# 1 Apiaceae FNS I originated from F3H through tandem gene duplication

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## 10 Abstract

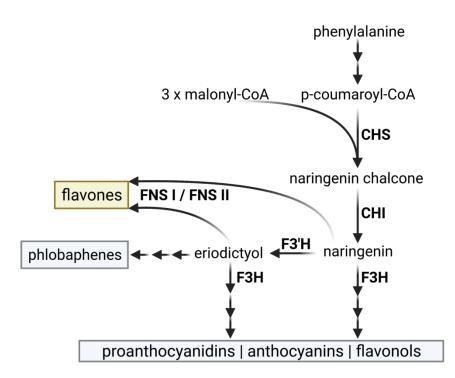
- 11 **Background**: Flavonoids are specialized metabolites with numerous biological functions in stress
- 12 response and reproduction of plants. Flavones are one subgroup that is produced by the flavone
- 13 synthase (FNS). Two distinct enzyme families evolved that can catalyze the biosynthesis of flavones.
- 14 While the membrane-bound FNS II is widely distributed in seed plants, one lineage of soluble FNS I
- 15 appeared to be unique to Apiaceae species.
- 16 **Results**: We show through phylogenetic and comparative genomic analyses that Apiaceae *FNS I* evolved
- 17 through tandem gene duplication of flavanone 3-hydroxylase (*F3H*) followed by neofunctionalization.
- 18 Currently available datasets suggest that this event happened within the Apiaceae in a common
- 19 ancestor of *Daucus carota* and *Apium graveolens*. The results also support previous findings that *FNS I* in
- 20 the Apiaceae evolved independent of *FNS I* in other plant species.
- 21 Conclusion: We validated a long standing hypothesis about the evolution of Apiaceae FNS I and
- 22 predicted the phylogenetic position of this event. Our results explain how an Apiaceae-specific FNS I
- 23 lineage evolved and confirm independence from other *FNS I* lineages reported in non-Apiaceae species.
- 24

## 25 Keywords

- 26 Flavone synthase, flavanone 3-hydroxylase, flavonoid biosynthesis, carrot, comparative genomics,
- 27 neofunctionalization, evolution, tandem gene duplication

### 29 Introduction

- 30 A plethora of specialized metabolites including flavonoids is produced by plants. These compounds provide an evolutionary advantage under certain environmental conditions. Flavonoids are produce in 31 32 response to stresses like ultra violet (UV) radiation, cold, or drought [1, 2]. Especially visible is the 33 pigmentation of flowers and fruits by anthocyanins which are one subclass of the flavonoids [3, 4]. Other 34 subclasses include the proanthocyanidins which contribute to the pigmentation of seed coats [5] or 35 flavonols which are produced in response to UV stress [6]. These branches of the flavonoid biosynthesis 36 are well studied and conserved in many plant species and represent a model system for the 37 investigation of the specialized metabolism in plants. A less conserved branch of the flavonoid 38 biosynthesis leads to flavones (Fig. 1), which are important in signaling and defense against pathogens [7]. Flavones are derivatives of phenylalanine which is channeled through the general phenylpropanoid 39 pathway to the chalcone synthase (CHS). This enzyme is the first committed step of the flavonoid 40 41 biosynthesis. Chalcone isomerase (CHI) and flavone synthase (FNS) are the following steps involved in 42 the formation of the flavone apigenin. F3'H can convert naringenin into eriodictyol which serves as 43 substrate for the formation of the flavone luteolin. FNS activity evolved independently in different 44 phylogenetic lineages [8]. Distributed over a wide phylogenetic range is FNS II, a membrane-bound cytochrome P450-dependent monooxygenase [9]. An independent lineage of FNS I, a soluble  $Fe^{2+}/2-$ 45 oxoglutarate-dependent dioxygenase (2-ODD), was identified in the Apiaceae and appeared to be 46 47 restricted to that family [10]. However, other studies report FNS I functionality in other plant species like 48 OsFNSI in Oryza sativa [11], EaFNSI in Equisetum arvense [12], PaFNSI in Plagiochasma appendiculatum 49 [13], AtDMR6 in Arabidopsis thaliana [14], and ZmFNSI-1 in Zea mays [14]. These lineages were 50 presented as independent evolutionary events and are not orthologs of Apiaceae FNS / [8, 15]. Recently, 51 a study revealed that FNS I is widely distributed in liverworts and is the most likely origin of seed plant flavanone 3-hydroxylase (F3H) [8]. Reports of enzymes with multiple functions like F3H/FNS I [8, 10] or 52 53 F3H/FLS [16, 17] indicate that the 2-ODD family has a high potential for the acquisition of new 54 functionalities. 55 Apiaceae FNS I shows high sequence similarity to F3H thus both were previously classified as DOXC28 in 56 a systematic investigation of the 2-ODD family [18]. It was also hypothesized that Apiaceae FNS I evolved 57 from F3H of seed plants by duplication and subsequent divergence [19, 20]. F3H and FNS I accept the 58 same substrate (Fig. 1) which suggests that competition takes place if both enzymes are present in the 59 same cell. The specific activity of both enzymes is defined by a small number of diagnostic amino acid 60 residues [8, 10]. Substitution of these amino acids in F3H results in FNS I activity [10]. Exchange of three 61 residues can already confer a partial function of the other enzyme. The authors hypothesize that a
- 62 substitution of seven amino acid residues substantially modifies the pocket of the active site hence
- 63 changing the orientation of the substrate. This is expected to cause a syn-elimination of hydrogen from
- 64 carbon-2 (FNS activity) instead of hydroxylation of carbon-3 (F3H activity) [10].
- 65



