

31 **Introduction**

32 Uterine Natural Killer cells (uNK) are NK-like cells that are found in the lining of the uterus (called
33 “decidua” in pregnancy and “endometrium” outside of pregnancy). They are distinct from peripheral
34 blood NK (pNK) in both phenotype and function. Unlike pNK, uNK are only weakly cytotoxic and
35 instead produce factors that are pro-angiogenic and that attract fetally-derived placental cells called
36 extravillous trophoblast (EVT) (1-6). uNK are most prominent in the first trimester of pregnancy, at
37 which time they account for 70-80% of immune cells in the decidua (7). Their prominence at the time
38 of implantation, and production of factors that are predicted to promote trophoblast invasion and
39 spiral artery remodeling, indicates they are likely to have a role in placental implantation.

40
41 Further evidence for a role of uNK in implantation comes from their expression of high levels of
42 killer-cell immunoglobulin-like receptors (KIRs), CD94/NKG2 (8, 9), and LILRB1 (9), which allows
43 them to recognize the human leukocyte antigens (HLAs) expressed by EVT: HLA-C, HLA-E and
44 HLA-G respectively (10-12). Immunogenetic studies demonstrate that combinations of HLA and
45 KIR that lead to lower activation are associated with disorders of insufficient implantation such as
46 pre-eclampsia, fetal growth restriction and recurrent miscarriage, suggesting that uNK activation via
47 KIR is important for implantation (13-20). The increased expression of KIRs by uNK around the
48 time of implantation provides additional support for this idea (21).

49
50 Until recently, it was thought that uNK formed a single population, but single cell RNA sequencing
51 (scRNAseq) has now demonstrated three subpopulations of uNKs in first trimester decidua (22).
52 These were originally called decidual NK (dNK) -1, -2 and -3 but they have now also been found in
53 non-pregnant endometrium (23). Here, we call these subsets “uNK1”, “uNK2”, and “uNK3” in
54 recognition of the finding that they are not confined to the decidua. uNK1 express higher levels of
55 KIRs and LILRB1, indicating they may be specialized to communicate with EVT (22, 24). uNK2 and
56 uNK3 produced more cytokines upon stimulation, indicating their role may be immune defense (24).
57 However, several questions remain open. Are these three subpopulations still present at the end of
58 pregnancy? Do the subpopulations change in prominence and/or activity over the reproductive cycle?
59 The answers to these questions could elucidate which subpopulations are important in implantation,
60 parturition, and immune protection throughout the reproductive cycle.

61
62 Here, we show that the proportions of the uNK subpopulations remain stable through the menstrual
63 cycle, but all three are more active and express higher levels of KIR around the time of implantation.
64 uNK1 are more prominent in the first trimester of pregnancy, potentially indicating a requirement for
65 this subset in the mediation of implantation whereas uNK3 are the most prominent at the end of
66 pregnancy. Overall, we outline how the three uNK subpopulations change in proportion phenotype
67 and function throughout the reproductive cycle.

68 **Materials and Methods**

69 *Primary tissue*

70
71 Collection of human tissue was approved by London - Chelsea Research Ethics Committee (study
72 numbers: 10/H0801/45 and 11/LO/0971).

73
74 29 endometrial samples were taken by Pipelle biopsy before insertion of intrauterine device for
75 contraception. Samples taken on a day of bleeding were assigned as menstrual phase. Other samples
76 were categorized to proliferative or secretory phase by date of last menstrual period and serum
77 progesterone level. Samples obtained before Day 14 were assigned as proliferative phase and after
78 day 14 as secretory phase. This was confirmed retrospectively by serum progesterone level,
79 according to previously published reference range (25). Samples from postpartum participants were
80 assigned by number of days from delivery of the baby up until 16 weeks post-partum.

81
82 10 decidual samples were taken from participants undergoing surgical management of elective
83 termination of pregnancy between 6 to 13 weeks of pregnancy and 10 from participants undergoing
84 elective caesarean sections, over 37 weeks of pregnancy and not in labour. For labouring data, a
85 following 8 samples were taken from participants in the early stages of labour (1-3cm cervical
86 dilation and regular contractions) who had a caesarean section, and 5 samples were taken from
87 participants after a vaginal birth. Matched peripheral venous blood was obtained from all patients at
88 time of obtaining endometrial or decidual samples. Patient characteristics are summarized in Suppl
89 tables 1 and 2.

90
91 Lymphocytes were extracted from peripheral blood by layering onto Histopaque (Sigma Aldrich),
92 spinning down (700 *xg*, 20 minutes, 21°C) and retrieving the interface which was washed twice with
93 Dulbecco's Phosphate-Buffered Saline (Life Tech) (500 *xg*, 10 minutes, 4°C). Briefly, endometrial
94 tissue was passed through 100µm cell strainer, pelleted (700 *xg*, 10 minutes, 4°C), resuspended in
95 Dulbecco's Phosphate-Buffered Saline supplemented by 10% Fetal Calf Serum (Sigma Aldrich),
96 passed through 70µm strainer, and layered on Histopaque as above.

97
98 For first trimester samples, decidua compacta was extracted from products of conception and stirred
99 for 20 minutes to remove blood before mincing with scalpel followed by GentleMACS dissociation
100 (Miltenyi). Minced tissue was passed through 75 µm sieve, pelleted (500 *xg*, 10 minutes, 4°C) and
101 resuspended in PBS/1% FCS before passing through 100 µm strainer. Filtrate was layered on
102 Histopaque as above.

103
104 For third trimester decidua basalis (DB) samples, small sections were cut from the maternal side of
105 the placenta and washed using a magnetic stirrer in Mg²⁺ and Ca²⁺ free PBS (Gibco) for 20 minutes.
106 Blood clots, vessels and placental tissue were physically removed and cleaned decidual tissue was
107 placed in new Mg²⁺ and Ca²⁺ free PBS. The tissue was spun (400 *xg*, 5 mins 21°C) and PBS
108 removed. The tissue was resuspended in Accutase (Invitrogen), mechanically digested in C tubes
109 using a GentleMACs dissociater and placed in a 37°C shaking water bath for 45 minutes. Minced
110 tissue was passed through a 70µm strainer, resuspended in PBS/1%FCS/2mM EDTA. Filtrate was
111 layered on Histopaque as above. For the third trimester decidua parietalis (DP) samples, 10cm x
112 10cm sections of the fetal membrane were dissected and the decidua removed using a cell scraper
113 (Starsted). The tissue underwent enzymatic and mechanical digestion as described in the decidua
114 basalis protocol.

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Extracted lymphocytes were counted by light microscopy (Leica) with a haemocytometer. A total of 0.2 X10⁶ to 1 X10⁶ cells per condition were allocated for phenotype and functional assessment.

