Phenotypic and transcriptional response of *Daphnia pulicaria* to the combined effects of

temperature and predation

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Abstract

Daphnia, an ecologically important zooplankton species in lakes, shows both

genetic adaptation and phenotypic plasticity in response to temperature and fish predation,

but little is known about the molecular basis of these responses and their potential

interactions. We performed a factorial experiment exposing laboratory-propagated

Daphnia pulicaria clones from two lakes in the Sierra Nevada mountains of California to

normal or high temperature (15°C or 25°C) in the presence or absence of fish kairomones,

then measured changes in life history and gene expression. Exposure to kairomones

increased upper thermal tolerance limits for physiological activity in both clones. Cloned

individuals matured at a younger age in response to higher temperature and kairomones,

while size at maturity, fecundity and population intrinsic growth were only affected by

temperature. At the molecular level, both clones expressed more genes differently in

response to temperature than predation, but specific genes involved in metabolic, cellular,

and genetic processes responded differently between the two clones. Although gene

expression differed more between clones from different lakes than experimental

treatments, similar phenotypic responses to predation risk and warming arose from these

clone-specific patterns. Our results suggest that phenotypic plasticity responses to

temperature and kairomones interact synergistically, with exposure to fish predators

increasing the tolerance of *Daphnia pulicaria* to stressful temperatures, and that similar

phenotypic responses to temperature and predator cues can be produced by divergent

patterns of gene regulation.

Introduction

Many species are at risk of extinction as the environment changes at an unprecedented pace. Ecological and evolutionary research aims to predict species' responses to anthropogenic environmental change, such as global warming and introduction of predators [1,2]. To cope with such stressors, species can either migrate to more suitable habitats or adapt to new conditions. Understanding the potential and limits of genetic adaptation and phenotypic plasticity to maintain fitness is critical in order to predict persistence of populations and changes in biodiversity under environmental stresses [3]. The mechanisms by which organisms are adapted to present day conditions throughout their ranges therefore provide a basis for predicting extinction, persistence, and changes in biodiversity in a rapidly changing environment [4,5].

Plasticity and genetic adaptation impact organismal fitness in response to environmental change. Plasticity allows a genotype to express multiple phenotypes in different environments whereas genetic adaptation arises from environment-dependent variation in fitness of different genotypes [6]. Evidence for rapid evolution in natural systems, particularly in response to human-induced environmental change, indicates that most of the observed changes are not genetically based, but rather a consequence of plasticity [7]. Plasticity at level of gene expression is one of the most important mechanisms for coping with stress [8,9], yet the magnitude and genetic basis of such plasticity remains largely unknown.

Plasticity arises from differential gene expression patterns in response to environmental cues [10]. Variable levels of plasticity may evolve in a population if reaction norms differ across genotypes and the slope of the reaction norm is correlated

with fitness [11]. Either decreases or increases in phenotypic plasticity could contribute

to adaptation to variable environments depending on the rate and predictability of

environmental change [6,12].

Several factors could constrain the evolution of plasticity at the transcriptome

level. First, genetic variation potential for plasticity could be limited or absent.

Alternatively, even when genetic variation is present, its evolution could be constrained

by costs of the mechanisms underlying plastic responses [13]. A trade-off is expected

where enhanced plasticity would be beneficial in more spatially or temporally variable

environments, but detrimental in a stable environment [14,15]. In addition, if

environmental variation is unpredictable, then plasticity may fail to match phenotypes to

the environment to produce higher fitness.

Daphnia is an ecologically important genus of crustacean zooplankton in lakes

that transfers energy from phytoplankton to fish and invertebrate predators and exerts

top-down grazing control on primary production [16]. *Daphnia* shows both genetic

adaptation and plasticity at a phenotypic level in tolerance to two important determinants

of fitness: temperature and predation. Previous studies have documented that the response

of *Daphnia* populations to thermal stress is strongly correlated with environmental

conditions and can be either genetic, plastic or both [17–19]. Although these studies show

plastic and evolutionary changes, the co-occurrence of other environmental stressors,

such as predation, with temperature might interact in natural populations. Fish predation

and temperature impose selection on many of the same traits, and in the same direction

[18,20]. For instance, *Daphnia* often mature at smaller sizes and younger ages in warmer

water and when fish are present, yet little is known about the molecular basis of this

response among populations or their potential interactive effects.

89   We collected *Daphnia pulicaria* from two lakes in the Sierra Nevada Mountains,

California, USA with different thermal conditions to conduct an experiment to evaluate

the degree to which life history, thermal tolerance and gene expression are influenced by

temperature and predation. We predicted that warm temperatures and exposure to

predators should produce similar responses in life history traits, then used transcriptomics

to ask whether the same genes underlie the plastic response to fish kairomones and

warming, or if the similar phenotypic effects arise from pleiotropic effects where altered

gene regulation affects multiple phenotypic traits. Our goal was to ask whether *D.*

pulicaria's plastic response to one selective agent (fish or warming) magnified or

dampened the response to the other in terms of both phenotype and gene expression.

