# Self-organization of songbird neural sequences during social isolation

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February 17, 2022

#### Abstract

Behaviors emerge via a combination of experience and innate predispositions. As the brain matures, it undergoes major changes in cellular, network and functional properties that can be due to sensory experience as well as developmental processes. In normal birdsong learning, neural sequences emerge to control song syllables learned from a tutor. Here, we disambiguate the role of experience and development in neural sequence formation by delaying exposure to a tutor. Using functional calcium imaging, we observe neural sequences in the absence of tutoring, demonstrating that experience is not necessary for the formation of sequences. However, after exposure to a tutor, pre-existing sequences can become tightly associated with new song syllables. Since we delayed tutoring, only half our birds learned new syllables following tutor exposure. The birds that failed to learn were the birds in which pre-tutoring neural sequences were most 'crystallized', that is, already tightly associated with their (untutored) song.

# <sup>24</sup> 1 Introduction

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On the one hand, sensory experience is known to be essential for the normal 25 development of brain circuits. On the other hand, genetically specified develop-26 mental processes are also essential – we learn too quickly and from too sparse 27 data to rely on sensory experience alone [1]. Thus, it appears that the brain 28 is able to use genetically specified predispositions to fill in gaps in its sensory 29 experience. When typical sensory experience is absent or delayed, certain as-30 pects of brain development proceed anyway, while other aspects are delayed. 31 This is true both in primary sensory systems [2, 3, 4, 5], and for more cognitive 32 behaviors such as social interaction and language [6, 7, 8]. Brain circuits ac-33 quire structure and organization even in the absence of typical training inputs. 34

Here we examine this self-organized structure, and what happens when sensory experience is reintroduced, in the context of songbird vocal learning.

Song learning is influenced by both auditory exposure to a particular tutor 37 song, and by inherited preferences [9]. It is well known that songbirds, in the 38 absence of exposure to a tutor bird, develop 'isolate' songs, with highly vari-39 able and atypical syllable rhythms [10, 11, 12]. However, when these 'isolate' 40 songs are used as tutor songs, after two generations birds sing normally again, 41 suggesting that an 'innate' preference filters what aspects of a tutor song are 42 actually imitated [12]. Song imitation requires remarkably little total exposure 43 to a tutor song – approximately 75 seconds total on a single day is enough for a 44 bird to remember a song, and subsequently practice and imitate it [13]. Zebra 45 finches, like many songbird species, are able to imitate songs of birds from other 46 species, but when given a choice they prefer zebra finch song [14]. Furthermore, 47 inherited genetic predispositions have a strong effect on both the precise tempo 48 at which a zebra finch sings its song [15], as well as the particular learning styles 49 of individual birds [16]. Thus, within the songbird brain we expect to see an 50 interplay between developmentally specified and learned structure. 51

There are several possibilities for what happens in the brain during isolate 52 song, and how it compares to typical (tutored) brain development. In typical 53 birds, neurons in HVC are initially only weakly coupled to song, firing only 54 at the onsets of syllables when birds are babbling subsong [17]. Then, as the 55 song becomes more mature and repeatable, each HVC projection neuron fires at 56 its own precise moment during the song, together forming a stable sequence of 57 neural firing that tiles the song [17, 18, 19], in interplay with inhibitory neurons 58 [20, 21]. This maturation process in HVC has been modeled as an initially ran-59 dom network of neurons that, with the right training inputs and plasticity rules, 60 assembles into a chain of sequentially connected neurons [22, 23, 17] (Figure 1A). 61 However, what happens in birds isolated from a tutor? Compared to typical 62 adult zebra finch song, isolate song has a much less stable sequence of syllables 63 and abnormally variable acoustic structure and timing [12]. In fact, aspects of 64 isolate song resemble features of early babbling (subsong). Does HVC in isolate 65 birds resemble that of subsong birds? Or does HVC mature to form sequences, 66 even without experience of a tutor, and without the behavioral stereotypy seen 67 in adult birds? We use functional calcium imaging in singing isolated birds to 68 address these questions. 69

By observing the neural activity in HVC of isolated birds, we found that the 70 HVC network activity can mature into long repeatable sequences even without 71 exposure to a tutor. However, there are some key differences between typical 72 adult HVC sequences and those found in isolated birds, suggesting which fea-73 tures of HVC development rely on exposure to a tutor. Next, we observe HVC 74 in isolated birds immediately before and after delayed exposure to a tutor. Birds 75 isolated from a tutor are able to learn a song if exposed to a tutor before the 76 end of a critical period, typically around age 65 days post hatch (dph), but are 77 increasingly unable to learn at later ages [24, 25, 26]. Although only half of 78 our late tutored birds successfully learned from the tutor, we observed an in-79 teresting correlation between HVC activity prior to tutoring and the degree to 80

