TITLE PAGE

Article Type: Research article

Article Title: Factors that affected the qualification of standardized residency training

of internal medicine: a prospective longitudinal study.

Authors' names and institutional affiliations:

Yunjuan Sun, Jialiang Xu, Limei Hu and Jiajun Qi. All of them came from the First

Affiliated Hospital of Soochow University

Contributions and ORCIDs:

Yunjuan Sun from Department of Cardiology, the First Affiliated Hospital of

Soochow University. Contributions: Conceptualization, Formal analysis, Investigation

and Writing original draft. ORCID: 0000-0001-5640-4627

Jialiang Xu from Department of Cardiology, the First Affiliated Hospital of Soochow

University. Contributions: Methodology, Investigation, Review and editing. ORCID:

0000-0002-4880-584x

Limei Hu from Education Training Center, the First Affiliated Hospital of Soochow

University, Contributions: Collection of data and Supervision. ORCID: 0000-0002-

4217-7846

Jiajun Qi from Education Training Center, the First Affiliated Hospital of Soochow

University. Contributions:

Investigation, Conceptualization, Methodology,

Supervision, Review and editing. ORCID: 0000-0002-9219-3860

Corresponding Author:

Jiajun Qi, M.D., Education Training Center, the First Affiliated Hospital of Soochow

University. No. 899 Pinghai Road, Gusu District, Suzhou City, Jiangsu Province, the

People's Republic of China, 215006. E-mail: qjjss2000@163.com; Telephone and fax

numbers: (86)0512-67972809

Source of funding support: Supported by Jiangsu Province Hospital Association (No.

2

JSYGY-3-2019-155).

Word conut for the Article's Text: 2765

Word count of the Abstract: 250

Numbers of references, tables and figures: 3 tables

ABSTRACT

Introduction: Since the standardized residency training (SRT) was launched in 2014,

there were very few data on evaluation of the factors that could influence the

residents' competency in China.

Methods: All residents who started their SRT programme from September 2015 to

September 2018 were enrolled in this study. During the following years, they finished

the programme and took examinations. The demographic information and exam

scores of each subject were collected and analyzed.

Results: We found that the qualification rates of SRT programme differed

significantly annually. Age, gender, the highest medical education degree, residents

from different places, training duration and years of medical school study were also

different significantly year by year. According to the scores of examinations, residents

were divided into two groups: the qualified group and the non-qualified group. Age,

the highest medical education degree, residents from different places, training

duration, years of medical school study and prior medical work experience differed

significantly between the two groups. Multivariate Logistic regression analysis

showed the residents from different places and the training duration were factors that

affected the qualification rate of SRT programme independently (P = 0.000 and 0.005,

respectively).

Discussion: The "homogeneity" of SRT had been achieved regardless of the

individual discrepancy, while the resident from different places and the training

duration were obstacles we need to overcome further. Therefore, we should further

3

advance the process of the transition from "Unit Persons" to "Society Persons". And

extending training duration will facilitate their qualification and be benefit to improve

their competency.

Key Words: standardized residency training; resident; qualification

MANUSCRIPT TEXT

Introduction

China is home to nearly one fifth of the world's population while the shortage of medical care personnel is a long-standing problem. In 2010, the number of doctors per 1000 population was 1.43 in China which was almost half of the average level of United States and United Kingdom [1]. The discrepancy in the level of medical education and competence of Chinese doctors is great. In China, the medical education qualification certificates include secondary vocational diploma (Zhong Zhuan), vocational diploma (Zhuan Ke), bachelor's degree, master's degree and doctoral degree. After graduating from the health vocational school or medical school, they could work under the supervision of qualified medical practitioners. Before 2014, they were not required to take residency training in recognized specialties except one year internship in health work unit. Then, they became assistant doctors or doctors after passing the National Medical Licensing Examination. Furthermore, for nearly half a century, our medical schools have trained the students without distinguishing degree types between professional and academic degrees. Because the relatively short period of medical training time, the academic degree graduates were generally thought to be with relatively weak clinical capability and difficult to meet the clinical needs. Thus, the shortage of enough quantity and quality qualified doctors, especially physicians, severely restricted the improvement of basic medical and health services [2]. This dilemma catalyzed a health care reform of resident training in a top-down

manner of the country. In Dec 2013, the guidance on the establishment of standardized training system for residents was promulgated by the National Health Commission of the People's Republic of China and other seven departments, finally [3]. Following the pilot model developed in Shanghai, called 5+3 [4], the standardized residency training (SRT) programme would be launched comprehensively among all the provinces by 2015. All medical clinicians with bachelor's degree and above would receive standardized training for residents [3].