Materials and methods

Lake sampling

102   Gardisky (37.955774, -119.251198) and Blue Lakes (38.051164, -119.270342),

located in Inyo National Forest, California, USA, were sampled in late August and early

September 2017, respectively. In both lakes we measured temperature throughout the

water column at each meter using a field probe (YSI Incorporated, Yellow Springs, Ohio,

USA). We also collected live zooplankton from the deepest point of the lake using a 30

cm diameter, 63 μm mesh conical net with a 1 m length through the water column,

starting 1 m above the lake bottom. These samples were kept cold until returning to the

laboratory, where we searched for *Daphnia pulicaria*. When present, 30 *D. pulicaria*

females carrying eggs in the brood pouch were separated in 50 ml centrifuge tubes filled

with COMBO medium [21]. Each *Daphnia* individual was considered a maternal line.

Each maternal line was cultured for at least twelve generations in separate 50 mL tubes

filled with COMBO medium under ambient lab conditions. All *D. pulicaria* were fed

non-viable cells of the green alga *Nannochloropsis* sp. (Brine Shrimp Direct, Ogden,

Utah, USA) at a constant high rate of 24×10^6 cells every two days.

Experimental design

We randomly picked one clonal line from each lake to propagate. *Daphnia*

pulicaria reproduces asexually under benign conditions. Thus, all individuals propagated

asexually in the experiment. We started by randomly picking one mature female from

each clone. Upon the release of the second clutch, we isolated three neonates that were

separated and moved to separate 100 mL containers containing the same media and algae.

All individuals were transferred to fresh media and algae three times a week and reared at

$15 \pm 1°C$ and photoperiod 12:12 h light/dark. Propagation continued until 100 neonates

were collected for each of the clonal lines, with all individuals used for further study born

within a 12-hour period. All neonates were placed into 100 ml jars containing COMBO

medium at a density of 3 *Daphnia*/jar [21]. Each jar was randomly allocated to one of

four treatments: (1) optimum temperature (15°C) without kairomones (fish cues), (2)

high temperature (25°C) without fish cues, (3) optimum temperature with fish cues, and

(4) high temperature with fish cues. An optimum temperature near 15°C is consistent

with previous reports of thermal preference in *Daphnia pulicaria* [24], although there is

variation in tolerable temperatures between *D. pulicaria* genotypes [25]. All *Daphnia*

were transferred to fresh medium, with algae (and kairomones in the fish cue treatment)

daily. We monitored jars daily for maturation (i.e., release of first clutch into the brood

chamber). Upon reaching maturity, individuals were either preserved in RNAlater

(Qiagen, USA) and kept in -20°C for subsequent RNAseq analyses or assigned to

phenotypic assay (see below). Since RNA was extracted from whole individuals, no food

was added during the last 12 hours before sampling to minimize algal RNA

contamination (most of which will be digested and hence degraded after 12 hours). The

period without food was kept short to minimize starvation-dependent gene regulation.

Kairomone collection

COMBO medium conditioned by the presence of planktivorous fish was collected

daily from a tank containing 5 juvenile rainbow trout (*Oncorhynchus mykiss*; ~ 5 cm in

total length) in 72 L of COMBO. Each day, media containing fish chemical cues was

filtered through 0.7 μm mesh membrane filters and added at a concentration of 0.007

fish/L to the predator treatments. This concentration of kairomone media is above the

threshold used by other studies to elicit a phenotypic response, such as the concentration

of 5 μl of kairomone media per 100 ml of solution [26].

D. pulicaria predation by *O. mykiss* in artificially stocked lakes is well established,

specifically predation by juvenile rainbow trout [27,28], *O. mykiss* is one of the most

abundant species for stocking previously fishless lakes in the Sierra Nevada mountains,

the source of our *Daphnia* samples [29]. *O. mykiss* were fed TetraMin Tropical Flakes

(Tetra, Blacksburg, Virginia, USA) prior to kairomone collection, rather than juvenile

conspecific daphniids as in some other studies [30,31]. We selected this method to

minimize the presence of conspecific alarm cues in the isolated kairomone media, so that

we could measure the phenotypic and genetic responses to only predator-released, diet

agnostic kairomone cues. Such research strategies are supported by Mitchell et al. [32],

who call for further research to determine the relationship between predator odor and

diet-based cues in aquatic systems. Following their nomenclature recommendations, we

define the kairomones used in this study to be predator odor cues, as opposed to alarm

cues released by injured conspecifics or diet cues released by predators after digesting

conspecifics. All procedures involving animals were reviewed and approved by the

Institutional Animal Care and Use Committee of the University of California San Diego

(IACUC protocol number: S14140).

Phenotype assays

Individuals from the experimental generation assigned to the phenotypic assay

were scored for the following life-history variables: age and size at maturity, and age and

number of offspring from the first three clutches. These data were used to calculate

intrinsic population growth rate for each maternal line following the Lotka–Euler

equation [33]. We also measured critical maximum temperature (CT_{max}) using a heat

ramping assay. After a 30-minute resting period at ambient temperature, *Daphnia* from

the four treatments were transferred to 0.5ml Eppendorf tubes and placed in a thermal

heater (4x6 thermal heater, Corning Digital Dry Bath Heater Dual Block). The water

temperature increased 0.1°C every 20 seconds. We continuously monitored the state of

individuals and recorded the temperature when each individual *Daphnia* lost swimming

ability and sank to the bottom of the tube. The temperature when *Daphnia* became

immobilized was used as a proxy for CT_{max} [17]. We measured life history traits of 11 to

13 individuals per treatment per lake and CT_{max} of 10 to 12 individuals per treatment per

lake. In total, we scored phenotypes of 186 individuals.