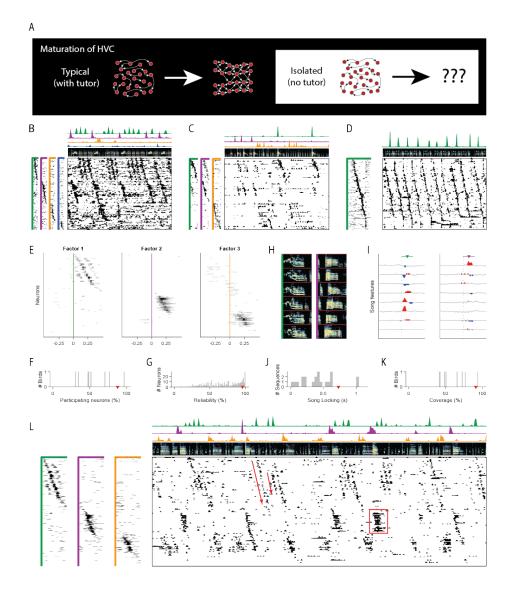
which birds learned. Namely, birds with highly song-locked HVC activity prior 81 to tutoring typically failed to learn, while birds with less song-locked activity 82 tended to learn. In the birds that did learn, we were able to track sequences 83 throughout the course of learning. Pre-existing self-organized HVC sequences 84 persisted throughout major changes to the song, forming a substrate for newly 85 learned song elements. Together, these results point at how the brain may self-86 organize, and at the interplay between self-organized structure and the ability 87 to incorporate new information from a tutor. 88

## $\mathbf{B}$ 2 Results

# <sup>90</sup> 2.1 Neural sequences are present in isolated birds, but <sup>91</sup> atypical

We first asked whether the songs of isolated birds involve the same neural path-92 ways and neuronal sequences responsible for generating typical song. We carried 93 out functional calcium imaging of large populations of neurons in HVC of iso-94 lated birds at a range of ages. Sequences of neuronal activity in HVC have 95 previously been analyzed by aligning neuronal activity to repeatable elements 96 of the song [27], an approach with limited utility in isolated birds due to the high 97 variability of their songs. Instead, we extract neural sequences directly from the 98 calcium signals using an unsupervised algorithm [28] to find the sequences that 99 best fit the neural data. This technique reveals the existence of significant se-100 quential activity in HVC of isolated birds (Figure 1B,C). It also reveals long 101 continuous sequences in data acquired from typical adult HVC (Figure 1D) as 102 expected from previous work [27, 19, 18]. 103

The sequences found in isolated birds are surprisingly typical in some re-104 spects, but atypical in others, especially in their correlation to vocal output. As 105 in typical HVC sequences, neurons in isolated birds participate at characteristic 106 moments during the sequence (Figure 1E), and many neurons participate in at 107 least one sequence (Figure 1F). Neurons that participate in a sequence tend to 108 fire at a majority of sequence occurrences (Figure 1G). Neural sequences are cor-109 related with precisely timed song features in isolated birds' song (Figure 1H, I, 110 song features calculated as in [29]). However, song locking in isolated birds was 111 only on average 0.58 times as strong as in a typically tutored adult bird (Figure 112 1J, see Methods). Finally, in isolated birds, on average only 61% of each song 113 bout is represented by a detected HVC sequence, substantially less than the 114 complete sequence coverage found in typically tutored birds [19, 18, 17] (Figure 115 1K, see Methods). HVC activity in isolated birds exhibits additional qualitative 116 differences from that in typically tutored birds. While HVC neurons generate 117 only brief bursts of spikes in tutored birds, neurons in isolated birds sometimes 118 generated extended periods of continuous activity, especially during long sylla-119 bles of variable duration (Figure 1L, 7/8 birds exhibited multiple instances of 120 persistent activity, coordinated across at least 3 neurons, and lasting at least 121 500ms). This contrasts with long syllables of typical adult song which are all 122



#### Figure 1: Sequences in isolated birds

(A) Diagram of HVC maturation. In typically tutored birds, HVC sequences appear to grow and differentiate over time. (B) Example neural sequences recorded in a singing isolated bird (older juvenile, 61 dph). Main panel (lower right), functional calcium imaging recordings from 98 neurons for a duration of 6 s. Rows (neurons) sorted according to sequences (factors) extracted by unsupervised algorithm seqNMF (see Methods). (Above) Song spectrogram (0-10 kHz). The four sequence factor exemplars and timecourses are shown to the left and above, in corresponding colors. Duration of factor exemplars: 0.5 s. (C) Same as B, for another example isolated bird (adult, 117 dph). (D) Same as in B, for a typically tutored bird (adult, 217 dph). (E) Time-lagged cross correlation between each neuron and each of the three extracted factors recorded in a singing isolated bird (older juvenile, 68 dph). Only significant bins in the cross correlation are shown (p < 0.05, Bonferroni corrected, compared to a circularlyshifted control). (F, G, J, K) Sequence properties in isolated birds. For reference, median for typically tutored bird in D shown in red. (F) Percent of neurons participating in at least one extracted sequence. (G) Reliability of participating neurons across sequence renditions. (H) Example song spectrograms (0.5 s) extracted at moments when neural sequences were detected in an isolated bird (older juvenile, 64 dph) (I) Correlation of these sequences with eight song features (top to bottom: amplitude, entropy, pitch goodness, aperiodicity, mean frequency, pitch, frequency modulation, amplitude modulation). Factor duration 0.5 s, indicated by colored bars above, triangle at center. (J) Strength of song locking (see Methods). (K) Percent of the song covered by some sequence. (L) Example of sequence abnormalities in an isolated bird (same as in E). Sequences of inconsistent length (8/8 isolated birds) and ensemble persistent activity (7/8 isolated birds) are annotated in red.

