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A model of colour appearance based on efficient coding of natural images

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<u>Abstract</u>

An object's colour, brightness and pattern are all influenced by its surroundings, and a number of 1 visual phenomena and "illusions" have been discovered that highlight these often dramatic effects. 2 Explanations for these phenomena range from low-level neural mechanisms to high-level processes 3 that incorporate contextual information or prior knowledge. Importantly, few of these phenomena 4 can currently be accounted for when measuring an object's perceived colour. Here we ask to what 5 extent colour appearance is predicted by a model based on the principle of coding efficiency. The 6 model assumes that the image is encoded by noisy spatio-chromatic filters at one octave 7 separations, which are either circularly symmetrical or oriented. Each spatial band's lower threshold 8 is set by the contrast sensitivity function, and the dynamic range of the band is a fixed multiple of 9 this threshold, above which the response saturates. Filter outputs are then reweighted to give equal 10 power in each channel for natural images. We demonstrate that the model fits human behavioural 11 performance in psychophysics experiments, and also primate retinal ganglion responses. Next we 12 systematically test the model's ability to qualitatively predict over 35 brightness and colour 13 phenomena, with almost complete success. This implies that contrary to high-level processing 14 explanations, much of colour appearance is potentially attributable to simple mechanisms evolved 15 for efficient coding of natural images, and is a basis for modelling the vision of humans and other 16 animals. 17

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20 Key words

vision, visual modelling, colour appearance, visual illusions, colour constancy

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23 Introduction

The colour and lightness of objects cannot be recovered directly from the retinal image of a scene, 24 but depends upon neural processing by low-level spatial filters and feature detectors along with 25 long-range and top-down mechanisms that incorporate contextual information and prior knowledge 26 about the visual world (Wandell 1995; Brainard and Freeman 1997; Witzel et al. 2011; Bloj, 27 Kersten, and Hurlbert 1999). Ideally, image processing achieves lightness and colour constancy – 28 allowing us to see colour and form veridically - but inevitably it produces visual effects and 29 illusions, which give insight into the underlying mechanisms. Thus, the surroundings of an object 30 affect its lightness or colour in several ways. For example, assimilation and induction effects shift 31 appearance towards that of neighbouring colours (White 1979), whereas simultaneous contrast 32 increases the difference between an object and the surround, and in contrast induction the surround 33 affects the contrast of a pattern (Chubb, Sperling, and Solomon 1989; Brown and MacLeod 1997). 34 The crispening effect – where contrasts close to the background level are enhanced – encompasses 35 all three of these phenomena (Whittle 1992; Kane and Bertalmío 2019). Related effects in colour 36 vision include the Abney, Bezold-Brücke, Hunt, and Stevens effects, where colours, colourfulness 37 and contrasts shift with saturation and brightness (Fairchild 2013). 38

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Neural mechanisms have been proposed to account for some of the foregoing phenomena, for 40 example Mach Bands can be attributed to lateral inhibition (Ratliff 1965), brightness induction to 41 spatial filtering in the primary visual cortex (Blakeslee and McCourt 2004), and colour constancy to 42 photoreceptor adaptation (Judd 1940; Foster 2011) or to cortical processing (Roe et al. 2012) - but 43 these accounts are controversial, and some effects are not easily explained (Brown and MacLeod 44 1997; Whittle 1992; Adelson 2000). Moreover, the lack of a comprehensive account of colour 45 appearance limits the accuracy of the models that are typically used in design, industry and research 46 (Hunt 2005a; Fairchild 2013). 47

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Although photoreceptor adaptation and lateral inhibition do partly account for colour constancy and simultaneous contrast effects, their primary function is probably better understood as allowing the visual system to efficiently encode images of natural scenes, which have a large dynamic range and a high degree of statistical redundancy. Coding efficiency, which allows the brain to make optimal use of limited neural bandwidth and metabolic energy, is a key principle in early visual processing (Atick and Redlich 1992; Barlow 1961; Laughlin 1981; Ruderman, Cronin, and Chiao 1998;

55 Simoncelli and Olshausen 2001), and here we ask how a model based on this principle might 56 account for colour appearance.

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The optimal (maximum entropy) code for natural images, as specified by their spatial 58 autocorrelation function (i.e. second-order image statistics), approximates a Fourier transform 59 (Bossomaier and Snyder 1986; Baddeley et al. 1997), which is physiologically unrealistic. Efficient 60 codes can however be defined for circularly symmetrical Difference of Gaussian (DoG) or oriented 61 Gabor-function filters, which respectively resemble the receptive fields of retinal ganglion cells and 62 the simple cells of mammalian visual cortex (Daugman 1985; Enroth-Cugell and Robson 1966; 63 Marĉelja 1980; Simoncelli and Olshausen 2001). In an early study, Laughlin and his co-workers 64 (Laughlin 1981; Srinivasan et al. 1982) found that the contrast response functions and the centre-65 surround receptive fields of fly (Lucilia vicina) large monopolar cell (LMC) neurons - which are 66 directly post synaptic to the photoreceptors - produce an efficient representation of natural images 67 for the noise present the insect's photoreceptor responses. Specifically, synaptic amplification at the 68 receptor to LMC synapse and lateral inhibition between receptor outputs, give a neural code that 69 quantitatively accords with the methods of histogram equalization and predictive coding that are 70 used by data compression algorithms (Srinivasan et al. 1982). The centre-surround receptive fields 71 of vertebrate retinal ganglion cells are comparable to those of fly monopolar cells (Tadmor and 72 Tolhurst 2000), while the simple cells in visual cortex generate an efficient code for natural image 73 statistics (Field 1987; Simoncelli and Olshausen 2001). 74

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Our aim here is not to simulate biological vision precisely, but to model efficient coding by 76 physiologically plausible spatial filters. We describe a Spatiochromatic Bandwidth Limited (SBL) 77 model of early vision, which uses luminance and chromatic spatial filters at octave separations to 78 cover the detectable range of spatial frequencies (Figures 1-3). Three parameters specify the model, 79 namely the spatial autocorrelation function (power spectrum) of natural images, noise in the retinal 80 signal, and the channel bandwidth – or number of distinguishable response states (Figure 1; 81 (Laughlin 1981)). The first of these parameters is given by image statistics, the second by 82 physiological or psychophysical measurements, and the third is estimated from psychophysical data 83 on the crispening effect (Figure 3a; (Whittle 1992)). As the model predicts colour and lightness in 84 naturalistic images, and accounts for various visual phenomena and illusions it offers a framework 85 for understanding neural image processing, and is a starting point for simulating colour appearance 86 for humans and other species. 87

