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3 **Synergistic effect of mutations in the Parkinson's disease-linked endocytic proteins**
4 **synaptojanin 1 (PARK20) and auxilin (PARK19)**
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ABBREVIATIONS:

AADC: Aromatic l-amino acid decarboxylase
AP2: Adaptor protein complex 2
CCVs: Clathrin coated vesicles
ChAT: Choline acetyltransferase
ChINs: Cholinergic interneurons
DA: Dopamine
DAergic: Dopaminergic
DAT: Dopamine transporter
GAK: Cyclin G-associated kinase
GFAP: Glial fibrillary acidic protein
GWAS: Genome-wide association study
H&E: Hematoxylin and eosin
Iba1: Ionized calcium-binding adapter molecule 1
KI: Knock-in
KO: Knockout
LOF: Loss-of-function
MSNs: Medium spiny neurons
PD: Parkinson's Disease
SJ1: Synaptojanin 1
SN: Substantia nigra
SV: Synaptic vesicle
SV2: Synaptic vesicle glycoprotein 2
Syt1: Synaptotagmin 1
THINs: TH-positive interneurons
VTA: Ventral tegmental area

108 **Abstract**
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Parkinson's disease (PD) is a neurodegenerative disorder characterized by defective
dopaminergic (DAergic) input to the striatum. Mutations in two genes encoding synaptically-
enriched clathrin-uncoating factors, synaptojanin 1 (SJ1) and auxilin, have been implicated in
PD. SJ1 knock-in (SJ1-KI[RQ]) mice carrying PD mutation display neurological manifestation
reminiscent of PD. Here we report that auxilin knockout (Aux-KO) mice display dystrophic
changes of a subset of nigrostriatal DAergic terminals similar to those of SJ1-KI[RQ] mice.
Furthermore, Aux-KO/SJ1-KI[RQ] double mutant mice have shorter lifespan and more severe
synaptic defects than single mutant mice. These include increase in dystrophic striatal nerve
terminals positive for DAergic markers and for the PD risk protein SV2C, as well as adaptive
changes in striatal interneurons. The synergistic effect of the two mutations demonstrates a
special lability of DAergic neurons to defects in clathrin uncoating, with implications for PD
pathogenesis in at least some forms of this condition.
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Introduction

Parkinson's disease (PD) is the most common neurodegenerative movement disorder
characterized by selective degeneration of nigrostriatal dopaminergic (DAergic) neurons and
dopamine (DA) deficiency in striatum. Genetic studies have identified more than 20 genes
responsible for familial PD and 90 risk loci in sporadic PD [1,2]. These findings have implicated
several cellular mechanisms in the pathogenesis of PD, including impaired protein
degradation, aggregation of misfolded proteins, mitochondrial perturbations,
neuroinflammation and membrane traffic dysfunction [3]. Among the latter, membrane traffic
defects at synapses are believed to be an early event in PD pathogenesis, followed by axonal
degeneration and loss of neuronal cell bodies in the midbrain ("dying back" mechanism) [4,5].

Two pre-synaptically enriched genes whose loss-of-function (LOF) mutations cause rare
cases of familial recessive early onset PD encode auxilin (also called DNAJC6) and
synaptojanin 1 (SJ1), hence defined as PARK19 and PARK20 [6-9], respectively. Interestingly,
these two proteins function at different steps, but synergistically, in the shedding of the clathrin
coat that follows clathrin-dependent vesicle budding to generate new synaptic vesicles (SVs)
(Fig. 2A) [10]. SJ1, a phosphatase that dephosphorylates sequentially $PI(4,5)P_2$ via tandemly

arranged 5-phosphatase and 4-phosphatase domains, mediates the dissociation from the

membrane of the endocytic clathrin adaptors (the inner layer of the coat), whose membrane

interaction is $PI(4,5)P_2$ dependent [11]. Auxilin, a clathrin-binding co-chaperone, recruits the

ATPase HSC70 to dissemble the clathrin lattice, i.e. outer layer of the coat [11]. Moreover, since

auxilin contains a binding domain for monophosphoinositides [12], its recruitment was proposed

to be controlled, at least in part by the activity of SJ1.

Complete LOF of SJ1 results in early postnatal death [13,14], while the well characterized R258Q

mutation responsible for PD selectively impairs the function of its 4-phosphatase domain (also

called Sac domain) [7]. In the case of auxilin, PD mutations are primarily complete or very strong

LOF mutations [15]. PD patients carrying mutations in these two genes share similar clinical

manifestations: recessive transmission, early or juvenile onset, typical motor deficits with

atypical epilepsy and developmental delay in some patients, and DA deficiency as detected

by reduced DaTScan signal in the striatum [15,16]. In addition, SJ1 expression level was found

to be reduced in postmortem PD patient brains [17,18], the connection of SJ1 with PD genome-

wide association study (GWAS) loci was recently reported [19], and auxilin/DNAJC6 mutations

were also identified in some sporadic PD patients [20], suggesting that impaired function of these

two proteins could play a more common role in the pathogenesis of PD and not be restricted

to rare familial cases.

Several animal models have been generated and characterized for both PD genes. Knock-in

(KI) mice carrying the Sac domain mutation R258Q (R259Q in mice, referred henceforth as

SJ1-KI[RQ] mice), recapitulated patients' neurological manifestations [21]. Nerve terminals of

these mice exhibited accumulation of clathrin coated vesicles (CCVs) and SV endocytosis

defects. Importantly, selective synaptic abnormalities were observed in nigrostriatal DAergic

nerve terminals of these mice, i.e. the axonal projections specifically degenerated in PD [21].

Perturbation of autophagosome formation was also reported in neuronal cultures of these

mice [22], in patient iPSC-derived human DA neuronal models carrying the same mutation and

in nerve terminals of *Drosophila* carrying the corresponding mutation [23]. Motor symptoms,

endocytic defects at synapses and degeneration of DAergic nerve terminals were also

reported in aged SJ1 Heterozygous (+/-) mice [18]. Moreover, a strong synthetic interaction was

observed in mice between the SJ1 R258Q mutation and the loss of Sac2, a PI4P phosphatase

shown by GWAS to be a candidate PD risk gene [24].