> generated by extended sequences of brief bursts. In addition, HVC sequences 123 in isolated birds exhibit variable durations, often truncating at different points 124 (Figure 1L, 8/8 birds), producing syllables of highly variable duration. Such 125 truncations in the middle of a syllable sequence are very unusual in typically 126 tutored birds [30]. These atypical modes of HVC activity suggest several possi-127 ble mechanisms to understand characteristic features of isolate song, abnormally 128 long syllables and those of variable duration [12]. For example, syllables in iso-129 lated birds may exhibit variable duration when their underlying HVC sequences 130 are truncated at different points. 131

> We wondered if the existence of sequences in HVC of socially isolated birds 132 occurs only after the closure of the critical period (i.e. a product of an already 133 atypical isolate song) or whether they develop at an even earlier age when birds 134 have not yet heard a tutor song, but can still be tutored. We recorded in 5 135 birds at ages 57-64 dph, prior to tutor exposure, and found strong evidence for 136 HVC sequences (Figure S1A). There was not a significant correlation between 137 the age of the bird and any sequence features we measured (Figure S1B-F, 138 linear regression model, significance threshold p < 0.5, comparing to a constant 139 model). The correlations were not significant both when we restricted to birds 140 within the traditional critical period (<65 dph), and when we included data 141 from three older isolated birds (68-117 dph). Thus, the large (several fold) bird-142 to-bird variability in sequence properties (Figure S1B-F) is not explained by 143 age, and likely due to inter-individual variability in developmental timecourses. 144

# Prior to tutoring, birds that will learn exhibit HVC sequences that are relatively immature and decoupled from vocal output

Next, we asked whether properties of the HVC sequences relate to the ability 148 of birds to learn a new song from a tutor. Many of our young isolated birds 149 were eventually tutored at an age around the critical period and we found that 150 half of them learned elements of their tutor song, while the others developed 151 fully isolate song. We classified birds as learners if their song had an Imitation 152 Score metric [31] greater than 0.5. The songs of non-learners remained highly 153 variable and isolate-like even after tutoring (Figure 3A). In contrast, learner 154 birds developed a new syllable within a day or two after tutoring, and ultimately 155 sang typical adult song, consisting of stereotyped motifs (Figure 3B). 156

An analysis of HVC activity revealed that sequences prior to tutoring were 157 systematically less mature/'crystallized' in birds that learned than in birds that 158 failed to learn. Learner birds had fewer sequences than non-learners (Figure 159 2C, average 2 sequences in learners, 3.25 sequences in non-learners, p = 0.029, 160 Wilcoxon rank sum test). Sequences in learner birds were more weakly corre-161 lated to song features (Figure 2D, average 0.20 s learner, 0.55 s non-learner, p 162 = 0.0034, Wilcoxon rank sum test). Sequences in learners had lower autocorre-163 lation, a measure of how repeatably/rhythmically they are produced [17], than 164 non-learners (Figure 2E, average 0.125 s learner, 0.244 s non-learner, p = 0.018, 165

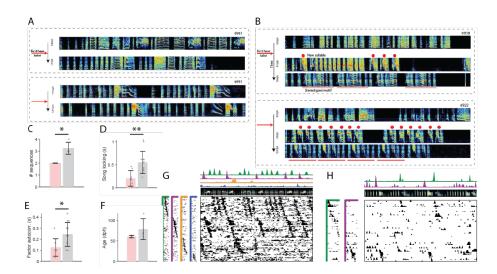


Figure 2: Relation between HVC sequence maturity and subsequent song learn-ing

(A) Example spectrograms for two non-learner birds, prior to tutoring and several weeks later (at least 77dph). (B) Example spectrograms for two learners, prior to tutoring, shortly after tutoring, and several weeks later. Red dots mark the new syllable. Red bars mark stereotyped motif. (C-E) Three measures of HVC sequence maturity for learners (pink) and non-learners (gray). Error bars denote standard deviation (\* : p<0.05, \*\* : p<0.01). (c) Number of sequences in HVC. (D) Fraction of neurons that participate in a sequence. (E) Autocorrelation of sequence factor timecourses. (F) Age of first tutoring for learners and non-learners. (G-H) Example pre-tutoring data from two birds that were brothers. (G) A non-learner, first tutored at 61 dph. (H) A learner, first tutored at 64 dph.

> <sup>166</sup> Wilcoxon rank sum test). Three additional measures of sequence maturity, all <sup>167</sup> related to intrinsic sequence properties were calculated. While non-learners also <sup>168</sup> trended higher in these measures, the differences were not significant (Wilcoxon <sup>169</sup> rank sum tests, Neural participation: average 45% learner, 70% non-learner, <sup>170</sup> p = 0.2; Reliability: average 69% learner, 74% non-learner, p = 1; Coverage: <sup>171</sup> average 51% learner, 71% non-learner, p = 0.34).

> The age of tutoring was not significantly correlated with whether the bird 172 was a learner or non-learner (Figure 3F, average 60.5 dph learner, 78.75 dph 173 non-learner, p = 0.11, Wilcoxon rank sum test). For example, one of the younger 174 birds in our dataset (61 dph) was a non-learner, and had particularly clear HVC 175 sequences before tutoring (Figure 3G). This bird's brother, tutored 3 days later, 176 was a learner, and had sequences that appear far less mature (Figure 3H). To-177 gether, these results suggest that the presence, at the time of tutoring, of robust 178 song-locked sequences, may inhibit learning. In other words, learning may be 179 better supported by more immature sequences that are more independent from 180 vocal output. 181

# <sup>182</sup> 2.3 Tracking HVC sequences across rapidly learned song <sup>183</sup> changes

<sup>184</sup> In late-tutored birds that learned, the speed with which new syllables appeared <sup>185</sup> was striking. These birds developed a new syllable within a day or two after <sup>186</sup> tutoring (Figure 2A, B), as has been previously described [32, 33, 34]. These <sup>187</sup> new syllables appeared to emerge *de-novo*, not by syllable differentiation as is <sup>188</sup> common in tutored birds.