Stimulation with PMA/ionomycin

21 endometrial, all first trimester and all third trimester samples were used for functional assessment. Endometrial lymphocytes were stimulated immediately after isolation, and decidual lymphocytes were stimulated after 12 to 20 hours of rest at 37°C. Optimization experiments showed no difference between cells stimulated fresh and after rest (Supp Fig 1).

For functional assessment, cells were suspended in RPMI enriched with antibiotics, EDTA and sodium pyruvate and divided into unstimulated and stimulated wells. Anti-CD107a BV605 (100 µl/ml), Brefeldin (10µg/ml) and Monensin (2µM/ml) were added to all wells and Phorbol 12-myristate 13-acetate (PMA) (50 ng/ml) and ionomycin (1µg/ml) into the stimulated wells only. Cells were incubated for 4 hours at 37°C then stained with antibodies. For third trimester samples, cells were incubated for 6 hours with anti-CD107a, PMA and ionomycin, with Brefeldin and Monensin added 2 hours into the incubation.

Single cell RNA-seq data analysis

A scRNA seq dataset from the non-pregnant uterus (available at www.reproductivecellatlas.org/) was converted from a Python format into a R Seurat object. The object was subset to cells that had been classified as “Lymphoid” or “Myeloid” under “Cell.type”, in the metadata.

A scRNAseq dataset from the first trimester uterus (available at Array Express E-MTAB-6701) was converted from .txt into a R seurat object. The object was subset to cells that had been designated an immune cell type, e.g. “dNK1” under “Annotation”, in the metadata. Cells originating from placenta or blood were removed, so only decidual cells remained. Data from one donor was removed from the analysis due to their NK cells clustering independently of all other NK cells.

The scRNAseq dataset (dbGaP phs001886.v1.p1, reanalyzed with permission of the NIH, project ID 26528) contained samples from one second trimester accreta sample and 9 third trimester participants. Both datasets were filtered, aligned and quantified using Cell Ranger software (version 5.0.1, 10x Genomics). h19 was used as a human genome reference. Downstream analyses were performed using the R package Seurat (version 4.0.2)(26). Cells with fewer than 200 genes and genes that were expressed in less than 10 cells were removed. Furthermore, cells where the gene content was greater than 10% mitochondrial genes were removed. Clusters were identified using “FindClusters” algorithm. The “FindAllMarkers” algorithm was used to identify the immune clusters, and subset the object to immune cells. Cells from placental tissue were removed. TIL and PTL samples were not included in the analysis looking at dNK across the reproductive cycle. PTL samples were not included in the term labouring analysis.

156 The four datasets were integrated based on a previously published workflow (27). Clusters that
157 appeared to be non-immune cells were removed and the remaining cells were reanalysed using the
158 same workflow. The algorithm “FindConservedMarkers” was used to identify the clusters dNK1,
159 dNK2 and dNK3. This was confirmed by the metadata column “annotation” from the first trimester
160 dataset.

161 162 *Flow cytometry*

163 The following anti-human antibodies were used: Anti-CD56 Brilliant Violet (BV) 650 (clone NCAM
164 16.2, BD Bioscience), anti-CD39 BV421 (clone A1, Biolegend), anti-CD3 BV711 (clone SK7,
165 Biolegend), anti-CD103 BV785 (clone Ber-ACT8, Biolegend), anti-CD16 Alexa Fluor(AF)700
166 (clone 3G8, Biolegend), anti-CD9 phycoerythrin(PE)/Dazzle 594 (clone HI9a, Biolegend), anti-
167 CD49a PE/Cy7 (clone TS2/7, Biolegend), anti-CD45 allophycocyanin (APC) (clone HI30,
168 Biolegend), anti-CD94 PE (Clone HP-3D9, BD Bioscience), anti-CD158a/h (KIR2DL1/DS1)
169 VioBright 515 (clone REA1010, Miltenyi Biotec), anti-CD158b (KIR2DL2/DL3) APC vio 770
170 (clone REA 1006, Miltenyi Biotec), CD85j (ILT2 or CD94) Peridinin chlorophyll protein (PerCP)-
171 eFluor 710 (clone HP-F1, Thermo Fisher Scientific) and anti-CD107a BV605 (clone H4A3,
172 Biolegend) for surface antigens, and anti-IL-8 PE (clone G265-8, BD Bioscience), anti-IFN- γ
173 APCvio770 (clone REA600, Miltenyi Biotec), anti GM-CSF PERCP/Cyanine 5.5 (clone BVD2-
174 21C11, Biolegend), anti-TNF α FITC (clone MAb11, Biolegend) for intracellular staining.
175 Cells were first incubated with fixable viability dye (Live/Dead Fixable Aqua Dead Cell stain kit,
176 LifeTech) (15 minutes, 4°C) followed by incubation with surface antibodies (15 minutes, 4°C). For
177 intracellular staining, human FoxP3 buffer (BD Bioscience) was used according to manufacturer’s
178 instructions before staining with intracellular antibodies (30 minutes, 4°C). For third trimester
179 samples, fixable viability dye was included with the surface staining antibodies (20 minutes, RT) and
180 intracellular staining used the Cytotfix/Cytoperm kit (BD Biosciences) according to manufacturer's
181 instructions. Excess antibodies were washed off (5 minutes, 500 μ g, 4°C) between each incubation
182 and twice after final incubation with intracellular antibodies.

183 184 *Statistical analysis*

185 Data were acquired on an BD Fortessa and analysed using FlowJo (Tree Star, Ashland, OR).
186 Application settings were used to ensure reproducible results. Statistical analysis were performed
187 using PRISM (GraphPad Software Inc.). Data were assessed for normality using Shapiro-Wilk tests
188 to determine whether a parametric or a non-parametric statistical test was appropriate. The
189 appropriate statistical test was used to compare subsets as specified in figure legends. $p < 0.05$ was
190 considered significant.

191 192 **Results**

193 We examined tissues and collected data at seven stages of the reproductive cycle. In the menstrual
194 cycle there are three stages: menstrual (when the lining of the uterus is shed), proliferative (prior to
195 ovulation) and secretory (after ovulation). Pregnancy is divided into three trimesters: first (1-12
196 weeks), second (12-28 weeks), third (28 – 40 weeks). We also examined postpartum samples (up to
197 16 weeks post-delivery). For the scRNAseq data, 5 stages were examined: proliferative, secretory,

198 first trimester, second trimester and third trimester. For flow cytometry, 6 stages were examined:
199 menstrual, proliferative, secretory, first trimester, third trimester and postpartum.

