Microbial dysbiosis and metabolic disorders promote rheumatoid arthritis

progression across successive stages

Mingyue Cheng[1,2†], Yan Zhao[1†], Yazhou Cui[1], Yuguo Zha[2], Shufeng Li[1], Guangxiang

Cao[1], Mian Li[1], Lei Zhang[3*], Kang Ning[2*], Jinxiang Han[1*]

[1]First Affiliated Hospital of Shandong First Medical University, Biomedical Sciences

College & Shandong Medicinal Biotechnology Centre, Shandong First Medical

University & Shandong Academy of Medical Sciences, Jinan, China

[2]Key Laboratory of Molecular Biophysics of the Ministry of Education, Hubei Key

Laboratory of Bioinformatics and Molecular-imaging, Center of AI Biology,

Department of Bioinformatics and Systems Biology, College of Life Science and

Technology, Huazhong University of Science and Technology, Wuhan, China

[3]Microbiome-X, National Institute of Health Data Science of China & Institute for

Medical Dataology, Department of Biostatistics, School of Public Health, Cheeloo

College of Medicine, Shandong University, Jinan, China

[†]**These authors contributed equally to this work.**

[*]**Correspondence:**

Jinxiang Han (jxhan@sdfmu.edu.cn)

Kang Ning (ningkang@hust.edu.cn)

Lei Zhang (zhanglei7@sdu.edu.cn)

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Abstract

Rheumatoid arthritis (RA) is a chronic inflammatory disease that typically progresses through four stages, from mild stiffness to severe disability. The gut-joint axis is critical in RA pathogenesis, while its dynamic patterns and regulatory mechanisms throughout RA progression remain unknown. Here, we performed fecal metagenomic and serum metabolomic studies on 76 patients from four RA stages, 19 patients with osteoarthritis, and 27 healthy individuals. We demonstrated dynamics of microbial dysbiosis and metabolomic disorders and their crucial roles in RA pathogenesis across successive stages, which were in line with clinical RA progression. First, elevated *Collinsella aerofaciens* and depleted *Parabacteroides distasonis* increased gut permeability in the first stage. Second, the depletion of *Bacteroides uniformis* and *Bacteroides plebeius* led to decreased glycosaminoglycan metabolism, continuously hurting articular cartilage. Third, elevated *Escherichia coli* prompted an increase in ascorbate degradation particularly in the second and third stages, promoting inflammation and bone destruction. Fourth, abnormally high levels of serum methoxyacetic acid and cysteine-S-sulfate might inhibit osteoblasts in the second stage and enhance osteoclasts in the third stage, respectively, thus promoting bone erosion. Moreover, metagenomic and metabolomic features were identified for different RA stages, presenting profound diagnostic potential. Furthermore, combined with analysis of 16S rRNA genes and scanning electron microscopy on joint synovial fluid from

another cohort of 271 RA patients, microbial invasion of the joints such as
Eggerthella lenta **and** *Bifidobacterium longum* **was highly likely to happen in the**
fourth stage. Our findings elucidate the role of microbial dysbiosis and metabolic
disorders in successive stages of RA, which open up new avenues for RA prognosis
and therapy.

Introduction

Rheumatoid arthritis (RA) affects over tens of millions of people worldwide[1]. RA is
recognized clinically as a chronic, inflammatory, autoimmune disease that primarily
affects the joints and typically has four stages[2–5]: 1) In the first stage, the synovium of
the joints is inflamed and most people have minor symptoms such as stiffness upon
awakening; 2) In the second stage, the inflamed synovium has caused damage to the
joint cartilage and people begin to feel swelling, and have a restricted range of motion;
3) In the third stage, RA has proceeded to a severe state when bone erosion begins and
the cartilage on the surface of the bones has deteriorated, resulting in the bones rubbing
against one another; and 4) In the fourth stage, certain joints are severely deformed and
lose function and people suffer considerably from swelling, stiffness, mobility loss, and
disability. To inhibit RA progression, specific therapeutic strategies are necessary for
people across different RA stages.

Gut microbial dysbiosis has been implicated in the pathogenesis of RA via a range of
mechanisms such as metabolic perturbation and immune response regulation, which is

known as the gut-joint axis[6–11]. For instance, increased abundance of *Prevotella* and

Collinsella in RA patients are correlated with the production of T_H17 cell cytokines[12,13].

Additionally, mice models have confirmed microbial roles in RA: Reduction of

Bacteroides spp. in mice with collagen-induced arthritis (CIA) may contribute to a local

pro-inflammatory environment by inhibiting CD4+ T cell differentiation into

regulatory T cells[14]. Microbial metabolites have also been correlated to immunity

regulation in RA: Short-chain fatty acids (SCFAs) have a local protective effect on

colonic inflammation, via binding to FFAR2 on colonic T cells and ILC3s[10,15]; SCFA

administration to mice with CIA can reduce the severity of arthritis by modulation of

IL-10[7,10]. Comprehensive metagenomic and metabolomic analyses could therefore

enhance our understanding about the gut-joint axis. However, the role of the gut-joint

axis across successive stages of RA is understudied[7,16], where more examination may

provide an alternative approach to ameliorate RA progression.

Here, we performed a multi-omics study including fecal metagenomics and serum

metabolomics on a cohort including 76 patients from four distinct RA stages, 19

patients with osteoarthritis (OA), and 27 healthy individuals. We depicted dynamic

patterns of microbial dysbiosis and metabolic disorders, and showed their continuous

roles throughout RA progression. We identified microbes related to the increased gut

permeability that occurred in the first stage, such as elevated *Collinsella aerofaciens*

and depleted *Parabacteroides distasonis*. We further identified microbes whose

depletion   would   continuously   hurt   articular   cartilage   through   weakened

glycosaminoglycan metabolism, such as the *Bacteroides uniformis* and *Bacteroides*

plebeius. In addition, we found that most of microbial dysfunction driven by

Escherichia coli were correlated with induced inflammation and bone loss, particularly

in the second and third stage of RA. Moreover, we demonstrated that abnormal

elevations of two metabolites methoxyacetic acid and cysteine-S-sulfate inhibited

osteoblasts in the second stage and enhanced osteoclasts in the third stage, respectively.

These effects might partially explain why bone erosion clinically occurred in the third

stage of RA. Furthermore, together with analysis of 16S rRNA genes and scanning

electron microscopy on joint synovial fluid from another cohort of 271 RA patients, we

found that microbial invasion of the joints, such as *Eggerthella lenta* and

Bifidobacterium longum, might occur in the fourth stage of RA. Collectively, this study

highlighted that microbial dysbiosis and metabolic disorders promoted clinical

symptoms across successive stages of RA, which may open new opportunities for

prognosis, pain relief, and therapies throughout RA progression.

Results

Comprehensive multi-omics characterization of RA

We conducted a multi-omics study on gut metagenomic data and serum metabolomic

data from a cohort of 122 participants including 76 RA patients, 19 OA patients, and

27 healthy controls. To assess the gut-joint axis across successive stages of RA, RA

samples were grouped into four RA stages including RA stage 1 (RAS1, n = 15), RA

stage 2 (RAS2, n = 21), RA stage 3 (RAS3, n = 18), and RA stage 4 (RAS4, n = 22)

according to the rheumatoid diagnostic score[3] (**Supplementary Tables 1**). We

employed two parallel processes for gut metagenomic data analysis: 1) One was based

on 4 million predicted genes and investigated the functional composition across RA

stages and OA, as well as the metagenomic species (MGS) that most drove the

correlation of these microbial functions with RA or OA[17] (**Fig. 1a**); and 2) The other

reported the 232 classified microbial species composition across RA stages and OA[18],

profiled by MetaPhlAn2[19] (**Fig. 1b**). We also explored the variance in serum

metabolomic across RA stages and OA (**Fig. 1c**). Furthermore, we identified the most

prominent metagenomic and metabolomic features that could discriminate each of RA

stages or OA from the healthy state with high accuracy using the random forest

algorithm on microbial species, microbial KOs, and serum metabolites, respectively

(**Fig. 1d**). Finally, combined with analysis on joint synovial fluid from another cohort,

we attempted to test the existence of microbial invasion of the joints in RA progression.