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90 The Model

The SBL model is comparable to other models of early vision that have been proposed to account 91 for lightness and colour perception. These include MIRAGE (Watt and Morgan 1985), which uses 92 non-oriented DoG filters, and the oriented difference of gaussians model (Blakeslee and McCourt 93 2004), which uses orientation-sensitive filters. The model differs from its predecessors in that to 94 achieve efficient coding of natural images the gain and dynamic range (i.e. contrast response 95 function) of neural channels vary with spatial frequency – as specified by the contrast sensitivity 96 threshold – with gain normalised to natural scene statistics so that on average the output has equal 97 power in each spatial channel. 98

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The model is implemented as follows (Figures 1,2). *i*): The image is filtered with a set of spatial 100 filters at one octave separations. These filters are either circularly symmetrical difference of 101 Gaussian (DoG) functions (Enroth-Cugell and Robson 1966) or Gabor functions at four orientations 102 (Daugman 1985). The filtering process differs from convolution in that it applies a Michelson 103 contrast to centre versus surrounds. The three spectral classes of filter correspond to those in human 104 vision, namely achromatic/luminance with centre and surround receiving the same spectral inputs, 105 blue – yellow, and red – green with centre and surround receiving opposite spectral inputs. *ii*): The 106 lower threshold (α) for the filter is set by the psychophysical contrast sensitivity at the filter's centre 107 frequency (based on contrast sensitivity functions, [CFSs], Mullen 1985; Kim, Mantiuk, and Lee 108 2013). α is subtracted from image contrasts, which is consistent with human psychophysics 109 (Kulikowski 1976). The filters' contrast response function is linear over a limited dynamic range to 110 an upper threshold (β), which is a fixed multiple, ε , of α . ε corresponds to the number of contrast 111 levels that can be encoded (i.e. channel bandwidth or response states; (Laughlin 1981)); (Figures 112 1,2). Thus, for $\varepsilon = 10$, the contrast saturation threshold is 10 times the activation threshold for each 113 filter. As ε is equal for all channels, high sensitivity filters encode a smaller range of image 114 contrasts than low sensitivity filters (Figure 2b). We estimated ε by fitting the model to Whittle's 115 (1992) psychophysical measurement of the crispening effect (Figure 3a, 4). iii) Signal power in 116 each channel is normalised to that of the filter's response to a natural scene, thereby whitening the 117 average spatial frequency power spectrum of the output (Carandini and Heeger 2012). iv) Filter 118 outputs are summed to recover their representation of the original image, which can be compared to 119 human perception of the image. 120

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For the red-green and blue-yellow chromatic channels, we make the assumption, consistent with neurophysiology (Solomon and Lennie 2007; Conway 2001), that the filters are less orientation selective than for luminance channels and use only DoG filters (but see Shapley, Nunez, and

125 Gordon 2019). The bandwidth of the red-green channel equals that of the luminance DoG signal, 126 which produces plausible results (Figure 1 and below). However, if the blue-yellow channel has the 127 same bandwidth (ϵ), its low contrast sensitivity (Figure 2a) means that it fails to saturate in natural 128 scenes. We therefore reduced ϵ to give an equal proportion of saturated pixels in natural images for 129 red-green and blue-yellow channels.

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131 An implementation of the SBL model is provided as supplementary material for use with ImageJ, a

132 free, open-source image processing platform (Schneider, Rasband, and Eliceiri 2012) and the

micaToolbox (Troscianko and Stevens 2015; Berg, Troscianko, et al. 2020).

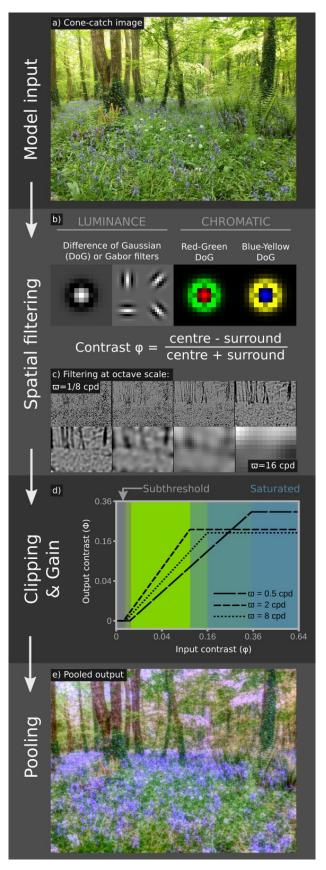


Figure 1. Overview of the Spatiochromatic Bandwidth Limited (SBL) model. The model uses a cone-catch image (a, Appendix), which is filtered by either DoG or Gabor kernels for luminance channels, and DoG kernels for chromatic channels (b). Contrasts are converted to Michelson contrasts (c. showing luminance DoG outputs), then clipping and gain processes are applied (d. Figure 2), and the spatial filters are pooled to create the output (e). Output colours are not scaled to sRGB space.



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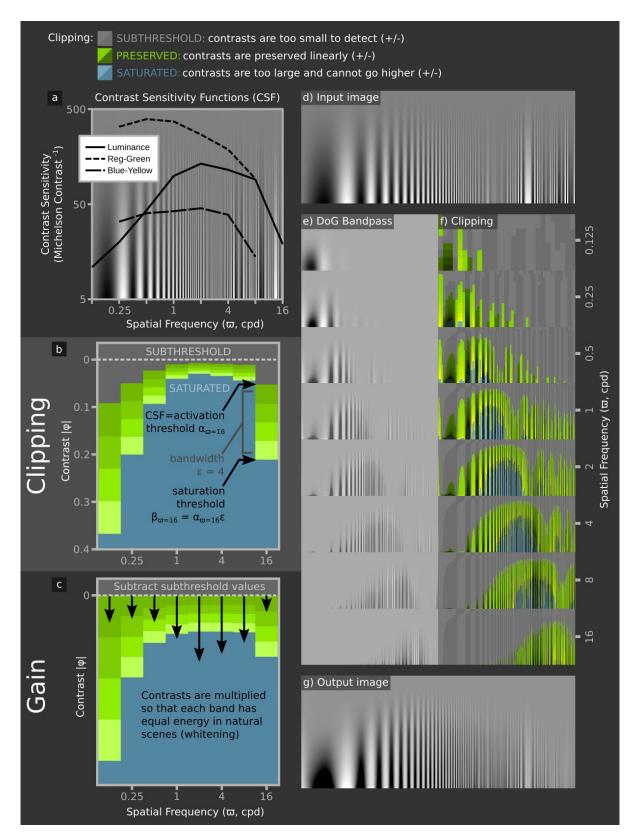




Figure 2. Dynamic range clipping and gain adjustment by the SBF model. a) human luminance and chromatic detection
thresholds for sinewave gratings (Kim, Mantiuk, and Lee 2013). b) Clipping adjusts contrasts so that they cannot fall
below the CSF at each spatial frequency (α, SUBTHRESHOLD), or above the saturation threshold (β, SATURATED).

