-making (Friedman et al., 2015). Second, because MT axons projecting to striatum 555 branch off from MT axons that continue to cortex (Elena Erro et al., 2002; Kuramoto et al., 556 2009). One would expect that at least some of the transfected MT striatal-projecting neurons 557 have axons that continue to prelimbic cortex. One would further expect that chemogenetic 558 inhibition of these transfected MT neurons would reproduce the results observed with 559 optogenetic inhibition. There are several possible reasons why this is not the case. First, we 560 might not have transfected enough MT striatal-projecting neurons to induce a behavioral bias. 561 However, considering the used parameters, when using optogenetic inhibition we were 562 inhibiting a relatively small number of MT axon terminals in prelimbic cortical layer 1. 563 Therefore, chemogenetic inhibition of even a small number of transfected MT striatalprojecting neurons should have induced a behavioral bias. Second, the observed behavioral 564 bias might have exclusively relied on optogenetic inhibition of axon terminals from those MT 565 566 neurons that do not send branches to striatum. Third, global chemogenetic inhibition of MT 567 striatal-projecting neurons that continue to cortex might reduce activity in both striatum and 568 cortex. The observed change in choice behavior might thus rely on an imbalance of activity 569 between cortical versus striatal activity. Global chemogenetic inhibition might reduce activity in both cortex and striatum, but might not cause an imbalance in activity between the two 570 571 brain regions. However, more research will be needed to determine whether this is the case. 572 Based on our current results, we conclude that MT input to striatum is not involved in cost-573 benefit decision-making.

574

Aberrant decision-making is a symptom in anxiety (Aupperle and Paulus, 2010), depression 575 576 (Amemori and Graybiel, 2012) and chronic stress (Schwabe and Wolf, 2009; Sousa and 577 Almeida, 2012). Chronic stress biased rats towards high cost-high benefit options (Friedman 578 et al., 2017), similar to what we observed when we inhibited MT axon terminals in prelimbic 579 cortical layer 1. Given that optogenetic inhibition of MT input to prelimbic cortex emulates a 580 behavioral phenotype observed under chronic stress, MT might play a crucial role in 581 regulating stress. Patients with major depressive disorder exhibited aberrant task-related 582 prefrontal cortical activity on an approach avoidance task that required integration of benefit 583 and cost (Ironside et al., 2020). Hence, MT input to prefrontal cortex might also contribute to 584 depression.

585 Overall, our results suggest that, in addition to MT being involved in diseases related to 586 motor control such as Parkinson's disease (Brazhnik et al., 2016), MT might also be crucial in 587 disorders associated with aberrant decision-making. Our results provide evidence that the role 588 of MT in cognition must be reevaluated. Further studies will be necessary to understand the 589 contribution of thalamic nuclei to cognition.

590

591 Materials and Methods

592 1. Animals

593 53 male Sprague-Dawley rats (Charles River Laboratories, Japan) between 4 and 11 weeks of 594 age were used. Table 1 provides a detailed overview of the numbers and ages of rats used in 595 each experiment. Rats were single housed in a climate-controlled vivarium, maintained on a 596 12h light/dark cycle. Rats used in behavioral experiments were housed on a reversed light 597 cycle (lights on at 2200h, lights off at 1000h). Rats used in electrophysiological experiments 598 were either housed on a regular light cycle (lights on at 0700h, lights off at 1900h) or on a 599 reversed light cycle (lights on at 2200h, lights off at 1000h). Food and water were available 600 ad libitum. Behavioral experiments were conducted within the last 4 hours of the light cycle 601 or during the dark cycle. Rats were excluded from the study, when virus injections extended 602 beyond the target brain region or when the tip of optical fibers was located outside of the 603 target brain area. In addition, rats used in behavioral experiments were excluded from the 604 study, if they failed to reach the behavioral criteria on any of the three decision-making tasks 605 as specified below. The required number of animals for behavioral experiments was predicted 606 a priori based on a similar study conducted in the past (Friedman et al., 2015), but did not 607 estimate it a priori based on assumptions. The required number of cells for 608 electrophysiological experiments in anesthetized rats was also predicted based on previous 609 studies (Brecht and Sakmann, 2002; Martin-Cortecero and Nuñez, 2016; Yuan et al., 1985).

Experiment	Age	Number
		of Rats
Optogenetic inhibition of MT axon terminals in prelimbic cortex on decision- making tasks Chemogenetic inhibition of MT striatal- projecting neurons on decision-making tasks	Habituation and behavioral training started at 4-5 weeks, surgery performed at 9 weeks and behavioral testing performed at 11 and 12 weeks Habituation and behavioral training started at 4-5 weeks, surgery performed at 9 weeks and behavioral testing performed at 12	22 24
	weeks	
Electrophysiology in anesthetized rats to explore effects of MT stimulation and simultaneous MT axon terminal inhibition	Surgery at 9 weeks and electrophysiology performed at 11 weeks	1
on deeper layer pyramidal neurons in prelimbic cortex	Surgery at 10 weeks and electrophysiology performed at 12 weeks	2
	Surgery at 11 weeks and electrophysiology performed at 13 weeks	1

Electrophysiology in anesthetized rats to	Surgery at 9 weeks and	1
explore effects of MT stimulation and	electrophysiology performed at 11	
simultaneous inhibition of layer 1	weeks	
inhibitory interneurons on deeper layer		
	Surgery at 10 weeks and	2
pyramidal neurons in prelimbic cortex	electrophysiology performed at 12	
	weeks	
		I

611 **Table 1.** Overview of Used Rats. Age and number of rats used in each experiment.

612

All applicable international, national, and/or institutional guidelines for the care and use of animals were followed. All procedures performed in studies involving animals were in accordance with the ethical standards of the Okinawa Institute of Science and Technology Graduate University and were approved by the Animal Care and Use Committee of the Okinawa Institute of Science and Technology Graduate University (protocols #2016-131 and #2018-212).

619

620 2. Behavioral Tasks

Forty-six 4- to 5-week-old Sprague-Dawley rats were trained on a benefit-benefit, cost-cost
and cost-benefit decision-making task. Behavioral tasks were modeled after similar
behavioral tasks presented in a previous study (Friedman et al., 2015). Rats were trained 6 to
7 days a week for up to 24 days.

625

626 2.1 Apparatus

Behavioral training and testing were conducted in three standard modular test chambers forrats, two of which had a drug infusion top (Med Associates, ENV-008 and ENV-008CT).