Dynamic patterns of microbial dysbiosis across successive stages of RA

We firstly identified arthritis-related microbial species that were substantially elevated

or depleted in patients with RA or OA, when compared with the healthy controls ($P <$

0.05, FDR < 0.05, Mann-Whitney-Wilcoxon test, **Supplementary Tables 2**). The

union set of these arthritis-related species (n = 15) was then used for linear discriminant

analysis (LDA) to separate arthritis samples from healthy samples (**Fig. 2a**). We

discovered that arthritis gut microbiota could be discriminated from healthy microbiota,

while we did not find an evident separation between RA and OA samples in LDA. The

most abundant two arthritis-related species were *Bacteroides uniformis* whose

proportion was mainly contributed by healthy samples, and *Escherichia coli* whose

proportion was mainly contributed by RA and OA samples (**Fig. 2b**). Moreover, the

RA group contributed dominantly to the proportion of *Clostridium hathewayi*,

compared to its extremely low level in healthy and OA samples (**Fig. 2b,c**).

Next, we sought to explore the taxonomic alterations across four successive stages of

RA. We examined 232 classified species and compared their abundances in each of RA

stages and OA to those in the healthy state (**Supplementary Tables 3**). The elevated

species in RA progression were mostly from the phyla Firmicutes and Actinobacteria,

while the depleted species were predominantly from the phylum Bacteroides (**Fig. 2d**).

Additionally, we exhibited the stage-specific abundance of the identified 15 arthritis-

related species, as well as species who or whose genera were previously recognized as

arthritis-related in other studies[20] (**Fig. 2d**).

For the arthritis-depleted species (**Fig. 2d**), we found that *B. uniformis* (RAS1: $P = 1.4$

$\times 10^{-3}$, RAS2: $P = 5.0 \times 10^{-3}$, RAS3: $P = 7.2 \times 10^{-3}$, RAS4: $P = 5.7 \times 10^{-3}$) and *C.*

fastidiosus (RAS1: $P = 0.041$, RAS2: $P = 0.024$, RAS3: $P = 0.022$) were significantly

depleted only during the RA progression but not in OA, whereas *Bacteroides*

cellulosilyticus was significantly depleted in OA ($P = 4.72 \times 10^{-4}$) and in the very early

and late stages of the RA progression (RAS1: $P = 0.023$, RAS4: $P = 6.24 \times 10^{-3}$).

For the arthritis-elevated species (**Fig. 2d**), we found that *C. hathewayi* was not

significantly elevated in the very early RA stage, though maintained a significantly

higher level during the subsequent RA stages than that in the healthy state (RAS2: $P =$

2.52×10^{-3}, RAS3: $P = 5.92 \times 10^{-3}$, RAS4: $P = 2.96 \times 10^{-3}$). In addition,

Bifidobacterium dentium also maintained considerable increased abundance from

RAS2 to RAS4 (RAS2: $P = 7.16 \times 10^{-3}$, RAS3: $P = 3.70 \times 10^{-3}$, RAS4: $P = 9.15 \times 10^{-4}$

^{4}). *B. dentium* was reported to be associated with the development of dental caries and

periodontal disease, both of which were particularly prevalent in patients with RA[21,22].

Streptococcus anginosus and *Streptococcus parasanguinis* maintained significant

elevation ($P < 0.05$) throughout the RA progression (**Fig. 2d**), while a few of other

species from genus *Streptococcus* were elevated stage-specifically. *Streptococcus mitis*

($P = 1.21 \times 10^{-3}$) and *Streptococcus sanguinis* ($P = 5.37 \times 10^{-4}$) were mainly elevated

in RAS1, while *Streptococcus salivarius* was mainly elevated in RAS3 ($P = 0.035$) and

RAS4 ($P = 0.022$). These results suggested that although a few microbes were identified

as correlated with arthritis, not all remained plentiful or reduced throughout the

progression.

Certain microbial species present stage-specific alteration throughout RA progression

Next, we identified species that were elevated or depleted exclusively in one specific RA stage when compared to the healthy state (P < 0.05, Mann-Whitney-Wilcoxon test, **Supplementary Tables 4**). *Collinsella aerofaciens*, for example, was found elevated exclusively in RAS1 (*P* = 0.043). *C. aerofaciens* was previously reported to generate severe arthritis when inoculated into collagen-induced arthritis (CIA)-susceptible mice, and an *in vitro* experiment showed that *C. aerofaciens* could increase gut permeability and induce IL-17A expression, a key cytokine involved in RA pathogenesis[13]. Therefore, the significant elevation of *C. aerofaciens* might contribute to the early breach in gut barrier integrity during RA progression[7]. The translocation of microbial products through the breach would then trigger the subsequent clinical arthritis[7].

Moreover, *Veillonella parvula*, whose infection could cause osteomyelitis[23], was found elevated exclusively in RAS3 (*P* = 0.027). *Eggerthella lenta* (*P* = 0.018) and *Bifidobacterium longum* (*P* = 0.022) were found elevated exclusively in RAS4. Additionally, mice gavaged with *E. lenta* were reported to show an increased gut permeability and produce proinflammatory cytokines IL-6, IL-21, IL-23[24]. We also found that the species *Bacteroides ovatus*, *Bacteroides coprocola*, and *Bacteroides faecis* were depleted exclusively in RAS1 (*P* = 4.2 × 10⁻³), RAS2 (*P* = 0.036), and RAS4 (*P* = 0.020), respectively. *Dialister invisus* was found elevated exclusively in OA

microbiota ($P = 0.041$). *D. invisus* was previously reported to be more abundant in the

inflamed ileal and colonic biopsy from patients with spondyloarthritis than in the

noninflamed biopsy, and was positively correlated with spondyloarthritis severity[25].

These results suggested that certain microbial species in RA dysbiosis presented

elevated or depleted exclusively in a particular stage, for implementing specific

functions such as increasing gut permeability and inducing proinflammatory cytokines.

B. uniformis- and *B. plebeius*-driven microbial dysfunction in glycosaminoglycan

metabolism continuously hurts articular cartilage

To detect the microbial dysfunction in arthritis including RA and OA, we grouped

4,047,645 metagenomic genes into 6224 KEGG orthologs (KOs) and 404 KEGG

modules. We identified 12 KEGG modules that were significantly correlated with RA

or OA ($P < 0.05$, FDR < 0.1, Mann-Whitney-Wilcoxon test, **Fig. 3a**, **Extended Data**

Fig. 1, **Supplementary Tables 5** and **6**). We then compared KOs' abundances within

these arthritis-related KEGG modules between each of RA stages or OA with healthy

state (**Fig. 3a** and **Supplementary Tables 7**), and used leave-one-out analysis to

identify the metagenomic species (MGS) that most drove the correlations of these

KEGG modules with arthritis (**Fig. 3b** and **Extended Data Fig. 2**).

We found an evident decrease in glycosaminoglycan (CAG) metabolism across four

RA stages and OA. It was mainly reflected by the significant decrease in K01197

(hyaluronoglucosaminidase, RAS1: $P = 2.31 \times 10^{-3}$, RAS2: $P = 7.78 \times 10^{-5}$, RAS3: P

$= 2.00 \times 10^{-5}$, RAS4: $P = 6.70 \times 10^{-4}$, OA: 8.65×10^{-4}) of dermatan sulfate (DS)

degradation and the significant decrease in K10532 (heparan-alpha-glucosaminide N-

acetyltransferase, RAS1: $P = 2.30 \times 10^{-4}$, RAS2: $P = 0.045$, RAS3: $P = 3.2 \times 10^{-3}$,

RAS4: $P = 4.66 \times 10^{-3}$, OA: $P = 3.72 \times 10^{-4}$) of heparan sulfate (HS) degradation (**Fig.**

3a). The compressive features of the articular cartilage are determined by CAGs,

predominantly chondroitin sulfate (CS) and hyaluronic acid[26]. CS is a major component

of the extracellular matrix of many connective tissues, including cartilage, bone, skin,

ligaments, and tendons[27]. We found that the significant depletion of DS degradation at

all the arthritis stages would decrease the production of chondroitin 4-sulfate (**Fig. 3b**),

which hurt the mechanical properties of articular cartilage of patients with arthritis.