We wondered if these birds, which learned a new syllable rapidly after tu-189 toring, formed a *de-novo* HVC sequence for this new syllable, or perhaps used 190 a pre-existing sequence. We were able to track neurons in our calcium imaging 191 data throughout the course of tutoring (Figure 3A, see Methods, Gu et al., in 192 preparation), enabling us to see what happens to neural activity during rapid 193 changes in the song. We first extracted neural sequences associated with new 194 post-tutoring syllables, then followed these neurons back in time to find that the 195 sequence existed even prior to tutoring (Figure 3B,C, see Methods). However, 196 the sequence prior to tutoring was surprisingly 'latent'. That is, the sequence 197 was relatively uncoupled to vocal output, without a strong correlation to song 198 syllables. Combining data from the four birds that learned a new syllable rapidly 199 after tutoring, neural sequences extracted two days after tutoring appeared to 200 become more song locked after tutoring (Figure 3D, p=0.0048, Wilcoxon rank 201 sum test). 202

Next, we aimed to control for the possibility that the appearance of sequences becoming progressively more locked to vocal output after tutoring was due to the fact that sequences were extracted from neural data recorded after tutoring. We directly extracted HVC sequences from exclusively pre-tutoring neuronal data and tracked them forward in time until a new syllable appeared. Sequences that were initially relatively 'latent' persisted, becoming progressively more correlated with vocal output, ultimately tightly locked to a new syllable

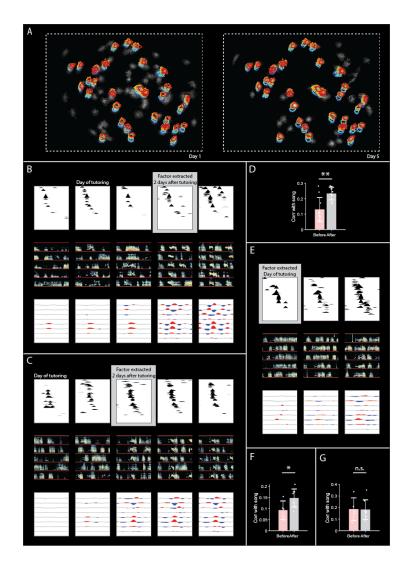


Figure 3: Tracking HVC sequences as isolated birds rapidly learn a new syllable (A) Neurons detected before (left) and after (right) tutoring shown in grayscale (CNMF\_E algorithm). Colored contours indicate locations of neurons tracked across five days, from blue to red (B) Sequence in HVC, tracked before and after first tutor exposure (see Methods), through the development of a new syllable. Sequence extracted from data two days after tutoring, and neurons sorted according to participation in this sequence. (Top) On each recording day, cross correlation of neurons with the sequence that becomes associated with the new syllable. Significant bins are shown in black, non-significant bins in gray (p=0.05, Bonferroni corrected, compared to circularly-shifted control) (Middle) On each recording day, example spectrograms at times when the sequence occurs on each day (Bottom) On each recording day, cross-correlation of sequence with acoustic features (amplitude, entropy, pitch goodness, aperiodicity, mean frequency, pitch, frequency modulation, and amplitude modulation) (C) Same as B for a different example bird. (D) Correlation with song amplitude before (pink) and after (gray) tutoring for all sequences in learner birds extracted data when a new syllable had been learned. (E) Similar to B and C, for a different example bird. Here sequences are extracted from pre-tutoring data, then tracked forward in time. (F) Song locking (maximum cross-correlation with song amplitude) before and after tutoring for the pre-tutoring sequences that had weaker song locking. (G) Song locking before and after tutoring for the pre-tutoring sequences that started off with stronger song locking.

(Figure 3E). Each of the 'learner' birds appeared to have two HVC sequences 210 present prior to tutoring. Of these sequences, the ones that started off less 211 correlated with song amplitude exhibited a significant increase in correlation 212 with song amplitude after tutoring (Figure 3F, p=.045, Wilcoxon rank sum 213 test). The sequences that started off more correlated with song amplitude did 214 not significantly change their correlation with song amplitude (Figure 3G, p=1, 215 Wilcoxon rank sum test). Together, these results are consistent with the view 216 that the emergence of new syllables after tutoring may co-opt existing HVC 217 sequences, including relatively 'latent' sequences. 218

## 219 3 Discussion

We set out to determine whether the formation of sequences in HVC depends 220 on prior exposure to a tutor song. By observing the neural activity in HVC of 221 isolated birds, we found that HVC network activity can form long repeatable 222 sequences even in birds that had no prior exposure to vocal tutoring. Sequences 223 in isolate HVC exhibit some properties of typical HVC, with many neurons reli-224 ably participating in sequences, and sequences being correlated to vocal output. 225 However, sequences in isolated birds were less reliable and less tightly corre-226 221 lated with vocal output than has been described in typical birds, and exhibited abnormal truncations and persistent activity. 228

We had previously hypothesized that the experience of hearing a tutor may 229 seed the formation of HVC sequences of the appropriate number and durations 230 [35], but our new data reveal that HVC sequences exist even prior to tutoring. 231 Thus, there must be a way for sequences to form without the prior storage of a 232 tutor memory. In models of Hebbian learning in HVC, sequences can form in 233 networks driven by random inputs rather than patterned inputs [22]. However, 234 in this case the distribution of sequence durations no longer matches syllable 235 durations found in typical adult birds, but is instead more consistent with the 236 highly variable and atypically long syllables that occur in birds that have never 237 heard a tutor (isolate song) [12, 10]. Thus our findings may be consistent with 238 the view that sequences can emerge in isolate birds by a combination of simple 239 Hebbian learning mechanisms together with spontaneous activity either within 240 HVC or driven by the inputs to HVC. 241

Our discovery of latent sequences suggests a separation between neural processes for building a stable representation of states within a task (i.e., sequential moments in time), and neural processes for associating an action with each state. Thus, sequences may gradually emerge in the maturing HVC network via simple Hebbian processes [23, 22, 17], but may remain relatively decoupled from downstream motor neurons until a memory of the tutor song is learned and reinforcement learning processes begin.