LOF animal models of auxilin also have features of PD pathogenesis. Reduced auxilin level

in *Drosophila* leads to PD-like motor symptoms and accelerates α-synuclein mediated DA

neuron loss [25]. Auxilin mutant flies are also more sensitive to the environmental toxin paraquat

[25]. Auxilin KO mice (Aux-KO mice) are born with a lower than normal mendelian ratio and a
subset die perinatally [26]. The remaining ones, where the levels of the auxilin paralogue, cyclin
G-associated kinase (GAK) was found to be upregulated, were reported to have a normal life-
span, although a systematic analysis of their neurological performance was not carried out. In
neuronal cultures derived from these mice, impaired SV endocytosis and clathrin uncoating
defects were observed [26]. A mouse model carrying the auxilin PD-linked mutation R927G
showed motor impairments in old mice, and both SV recycling and Golgi trafficking defects [27].
Finally, in human midbrain-like organoids, mutations of auxilin were shown to cause key PD
pathologic features and also neurodevelopmental defects due to impaired WNT-LMX1A
signaling [28].
190
The implication in PD of two genes that have cooperative function in clathrin uncoating during
SV recycling strongly suggest that their mutations result in PD via the same pathogenetic
mechanism. Elucidating such a mechanism may offer the possibility of developing intervention
strategies to prevent the onset of PD manifestations in individuals homozygous for these
mutations. It is also possible that mutations of other PD genes may converge on such
mechanisms, so that studies on SJ1 and auxilin may have more general implications.
197
Here we set out to investigate whether loss of auxilin function in mice phenocopies the
dystrophic changes that we have observed in the striata of SJ1-KI^{RQ} mice and to determine
whether the combined loss of auxilin and the PD mutation of SJ1 results in synergistic effects
on such changes. Our results demonstrate a similar effect of the two genetic perturbations on
nigrostriatal DAergic nerve terminals. We also show that Aux-KO/SJ1-KI^{RQ} double mutant
mice have a much shorter life span than single mutants and more severe dystrophic changes
in the striatum. Together, our results validate the use of mice that harbor these mutations as
model systems to study mechanisms in PD pathogenesis.
206

Results

Neurological defects in Aux-KO mice that survive early postnatal death

As previously reported and also shown in Fig. 2B, a subset of Aux-KO mice dies shortly after
birth. The survivors can have a life-span similar to wild-type (WT) controls but a subset of them
develop early-onset tonic-clonic epileptic seizures starting at 3-4 postnatal week
(Supplementary Mov. 1), a finding also reported in the clinical cases carrying auxilin mutations.
A hindlimb clasping phenotype, a sign of neurodegeneration, was observed in some of them
(about 30% at 1-month) (Fig. 1A). Moreover, at 2-4 months they showed a mild fine motor
deficit in the balanced beam test with significantly more missteps as they walk along the beam
(Fig. 1B, C and Supplementary Mov. 2A, 2B), while their performance on rotarod (gross motor)

was normal (Fig. 1D). These results show that Aux-KO have at least some of the features
observed in patients carrying auxilin PD mutations.
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Defects of DAergic nerve terminals in the dorsal striatum of Aux-KO mice
The previously reported analysis of cultured neurons of Aux-KO mice demonstrated
perturbations consistent with a defect in clathrin uncoating. To examine the impact of auxilin
LOF on the DAergic nigrostriatal pathway, we performed immunohistochemistry on brain
frozen sections using antibodies directed against tyrosine hydroxylase (TH) and the plasma
membrane dopamine transporter (DAT), two markers of DA neurons. Similar to SJ1-KI^{RQ} mice,
scattered abnormal TH- and DAT-positive clusters, also positive for the plasma membrane
marker SNAP25 (see Supplementary Fig. 8A), were observed in the striata of these mice, but
not in WT and heterozygous littermates, in addition to the normal diffuse punctate
immunoreactivity representing axon terminal varicosities of DAergic neurons (Fig. 1E).
Typically, these clusters were localized next to the soma of striatal neurons. Electron
microscopy (EM) observations of SJ1-KI^{RQ} striata had shown that the presence of these
TH/DAT positive clusters correlated with the occurrence of abnormal nerve terminals
characterized by peculiar onion-like plasma membrane accumulations. Accordingly, similar
structures were detected by EM in auxilin KO striata (see Fig. 5). Interestingly, as in the case
of SJ1-KI^{RQ} brains, these clusters were specifically present in the dorsal but not ventral
striatum (Fig. 1E). Thus, nerve terminals of the nigrostriatal DA system are selectively and
similarly affected by either complete LOF of auxilin or the R258Q mutation in SJ1, two genetic
perturbations that result in PD in human patients. Tiny DAT-positive clusters first appeared at
about 3-week and large clusters positive for both DAT and TH peaked at 1-2 months. However,
in both adult (5-month) and aged mice (12-month), the number of these clusters was
significantly reduced (Fig. 1F, G), similar to what we observed in SJ1-KI^{RQ} mice (Fig. 1H, I).
Possibly, structural changes of a subset of DAergic nerve terminals only occurs at a young
age and these terminals eventually degenerate. However, the occurrence of a repair
mechanism cannot be excluded.
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More severe neurological phenotypes in Aux-KO/SJ1-KI^{RQ} double mutant mice than in
single mutant mice
The similar clinical phenotypes of PD mutations in SJ1 (RQ mutation) and auxilin (complete
LOF) and the similar cellular and histological phenotypes observed in SJ1-KI^{RQ} and Aux-KO
mice prompted us to examine a synergistic effect of the two genetic disruptions by generating
Aux-KO/SJ1-KI^{RQ} double mutant mice. As shown in Fig. 2B, Aux-KO/SJ1-KI^{RQ} mice have
much shorter lifespan compared to either single mutant mice, revealing a strong synthetic
genetic interaction. About 75% of Aux-KO/SJ1-KI^{RQ} mice died within 1 month after birth.

Moreover, they were usually smaller in size (Supplementary Fig. 1A, B) and showed hindlimb

clasping and seizures. To date, only one Aux-KO/SJ1-KI^{RQ} mouse out of more than 50

survived to adulthood and died suddenly at 7-month-old. As assessed by the balanced beam

test and by immunohistological analysis, this mouse had more severe motor coordination

defect and DAergic terminal dystrophy compared to single mutant mice (Supplementary Mov.

3A-D and Supplementary Fig. 10).

Hematoxylin and eosin (H&E) staining of Aux-KO/SJ1-KI^{RQ} mouse brains at 1-month of age

(the age at which nearly 50% of the double mutant mice survived) revealed a normal brain

architecture (Supplementary Fig. 1C). Likewise, no obvious gliosis was observed in different

brain regions, upon immunostaining with ionized calcium-binding adapter molecule (Iba1), a

microglia marker and glial fibrillary acidic protein (GFAP), an astrocyte marker (Supplementary

Fig. 2). Moreover, western blot analysis of total homogenates of brains at the same age

showed that, at least at this age, the levels of major synaptic proteins tested, including SV

proteins, endocytic proteins and proteins of DA metabolism were unchanged, with the

exception of a modest upregulation in amphiphysin 2 and endophilin1 of SJ1-KI^{RQ} mice

(Supplementary Fig. 3A, B).

Synergistic disrupting effects of auxilin and SJ1 mutations on clathrin coat dynamics

in nerve terminals

Synapses of either Aux-KO or SJ1-KI^{RQ} mice display abnormal presynaptic clustering of

endocytic factors, as revealed by immunofluorescence (Fig. 2), and an accumulation of CCVs

as well as empty clathrin cages in the case of Aux-KO neurons, as revealed by EM (Fig. 3).