Additionally, total CAGs, CS, and heparan sulfate/heparin (HS/H) were reported to be

higher in plasma of RA patients than in plasma of healthy subjects[28]. Moreover, the

sulfation of HS was reported to be increased in cartilages of patients with OA[29], and a

decreased HS content or a reduced sulfation level was reported to protect against OA

progression by regulating protease activity[26]. Thus, the significant depletion of HS

degradation in microbial function would prevent HS from being degraded, promoting

arthritis progression (**Fig. 3b**). The most driving species of dermatan sulfate

degradation and heparan sulfate degradation were MGS *Bacteroides uniformis* and

MGS *Bacteroides plebeius*, respectively. The genes of MGS *B. uniformis* related to

K01197 were found most depleted in RAS2, while the genes of MGS *B. plebeius* related

to K10532 were found most depleted in RAS3 and RAS4 (**Fig. 3B**). These results

indicated that the depleted microbial function in DS degradation and HS degradation

driven by *B. uniformis* and *B. plebeius*, respectively, could promote RA and OA in a

way of hurting articular cartilage.

E. coli-driven microbial dysfunction promotes inflammation and bone lose

particularly in the second and third stages of RA

We also identified certain arthritis-elevated microbial functions that were related to pro-

inflammation. As we have previously reported, *E. coli* could promote arthritis

progression by enhancing ascorbate degradation[11]. Ascorbate could prevent the

development of inflammatory arthritis by facilitating collagen synthesis, moderating

autoimmune responses, and ameliorating inflammation[30,31]. Here, we found most of the

KOs related to ascorbate degradation retained a higher level across RA stages and OA,

especially in RAS2 and RAS3 (**Fig. 3a**). Genes of K02821 (phosphotransferase system)

in RAS1, K03475 (phosphotransferase system), K03476 (L-ascorbate 6-phosphate

lactonase), and K03479 (L-ribulose-5-phosphate 3-epimerase) were mostly contributed

by MGS *E. coli* (**Fig. 3b**). Deficiency of the ascorbate reported in patients with RA[32]

might therefore be related to the enhanced ascorbate degradation in gut microbial

dysfunction. Moreover, we found that the enhanced ascorbate degradation was

evidently correlated with the elevation of multi serum cytokines, including IL-1β ($P = $

5.44×10^{-4}, q = 0.037), IL-17 ($P = 6.59 \times 10^{-4}$, q = 0.050), IL-8 ($P = 7.45 \times 10^{-4}$, q =

0.07), IL-2 ($P = 9.37 \times 10^{-4}$, q = 0.064), IL-6 ($P = 1.12 \times 10^{-3}$, q = 0.061), IL-12p70 (P

$= 3.47 \times 10^{-3}$, q = 0.093), IL-4 ($P = 4.2 \times 10^{-3}$, q = 0.097), TNF-α ($P = 6.59 \times 10^{-3}$, q

= 0.050).

Moreover, to confirm the effects of ascorbate on RA progression, we examined the

serum TNF-α level and IL-6 level, bone CT scans, and bone density of 1) normal

DBA/1 mice, 2) DBA/1 mice with CIA, and 3) DBA/1 mice with CIA and gavage of

ascorbate. We found that the three-month gavage of ascorbate can prevent the increase

of TNF-α and IL-6 levels, inhibit bone destruction and bone loss (**Extended Data Fig.**

3).

For other arthritis-elevated microbial functions, the arginine succinyltransferase

pathway was found significantly elevated mainly in RAS2 and RAS3 (**Fig. 3a**). L-

arginine has been reported to be able to prevent bone loss induced by zinc oxide

nanoparticles or by cyclosporin A, through anti-inflammatory mechanism[33] or nitric

oxide production, respectively[34]. The most driven MGS of the arginine

succinyltransferase pathway was MGS *E. coli* (**Fig. 3b**). Moreover, another KEGG

module named trans-cinnamate degradation was also most driven by MGS *E. coli*,

where most KOs were significantly elevated in RA and OA, especially in RAS2 (**Fig.**

3a,b). Trans-cinnamate degradation was positively correlated with the elevation of

multi serum cytokines, including IL13 ($P = 1.63 \times 10^{-5}$, q = 4.41×10^{-3}), IL-1β ($P =$

2.87×10^{-5}, q = 3.90×10^{-3}), IL10 ($P = 4.10 \times 10^{-5}$, q = 5.57×10^{-3}), TNF-α ($P = 6.97$

$\times 10^{-5}$, q = 9.47×10^{-3}), IL2 ($P = 7.40 \times 10^{-5}$, q = 0.010), IL-8 ($P = 2.46 \times 10^{-4}$, q =

0.067), IFNγ ($P = 3.92 \times 10^{-4}$, q = 0.036), IL4 ($P = 1.78 \times 10^{-3}$, q = 0.061), and IL-

12p70 ($P = 2.61 \times 10^{-3}$, q = 0.089). Furthermore, elevation of these microbial functions

were not as prominent in OA as they were in a particular RA stage (**Fig. 3a**), which

might help explain why OA had less inflammation than RA. Taken together, these

results suggested that arthritis-related microbial dysfunction could promote RA

progression by hurting bone tissue and strengthening inflammation. The inflammation-

related microbial dysfunction was extremely active in RAS2 and RAS3 and largely

driven by *E. coli*.

Gut microbes may invade joint synovial fluid in the fourth stage of RA

To examine whether and in which of stages microbes invaded joint synovial fluid of

patients with RA, we collected samples of joint synovial fluid from another cohort of

271 patients in four distinct stages of RA, including RAS1 (n = 52), RAS2 (n= 66),

RAS3 (n = 67), and RAS4 (n = 86). Enhanced gut permeability may render it possible

for microbes and their products to translocate, triggering an immune response[7,35].

Additionally, a few studies have shown the presence of microbial DNA in the joint

SF[36–39]. We thus speculated that gut microbes might invade the joint synovial fluid of

patients with RA through the gut-joint axis. To test this speculation, we next perform

16S rRNA gene sequencing on the SF samples. Notably, we were not able to obtain

enough bacterial DNA for sequencing in samples of RAS1, RAS2, or RAS3, however,

we could identify many microbes in samples of joint synovial fluid from patients in

RAS4 (**Extended Data Fig. 4**). We found that most of the microbes in joint synovial

fluid were from phyla Proteobacteria and Firmicutes (**Extended Data Fig. 4a**).

Moreover, we could recognize *Eggerthella lenta* and *Bifidobacterium longum* in most

of the synovial fluid samples (**Extended Data Fig. 4b**), both of which were observed

to be exclusively elevated in the intestine of patients in RAS4 (**Supplementary Tables**

4). In addition, *Prevotella copri* that has been reported highly correlated with RA[12,40]

was also found abundant in most synovial fluid samples of patients in RAS4.

We next randomly selected six synovial fluid samples per RA stage for bacteria

isolation. Only from three synovial fluid samples of RAS4 can we separate bacteria

(**Extended Data Fig. 5**). We then picked and sequenced three single colonies per

synovial fluid sample. Five of the nine colonies were identified as *Clostridium sp.*, three

were identified as *Enterococcus sp.*, and one was identified as *Citrobacter sp.*

(**Supplementary Tables 8**). We subsequently observed the corresponding synovial

fluid samples using scanning electron microscopy, and found substances shaped like

bacteria in rod-like or spherical forms (**Extended Data Fig. 6**). Taken together, this

multi-faceted investigation has provided unprecedented evidence to support the

existence of microbial invasion of the joints in the fourth stage of RA.