Now, SRT is a mandatory residency training for medical graduates before they become independent healthcare practitioners. Based on their different educational background, graduates with bachelor's, master's or doctor's degree are asked to receive the SRT for three-year two-year and one-year, respectively. This programme contains 34 subspecialties, covering almost all aspects of clinician education. In the SRT programme, residents are no longer fixed in one post, instead, they will rotate among different departments. After they accomplished the programme, they could apply for a stable position. As internal medicine residency training, residents with bachelor's degree are required to carry on 33 months training in different departments of internal medicine, including cardiology, pulmonology, gastroenterology, haematology, nephrology, rheumatology, endocrinology, emergency medicine, critical care medicine, psychology counselling clinic, outpatient and other elective department. Residents will strengthen their clinical competencies on rotation which was consisted of professional skills, theoretical knowledge, and communication

techniques aspects. According to the guidelines, the assessments of residents are conducted at each department and annually. All the residents must have accomplished their entire training plan. Then, they could have the chance to apply for the exit examination, which tests the theoretical knowledge and clinical skills of residents. Those who failed to pass the standardized exit examination would not receive a certification of the programme and could not become independent practitioners in local area.

And, teaching hospitals affiliated with universities are approved to carry out the SRT programme according to the Standards of Training Bases released by the National Health Commission. The training bases involve three types of hospitals, namely, tertiary general, tertiary specialty, and secondary general hospitals. These bases are supported by the National Health Commission, the State Commission Office for Public Sector Reform, the National Development and Reform Commission, the Ministry of Education, the Ministry of Finance, the Ministry of Human Resources and Social Security and the State Administration of Traditional Chinese Medicine as well as their subordinate units and departments. Furthermore, the expert committees from the Chinese Medical Association hold training conferences every year to train the trainers which guaranteed the high-quality and standardization of training. In addition, the SRT programme integrated with the medical professional degree postgraduate education (eg, MM and MD). Postgraduates who passed the national entrance examination for postgraduate at the beginning of SRT could simultaneously obtain a certificate of residency training and a certificate of professional degree of postgraduate education at the end of the programme [5,6]. Thus, the trainees are

comprised of the residents who graduated from medical schools and first-year

postgraduates who just enrolled in medical professional degree postgraduate

education.

The original intention of SRT is to achieve homogeneous competency among

residents. Yao He and et, al. found that SRT have achieved a standardized training

quality across different types of teaching hospitals despite of residents' individual

demographic background and contextual factors [7]. However, there are still a few

residents could not pass the exit examination and failed to graduate from the

programme. There are very few data on evaluation of SRT in China addressing on this

topic. To provide more evidence, the present study focused on the factors that might

affect the qualification of SRT programme of internal medicine.

Methods

Participants

All 446 residents who started their internal medicine residency training from

September 2015 to September 2018 in our training base were enrolled. They came

from 13 hospitals which located in the Suzhou Prefecture, Jiangsu Province. This

training base was a tertiary general hospital affiliated to the Soochow University

(SUDAH). The highest educational degree of each resident was bachelor's, master's or

doctoral degrees. According to the different places where the residents came from,

trainees were divided into three groups: SUDAH residents, local hospital residents and clinical postgraduates. The SUDAH residents were the residents who were newly recruited by our hospital as new staffs. The local hospital residents were the residents who were referred to our base for the STR programme from the secondary general or specialty hospitals. These secondary hospitals had signed contracts of employment with the local resident trainees in advanced. The clinical postgraduates were the students who continued their postgraduate studies in Soochow University after they graduated from 5-year medical schools. According to the guidelines of SRT, residents were differed in training duration based on their highest medical education degrees: one-, two- and three-year. For the residents with doctoral degree, the training duration would be three-year for the residents with academic degree and one-year for the residents with professional degree, respectively. For the residents with master's degree, the training duration could be three-year for the residents with academic degree and two-year for the residents with professional degree, respectively. The training duration for bachelor's degree was three-year. Therefore, the residents entered the programme in the same year might be exited in different years. The last session of trainees in the present study were enrolled in September 2018 and took the exit examinations in February 2020. The years of medical school study were the years spending on studying for medical educational degrees.