200

201 During the menstrual cycle stages the uterine tissue we examined is known as the endometrium.

202 During pregnancy, this tissue undergoes a process called decidualization and results in three tissues

203 known as the decidua basalis (which lines the maternal side of the placenta), decidua parietalis

204 (which lines the rest of the uterus) and decidua capsularis (which lines the embryo on the luminal

205 side). When taking samples from first trimester tissue, it not possible to differentiate between the

206 different decidual tissues. During second trimester the decidua capsularis fuses with the decidua

207 parietalis. When taking samples from third trimester tissue, it is possible to get distinct samples from

208 the decidua basalis and the decidua parietalis.

209

210 *uNK1, -2 and -3 are present throughout the human reproductive cycle and vary in frequency*

211 scRNAseq analysis has previously identified that three subpopulations of uNK, uNK1, -2 and -3

212 present in first trimester decidua (22) and non-pregnant endometrium (23). Previous analysis of

213 scRNAseq data from third trimester decidua identified only a single cluster within the uNK

214 population (28), and our reanalysis of the third trimester dataset alone confirmed this. However,

215 when the third trimester data was integrated with data from the non-pregnant uterus, first and second

216 trimester, the third trimester dNK cells did form three clusters (Fig. 1a, b).

217

218 In the first trimester, uNK can be distinguished from circulating NK cells by their expression of

219 CD49a and CD9; the subsets are then defined by their expression of CD39 and CD103 (22). We

220 confirmed the presence of CD49a⁺ uNK in endometrium and in first and third trimester decidua, and

221 that the three subpopulations uNK1, -2 and -3 can be identified using CD39 and CD103 (Fig 2a).

222 However, in third trimester samples there was a significant CD49a⁺CD9⁻ population. A comparison

223 of CD49a⁺CD9⁺ and CD49a⁺ CD9⁻ detected no phenotypic differences between these two

224 populations, suggesting that CD49a alone can be used to identify uNK cells in the third trimester

225 (Supp Fig 2). For consistency of gating strategy, we also identified uNK cells by their expression of

226 CD49a alone in endometrial, first trimester and postpartum samples.

227

228 In line with previous reports (7), we observed a peak in total uNK, as a proportion of total CD45⁺

229 lymphocytes, in first trimester pregnancy by both scRNAseq and flow cytometry (Figure 1c, Fig 2b).

230 We observed a similar proportion of total uNK in proliferative and secretory phase (Fig. 1c, 2c), in

231 contrast to a previous report of higher proportion of uNK in secretory phase (29). This may be due to

232 our use of CD49a allowing the removal of contaminating pNK from analysis, or due to their more

233 vigorous sub-classification of the secretory stage.

234

235 Next, we examined uNK 1, -2 and -3 frequency expressed as either a proportion of total CD45⁺

236 lymphocytes or total uNK. We observed an increase in frequency of uNK1 when transitioning from

237 secretory phase to first trimester pregnancy, but this was not sustained into third trimester decidua.

238 This observation applied to both expression of uNK1 as percentage of both CD45⁺ lymphocytes and

239 percentage of total NK cells. (Fig. 1c and Fig 2b), and the change was significant when measured by
240 flow cytometry.

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242 The variation of uNK2 frequency was similar to that observed for uNK1, with a peak in the first
243 trimester observed by scRNAseq, and flow cytometry when frequency was measured as a percentage
244 of CD45+ lymphocytes (Fig 1c and 2b). For the latter, uNK2 were significantly higher in the first
245 trimester, compared to the third. Further, there was an upward trend of uNK2 when transitioning
246 from third trimester decidua to postpartum endometrium when measured as a proportion of total NK
247 (Fig 2c).

248

249 For uNK3, there was no change in frequency through the menstrual cycle. When measured as a
250 percentage of CD45+ lymphocytes, there was a reduction in uNK3 in third trimester decidua
251 parietalis, compared to both first trimester decidua and third trimester decidua basalis. This was
252 significant when measured by flow cytometry. When measuring uNK3 as a percentage of total uNK
253 there was a dip in the first trimester and a peak in both types of third trimester decidua. This was
254 significant when measured by flow cytometry. The discrepancy between the proportions when
255 expressed as a percentage of CD45+ lymphocytes or total uNK cells is likely due to the change in
256 frequency of total uNK, as a proportion of CD45+ lymphocytes.

257

258 Within the third trimester decidua, the uNK2 population appeared greater in proportion of total uNK
259 in the decidua parietalis compared to the decidua basalis in both scRNAseq and flow cytometry,
260 although this did not reach significance for either (Fig 1c and 2b). The uNK3 population appeared
261 greater in the decidua basalis, compared to decidua parietalis, which was significant when measured
262 by flow cytometry as a percentage of CD45+ lymphocytes (Fig. 1c and 2b).

263

264 *Peripheral blood NK cell frequency does not vary over the reproductive cycle or correlate with uNK*
265 *frequency*

266 We also examined CD56Bright and Dim NK cells in matched peripheral blood by a conventional
267 gating strategy to identify these populations. (Fig 2c). Unlike uNK, there was no variation in total
268 CD56+pNK, CD56Bright or CD56Dim in peripheral blood when transitioning through different
269 phases of the reproductive cycle. Furthermore, there was no significant correlation in levels of pNK
270 and uNK subsets when expressed either as proportion of CD45+ live lymphocytes or total NK cells.
271 (Supp Fig 3).

272

273 *uNK subsets upregulate KIR and LILRB1 during transition from non-pregnant endometrium to first*
274 *trimester decidua*

275 We next examined uNK expression of receptors that interact with trophoblast cells: KIR2DL1 and
276 KIR2DL2/3 recognise HLA-C, LILRB1 recognises HLA-G and CD94 recognises HLA-E (30).
277 In line with earlier findings on first trimester uNK (22, 24), we observed that uNK1 expressed higher
278 levels of KIR than uNK2 and -3 (Fig 3b,c). We also found that all three uNK subsets expressed
279 increased KIR in the first trimester of pregnancy, compared to non-pregnant endometrium and third
280 trimester decidua (Fig 3b,c). Similar to KIR, LILRB1 protein expression peaked in the first trimester,

281 although this was only statistically significant in uNK2 and uNK3 (Fig 3b). *LILRB1* transcript
282 expression followed a similar trend, although in contrast to our findings at the protein level, *LILRB1*
283 mRNA was not detectable in the decidua basalis (Fig 3c). At the transcript and protein level, CD94
284 was expressed at a higher level on uNK2 and 3 compared to uNK1 (Fig.3b, c). There was a slight
285 reduction in CD94 transcript (*KLRD1*) towards the end of pregnancy, but this was not observed at the
286 protein level. (Fig. 3b, c)

287
288 In line with our finding that pNK did not change in frequency over the reproductive cycle,
289 examination of NK cells from matched blood showed no change in the frequency at which KIR,
290 *LILRB1*, and CD94 are expressed in these cells. (Fig. 3b).