Diagnostic potential of metagenomic and metabolomic features for different RA stages

Microbial dysbiosis has exhibited stage-specific patterns, playing a crucial role in successive stages of RA, via microbial dysfunction. It was also speculated that dysbiosis might promote RA progression via metabolic perturbation[7].

To determine the most discriminant characteristics of each RA stage and OA from multi-omics perspective, we performed a random forest algorithm on 232 microbiome species, 6224 KOs, and 277 metabolites (**Fig. 4**), and displayed the most notable metagenomic and metabolomic features in **Fig. 5a**. Metabolites exhibited the best AUROC in discriminating samples of four RA stages or OA from healthy samples, with AUROC ranging from 0.974 to 0.998. Other characteristics at the species and KO levels exhibited weaker discriminant ability than features at the metabolite level, with AUROC ranging from 0.760 to 0.838 and from 0.799 to 0.852, respectively (**Fig. 4**). Thus, metabolic disorders were predominant in each stage of RA, implicating a critical involvement in pathogenesis and a precise diagnostic potential throughout the development of RA.

Dynamic patterns of metabolic disorders across successive stages of RA

Each of the RA stages had its respective most prominent alteration in metabolites (**Fig. 4** and **Fig. 5a**), even though all of them were profoundly different from those of health states (**Fig. 5b**). The most prominent changes in metabolites were the significant

increase of DL-lactate and gly-glu in RAS1 ($P = 2.15 \times 10^{-6}$, $P = 4.70 \times 10^{-4}$), the

decrease of N,N-dimethylaniline and the increase of methoxyacetic acid in RAS2 ($P =$

4.60×10^{-8}, $P = 1.28 \times 10^{-8}$), the increase of cysteine-S-sulfate ($P = 4.66 \times 10^{-12}$) in

RAS3, the increase of galactinol and 3α-mannobiose in RAS4 ($P = 5.71 \times 10^{-5}$, $P =$

5.68×10^{-4}), and the decrease of N,N-dimethylaniline and increase of gly-glu ($P = 2.00$

$\times 10^{-7}$, $P = 1.74 \times 10^{-3}$) in OA, as compared to a healthy state.

Abnormal elevation of serum lactate occurs in the early stage of RA and is

correlated with inflammation

A considerable increase in lactate, which was the most noticeable alteration in

metabolites in RAS1 (**Fig. 4a**), occurred early in RA development and remained

throughout the subsequent RA stages and OA (**Fig. 5b**). Lactate in the inflamed tissue

was reported to promote the upregulation of the lactate transporter SLC5A12 by human

CD4+ T cells[41]. This upregulation led to increased IL17 production via nuclear

PKM2/STAT3 and enhanced fatty acid synthesis[41]. In addition, antibody-mediated

blockade of SLC5A12 could ameliorate the severity of arthritis in a mouse model[41]. We

found serum DL-lactate was positively correlated with a certain number of

inflammatory cytokines such as IL-6 (r = 0.33, $P = 1.86 \times 10^{-5}$, q = 3.69×10^{-4}), IL-17

(r = 0.32, $P = 4.23 \times 10^{-5}$, q = 9.76×10^{-4}) and TNF-alpha (r = 0.40, $P = 1.84 \times 10^{-7}$, q

= 1.28×10^{-5}). As the end product of glycolysis[42], the lactate production would be

affected by the intervention of glycolysis, such as the disruption of the glucose-6-

phosphate isomerase (GPI, K06859) and phosphofructokinase (PFK, K00850). Both

GPI and PFK, as the upstream glycolytic enzyme, were found significantly decreased

in RA stages ($P < 0.05$, $q < 0.1$), while GPI was not significantly decreased in the OA

stage (**Fig. 3a**). As a consequence, microbial functional variation in glycolysis might

result in an increased concentration of DL-lactate across RA stages, contributing to the

elevated inflammatory cytokines.

Metabolic disorders break the balance between osteoblasts and osteoclasts mainly

in the second and third stages of RA

Furthermore, we identified that a few noticeable alterations in metabolites might

distinguish a given RA stage from not just healthy controls but also other RA stages or

OA. (**Fig. 5b**): Methoxyacetic acid in RAS2 ($P = 1.68 \times 10^{-4}$) or cysteine-S-sulfate in

RAS3 ($P = 2.42 \times 10^{-4}$) or Galactinol and 3α-mannobiose in RAS4 ($P = 9.37 \times 10^{-3}$, P

$= 4.89 \times 10^{-3}$), respectively, was higher than that in all the other RA stages and OA. In

addition, DL-lactate in OA was less than that in all RA stages ($P = 0.037$). These

metabolic distinctions may improve clinical differentiation of early RA from OA.

Such dramatic changes in serum metabolites suggested that they might be more than

just a metabolomic depiction of each RA stage; they could also be potential promoters

for the next stage. For instance, methoxyacetic acid was reported to have inhibitory

effects on osteoblasts and could cause reductions in bone marrow cellularity[43–45]. The

dominant elevation of methoxyacetic acid in RAS2 might be a precursor to bone erosion

that occurred in RAS3. Moreover, the notable elevation of cysteine-S-sulfate in RAS3

could promote the osteoclasts. Cysteine-S-sulfate, a structural analog of glutamate,

acted as an agonist of N-methyl-D-aspartate receptor (NMDA-R) whose expression and

function in osteoclasts engaged in bone resorption[46]. Therefore, aberrant elevations of

methoxyacetic acid in RAS2 might hinder osteoblasts, whereas abnormal elevations of

cysteine-S-sulfate in RAS3 might encourage osteoclasts. The imbalance between

osteoblasts and osteoclasts promoted the bone erosion that occurred clinically in the

third stage of RA.

Discussion

We performed metagenomic and metabolomic studies on a cohort of 76 RA patients,

19 OA patients, and 27 healthy controls, together with 16S rRNA gene sequencing and

scanning electron microscopy on joint synovial fluid samples from another cohort of

271 RA patients, and drew several important conclusions. First, we found that microbial

dysbiosis and metabolic disorders presented dynamic patterns across successive stages

of RA. Second, these dysbiosis and disorders continuously promoted RA progression

by increasing gut permeability, inducing inflammation, and hurting bone tissue. In

particular, microbial dysfunction in dermatan sulfate and heparan sulfate degradation

continuously hurts the articular cartilage. Third, certain influences were more focused

on a particular stage of RA. Notably, microbial dysfunction related to inflammation

was focused on the second and third stages and was mostly driven by *E. coli*. Metabolic

disorders prompted bone erosion through the abnormal elevation of methoxyacetic acid

in the second stage and cysteine-S-sulfate in the third stage. Finally, there was strong

evidence that microbial invasion of the joints happened in the fourth stage of RA.

Synovial fluid 16S rRNA gene sequencing was capable of detecting *Eggerthella lenta*

and *Bifidobacterium longum*, both of which were elevated in fecal metagenomics of

patients, exclusively in the fourth stage of RA rather than other stages. Moreover,

Clostridium sp., *Enterococcus sp.*, and *Citrobacter sp.* were separated from the joint

synovial fluid. Under scanning electron microscopy, we could observe substances

shaped like bacteria in rod-like or spherical forms. However, we were unable to detect

bacteria in the joint synovial fluid from patients in other stages of RA due to the low

DNA content. We conclude that the buildup of microbial dysbiosis and metabolic

disorders across successive RA stages may induce the microbial invasion of the joints

in the last stage of RA.

The findings of the continuous effects of microbial dysbiosis and metabolic disorders

across successive RA stages could be of considerable clinical importance (**Fig. 6**). First,

hurting bone tissue (**Fig. 6a**). Depleted *B. uniformis* drove the decreased dermatan

sulfate degradation, leading to less production of chondroitin 4-sulfate. The low content

of chondroitin 4-sulfate would inhibit the formation of articular cartilage[26,27].