From a computational perspective, what do latent sequences tell us about how the brain learns? By latent sequences, we mean sequences that are initially only weakly correlated with vocal output, but are subsequently used to produce learned song changes. In reinforcement learning models of song learning, HVC

> sequences remain relatively stable even as the song changes [36, 37, 38, 39], 253 consistent with our observation of stable sequences. This is in contrast with 254 other models of song learning, like the 'inverse model' [40, 41, 42]. In the 255 inverse model, each motor neuron produces the same vocal output at different 256 times during vocal learning; song changes are caused by pre-motor neurons (e.g. 257 HVC) being activated in a different order. In contrast, we observed relatively 258 stable sequences throughout learned song changes. Our results are consistent 259 with data from primary motor cortex of macaques operating a brain-computer 260 interface—a fixed repertoire of activity patterns are associated with different 261 movements after learning [43]. Our results are also consistent with the idea 262 that the brain may use pre-existing sequential patterns to rapidly learn from 263 new experience, for example the existence of sequences in the hippocampus prior 264 to exposure to new environments [44, 45, 46, 47, 48]. 265

> If the brain is able to build on latent structure to learn from sparse data, es-266 sentially implementing inductive bias, we might expect different forms of latent 267 structure for different tasks. Zebra finches are known to develop typical songs, 268 including typical syllable durations, after being tutored by atypical isolate songs, 269 relying on species-specific 'priors' to achieve species-typical syllable durations. 270 The latent sequences we observed tended to last on the order of a hundred mil-271 liseconds—the same as the duration of typical zebra finch syllables. Might other 272 species that sing faster songs (e.g. grasshopper sparrow) or slower songs (e.g. 273 white-throated sparrow) exhibit latent sequences of shorter or longer durations? 274 One might imagine that the speed of latent sequences could be genetically spec-275 ified by expression levels of ion channels with different time constants within 276 HVC. Alternatively, the duration of latent sequences could be specified by the 277 amount of time it takes for HVC to get feedback from respiratory and/or audi-278 tory centers, which may also have their own intrinsic rhythmicity [49, 50, 51]. 279 Each of these possible sources of latent HVC structure could be tested in further 280 experiments. By whatever mechanism latent sequences arise, they appear to be 281 capable of supporting song learning, at least in the case of delayed tutoring. 282 More generally, the ability of brains to generate complex learned behavior may 283 depend on the intrinsic developmental formation of appropriate latent dynamics 284 in motor and sensory circuits. 285

### <sup>286</sup> 4 Materials and Methods

#### <sup>287</sup> 4.1 Table of key resources for imaging HVC sequences

288 Key resources, and references for how to access them, are listed in Table 1.

#### <sup>289</sup> 4.2 Animal care and use

For this study, Imaging data was collected in 9 male zebra finches (*Taeniopygia guttata*) from the MIT zebra finch breeding facility (Cambridge, MA). Animal care and experiments were carried out in accordance with NIH guidelines, and

Software/algorithm	Source	Link to code
seqNMF	[28]	https://github.com/FeeLab/seqNMF
CNMF_E (cell extraction)	[52]	https://github.com/zhoupc/CNMF_E
STAT (tracking neurons across days)	Gu et al., in preparation	will post preprint and submit
Chronux (spectrogram computation)	[53]	http://chronux.org/
SAP (Sound Analysis Pro)	[29]	http://soundanalysispro.com/
SI (Song Imitation)	[31]	https://doi.org/10.1371/journal.pone.0096484
MATLAB	MathWorks	www.mathworks.com
Dataset	Source	Link to data
HVC, rapid learning	This paper	will post
Other	Source	Link
Zebra finches (Taeniopygia guttata)	MIT animal facility	
AAV9.CAG.GCaMP6f.WPRE.SV40	[54]	https://pennvectorcore.med.upenn.edu
Miniature microscope	Inscopix nVista	https://www.inscopix.com/nvista

Table 1: Links to key resources used for measuring HVC sequences during rapid learning

reviewed and approved by the Massachusetts Institute of Technology Committee
 on Animal Care.

In order to control exposure to a tutor song, 8 birds were foster-raised by 295 female birds, which do not sing, starting on or before post-hatch day 15 (15 296 dph). Starting between 40 dph and 50 dph, these birds were housed singly in 297 custom-made sound isolation chambers. An additional bird was tutored by his 298 father, as is typical. After a couple of days of acclimation to the lab environ-299 ment, birds were anesthetized with isoflurane, and were given a surgery to inject 300 virus to express the functional indicator GCaMP6f and implant a GRIN (gra-301 dient index) lens (see below). Analgesic (Buprinex) was administered 30 min 302 prior to the surgery, and for 3 days postoperatively. After at least a week for 303 virus expression, an Inscopix miniscope baseplate was attached to the existing 304 implant. Birds were acclimated to the miniscope for several days. Once birds 305 started singing with the miniscope, functional calcium signals were recorded for 306 several days. To avoid photobleaching, short files (approximately 10 seconds) 307 were obtained, typically fewer than 50 files per day. Once some pre-tutoring 308 singing data had been obtained, birds were tutored briefly (5-10 song bouts 309 from a tutor bird) each day. 310

#### 4.3 Expression of functional calcium indicator GCaMP6f

The calcium indicator GCaMP6f was expressed in HVC by intercranial injection of the viral vector AAV9.CAG.GCaMP6f.WPRE.SV40 [54] into HVC. In the same surgery, a cranial window was made using a relay GRIN (gradient index) lens (1mm diamenter, 4mm length, Inscopix) implanted on the surface of the brain, after the dura was removed. After at least one week, in order to allow for sufficient viral expression, recordings were made using the Inscopix nVista miniature fluorescent microscope.