629 Each test chamber was enclosed in a custom-made sound-attenuating cubicle and connected 630 to a personal computer by a SmartCtrl connection panel (Med Associates, SG-716B) and a 631 SmartCtrl interface module (Med Associates, DIG-716B). In each test chamber a modified 632 pellet/liquid drop receptacle (Med Associates, ENV-200R3M) was located at the center of the front panel. Two small food trays were attached to the front of the modified receptacle and 633 634 two blunt needles were placed on top of these small food trays to deliver two different liquids 635 into them. Liquids were delivered using two single speed syringe pumps (Med Associates, 636 PHM-100-3.33) and 50 ml lock type plastic syringes (Terumo). Two retractable levers (Med 637 Associates, ENV-112CM) were placed on each side of the modified receptacle. A white 638 stimulus light (Med Associates, ENV-221M) was mounted above each lever. Stimulus lights were connected to a two level stimulus light fader controller (Med Associates, ENV-226) and 639 640 could reach a maximum intensity of 200 lx or be reduced to an intensity of 1 lx. Each test 641 chamber was further fitted with a 2900 Hz sonalert module (Med Associate, ENV-223AM), 642 which was mounted in the upper left corner of the front response panel. A 15W LED light 643 (12VMonster, P-15W-E27-CW-12V85V) was placed above each test chamber in a 12V lamp 644 holder (12VMonster, WIRE-E27-2.1MM-2.5M-BLACK) and connected to a SmartCtrl 645 connection panel (Med Associates, SG-716B) by a custom-made electrical circuit board. The 646 SmartCtrl connection panel was connected to a personal computer by a SmartCtrl interface 647 module (Med Associates, DIG-716B). Chronically implanted LED fiber optics, which 648 emitted 590 nm light (TeleLC-Y-8-250, Bio Research Center Co., Ltd.), were controlled by a 649 wireless receiver (TeleR-1-C, Bio Research Center Co., Ltd.). Prior to each behavioral 650 training session, a wireless receiver was secured to the head of each animal and connected to 651 the implanted LED fiber optic. Each wireless receiver was remote-controlled by an infrared 652 signal using a Teleopto Remote (Bio Research Center Co., Ltd.) and a Teleopto Emitter (Bio 653 Research Center Co., Ltd.). Both were placed behind each test chamber. Teleopto Remotes

were connected to a personal computer by a passive TTL connection panel (Med Associates,
SG-726-TTL) and a SuperPort TTL output interface module (Med Associates, DIG-726TTLG). The hardware was operated using the Med-PC IV software suite (Med Associates, SOF735).

658

659 2.2 Habituation and Lever Pressing Training

Rats were put on a reversed light cycle (lights on at 2200h, lights off at 1000h) at least 7 days prior to the start of behavioral training, and were handled by the experimenter for at least 3 days out of the 5 days prior to the start of behavioral training. Rats were habituated to 20% sweetened condensed milk diluted in tap water prior to the start of behavioral training. For each animal, 20 mL of 20% sweetened condensed milk diluted in tap water were placed in the home cage on 2 consecutive days out of the 5 days prior to the start of behavioral training.

667

668 We first trained rats to press one of two retractable levers using a modified continuous reinforcement schedule. Each training session started with a 5-second-long tone stimulus 669 670 (2900 Hz, 65db) and 5 secs later one of the two retractable levers was presented to the 671 animal. Each lever press resulted in retraction of the lever, delivery of 0.1 mL of 20% 672 sweetened condensed milk diluted in tap water and presentation of the stimulus light above 673 the lever at reduced intensity (1 lx) for 10 secs. Once the stimulus light was turned off, a 10-674 second-long pause occurred. Next, we presented another 5-second-long tone stimulus (2900 675 Hz, 65db) to the animal and after another 5 secs the same retractable lever was presented to 676 the animal again. Rats were presented with one 40-minute-long training session each day for 677 up to 12 days. In each training session only one lever was presented to the animal. The 678 presented lever was alternated each day, unless rats had previously reached criterion on one 679 of the two levers, i.e. performed 30 lever presses on the lever in 40 mins. If this was the case, 680 on subsequent days rats were solely trained on the lever that they had not yet reached 681 criterion on. For each of the two levers rats had a total of 6 days to reach criterion, i.e. a 682 maximum of 12 days in total. If rats did not press the presented lever at least one time on two consecutive days, the behavior of rats was shaped by the experimenter. The animal's paw was 683 684 placed on the lever and moved downwards to press the lever. Afterwards the animal was 685 moved to the food tray to collect the reward. Shaping was performed for 5 consecutive lever 686 presses. Rats reached criterion on the lever pressing training in an average of 5.1 + 2.3 days 687 (mean +/- standard deviation).

688

689 2.3 Behavioral Training

690 Once rats reached criterion on both levers in the lever pressing training, they were trained to 691 perform the benefit-benefit, cost-cost and cost-benefit decision-making task. Rats were always trained first on the benefit-benefit, then on the cost-cost, and last on the cost-benefit 692 693 decision-making task. Each day rats completed 40 trials in the decision-making task that they were currently trained on. Each trial started with a 5-second-long tone stimulus (2900 Hz, 65 694 695 dB) or, in the cost-cost and cost-benefit decision-making task a 5-second-long tone stimulus paired with a 100-millisecond-long bright light flash (1.75 kLx). After an additional 5 secs 696 697 both retractable levers were presented to the animal for up to 10 secs or until a response was 698 made. If the animal made no response within 10 secs, both levers were retracted, and the trial 699 was counted as omitted.

700

701 In each of the three tasks rats were presented a choice between two levers and each lever was 702 associated with a specific combination of benefit and cost. In the benefit-benefit decision-703 making task, pressing either of the two levers resulted in the presentation of a low cost, i.e. a 704 dim light at 1 lx, for 10s. However, pressing one lever resulted in the delivery of a high 705 benefit (0.1 mL of sweetened condensed milk diluted at 20%), while pressing the other lever 706 resulted in delivery of a low benefit (for training 0.1 mL of sweetened condensed milk 707 diluted at 5%, for testing 0.1 mL of sweetened condensed milk diluted at 1-19% or pure tap 708 water). In the cost-cost decision-making task pressing either of the two levers resulted in 709 delivery of a high benefit (0.1 mL of sweetened condensed milk diluted at 20%). However, 710 pressing one lever was associated with presentation of a high cost, i.e. a bright light stimulus 711 (1.75 kLx), for 10s. In contrast, pressing the second lever was associated with presentation of 712 a low cost, i.e. a dim light (1 lx), for 10s. In the cost-benefit decision-making task one lever 713 was associated with a high cost-high benefit option and pressing the lever resulted in a 10-714 second-long presentation of a bright light stimulus (1.75 kLx) paired with delivery of a high 715 benefit (0.1 mL of sweetened condensed milk diluted at 20%). In contrast, the other lever was 716 associated with a low cost-low benefit option and pressing that lever resulted in a 10-second-717 long presentation of a dim light (1 lx) paired with delivery of a low benefit (for training 0.1 718 mL of sweetened condensed milk diluted at 5%, for testing 0.1 mL of sweetened condensed 719 milk diluted at 1-19% or pure tap water). Each trial was followed by an inter-trial interval of 720 25 secs.