Additionally, depleted *B. plebeius* drove the less degradation of HS. A high HS content

would promote OA progression[26,29]. Second, increasing inflammation particularly in

the second and the third of RA (**Fig. 6b**). Elevated *E. coli* drove enhanced degradation

of L-Arginine through the arginine succinyltransferanse pathway. L-Arginine acted as

an inhibitor of bone loss via anti-inflammation[33] and nitric oxide production[34]. Besides,

elevated *E. coli* drove enhanced ascorbate degradation. Ascorbate is an anti-

inflammatory vitamin[30,31]. We found that three months of ascorbate treatment to mice

with CIA could relieve arthritis, by preventing bone destruction and inflammation.

Owing to the sequencing limitation in this study, we are unable to pinpoint the strain of

microbial species in these gut-joint axes. Further explorations are required for

determining strain identity, maybe through third-generation sequencing, as well as for

testing its effects in a sterile mice model. In clinical practice, the intervention based on

these proposed mechanisms may profoundly improve the therapy for patients during

the whole RA progression, through restoring *B. uniformis* and *B. plebeius*, and

inhibiting *E. coli*, or supplying patients with ascorbate and chondroitin 4-sulfate.

Apart from clinical treatments for microbial dysbiosis and metabolic disorders in the

whole RA progression, specific treatments are necessary for each RA stage to not only

relieve the symptoms in the current stage but also inhibit the onset of the subsequent

stages. Our findings suggested that certain effects of microbial dysbiosis or metabolic

disorders on RA progression were more focused on a particular stage. In the early stage

of RA, elevated *C. aerofaciens* and depleted *Parabacteroides. Distasonis* both

contributed to the increased gut permeability (**Fig. 6b**). *C. aerofaciens* was found

elevated exclusively in the first stage of RA, rather than other stages. *C. aerofaciens*

was reported to induce expression of IL-17 network cytokines and increase gut

permeability via reducing the expression of tight junction protein in epithelial cells[13].

P. distasonis was depleted in most of RA stages and OA with a remarkable ability to

distinguish arthritis samples from healthy samples, particularly in the first stage (**Fig.**

5b). Previous studies have reported the anti-inflammation effect of *P. distasonis* such

as its correlation with CRP[47], TNF-α[48], and IL-1β[48], and that the oral administration of

its antigens could reduce intestinal inflammation in colitis mice[49]. However, the role of

P. distasonis in RA pathogenesis remained unknown. A recent study found that *P.*

distasonis could preserve the intestinal barrier integrity in azoxymethane-treated A/J

mice, and possess anti-inflammatory properties and anti-tumor properties through the

inhibition of signaling via TLR4, MYD88, and Akt, as well as the stimulation of

apoptosis[50]. We thus speculate that the considerable depletion of *P. distasonis* occurs

in the very early of RA, contributing to inflammation and the collapse of intestinal

barrier integrity, though this possible mechanism warrants further investigations.

Intervention on *C. aerofaciens* and *P. distasonis* therefore may have a higher priority

in the first stage of RA than in subsequent stages to inhibit the early increase of gut

permeability and inflammation.

In the second stage of RA, the aberrant elevation of methoxyacetic acid had inhibitory

effects on osteoblasts and caused reductions in bone marrow cellularity[43–45] (**Fig. 6b**).

The inhibited osteoblasts drew the foreshadowing for the bone erosion that happened

in the next stage. In the third stage, the considerable elevation of cysteine-S-sulfate

might enhance the osteoclasts by NMDA-R interaction[46] (**Fig. 6b**). The imbalance

between osteoblasts and osteoclasts then promoted bone erosion that happened in the

third stage and persisted in the late RA stages (**Fig. 6b**). Thus, methoxyacetic acid may

be a targeted metabolite for treatment to patients in the second stage of RA and serve

as a precaution against the upcoming third stage. In the fourth stage of RA, continuous

damages in gut permeability, intestinal immunity, and bone tissue resulted in the

extremely high elevation of proinflammatory cytokines and severe bone erosion, and

even microbial invasion of the joints. Due to the collapse of the intestinal barrier,

microbes and microbial metabolites might be transferred to the joints via blood[7]. In

addition to routine medical therapies for immunity regulation, specific treatments to the

microbes in the joint synovial fluid of the patients in the fourth stage of RA may

ameliorate the joint micro-environment to decrease synovial inflammation and prevent

potential bacterial effects.

This study also has prospects and limitations. Firstly, it may aid in enhancing RA

therapy across populations by assessing whether RA patients with different genetic

backgrounds exhibit different gut-joint links across stages. Secondly, a long-term

follow-up investigation on a single individual throughout his/her RA development may

reinforce the conclusions of this study. Thirdly, it remains unclear how bacterial genetic

materials are transferred from intestine to joint. It might be realized by bacteria

transmission through blood or by means of extracellular vesicles or both. Fourthly, the

proposed links between microbial dysbiosis/metabolic disorders and RA can serve as a

guidance for future studies on RA pathogenesis. Lastly, additional researches into the

synovial fluid microbiome and metabolome have the potential to figure out more

sophisticated mechanisms underlying RA pathogenesis.

In conclusion, we demonstrate dynamic shifts in gut microbiome and serum

metabolome, and their continuous roles in pathogenesis of RA across four successive

stages. Microbial dysbiosis and metabolic disorders promotes RA through increasing

gut permeability, inducing inflammation, and hurting bone tissue. Although these

effects persist in the whole RA progression, certain effects are more focused on specific

stages. Furthermore, the buildup of the effects of these dysbiosis and disorders may

lead to the collapse in the fourth stage of RA, as represented by the microbial invasion

of the joints. This study highlights that microbial dysbiosis and metabolic disorders

promote clinical symptoms across successive stages of RA. The stage-specific

intervention in microbial dysbiosis and metabolic disorders was warranted for

prognosis and prevention of RA progression.

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    Figure legends

Fig. 1 | The analysis pipeline for the multi-omics study on patients with RA. Gut

microbiome whole-genome sequencing (WGS) data and serum metabolomic data from

76 rheumatoid arthritis (RA), 19 osteoarthritis (OA) patients, and 27 healthy individuals

were used in the analysis. RA samples were divided into four stages including RAS1

(n = 15), RAS2 (n = 21), RAS3 (n = 18), RAS4 (n = 22) according to the rheumatoid

diagnostic score. **a**, Microbial genes, predicted from WGS sequences, were clustered

into metagenomic species (MGS) for taxonomic annotation and mapped to KEGG

orthology for functional annotation. Ten KEGG modules that were significantly

correlated with arthritis (Healthy = 0, OA = 1, RA = 2, $P < 0.05$, FDR < 0.05, Mann-

Whitney-Wilcoxon test) were remained to describe the stage-specific functional pattern.

Additionally, the leave-one-out analysis was used to determine the MGS that most

drove the correlation of these ten KEGG modules with arthritis (Methods). KEGG maps

of these specific modules, their KOs' abundances, and the corresponding driving MGS

abundances were plotted to show the stage-specific functional driving genes variation.

b, A total of 232 classified microbial species, identified from WGS sequences, were

used to describe the stage-specific taxonomic pattern. **c**, In total, 277 serum metabolites

were used to describe the stage-specific metabolomic pattern. **d**, Random forest

algorithm was performed on 6224 KOs, 232 microbial species, and 277 serum

metabolites from (a–c) to detect the stage-specific biomarkers at the multi-omics level

and the AUROC in classifying stage-specific arthritis samples from healthy individuals.

Fig. 2 | Microbial dysbiosis across four stages of RA. a, Linear discriminant analysis

to separate the samples of healthy, OA, and RA groups. The length and direction of the

arrows indicate the normalized scalings for each of the microbial species. **b**, Each row

represents a species with significantly different abundances ($P < 0.05$, FDR < 0.05)

between the RA and the healthy groups or between the OA and the healthy groups.

Each column represents a sample from one of the groups including the healthy (n $= 27$),

RAS1 (n $= 15$), RAS2 (n $= 21$), RAS3 (n $= 18$), RAS4 (n $= 22$), and OA (n $= 19$) groups.