291
292 *uNK are the most active at the time of implantation*

293 We next assessed functional responses with and without stimulation with PMA and ionomycin (Fig.
294 4a). CD107a staining is a proxy for degranulation and previous studies have shown that this acts as a
295 reliable measure of overall uNK activation (31). We also examined the production of IL-8 and GM-
296 CSF, which are thought to promote EVT invasion (6, 31, 32), and the classical NK cell cytokines
297 IFN γ and TNF α (Fig. 4b).

298
299 Degranulation in unstimulated conditions declined during the proliferative phase, slightly in uNK2
300 and significantly in uNK3, compared the other two phases of the menstrual cycle. (Fig. 4b). At the
301 end of pregnancy, degranulation was significantly lower in third trimester decidua parietalis
302 compared to decidua basalis in dNK1, but this was not replicated in the other subsets. (Fig. 4b). In
303 stimulated cells there was a reduction in degranulation in uNK2 and uNK3 in both third trimester
304 decidua compared to first trimester decidua (Fig. 4b).

305
306 For TNF- α , IFN- γ and IL-8, we observed peaks in cytokine production across all stimulated uNK
307 subsets during secretory phase, compared to the proliferative phase and first trimester, although this
308 did not reach statistical significance in all cases (Fig 4b). For IL-8 in uNK3 this peak was maintained
309 into first trimester pregnancy. This trend was also present in unstimulated cells for IL-8 (Fig 4b).

310
311 Third trimester uNK produced less cytokine than first trimester uNK, although this only reached
312 significance in IL-8 production from uNK3. This reduction in cytokine production through
313 pregnancy was also seen at the mRNA level for IL-8 (Fig. 4c). In contrast, GM-CSF protein
314 production was consistently low in the menstrual cycle, including the secretory phase, but increased
315 significantly in the first trimester of pregnancy in unstimulated uNK1 and stimulated uNK3. (Fig.
316 4b).

317
318 For examination of NK cells from matched peripheral blood, data from CD56Brights and Dims are
319 shown together due to downregulation of CD16 after stimulation. Aside from a significant decline in
320 CD107a expression in unstimulated cells when transitioning from secretory phase to first trimester
321 pregnancy, there were no distinct trend in both stimulated and unstimulated cells (Fig. 4b).

322 The mRNA expression of other NK cell proteins of interest across the reproductive cycle, such as
323 granzymes, that were not included in the flow cytometry panel, can be seen in the supplementary
324 figures 4 and 5.

325

326 *uNK phenotype and function do not change in labour*

327 In line with previous findings (33, 34), we did not observe any change in the proportion of total uNK
328 in labouring compared to non-labouring decidua (Fig 5b). The proportion of uNK in non-labouring
329 decidua was lower in the decidua parietalis compared to the decidua basalis (Fig 5b). This is in
330 contrast to a previous report which found a higher frequency of CD56 bright NK cells in the
331 parietalis than the basalis (35). However, the lack of tissue-specific markers means that it is difficult
332 to be sure if these all represent uNK.

333

334 We next examined the uNK subpopulations in non-labour compared to early labour and established
335 labour samples. We observed no change in the frequency of any of the uNK or pNK subsets across
336 the spectrum of these samples (Fig. 5a and 5b). The receptors examined were also stable during
337 labour (Supp Fig 6), an observation that suggests that EVT cross-talk with uNK is not a major
338 participant in labour. Similarly, for those markers examined, the function of uNK and pNK subsets
339 remain stable during labour, although we did observe that, regardless of labouring state, the uNK1
340 population in the decidua basalis is significantly more active than the population in the decidua
341 parietalis (Figure 5 and supp fig 7). This is supported by the low number of differentially expressed
342 genes across all three uNK subsets in the scRNAseq data comparing non-labour to labour samples
343 (Supp table 3).

344

345 **Discussion**

346 To our knowledge, this is the first study to track the three uNK subpopulations throughout the
347 reproductive cycle, looking at their frequency, phenotypes and functions. In line with previous
348 studies, we found that the total uNK population peaked during the first trimester of pregnancy (7,
349 36). We discovered that uNK1 and uNK2 peak in this period, but that uNK3 peaks towards the end of
350 pregnancy. This aligns with a recent report that KIR+CD39+ uNK (mostly representing uNK1) and
351 KIR+CD39- (mostly representing uNK2) increase in frequency towards the end of the menstrual
352 cycle and remain elevated in early pregnancy (37). Both these reports support the proposal that uNK1
353 communicate with EVTs in early pregnancy (22, 24), but may also point to a role for uNK2 in this
354 process.

355

356 It has previously been reported that KIR expression by total uNK increases in the first trimester,
357 compared to non-pregnant endometrium (21). Our finding that the major KIR-expressing subset,
358 uNK1, is most frequent in the first trimester might suggest that this increase in KIR expression is at
359 least partially a result of greater prominence of uNK1 but interestingly, all three uNK subsets express
360 increased KIR in the first trimester of pregnancy. A similar trend was seen for LILRB1. This
361 suggests that all uNK subpopulations increase their ability to recognise EVT, via HLA-C and HLA-
362 G, in the first trimester. In comparison, CD94 expression was higher on uNK2 and -3 subsets
363 compared to uNK1. This is in contrast with previous findings (24). However, this measured marker

364 intensity on recovered cryopreserved cells, whereas we report percentage of CD94+ fresh cells.
365 Therefore, it is possible that the freezing process preferentially killed CD94- uNK1 cells or uNK1
366 have a lower percentage of cells expressing CD94, but have a higher expression per cell.

367
368 By examining degranulation as a proxy for general NK cell activation (31), we found that uNK were
369 typically most active at around the time of implantation, in the secretory phase of the menstrual cycle
370 and the first trimester of pregnancy. This is in line with previous findings that first trimester uNK are
371 more able to degranulate in response to HCMV-infected targets than those at term, although the same
372 study found that, following IL-15 stimulation, term uNK are better able to degranulate in response to
373 PMA and ionomycin than first trimester cells (9). Without stimulation, uNK produce little IFN γ , IL-
374 8, TNF α and GM-CSF. However, after stimulation with PMA and ionomycin, uNK have the highest
375 ability to produce most of these cytokines during the secretory phase, except for GM-CSF, whose
376 production peaks in the first trimester. This finding is interesting because the timing of maximum
377 activation coincides with the window of implantation, suggesting that uNK may have a role in
378 coordinating successful implantation.