147 Subthreshold contrasts are subtracted, and signals at each spatial frequency are multiplied by a gain value - denoted by 148 arrow length in (c) - so that on average natural images have equal power at each spatial frequency (whitening). The saturation threshold is calculated from the CSF and channel bandwidth, ε (4 in this example) at each spatial frequency. 149 High and low spatial frequency channels therefore have low contrast sensitivity, but encode a large range of image 150 151 contrasts, whereas intermediate spatial frequencies have high sensitivity and a low dynamic range. To demonstrate the clipping effects, we show an input image with sinewaves of different spatial frequencies and contrasts (d). (e) shows 152 bandpass spatial filters and (f) highlights regions that are clipped or preserved. The overlap between neighbouring 153 154 octaves (f) means that where contrasts are saturated for one channel, they are unlikely to be saturated for all 155 neighbouring channels so that contrast differences are detectable even in high contrast scenes. Note that the fine lines in these illustrative images suffer from moiré effects when viewed on a monitor. 156

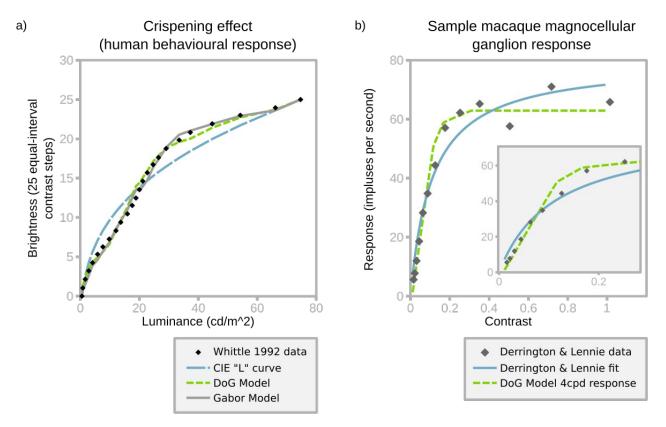
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158 *Estimation of the bandwidth*, ε

Channel bandwidth (ϵ) is estimated by fitting the model to human psychophysical data from 159 Whittle's (Whittle 1992) investigation of the crispening effect. This study described how perceived 160 lightness varies with luminance, and how contrast sensitivity depends on contrast and background 161 luminance, by asking subjects to adjust target luminances to make equal-interval brightness series 162 (Figures 3a, 4a). We created images simulating the viewing conditions in Whittle's experiment, 163 including the spatial arrangement and luminance of the grey patches that he used to create 164 perceptually uniform equal-contrast steps. Raw data (Figure 3a) were extracted from figures using 165 WebPlotDigitiser (Rohatgi 2020). Based on least squares fitting, ε is 15 for the circularly 166 symmetrical version of the SBF model (DoG, $R^2 = 0.994$), and 3.75 for the oriented version of the 167 model (Gabor, $R^2 = 0.995$). These bandwidths are within the range encoded by single neurones 168 (Baddeley et al. 1997; Laughlin 1981). Critically, the model recreates the characteristic inflection 169 point around the background grey value. Lowering the bandwidth, and thereby increasing the 170 proportion of saturated channels, produces a more extreme crispening effect, which suggests that 171 crispening is due to saturation rather than to loss of contrast sensitivity with increasing contrast 172 between targets and the background (Figure 2), which is the usual interpretation of Fechner's law 173 (Whittle 1992). 174

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Interestingly, the model with ε derived from Whittle's (1992) crispening data accurately predicts the responses of primate retinal ganglion cells to sinewave gratings (Derrington and Lennie 1984) (Figure 3b). The model fit (R² = 0.972) is better than the authors' own function (R² = 0.952). Both the psychophysical crispening effect and bottom-up neural responses suggest that at around 4 cpd the saturation threshold for the human vision and macaque retinal ganglion cells ($\beta_{\omega=4}$) is approximately 0.2.



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Figure 3. Fitting the SBL model to behavioural and neurophysiological data. a) fit to Whittle's crispening data (1992, 184 figure 9, "25/inc-dec/gray" treatment). Model output is scaled to the same 0 - 25 range. The best-fitting bandwidth (ϵ) 185 186 for DoG filters is 15, and for Gabor (oriented) filters is 3.75, both of which result in a good fit to the raw data. The CIE 187 L* fit specifies lightness in psychophysics and does not account for contrast (Commision Internationale de l'Eclairage 188 1978). b) Model fit to single ganglion response data from Derrington and Lennie (1989, figure 11b). Fitting used a single free parameter that multiplied the arbitrary SBL model output to match neural firing responses (with zero 189 intercept) by least-squares regression. The SBL model shows a linear contrast response and saturation point that provide 190 191 a better fit than the authors' model. The inset excludes the three highest contrast values to highlight the linear 192 relationship prior to saturation.

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194 <u>Model Performance</u>

We tested the SBL model's ability to account for approximately thirty-seven perceptual phenomena 195 that could plausibly be explained by low-level visual mechanisms (Adelson 2000; Shapiro and 196 Todorovic 2016; Bertalmío et al. 2020), first for the version with oriented luminance filters, and 197 secondarily for DoG filters (chromatic filters were always non-oriented, see above). Both versions 198 of the model qualitatively predict almost all effects and, where relevant, their controls (Table 1, 199 Figure 4 and Appendix). The only exceptions were the DoG (non-oriented) model's inability to 200 predict illusory spots and bars in the Hermann grid and Poggendorff illusions, comparatively weak 201 performance with one control for the Chevreul staircase, and the enhanced assimilation of colour 202 created by bars in patterned chromatic backgrounds (Monnier and Shevell 2003). Nevertheless, this 203 performance was achieved with no free parameters (Figures 1-3), and the model can be adjusted to 204 205 predict all effects.

Key Predicts effect and relevant controls Partially predicts effect, or does not predict controls

Phenomenon	DoG model	Gabor Mode
Crispening effect		
Contrast sensitivity		
Brightness induction/assimilation (e.g. White illusions)		
Simultaneous brightness contrast		
Illusory bars and spots (e.g. Hermann grid, Poggendorff illusion)		
Contrast induction for spatial frequency, orientation, and chromatic contrast		
Colour constancy/chromatic adaptation		
Chromatic simultaneous contrast		
Chromatic assimilation		

- 207 **Table 1**. Summary of phenomena tested with oriented and non-oriented versions of the SBL model, with the
- 208 parameters, α , β and ϵ fixed as explained in the text. All phenomena were qualitatively explained to some degree. For
- 209 illustrations of specific effects see the supplementary appendix.