The color of each heatmap unit represents the scaled abundance of a certain species in

a specific sample. Species are colored for significant elevation (red) or depletion (green)

in the arthritis groups, in comparison with the healthy groups. **c**, Three apices of the

triangle in the ternary diagram represent components of healthy, OA, and RA groups.

Microbial species in (b) are plotted as dots inside the triangle, the size of which indicates

the relative abundance. For each dot, moving away from the apex, the proportion of the

component at the apex decreases as the proportion of the remaining two increases. **d**,

In total, 232 classified species whose relative abundance was more than 1×10^{-6} are

shown in a phylogenetic tree, grouped in the phyla Fusobacteria, Proteobacteria,

Actinobacteria, Bacteroidetes, and Firmicutes. In the outer circles, species at each

arthritis stage are marked for significant elevation ($P < 0.05$, orange; FDR < 0.05, red)

or depletion ($P < 0.05$, light green; FDR < 0.05, dark green) in abundance, as compared

to those of healthy individuals. The innermost circle shows species relative abundances

averaged over all samples. Box plots show the relative abundances of species recorded

in (b) and (c), and others that have been correlated with RA in previous work. The order

and color of the box are consistent with the stage circle. Significant changes (elevation

and depletion) are denoted as follows: +++, elevation with $P < 0.005$; ++, elevation

with $P < 0.01$; +, elevation with $P < 0.05$; −−−, depletion with $P < 0.005$; −−, depletion

with $P < 0.01$; −, depletion at $P < 0.05$. Boxes represent the interquartile range between

the first and third quartiles and the line inside represents the median. Whiskers denote

the lowest and highest values within the $1.5 \times$ interquartile range from the first and third

quartiles, respectively. All the statistical significance was calculated by the Mann-

Whitney-Wilcoxon test. Microbial species shared in (b−d) are marked with consistent

circular marks.

Fig. 3 | Microbial dysfunction across four stages of RA. Gene abundances were assessed for elevation or depletion in each of the arthritis stages, RAS1 (n = 15), RAS2 (n = 21), RAS3 (n = 18), RAS4 (n = 22), and OA (n = 19) compared to the healthy individuals (n = 27). **a**, Relative abundance of KO genes in the KEGG modules that were significantly correlated with arthritis ($P < 0.05$, FDR < 0.05). KO genes with a prevalence of 5% or higher are shown. Significant changes (elevation and depletion) are denoted as follows: +++, elevation with $P < 0.005$; ++, elevation with $P < 0.01$; +, elevation with $P < 0.05$; −−−, depletion with $P < 0.005$; −−, depletion with $P < 0.01$; −, depletion at $P < 0.05$. **b**, KO genes involved in specific KEGG pathway modules in (a) are shown in the KEGG pathway maps. Each box in a pathway represents a KO gene and is marked in red for elevation or in blue for depletion at any of the stages compared to healthy individuals. Bar plots show relative gene abundances averaged over samples within each of the five groups (healthy (H), RAS1 (S1), RAS2 (S2), RAS3 (S3), RAS4 (S4), and OA) and are colored according to the values. Each KO gene is composed of MGS genes represented by circles. The sizes and colors of the circles are proportional to the relative abundances of the MGS genes. MGS genes are grouped into one row and indicated by the taxonomic name. The three MGS that most drove the correlation of the KEGG modules with arthritis types are shown. Significant changes (elevation and depletion) are denoted as follows: +++, elevation with $P < 0.005$; ++, elevation with $P < 0.01$; +, elevation with $P < 0.05$; −−−, depletion with $P < 0.005$; −−, depletion with

679    $P < 0.01$; $-$, depletion at $P < 0.05$. The boxes represent 25th–75th percentiles, black lines

680   indicate the median, and whiskers extend to the maximum and minimum values within

681    $1.5 \times$ the interquartile range. All the statistical significance was calculated by the Mann-

682   Whitney-Wilcoxon test.

Fig. 4 | Multi-omics biomarkers and their diagnostic potential for discriminating each of RA stages from healthy state. A random forest algorithm was performed on 6224 KOs, 232 microbial species, and 277 serum metabolites to detect the stage-specific biomarkers in the arthritis progression including RAS1 (**a**), RAS2 (**b**), RAS3 (**c**), RAS4 (**d**), and OA (**e**). In each of the panels, the Gini importance of the top five most discriminant biomarkers of microbial species, KOs, or metabolites are displayed. Boxes represent the interquartile range between the first and third quartiles and the line inside represents the median. Whiskers denote the lowest and highest values within the 1.5 × interquartile range from the first and third quartiles, respectively. Boxes are marked in a specific color to show the significant elevation ($P < 0.05$, red, Mann-Whitney-Wilcoxon test) or depletion ($P < 0.05$, blue, Mann-Whitney-Wilcoxon test) of the biomarkers in each of the arthritis stages compared to the healthy group. The ROC curves of the random-forest model using microbial species, KOs, or metabolites were plotted, with AUC calculated by 10 randomized 10-fold cross-validation. The color of the curve represents the category of the used features.

Fig. 5 | Multi-omics dynamic patterns in the gut-joint axis across four RA stages.

a, The alteration of gut microbiome taxonomic and functional composition would interact with human joint through serum metabolites perturbation. The most dominant elevation and depletion of microbial species, microbial function, and serum metabolites in each of RA stages and OA are shown, as compared to those in the healthy group. The perturbated metabolites and elevated serum cytokines such as IL-6 and TNF-α were transferred to human joints to promote inflammation and bone erosion. Different RA stages and OA had inflammation or bone erosion of different severity and corresponding different microbial patterns. **b**, The dot plots show stage-specific abundance or concentration (mean ± s.e.m.) of microbial species, serum cytokines, and serum metabolites, which are specified in (a). Four RA stages are connected to display the variance. For microbial species and serum metabolites, dots are colored differently if the features are significantly elevated (red) or significantly depleted (blue), as compared to those of the healthy group. For serum cytokines, dots of RA stages are colored in red for significant elevation compared to the OA group. ***, $P < 0.005$; **, $P < 0.01$; *, $P < 0.05$, Mann-Whitney-Wilcoxon test.

Fig. 6 | Potential pathogenesis across successive RA stages from multi-omics perspective. a, Certain potential mechanisms by which gut microbial dysbiosis play roles in RA pathogenesis through hurting hone tissue and increasing inflammation. The driving species, microbial dysfunction, and related metabolites were extracted from **Fig. 3b**. The red or blue box of metabolites represents their speculated elevation or depletion according to the KEGG map. The dotted line represents the speculated effects of microbial and metabolic variation on arthritis pathogenesis, with a bracket including the literature. **b**, The most representative effects of microbial dysbiosis and metabolic disorders on RA progression across successive stages.

Methods

The cohort for the metagenomic and metabolomic study

A total of 122 fecal and 122 serum samples were collected from 122 outpatients of the Shandong Provincial Qianfoshan Hospital (Jinan, Shandong, China). These outpatients included 76 patients with rheumatoid arthritis (RA), 19 patients with osteoarthritis (OA), and 27 healthy individuals. RA patients were grouped into four RA stages including RAS1 (n = 15), RAS2 (n = 21), RAS3 (n = 18), and RAS4 (n = 22) according to the rheumatoid diagnostic score[3], where RAS1, RAS2, RAS3, and RAS4 has a score of 6-7, 8, 9, and 10, respectively (**Supplementary Tables 1**). The score was evaluated by the sum of four categories[3]: A) joint involvement that refers to any swollen or tender

joint on examination; B) Serology determined by values of rheumatoid factor and anti-

citrullinated protein antibody; C) Acute-phase reactants determined by values of C-

reactive protein and erythrocyte sedimentation rate; and D) Duration of symptoms.