#### <sup>319</sup> 4.4 Extraction of neuronal activity and background sub-<sup>320</sup> traction using CNMF\_E

Neuronal activity traces were extracted from raw fluorescence movies using a constrained non-negative matrix factorization algorithm, CNMF\_E, that is specialized for microendoscope data by including a local background model to remove activity from out-of-focus cells [52]. Custom software (Shijie Gu, Emily Mackevicius, Pengcheng Zhou) was used extend the CNMF\_E algorithm to combine batches of short files (BatchVer) and track individual neurons over the course of multiple days (STAT, Gu, et. al., in preparation, see below).

#### 4.5 Unsupervised discovery of neural sequences using seqNMF

We addressed the challenge of needing to detect neural sequences in HVC with-329 out relying on aligning neural activity to the song by developing an unsupervised 330 algorithm, seqNMF [28]. This was necessary because juvenile songs are highly 331 variable and difficult to parse into repeatable syllables, and because we wanted 332 to allow for the possibility that HVC activity might be more stereotyped than 333 the song. Briefly, seqNMF factorizes data into exemplar sequence factors (W's). 334 Each sequence factor has a corresponding timecourse  $(\mathbf{H})$ . Convolving each ex-335 emplar with its respective timecourse produces an approximate reconstruction 336 of the original data ( $X = \mathbf{W} \circledast \mathbf{H}$ ). SeqNMF returns a factorization that min-337 imizes reconstruction error, subject to a penalty term that encourages simpler 338 factorizations. 339

#### <sup>340</sup> 4.6 Preprocessing calcium traces prior to running seqNMF

We performed several preprocessing steps before applying seqNMF to functional 341 calcium traces extracted by CNMF\_E. First, we estimated burst times from the 342 raw traces by deconvolving the traces using an AR-2 process. The deconvolution 343 parameters (time constants and noise floor) were estimated for each neuron using 344 the CNMF\_E code package [52]. Some neurons exhibited larger peaks than 345 others, likely due to different expression levels of the calcium indicator. Since 346 seqNMF would prioritize the neurons with the most power, we renormalized 347 by dividing the signal from each neuron by the sum of the maximum value of 348 that row and the  $95^{th}$  percentile of the signal across all neurons. In this way, 349 neurons with larger peaks were given some priority, but not much more than 350 that of neurons with weaker signals. 351

# 4.7 Estimating the number of significant sequences in each dataset

The number of sequences present in real neuronal datasets can be slightly ambiguous, so we used several methods to arrive at and validate an estimate for the number of significant neural sequences present in each dataset. It is important to note that, since our datasets are short, there may be additional neural

> sequences in HVC that do not appear, or do not achieve significance, in our 358 datasets. In order to cross-validate sequences on held-out data, we split each 359 dataset into a training set (75%) and a test set (25%). Sequences were detected 360 in the training set, and significance was measured in the test set by assessing 361 how much the overlap of the sequences with the test data compared to null 362 (time-shifted) sequences. In order to choose a value for the seqNMF parame-363 ter  $\lambda$  that balances reconstruction cost with correlation (redundancy) cost, we 364 swept  $\lambda$  with K = 10 and L = 0.5 seconds to find  $\lambda_0$ , the cross-over point that 365 balances these cost terms (Figure S2A). Based on analysis on simulated data 366 [28], where values of  $\lambda$  at or slightly above  $\lambda_0$  yielded the correct number of 367 sequences, we looked at the distribution of significant sequences at  $\lambda = \lambda_0$  and 368  $\lambda = 2\lambda_0$  (Figure S2B), and chose as our estimate a number between the peaks of 369 these two distributions. We validated these estimates in two ways. First, we ran 370 371 seqNMF with K equal to this estimate and  $\lambda = 0$ , and confirmed that the resulting sequences tended to be significant on held-out data. Next, we ran seqNMF 372 on the entire dataset at this K from 25 different random initial conditions, and 373 confirmed that the sequences were consistent across the different runs (Figure 374 S2C). Consistency measures the extent to which there is a one-to-one mapping 375 between the factors of two different factorizations [28]. When this analysis was 376 run at a K higher than the estimated K, results tended to be less consistent 377 (Figure S2D). 378

#### <sup>379</sup> 4.8 Selecting a consistent factorization

For each dataset, we selected the most consistent factorization on which to perform all further analysis. Once we had selected an appropriate number of sequences for each dataset, using the analyses described above, we ran seqNMF 25 times at this value of K from different random initial conditions, and picked the factorization that was most consistent with the other factorizations (Figure S2D). Factorizations at K chosen by the above methods tended to be more consistent than factorizations at higher K (Figure S2D).