These defects, which result in defective endocytic recycling of SVs, are not restricted to

DAergic nerve terminals in agreement with the ubiquitous expression of SJ1 and auxilin in

neurons. Moreover, they are generally more prominent at inhibitory nerve terminals as labeled

by GAD65, which have higher tonic levels of activity (Fig. 2E). Both these defects were more

severe at synapses of double mutant neurons. At these synapses, the fluorescence intensity

of clusters of endocytic proteins, such as clathrin, adaptor protein 2 (AP2), SJ1, amphiphysin

1 and amphiphysin 2, which was already higher in Aux-KO and SJ1-KI^{RQ} single mutant

neurons than in controls, was even higher in Aux-KO/SJ1-KI^{RQ} neurons (Fig. 2C, D).

A synergistic effect of the two mutations could also be observed by EM, as shown by

representative EM micrographs in Fig. 3A, and by a morphometric analysis of CCVs and

empty clathrin cages in nerve terminals of the striatum (Fig. 3B). As expected, the SJ1-KI^{RQ}

mutation resulted primarily in an increase in CCVs and the Aux-KO mutation also resulted in

an increase of empty clathrin cages, but the combination of the two mutations led to an overall

increase in assembled clathrin (both cages and coated vesicles). At some inhibitory synapses
in other regions of the brain such increase was huge, as illustrated by the three examples of
inhibitory nerve terminals in the deep cerebellar nuclei shown in Fig. 3C that show massive
accumulations of empty clathrin cages and CCVs.
295
Enhanced dystrophic changes in the striatum of Aux-KO/SJ1-KI^{RQ} double mutant mice
We next focused on the DA system in both midbrain and striatum. The morphology of TH-
positive DA neurons in both substantia nigra (SN) and ventral tegmental area (VTA) looks
normal in 1-month-old Aux-KO/SJ1-KI^{RQ} mice compared to WT controls (Supplementary Fig.
4A). However, in the striatum of Aux-KO/SJ1-KI^{RQ} mice, the DAergic nerve terminal dystrophic
phenotype was more severe than in the single mutant mice. The number of TH/DAT-positive
clusters, also positive for SNAP25 (see Supplementary Fig. 8A) was significantly increased
compared to single mutants (Fig. 4A, C). More importantly, similar TH/DAT-positive clusters
were also observed in the ventral striatum (including both the nucleus accumbens and
olfactory tubercle) of Aux-KO/SJ1-KI^{RQ} mice, but not of the single mutant mice (Fig. 4B, D and
Supplementary Fig. 4B, 5). Since the ventral striatum receives DAergic axonal input from the
VTA of the midbrain, this result indicates that the combined perturbation of auxilin and SJ1
affects more globally the function of DAergic neurons of the midbrain. It suggests a
vulnerability of both SN and VTA DAergic neurons to the combined loss of SJ1 and auxilin,
but a lower tolerance of SN neurons to the loss of either one of these two proteins.
311
EM observations confirmed that the increase of TH/DAT-positive clusters corresponded to an
increase in the number of onion-like plasma membrane derived structures resulting in multiple
cytoplasmic lamellae. While these structures were difficult to be found in thin sections of single
mutant mice due to their scattered distribution, they were much easier to be found in thin
section of double mutant striata, confirming their abundance (Fig. 5). Red arrowheads in Fig.
5 show that they represent plasma membrane invagination. Lamellae often contained
scattered small SV clusters or CCVs consistent with their axonal nature. Moreover, anti-TH
immunogold labeling confirmed that these structures corresponded to TH accumulations
(Supplementary Fig. 6).
321
Immunofluorescence for a variety of nerve terminal proteins and specific components of the
DAergic system revealed co-enrichment of several other proteins with TH and DAT in these
structures in Aux-KO/SJ1-KI^{RQ} mice. These included aromatic L-amino acid decarboxylase
(AADC), the enzyme that acts downstream of TH to convert levodopa into DA and thus is
another marker of DAergic neurons (Fig. 6A), the plasma membrane marker SNAP25 (Fig.
6B) and a subset of SV proteins, such as synaptic vesicle glycoprotein 2C (SV2C) (Fig. 6C)

and synaptotagmin 1 (Syt1) (Supplementary Fig. 7C) but not other SV house-keeping proteins such as SV2B (Fig. 6D) and synapsin (Supplementary Fig. 7D). Both AADC and SV2C also cluster with TH/DAT in Aux-KO or SJ1-KI[RQ] striatum (Supplementary Fig. 7A, B). Given the very low numbers of SVs in the onion-like structures but the abundance of plasma membrane, SV2C and Syt1 may be more prone than others to become stranded in the plasma membrane in double mutant neurons. SV2C immunoreactivity was particularly strong consistent with a preferential expression of this SV2 isoform in the striatum and the midbrain, relative to SV2A and SV2B (http://dropviz.org/ and [29,30]). Interestingly, SV2C was recently identified as a PD risk gene [31,32]. Importantly, the abnormal SNAP25 positive clusters were not observed in other brain regions, suggesting a selective impairment in the striatum (Supplementary Fig. 8B). None of the endocytic proteins tested, including clathrin light chain (CLC) and endophilin 1 were found in these aggregates (Fig. 6E, F), in agreement with the absence of accumulation of endocytic intermediates observed by EM at these sites.

Adaptive upregulation of striatal TH/AADC-positive interneuron and SV2C-positive cholinergic interneurons in Aux-KO/SJ1-KI[RQ] mice.

Most interestingly, we also detected some protein expression changes in local striatal neurons of Aux-KO/SJ1-KI[RQ] mice. First, in sections of mutant striata immunolabeled with anti-TH antibody, in addition to the presence of TH-positive normal and abnormal nerve terminals which co-localized with DAT immunoreactivity, we also observed sparsely distributed TH-positive interneuron (THIN) cell bodies, different from Darpp32-positive medium spiny neurons (MSNs) which account for the predominant population in the striatum (Supplementary Fig. 9A). These neurons were not visible in WT and Aux-KO striata, and were only occasionally observed in SJ1-KI[RQ] (Fig. 7A, B). Such neurons were also positive for AADC (Fig. 7C), suggesting that they can generate DA, although they were positive for GABA as well (Supplementary Fig. 9B), and negative for DAT (Fig. 7A). Second, we detected another neuronal population which was strongly labeled with two different SV2C antibodies in Aux-KO/SJ1-KI[RQ] striata (Fig. 7D and Supplementary Fig. 9C, D). These SV2C-positive neuronal cell bodies did not overlap with TH/AADC-positive neurons, but were all positive for choline acetyltransferase (ChAT), a marker of striatal cholinergic interneurons (CHINs) (Fig. 7E and Supplementary Fig. 9C, D). Compared to WT sections where the SVC2 signal was low, SV2C expression was much higher in a subset of ChAT-positive neurons in Aux-KO/SJ1-KI[RQ] (Fig. 7F, G and Supplementary Fig. 9E, F). The intensity, number and distribution of ChAT remains unchanged between WT and Aux-KO/SJ1-KI[RQ] (Fig. 7F, G), suggesting this is a specific upregulation of SV2C in the CHINs. We further examined other cell types in the striatum using immunofluorescence against Darpp32 for MSNs, against GFAP for astrocytes and against Iba1 for microglia. Both MSNs and glia cells appeared normal in morphology, localization and