66

67 Fig. 1: Simplified illustration of the flavonoid biosynthesis with focus on the flavone biosynthesis. CHS

68 (naringenin-chalcone synthase), CHI (chalcone isomerase), F3H (flavanone 3-hydroxylase), F3'H

69 (flavonoid 3'-hydroxylase), and FNS (flavone synthase).

70 Although previous work hypothesized that Apiaceae FNS I originated from F3H through duplication and

neofunctionlization, this hypothesis has not yet been validated. The recent release of high quality

72 genome sequences representing most angiosperm lineages including members of the Apiacea family

73 [21–24] opens the opportunity to address this hypothesis. Here, we investigated the evolution of FNS I

in the Apiaceae through phylogenetic analysis and comparative genomics. The results indicate that FNS I

originated from a tandem duplication of *F3H* that was followed by a neofunctionalization event.

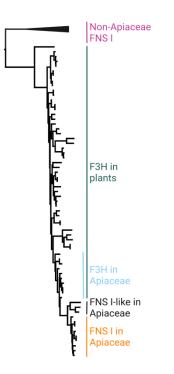
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## 77 **Results**

78 Apiaceae FNS I sequences show high sequence similarity to F3H that suggest a close phylogenetic

79 relationship of both lineages. A phylogenetic tree was constructed to visualize this relationship. FNS I

- 80 sequences of the non-Apiaceae species Arabidopsis thaliana, Oryza sativa, Zea mays, and Parmotrema
- 81 *appendiculatum* were located outside the F3H clade in this tree. The FNS I sequences of seven Apiaceae
- 82 species form a distinct clade (Fig. 2). This FNS I clade is embedded within a large clade of F3H sequences
- 83 of a wide phylogenetic range of plants. The position of the FNS I sequences within the F3H clade
- 84 suggests that Apiaceae FNS Is originated from F3H. The pattern also supports a single FNS I origin within
- 85 the Apiaceae. The FNS I sequences of non-Apiaceae species seem to belong to an independent origin.
- 86 The separation of the FNS I-like lineage from the FNS I lineage seems to predate a duplication in the FNS
- 87 *I*-like lineage that produced the two copies discovered in *D. carota* and *A. graveolens*.



89

- 90 **Fig. 2**: Phylogenetic tree of F3H and FNS I sequences. Apiaceae FNS I sequences form a nested cluster
- 91 within the F3H context. The FNS I sequences of non-Apiaceae species are placed outside the F3H clade.
- 92 Polypeptide sequences used for the construction of this tree are included in Additional file 1. A full
- 93 phylogenetic tree with sequence names is included as Additional file 2.