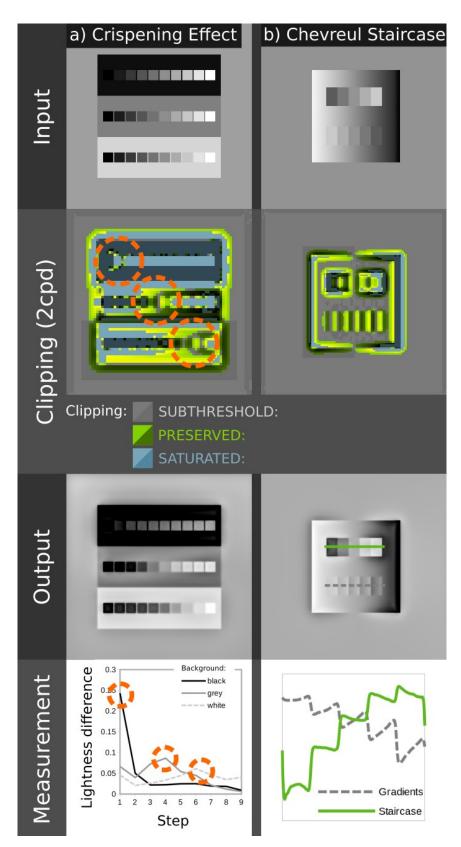


Figure 4. Illustration of dynamic range clipping by the SBL model. (a) for the crispening effect (Whittle 1992). The three rows of grey levels are identical, with equal step sizes. Against the black background contrasts appear largest for darker squares, whereas the opposite is true for the white background. The SBL model explains this effect through saturation; contrasts near the grey level of the local surroundings are preserved (highlighted with circles), while other contrasts are saturated (blue areas adjacent to the highlighted areas). The graph at the bottom plots differences between squares in the three rows, showing higher contrasts for dark, middle and light ranges respectively. Illusions such as the

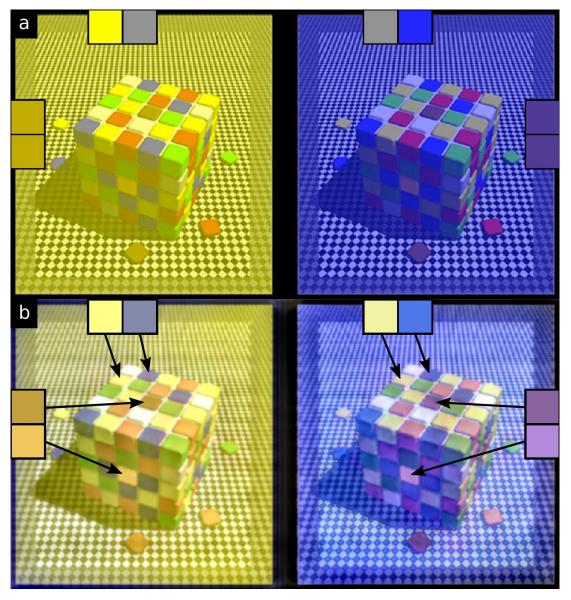
Chevreul staircase (b) are also explained in part by clipping. The upper staircase appears to be a series of square steps in grey level. The lower staircase has the same grey levels, but is flipped so that its gradient matches the surround gradient. The SBL model correctly predicts that the upper staircase is seen as square steps in grey level (solid green line) while the lower staircase is a series of gradients (dashed grey line). The plot shows pixel values in arbitrary units measured along each staircase, as highlighted in the output image. The model shows that this effect arises partly because the matched gradients of the lower staircase causes local subthreshold contrasts, and because contrasts are not balanced on each side of the step.

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225 **Discussion**

The Spatiochromatic Bandwidth Limited model of colour appearance described here at least 226 qualitatively predicts the appearance of a wide variety of images that are used to demonstrate colour 227 and lightness perception (Table 1, Figure 4, Appendix). These include 'illusions' that have been 228 explained by high-level interpretations of 3D geometry, lighting, atmospherics, or mid-level 229 principles of perceptual organisation (Adelson 1993; Gilchrist 2014): for example White-Munker, 230 shadow, Koffka ring and haze illusions. It is therefore parsimonious to suggest that many aspects of 231 object appearance can be attributed to mechanisms adapted for - or consistent with - coding 232 efficiency (Barlow 1961). Other accounts of the same phenomena invoke specialised mechanisms 233 (e.g. Land and McCann 1971; Blakeslee and McCourt 2004) or top-down effects, which imply that 234 multiple sources of sensory evidence and prior knowledge are used to infer the most likely cause of 235 the stimulus (Brown and MacLeod 1997; Gregory 1997; Yuille and Kersten 2006; Adelson 2000). 236 Neither does the SBL model invoke light adaptation or eve movements, which implies that colour 237 constancy is largely independent of the adaptation state of the photoreceptors – provided that they 238 are not saturated. By comparison the models used by standard colour spaces, such as CIE LAB/CIE 239 CAM implement the von Kries co-efficient rule (Foster 2011), which assumes that photoreceptor 240 responses are adapted to the global mean for a scene, even though chromatic adaptation is affected 241 by both local and global colour contrasts (Kraft and Brainard 1999). Retinex (Land and McCann 242 1971) and Hunt models do normalise receptor signals to their local value (Hunt 2005a) but the 243 weightings of global and local factors are poorly understood and the underlying mechanism is 244 unclear (Kraft and Brainard 1999). Moreover, the adjustments required for colour constancy are 245 largely complete within about 25ms (Rinner and Gegenfurtner 2000), which is too fast for receptor 246 adaptation, but consistent with the purely feed-forward character of the SBL model. Figure 5 shows 247 248 how the SBL model can account for colour appearance in a naturalistic image under variable illumination. More generally, the feed-forward architecture of the SBL model explains why many 249 other visual phenomena appear without any delay, whereas existing models require feedback loops 250 for normalisation (Land and McCann 1971; Hunt 2005a; Blakeslee and McCourt 2004). Thus, 251 Brown and MacLeod (Brown and MacLeod 1997) comment that the distribution of surround 252

colours affects colour appearance almost immediately, leaving little time for feedback or adaptation. 253 Likewise, as suggested by (Chubb, Sperling, and Solomon 1989), contrast induction is explained 254 without requiring the feedback invoked by (Nassi, Lomber, and Born 2013). This is because, 255 according to the SBL model, low contrast surrounds allow all spatial bands to operate within their 256 dynamic ranges, whereas high contrast surrounds saturate some spatial bands, resulting in under-257 estimates of brightness contrast or chromaticity (Figures 3a, 4). The model also reconciles contrast 258 constancy with a visual system that varies dramatically in contrast sensitivity and contrast gain 259 across spatial frequencies, allowing suprathreshold contrasts to have a similar appearance at 260 different distances (Georgeson and Sullivan 1975). Contrasts are predicted to be most constant 261 where they are saturated across multiple spatial frequencies, e.g. where the blue regions in Figure 2f 262 overlap. Pooling across spatial scales might explain the Abney effect, which is a shift in hue that 263 occurs when white light is added to a monochromatic stimulus (Burns et al. 1984), because the 264 colour stimulus may be below-threshold at some spatial bands, but above threshold for others, but 265 we require specific data to estimate the bandwidth of chromatic channels (equivalent to Whittle's 266 (1992) luminance crispening data). As noted above (Model, Figures 1, 2a), we assume that the 267 bandwidth of the red-green signal equals the luminance DoG signal, but the blue-yellow signal has 268 reduced the bandwidth, which produces plausible results when processing natural scenes, but future 269 work should measure the chromatic bandwidth functions and determine whether the SBL model can 270 account for the Abney effect quantitatively. Further developments of the chromatic SBL model 271 should also investigate whether performance could be improved by modelling both single-opponent 272 and double-opponent pathways. The latter are sensitive to both spatial frequency and orientation, 273 and has been suggested to play a role in suprathreshold colour appearance (Shapley, Nunez, and 274 Gordon 2019). However, we were able to simulate the same spatial-frequency/saturation effects 275 with the non-oriented version of the SBL model (Appendix). 276