365 fluorescence intensity (Supplementary Fig. 7E, F, G). These findings suggest that the local
366 striatal microcircuitry has been affected in Aux-KO/SJ1-KI[RQ] mutant mice. Whether this is a
367 secondary effect to compensate for DA deficiency from DAergic terminals, or a cell
368 autonomous effect resulting from the absence of SJ1/auxilin in these interneurons requires
369 further investigation.
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Discussion
In this study we show that LOF mutations in two PD-linked proteins implicated in endocytic
clathrin-mediated budding at synapses, SJ1 and auxilin, produce similar dystrophic changes
of nigrostriatal DAergic nerve terminals. Moreover, we show a strong synthetic interaction of
SJ1 PD mutation and auxilin KO. These findings provide striking evidence for the proposed
functional partnership of the two proteins in clathrin coat shedding, with the phosphoinostide
phosphatase activity of SJ1 being responsible for the dissociation of the clathrin adaptors from
the membrane and auxilin playing a critical role, along with the chaperone HSC70, in the
disassembly of the clathrin coat. In fact, the phosphoinositide phosphatase activity of SJ1 was
proposed to help recruit auxilin. Importantly, they point to dysfunction in clathrin uncoating as
one of the perturbations that can lead to early onset PD. How such dysfunction in turn leads
to disease remain to be further clarified. Mechanisms may include a defect in SV recycling
with an impact of DA release, other alterations of synaptic membrane traffic such as
impairment of autophagosome formation, sequestration of critical factors by assembled
clathrin. As SJ1 and auxilin are neuronal housekeeping proteins, a major open question is
why is the DAergic system more severely affected, although, as previously shown by us and
others, synaptic defects in SJ1-KI[RQ] and auxilin mutant mice are not restricted to DAergic
neurons.
389
Aux-KO mice have phenotypic manifestations that resemble those of SJ1-KI[RQ] mice, and both
of them recapitulate some of the manifestations of human PD patients carrying mutations in
the auxilin/PARK19 and the synaptojanin1/PARK20 genes. However, the neurological defects
of Aux-KO mice are less severe than those of SJ1-KI[RQ] mice. This is probably due to the
presence and compensatory upregulation of the auxilin paralogue auxilin-2/GAK in Aux-KO
mouse brains [26]. GAK itself was identified as a PD risk gene via a GWAS study [33].
396
Both the SJ1-KI[RQ] mouse model and the auxilin KO model have been reported to show
presynaptic clustering of endocytic factors and accumulations of assembled clathrin [21,26].
These clathrin structures were different in the two genotypes: primarily CCVs in SJ1-KI[RQ] mice
while empty clathrin cages in Aux-KO mice, as in the absence of auxilin spontaneously
assembled cytosolic clathrin does not disassemble. These changes were widespread across

the brain, but more prominent at inhibitory synapses, probably due to the tonic firing pattern
in these synapses which requires more efficient SV recycling, making these synapses more
vulnerable to endocytic defects. A similar difference in the impact of endocytic protein
mutations on excitatory and inhibitory synapses was also observed in SJ1 KO [34] and dynamin
KO mice [35]. The imbalanced excitatory/inhibitory synaptic transmission in SJ1-KI[RQ] mice and
Aux-KO mice could explain the epileptic seizures observed in both human patients and mice
with mutations in SJ1 and auxilin.
409
The most interesting new observation that we have made in Aux-KO mice is the occurrence
of histological defects in their striatum which are similar to those that we had observed in the
striatum of SJ1-KI[RQ] mice [21]. These consist of TH/DAT-positive structures that reflect presence
of dystrophic DAergic nerve terminals and correlate with abnormal onion-like plasma
membrane infoldings detectable by EM. The occurrence of these histological/cellular
phenotypes in both mutant mouse models is a striking PD-relevant finding as it suggests a
special lability of nigrostriatal DA neurons to perturbation of endocytic clathrin coat dynamics.
417
As we discussed in our study of SJ1-KI[RQ] mice, these onion-like structures appear to originate
primarily by invaginations of the plasma membrane, as shown by EM and by their enrichment
in two plasma membrane proteins DAT and SNAP25. Possibly, they are resultant of endocytic
failure at a subset of synapses. However, they are not enriched in endocytic proteins and in
several house-keeping SV proteins, consistent with the lack of enrichment of SVs in these
terminals, although they are enriched in SV2C, raising the possibility that this SV protein may
remain stranded in the plasma membrane. Interestingly, SV2C, which is the SV2 family
member expressed in DAergic neurons and is a modulator of DA release [29], was recently
identified by GWAS from both Asian and European cohorts as a PD candidate risk gene [31,32].
Moreover, in both mutant genotypes, presence of these structures peak at 1-2 months but
they decrease as mice get older (5 and 12 months), revealing either repair mechanisms or
removal of degenerated nerve terminals in older mice. Interestingly, a follow up study on PD
patients carrying the SJ1 RQ mutation showed relative stability of clinical manifestations at
later stages [36]. The early onset feature in both models also suggests there may be some
neurodevelopmental defects due to LOF of SJ1 or auxilin. Indeed, PD patients carrying both
genes' mutations suffer from developmental delay [15,16], and a recent study of human midbrain-
like organoids carrying the auxilin PD mutation also showed impaired WNT-LMX1A signal
during DA neuron development [28].
436
The strong synthetic effect of the SJ1-KI[RQ] mutation and the Aux-KO mutation is a key result
that provides evidence for a similar PD pathogenetic mechanism resulting from mutations in

these two proteins. Relative to single mutants, double mutant mice have much shorter lifespan

and usually die between 3 to 4 weeks after birth, which is the critical period for

neurodevelopment in rodents [37]. Moreover, they display enhanced clustering of endocytic

proteins and more abundant dystrophic TH/DAT/AADC/SV2C-positive nerve terminals, which

additionally are not restricted to the dorsal striatum as in single mutant mice, but are also

present in the ventral striatum. Thus, there appears to be a different threshold of DAergic

neurons to the combined SJ1 and auxilin perturbation in the SN and VTA that project to the

dorsal and ventral striatum, respectively. One factor that may contribute to the vulnerability of

DAergic neuron to disruptions of two endocytic proteins may be their tonic firing activity, which

may require efficient SV recycling to sustain continuous release of DA. But this is not a unique

property of these neurons.

A striking finding in Aux-KO/SJ1-KI[RQ] striata, besides the presence of dystrophic DAergic

nerve terminals, was the presence of changes in two different types of interneurons, which

likely reflect adaptive modifications. First, there was an increase in the number of TH-positive

THINs. THINs were also positive for AADC, suggesting they can potentially synthesize DA. A

similar increase was previously reported in neurotoxin-induced rodent and non-human primate

PD models [38,39], as well as in a genetic PD mouse model [40], and was interpreted as a

compensatory change due to loss of DAergic terminals. The increase that we have observed

in Aux-KO/SJ1-KI[RQ] striata may have a similar explanation. However, DAT is not detected in

these TH/AADC positive interneurons, suggesting they are not "authentic" DA neurons [41].