#### <sup>387</sup> 4.9 Significance testing for cross-correlation analyses

Several of our results involve analyzing the temporal relationship between differ-388 ent timecourses (factors and neurons; factors and song acoustic features; factor 389 autocorrelations). These analyses involve testing the significance of the cross-390 correlation between two timeseries, compared to null cross-correlation values 391 that could occur if the signals were circularly shifted relative to each other by 392 a random large timelag. Before measuring cross-correlations, we centered each 393 signal to have zero mean. If we are assessing the cross-correlation at lags in the 394 range from -L to L, we want to compare values measured here to null values 395 measured at random lags longer than L. We compute the cross-correlation at 396 each lag  $\ell$  in the range  $-T < \ell < T$ , where T is the length of the timeseries, 397 by circularly shifting one of the timeseries by  $\ell$  and computing the dot prod-398 uct with the other timeseries. We then use the cross-correlations at null lags 399

 $\begin{array}{ll} _{400} & (-(T-L) < \ell < -L \mbox{ or } L < \ell < (T-L)) \mbox{ to determine a Bonferroni-corrected} \\ _{401} & {\rm significance threshold. The threshold is the 100 \times (1-p/Num)^{th} \mbox{ percentile of} \\ _{402} & {\rm the absolute value of these null cross-correlations, where $Num$ is the number \\ _{403} & {\rm of \ comparisons} \ (2L \mbox{ times the number of tests being run}), \mbox{ and $p$ is the $p$-value. \\ _{404} & {\rm Significance \ is \ achieved \ for \ lags \ at \ which \ the \ measured \ cross-correlation \ exceeds \\ _{405} & {\rm this \ value. } \end{array}$ 

#### 406 4.10 Assessing song locking, the cross-correlation between 407 each factor and acoustic song features

Several of our results involve quantifying the temporal relationship between 408 sequence timecourses  $(\mathbf{H}'s)$  and the song. To do this, we measured the cross-409 correlation of sequences with song acoustics using 8 acoustic features common 410 in the songbird literature [29]: amplitude, entropy, pitch goodness, aperiodicity, 411 mean frequency, pitch, frequency modulation, and amplitude modulation. Each 412 of these acoustic features is measured from the song at 1ms resolution using 413 standard software (Sound Analysis Pro, http://soundanalysispro.com/, [29]). 414 The seqNMF H's are upsampled to this resolution, then cross-correlation be-415 tween each **H** and each song feature is assessed using the above procedure, with 416 L = 1 second, p = 0.05, and Bonferroni correction (2000 timebins) x (8 features) 417 x (K sequences). The overall measure of song locking is computed by integrat-418 ing the number of seconds that a given sequence has significant correlation with 419 each of the song features. 420

#### 421 4.11 Assessing which neurons participate in each sequence

Several of our results involve assessing which neurons participate in each sequence. In order to do this, we measure whether there is a significant crosscorrelation between each neuron and each factor (with L=0.5 seconds, p = 0.05, and Bonferroni correction (30 timebins) x (N neurons) x (K sequences)). Note that, since seqNMF is run on the neural data, it is guaranteed that some neurons will be correlated with the factors —the primary aim of this test is to assess which neurons are in which sequences.

#### 429 4.12 Tracking HVC projection neurons over the course of 430 major song changes

A core motivation for using calcium imaging methods instead of other methods 431 was the possibility to track HVC projection neurons over the course of major 432 song changes. HVC projection neurons are particularly difficult to record with 433 electrophysiological methods—current methods are unable to record an HVC 434 projection neuron for more than a few hours, and tend to record one, or at most 435 three, projection neurons at a time [55, 17]. Previous studies of song-locked HVC 436 activity throughout the learning process could only track changes in the neural 437 population that occurred at a timescale slower than a week, because population 438 statistics had to be compiled from single-neuron recordings [17]. This technique 439

misses rapid changes that can happen within a day [32], and is unable to assess
the stability of HVC sequences.

Stability of HVC sequences over time can be assessed using calcium imag-442 ing, though some challenges remain due to the potential for errors in tracking 443 neurons across days. Single-photon calcium imaging methods have been used 444 to address the stability of HVC sequences in adult birds with stable songs, ob-445 serving stable song-locked activity in slightly more than half of HVC projection 446 neurons, and unstable song-locked activity in slightly less than half of HVC pro-447 jection neurons [56]. This measure is likely an underestimate of the stability of 448 HVC activity, since noise in tracking cell locations across days could lead to per-449 ceived instability. Thus, HVC sequences appear relatively stable in birds with 450 stable song, but what about birds whose songs are changing? The potential for 451 errors in tracking neurons across days was one factor in our decision to record 452 in birds undergoing very rapid learning. It was necessary for us to expand upon 453 previous methods for tracking neurons recorded by calcium imaging over time 454 [57], likely due to the relatively short individual file sizes in our dataset from 455 singing juvenile birds (we recorded many short files each day, when the birds 456 happened to sing, instead of longer continuous files). 457

We tracked the activity of populations of HVC neurons over multiple days 458 using Spatial Tracking Across Time (STAT, Gu et al., in preparation). This 459 method builds off of previous methods [57], where individual cell pairs' shape 460 spatial correlation and distance are used to determine the correspondences be-461 tween cells extracted from different sessions. STAT also considers local neigh-462 borhood motion consistency in computing the optimal tracking of cells across 463 sessions, and requires less manual supervision. The local motion consistency is 464 optimized using the Hungarian Method, a combinatorial optimization algorithm 465 that solves assignment problems in polynomial time. Cells that have no good 466 match are excluded, as are cells with abnormal coefficient of variations. Finally, 467 the results of the matching algorithm are checked manually. 468