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Figure 5. The SBL model can account for colour appearance in complex naturalistic images. (a) shows the input image 278 279 (from Purves, Lotto, and Nundy 2002/Wikimedia) where the blue squares on the yellow-tinted side (left) and the yellow 280 squares on the blue-tinted side (right) are physically the same grey (colours are shown in the squares at the top of the 281 image). The SBL model (b) correctly predicts that the squares under both tinting regimes appear yellow and blue, rather 282 than grey. The SBL model also predicts the powerful simultaneous contrast (or shadow) illusion present in this image 283 whereby; the central tiles on top of the cube appear to be darker than the central tiles on the shaded side of the cube (colours shown in squares on the far left and for right hand sides). 284

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The Circularly Symmetric Version of the SBL Model and Animal Vision 286

Whereas the oriented version of the SBL model uses orientation selective achromatic filters and 287 circularly symmetrical chromatic filters (see above), the circularly symmetrical version uses DoG 288 filters for all channels. For the visual phenomena that we have tested the oriented version of the 289 SBL model predicts lightness and colour at least as well as the circularly symmetrical version (table 290 1). It might therefore seem logical to consider only the former, but visual systems of all animals 291 probably have circularly symmetrical receptive fields [e.g. (Srinivasan et al. 1982)], but there is 292

limited evidence for orientation selective cells other than in mammalian visual cortex. Also, the 293 differences between the two versions of the SBL model seem to us to be surprisingly small. For 294 example, both predict White effects, which might be expected to depend on orientation selective 295 mechanisms (supplementary appendix; Blakeslee and McCourt 2004; Bertalmío et al. 2020), but 296 only the oriented model correctly predicts the presence of illusory spots in the Hermann grid, and 297 elimination of these spots in the wavy grid (Geier et al. 2008). Similarly, the oriented version of the 298 model predicts Koffka rings and the Chevreul staircase (Figure 4b) more accurately than the 299 circularly symmetrical version. The bandwidth, ε , for the non-oriented filter is approximately 15, 300 which matches neurophysiological measurements from primate retinal ganglion cells (Figure 3; 301 Derrington and Lennie 1984). By comparison the bandwidth of the oriented version is estimated to 302 be about four-fold lower than that of the non-oriented model, which is consistent with the low spike 303 rates of neurons in the primary visual cortex (Baddeley et al. 1997). For a given spike rate 304 partitioning the information into multiple channels allows a correspondingly reduced integration 305 306 time.

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The SBL model is useful for non-human animals because coding efficiency is a universal principle, 308 and contrast sensitivity functions are known for many species [Figure 2a; (Caves and Johnsen 309 2018)], whereas psychophysical and neurophysiological data on visual mechanisms in non-primates 310 is limited. Current research into non-human colour appearance typically uses the receptor noise 311 limited (RNL) model (Vorobvev and Osorio 1998; Renoult, Kelber, and Schaefer 2017), which also 312 assumes that early vision is constrained by low level noise. Others have sought to control for acuity 313 and distance dependent effects (Caves and Johnsen 2018; Berg, Troscianko, et al. 2020; Barnett et 314 al. 2018), but surprisingly few studies have utilised contrast sensitivity functions (Melin et al. 315 2016), and behavioural validation of the models is difficult (Silvasti, Valkonen, and Nokelainen 316 2021; Berg, Hollenkamp, et al. 2020). As with human vision, the SBL model may reconcile a 317 number of key effects. For example, in a bird (blue tit, Cyanistes caeruleus) chromatic 318 discrimination thresholds depended on the contrast of the surround (Silvasti, Valkonen, and 319 Nokelainen 2021), which resembles chromatic contrast induction (Brown and MacLeod 1997) and 320 is simulated by the SBL model. Shadow-illusion effects have also been demonstrated in fish 321 (Simpson, Marshall, and Cheney 2016). Aside from predicting colour appearance the SBL model 322 highlights comparatively unexplored trade-offs in visual systems, with contrast sensitivity 323 potentially linked to dynamic range and to other factors such as low-light vision and temporal 324 acuity. For example, birds have poor luminance contrast sensitivity, but high temporal acuity 325 consistent with a low neural bandwidth in the SBL model (Potier, Mitkus, and Kelber 2018; Ghim 326 327 and Hodos 2006; Boström et al. 2016).

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Contributions

JT conceived and developed the initial model, and performed the coding and testing; DO contributed to further model development and testing. Both authors wrote the manuscript.

Competing Interest Statement

We have no competing interests.

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Supplementary Material

Description of the Spatiochromatic Bandwidth Limited model

Model input Requirements:

- A linear cone-catch image of known angular width. For example, cone-catch images created by the micaToolbox (Troscianko & Stevens 2015), or sRGB images converted to linear CIE XYZ channels. Our implementation accepts either sRGB images or cone catch images, and uses 32-bit images and processing throughout; 8-bits per channel is an insufficient dynamic range for coding linear natural scenes. The image should be scaled so that its resolution matches or exceeds the highest spatial frequency being modelled. For example, the DoG kernel we use has its peak wavelength sensitivity at 5.7 pixels, and the highest SF we model is 16 cpd, so the image should be scaled so that each degree of angular width has 16 x 5.7 pixels, i.e. 91.2 pixels per degree.
- Contrast sensitivity functions (CSFs) for the luminance and chromatic opponent channels (red-green and blue-yellow). Our code uses values from Kim et al. (2013). These values should be scaled so that contrasts are Michelson Contrast values e.g. (red-green)/(red+green). Note that sensitivity is the inverse of the threshold contrast (i.e. *higher* sensitivity = *lower* threshold contrasts, Figure 2a).
- Bandwidth values (ε) for luminance and each chromatic opponent channel (i.e. three values for human vision). These can be estimated from behavioural data (e.g. crispening effect, Figure 3a), or from neurophysiological data (Figure 3b). Suitable data are currently lacking for chromatic channel bandwidth, but we assume the red-green channel bandwidth equals that for the luminance channel, and the blue-yellow channel has about 30% of this bandwidth, in order to achieve efficient coding in natural scenes.
- Gain functions specify how each spatial frequency should be scaled following the clipping process. These are calculated by processing a library of images of natural scenes through the model with all gain values set to 1 (i.e. no gain), and measuring the resulting standard deviation of each channel. Normalising to these values gives output contrasts with standard deviations of 1 at each spatial frequency.