Program description

The demographic information of each subject was collected, including age, gender,

9

the highest medical education degree and the places where the residents came from (SUDAH residents, local hospital residents and clinical postgraduates). The information of SRT programme, including training duration, years of medical school study, prior medical work experience. The first and second examination scores and final qualification rate were also gathered. All the residents would be assessed twice except the residents with one-year training duration, who only took examination once. The examination consisted of two parts: written and hands-on part. The full mark of each part was 100 points and the acceptance line was 60 points. The qualification meant the scores of both parts would meet with the criteria. The work was carried out in accordance with the Declaration of Helsinki. Ethical approval has been granted by the First Affiliated Hospital of Soochow University Institutional Review Board.

Data analysis

Continuous variables were expressed as the mean \pm standard deviation, and categorical variables were expressed as the number and percentage. A Student *t*-test or Mann-Whitney U test was used, as appropriate, to analyze the differences in the continuous variables, and the chi-square test was used to analyze the differences in the dichotomous variables unless the expected values in the cells were < 5, in which case a Fisher's exact test was used. All variables with a P < 0.05 were then tested in a forward stepwise multivariate Logistic regression analysis. Data were expressed as odds ratio with 95% confidence interval. P < 0.05 was considered statistically significant. All statistical analyses described above were performed with SPSS

version 26 software (IBM SPSS Statistic Institute, Chicago, US).

Results

The demographic data and qualification rate of residents each year

From 2015 to 2018, the demographic data and the examination scores of all the residents were shown in Table 1 by the year. Age, gender, the highest medical education degree, residents from different places, training duration, years of medical school study, examination scores and qualification rates differed significantly every year, except the prior medical work experience. Figure 1 illustrated the gender distribution of the residents by the year (P = 0.040, supplements). The residents with bachelor's degree accounted for a growing proportion by the year (Figure 2A, supplements). The percentages of residents training duration of three years increased annually (P = 0.001, Figure 2B, supplements). Each examination score was illustrated annually in Figure 3 (all of Ps < 0.001, supplements).

Table 1. Comparisons of the demographic data and the exam performances annually

	<u> </u>		-	•	
Variate	2015	2016	2017	2018	P value
Age (yr.)	25.77±2.45	25.75±2.42	24.88±2.12	24.43±2.03	< 0.001
Male (%)	40 (35.7)	37 (25.9)	18 (18.2)	27 (29.3)	0.040
Highest medical education degree					0.010
Bachelor's (%)	76 (67.9)	88 (61.5)	76 (76.8)	80 (87.0)	
Master's (%)	27 (24.1)	44 (30.8)	18 (18.2)	7 (7.6)	
Doctoral (%)	9 (8.0)	11 (7.7)	5 (5.1)	5 (5.4)	
Residents from different places					< 0.001

SUDAH residents (%)	34 (30.4)	42 (29.4)	16 (16.2)	10 (10.9)	
Clinical postgraduates (%)	70 (62.5)	71 (49.7)	71 (71.7)	77 (83.7)	
Local hospital residents (%)	8 (7.1)	30 (21.0)	12 (12.1)	5 (5.4)	
Training duration					0.001
One-year (%)	5 (4.5)	2 (1.4)	5 (5.1)	0 (0)	
Two-year (%)	20 (17.9)	31 (21.7)	12 (12.1)	3 (3.3)	
Three-year (%)	87 (77.7)	110 (76.9)	82 (82.8)	89 (96.7)	
Years of medical school study (yr.)	6.04±1.65	6.24±1.71	5.82±1.63	5.51±1.42	0.006
Prior medical work experience (%)	18 (16.1)	30 (21.0)	11 (11.1)	13 (14.1)	0.200
Fist written exam score (points)	64.11±10.63	72.21±8.95	70.77±7.48	69.25±9.26	< 0.001
Fist hands-on exam score (points)	90.15±2.72	90.29±3.79	87.15±4.36	82.96±4.57	< 0.001
Second written exam score (points)	66.57±6.29	64.07±6.26	77.78±8.07	75.61±8.39	< 0.001
Second hands-on exam score (points)	90.52±3.51	86.47±9.27	79.82±4.62	84.31±7.83	< 0.001
Qualification (%)	77(68.8)	124(86.7)	91(91.9)	87(94.6)	0.000