Second, in addition to the accumulation of SV2C with TH and DAT in DAergic terminals, we

also observed upregulated SV2C expression in the soma of another subset of striatal

interneurons, the ChAT-positive giant ChINs. Expression of SV2C in striatal ChINs has

previously been reported [42,43], but such expression is robustly increased in Aux-KO/SJ1-KI[RQ]

striata, while expression of ChAT remains relatively unchanged. Interestingly, a study using

neurotoxin PD mouse models to deplete DA also showed a significant increase in striatal

SV2C mRNA levels [44]. Considering that an imbalance of DAergic and cholinergic signals in

the striatum is a feature of PD [45] and that SV2C has been linked by multiple studies to PD

[29,31,32,44,46], this adaptive change in Aux-KO/SJ1-KI[RQ] striata deserves further investigation.

In conclusion, we have reported here strong evidence for the hypothesis that mutations in SJ1

and auxilin may lead to PD via a similar pathogenetic mechanism. Several PD causative genes

have now been identified. The next goal is to identify the cellular process onto which these

genes functionally converge, as such identification will provide insight into pathogenetic

mechanisms with implication for therapeutic approaches. After the well-established

partnership of PINK1 and Parkin, the cooperation of SJ1 and auxilin is the second clear

example of functional partnership between two PD genes. Interestingly, we previously
reported a synergistic function of SJ1 with another phosphoinositide phosphatase, Sac2,
which GWAS studies had indicated as a candidate PD risk gene. Thus, we anticipate that
further studies of auxilin and SJ1 will provide valuable insight into mechanisms that are at the
core of at least some form of PD.
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484 **Figure Legends**
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Figure 1. Aux-KO mice exhibit parkinsonism-like phenotypes with dystrophic changes
in the DAergic axon terminals in the dorsal striatum.
(A) Hindlimb clasping phenotype of 4-month-old Aux-KO mouse.
(B) 2-month-old Aux-KO mice have missteps while walking on the balance beam.
(C) Quantification for the number of missteps during the balance beam test for three age
groups of Aux-KO mice. Aux-KO mice only exhibit mild fine motor deficits at 2-4 months
compared to the Ctrl group. Data are represented as mean ± SEM (** p<0.01, by unpaired t
test). N numbers for the Ctrl (2-4M: 15, 5-8M: 7 and >9M: 8) and Aux-KO mice (2-4M: 14, 5-
8M: 8 and >9M: 13).
(D) Performance of three age groups of Aux-KO mice on the accelerated rotarod. Data are
represented as mean ± SEM. N numbers used for each age group of the Ctrl and Aux-KO
mice (2-4M: 15 and 13; 5-8M: 9 and 11; >9M: 11 and 15).
(E) Double staining for TH and DAT of 1-month-old Ctrl and Aux-KO mice shows selective
clustering of these two DAergic markers only in the dorsal striatum.
(F and H) Age-dependent decrease of the number of TH/DAT-positive clusters in the dorsal
striatum of Aux-KO and SJ1-KI^{RQ} mice is observed respectively at both 5-month and 12-month.
(G and I) Quantification for TH and DAT clusters shown in (F) and (H). The number of clusters
is counted in five randomly selected, 300 x 300-µm regions of interest (ROIs). Data are
represented as mean ± SEM (** p<0.01, and *** p<0.001, and **** p<0.0001 by two-way
ANOVA with post-hoc Tukey's test).
506
507 **Figure 2. LOF of both Auxilin and SJ1 in clathrin-mediated SV recycling exacerbate**
508 **neurological defects in Aux-KO/SJ1-KI^{RQ} mice.**
509 (A) Schematic diagram displaying the role of Auxilin (PARK19) and SJ1 (PARK20) in clathrin-
510 mediated SV recycling.
511 (B) Survival curves of wild-type (WT), Aux-KO, SJ1-KI^{RQ}, and Aux-KO/ SJ1-KI^{RQ} mice.

(C) Representative images of immunoreactivity for CLC, AP2, SJ1, Amphiphysin 2 and Amphiphysin 1 in DIV19 cultured primary cortical neurons from Ctrl, Aux-KO, SJ1-KI^{RQ} and Aux-KO/ SJ1-KI^{RQ} newborn pups.

(D) Quantification of synaptic clusters intensity shown in (C). Data are represented as mean ± SEM (* $p<0.05$, ** $p<0.01$, *** $p<0.001$; **** $p<0.0001$ by one-way ANOVA with post-hoc Tukey's test). The number of neurons quantified for each endocytic marker is as follow: Ctrl: n=43-49, Aux-KO: n=32-52, SJ1-KI^{RQ}: n=35-37, Aux-KO/SJ1-KI^{RQ}: n=39-44 from 4-6 independent experiments.

(E) Immunostaining of amphiphysin 1 with Gad65 (inhibitory presynaptic marker) in DIV19 cortical neurons revealed that these synaptic clustering of endocytic proteins occur predominantly in GABAergic neurons.

Figure 3. Accumulation of CCVs and empty clathrin cages in nerve terminals of Aux-KO, SJ1-KI^{RQ}, and Aux-KO/SJ1-KI^{RQ} mice.

(A) Representative EM micrographs of nerve terminals from the dorsal striatum of single and double mutant mice. Red and black arrows point to CCVs and empty clathrin coated cages (EC) respectively. Scale bar: 250 nm.

(B) Morphometry analysis of the number of SVs, CCVs, and ECs per pre-synaptic area in the dorsal striatum (Ctrl n=124, Aux-KO n=156, SJ1-KI^{RQ} n=198, and Aux-KO/SJ1-KI^{RQ} n=120). Each dot represents one nerve terminal. Data are represented as mean ± SD. * $p<0.05$; ** $p<0.01$; **** $p<0.0001$ (One-way ANOVA with Games-Howell's multiple comparison test).

(C) Examples of Purkinje nerve terminals in the deep cerebellar nuclei of Aux-KO/SJ1-KI^{RQ} mouse showing the striking accumulation of assembled clathrin (both ECs and CCVs). The tight packaging of SVs (middle and right images) was previously reported in nerve terminals of SJ1-KI^{RQ} mice (Cao et al. Fig. S3D) and may reflect dystrophic changes, Scale bar: 500 nm.

Figure 4. DAergic axon terminals undergo dystrophic changes in both dorsal and ventral striatum of Aux-KO/SJ1-KI^{RQ} mice.

(A-B) Representative images for TH and DAT in cryopreserved-sections for 4 genotypes in (A) dorsal striatum and (B) nucleus accumbens (NAc) which is part of the ventral striatum.

(C-D) Quantification for the average number of DAT and TH clusters above 5μm^2 in (C) dorsal striatum and (D) ventral striatum. The number of clusters is calculated in five regions of interest (ROIs) which were selected at random for quantification of each mouse. Data are represented as mean ± SEM (* $p<0.05$, ** $p<0.01$, *** $p<0.001$; **** $p<0.0001$ by two-way ANOVA with post-hoc Tukey's test). Ctrl: n=3, Aux-KO: n=4, SJ1-KI^{RQ}: n=3, and Aux-KO/SJ1-KI^{RQ}: n=4.