Subsequently, fecal samples were sequenced and serum samples were used to test the

serum metabolites and inflammatory cytokine levels. Serum metabolites were tested

using UHPLC-MS/MS, while inflammatory cytokines were quantified using the MESO

SCALE DISCOVERY (MSD) Quick Plex S600MM multiplex assay. Cytokine levels

of healthy individuals were not available because of their extremely low levels. All of

the participants were at fasting status during the sample collection in the morning. Only

participants who met the standard were recruited in this study: Recruited individuals

had not received treatment in the recent month, and had no malignant tumor, no other

rheumatic diseases such as ankylosing spondylitis, psoriasis, gout, no gastrointestinal

diseases such as diarrhea, constipation, and hematochezia in the recent one month, no

infections, no other comorbidity such as diabetes and hepatitis B.

Metagenome sequencing and processing

Whole-genome shotgun sequencing of fecal samples was carried out on the Illumina

Hiseq X Ten. All samples were paired-end sequenced with a 150-bp read length. Reads

containing more than 40 base pairs with low-quality values of 38 or less were discarded.

Reads containing more than 10 unidentified base pairs were discarded. Reads

containing more than 15 base pairs that overlapped adapter sequences were discarded.

Next, reads were mapped against the human genome using Bowtie2 (version 2.2.9).

Those that were mapped were considered to be derived from the human genome and

were discarded. Finally, unpaired reads were discarded. High-quality reads were used

for the following analyses. MetaPhlAn2[19] was then used to generate microbial species

profiles.

Contig assembly and ORF prediction

The reads were assembled into contigs using MEGAHIT[18] (version 1.2.6) with the

minimum contig length set at 500 bp and default parameters for metagenomics. The

open reading frames (ORFs) were predicted from the assembled contigs using

Prodigal[51] (version 2.6.3) with default parameters. The ORFs of <100 bp were removed.

Non-redundant gene catalogue construction

The ORFs were clustered to remove redundancy using Cd-hit[52] (version 4.6.6) with a

sequence identity threshold set at 0.95 and the alignment coverage set at 0.9, which

resulted in a catalogue of 4,047,645 nonredundant genes. The non-redundant genes

were then collapsed into metagenomic species (MGS)[17,53], and grouped into KEGG

functional modules[17], as described below.

Identification of metagenomic species

High-quality reads were mapped to the catalogue of nonredundant genes using Bowtie

2[54] (version 2.2.9) with default parameters. The abundance profile for each catalogue

gene was calculated as the sum of uniquely mapped sequence reads, using 19M

sequence reads per sample (downsized). The co-abundance clustering of the 4,047,645

genes was performed using canopy algorithm[53] (http://git.dworzynski.eu/mgs-canopy-

algorithm), and 553 gene clusters that met the previously described criteria[53] and

contained more than 700 genes were referred to as MGS. MGS that were present in at

least 4 samples were used for the following analysis. The abundance profiles of MGS

were determined as the medium gene abundance throughout the samples. MGS were

taxonomically annotated by summing up the taxonomical annotation of their genes as

described by Nielsen *et al*[53]. Each MGS gene was annotated by sequence similarity to

the bacterial complete genome in NCBI Reference Sequence Database (BLASTN, E-

value < 0.001).

Annotation of KEGG modules.

The catalogue of the nonredundant genes was functionally annotated to the KEGG

database (release 94.0) by KofamKOALA (version 1.3.0)[55,56]. The produced KEGG

Orthologs (KOs) were mapped to the KEGG modules annotation downloaded on 1

August 2020 from the KEGG BRITE database. KOs that were present in at least 4

samples were used for the following analysis. The KO abundance profile was calculated

by summing the abundance of genes that were annotated to each of the KOs.

Leave-one-out analysis

Leave-one-out analysis was used to test which MGS was driving the observed associations between KEGG modules and arthritis. The calculation of the KO abundance was iterated excluding the genes from a different MGS, in each iteration. The effect of a given MGS on a specified association was defined as the change in median Spearman correlation coefficient between KOs and arthritis when genes from the respective MGS were left out, as previously described[17,57].

Multi-omics biomarkers

A random forest algorithm was performed using the R package "randomForest" on each of the three profiles independently, including 232 microbiome species, 6,224 KOs, and 277 metabolites. Function "trainControl" in R package "caret" was used to perform 10 repeats of 10-fold cross-validation for each data set. Function "train" in R package "caret" was used to fid models over different tuning parameters to determine the "mtry" for random forest algorithm.

Correlation of phenotypes and KEGG modules

The clinical phenotypes including types of arthritis (Healthy = 0, OA = 1, RA = 2) were used in the correlation analysis with KEGG modules. The associations of clinical phenotypes with KEGG modules were determined by if Spearman correlations of the phenotype with the abundances of KOs in the given KEGG module were significantly

higher or lower (Mann–Whitney U-test FDR < 0.1) than with the abundances of all

other KOs. The phenotypes adjusted by age and gender were also tested using partial

Spearman correlation. The union set of the significant associations between KEGG

modules and phenotypes/phenotypes adjusted by age and gender were used for the

following analysis.

Gavage experiments using ascorbate in the CIA mouse model

Nine healthy seven-week-old DBA/1 mice weighing 20g were fed in an ultra-clean

animal laboratory (SPF grade) with a humidity of 55% and a temperature of 26°C. CIA

models were constructed and established as described before[58]. Nine mice were then

divided into three groups (three mice per group), including normal DBA/1 mice and

two groups of DBA/1 mice with CIA. Three-month gavage (0.3ml/d) to three groups

of mice was conducted, including 1) 0.9% normal saline to normal DBA/1 mice, 2) 0.9%

normal saline to DBA/1 mice with CIA, and 3) 100ng/ul ascorbate to DBA/1 mice with

CIA. After three-month gavage, the mice serum TNF-α level and the IL-6 level were

tested using ELISA kit (mlbio, China). Mice were then killed and preserved in

4% formalin for two days. Micro-CT (QuantumGX, PerkinElmer, UnitedStates) was

used to perform scanning and three-dimensional structural reconstruction of the joints.

The settings were set to 209m, 90kV X-ray tube voltage, 160uA of the current, and 3

minutes of the scan time. The angle of the X-ray scan rotated 180 degrees.

The cohort for detection of bacteria in the joint synovial fluid

A total of 271 patients with RA of four distinct stages were recruited, including 52 RAS1, 66 RAS2, 67 RAS3, and 86 RAS4. RA patients were grouped into four RA stages according to the rheumatoid diagnostic score[3]. The patients met the aforementioned standard. Synovial- fluid (SF) samples were collected aseptically from knee joints during therapeutic aspiration. Synovial-fluidSF samples were deposited in sterile tubes on ice and homogenized within five minutes of collection. A tube filled with sterile phosphate-buffered saline (PBS) was left open throughout the procedure and subsequently processed in parallel with the samples as a negative control. The entire experiment was conducted in a completely sterile atmosphere. Each sample was immediately frozen and kept without heparin or hyaluronidase at –135 °C. For each patient, a total of 7ml synovial fluidSF was collected, of which 5ml was utilized for 16S rRNA gene sequencing, 1ml was used for bacteria isolation, and 1ml synovial fluid SF was prepared for scanning electron microscopy.

16S rRNA gene sequencing and processing

Bacterial DNA was extracted from 271 5ml synovial fluid samples. The tube containing PBS serves as environmental control. 86 synovial-fluidSF samples from patients in RAS4 had bacteria DNA content (≥10ng) (Bacterial DNA Kit, TIANGEN) for bacteria 16S rRNA gene high-throughput sequencing. The V1/V2 hypervariable regions of the 16S ribosomal RNA gene were sequenced using the Illumina HiSeq platform. The 16S

sequence paired-end data set was joined and quality filtered using the FLASH as

previously described[59]. Sequence analysis was performed using the Quantitative

Insights into Microbial Ecology (QIIME, version 1.9.1) software[60]. Chimeric sequences

were removed using de novo chimera detection of USEARCH 6.1[61]. Sequences were

clustered against the 2013 Greengenes (13_8 release) ribosomal database's 97%

reference data set. Sequences that did not match any entries in this reference were

subsequently clustered into de novo OTUs at 97% similarity with UCLUST[61].

Taxonomy was assigned to all OTUs using the RDP classifier[62] against Greengenes

reference data set.