Statistical analysis for phenotype

We log-transformed all continuous variables, except intrinsic growth rate, after

visually assessing the probability distribution that best fit the data. We analyzed the

effects of the treatments using generalized linear models for each trait using the statistical

software R [34]. Temperature treatment, fish cue treatment, source lake and the

interactions of these variables were modeled as fixed effects for age and size at maturity,

average number of offspring, intrinsic growth rate and CT_{max}. Since we expected that

CT_{max} would be influenced by body size we included an additive effect of size.

Additionally, to test the effects of slopes of the reaction norms, we calculated the

pairwise differences for each dependent variable between each treatment combination

within a lake. We computed pairwise differences using the least square mean values

based on the generalized linear models for each trait using *lsmeans* function in the

lmerTest R package [35]. The pairwise difference test was corrected using Tukey's

adjustment for multiple comparisons [36].

RNA isolation, library preparation and sequencing

We extracted RNA using the TRI Reagent Protocol (Sigma-Aldrich, USA) from

samples preserved in RNAlater (Qiagen, USA). Any remaining genomic DNA was

removed using the TURBO DNA-free Kit (Invitrogen, USA). Each treatment included

five biological replicates, with each replicate comprised of 35 clonal *Daphnia* individuals.

Extracted RNA was stored in -80°C until sequencing. Quality of the isolated RNA was

assessed using RNA Nano 6000 Assay Kit of the Agilent Bioanalyzer 2100 system

(Agilent Technologies, CA, USA) and mRNA-seq libraries were constructed using

NEBNext UltraTM RNA Library Prep Kit for Ilumina (NEB, USA) following

manufacturer's recommendations. A total amount of 1 μg RNA per replicate was used as

input material for RNA-seq library preparations. Samples that did not reach 1 μg RNA

were pooled with a biological replicate grown under the same conditions, a process

described by Takele Assefa et al. [37], leaving 18 replicates from Blue Lake and 16

replicates from Gardisky Lake. Index codes were added to attribute sequences to each

sample and all 34 libraries were clustered on a cBot System using the PE Cluster kit

cBot-HS (Illumina). After generating the clusters, libraries were sequenced using the

Illumina HiSeq 2000 platform and 150 bp paired-end reads were generated.

Read cleaning and transcriptome comparison

The raw reads were preprocessed using fastp v. 0.23.1 [38] with the command

line options "-x -f 18 -F 18". These options enabled polyX tail trimming and removed 18

low-quality bases from the beginning of all forward and reverse reads, respectively. To

check for contamination in our read set, we used Kraken2 v. 2.0.9 [39] with a taxonomy

database constructed from the NCBI nr database [40] as of August 2021. A random

subset of 1,000,000 read pairs was used for each Kraken2 run per sample. All

downstream analyses were based on the remaining cleaned, validated data.

Separate *de novo* assemblies for were performed on the Blue and Gardisky

samples using Trinity v. r20140413p1 [41] with parameter min_kmer_cov set to 2.

Transcriptome completeness was assessed using BUSCO v. 5.2.2 [42] with the

arthopoda_odb10 lineage dataset, and also by comparison to the following published,

annotated genomes: *D. pulicaria* LK16 [43], *D. pulex* TCO [44], *D. galeata* M5 [45], *D.*

magna SK [46] and *Eulimnadia texana* JT4(4)5-L [47].

Differential expression analysis

Relative expression levels of annotated genes were estimated by independently

mapping RNA-Seq reads from each lake sample back to the annotated *D. pulicaria* LK16

gene set using STAR v. 2.7.9a [48]. A read count matrix was generated from these

alignments using featureCounts v. 2.0.1 [49]. Genes with read counts below 10 across all

conditions were filtered from the remaining pipeline. The significance of difference in

gene expression of each treatment for each genotype was determined with the R package

DESeq2 v. 1.32.0 [50], using a model based on negative binomial distribution. Statistical

significance (p-value) was adjusted using the q-value obtained from the false discovery

rate [51], with a q-value < 0.05 and $|log2(foldchange)| > 1$ set as the threshold for

significant differential expression. Counts of differentially expressed genes across

treatments were visualized using the R package VennDiagram v. 1.7.1 [52]. A principal

component analysis (PCA) was visualized using read counts corrected through the

variance stabilizing transformation [53] function of DESeq2, The PCA was generated

using corrected read counts from every gene, rather than the DESeq2 default of using

only the 500 most variable genes. These correct read counts were also used to perform a

PERMANOVA test [54] through the R package vegan v. 2.5-7 [55] with 999

permutations. The groups tested with PERMANOVA were based on lake, temperature,

fish cues, and their interactions terms.