721

Rats were trained on each of the three decision-making tasks for at least 3 days and until criterion was reached. Rats that did not reach criterion after 6 days of training on the benefitbenefit and cost-benefit decision-making task, or after 8 days of training on the cost-cost decision-making task were excluded from the experiment. Criterion on all three decisionmaking tasks was that less than 20% of trials were omitted. In addition, in the benefit-benefit decision-making task rats had to choose the high benefit option and in the cost-cost decisionmaking task the low cost option on at least 52% of the trials. Overall, 53.7% of rats were able

to learn the task. Reported animal numbers only include rats that reached criterion and were included in the data analysis. Across rats that learned all three decision-making tasks, criterion was reached in an average of 3.3 ± 0.7 days on the benefit-benefit decisionmaking, in an average of 4.3 ± 0.7 days on the cost-cost decision-making task, and in an average of 2.4 ± 0.7 days on the cost-cost decision-making task, and in an average of 2.4 ± 0.7 days on the cost-benefit decision-making task (mean ± 0.7 standard deviation).

735

The perceived value of different dilutions of sweetened condensed milk offered as a benefit 736 737 differed across rats. Hence, we used the first three days of behavioral training on the cost-738 benefit decision-making task to estimate an individual dilution of sweetened condensed milk for each animal such that rats would choose both, the high cost-high benefit and the low cost-739 740 low benefit option in approximately 50% of cost-benefit decision-making trials. The dilution 741 of the sweetened condensed milk offered as a low benefit was systematically varied across 742 the 120 trials presented over the first 3 days of behavioral training on the cost-benefit 743 decision-making task. The dilution was reduced every 20 trials starting at 13% followed by 744 11%, 8%, 5%, 2% and then pure tap water. For each dilution the percentage of trials that the 745 animal chose the low cost-low benefit option out of the overall number trials that were not 746 omitted was calculated and a psychometric curve was fitted to the data to estimate the best 747 dilution of sweetened condensed milk for each animal. The psychometric curve was fitted 748 using the FitWeibull function from the PsychoPy package (Peirce, 2007) under Python 2.7 749 (since PsychoPy is no longer functional under Python 2.7, the source code that is made 750 available was updated to run under Python 3). For each animal, guesses for the threshold and 751 slope of the psychometric curve were made by the experimenter based on the collected data. 752 Usually, the guess for the threshold was equal to the dilution at which rats chose the low cost-753 low benefit option in about 50% of the trials. The initial guess for the slope was 2, but if no 754 optimal parameters for the psychometric curve were found by the function, the parameter for 755 the slope was increased to 5 and then doubled until optimal parameters were determined. The 756 resulting dilution of sweetened condensed milk was rounded to the nearest whole number. 757 Given that the individual dilution of sweetened condensed milk for each animal was 758 determined during training on the cost-benefit decision-making task, the individual dilution 759 could not be used for initial training on the benefit-benefit decision-making task. Thus, on the 760 benefit-benefit decision-making task all rats were trained with a low benefit of 0.1 ml 761 sweetened condensed milk diluted at 5%.

762

763 2.4 Behavioral Testing

764 2.4.1 Optogenetic Inhibition of MT axon terminals in prelimbic cortical layer 1

Once rats had reached criterion on all three decision-making tasks and were 9 weeks of age, 765 50-70 nl of either AAV5-CAG-ArchT-GFP (titer $\ge 7 \times 10^{12}$ vg/mL; gift from Edward Boyden 766 and purchased through UNC Vector Core; now commercially available from Addgene viral 767 768 preparation #29777-AAV5: http://n2t.net/addgene:29777; RRID:Addgene 29777) or the control virus AAV5-CAG-GFP (titer $\geq 7 \times 10^{12}$ vg/mL; gift from Edward Boyden and 769 770 purchased through UNC Vector Core; now commercially available from Addgene viral preparation #37825-AAV5; http://n2t.net/addgene:37825; RRID:Addgene_37825) were 771 772 injected into MT. In the same aseptic surgery, a short optical fiber attached to a 590 nm light 773 emitting diode (LED fiber optic) was implanted through the contralateral hemisphere into 774 ipsilateral prelimbic cortical layer 1. As described above, LED fiber optics were controlled by 775 wireless receivers. The maximum light intensity that was reached using the wireless receivers 776 and LED fiber optics was between 0.7-1.2 mW. Given that LED fiber optics had a diameter 777 $250 \,\mu\text{m}$, the emitted 590 nm light reached approximately 3-6 mW/mm².

779 After a 12- to 16-day-long recovery period that followed surgery, rats' choice behavior on 780 each decision-making task was assessed over a period of 9 days. For each decision-making 781 task, rats were presented with 40 trials per day on 3 consecutive days. The first 20 trials were 782 presented without delivery of the 590 nm light (light OFF) and the last 20 trials were paired 783 with delivery of the 590 nm light (light ON), which induced optogenetic inhibition of MT 784 axon terminals in prelimbic cortical layer 1 in ArchT rats. In total, we presented rats with 60 785 light OFF and 60 light ON trials on each decision-making task. The 590 nm light was turned 786 on at the same time as the tone stimulus and remained on until rats made a choice or until the 787 levers were retracted, i.e. a maximum of 20 secs. We decided on a block design for 788 presentation of light OFF and ON trials, since a previous study suggested that sustained 789 optogenetic inhibition of axon terminals may cause unexpected long-term effects such as an 790 increase in spontaneous neurotransmitter release (Mahn et al., 2016). Hence, light ON trials 791 were always presented after light OFF trials. All rats were first tested on the benefit-benefit, 792 then on the cost-cost and last on the cost-benefit decision-making task. We presented the 793 decision-making tasks in this specific order, to increase rats' retention of the tasks. A timeline 794 for behavioral testing is provided in Figure 6.

Behavi	oral Train	ing			
Lever pressing: 2-12 days; 40 min/day Criterion: 30 presses/40 min		Benefit-benefit training: 3-6 days, 40 trials per day Criterion: Responses in ≥80% of trials; choice of high benefit option in ≥52% of trials	Cost-cost training: 3-8 days, 40 trials per day Criterion: Responses in ≥80% of trials; choice of high cost option in <48% of trials	Cost-benefit training: / 3-6 days, 40 trials per day Criterion: Responses in ≥80% of trials	
Behavi Termina	oral Testi als in Pre	ng - Optogenetic Inhibi limbic Cortical Layer 1	ition of MT Axon		
Surgery	Recovery time: 12-16 days	Benefit-benefit testing: 3 consecutive days Optogenetic inhibition: 20 trials OFF followed by 20 trials ON	Cost-cost testing: 3 consecutive days Optogenetic inhibition: 20 trials OFF followed by 20 trials ON	Cost-benefit testing: 3 consecutive days Optogenetic inhibition: 20 trials OFF followed by 20 trials ON	
MT Stri	oral Testi atal-Proje	ng - Chemogentic Inhit cting Neurons	Dition of		
Surgery	Recovery time: 19-25 days	Benefit-benefit testing: 2 consecutive days Chemogenetic inhibition: 60 trials/1day with saline and 60 trials/ 1 day with D21 agonist injection (0.1 mg/kg)	Cost-cost testing: 2 consecutive days Chemogenetic inhibition: 60 trials/1day with saline and 60 trials/ 1 day with D21 agonist injection (0.1 mg/kg)	Cost-benefit testing: 2 consecutive days Chemogenetic inhibition: 60 trials/1day with saline and 60 trials/1 day with D21 agonist injection (0.1 mg/kg)	

⁷⁹⁶

Figure 6. Timeline of behavioral training and testing for behavioral experiments assessing
the effect of optogenetic inhibition of MT axon terminals in prelimbic cortical layer 1 and
chemogenetic inhibition of MT striatal-projecting neurons.