379
380 Cumulative evidence indicates an important physiological role for uNK in first trimester pregnancy,
381 but there is conflicting evidence on their role in reproductive failure. Our findings and those of others
382 (22, 24) point to uNK1 as the uNK subset more likely to mediate placental implantation in early
383 pregnancy. Future studies focusing specifically on this subset may be able to elucidate differences
384 that were previously masked due to examination of uNK as a bulk population. A recent study using
385 scRNAseq suggests that there is a reduction of uNK1 in pathological pregnancies (38); however,
386 these findings need to be interpreted with caution because the pathological samples were collected
387 after pregnancy loss, making it difficult to discern if changes seen in immune cells are a cause or an
388 effect, due to inflammatory changes that typically occur after fetal demise. To overcome this, future
389 studies could interrogate uNK during window of implantation (39) or from elective termination of
390 pregnancy samples stratified to low and high risk by uterine artery doppler which has high specificity
391 in predicting risk of pre-eclampsia and intrauterine growth restriction (40).

392
393 In the third trimester the majority of uNK cells are uNK3, which express low levels of KIRs and
394 LILRB1. This could suggest that, in contrast to early pregnancy, the major role for uNK in late
395 pregnancy does not involve interactions with EVT. Similarly, the expression of the functional
396 markers we examined was lower in the third trimester. This could indicate that, if these cells have a
397 role at the end of pregnancy, it is via a different mechanism of action. Intriguingly, by scRNAseq,
398 uNK3 were the most transcriptionally different between non-labouring and labouring states,
399 suggesting they may have a role in labour that is yet to be defined. It would be interesting to do a
400 broader analysis of these cells, or examine how they look in pathological cases such as pre-eclampsia
401 or preterm birth.

402
403 In conclusion, we show here how uNK subset number, expression of receptors and function change
404 dynamically across the healthy reproductive cycle. This provides evidence on their physiological role
405 in implantation, but will also provide an important platform from which the relationship between

406 uNK function and pathologies of pregnancy associated with impaired implantation and placentation
407 can be investigated.

408 **Conflict of Interest**

409 The authors declare that the research was conducted in the absence of any commercial or financial
410 relationships that could be construed as a potential conflict of interest.

411

412 **Author Contributions**

413 EMV, EVW and VM designed the study, analysed results and wrote the manuscript. EMV, EVW and
414 AOC carried out experiments. EVW, BB and MRJ consented patients and collected clinical samples.
415 All others contributed to editing the manuscript.