Comparisons between the qualified and the non-qualified groups

According to the acceptance lines of criteria set priorly, residents were divided into two groups: the qualified group and the non-qualified group. The comparisons of the demographic data and the exam scores between the two groups were shown in Table 2. Residents of the non-qualified group was older than the qualified group (26.13 ± 2.46 vs 25.14 ± 2.30 , P=0.001). And, distributions of the highest medical education degree of the two groups differed significantly (P=0.006). The percentage of doctoral degree of the non-qualified group was higher than the qualified group (16.4% vs 5.0%) and the percentage of bachelor's degree of the former group was lower than the latter

group (58.2% vs 74.1%). Therefore, the years of medical school study of the non-qualified group was a little longer than the other group (6.58 \pm 2.08 vs 5.84 \pm 1.53, P=0.006). More residents in the non-qualified group had prior medical work experience than the qualified group (26.9% vs 14.2%, P=0.010). Figure 4A illustrated the differences of percentages of the residents came from different places (P=0.000, supplements). In the non-qualified group, the percentage of clinical postgraduates was obviously lower than the qualified group (41.8% vs 68.9%). Also, there was a difference in the training duration between the two groups (P=0.002, Figure 4B, supplements). The percentage of one-year training duration in the non-qualified group was obviously higher than the qualified group (10.4% vs 1.3%).

Table 2. Comparisons of the demographic data and exam scores between the qualified and nonqualified groups

Variate	Qualified Non-qualified		P
Age (yr.)	25.14±2.30	26.13±2.46	0.001
Male (%)	103(27.2)	19(28.4)	0.841
Highest medical education degree			0.004
Bachelor's (%)	281(74.1)	39(58.2)	
Master's (%)	79(20.8)	17(25.4)	
Doctoral (%)	19(5.0)	11(16.4)	
Residents from different places			0.000
SUDAH residents (%)	78(20.6)	24(35.8)	
Clinical postgraduates (%)	261(68.9)	28(41.8)	
Local hospital residents (%)	40(10.6)	15(22.4)	
Training duration			0.002

One-year (%)	5(1.3)	7(10.4)	
Two-year (%)	56(14.8)	10(14.9)	
Three-year (%)	318(83.9)	50(74.6)	
Years of medical school study (yr.)	5.84±1.53	6.58±2.08	0.006
Prior medical work experience (%)	54(14.2)	18(26.9)	0.010
Fist written exam score (points)	70.96±8.11	57.28±7.92	0
Fist hands-on exam score (points)	88.04±5.07	87.82±4.70	0.760
Second written exam score (points)	71.82±8.51	62.20±9.90	0
Second hands-on exam score (points)	84.24±6.63	84.72±13.17	0.803

Factors that affected the qualification rate

Multivariate Logistic regression analysis showed that resident from different places and training duration were independently associated with qualification (P = 0.000 and P = 0.005, respectively). Confidence intervals and significance levels were presented in Table 3. SUDAH residents and clinical postgraduates were favorable factors for qualification (OR= 0.614 and 0.208). The training duration for residents with two-year and three-year were easier to pass the STR programme than residents with one-year training duration (OR=0.104 and 0.226, respectively).

Table 3. Factors affected the qualification using multivariate Logistic regression analysis

Variate	OR (95% CI)	P
Resident from different places		0.000
Local hospital residents	1.000	
SUDAH residents	0.614(0.275,1.373)	
Clinical postgraduates	0.208(0.095,0.453)	
Training duration		0.005

One-year 1.000

Two-year 0.104(0.026,0.414)

Three-year 0.226(0.062,0.828)

CI: confidence interval.

Discussion

We found that the qualification rates of SRT programme differ significantly annually.

And, age, gender, the highest medical education degree, residents from different

places, training duration and years of medical school study were also different

significantly year by year. The residents from local hospital and the short training

duration were factors that affected the qualification rate of SRT programme of internal

medicine.