800

801 2.4.2 Chemogenetic Inhibition of MT Thalamostriatal Projection Neurons

802 Once rats had reached criterion on all three decision-making tasks and were 9 weeks of age,

803 50-60 nl of either AAV5-hSyn-DIO-hM4D(Gi)-mCherry (titer $\ge 7 \times 10^{12}$ vg/mL; gift from

804 Bryan Roth, Addgene viral preparation #44362-AAV5; http://n2t.net/addgene:44362; RRID:

Addgene_44362) or the control virus AAV5-hSyn-DIO-mCherry (titer $\ge 7 \times 10^{12}$ vg/mL; gift

806 from Bryan Roth, Addgene viral preparation #50459-AAV5; http://n2t.net/addgene:50459;

807 RRID: Addgene_50459) were injected into MT, followed by an injection of 300 nl

808 of AAVrg-pmSyn1-EBFP-Cre (titer: 6×10^{12} vg/mL; gift from Hongkui Zeng; Addgene viral

809 preparation #51507-AAVrg; RRID: Addgene 51507) into striatum. After a 19- to 25-day-

810 long recovery period that followed surgery, rats' choice behavior on each decision-making

task was assessed over a period of 6 days. All rats were first tested on the benefit-benefit, then on the cost-cost and last on the cost-benefit decision-making task. For each decisionmaking task rats were presented with 60 trials per day on two consecutive days. One of the two days rats received intraperitoneal injections of D21 agonist diluted in saline (1 mg/kg), while on the other day rats were injected with the same amount of saline. Injections were given 10-15 minutes prior to the experiment. A timeline for behavioral testing is provided in Supplementary Figure 5.

818

819 2.5 Randomization of Animal Groups

820 For lever pressing training for one group of rats the left lever was presented on the first day and the right lever on the second day, while for a second group of rats the right lever was 821 822 presented on the first day and the second lever was presented on the second day. For 823 behavioral training and testing for one group of rats the left and for a second group of rats the 824 right lever was associated with the high benefit, high cost and high cost-high benefit option. 825 The lever on the opposite side was associated with the other choice option. Rats were pseudo-826 randomly assigned to these groups. Rats were further pseudo-randomly assigned to being 827 injected with AAV5-CAG-ArchT-GFP/AAV5-hSyn-DIO-hM4D(Gi)-mCherry or the corresponding control virus. 828

829

830 *2. Surgeries*

Virus injections, LED fiber optics or both were placed in 9- to 11-week-old male Sprague-Dawley rats. Rats were anesthetized with 5% isoflurane delivered with room air (0.5-1 L/min; Small Animal Anesthetizer, Muromachi, MK-A100, Japan). Rats were positioned in a stereotaxic frame (Narishige, SR-5R-HT, Japan) and anesthesia was maintained at 2% isoflurane delivered with room air (0.5-1 L/min). Virus injections were performed with a

-46-

836 Hamilton syringe (Neuros Syringe, 0.5 µL, Neuros Model 7000.5 KH, point style 3, 837 Hamilton, 65457-01, United Kingdom or Neuros Syringe, 1.0 µL, Neuros Model 7001 KH, 838 point style 3, Hamilton, 65458-01, United Kingdom) at an injection speed of 100 nL/min and 839 the syringe remained at the target location for 10 min after the injection before it was slowly 840 retracted from the brain. All experiments involving recombinant DNA were approved by the 841 Biosafety Committee of the Okinawa Institute of Science and Technology Graduate 842 University (protocol #RDE-2017-003) and all applicable international, national, and/or institutional guidelines were followed. 843

844

845 For electrophysiological experiments in anesthetized rats, unilateral injections of 50-70 nL AAV5-CAG-ArchT-GFP (titer $\geq 7 \times 10^{12}$ vg/mL; gift from Edward Boyden and purchased 846 through UNC Vector Core; now commercially available from Addgene viral preparation 847 848 #29777-AAV5; http://n2t.net/addgene:29777; RRID:Addgene 29777) were placed in MT 849 (from interaural zero AP +7.76 to +9.97, ML -1.2, from dura DV -6.6; Paxinos and Watson, 850 2004) or in prelimbic cortical layer 1 (from bregma AP +1.32 mm, ML +0.8 mm with the stereotaxic rotated at a 30° angle towards posterior, from dura DV -3.58 mm with the 851 852 stereotaxic tilted at a 30° angle; Paxinos and Watson, 2004). Experiments were performed 853 12-16 days after the virus was injected and rats were perfused after the experiment.

854

For behavioral experiments that tested the effects of optogenetic inhibition of MT axon terminals in prelimbic cortical layer 1 unilateral injections of 50-70 nL AAV5-CAG-ArchT-GFP (titer $\ge 7 \times 10^{12}$ vg/mL; gift from Edward Boyden and purchased through UNC Vector Core; now commercially available from Addgene viral preparation #29777-AAV5; http://n2t.net/addgene:29777; RRID:Addgene_29777) or 50-70 nL AAV5-CAG-GFP (titer \ge 7×10^{12} vg/mL; gift from Edward Boyden and purchased through UNC Vector Core; now

-47-

861 commercially available from Addgene viral preparation #37825-AAV5; http://n2t.net/addgene:37825; RRID:Addgene 37825) were placed in MT (from interaural 862 zero AP +6.31 to +10.26, ML -1.2, from dura DV -6.6; Paxinos and Watson, 2004). In 863 addition, a LED fiber optic with a diameter of 250 µm and emitting 590 nm (TeleLC-Y-8-864 250, Bio Research Center Co., Ltd.) was chronically implanted into prelimbic layer 1 (from 865 bregma AP +0.898 mm, ML +1.084 mm with stereotaxic turned at a 30° angle towards 866 867 posterior, from dura DV -3.58 mm with the stereotaxic tilted at a 30° angle; Paxinos and Watson, 2004) and fixed to the skull with dental cement (Super-Bond C&B, Sun Medical, 868 869 Japan) in the same aseptic surgery. Behavioral testing was conducted after a recovery period 870 of 12-16 days and rats were perfused after 26-28 days.