416

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419

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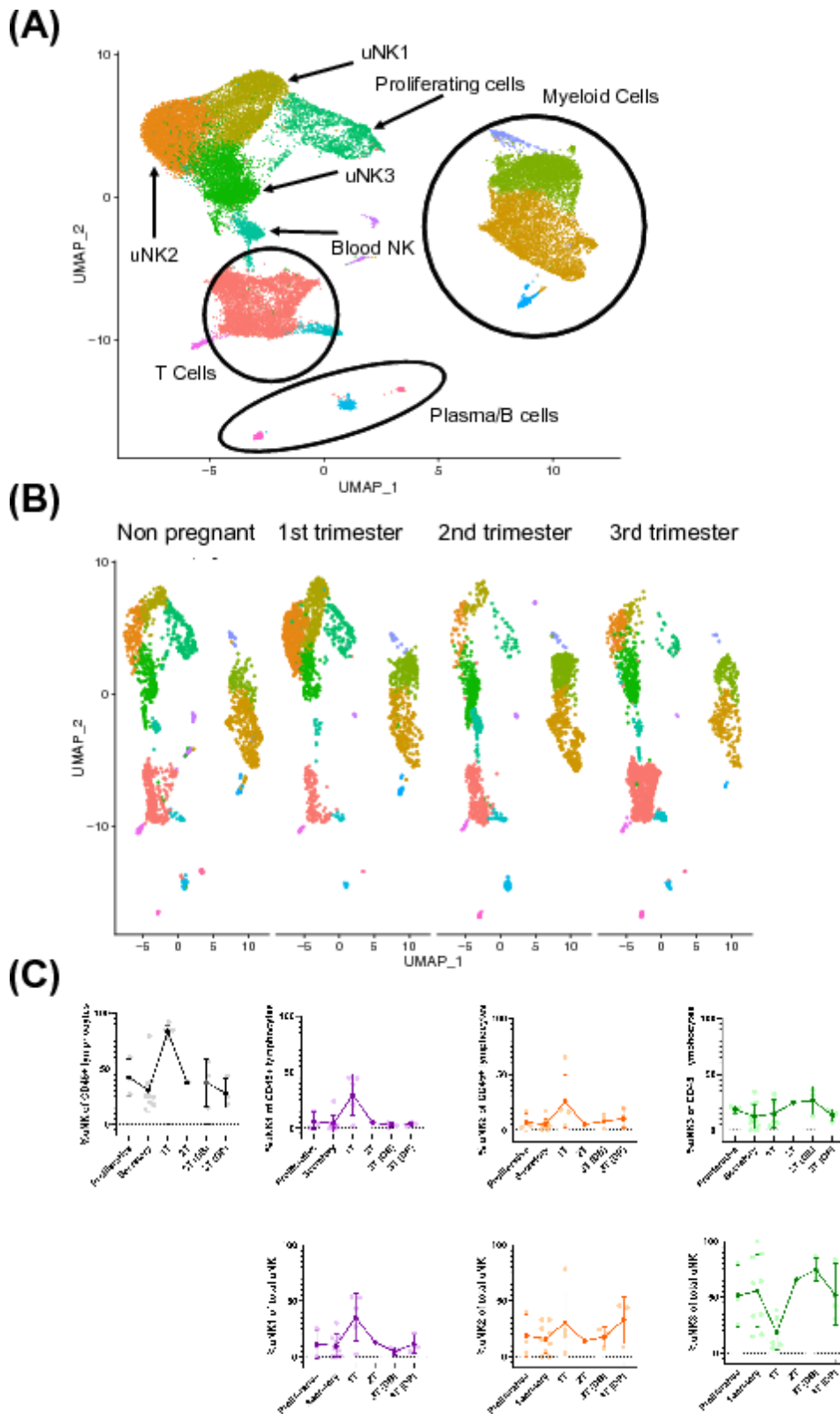
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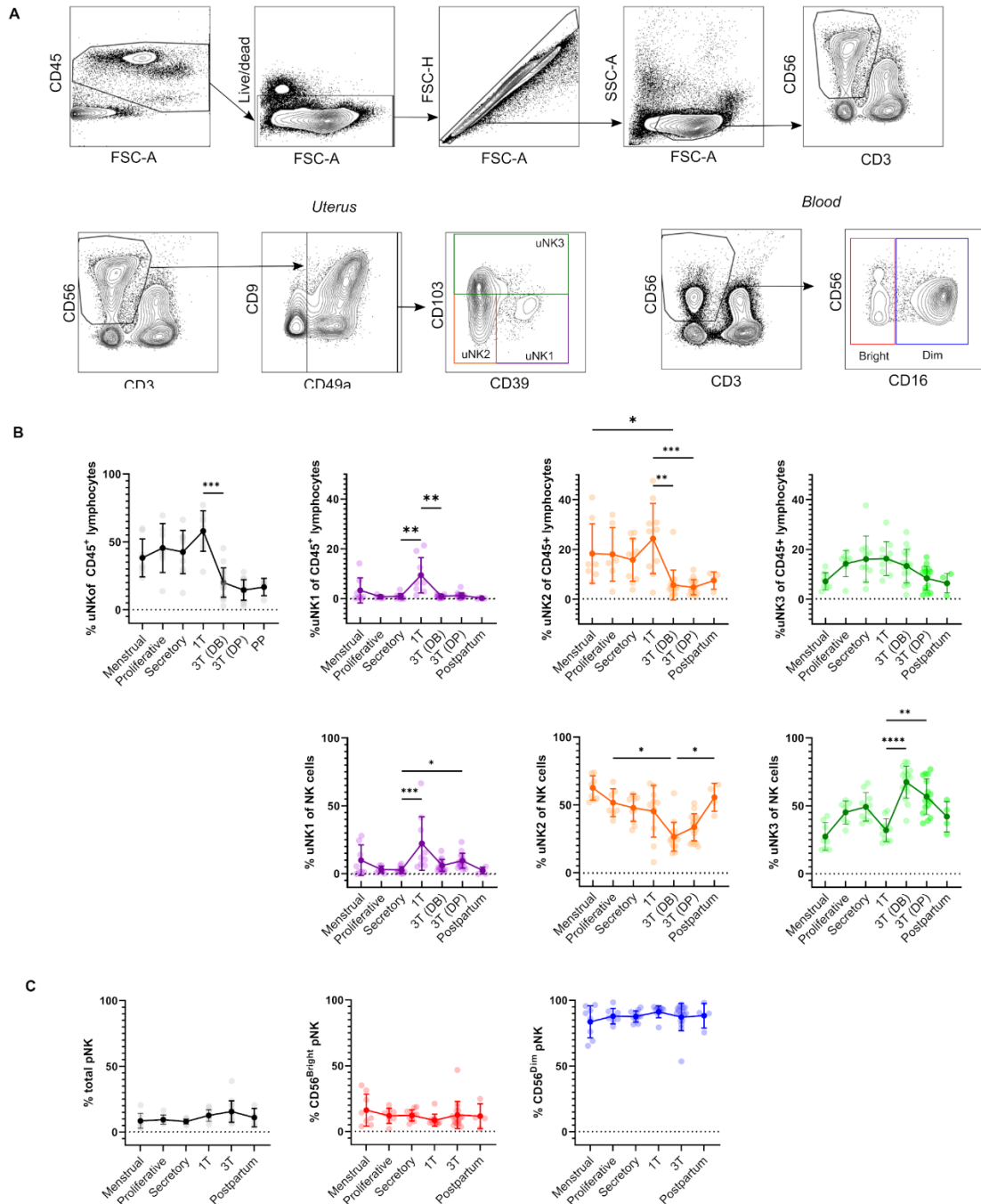
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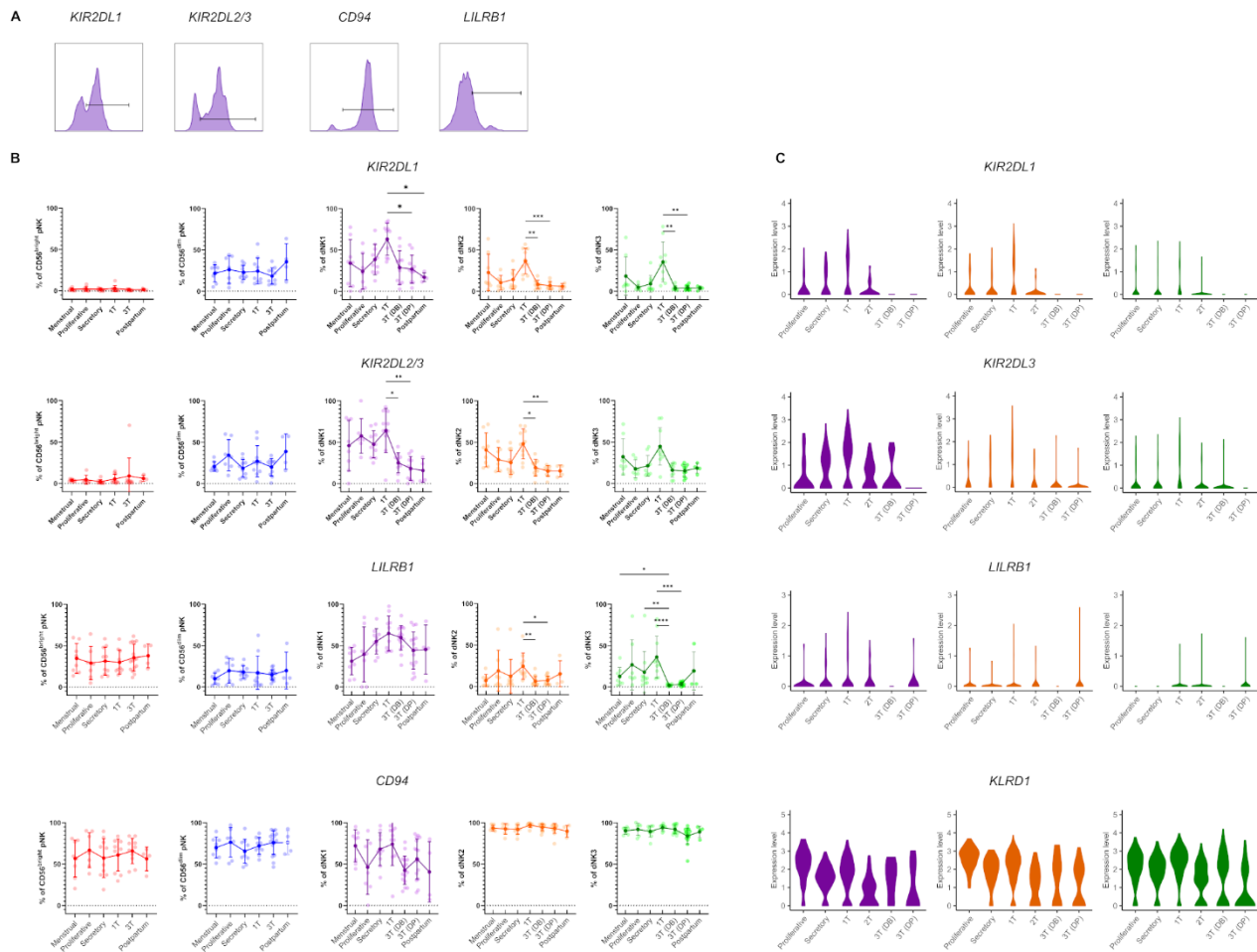
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Figure 2 – uNK1, -2 and -3 are present throughout the human reproductive cycle, by flow cytometry