Figure 5. EM micrographs showing multilayered onion-like membrane structures in the dorsal striatum of Aux-KO, SJ1-KI^{RQ}, and Aux-KO/SJ1-KI^{RQ} mice.

These structures, which are positive for TH immunoreactivity (see Supplementary Fig 6), appear to result from invaginations of the plasma membrane as indicated by red arrowheads. Note in the bottom right field presence of a cluster of SVs (black arrowheads) and scattered CCVs (red arrows) and empty clathrin cages (black arrows), confirming that these structures represent dystrophic changes of nerve terminals. Scale bar: 500 nm.

Figure 6. Accumulation of other proteins with TH/DAT in dystrophic DAergic nerve terminals in Aux-KO/SJ1-KI^{RQ} mice.

(A) Double staining of DAT with AADC, a DA catabolism enzyme showed colocalization in the striatum of Aux-KO/SJ1-KI^{RQ} mice.

(B) Double staining of DAT with SNAP25, a plasma membrane SNARE protein showed colocalization in the striatum of Aux-KO/SJ1-KI^{RQ} mice.

(C-D) Double staining of SV2C (C) and SV2B (D) reveals that SV2C is specifically accumulated in TH/DAT positive clusters in the striatum of Aux-KO/SJ1-KI^{RQ} mice, but not its family member SV2B.

(E-F) Double staining of clathrin light chain (E) and endophilin (F) reveals that both endocytic proteins do not colocalize with TH/DAT-positive clusters in the striatum of Aux-KO/SJ1-KI^{RQ} mice.

Figure 7. Adaptive changes of TH-positive interneurons and SV2C-positive cholinergic interneurons in Aux-KO/SJ1-KI^{RQ} striatum.

(A) Immunostaining of TH (green) and DAT (red) reveals presence of TH-positive/DAT-negative neurons in the 1-month-old Aux-KO/SJ1-KI^{RQ} striatum.

(B) Quantification for the total number of THINs in the striatum. Data are represented as mean ± SEM (** $p<0.01$ by one-way ANOVA with post-hoc Tukey's test). Ctrl: n=3, Aux-KO: n=4, SJ1-KI^{RQ}: n=3, and Aux-KO/SJ1-KI^{RQ}: n=4.

(C) THINs also show immunoreactivity towards anti-AADC antibody.

(D) Representative images of SV2C and TH staining in the dorsal striatum of 1-month-old Aux-KO/SJ1-KI^{RQ} mice. TH and SV2C were observed to be expressed in separate neuron populations. SV2C-positive/TH-negative neurons are marked by white arrows.

(E) Double staining of striatal region with cholinergic marker ChAT and SV2C shows overlap between the two in a specific neuron subset, indicating that the SV2C-positive neurons are giant striatal ChINs.

(F) Whole-striatum imaging reveals a subset of ChAT-positive ChINs that exhibit striking upregulation of SV2C in Aux-KO/SJ1-KI^{RQ} mice compared to control.

586 (G) Quantification for percentage of ChAT-positive interneurons with increased SV2C
587 expression, as well as individual intensity of SV2C and ChAT in striatal ChINs. For each
588 individual mouse, 20 ChINs across the striatum were randomly selected for quantification.
589 Data is represented as mean ± SEM (* $p<0.05$ and ** $p<0.01$, by Student's unpaired t test).
590 Ctrl: n=3 and Aux-KO/SJ1-KI[RQ]: n=3.
591
Methods and Materials
Animals
Both the Aux-KO (RRID: MMRRC_036980-JAX) and SJ1-KI[RQ] mice were generated as
described before [21,26]. These two mouse models were crossed with each other to generate the
Aux-KO/SJ1-KI[RQ] double-mutant mice. Mice were housed in SPF rooms with a 12-hour
light/dark cycle. All experiments involving animals were conducted according to the protocol
approved by SingHealth Institutional Animal Care and Use Committee (IACUC).
599
Antibodies
The following primary antibodies were obtained from Dr Pietro De Camilli's lab at Yale
University: rabbit anti-SJ1, rabbit anti-Auxilin, mouse anti-Amphiphysin 1, mouse anti-Clathrin
Heavy Chain, rabbit anti-pan-Dynamin, rabbit anti-pan-Endophilin, mouse anti-GAD65, rabbit
anti-SNAP25, rabbit anti-Synapsin, mouse anti-VAMP2, rabbit anti-Synaptophysin and mouse
anti-Syt1. The other antibodies used in this study were obtained from commercial sources as
stated: rabbit anti-LRRK2 (ab133474, RRID: AB_2713963) from Abcam; mouse anti-α-
synuclein (610786, RRID: AB_2748880), mouse anti-AP2 (611350, RRID: AB_398872) and
mouse anti-Hip1R (612118, RRID: AB_399489) from BD Biosciences; rabbit anti-DARPP-32
(2306, RRID: AB_823479) from Cell Signaling Technology; mouse anti-α-adaptin (MA1-064,
AB_2258307) from Life Technologies; mouse anti-Amph2 (05-449, RRID: AB_309738), goat
anti-ChAT (AB144P, RRID: AB_2079751), mouse anti-CLC (AB9884, RRID: AB_992745), rat
anti-DAT (MAB369, RRID: AB_2190413), mouse anti-SV2C (MABN367, RRID: AB_2905667)
and rabbit anti-TH (AB152, RRID: AB_390204) from Merck Millipore; mouse anti-β-actin (sc-
47778, RRID: AB_2714189) and mouse anti-Hsc70 (sc-7298, RRID: AB_627761) from Santa
Cruz Biotechnology; rabbit anti-Auxilin (HPA031182, RRID: AB_10611957), rabbit anti-GABA
(A2052, RRID: AB_477652), rabbit anti-GFAP (ZRB2383, RRID: AB_2905668) and rabbit
anti-SJ1 (HPA011916, RRID: AB_1857692) from Sigma-Aldrich; rabbit anti-Amphiphysin 1
(120002, RRID: AB_887690), rabbit anti-AADC (369003, RRID: AB_2620131), rabbit anti-
SV2B (119102), rabbit anti-SV2C (119202, RRID: AB_887803), rabbit anti-Synaptogyrin 3
(103 302, RRID: AB_2619752), and rabbit anti-Syt11 (270003, RRID: AB_2619994) from
Synaptic Systems; rabbit anti-Iba1 (019-19741, RRID: AB_839504) from FUJIFILM Wako
Chemicals.