871

872 For behavioral experiments that tested the effects of chemogenetic inhibition of MT 873 thalamostriatal projections neurons unilateral injections of 50-60 nL AAV5-hSyn-DIOhM4D(Gi)-mCherry (titer $\ge 7 \times 10^{12}$ vg/mL; gift from Bryan Roth, Addgene viral preparation 874 875 #44362-AAV5; http://n2t.net/addgene:44362; RRID: Addgene 44362) or 50-60 nL AAV5hSyn-DIO-mCherry (titer $\ge 7 \times 10^{12}$ vg/mL; gift from Bryan Roth, Addgene viral preparation 876 877 #50459-AAV5; http://n2t.net/addgene:50459; RRID: Addgene 50459) were placed in MT (from interaural zero AP +7.19 to +10.61, ML -1.2, from dura DV -6.6; Paxinos and Watson, 878 879 2004). In addition, a second injection of 300 nL AAVrg-pmSyn1-EBFP-Cre (titer: 6×10^{12} 880 vg/mL; gift from Hongkui Zeng; Addgene viral preparation #51507-AAVrg; RRID: 881 Addgene 51507) was placed in striatum (from bregma AP +0.4 mm, ML +3.7 mm, from 882 dura DV -6.1 mm; Paxinos and Watson, 2004) in the same aseptic surgery. Behavioral testing 883 was conducted after a recovery period of 19-25 days and rats were perfused after 28-32 days.

884

886 *3. In-vivo Electrophysiology*

887 12-16 days after an AAV5-CAG-ArchT-GFP injection had been placed into MT or prelimbic 888 layer 1, rats were anesthetized with 5% isoflurane delivered with room air (1.5-2 L/min, 889 Classic T3 Vaporizer, SurgiVet) and positioned in a stereotaxic frame (custom-build from a 890 Model 1730 Intracellular Frame Assembly from David Kopf Instruments). Anesthesia was 891 maintained at 1.5-2% isoflurane delivered with room air (1.5-2 L/min). An optical fiber with 892 a diameter of 250 µm connected to a 590 nm fiber-coupled LED (ThorLabs, M590F2) that 893 was powered by a high-power, 1-channel LED driver with pulse modulation (ThorLabs, 894 DC2100) was placed in prelimbic layer 1 (from bregma AP +0.898 mm, ML +1.084 mm with stereotaxic turned at a 30° angle towards posterior, from dura DV -3.58 mm with the 895 896 stereotaxic tilted at a 30° angle)(Paxinos and Watson, 2004). A concentric bipolar 897 platinum/iridium microelectrode with a wire diameter of 25 µm (FHC, CBBPC75) was 898 placed in close proximity to MT (from bregma AP -4.40 mm, ML -1.20 mm, from dura -6.98 899 mm with the stereotaxic tilted at a 20° angle)(Paxinos and Watson, 2004) and was connected 900 to a biphasic current stimulator (Digitimer, DS4) to stimulate MT and the ascending 901 projections. Both, the 1-channel LED driver and the biphasic current stimulator, were 902 connected to a personal computer by a low-noise data acquisition system (Molecular Devices, 903 Axon CNS Digidata 1440A) that was controlled by Clampex 10.3 to deliver light pulses for 904 optogenetic inhibition and microstimulation using a previously specified protocol. To 905 perform extracellular recordings from neurons in deep layers of prelimbic cortex, glass 906 electrodes with an impedance between 50-110 M Ω were pulled on a P-1000 micropipette 907 puller (Sutter Instrument, P-1000) and placed in prelimbic cortex in lower layer 2/3, layer 4 908 or layer 5A. Glass electrodes were filled with an internal solution of 3.0 molar potassium 909 methyl sulfate (KMeSO₄) that contained goat anti-rat AlexaFluor 594 at a dilution between 910 1:50 to 1:200, and were coated with 1,1'-dioctadecyl-3,3,3',3'-tetramethylindocarbocyanine

perchlorate stain (DiI stain, 2.5 mg/ml, Thermo Fisher, D282) diluted in one part of ethanol
and 9 parts of water. Glass electrodes were connected to a personal computer by a low-noise
data acquisition system (Molecular Devices, Axon CNS Digidata 1440A), a Hum Bug Noise
Eliminator (Quest Scientific) and a computer-controlled microelectrode amplifier (Molecular
Devices, Axon CNS, Axoclamp 900A) and recordings were performed using the Axoclamp
900A software and Clampex 10.3.

917

Glass electrodes were slowly lowered to the dorsal end of prelimbic cortex in 10 µm steps 918 919 and then slowly advanced to the ventral end of prelimbic cortex in 0.2-1 µm steps using a 920 micropositioner (David Kopf Instruments, Model 2660). When spiking activity of a neuronal 921 cluster was observed, a protocol was run to stimulate MT and the ascending projections (light 922 OFF trials). For rats, in which AAV5-CAG-ArchT-GFP had been injected into MT, the 923 protocol consisted of 10 sweeps, while for rats that had received AAV5-CAG-ArchT-GFP 924 into prelimbic layer 1, the protocol consisted of 5 sweeps. In both cases, each sweep started 925 with ten 0.5 ms stimulations at -5 mA that were delivered over 1 sec at a rate of 10 Hz 926 followed by a 3-seconds-long pause before the next sweep started. Data was digitized at 927 250000 Hz. If stimulation of MT and the ascending projections induced spiking activity in a recorded neuronal cluster in prelimbic layers 2/3, 4 or 5A, a second protocol was run. For 928 929 rats, in which AAV5-CAG-ArchT-GFP had been injected into MT, the protocol was run to 930 determine, whether optogenetic inhibition of MT axon terminals in prelimbic layer 1 reduced 931 spiking activity in the recorded neuronal clusters in prelimbic cortical layers 2/3, 4 or 5A. 932 The protocol consisted of two sweeps. In each sweep the 590 nm light was delivered to 933 prelimbic layer 1 for the first 20 seconds of the sweep (light ON trials). Simultaneously, the 934 protocol featured five iterations of delivering ten 0.5-millisecond-long MT stimulations at -935 5mA at 10 Hz over a period of 1 second followed by a 3-second-long pause. Twenty seconds

936 of light delivery were followed by a 4 secs pause before the next sweep started. Data was937 digitized at 20000 Hz.

938

939 For rats that had received AAV5-CAG-ArchT-GFP injections into prelimbic cortical layer 1 940 instead a second protocol was run to determine, whether activation of archaerhodopsin that 941 induced optogenetic inhibition in layer 1 inhibitory interneurons increased or reduced spiking 942 activity in the recorded neuronal clusters in prelimbic layers 2/3, 4 or 5A. The protocol 943 consisted of three sweeps. In each sweep the 590 nm light was delivered to prelimbic layer 1 944 for the first 20 seconds of the sweep (light ON trials). Simultaneously, on the first sweep the 945 protocol featured five iterations of delivering ten 0.5-millisecond-long MT stimulations at -946 5mA at 10 Hz over a period of 1 second followed by a 3-second-long pause. On the second 947 sweep, light delivery was combined with five iterations of delivering ten 0.5-millisecond-948 long MT stimulations at -3mA at 10 Hz over a period of 1 second followed by a 3-second-949 long pause. On the third sweep, light delivery was combined with five iterations of delivering 950 ten 0.5-millisecond-long MT stimulations at -1mA at 10 Hz over a period of 1 second 951 followed by a 3-second-long pause. Twenty seconds of light delivery were always followed 952 by a 4-second-long pause before the next sweep started. Data was digitized at 20000 Hz. All 953 data was recorded using a high-band pass filter at 100 Hz and a lowpass Bessel filter at 1 954 kHz.