(A) FACS gating strategy used to identify three uNK subsets and pNK (representative example shown). Coloured boxes in final plot indicate colour used for that subset in subsequent graphs. (B) Using flow cytometry data, graphs show frequency of total NK from CD45⁺ lymphocytes and then frequency of each uNK subset (uNK1, -2 -3) both as a proportion of CD45⁺ lymphocytes and proportion of total uNK cells. Means and standard deviations are shown for n = 8 (menstrual), n = 7 (proliferative), n = 10 (secretory) n= 10 (1T), n= 16 (3T DB), n = 16 (3T DP), n = 4 (postpartum). Statistical testing was done using Kruskal Wallis with a post-hoc Dunn test * p < 0.05, ** p < 0.01, *** p < 0.001 **** p < 0.0001 (C) Using flow cytometry data, graphs show frequency of total NK from CD45⁺ lymphocytes and then frequency of each pNK subset (CD56^{Bright} and CD56^{Dim}) as a proportion of total pNK. n numbers for each group are the same as B. DB, decidua basalis; DP, decidua parietalis; 1T, first trimester; 2T, second trimester; 3T, third trimester.

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544 *Figure 3 – uNK upregulate expression of KIR and LILRB1 in first trimester*

545 **(A)** Uterine and peripheral NK cells taken at different stages of the reproductive cycle were freshly stained for phenotypic

546 markers. Representative staining from secretory phase uNK1 is shown. The positive gates were set by reference to FMO

547 controls. **(B)** Graphs showing frequencies of KIR2DL1, KIR2DL2/3, LILRB1 and CD94 on pNK and uNK subsets.

548 Means and standard deviations are shown for n = 8 (menstrual), n = 7 (proliferative), n = 10 (secretory) n = 10 (1T), n = 16

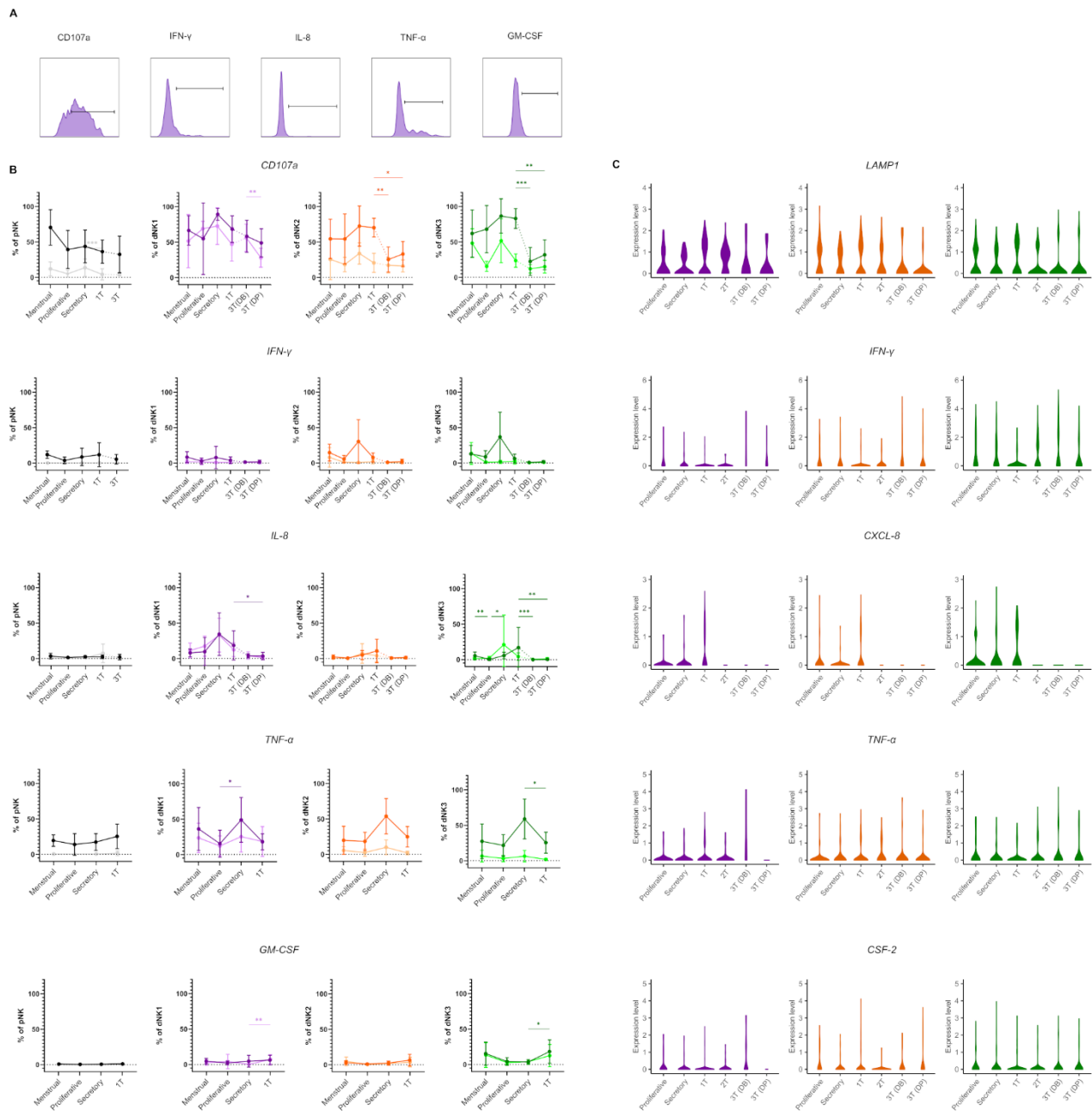
549 (3T DB), n = 16 (3T DP), n = 4 (postpartum). Statistical testing was done using Kruskal Wallis with a post-hoc Dunn test

550 * p < 0.05, ** p < 0.01, *** p < 0.001. **(C)** Violin plots showing corresponding mRNA expression in uNK subsets over

551 the reproductive cycle as determined by scRNAseq. DB, decidua basalis; DP, decidua parietalis; 1T, first trimester; 2T,

552 second trimester; 3T, third trimester.