The values we used are supplied in the supplementary code.

328 Image Pre-Processing:

The cone catch image is converted to three channels: luminance, red-green opponency and blueyellow opponency. The luminance channel is the average of all cone catch values from each receptor class, weighted by their cone ratios:

332

lum = 0.629 R + 0.314 G + 0.057 B

Where R, G, and B are the longwave, mediumwave and shortwave cone catch pixel values respectively. Cone ratios here are from Hofer *et al.* (2005).

336 The chromatic signals are calculated as Michelson contrasts:

$$RedGreen = \frac{R-G}{R+G}$$

338

339 Spatial Filtering

Each channel is convolved with either a Difference-of-Gaussian kernel or Gabor kernel. DoG 340 kernels are orientation-insensitive, and are used for luminance and chromatic channels. Gabor 341 kernels are orientation sensitive and are optionally used instead of DoG for the luminance channel. 342 Our implementation uses conventional kernel functions (see code for exact parameters, examples 343 shown in Figure 1b); for the DoG the surround has a sigma value 1.6 times larger than the centre, 344 and for the Gabor filter we use 4 orientations (sigma = 2, gamma = 1, frequency = 3). Our spatial 345 filtering differs from that used previously in that we use Michelson Contrasts. Conventionally, the 346 spatial filtering procedure uses logged input images and then applies a convolution. The result is 347 mathematically identical to dividing the centre response by the surround. While this is 348 computationally efficient, the resulting contrasts are non-linear and unbounded (e.g. values can 349 easily go implausibly high), and cannot be reliably matched to the behaviour described in CSFs. 350 The chromatic channels have already had the Michelson contrast function applied, so the 351 convolution is equivalent to simulating Michelson contrasts based on red-centre versus green-352 surround, or yellow-centre versus blue-surround giving contrast values, φ. However, the Michelson 353 contrast stage must be applied to the luminance channel following spatial filtering in order to 354 355 compare centre and surround (or positive and negative regions in the Gabor kernel), i.e.:

356

$$\varphi = m \frac{centre - surround}{centre + surround}$$

Our implementation achieves this by calculating both signed and unsigned (absolute) convolutions 357 for the numerator and denominator respectively. *m* is a parameter that scales the kernel's (arbitrary) 358 amplitude to create contrasts that match the same scale as the contrast sensitivity functions. CSFs 359 are generally calculated using sinewave gratings, so to calculate *m* we first create an image with a 360 sinewave spatial frequency that matches the kernel's peak sensitivity (5.7 pixels in our case). The 361 sinewave amplitude is set to a known Michelson contrast of e.g. 0.1, and then is convolved with the 362 kernel. *m* is then the maximum contrast from the convolved image divided by the Michelson 363 contrast of the input sinewave (i.e. 0.1). This simply scales the contrasts, φ , so that they are directly 364 365 comparable to the conditions used to measure CSFs.

- 366
- 367 <u>Clipping</u>

368 The activation threshold, α , is the inverse of contrast sensitivity, specified by the CSF at each spatial

369 frequency, *ω* (see Figure 2a for example CSFs):

$$\alpha_{\varpi} = \frac{1}{ContrastSensitivity_{\varpi}}$$

Any contrasts below the saturation threshold are set to zero, while all other contrasts have the saturation threshold subtracted (Kulikowski 1976):

373

$$If \varphi < \alpha_{\varpi} \text{ and } \varphi > 0, \varphi_{clipped} = 0$$

$$elseif \varphi > 0, \varphi_{clipped} = \varphi - \alpha_{\varpi}$$

The sign is preserved for negative contrasts (i.e. the model assumes both centre-on and centre-off
behaviour, described by positive or negative convolved pixel values respectively). However, in
natural scenes the luminance DoG convolution results in negative contrasts that are twice as large as
the positive ones (this does not apply to chromatic DoG or Gabor convolutions, where positive
contrasts match negative contrasts). Following the principles of efficient coding we therefore
assume that any centre-off channels are tuned to the same dynamic range, and multiply α by 2 i.e.:

380
$$If \varphi > -2 \alpha_{\varpi} \text{ and } \varphi < 0, \varphi_{clipped} = 0$$
$$elseif \varphi < 0, \varphi_{clipped} = \varphi + 2 \alpha_{\varpi}$$

Bandwidth, ε , is assumed to be uniform across all spatial frequencies, and this is used to calculate the saturation threshold, β , at each spatial frequency:

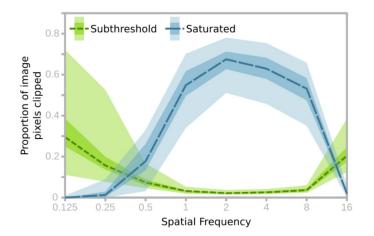
 $\beta_{\omega} = \alpha_{\omega} \varepsilon$

The bandwidth can either be estimated by fitting the model to behavioural data (Figure 3a), or based on the dynamic range of single neurones (Figure 3b). Contrasts greater than the saturation threshold are set to equal the saturation threshold, creating a hard upper threshold. As above, negative contrasts are doubled for luminance DoG models (but not chromatic or Gabor models):

370

$$If \varphi > \beta_{\varpi}, \varphi_{clipped} = \beta_{\varpi}$$
$$elseif \varphi \leftarrow 2\beta_{\varpi}, \varphi_{clipped} = 2\beta_{\pi}$$

This clipping process defines the dynamic range of the model at each spatial frequency. The result is 389 that spatial frequencies with high contrast sensitivity also saturate much faster with increasing 390 contrast, resulting in small dynamic range. Meanwhile spatial frequencies with low contrast 391 sensitivity have a much larger dynamic range (Figure 2b). However, the overlap in sensitivity 392 between adjacent spatial frequencies means that almost all contrasts are within the dynamic range of 393 one or more spatial frequencies (the orange areas in Figure 2f), implying low bandwidths can be 394 combined with high contrast sensitivity for efficient coding as long as there is a large range in 395 396 dynamic ranges, and sufficient overlap in adjacent spatial frequencies. This explains why humans can perceive contrasts in natural scenes (or on high-definition televisions) over a dynamic range 397 greater than 10,000:1, while our dynamic range for sinewaves is around 200:1. 398



399

Supplementary Figure 1. Plot showing the proportion of pixels in each channel that are either saturated or subthreshold in typical natural scenes. The results are based on 34 images of natural scenes, dashed lines show the median value, shaded areas show the interquartile range and full range of the data. High contrast sensitivity at intermediate spatial frequencies causes substantially more saturation, while the lower sensitivity channels show substantial proportion of subthreshold contrasts.