- 95 A previous study identified diagnostic amino acid residues that determine the FNS or F3H activity,
- 96 respectively [10]. They demonstrated that a substitution of selected amino acid residues can convert
- 97 one enzyme into the other. We inspected these characteristic features of the FNS I and F3H sequences
- 98 of *Daucus carota* and *Apium graveolens* (Table 1). The results suggest that there is one *bona fide* F3H in
- *D. carota* (DCAR\_009483) and *A. graveolens* (CM020901\_g36676), respectively (Additional file 1). We
- also identified one FNS I in each of these species: DCAR\_009489 and CM020901\_g36861, respectively. In
- addition, there are FNS I-like copies which lack some of the functionally important amino acid residues
- of a *bona fide* FNS I (Table 1). Since the residues do not always match F3H, we cannot tell whether (1)
   these sequences have lost their FNS function in secondary events or (2) represent intermediates in the
- evolution from F3H towards FNS I. However, the incorporation of additional residues places these
- 105 sequences in an intermediate clade (Fig. 2).
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- 107
- 108
- 109

- 110 **Table 1**: Inspection of diagnostic amino acid residues in FNS I and F3H candidates of *Daucus carota* and
- 111 *Apium graveolens*. FNS I residues are highlighted in orange, F3H residues are highlighted in skyblue.
- 112 Positions are based on the FNS I of *Petroselium crispum* (AAP57393.1).

Sequence	Name	106	115	116	131	195	200	215	216
DCAR_009489	DcFNS I	Т	Т	I	F	E	I	V	R
DCAR_009487	DcFNS I- like	Р	I	V	F	E	I	С	R
DCAR_009488	DcFNS I-like	Т	Т	V	F	E	I	V	R
DCAR_009483	DcF3H	М	I	V	I	D	V	L	К
CM020904_g37715	AgFNS I-like	Т	Т	I	F	К	I	С	R
CM020901_g36861	AgFNS I	Т	Т	I	F	E	I	V	R
CM020901_g36676	AgF3H	М	1	V	1	D	V	L	К

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115 To narrow down the origin of the Apiaceae *FNS I*, we compared highly contiguous genome sequences of

116 Apiaceae and outgroup species. The Apiaceae members *Daucus carota* and *Apium graveolens* show

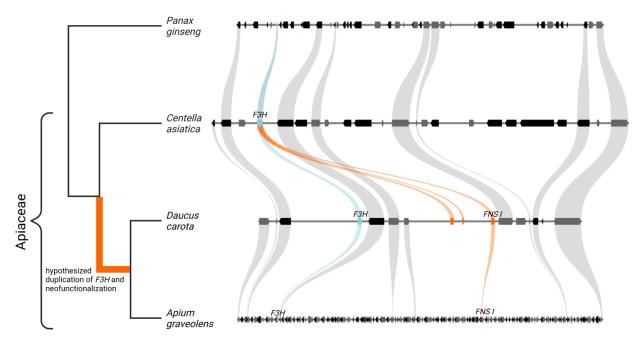
117 microsynteny in a region that harbours both, *F3H* and *FNS I* genes (Fig. 3). Both species differ from the

118 Centella asiatica (basal Apiaceae species) and Panax ginseng (outgroup species) which do not show a

119 *FNS I* gene in this region or elsewhere in the genome sequence. However, the presence of *F3H* and

120 flanking genes indicates that the correct region is analyzed.

121





124 **Fig. 3**: Syntenic region between the Apiaceae species *Daucus carota* and *Apium graveolens* shows *F3H* 

125 (skyblue) and FNS I (orange) in close proximity, while FNS I was not observed in the basal Apiaceae

126 species *Centella asiatica* or in the outgroup *Panax ginseng*.

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128 Expression of the F3H, FNS I, and FNS I-like genes in carrots was analyzed across 146 RNA-seq samples

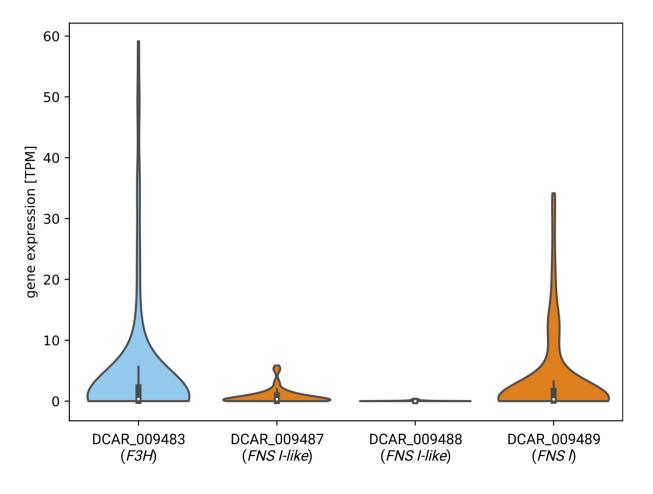
129 (Fig. 4). The results show that F3H and FNS I show substantially higher expression than one of the FNS I-

like genes (DCAR\_009487) while the other *FNS I*-like gene (DCAR\_009488) is almost not expressed.