Since the SRT programme gradually became compulsory medical education from

2014 [8], more and more medical graduates participated in this programme and thus

enhanced their clinical competency. Because the present residency training

programme integrated with the education of medical professional master's degree, it

received welcome from numerous undergraduates. Hence, our study showed that the

percentage of clinical postgraduates was increasing and presented a trend of younger

age year by year. The residents with bachelor's degree accounted for an increasing

proportion from 2015 to 2018. As a result, the proportion of residents with three-year

training duration was increasing year by year. It was in accordance with the demands

15

of medical and health industry, nowadays. Before practicing independently, residents would be benefited from the standardization training and improved their thinking abilities, decision-making abilities and practical abilities. These younger residents with a higher education degrees and stronger abilities are becoming the main force of doctors in China. It is conducive to construct a high-quality medical health care system and implement graded medical care to suit the new demands on medical and health services resulted from industrialization, urbanization, ecological environment changes as well as aging population. That is exactly the original intention of the reform of SRT programme launched by National Health Commission.

The "standardization" of SRT means to achieve homogeneity of residency training regardless the discrepancy among individuals and training bases. However, our study still showed some significant differences between the qualified group and the non-qualified group. We found that the residents in the non-qualified group were a little older than the qualified group. The proportion of residents with doctoral education degrees was higher in the non-qualified group than the other group, as well as the proportion of residents with one-year training duration. Compared with the qualified group, the residents in the non-qualified group had a few more years of medical school study and accounted for a higher proportion of whom ever worked in medical institutions before. All of these differences could be explained by the relative high proportion of doctoral degree in the non-qualified group. Most of these residents worked in laboratories during their education of doctoral degree. Due to lack of

experience of clinical work, these residents could not pass the exams after only oneyear training duration. Thereby, it is important to enforce the residency training for all medical graduates and maybe it was no matter their medical education degree. And, the longer training duration, the higher qualification rate of the SRT programme would be achieved.

And, the two groups of residents had significant discrepancy in the index of residents from different places, namely SUDAH residents, clinical postgraduates and local hospital residents. As we know, due to shortage of human resource for medical care, some low-resourced hospitals would sign labor contracts with medical graduates in advance. Then, those local hospital residents were sent for SRT program as "Unit Persons", which was different with Shanghai municipal SRT policies [9]. The boldest aspect of the Shanghai pilot was designation of resident trainees as "Society Persons" rather than "Unit Persons" [3]. Society Persons referred to the residents who signed lab contracts with the Municipal health bureau rather than with specific hospital, which was similar with residents in the United Kingdom and the United States of America. They would look for steady jobs after qualification from SRT programme. Obviously, the job uncertainty added occupational stress together would make he or she be more aware of the programme's importance and thus more supportive of its policies [10]. The job stability of Unit Persons had a shortcoming which posed a barrier to stimulate enthusiasm for learning and working in a certain measure. After transition from Unit Person to Society Person, it bought inherent challenges such as

employment instability and less attachment to the training bases. The press would turn

into their motivation for improvement in residents' competency [11], while it might be

associated with residency burnout [12-14]. Meanwhile, increasing residents'

awareness of the programme importance in advance during the residency training

might reduce their stress and anxiety about the future, thus enhancing their

professional competency [15,16]. Therefore, the actions to accelerate the transition

from Unit Persons to Society Persons in the rest of China except Shanghai are urgent

and will contribute to the ultimate "homogeneity" of SRT.

Although China has studied international experiences first, SRT as an innovative

reform policy is still necessary to sum up experiences timely in the implementation

process. We continuously adjust the detailed actions to meet the aims of SRT. Studies

on SRT are still limited, especially on the evaluation of its effects. The present study

analyzed the demographic and context data that could affect the performances of

residents while ignoring factors related to burnout. A few studies on burnout indicated

that it was universally existed in residency training [12,17,18] and might affect the

residents' competency. It prompts us to pay attention on this issue and make some

adjustments. In future, we might deeply research the effects of burnout on the

18

outcome of SRT.

Acknowledgements: We thank all the staff from the Education Training Center for

their kindly support during this work.

Declaration of interest: The authors report no conflict of interest.

References

1. Crisp N, Chen L. Global supply of health professionals. N Engl J Med

2014;370:950-7.