405

406 <u>Gain</u>

Following clipping, contrasts are multiplied so that each spatial frequency results in equal contribution to the contrasts in the pooled image. i.e. in natural scene statistics each spatial frequency should contain equal contrast/information (Field, 1987), however the clipping process substantially reduces the average amplitude of contrasts at intermediate spatial frequencies. The gain step equalises the average contrast amplitudes at each spatial frequency, i.e.:

412

$$\Phi = \frac{\varphi_{clipped}}{\sigma_{\varpi}}$$

413 Where σ_{ϖ} is the standard deviation of all $\varphi_{clipped}$ values in an image of a natural scene filtered at spatial 414 frequency ϖ , resulting in gain-corrected contrasts, Φ .

415

416 *Post-clipping smoothing*

The hard upper and lower clipping thresholds (α and β) produce undesirable artefacts in the pooled image. 417 We remove these by applying a Gaussian blur to each channel prior to pooling, with sigma values well below 418 the filter's spatial frequency (e.g. sigma value below 1 pixel radius, where the kernel's peak wavelength 419 sensitivity is 5.7 pixels). This step removes the artefacts, and the smoothing effect is responsible for the 420 curvature near the saturation threshold shown in Figure 3b, matching the behaviour of primate ganglia 421 (Derrington and Lennie, 1984). This stage mirrors the correlated firing of neighbouring retinal ganglion cells 422 cells where on-centre cells excite neighbouring on-centre cells, and likewise for off-centre cells, while on-423 centre and off-centre cells inhibit one-another (Nelson 1995). 424

- 425
- 426 <u>Pooling</u>
- 427 Pooling simply sums the contrast at each pixel location across each spatial frequency:

$$PooledOutput = \sum_{\varpi_{min}}^{\varpi_{max}} \Phi$$

429 This results in recombined luminance, red-green and blue-yellow chromatic channels. This output is

- 430 designed to match subjective colour appearance, and it is therefore not straightforward to present these
- 431 images on an sRGB display without confounding the very effects it seeks to predict. Nevertheless, we can
- 432 convert back to a space that roughly approximates the cone-catch input:

433
$$R_{output} = \frac{2 Lum}{1 + (1 - RedGreen)/(1 + RedGeen)}$$

434
$$G_{output} = \frac{R_{output}}{(1 + RedGreen)/(1 - RedGeen)}$$

435
$$B_{out \, put} = Lum \frac{1 + (1 - Blue Yellow)}{1 + Blue Yellow} - Lum$$

436

Appendix to "A model of colour appearance based on efficient coding of natural images" by Jolyon Troscianko & Daniel Osorio

Кеу	Predicts effect and re	elevant controls							
	Predicts effect, but not controls, or only partially predicts effect								
	Cannot not predict ef	fect							
Phenomenon Family	Phenomenon	Description	Source	DoG (non-oriented) Model	Gabor (oriented) Model				
Crispening Effect	The crispening effect causes perceived contrasts to be greater when the grey levels are nearer those of the background. The effect was modelled by Whittle (1992), and subsequent work suggests the dipper effect [Solomon, (2009)] and divisive gain explains the effect [Kane and Bertalmio (2019)]. Here we use Whittle's 1992 data to determine the dynamic range of human luminance vision.								
	Grey background	Human subjects adjusted grey targets in equal-contrast steps on a grey background	Generated from Whittle (1992) data	DoG fit, DR=15, R ² =0.994					
	White background	As above with white background	Generated from Whitle (1992) data	DoG fit when using the above DR R ² = 0.946	n				
Contrast sensitivity	the contrast a of a sir	s and other animals to perceive contrasts is wave that is detectable at different spatial f irrespective of spatial frequency.							
	Contrast sensitivity functions	Sinewaves are generated with specific Michelson contrasts to ensure the model only permits detectable contrasts.	Generated	Removes sub- threshold contrasts, matching CSF					
	Contrast constancy	Suprathreshold sinewaves of different spatial frequencies should have equal amplitudes.	-	Suprathreshold contrast constancy is preventing multiplicative gain effects.	enhanced by saturation thresholds				
Brightness illusions	illusions, such as sim White illusions create (2016)], T-junctions [s causes grey targets to differ in perceived i lultaneous contrast and Mach bands have to the opposite effect, and have variously bee e.g. see Adelson (2000)], Gestatl/grouping/ hting based inferences [see Adelson (2000)	aditionally bee an attributed to anchoring base	n attributed to centre-surround antago oriented filtering with normalisation [B ed mechanisms [Gilchrist (2014)]. A fur	hism [Eagleman (2001)]. However the ertalmio <i>et al.</i> (2020), Blakeslee <i>et al.</i> ther set of illusions have been attributed				
	White's bars	A grey bar flanked by black appears darker than the same grey flanked by white	Adapted from Blakeslee & McCourt (2004), and Bertalmio et al. (2020)						
	White's offset bars	As above with offset surrounds	-						
	White's checkerboard	d A grey square flanked by black squares appears darker than the same grey flanked by white squares	-						
-	Simultaneous brightness contrast	The central grey bar is a uniform grey value, but the gradient in the background creates a powerful inverse luminance gradient in the bar. This is typically explained by centre-surround antagonism	Generated	Both models create an inverse gradient, though the Gabor model's is more linear across the entire bar length.	Dog Gabor				
• •	Simultaneous brightness contrast	A grey square surrounded by black appears darker than the same grey surrounded by white.	Adapted from Bertalmio <i>et</i> <i>al.</i> (2020)	• •	• •				

Chevreul staircase Chevreul staircase control Chevreul staircase control	The steps in a sequence of grey levels from light to dark appear flat/homogeneous on a contrasting gradient, but when viewed against a matching gradient each step appears to have a strong internal gradient. Geier & Hudák (2011) find that the illusion persists when a counter-gradient surround is placed around the illusion, and suggest that traditional centre-surround antagonism cannot explain the effect. As above, however a white surround is found to eliminate the internal gradients of the staircases.	from Geier &	The internal gradients are much stronger in the lower rather than upper staircase As above, though the effect is not as powerful Still retains fairly clear internal gradients,	Gradients Staircase	As above, though the effect is not as powerful Still retains fairly clear internal gradients,	Gradients Staircase Gradients Staircase Gradients Gradients Staircase Gradients Gradients Gradients Gradients Gradients Gradients Staircase Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradients Gradiento Gradiento Gradients Gradiento Gradie
Dungeon illusion	A light grid causes a grey rectangle to appear lighter than the same grey surrounded by a dark grid.	Gilchrist (2014)	although they are less powerful than above		although they are less powerful than above	
Grating induction	Illusory checkerboard patterns are created in a horizontal grey bar placed over a vertical grating.	from Bertalmio et al. (2020)		H		H
Hong-Shevell illusion	Circular variant of White's bar illusion. The grey ring neighbouring white rings appears lighter, and the same grey neighbouring dark rings appears darker.	From Bertalmio et al. (2020)				
Luminance illusion	Simultaneous brightness illusion that uses a background gradient.					
Poggendorff illusion	Illusory stripes are created in a grey bar placed over a diagonal grating.		Illusory stripes don't span the entire height of the bar			
Corrugated plaid	The perceived brightness of identical grey patches on a checkerboard can be altered by various 3D and shading manipulations. The controls demonstrate how 3D- inference does not explain the effect [Adelson (2000)].	Adelson	Correctly predicts the direction and approximate magnitude of the effect is most powerful in the lower two versions with a parallelogram (rather than square) tile. Effect is 31% more powerful in the middle, and 34% more powerful in the lower version compared to the top.		Same as DoG to the left, although even more powerful. The effect is 296% more powerful in the middle, and 147% more powerful in the lower version compared to the top.	