131 Strongest expression of DCAR\_009487 was observed in the phloem and xylem of the root and in the

132 petiole. DCAR\_009488 showed the highest expression in whole flowers and stressed leaves of orange

133 cultivars (Additional file 3).



134

135 Fig. 4: Expression of F3H, FNS I, and FNS I-like genes in carrots. These plots show the distribution of

136 transcript per million (TPM) values across various RNA-seq data sets derived from different

tissues/organs and conditions (Additional file 3).

138

#### 139 **Discussion**

140 We provide genomic and phylogenetic evidence for the evolution of the Apiaceae *FNS I* from *F3H* 

141 through tandem duplication followed by neofunctionalization. These results confirm a hypothesis about

the evolution of *FNS I* from *F3H* [19, 20] and narrow down the initial duplication event. We show that

- the F3H duplication took place in a shared ancestor of *D. carota* and *A. graveolens*. Since there is no
- 144 evidence for this gene duplication in *C. asiatica* which branches early in the Apiaceae, we hypothesize
- that the F3H duplication took place after the separation of *C. asiatica* (Fig. 3). Additional genome
- sequences will help to support this hypothesis and to narrow down the precise duplication event on the
- 147 phylogenetic tree.
- 148 The inspection of conserved amino acid residues in *D. carota* and *A. graveolens* candidate sequences
- 149 confirmed the presence of one F3H and FNS I in each species. Additionally, both species have at least
- 150 one sequence that lacks some of the functionally important amino acid residues of a *bona fide* FNS I

151 without having all residues of a F3H (Table 1). This might indicate a different enzymatic activity or

- promiscuity of these enzymes. According to substitution experiment [10], the presence of at least T106,
- 153 F131, and E195 in DCAR\_009488 indicates that this enzyme has at least some basal FNS activity. It is
- possible that FNS I-like enzymes have multiple activities [8]. Only based on the diagnostic amino acid
- residues, we cannot tell whether (1) these sequences have lost their FNS function in secondary events or
- 156 (2) represent intermediates in the evolution from F3H towards FNS I. However, the incorporation of
- additional residues places these sequences in an intermediate clade (Fig. 2). Based on their phylogenetic
- relationship, we hypothesize that two *FNS I* copies were present in the common ancestor of *D. carota*
- and *A. graveolens*. One of these copies was again duplicated in *D. carota* after separation of the lineages
- 160 leading to *D. carota* and *A. graveolens* hence explaining the presence of three copies in *D. carota*. The
- 161 preservation of these sequences since the separation of both species indicates a relevance of these FNS
- 162 I-like sequences. The expression analysis suggests that these genes are active in specific tissues.
- 163 The physical clustering of *FNS I* and *F3H* in the genome could be due to the recent tandem duplication
- and a lack of time that would be necessary for dispersal of the cluster. However, it could be interesting
- to investigate whether this clustering does also provide an evolutionary benefit. Biosynthetic gene
- 166 clusters (BGCs) were previously described in numerous plant species [25, 26]. These BGCs are often
- associated with an evolutionary young trait that provides a particular advantage e.g. in the defense
- against a pathogen [25]. Given the relevance of flavones in the defense against pathogens [7, 27], it
- seems possible that the flavone biosynthesis could be a similar trait that evolved in the Apiaceae.
- 170 Nevertheless, a large number of additional functions of flavones [7] do not allow a definite answer to
- 171 this question yet.
- 172 *FNS I* genes were also discovered in a small number of non-Apiaceae species [11, 13, 14]. However,
- these genes belong to an independent FNS I lineage [8]. As more high quality genome sequences of seed
- plants are released, a systematic search for additional non-Apiaceae FNS I sequences could become
- 175 feasible in the near future. The number of independent FNS I origins remains unknown. Exploration and
- 176 comparison of additional *FNS I* lineages across plants has the potential to advance our understanding of
- 177 enzyme evolution.
- 178

# 179 **Conclusions**

- 180 A tandem gene duplication of *F3H* followed by neofunctionalization resulted in the evolution of *FNS I* in
- 181 Apiaceae. This origin appears independent of FNS I in *Arabidopsis thaliana*, *Oryza sativa*, and *Zea mays*.
- 182

# 183 Methods

# 184 Datasets

- 185 The genome sequences and the corresponding annotation of *Daucus carota* 388\_v2.0 [21] and *Panax*
- 186 ginseng GCA\_020205605.1 [22] were retrieved from Phytozome [28]. The genome sequences of Apium
- 187 graveolens GCA\_009905375.1 [23] and Centella asiatica GCA\_014636745.1 [24] were downloaded from

- the NCBI. Sequences of F3H and FNS I were retrieved from KIPEs [29] and are included in Additional file
- 189 1. The phylogenetic relationships of Apiaceae species were inferred from a previously constructed
- 190 species tree [30].