955

956 *4. Perfusion*

957 Rats were anesthetized with approximately 10 ml isoflurane delivered on tissue paper and 958 medetomidine hydrochloride (1.0 mg/kg, intraperitoneal), before being transcardially 959 perfused with either 100 mL 0.1 M phosphate buffer with 2 mg/100 mL heparin (4 °C, 960 pH7.4) or 100 mL of 10% sucrose diluted in Milli-Q, both followed by 150 mL Lana's

-51-

961 fixative (room temperature, 4% depolymerized paraformaldehyde and 14% saturated picric
962 acid solution, Sigma Aldrich, P6744-1GA, in 0.16 M phosphate buffer, pH7.4). Brain tissue
963 was post-fixed for at least 36 h and afterward stored in a 50/50 mixture of Lana's fixative and
964 20% sucrose in phosphate-buffered saline (PBS) until sectioning, i.e. a minimum of 36 h and
965 up to 2 months.

966

967 *5. Immunohistochemistry*

80 µm-thick coronal or sagittal sections that contained prelimbic cortex (interaural zero 968 969 +11.52 to +13.68; Paxinos and Watson, 2004), striatum (interaural zero +8.40 to +11.28; 970 Paxinos and Watson, 2004) and/or MT (interaural zero +4.80 to +8.76; Paxinos and Watson, 971 2004) were taken using a freezing microtome (Yamato, REM-710, Japan). For some sections 972 no immunohistochemistry was performed. These sections were washed in PBS for 4 x 5 min 973 before being mounted. When immunohistochemistry was performed, sections were first 974 washed in PBS for 3 x 5 min. Next, sections were incubated in 20% goat serum (Vector 975 Laboratories, S-1000) or, when the primary antibody was grown in goat, in 20% donkey 976 serum (Millipore, S30-100ML) diluted in PBS, containing 0.05% sodium azide and 0.3% 977 Triton X-100 (Sigma Aldrich, 234729-100ML), at room temperature for 1h. Afterward, sections were incubated for 18h-36h at 4°C in primary antibody solution containing primary 978 979 antibodies diluted in PBS, 0.05% sodium azide and 0.3% Triton X-100. Then, sections were 980 washed in PBS for 3 x 5 min before being incubated at room temperature for 3h in secondary 981 antibody solution containing secondary antibodies diluted in PBS, 0.05% sodium azide and 982 0.3% Triton X-100. Finally, sections were washed in PBS for 4 x 5 min. Dilutions of primary 983 and secondary antibodies varied depending on the antibodies used and are provided in table 984 1. All sections were mounted on glass slides in several drops of mounting medium and sealed 985 with a cover slip. We used UltraCruz Hard-set Mounting Medium with DAPI (Santa Cruz,

986 sc-359850) or Vectashield HardSet Mounting Medium with DAPI (Vector Laboratories, H-987 1500) that also provided DNA counterstaining of cell nuclei. If a fluorochrome with an 988 emission spectrum within the blue wavelength was already present within the section, we 989 instead used VectaMount AQ (Vector Laboratories, H-5501). Secondary antibodies were 990 acquired from Millipore or Invitrogen.

Primary antibody	Secondary antibody
Mouse anti-GAD67	Alexa Fluor 594 goat anti-mouse:
(Millipore, MAB5406, RRID:AB_2278725):	
1:1000 dilution, 0.3% Triton X-100,	1:200 dilution, 3h incubation time
18-36h incubation time	
Rabbit anti-GFAP	Alexa Fluor 594 goat anti-rabbit:
(bioSensis, R-1374, RRID:AB_2492897):	
1:1000 dilution, 0.3% Triton X-100,	1:200 dilution, 3h incubation time
18-36h incubation time	
Mouse anti-PV	Alexa Fluor 488 goat anti-mouse:
(Sigma, P3088, RRID:AB_477329):	
1:1000 dilution, 0.3% Triton X-100,	1:200 dilution, 3h incubation time
18h incubation time	
Rabbit anti-bNOS	Alexa Fluor 488 goat anti-rabbit:
(Sigma, N7280, RRID:AB_260796):	
1:500 dilution, 0.3% Triton X-100,	1:200 dilution, 3h incubation time
18-36h incubation time	

Goat anti-CHAT	Alexa Fluor 488 donkey anti-goat:
(Millipore, AB144P, RRID:AB_2079751):	
1:100 dilution, 0.3% Triton X-100,	1:200 dilution, 3h incubation time
18h incubation time	

Table 2. Primary and secondary antibodies used in immunohistochemistry. A summary of
dilutions of primary and secondary antibodies in respective antibody solutions, and the
dilution of Triton X-100 in primary antibody solutions.

995

996 *6. Microscopy*

997 Histological verification of the placement of virus injections or LED fiber optics was 998 performed with a fixed stage BX51WI upright microscope from Olympus. A 100W mercury 999 lamp was used as a light source. Alexa Fluor 594 and mCherry were visualized using an 1000 excitation filter with a peak at 555 nm and a bandwidth of 25 nm, and an emission filter with 1001 a peak at 605 nm and a bandwidth of 25 nm. GFP was visualized using an excitation filter 1002 with a peak at 484 nm and a bandwidth of 15 nm, and an emission filter with a peak at 517 1003 nm and a bandwidth of 30 nm. Images were acquired with a 4x objective with a numerical 1004 aperture (NA) of 0.16 with the Neurolucida software (MBF Bioscience).

1005

To acquire the high-resolution images presented in this paper, laser confocal scanning microscopy was performed with a Zeiss LSM 780 microscope at room temperature. Images were taken with a 10x objective with a NA of 0.45 or a 63x oil objective with a NA of 1.46 (both objectives from Zeiss). The 63x objective was used with fluorescence-free Immersol immersion oil 518F from Zeiss. Any tiled overview images were acquired with 10% overlap between tiles and stitched using the stitching algorithm provided by the ZEN 2011 SP7 FP1 software (Zeiss, black edition, version 14.0.8.201). An overview of the excitation and

-54-

- 1013 emission peaks of used fluorochromes, the light source and filter set used for excitation, and
- 1014 the emission range used are provided in Table 3.
- 1015

Fluoro- Excitation Emission		Emission	Light	Filter Set	Emission
chrome			Source		Range
DAPI	358 nm	461 nm	405-30	MBS 488/561/633 dichroic beam	410-495 nm
			laser diode	splitter; MBS -405 dichroic beam	
				splitter	
GFP	395 nm	509 nm	Argon	MBS 488 dichroic beam splitter	490-590 nm
			laser		
Alexa	590 nm	617 nm	DPSS 561-	MBS 458/561 dichroic beam	585-734 nm
Fluor 594			10 laser	splitter	
mCherry	587 nm	610 nm	DPSS 561-	MBS 458/561 dichroic beam	578-696 nm
			10 laser	splitter	

Table 3. Used fluorochromes. Overview of the excitation and emission peak of used
fluorochromes, the light source and filter set used for excitation, and the emission range used.