	Haze illusion	Dark, high contrast surrounds increase perceived brightness of the lower tile. Adelson attributes the effect to perceived atmospheric differences between the tiles. A patterned grey target surrounded by a light background appears darker than the same grey with dark surrounds. Note this is the opposite effect of White's illusions, and is similar to simultaneous contrast.		Lower tile 11% brighter than upper tile		Lower tile 21% brighter than upper tile	
	Snake illusion	Similar to the crisscross illusion above, however a control shows how the effect can be negated by removing "atmospheric" bands.	-	Brightness illusion in the upper version with haze layer is more powerful than the lower (control)		Same as DoG (left), with an even larger difference between upper and lower	
0 0 5	Koffka rings	An intact grey ring appears uniform when viewed against a split light/dark surround. However, when the ring is split into two halves and separated slightly the two sides have a strong brightness difference. Offsetting the rings has a similar effect.		The separated ring (centre) has a contrast between left and right sides 51% higher than the intact ring, and the offset ring (lower) has a contrast 8% higher than the intact ring. A lower dynamic range can eliminate all internal contrast in the intact ring.		Same as DoG (left), separated ring is 66% higher contrast and offset ring is 13% higher contrast than the intact ring. Likewise, the effect is enhanced with a lower dynamic range.	
	Adelson checker shadow illusion	The shadow cast onto the checkerboard causes the shaded square to appear brighter than a square with the same grey level outside of the shadow.	Adelson (1995). Retrieved from wikimedia.				
	Reverse contrast illusion	The grey diagonal bar surrounded by black bars and white background appears brighter, and the opposite is true for an inverted example.	Figures from Gilchrist (2014)				
+	Benary cross illusion	The triangle cutting into the arm of the cross appears brighter than the triangle that spans between two arms.			+		+
		Variant of White's bar illusion with zigzag background	Spehar & Clifford (2017)		影		影
	Mach bands	Mach bands are the perceived light and dark stripes created where a ramp of grey meets a flat grey. Mach bands are traditionally explained by centre-surround antagonism, but other theories have been used to explain their presence or absence [see Kingdom (2014)].	Kingdom (2014)	Predicts the Mach band effect will be most powerful when the ramp is a similar width to peak sensitivity SF (4cpd)		Similar to DoG (left)	

	Hilbert-transformed Mach band	Various transforms have been shown to disrupt the Mach band effect, such as this Hilbert transform. These transforms generally simply remove the high spatial frequency "foot" of the Mach band.		Correctly predicts no Mach bands	Luminance Model	Correctly predicts no Mach bands	Luminance Model
	Hermann grid and wavy grid	The Hermann grid (upper image) causes dark spots to appear at the intersections between squares. The effect seems to depend on straight edges, and a curved grid (wavy grid, lower) does not create the illusory spots.		The DoG model does not simulate the effect. Altering the gain values enables the DoG model to simulate the effect, but then it is also present in the control wavy grid.	4	Correctly predicts that dark spots should appear on the straight- angled grid, but not with the way grid. The curved edges prevent the Gabor filters from bridging the gap between opposing corners.	5
Contrast induction	A target's internal cor normalisation of conti	ntrast is influenced by the contrast of its surrasts.	ounds. The ca	auses are unclear,	though are generally	hought to depend o	on local
* *	Textural contrast induction	Low contrast surrounds increase perceived target contrast, and this effect is most pronounced when the spatial frequency (SF) of the surround matches the target. In these example images the target on the left appears to have higher internal contrast than the same target on the right. The effect is most pronounced in	Adapted from Chubb et al. (1989)	Target contrast is enhanced on a low-contrast background, and most powerfully for SF-matched background. Target SD is		Correctly predicts effect more powerfully than DoG (left). The target SD is enhanced 19%, 24% and 22% for high SF,	
		the centre version with a matched spatial frequency.		enhanced 4%, 17% and 11% for high SF, matched SF, and low SF respectively.		matched SF, and low SF respectively.	
	Orientation- dependent contrast induction ("tilt illusion")	High contrast surrounds reduce perceived target contrast when texture orientations match. In the example here the upper target has bars aligned with the background (in phase). In the centre is the same target rotated 90 degrees (orientations mismatched), and it appears to have a higher contrast. We also include a final control where the aligned target is out of phase with the surround. This target also appears to have higher contrast than the in-phase upper target (implying the effect is not entirely controlled by orientation).	figure with control, see Bertalmio et al. (2020) for similar effect.	Interestingly the DoG model (without orientation sensitivity) is able to simulate the effect, albeit weakly. Compared to the top, internal SD is 6% higher in the middle target, and 4% higher in the lower target.		The oriented model is able to predict the contrast induction effect. Compared to the top, internal SD is 10% higher in the middle target and 11% higher in the lower target.	
	Chromatic contrast induction	High chromatic-contrast surrounds reduce perceived chromaticity. The high and low- contrast surrounds have the same luminance, red-green, and blue-yellow background averages. The targets appear to be more colourful (higher chromaticity) in the lower image.	from Brown & MacLeod (1997)	Chromaticity (average Euclidean distance from each target's colour to the background average) is 19% higher on the low contrast background.		Chromatic channels use DoG, so only luminance varies (same 19% chromatic induction effect as left). The model also predicts chromatic grating induction in the high contrast surround.	
Colour constancy and chromatic adaptation		uses surfaces to appear to have the same contract this occurs is poorly understood, and mode rainard (1999)].					
	Lotto, Purves & Nundy cube	The cube is rendered with different simulated lighting conditions; yellow-tinted and blue-tinted. Colour-constancy causes grey tiles to appear blue in the yellow- tinted example, and yellow in the blue- tinted example.	Purves et al. (2002)		Instancy effects (i.e. g Ilow). Also models bri		nes blue, grey on the iffect.
	Simulated chromatic adaptation of natural scene, here the lineau red channel is multiplied by 5	Chromatic adaptation lets us (and other animals) estimate the colour of an object even as the colour of the illuminant chifts. So, for example, as illuminant colour alters with weather and time of day, objects appear to stay the same colour.	Generated example	Chromatic modelling only uses DoG, however in this case we use the Gabor model for	The model is largely even comparatively differences in a scer illumination colour. N model will start to sh when the colours be	extreme le's simulated levertheless, the low differences	