Secondary antibodies used were all purchased from commercial sources as stated: donkey anti-mouse IgG (H+L) Alexa Fluor 594 (A21203, RRID: AB_141633), goat anti-mouse IgG (H+L) Alexa Fluor 488 (A11001, RRID: AB_2534069), goat anti-mouse IgG (H+L) Alexa Fluor 594 (A11032, RRID: AB_2534091), goat anti-mouse IgG (H+L) Alexa Fluor 647 (A21236, RRID: AB_2535805), donkey anti-rabbit IgG (H+L) Alexa Fluor 488 (A21206, RRID: AB_2535792), goat anti-rabbit IgG (H+L) Alexa Fluor 488 (A11034, RRID: AB_2576217), goat anti-rabbit IgG (H+L) Alexa Fluor 594 (A11037, RRID: AB_2534095), goat anti-rabbit IgG (H+L) Alexa Fluor 647 (A21244, RRID: AB_2535812), goat anti-rat IgG (H+L) Alexa Fluor 488 (A11006, RRID: AB_2534074), goat anti-rat IgG (H+L) Alexa Fluor 594 (A11007, RRID: AB_10561522) and donkey anti-goat IgG (H+L) Alexa Fluor 488 (A11055, RRID: AB_2534102) from Life Technologies and IRDye 800CW donkey anti-rabbit IgG (926-32213, RRID: AB_621848), IRDye 800CW donkey anti-mouse IgG (926-32212, RRID: AB_621847), IRDye 680RD donkey anti-mouse IgG (926-68072, RRID: AB_10953628) and IRDye 800CW goat anti-rat (926-32219, RRID: AB_1850025) from LI-COR Biosciences.

Motor Behavioral tests

Aux-KO and littermate control mice between 2-13 months old were used for balance beam and rotarod tests. The mice were divided into three age groups: 2-4 months old (n=13-15), 5-8 months old (n=7-12) and more than 9 months old (n=8-15) for each genotype. Both males and females were used for the behavioral assays. Both tests were conducted during the light period. The mice were allowed to acclimatize to the test for at least 30 min before each test.

Balance Beam Test

A narrow beam (10 mm width) was suspended 15 cm above a soft padding. Mice were placed on end of the beam and during their trip towards the other end, the number of missteps (paw slips) was recorded. The test was conducted thrice for each mouse and the average number of missteps was calculated.

Rotarod Test

Mice were placed on a rod which is rotating at 4 rpm with an acceleration to 40 rpm within 6 min. The duration of the mice staying on the rod is measured two times at 10 min intervals and the average 'latency to fall' was determined for each mouse.

Immunoblotting

Mouse brain tissues were homogenized in buffer containing 20 mM Tris-HCl (pH 7.4), 150 mM NaCl, 2 mM EDTA and cOmplete™ EDTA-free Protease Inhibitor Cocktail (Roche, USA). The homogenized samples were centrifuged at 700 g for 10 min to obtain the post-nuclear supernatant (PNS). Protein concentration was determined by the Pierce BCA Protein Assay

Kit. SDS-PAGE and western blotting were performed by standard procedures. The

membranes were blotted with primary antibodies and then IRDye® Secondary Antibodies (LI-

COR, USA). The protein bands were detected using Odyssey ® CLx imaging system and its

intensity was quantified via Image Studio Lite software (Ver 5.2, RRID: SCR_013715). A

minimum of three independent sets of samples were used for quantification.

Primary Cortical Neuron Culture and Staining

Cultures of cortical neurons were prepared from P0 to P2 neonatal mouse brains by previously

described methods (Ferguson et al., 2007) and used at DIV19. Cortical tissue was dissected

out, placed in ice-cold HBSS, and diced into small pieces of less than 1 mm^3 per piece. Tissue

was then digested for 30 min in an activated enzyme solution containing papain (20 U/ml) and

DNase (20 μg/ml) at 37°C, followed by gentle trituration. The cell suspension is centrifuged at

300 g for 5 min. The cell pellet is resuspended and plated onto poly-d-lysine-coated coverslips

to a density of 60,000 cells/cm^2. 2-3 hours after plating, the medium was exchanged to

Neurobasal/B27 serum-free medium, and cells were maintained at 37°C in a 5% CO2

humidified incubator. Cells were fixed with 4% paraformaldehyde (PFA) and 4% sucrose in 1

X PBS for 20 min, followed by 10 min incubation with 50 mM NH$_4$Cl, then blocked and

permeabilized with 5% bovine serum albumin (BSA), 1 X phosphate-buffered saline (PBS)

and 0.1% Triton X-100. Primary and secondary antibody incubations were subsequently

performed in the same buffer. Alexa 488, 594 and 647 conjugated secondary antibodies were

purchased from Invitrogen. After washing, samples were mounted on slides with Fluoromount-

G (Invitrogen). Samples were observed and imaged on a spinning disk system (Gataca

Systems) based on an inverted microscope (Nikon Ti2-E; Nikon) equipped with a confocal

spinning head (CSU-W, Yokogawa) and a Plan-Apo 60x oil TIRF objective.

Brain Histology and Immunofluorescence

Mice were anesthetized with a Ketamine/Xylazine anesthetic cocktail injection, perfused

transcardially with ice-cold 4% PFA in 1X PBS and the brains were kept in the same fixative

overnight at 4°C. On the next day, brains were transferred to 30% sucrose in 1 X PBS and

kept overnight at 4°C on top of a roller. Brains were then embedded in OCT (Tissue-Tek) and

freeze in liquid nitrogen-cooled 2-methylbutane (Isopentane). Coronal or sagittal sections of

20 μm thickness were cut with a cryostat. The sections are either mounted on adhesive slide,

SuperFrost® Plus (VWR) or collected as floating sections in 1 X PBS in a 24-well plate.

Sections were then blocked in 5% BSA and 0.1% Triton X-100 in 1 X PBS for 1 hour at room

temperature. After blocking, sections were incubated with primary antibodies (diluted in the

same buffer) and kept overnight at 4°C. Subsequently, sections were washed 3 times for 10

min with 0.1% Triton X-100 in 1 X PBS, then incubated with Alexa-conjugated secondary

antibodies for 1 hour at room temperature. Finally, the sections were mounted with Fluoromount-G (Invitrogen) and sealed with nail polish. The floating sections were mounted onto slides after all the staining procedures. Images were acquired with a spinning disk system (Gataca Systems) based on an inverted microscope (Nikon Ti2-E; Nikon) equipped with a confocal spinning head (CSU-W, Yokogawa) and a Plan-Apo 40x oil objective.

Quantification of Immunoreactivity Clustering and TH-positive Striatal Interneurons

The endocytic protein clustering quantification was conducted on the Fiji software (Version ImageJ 1.52p/Java 1.8.0_172 (64-bit), RRID: SCR_002285) as follows. The same threshold intensity was applied to all images and a random region of interest with an area of 500 μm^2 was manually selected. A mask was used to selectively quantify puncta larger than 1 μm^2. The Fiji plugin "Analyze particles" was then used to measure average fluorescence intensity of the puncta.

The quantification of TH/DAT-positive clustering in striatum was also done similarly using Fiji software. In this case, for each mouse, 5 random sampling sites were selected respectively for both dorsal and ventral striatum. At least three mice from each genotype and each age group were collected for this purpose. The threshold setting for the clusters was set to quantify puncta bigger than 5 μm^2 to ensure normal positive axonal terminals were not counted in. The average number of clusters was counted automatically with Fiji plugin "Analyse Particles" based on all five random sampling sites for each mouse.

Quantification of the THINs were done with the same images used to quantify TH/DAT positive clusters. The number of TH positive cell bodies were counted from the 5 random sampling sites selected for the dorsal and ventral striatum respectively.