- 1019 Images were acquired using the ZEN 2011 SP7 FP1 software (Zeiss, black edition, version
- 1020 14.0.8.201). Brightness and contrast were adjusted using ZEN 2011 SP7 FP1 software (Zeiss,
- 1021 black edition, version 14.0.8.201) and the "Enhance Contrast" option in Fiji (ImageJ version
- 1022 1.51n or 1.52p). When necessary, brightness and contrast were adjusted separately for each

1023 channel. For final publication, brightness and contrast of images were additionally adjusted
1024 using Adobe Photoshop CS5 Extended (version 12.0.4 x64). Adjustments to brightness and
1025 contrast were always applied equally across the entire image.

1026

1027 7. Quantification and Statistical Analysis

To quantify and compare decision-making behavior of rats on the three decision-making tasks, we primarily assessed the percentage of trials, in which rats chose 1) the high benefit option on the benefit-benefit decision-making task, 2) the high cost option on the cost-costdecision-making task or 3) the high cost-high benefit option on the cost-benefit decisionmaking task out of the total number of non-omitted trials.

1033

In addition, to test whether motor function was disrupted by optogenetic inhibition of MT axon terminals in prelimbic cortical layer 1 or by chemogenetic inhibition of MT striatalprojecting neurons, we assessed 1) the percentage of omitted trials; and 2) the average reaction time across all non-omitted trials.

1038

Behavioral responses in each session were stored in an automatically generated text document. We extracted any variables that were analyzed from these text documents using custom-written Python 3.7 scripts. The reaction times stored in each text document represented a measurement from the presentation of the tone stimulus marking the beginning of each trial to the time rats indicated their choice by pressing one of the two levers. However, reaction times extracted from the text documents were corrected to represent times from presentation of the two levers to the time rats indicated their choice.

1046

1047 To analyze the effect of the injected virus, i.e. ArchT rats as compared to their controls or 1048 hM4Di rats as compared to their controls, and the treatment, i.e. light ON versus OFF trials

-56-

1049 or D21 agonist versus saline trials, we performed separate ANOVAs for each decision-1050 making task and each variable using the injected virus as between and treatment as within 1051 animal variable. When we observed a main or interaction effect, we performed two post-hoc 1052 paired t-tests to compare the treatment and non-treatment condition within each group of rats. 1053 To confirm that effects were not caused by pre-existing differences in behavior between the 1054 two groups of rats, we further performed two Student's t-tests to compare behavior on the 1055 first 20 trials of the last day of training as well as behavior in the non-treatment condition 1056 between the two groups of rats. To confirm that effects were not caused by surgery, we also 1057 compared the behavior pre- and post-surgery within each group of rats. We usually 1058 performed 6 t-tests to analyze each behavioral variable. We applied a Bonferroni correction 1059 to the significance level resulting in a significance level of p=0.0083. However, when 1060 comparing the effect of optogenetic inhibition of MT axon terminals in prelimbic cortical 1061 layer 1 on choice behavior, we also compared differences in choice behavior between light 1062 ON and OFF trials for each individual day of behavioral testing. In addition, we compared 1063 differences in the percentage of high benefit and high cost choices between the first and last 1064 20 trials on the last three days of behavioral training. Overall, the number of statistical tests 1065 resulted in an adjusted significance level of p=0.0028.

1066

We observed a significant difference in the percentage of high cost-high benefit choices between light ON and OFF trials for ArchT rats. To quantify the size of the difference, we subtracted the percentage in light OFF from that in light ON trials. We performed a Student's t-test on this new metric (significance level of p=0.05), comparing it between controls and ArchT rats, to analyze whether the difference in the percentage of high cost-high benefit trials was significantly different between the two groups of rats.

1074 We adjusted individual dilutions of sweetened condensed milk for each animal, so that each 1075 animal would choose the high cost-high benefit option as well as the low cost-low benefit 1076 option in about 50% of non-omitted trials. We used the first 3 days of behavioral training on 1077 the cost-benefit decision-making task to adjust individual dilutions. We systematically varied 1078 the dilution of sweetened condensed milk on every 20 trials. Hence, data on the percentage of 1079 high cost-high benefit choices, which was collected across these 3 days, does not represent 1080 the actual choice behavior of rats on days after we determined and used their individual 1081 dilutions. Only a few rats did not reach criterion on the cost-benefit decision-making task 1082 within the first 3 days, resulting in few rats being trained for additional days. When running 1083 statistical tests that involved data from the last day of behavioral training on the cost-benefit 1084 decision-making task, we only used the data from the first 20 trials on the last day of 1085 behavioral training for these few rats. For all other rats, when the determined individual 1086 dilution corresponded to a dilution that was used during the adjustment process, we used data 1087 from those 20 trials. Otherwise, when the individual dilution was between dilutions used 1088 during the adjustment process, we averaged data from the 20 trials with the next higher and 1089 the 20 trials with the next lower dilution. Given the limitations of this approach, we compared 1090 a second metric between groups of rats to determine whether pseudo-random assignment of 1091 rats to either the control or ArchT group influenced the percentage of high cost-high benefit 1092 choices within each group. We analyzed for each group of rats whether the individual 1093 dilution of sweetened condensed milk correlated with the percentage of high cost-high 1094 benefit choices in light OFF trials during behavioral testing. We assumed that if these two 1095 metrics did not correlate, pseudo-random assignment of rats would not have influenced the 1096 percentage of high cost-high benefit choices in each group, even if the determined individual 1097 dilutions of sweetened condensed milk would have varied vastly. We calculated Kendall's 1098 Tau to determine the correlation between the two metrics. We chose Kendall's Tau since

individual dilutions of sweetened condensed milk were rounded to the closest whole number
and, hence, on an ordinal scale. We chose Kendall's Tau over Spearman's rho due to the small
sample size and higher robustness of Kendall's Tau for small sample sizes.

1102

Statistical analyses were performed in R (version 3.6.3). We tested the assumption of the data being normal distributed, which is a prerequisite for parametric tests, with Levene's test and the assumption of homogeneity of variances with Shapiro's test.

1106

1107 We used custom-written Matlab scripts (Matlab 2019b) to analyze electrophysiological data 1108 collected in anesthetized rats. Data were filtered at 100 Hz to 10 kHz at the time of 1109 recordings and no further filtering was done. To analyze the effect of optogenetic inhibition 1110 of MT axon terminals or inhibitory interneurons in prelimbic cortical layer 1 on neuronal 1111 cluster responses recorded in deep layers of prelimbic cortex, we defined segments starting 1112 20 ms before and ending 80 ms after MT stimulations were delivered. We normalized each 1113 data segment by subtracting the average activity measured across the last 40 ms of each 1114 segment from each data point in the segment. MT stimulations induced an artefact, which 1115 was truncated from any presented figures by removing data from the time of MT stimulation 1116 until 2 ms after. For each cell, the threshold to identify extracellular spikes was determined 1117 by using the Matlab 'findpeaks'-algorithm with a minimum spike prominence of 0.5 mV on 1118 data segments from light OFF trials. We identified the spike with the largest spike 1119 prominence and identified extracellular spikes by running the 'findpeaks'-algorithm again, but 1120 using 30% of the largest identified spike prominence as minimum spike prominence. We 1121 constructed a peri-stimulus time histogram with a bin width of 0.1 ms from the data. To 1122 compare the average number of spikes per millisecond between light ON and OFF trials

within the first 20 ms after MT stimulation, we conducted a Wilcoxon ranked-sign test acrosscells.