Gene Ontology (GO) enrichment analyses were performed to determine whether

significantly differentially expressed gene sets were enriched for any biologically

relevant functional categories [56]. GO term enrichment lists were generated using

GOseq v. 1.44 [57] for the following one-factor comparisons: Blue, temperature rise with

kairomones; Blue, temperature rise without kairomones; Gardisky, temperature rise with

kairomones; Gardisky, temperature rise without kairomones. Further control of the False

Discovery Rate was performed using the Benjamini–Hochberg method [58], and the

cutoff for enriched GO terms was an adjusted p-value less than 0.05.

Orthology of differentially expressed genes

Daphnia pulex genes previously demonstrated to be differentially expressed in

response to temperature and/or predator kairomones were accessed from the *Daphnia*

Stressor Database [59] as of September 2021. Predicted protein sequences for these genes

were downloaded from wFleaBase [60]. These genes were compared to the annotated

Daphnia pulicaria LK16 genome using OrthoVenn2 [61] with an e-value of 1e-5.

OrthoVenn2 results were visualized using the R package VennDiagram v. 1.7.1 [52].

Results

Phenotype

Several traits in the two clones responded differently to temperature and fish cues

(Table 1). The Gardisky Lake clone matured at a younger age than Blue Lake (Lake: $p <$

0.001, Table 1), but age at maturity was earlier for both clones in the presence of fish

cues at high temperature (Temp x Fish: p-value = 0.006, Table 1, Fig 1). The post-hoc

test (least square means) showed that fish had an effect only on the Blue Lake clone

reared at 25°C. At 25°C, Blue Lake replicates reared with fish cues matured 0.55 ± 0.16

days earlier compared to replicates without fish cues (Fig 1).

Factor	Df	Deviance	Deviance residuals	Pr (>Chi)
Age at maturity				
Temperature	1, 184	16.0918	3.1609	**< 0.001**
Fish cues	1, 183	0.1340	3.0270	**0.002**
Lake	1, 182	0.3961	2.6309	**< 0.001**
Temp x Fish	1, 181	0.1036	2.5273	**0.006**
Temp x Lake	1, 180	0.0020	2.5253	0.7098
Fish x Lake	1, 179	0.0147	2.5106	0.3074
Temp x Fish x Lake	1, 178	0.0011	2.5096	0.7800
Size at maturity				
Temperature	1, 182	0.1078	0.9068	**< 0.001**
Fish cues	1, 181	0.00002	0.9068	0.9349
Lake	1, 180	0.2726	0.6341	**< 0.001**
Temp x Fish	1, 179	0.0054	0.6286	0.1989
Temp x Lake	1, 178	0.0382	0.5904	**< 0.001**
Fish x Lake	1, 177	0.0046	0.5858	0.2368
Temp x Fish x Lake	1, 176	0.0024	0.5833	0.3917
Average number of offspring				
Temperature	1, 87	2.7408	9.7072	**< 0.001**

Fish cues	1, 86	0.0403	9.6669	0.3436
Lake	1, 85	5.7215	3.9454	**< 0.001**
Temp x Fish	1, 84	0.0105	3.9349	0.6290
Temp x Lake	1, 83	0.0826	3.8524	0.1751
Fish x Lake	1, 82	0.0030	3.8494	0.7963
Temp x Fish x Lake	1, 81	0.2120	3.6374	**0.0297**
Intrinsic growth rate				
Temperature	1, 87	0.6194	0.0962	**< 0.001**
Fish cues	1, 86	0.0007	0.0954	0.3087
Lake	1, 85	0.0327	0.0627	**< 0.001**
Temp x Fish	1, 84	0.0000	0.0627	0.9713
Temp x Lake	1, 83	0.0003	0.0624	0.5032
Fish x Lake	1, 82	0.0003	0.0620	0.4853
Temp x Fish x Lake	1, 81	0.0014	0.0606	0.1665
Critical maximum temperature (CT_{max})				
Size at maturity	1, 91	0.0003	0.0256	0.1655
Temperature	1, 90	0.0082	0.0173	**< 0.001**
Fish cues	1, 89	0.0009	0.0163	**0.0125**
Lake	1, 88	0.0004	0.0159	0.1112
Temp x Fish	1, 87	0.0015	0.0144	**0.0019**
Temp x Lake	1, 86	0.0006	0.0138	0.0523
Fish x Lake	1, 85	0.0003	0.0134	0.1356
Temp x Fish x Lake	1, 84	0.0000	0.0134	0.5433

Table 1. Results of the generalized linear model analysis of the life-history traits of

Daphnia pulicaria.

Clonal lines were collected from Blue and Gardisky Lakes and reared under different

temperature (15°C or 25°C) and fish cue treatments (presence or absence).

Fig 1. Predation cues influence critical maximum temperature of Daphnia pulicaria.

Means ± 1 S.E.M. of age at maturity (days), size at maturity (mm), average number of

offspring of the first three clutches, intrinsic growth rate (r), and critical maximum

temperature (CTmax, °C) of Daphnia pulicaria collected at Blue and Gardisky Lakes

during summer 2017 in response to temperature (15°C and 25°C, x-axis) and predation

cues treatments. Circles show response without fish cues and triangles response to fish

cues.

Temperature affected size at maturity differently in the two populations (Temp x

Lake: $p < 0.001$, Table 1) but fish cues had no main effect across clones (Fish: $p = 0.093$,

Table 1, Fig 1). Post hoc tests revealed that the Blue Lake clone matured at 1.26 ± 0.006

mm regardless of temperature, while Gardisky matured at 15°C with 1.31 ± 0.01 mm

compared to 1.41 ± 0.01 mm at 25°C.