2. The State Council. Guidelines for the establishment of the general practitioner

system. 2011. Available: http://www.gov.cn/zwgk/2011-07/07/

content_1901099.htm.

3. National Health and Family Planning Commission, State Commission Office for

Public Sector Reform (SCOPSR), National Development and Reform

Commission, Ministry of Education, Ministry of Finance, Ministry of Human

Resources and Social Security, State Administration of Traditional Chinese

Medicine. Guiding opinions on establishing the standardized residency training

system. 2013.

http://www.moh.gov.cn/qjjys/s3593/201401/032c8cdf2eb64a369cca4f9b76e8b05

9.shtml`

4. Zhu J, Li W, Chen L. Doctors in China: improving quality through modernization

of residency education. Lancet. 2016; 388(10054):1922-1929.

5. Chen Z, Wang L. Reconstruction of a clinician training system in China-A

19

successful "5 + 3" model from Shanghai. Int J Health Plann Manage

- 2017;32:264-269.
- Zhu J, Li W, Chen L. Doctors in China: improving quality through modernisation of residency education. The Lancet 2016;388:1922-1929.
- 7. He Y, Qian W, Shi L, Zhang K, Huang J. Standardized residency training: An equalizer for residents at different hospitals in Shanghai, China? Int J Health Plann Manage 2020;35:592-605.
- National Health and Family Planning of the People's Republic of China. A report
 on the development of standardized residency training in China. 2015.
 http://www.nhfpc.gov.cn/qjjys/s3594/201505/
 953d3206bb1c4c869944e0a139328a0d.shtml. Accessed 1 May 2016.
- 9. Huang H, Xu TF, Li HW, Shen Q, Lai YJ, Hu TZ, Zhang K. Exploration and practice of establishing standardized training system for resident physicians in Shanghai. Chin J Hosp Admin. 2011;27(7):514–6.
- Bo H, Zhang DH, Zuo TM, et al. Survey and analysis of the current state of residency training in medical-schoolaffiliated hospitals in China. BMC Med Educ. 2014;14:111.
- 11. Dejene D, Yigzaw T, Mengistu S, et al. Practice analysis of junior doctors in Ethiopia: implications for strengthening medical education, practice and regulation. Global Health Research and Policy. 2018;3(1):31-10.
- 12. Huang L, Caspari JH, Sun X, Thai J, Li Y, Chen FZ, Zhao XD. Risk and protective factors for burnout among physicians from standardized residency training programs in Shanghai: a cross-sectional study. BMC Health Serv Res

2020;20:965.

- 13. Shu JP, Zhou D. Survey on the medical students' cognition of resident standardization training system in Anhui province. Med Philos. 2016;37(3): 65–7.
- 14. Clough BA, March S, Chan RJ, Casey LM, Phillips R, Ireland MJ. Psychosocial interventions for managing occupational stress and burnout among medical doctors: a systematic review. Syst Rev. 2017;6(1):144.
- 15. Jiang Y, Luo L, Congdon N, Wang S, Liu Y. Who will be wielding the lancet for China's patients in the future? Lancet. 2016;388(10054):1952-1954.
- Xiao Y, Wang Y. Standardized residency training: students' concerns. Lancet.
 2017;389(10072):905.
- 17. Zhang Y, Huang X, Li H, Zeng X, Shen T. Survey results of job status of residents in a standardized residency training program. BMC Med Educ 2019;19:281.
- 18. Geng H, Tan F, Deng Y, Lai L, Zhang J, Wu Z, Liu P, Zhu Q. High rate of burnout among residents under standardized residency training in a tertiary teaching hospital of middle China: Results from a cross-sectional survey. Medicine 2020;99:e20901.

LEGENDS FOR FIGURES: (supplements)

Figure 1. illustrate the gender distributions by the year.

Figure 2. showed the percentages of training duration of three years increasing

annually.

Figure 3. illustrate the comparisons of each examination score annually.

Figure 4. illustrated the differences of three types of identities of residents through the

method of multiple comparisons of rate.

Tables:

Table 1. Comparisons of the demographic data and the examination performances

annually.

Table 2. Comparisons of the demographic data and examination performances

between the qualified and non-qualified groups.

Table 3. Predictors of qualification using multivariate Logistic regression analysis.

22