1125

1126 To compare the width of spikes during segments of spontaneous activity, after MT 1127 stimulation in light OFF trials and after MT stimulation in light ON trials, we analyzed the 1128 last 2 seconds of each light OFF sweep. Each light OFF sweep started with ten MT 1129 stimulations followed by a 3-second-long pause before the next sweep started. Spontaneous 1130 activity was observed within this 3-second-long pause of which we analyzed the last 2 1131 seconds. Data within these 2-second-long segments were normalized by subtracting the 1132 average activity measured across the last 40 ms of each segment from each data point in the 1133 segment. The width of spikes within these segments of spontaneous activity, for light OFF 1134 and for light ON trials were identified by running the Matlab 'findpeaks'-algorithm and using 1135 the previously determined value for minimum spike prominence. Spike widths were 1136 summarized in histograms. In addition, segments showing activity after MT stimulation in 1137 light OFF trials as well as spontaneous activity were plotted for selected cells.

1138

1139 To test whether rebound spiking was observed upon turning the 590 nm light off, which 1140 deactivated the archaerhodopsin and terminated optogenetic inhibition of MT axon terminals, 1141 we extracted segments from light ON trials starting 100 ms before and ending 100 ms after 1142 the light was turned off. Data was normalized by subtracting the mean activity across each 1143 segment from each data point in the segment. We again identified extracellular spikes by 1144 running the Matlab 'findpeaks'-algorithm and using the previously determined value for 1145 minimum spike prominence. We constructed a peri-stimulus time histogram from the data 1146 using a bin size of 1 ms. In addition, the average number of spikes per second for the 100 ms

- before and the 100 ms after the light was turned off was calculated and indicated in the peri-
- 1148 stimulus time histogram by a red dotted line.
- 1149
- 1150 8. Data Availability
- 1151 Raw behavioral data, raw electrophysiology data and analysis scripts used to generate figure
- 1152 1 through 4 are available on Github (https://github.com/bsieveri/sieveritz-2022-mt-
- 1153 prelimbic). Microscopy data will be made available upon request.
- 1154

1155 Appendix 1

			Training					Testing					
		Day	/ -3	3 Day -2		Day -1		Day 1		Day 2		Day 3	
		p-value	r (effect size)	p-value	r (effect size)	p-value	r (effect size)	p-value	r (effect size)	p-value	r (effect size)	p-value	r (effect size)
Benefit-Benefit Decision-Making	Change in High Benefit Choices (%), Controls	0.097	0.48	0.013	0.668	0.061	0.533	0.039	0.575	0.028	0.606	0.156	0.418
	Change in High Benefit Choices (%), ArchT Rats	0.007	0.757	0.156	0.459	0.002	0.821	0.179	0.437	0.073	0.561	0.113	0.505
Cost-Cost Decision-Making	Change in High Cost Choices (%), Controls	0.186	0.391	0.013	0.665	0.009	0.692	0.081	0.501	0.041	0.572	0.702	0.12
	Change in High Cost Choices (%), ArchT Rats	0.019	0.687	0.24	0.387	0.211	0.409	0.083	0.545	0.033	0.642	0.463	0.248
Cost-Benefit Decision-Making	Change in High Cost-High Benefit Choices (%), Controls	N/A	N/A	N/A	N/A	0.306	0.455	0.678	0.127	0.717	0.111	0.768	0.091
	Change in High Cost-High Benefit Choices (%), ArchT Rats	N/A	N/A	N/A	N/A	0.282	0.602	0.012	0.725	0.0037	0.791	0.041	0.623

1156 Appendix 1 - Table 1. Overview of p-values and effect sizes for t-tests comparing choice 1157 behavior on each individual behavioral testing days and on the last three days of behavioral training. The percentage of high benefit, high cost or high cost-high benefit choices within 1158 1159 each group of rats was compared between light ON and OFF trials for each individual day of 1160 behavioral testing, or between the first and last 20 trials on the last three days of behavioral 1161 training. Training day -1 indicates the last day of behavioral training, training day -2 the 1162 second to last day and training day -3 the day prior to the second to last day. To account for 1163 multiple comparisons, t-tests were conducted using a Bonferroni corrected significance level 1164 of p=0.0028.

		High cost-high benefit/high benefit/high cost choices (%)		Omitted Trials (%)		Overall Reaction Time	
		p-value	r (effect size)	p-value	r (effect size)	p-value	r (effect size)
Benefit-Benefit Decision-Making	ArchT Rats versus Controls, Pre- Surgery	0.231	0.271	0.113	0.372	0.178	0.301
	ArchT Rats versus Controls, Post-Surgery	0.493	0.161	0.444	0.195	0.189	0.294
	Pre- versus Post-surgery, Controls	0.07	0.518	0.097	0.479	0.009	0.693
	Pre- versus Post-surgery, ArchT Rats	0.011	0.505	0.437	0.262	0.017	0.698
Cost-Cost Decision-Making	ArchT Rats versus Controls, Pre- Surgery	0.243	0.282	0.25	0.256	0.132	0.333
	ArchT Rats versus Controls, Post-Surgery	0.768	0.069	0.668	0.111	0.065	0.432
	Pre- versus Post-surgery, Controls	0.043	0.569	0.019	0.637	0.047	0.56
	Pre- versus Post-surgery, ArchT Rats	0.006	0.768	0.91	0.039	0.04	0.625
Cost-Benefit Decision-Making	ArchT Rats versus Controls, Pre- Surgery	0.463	0.172	0.261	0.26	0.403	0.2
	ArchT Rats versus Controls, Post-Surgery	0.444	0.178	0.601	0.153	0.509	0.153

	Pre- versus Post-surgery, Controls	0.589	0.165	0.731	0.106	0.063	0.53
	Pre- versus Post-surgery, ArchT Rats	0.667	0.147	0.356	0.308	0.076	0.556
Bonfe	erroni corrected significance level:		p=0.0028		p=0.0083		p=0.0083

1166 Appendix 1 - Table 2. Overview of p-values and effect sizes for post hoc t-tests comparing

1167 the choice behavior, percentage of omitted trials and reaction times on non-omitted trials

1168 between ArchT rats and their controls as well as within each group pre- and post-surgery.

1169 Bonferroni-corrected significance levels for each metric are indicated in the bottom row.

1170

1171 Competing Interests

- 1172 We declare no competing interests.
- 1173

1174 Author Contributions

- 1175 Conceptualization, B.S. and G.W.A.; Methodology, B.S., J.R.W. and G.W.A.; Software,
- 1176 B.S.; Formal Analysis, B.S.; Investigation, B.S. and S.H.D.; Writing Original Draft, B.S.,

1177 S.H.D., J.R.W. and G.W.A.; Visualization, B.S.; Supervision, J.R.W. and G.W.A.

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