The average number of offspring for the first three clutches showed a significant

three-way interaction (Temp x Fish x Lake: $p = 0.029$, Table 1, Fig 1). For the Blue Lake

clone, offspring number only varied with temperature; on average Blue had 1.1 ± 0.11

more offspring at 25°C compared to 15°C. In contrast, the effect of temperature on

fecundity was greater for the Gardisky Lake clone when fish cues were present.

Individuals had 1.67 ± 0.30 more offspring at 25°C than 15°C in the presence of fish cues,

while without fish cues the difference between 25°C and 15°C response was 0.98 ± 0.23

offspring.

The intrinsic growth rate was significantly affected by the main effects of

temperature and lake (Temp: $p < 0.001$ and Lake: $p < 0.001$, Table 1, Fig 1). At each

temperature, the Gardisky Lake clone had higher intrinsic growth rates compared to the

Blue Lake clone. For instance, the Gardisky clone had an $r = 0.15 \pm 0.001*day^{-1}$ at 15°C,

while Blue had $r = 0.11 \pm 0.004$*day^{-1}. At 25°C, the intrinsic growth rate was $0.32 \pm$

0.006*day^{-1} for the Gardisky Lake clone, while the growth rate for the Blue Lake clone

was 0.28 ± 0.007*day^{-1}.

CT_{max} increased significantly when *Daphnia* were reared at 25°C compared to

15°C. CT_{max} was significantly affected by the interaction between temperature and fish

cues (Temp x Fish: $p = 0.002$, Table 1) and showed a marginally significant interaction

between temperature and lake (Temp x Lake: $p = 0.052$, Table 1), but no main effect of

lake. Post hoc tests showed that at 15°C, fish cues had no effect on CT_{max}. The thermal

tolerance of the Gardisky clone increased by 0.8 ± 0.12°C in the presence of fish cues,

while the Blue Lake clone increased by 0.3 ± 0.14°C at 25°C.

Gene expression

For the Blue Lake clone, sequencing produced on average 42,306,315 raw pair-ended

reads for each sample. Across all Blue Lake samples, 79.4% of the cleaned reads (after

removing adaptor sequences, low-quality and ambiguous sequences) were mapped to the

D. pulicaria LK16 primary gene set. The Gardisky clone produced on average 44,025,859

raw pair-ended reads for each sample and 80.0% of the cleaned reads mapped to the D.

pulicaria LK16 primary gene set. A breakdown of read mapping rate and estimated read

set contamination by sample is visualized in S1 Fig. *De novo* assemblies of the Blue and

Gardisky clones show sequence similarity to previously annotated *Daphnia* genomes (S2

Fig) and high levels of completeness consistent with previously annotated arthropod

genomes (S3 Fig). A PCA plot generated using DESeq2-corrected read counts (Fig 2)

shows clear divergence in the gene expression between clones under all growth

conditions. A PERMANOVA test of gene expression data shows that gene expression

was affected by both source lake (Pseudo F = 9.6582, R^2 = 0.22284, p = 0.001) and

temperature treatments (Pseudo F = 4.367, R^2 = 0.10078, p = 0.001), but gene expression

was not significantly different between predation treatments (Pseudo F = 0.6706, R^2 =

0.01547, p = 0.746).

Fig 2. Genotype, not treatment group, is the dominant source of differential gene

expression.

Principal component analysis of gene expression patterns generated through DESeq2

prominently separates individuals by source lake along the first principal component.

Temperature treatments (25N vs. 15N and 25Y vs. 15Y) produced the greatest

number of differentially expressed genes (DEGs) for both the Blue and Gardisky clones

(Fig 3). A total of 320 genes were differentially expressed between one-factor

comparisons for the Blue Lake clone: 95 due to temperature differences with predation

cues, 292 due to temperature differences without predation cues, and 4 due to fish cues at

25°C (Fig 3a). For the Gardisky Lake clone, we identified 575 DEGs. In total, 396 DEGs

were specific to 25N vs. 15N and 52 DEGs were specific to 25Y vs. 15Y. These two

treatment comparisons shared 127 genes (Fig 3b). Predator cues alone had no measurable

effect on gene expression when comparing between Gardisky clones grown under the

same temperature. Tables listing all differentially expressed genes are presented in

Supplementary Tables 1a and 1b.

Fig 3. Many genes are differentially expressed in response to temperature changes,

while kairomones have minimal observable effect at the level of transcript

expression.

Venn diagram of differentially expressed genes for *Daphnia pulicaria* from **(a)** Blue and

(b) Gardisky Lakes in response to temperature and predation cues. Cyan and purple

sections illustrate differential expression from the introduction of predator cues (Y vs. N

for the presence and absence of fish cues), while the green and orange sections illustrate

differential expression due to temperature change. Treatment abbreviations: 15Y, 15°C

with kairomones; 15N, 15°C without kairomones; 25Y, 25°C with kairomones; 25N,

25°C without kairomones.