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Figure 4 uNK are most active at the time of implantation.

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(A) Uterine and peripheral NK cells taken at different stages of the reproductive cycle were cultured with or without PMA and ionomycin stimulation and assessed for degranulation (CD107a) and production of IFN γ , IL-8, TNF α and GM-CSF. Representative staining from secretory phase uNK1 is shown. The positive gates were set by reference to FMO

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Unstimulated cells are represented by lighter lines and stimulated cells by darker lines. Means and standard deviations are shown for n = 5 (menstrual), n = 6 (proliferative), n = 10 (secretory) n = 10 (1T), n = 16 (3T DB), n = 16 (3T DP).

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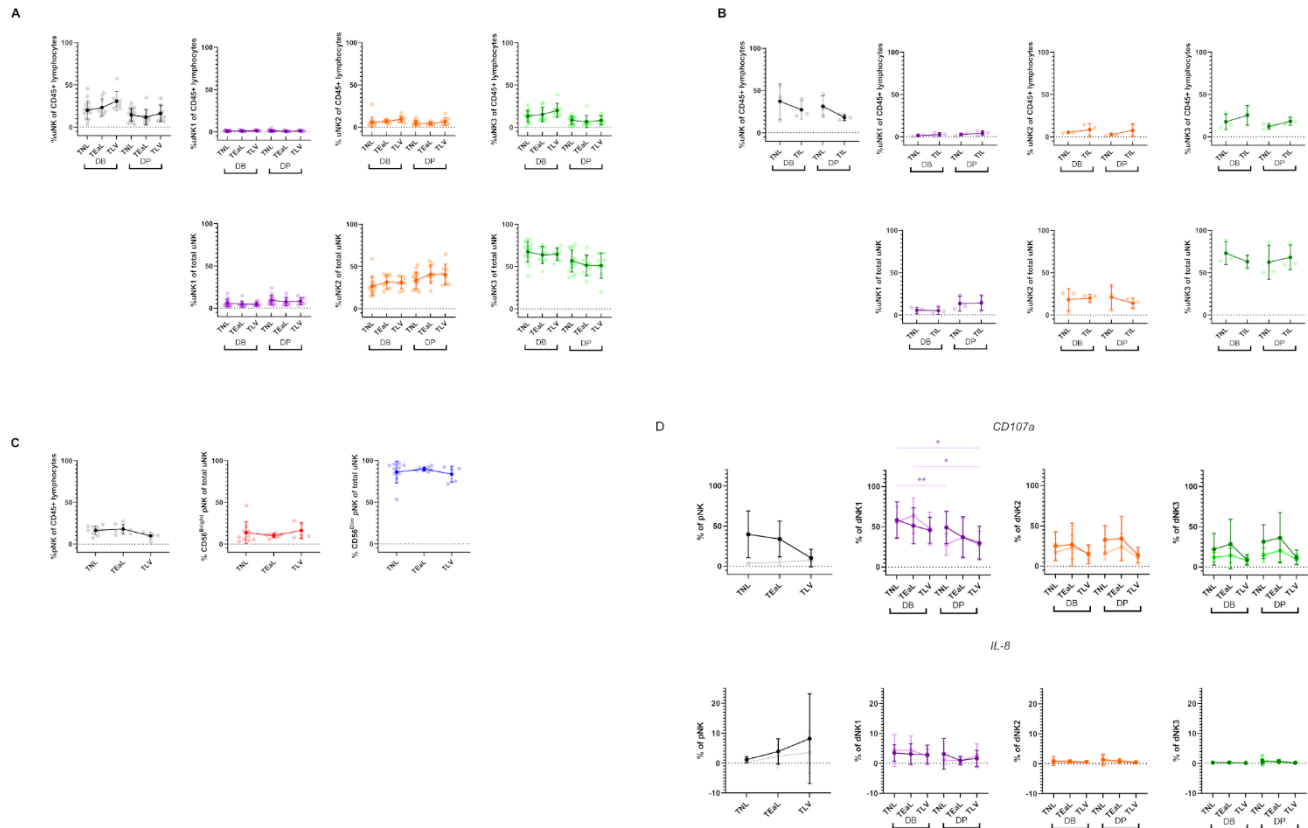
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Statistical testing was done using Kruskal Wallis with a post-hoc Dunn test * p < 0.05, ** p < 0.001, *** p < 0.0001. (C) Violin plots showing corresponding mRNA expression in uNK subsets over the reproductive cycle as determined by scRNAseq. DB, decidua basalis; DP, decidua parietalis; 1T, first trimester; 2T, second trimester; 3T, third trimester.

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570 *Figure 5 – uNK phenotype and function do not change in labour*

571 **(A)** The FACs gating strategy shown in **Figure 2A** was used to identify the three uNK subsets. Graphs show frequency of
572 total NK from CD45+ lymphocytes and then frequency of each uNK subset (uNK1, -2 -3) both as a proportion of CD45+
573 lymphocytes and proportion of total uNK cells. Graphs are divided to show results from both decidua basalis and decidua
574 parietalis. Means and standard deviations are shown for n = 16 (TNL), n = 9 (TEaL), n = 9 (TLV). Statistical testing was
575 done using Kruskal Wallis with a post-hoc Dunn test * p < 0.05. **(B)** Using the scRNA seq dataset, graphs show
576 frequency of total NK from CD45+ lymphocytes and then frequency of each uNK subset (uNK1, -2 -3) both as a
577 proportion of CD45+ lymphocytes and proportion of total uNK cells. Graphs are divided to show results from both
578 decidua basalis and decidua parietalis. Means and standard deviations are shown for n = 3 (TNL), n = 3 (TIL). **(C)** The
579 FACs gating strategy shown in Figure 2C was used to identify the two pNK subsets. Using flow cytometry data, graphs
580 show frequency of total NK from CD45+ lymphocytes and then frequency of each pNK subset (CD56Bright and
581 CD56Dim) from total NK. n numbers for each group are the same as **(A)**. **(D)** Uterine and peripheral NK cells were
582 cultured as described in **Figure 4A**. Graphs showing frequencies of CD107a and IL-8 on pNK and uNK subsets.
583 Unstimulated cells are represented by lighter lines and stimulated cells by darker lines. n numbers for each group are the
584 same as **(A)**. Statistical testing was done using Kruskal Wallis with a post-hoc Dunn test * p < 0.05. DB, decidua basalis;
585 DP, decidua parietalis; TNL, term non-labouring; TEaL, term early labouring; TLV, term vaginal birth; TIL, term in
586 labour