### **191** Gene prediction

- 192 Since no complete annotation of the coding sequences was publicly available for *Apium graveolens* and
- 193 *Centella asiatica,* we applied AUGUSTUS v3.3 [31] for an *ab initio* gene prediction with previously
- described settings [32]. The *Daucus carota* annotation of *F3H* and *FNS I* was manually checked in the
- 195 Integrated Genome Viewer [33] and revised (Additional file 1). This was based on TBLASTN v2.8.1 [34]
- 196 results of the *Petroselinum crispum* FNS I sequence against the *D. carota* genome sequence.
- 197 Additionally, RNA-seq reads were retrieved from the Sequence Read Archive (Additional file 3) and
- aligned to the *D. carota* genome sequence using STAR v2.7.3a [35] with previously described parameters
- 199 [36].

### 200 Phylogenetic tree construction

- F3H and FNS I sequence collections [29] were used to search for additional candidates in *C. asiatica*, *D.*
- 202 carota, and A. graveolens using a BLAST-based Python script [37]. Initial candidates were validated
- through a phylogenetic tree constructed with FastTree 2 [38]. A final tree was constructed with RAxML-
- 204 NG [39] using LG+G8+F and 100 rounds of bootstrapping.

### 205 Synteny analysis

- JCVI [40] was applied to compare the genome sequences of *P. ginseng*, *C. asiatica*, *D. carota*, and *A.*
- 207 graveolens. The region around F3H and FNS I was manually selected. Connections of genes between the
- 208 species were manually validated and revised based on phylogenetic trees (Additional file 2). TBLASTN
- was run with the *P. crispum* FNS I against the genome sequence of *C. asiatica* and *A. graveolens* to
- 210 identify gene copies that might be missing in the annotation. The results of this search were compared
- against the annotation to find hits outside of annotated genes [37]. The best hits were assessed in a
- 212 phylogenetic tree with previously characterized F3H and FNS I sequences.

## 213 Gene expression analysis

- Paired-end RNA-seq data sets were retrieved from the Sequence Read Archive via fastq-dump [41]
- 215 (Additional file 3). kallisto v0.44 [42] was applied with default parameters for the quantification of gene
- 216 expression (counts and TPMs). A Python script was developed for the generation of violin plots to show
- 217 the variation of gene expression (TPMs) across various samples [37]. Outliers were suppressed in this
- visualization. They are defined as data points which are more than three interquartile ranges away from
- the median.
- 220

## 221 **Declarations**

- 222 Ethics approval and consent to participate
- 223 Not applicable.

#### 224 Consent for publication

225 Not applicable.

#### 226 Availability of data and materials

- 227 All datasets underlying this study are publicly available or included within the additional files. Scripts
- developed for this work are freely available on github: https://github.com/bpucker/ApiaceaeFNS1.

#### 229 Competing interests

230 The authors declare that they have no competing interests.

#### 231 Funding

- 232 MI was supported by the United States Department of Agriculture National Institute of Food and
- Agriculture, Hatch project 1008691. We acknowledge support by the Open Access Publication Funds of
- 234 Technische Universität Braunschweig.

#### 235 Authors' contribution

236 BP and MI performed the analyses and wrote the manuscript.

#### 237 Acknowledgements

- 238 Many thanks to the German network for bioinformatics infrastructure (de.NBI, grant 031A533A) and the
- Bioinformatics Resource Facility (BRF) at the Center for Biotechnology (CeBiTec) at Bielefeld University
- 240 for providing an environment to perform the computational analyses. We used bioRender.com for the
- 241 construction of some figures. We thank Hanna Marie Schilbert for discussion and comments on the
- 242 manuscript.
- 243

#### 244 Additional files

- Additional file 1. Collection of F3H, FNS I, and FNS I-like sequences that were used for the analyses ofthis study.
- 247 Additional file 2. Phylogenetic tree of F3H, FNS I, and FNS I-like sequences.
- Additional file 3. Gene expression values (TPMs) of *Daucus carota F3H, FNS I*, and *FNS I*-like genes.
- 249

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