Comparisons of significant GO terms for differentially expressed genes were

difficult to interpret due to the limited specificity of the functional information they

provide. Genes related to proteolysis, chitin turnover, and vision appeared to be

upregulated at higher water temperatures, but no significantly over- or under-represented

GO terms were detected in response to kairomones. GO terms for phototransduction and

visual perception were enriched in all temperature comparisons, while protease and

peptidase activity were enriched in every comparison but 25Y vs. 15Y in the Blue clone.

Meanwhile, terms associated with chitin binding were enriched in every comparison but

25Y vs. 15Y in the Gardisky clone. Complete lists of all enriched GO terms are given as

Supplementary Tables 2a-2d.

Gene orthology

From the Daphnia Stressor Database, we retrieved 386 genes that responded to

kairomones in *D. pulex* across 7 studies, and 2660 genes that responded to temperature

changes in *D. pulex* across 4 studies. 315 of these previously documented kairomone-

responsive genes (81.6%) have orthologs in the *D. pulicaria* LK16 genome, while 1796

of the temperature-responsive genes (67.5%) are orthologous to genes in *D. pulicaria*

(Fig 4a). 35% of the temperature responsive *D. pulicaria* DEGs in this study are

orthologous to previously reported temperature-responsive genes from *D. pulex*, but only

one of the four genes in the Blue clone that responded to kairomones was orthologous to

a previously described kairomone-sensitive gene (Fig 4b). On the other hand, we

observed that 18% of described kairomone-responsive genes in *D. pulex* have orthologs

that respond to temperature in *D. pulicaria*, consistent with earlier phenotypic

observations (Fig 1) showing an interactive relationship between these variables. Lists of

gene identifiers for orthologous clusters and singleton genes are available in

Supplementary Files 1 and 2.

Fig 4. Gene orthology analysis reveals similarities between stress-responsive genes in

***D. pulicaria* and *D. pulex*.**

Venn diagrams of orthologous gene clusters between *D. pulex* DEGs from the *Daphnia*

Stressor Database and **(a)** the *D. pulicaria* genome or **(b)** DEGs from *Daphnia pulicaria*.

Numbers indicate protein families with at least two members, excluding "singletons" that

have no orthologous or paralogous matches. Gene identifiers for orthologous clusters and

singleton genes are available in **(a)** Supplementary File 1 and **(b)** Supplementary File 2.

Discussion

Daphnia clonal lines that were stressed by temperature and/or predator cues

exhibited similar phenotypic responses associated with distinctly different patterns of

gene regulation. Temperature was the most important factor driving changes in gene

expression, as most of the DEGs in both clones responded to this variable, versus only a

handful to kairomone cues. Yet fish cues magnified the effect of 10°C of warming on age

of maturity and increased the critical maximum temperature at which *D. pulicaria* lose

motor control when individuals were reared at high temperatures, but not at their

optimum temperature. Genetic pathways that underlie the plastic response to predators

and temperature may be subject to different regulatory pressures, but phenotypic

responses suggest that exposure to predator cues may increase thermal tolerance and

affect life history response to warming.

Phenotypic responses

Both clones matured at a younger age and produced more offspring when reared

in high temperature, thus having a higher intrinsic growth rate compared to lower

temperature conditions (Fig 1). Indeed, high temperature increases metabolic rate, often

leading to a larger reproductive investment and faster development [62,63]. In addition,

we found an interactive effect of temperature and predation on age at maturity and critical

maximum temperature.

Our finding that individuals reared with predator cues had higher thermal

tolerance and matured younger at 25°C than those reared without predator cues agree

with other studies that also found synergistic effect of these two stressors in *Daphnia* and

other aquatic organisms [64–69]. In *D. pulex*, after seven generations, Tseng and

O'Connor observed increased thermal plasticity in individuals reared in higher

temperatures only when they were also reared with predators [64]. Zhang et al. tested

resurrected *D. magna* population from time periods without fish and from a high-density

fish period [65]. They found that *Daphnia* that coexisted with fish exhibited earlier

maturation in high temperatures compared to *Daphnia* from the pre-fish period. Earlier

maturation under predation risk is common in size-dependent predation of *Daphnia*

where predators, such as fish, prefer larger prey items, inducing a smaller size at maturity

and earlier age of first reproduction [63]. Our results agree with these studies showing

that fish cues magnify the life history response to temperature and show that exposure to

fish predation can increase the tolerance of stressfully high temperatures.

Genetic responses to temperature

We observed upregulation of serine peptidases in response to high temperature

treatment for both clones. These enzymes are the most important digestive proteases in *D.*

magna [70]. They are involved in proteolysis in the digestive system, the process by

which peptide bonds in proteins are broken generating free amino acids. This

upregulation may indicate a necessity to accommodate higher feeding rates caused by

increased metabolism in high temperatures [62,63]. Moreover, the *D. pulex* genome

contains many peptidase genes, which might indicate adaptation to high variation in food

availability in aquatic environment [71].

Genes related to visual perception were also upregulated in response to high

temperature treatment in both clones. Vision has previously been associated with vertical

migration, because visible light is a proxy for both *Daphnia's* visibility to predators and

the amount of incoming photodamage due to UV radiation at near-surface depths [72].