#### 469 4.13 Tracking sequences extracted on one subset of a dataset 470 to another subset of the dataset

In order to track a sequence,  $\mathbf{W}$ , extracted in one subset of a dataset ( $\mathbf{X}_1$ , 471 for example before tutoring) to another subset of the dataset  $(\mathbf{X}_2, \text{ for example})$ 472 after tutoring), we first mean-subtract  $\mathbf{W}$  and  $\mathbf{X}_2$  along the time dimension, 473 then estimate  $\widetilde{\mathbf{H}}_2 = \mathbf{W}^{\top} \circledast \mathbf{X}_2$ . In order to assess whether a neuron significantly 474 participates in W in dataset  $\mathbf{X}_2$ , we bootstrap using control datasets  $\mathbf{X}_2^{shuff}$ , in 475 which data from each neuron is circularly shifted in time by a different random 476 amount. We then ask whether the neuron participates more strongly in the 477 real dataset compared to participation calculated on control datasets (p=0.05478 significance threshold, Bonferroni corrected for the number of neurons and the 479 number of time-lags). Specifically, we compare  $\widetilde{\mathbf{W}}_2 = \mathbf{X}_2 \widetilde{\mathbf{H}}_2^{\top}$  to  $\widetilde{\mathbf{W}}_2^{shuff} =$ 480  $\mathbf{X}_{2}^{shuff} \widetilde{\mathbf{H}}_{2}^{shuff\top}$ . 481

## 482 5 Assessing sequence coverage of song bouts

Sequence coverage quantifies the observation that sequences in isolated birds ap-483 pear to pop on and off at somewhat arbitrary moments in bouts, leaving some 484 sections of some bouts with no clear sequences present. First, the moments when 485 each sequence occurs is estimated by computing when  $\widetilde{\mathbf{H}} = \mathbf{W}^{\top} \circledast \mathbf{X}$  is larger 486 than expected by chance (Bonferroni-corrected 95% percentile of  $\tilde{\mathbf{H}}^{shuff}$  = 487  $\mathbf{W}^{\top} \circledast \mathbf{\hat{X}}^{shuff}$ ). Next, the sequence is convolved with the corresponding  $\mathbf{W}$ . 488 Finally, the total number of seconds when some sequences was present is di-489 vided by the total number of seconds in the bout, and multiplied by 100, to get 490 the percent of the bout covered by some sequence. Note that sequence cover-491 age is distinct from previously described measures of burst coverage within a 492 repeatable adult song motif [18]. 493

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# 671 6 Acknowledgements

This work was supported by a grant from the Simons Collaboration for the Global Brain, the National Institutes of Health (NIH) [R01 DC009183] and the G Harold and Leila Y Mathers Charitable Foundation. ELM received support through the NDSEG Fellowship program and the Simons Society of Fellows. Special thanks to Andrew Bahle for comments on earlier versions of the manuscript.

# **Supplementary figures**

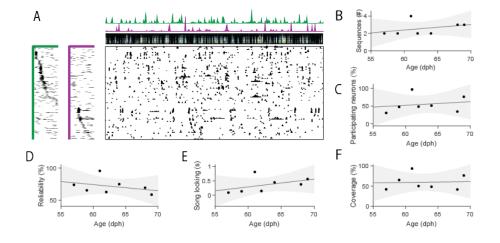


Figure S1: HVC sequences exist even in young isolated birds

(A) Example HVC sequences recorded in a young isolated bird (59 dph) (B-F) Sequence properties as a function of age in 7 juvenile isolated birds (5 birds recorded prior to the closing of the traditional critical period (<65 dph), and 2 older juvenile birds (65 dph - 90 dph)). Line denotes least squares fit, gray area 95% confidence interval. (B) Number of HVC sequences extracted. (C) Percent of neurons participating in at least one sequence. (D) Reliability of neural participation across sequence renditions. (E) Song locking. (F) Percent of the song covered by at least one sequence.

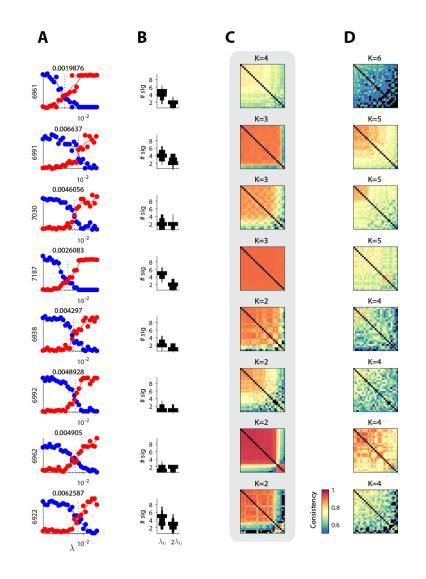


Figure S2: Supplementary Figure 1. Estimating the number of significant sequences in each dataset

(A) Reconstruction cost (red) and correlation cost (blue) as a function of  $\lambda$  (with K=10, L=0.5 seconds) for 8 datasets (pre-tutoring data from 8 different birds). The crossover point,  $\lambda_0$ , is stated and marked by a dashed line. (B) Histogram of the number of significant sequences at  $\lambda_0$  and  $2\lambda_0$  for these datasets. (C) For the chosen K, and  $\lambda = 0$ , consistency across 25 runs of seqNMF from different random initializations. Factorizations are sorted from most to least consistent. (D) Consistency matrix for 25 runs at K above the estimated K.