Isolation of bacteria in the joint synovial fluid

Due to that pH of joint synovial fluidSF was 7.6, we used Luria-Bertani (LB) broth

medium with a pH of 7.6 for cultivation. The procedures were as follows: 1) sterilized

LB medium (pH7.6) and inoculation equipment were placed in an anaerobic glove box

(Ruskinn Concept 400) for deaeration one day in advance; 2) 1ml synovial-fluid SF

samples per stage of RA were used for bacterial cultivation. 3) The synovial-fluid SF

sample was serially diluted with sterilized water, plated onto LB (0.5% yeast extract,

1% tryptone, 1% sodium chloride) agar medium, and then incubated at 37°C for 72 h

to obtain single colonies. Then, three colonies per plate were randomly selected and

streaked three consecutive times on LB agar medium to obtain a pure culture, which

was named isolate SF1 to SF9, respectively. To identify isolate SF1 to SF9, a partial

fragment of 16S rDNA was amplified with the primer pair 27F (5'-

AGAGTTTGATCCTGGCTCAG-3') and 1492R (5'-GGTTACCTTGTTACGACTT-

3'), and then DNA sequencing was performed for preliminary identification.

Scanning electron microscopy for bacteria in the joint synovial fluid

The synovial-fluidSF sample was filtered through the membrane (special membrane for

flow cytometry) to remove solids and large particles (Filtrate A). Filtrate A was then

filtered through a 0.45 μmum membrane to remove most human cells (Filtrate B).

Filtrate B was then filtered through a 0.22 μmum filter membrane to enrich bacteria and

subsequently washed with sterilized ultra-pure water to obtain Filtrate C. Filtrate C was

soaked in 2.5% glutaraldehyde for 4 hours at room temperature. Filtrate C was then

washed three times with 0.1 M PBS buffer and treated with 1% osmic acid for 4h.

Filtrate C was then dehydrated in ethanol, vacuum dried by tert-butyl alcohol, coated

with gold, and imaged with a scanning electron microscope (ZEISS Sigma 300).

Statistical analyses

The correlations between vectors such as microbial species, metabolites, and the levels

of cytokines, were tested using Spearman correlation or partial Spearman correlation

with age and gender adjusted (P values), corrected by FDR (q values). For categorical

metadata, samples were pooled into bins (RA/OA/Healthy, RAS1/RAS2/RAS3/RAS4)

and significant features were identified using Mann-Whitney-Wilcoxon Test (P values)

with Benjamini and Hochberg correction (FDR, q values).

Data availability

Whole-genome shotgun sequencing data are available in the Genome Sequence Archive (GSA) section of the National Genomics Data Center (project accession number CRA004348). 16S rRNA gene sequencing data are available in the Genome Sequence Archive (GSA) section of the National Genomics Data Center (project accession number CRA005811).

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Author contributions

M.C., Y.Z., L.Z., K.N., and J.H. designed the study. M.C., Y.Z., Y.C., Y.Zha, S.L., G.C., and M.L. collected samples and conducted experiments. M.C., Y.Z, and K.N. conducted data analysis and produced the figures and tables. M.C., Y.Z., K.N., and J.H. wrote the manuscript. All authors revised the manuscript. M.C., Y.Z., L.Z., K.N., and J.H. supervised the study.

Ethics statement

The study was approved by the Ethics Committee of The First Affiliated Hospital of Shandong First Medical University (NO.2017-02).

Competing interests

The authors declare that they have no competing interests

Recruited cohort

Healthy (27) — S1 (15) / S2 (21) / S3 (18) / S4 (22)
RA (76)
OA (19)

Gut-joint axis

WGS sequences

MEGAHIT, Prodigal

MetaPhlAn2

a Microbial genes (4M)

Taxonomy

Functionality

MGS framework

KEGG orthologs (6224)

KEGG modules (404)

MGS (553)

Leave-one-out analysis

Arthritis association filtering (FDR < 0.1)

MGS that most drive the correlation of KEGG moduls with arthritis

(12)

Stage-specific functional pattern

KEGG module 1
KO1
KO2
KO3

KEGG module 2

Healthy S1 S2 S3 S4 OA

Stage-specific functional driving genes variation

KEGG map

KO2
KO1 KO3 KO4 KO5

KO abundance

Driving MGS1
Driving MGS1
Driving MGS1

Healthy S1 S2 S3 S4 OA

b Microbial species (232)

Stage-specific taxonomic pattern

Healthy
S1
S2
S3
S4
OA

Species 1
Species 2
Species 3
Species 4

c Serum metabolites (277)

Stage-specific metabolomic pattern

Metabolite 1
Metabolite 2
Metabolite 3
Metabolite 4

Healthy
S1
S2
S3
S4
OA

d Random forest

Stage-specific biomarkers

Species
Metabolites
KO
AUROC

Healthy
S1
S2
S3
S4
OA

Species
KO
Metabolites

a

Blood circulation
Blood circulation

Cytokines
IL-6 ↑
TNF-α ↑

Microbial species

RAS1
Parabacteroides distasonis ↓
Streptococcus sanguinis ↑

RAS2
Escherichia coli ↑
Clostridium nexile ↑

RAS3
Parabacteroides distasonis ↓
Escherichia coli ↑

RAS4
Streptococcus parasanguinis ↑
Parabacteroides distasonis ↓

OA
Coprococcus catus ↑
Ruminococcus torques ↑
Parabacteroides distasonis ↓

Microbial functionality

RA & OA
Ascorbate degradation ↑
Arginine succinyltransferase pathway ↑
Trans-cinnamate degradation ↑

Glycosaminoglycan metabolism ↓
Propanoyl−CoA metabolism ↓
Lipopolysaccharide metabolism ↓
Biotin biosynthesis ↓

Serum metabolites

RAS1
DL-lactate ↑
Gly-Glu ↑

RAS2
N,N-dimethylaniline ↓
Methoxyacetic acid ↑

RAS3
Cysteine-S-sulfate ↑
Gly-Glu ↑

RAS4
Galactinol ↑
3α-mannobiose ↑

OA
N,N-dimethylaniline ↓
Gly-Glu ↑

RAS1 & RAS2   **RAS3 & RAS4**

OA

Synovial fluid
Inflammation
Bone erosion
Blood vessel

b

Microbial species

Parabacteroides distasonis *Streptococcus_sanguinis* *Escherichia coli* *Clostridium nexile*

Streptococcus parasanguinis *Coprococcus catus* *Ruminococcus torques*

Serum cytokines

IL-6

TNF-α

Serum metabolites

DL-lactate   Gly-Glu   N,N-dimethylaniline   Methoxyacetic acid

Cysteine-S-sulfate   Galactinol   3α-mannobiose

a

Bacteroides uniformis ↓

Dermatan sulfate → Chondroitin 4-sulfate

Dermatan sulfate degradation ↓

[26,27] → Hurt mechanical properties

Heparan sulfate → Glycan

Heparan sulfate degradation ↓

Bacteroides plebeius ↓

[26,29] → Promote osteoarthritis progression

E. coli ↑

L-Arginine → L-Glutamate

Arginine succinyltransferase pathway ↑

[33,34] → Promote bone loss

Hurt bone tissue

[30,31] → Promote inflammation → Inflammation

Ascorbate → D-Xylulose 5-phosphate

Ascorbate degradation ↑

Gut microbial dysbiosis and consequences

b

Dysbiosis **RAS1**

Bacteroides uniformis ↓
Bacteroides plebeius ↓
E. coli ↑

P. distasonis ↓ [50]
C. aerofaciens ↑ [13]

Breach of barrier integrity

Cytokines   Metabolites

RAS2

Methoxyacetic acid ↑

Inhibit osteoblasts [43,44,45]

Cysteine-S-sulfate ↑

Enhance osteoclasts [46]

RAS3

RAS4

Bifidobacterium longum ↑
Eggerthella lenta ↑

Detected in synovia fluid

Bacterial invasion
Bone erosion
Inflammation