Vertical migration can serve as either a predator avoidance mechanism induced directly

from kairomones or a diel behavior triggered by temperature change [72]. Higher

temperatures can serve as a proxy for shallower depths, and therefore more incoming

radiation. This may explain why our dataset shows enrichment of phototransduction

genes as a response to temperature while previous studies have observed

phototransduction gene enrichment in response to kairomones [31].

The missing kairomone response: behavioral changes and proximate cues

In total, we only detected 4 DEGs across two *Daphnia pulicaria* clones in

response to kairomones from *Oncorhynchus mykiss*. Such a low number of DEGs related

to kairomone exposure is unexpected, but not unprecedented in previous *Daphnia* studies.

One study on *Daphnia galeata* M6 by Tams et al. also found 4 differentially expressed

transcripts in response to kairomones from the fish *Leuciscus idus* using a two-factor

analysis [73], and another study found no DEGs in *Daphnia magna* Iinb1 when exposed

to kairomones from stickleback fish [74]. Tams et al. hypothesize that life history

changes might be associated with only a few genes compared to morphological defenses,

or that regulation could be post-translational and therefore would not appear in an RNA

sequencing study [73]. Defense strategies can also include behavioral changes such as

vertical migration [75,76] and the transition into a state of alertness [77], which RNA

expression data may not fully capture. In contrast, morphological changes such as

neckteeth formation have been associated with far more genes, such as 230 DEGs found

in *D. pulex* in response to *Chaoborus* kairomones [78].

Miehls et al. find that the spiny water flea *Bythotrephes longimanus* displays no

changes in life history or morphology when exposed to kairomones from the predator

Perca flavescens, but increased temperature does induce morphologic defense against

predation [79]. The authors hypothesize that temperature is a stronger predictor of

predation risk than kairomones. This is because adult *P. flavescens* are present year-round

but only juveniles are gape-limited in their consumption of *B. longimanus,* such that the

morphologic response of increased tail length is only effective in discouraging predation

from juveniles and not adult fish. If there is no difference between the kairomones

released from juvenile and adult *P. flavescens,* then temperature could serve as a

proximate cue for predation risk that could be damped through some phenotypic response.

The interactions between *B. longimanus* and *P. flavescens* closely mirror

predation of *D. pulicaria* by *O. mykiss*, as *O. mykiss* are among the most abundant

stocked fished in the Sierra Nevada lakes [29] and thus *D. pulicaria* are exposed to their

kairomones year-round. Juvenile *O. mykiss* prey on *Daphnia* as a large percentage of

their diet, while trout larger than 350 mm are primarily piscivorous [27]. A

morphological defense response targeted solely at juvenile trout might require either a

juvenile-specific odor, a dynamic response based on cue concentration, or some

additional proxy for *O. mykiss* life stage that would suggest a high concentration of

juveniles. Riessen and Gilbert propose that *D. pulex* may use water temperature to serve

as a proximate cue for predator densities [80], so water temperature as a proxy for

predator life stage is not implausible. Our dataset found some overlap between

kairomone-responsive genes in *D. pulex* and temperature-responsive genes in *D.*

pulicaria, so an intermediate defensive response may be inducible through heat alone as

found by Suppa et al. [81].

However, behavior-based predator avoidance strategies may not require such

specificity. *O. mykiss* can produce the kairomone 5α-cyprinol sulfate in their bile without

consuming *Daphnia*, and this compound induces diel vertical migration in *D. pulex* [75].

Vertical migration still has an associated tradeoff in fitness [82], but this defense might

be preferred when food sources are abundant and temperature gradients are tolerable [83].

Another study found that *D. pulicaria* lineages that coexist with *O. mykiss* vertically

migrate without kairomone exposure, while *D. pulicaria* lineages without prior contact

with *O. mykiss* require kairomone exposure to induce diel vertical migration [84]. Thus,

some environments with high predation might select for *Daphnia* genotypes that respond

to predation primarily through behavioral responses, such as vertical migration.

The missing kairomone response: predator- and diet-specific cues

An alternative explanation for the lack of DEGs may lie in the subtle differences

between kairomone sources. Some kairomones are innately released by the predator

(predator odors), from damaged prey (alarm cues), and from digested prey excreted by

the predator (dietary cues). This study and Tams et al. explicitly avoided the addition of

conspecific alarm cues in our kairomone mixture as to measure only the effect of predator

odors [73]. Other studies, such as [30,31], tested responses to a mixture of predator-

specific odors and alarm cues released by consumed conspecifics. Mitchell et al. call for

the separation of nomenclature between cues released from the predator regardless of diet

and diet-specific cues [32], so that researchers can more accurately discern the

independent effects of these different kairomone compounds.

Previous research on the interaction between predator odors and alarm cues is

mixed. Some studies propose that defense systems rely on both types of compounds to

induce measurable defense responses [85,86], while others observe that alarm cues by

themselves can induce separate responses than predator odors alone [87,88]. Alarm cues

are thought to be nonspecific, as *Daphnia* respond differently to different predators [89]

and alarm cues alone might be unable to induce defense responses effective against a

specific predator [90]. The most effective defense responses may therefore require

predator odors to identify the type of predator combined with alarm cues to induce an

immediate response. A required mixture of predator odors and alarm cues for maximum

observed response in *D. pulicaria* would succinctly explain why we observed such a

minor response in our experiment. Results from this study support the conclusion that the

detection of predator odors alone may be insufficient to induce defense responses at the

level of gene expression, at least in the context of *D. pulicaria – O. mykiss* interactions.

Conclusion

Our study highlights that expression patterns of genes differed between *Daphnia*

pulicaria clones while phenotypic responses and interactions were qualitatively similar,

suggesting that different transcriptomic responses can result in similar phenotypes. Other

studies have found distinct gene expression patterns among individuals from different

zooplankton species [91,92]. These results suggest that diverse genetic pathways can give

rise to similar phenotypic plastic responses to environmental stress. We also showed

synergistic interactions between temperature and predation for some traits. Our results

show that *Daphnia* can have similar phenotypes through distinct molecular mechanisms

and the synergistic effects of temperature and predation may represent an important

mechanism for organisms to adapt to a rapidly changing environment. As one of the first

studies incorporating the *Daphnia pulicaria* genome, we hope to lay the groundwork for

future differential expression studies through incorporation into knowledge bases such as

the *Daphnia* Stressor Database. Overall, we find that the interactions between stressors

are heavily genotype dependent, and echo the sentiment that novel research strategies are

necessary [32] to further investigate the chemical signals of predation in *Daphnia*.

Data Availability

Read sets generated and/or analyzed during the current study are available on the

Sequence Read Archive (SRA) under BioProject PRJNA698341. The SRA Accession

numbers for the individual paired sets of reads associated with Blue Lake are

SRR13591461, SRR13591462, SRR13591463, SRR13591464, SRR13591465,

SRR13591466, SRR13591471, SRR13591482, SRR13591485, SRR13591486,

SRR13591487, SRR13591488, SRR13591489, SRR13591490, SRR13591491,

SRR13591492, SRR13591493, and SRR13591494, The SRA Accession numbers for the

individual paired sets of reads associated with Gardisky Lake are SRR13591467,

SRR13591468, SRR13591469, SRR13591470, SRR13591472, SRR13591473,

SRR13591474, SRR13591475, SRR13591476, SRR13591477, SRR13591478,

SRR13591479, SRR13591480, SRR13591481 ,SRR13591483, and SRR13591484.

Phenotype data, used to generated Fig 1, is included as S3 File.

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Supplementary Tables, Figures, and Files

S1 Tables 1a-1b. Data associated with Fig 3.

Differentially expressed genes in the **(a)** Blue Lake clone and **(b)** the Gardisky Lake

clone. The comparison column denotes if genes were responsive to temperature and/or

kairomone treatments.

S2 Tables 2a-2d. Gene Ontology of differentially expressed genes.

Upregulated Gene Ontology terms for comparisons between: **(a)** Blue Lake clone, 15Y

and 25Y treatments, **(b)** Blue Lake clone, 15N and 25N treatments, **(c)** Gardisky Lake

clone, 15Y and 25Y treatments, and **(d)** Gardisky Lake clone, 15N and 25N treatments.

S1 Fig. Read-based taxonomy assignment consistent with mapping rates to the

Daphnia pulicaria **genome.**

Panel **(a)** shows Kraken2 read taxonomy on a random subset of one million cleaned

reads from each sample. Panel **(b)** plots the alignment rate of the cleaned reads from each

sample to the chromosomes of *Daphnia pulicaria* LK16 using the STAR aligner.

S2 Fig. Blue and Gardisky transcripts are highly similar to *Daphnia pulicaria* **LK16.**

Results of a two-way average nucleotide identity (ANI) matrix and associated

dendrogram for *the de novo* assembled transcriptomes from Blue and Gardisky Lakes,

predicted genes from *Daphnia* species with annotated genomes, and the annotated gene

set of the related arthropod *Eulimnadia texana*. Our transcriptome sets are closest to those

from *D. pulicaria*, within the well-described *Daphnia pulex-pulicaria* species complex.

S3 Fig. Blue and Gardisky transcriptomes are highly complete and highly

duplicated.

Bar graph of gene set completeness as determined by BUSCO using the arthropod

marker gene set. Our *de novo* assembled transcriptomes have completeness scores

comparable to or better than genome-derived transcript sets from other *Daphnia* studies.

However, Blue and Gardisky transcriptome sets have additional gene duplication in our

transcriptome due to the inclusion of gene isoforms from *de novo* assembly.

S1 File. Orthologous clusters associated with Fig 4a.

Orthologous clusters and singletons for the gene orthology analysis between the *D.*

pulicaria genome, temperature-responsive genes in *D. pulex*, and kairomone-responsive

genes in *D. pulex*. *D. pulex* genes were collected from the *Daphnia* Stressor Database.

Data is in Excel format.

S2 File. Orthologous clusters associated with Fig 4b.

Orthologous clusters and singletons for the gene orthology analysis between temperature-

responsive *D. pulicaria* genes, kairomone-responsive *D. pulicaria* genes, temperature-

responsive genes in *D. pulex*, and kairomone-responsive genes in *D. pulex*. *D. pulex*

genes were collected from the *Daphnia* Stressor Database. Data is in Excel format.

S3 File. Phenotype and life history data associated with Fig 1.