Quantification of Striatal Cholinergic Interneurons (ChINs)

The number of ChINs was quantified from individual coronal sections of the striatum double stained with ChAT and SV2C. Image acquisition involved tiling of the entire section using a 20x Air lens, keeping the same laser intensity and exposure for both channels on MetaMorph Microscopy Automation and Image Analysis Software (RRID: SCR_002368). Stitched images were then set to the same threshold intensity for each individual channel in Fiji software (Version ImageJ 1.52p/Java 1.8.0_172 (64-bit), RRID: SCR_002285). Cell Counter plugin was used to count visible cell bodies across the striatum (outlined on the basis of Allen mouse brain atlas). Percentage ratio of the cell count from individual channels was used as a measure of ChINs upregulating SV2C.

Quantification of ChAT and SV2C intensities in ChINs was done with the same images used

for quantifying number of cholinergic interneurons. Manually drawn ROIs were used to analyse

the intensities of 20 neurons randomly selected across the striatum. Corrected total cell

fluorescence (CTCF) was calculated and the intensities of each channel were normalised to

control levels. For both genotypes, three individual mice were analysed for this purpose.

Electron Microscopy (EM)

All EM reagents were from EMS, Hatfield, PA, unless noted otherwise. 1-3 months old mice

were anesthetized and fixed by transcardial perfusion with 4% formaldehyde and 0.125%

glutaraldehyde in 0.1 M PB buffer. Brain were removed and dissected in small pieces (0.5 ×

0.5 x 0.5 mm^3) and further incubated in the same fixative at 4 °C overnight. Brain tissues were

further incubated in 2.5% glutaraldehyde in 0.1 M sodium cacodylate buffer for additional 1

hour at room temperature, post-fixed (1 hour) in 2% OsO4, 1.5% K4Fe(CN)6 (Sigma-Aldrich,

St. Louis, MO) in 0.1M sodium cacodylate buffer, stained (overnight) with 2% aqueous uranyl

acetate at 4 °C, dehydrated in gradually increasing concentration of EtOH, and embedded in

Embed 812. Ultrathin sections, about 60 nm thick, were cut with a Leica ultramicrotome and

examined in a Talos L120C TEM microscope at 80 kV. Images were taken with Velox software

and a 4k × 4K Ceta CMOS Camera (Thermo Fisher Scientific). For quantification, images of

synapses were selected based on the presence of an active zone. The number of SVs

(diameter $\leq$ 80nm), CCVs, and clathrin cages was measured in more than 120 synapses for

each control and mutant condition. These values were normalized to the cross-sectional area

of the presynaptic terminal. Results of the morphometric analysis are presented as mean ±

SD. Statistical significance was evaluated using one-way ANOVA followed by Games-

Howell's multiple comparison test.

Immunogold labeling

Aux-KO/SJ1-KI^{RQ} mice were transcardially perfused with 4% formaldehyde and 0.125%

glutaraldehyde in 0.1M phosphate buffer (pH 7.4). Dorsal striata were dissected and

embedded in 1% gelatin in 0.1M phosphate buffer. Tissue pieces were trimmed, infiltrated in

2.3M sucrose, and then were frozen rapidly onto aluminium pins in liquid nitrogen. 60nm

frozen sections on carbon/formvar coated grids were prepared with a Leica Cryo-EMUC6

UltraCut microtome. Sections were labelled with rabbit anti-Tyrosine Hydroxylase (TH) and

10nm Protein A gold (Utrecht Medical Center) (Tokuyasu K.T., 1973). Grids were examined

in FEI Tecnai Biotwin TEM at 80Kv. Images were taken with Morada CCD and iTEM (Olympus)

software.

Statistical Analysis

All statistical analysis was performed using GraphPad Prism (Ver 9.3.1, RRID: SCR_002798). All graphs were also plotted on GraphPad Prism. Data are presented as mean ± SEM unless otherwise stated. Statistical significance was determined using the Student's unpaired *t* test for the comparison of two independent groups or ANOVA with Tukey's Honest Significant Difference or Games-Howell's post hoc test for multiple group comparisons. Data with *p*-values <0.05, <0.01, <0.001, and <0.0001 are represented by asterisks *, **, ***, and ****.

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Author Contributions

X.Y.N., P.D.C. and M.C. designed the experiments. X.Y.N., Y.W., Y.L., S.M.Y and M.C. performed the experiments. X.Y.N., P.D.C. and M.C. wrote the paper. L.E.G. provided Aux-KO mice. All authors read and approved the final manuscript.

Competing interests

The authors declare no competing interests. Pietro De Camilli is a member of the Scientific Advisory Board of CASMA Therapeutics.

Supplementary Figure Legends

Supplementary Figure 1. Aux-KO/SJ1-KI^{RQ} mice are smaller in size but have normal brain development and architecture.

(A-B) Image of the size of P25 Aux-KO/SJ1-KI^{RQ} mice comparing with its littermate single mutants; (A) Aux-KO and (B) SJ1-KI^{RQ}.

(C) H&E staining reveals absence of gross structural abnormality in various regions (cortex, hippocampus, striatum and cerebellum) of 1-month-old SJ1-KI^{RQ}, Aux-KO and Aux-KO/SJ1-KI^{RQ} mice brain.

Supplementary Figure 2. Absence of gliosis in Aux-KO/SJ1-KI^{RQ} brain.

(A and B) No obvious difference in immunostaining intensity of (A) Iba1-microglia marker and (B) GFAP-astrocytes marker in different regions of 1-month-old Ctrl, SJ1-KI^{RQ}, Aux-KO and Aux-KO/SJ1-KI^{RQ} mice brain.

Supplementary Figure 3. Western blot analysis of endocytic proteins, synaptic proteins, DAergic markers and PD-related proteins in mouse brains.

(A) Representative blots for various proteins involved in PD and synaptic endocytosis from 1-month-old control, SJ1-KI^{RQ}, Aux-KO and Aux-KO/SJ1-KI^{RQ} whole brain homogenates.

(B) Quantification of expression levels of the proteins shown in (A). Protein levels were normalized to the level of Actin. Data are represented as mean ± SEM (* $p<0.05$, ** $p<0.01$, and *** $p<0.001$, by one-way ANOVA with post-hoc Tukey's test). Ctrl: n=3, Aux-KO: n=4, SJ1-KI^{RQ}: n=3, and Aux-KO/SJ1-KI^{RQ}: n=4.

Supplementary Figure 4. Presence of dystrophic changes only in the axon terminals of DAergic neurons but not the cell bodies of Aux-KO/SJ1-KI^{RQ} mice.

(A) Double staining of anti-TH and anti-AADC showed normal morphology of DAergic neuron cell bodies and dendrites in the midbrain.

(B) AADC (green) and DAT (red) immunostaining reveals the presence of AADC/DAT-positive aggregates in the olfactory tubercle (OT, part of ventral striatum) of Aux-KO/SJ1-KI^{RQ} mice.

Supplementary figure 5. Overview of cluster distribution in the striatum of Aux-KO, SJ1-KI^{RQ} and Aux-KO/SJ1-KI^{RQ} mice.

Tiling of a single coronal striatum section immunostained for DAT. White dotted line separates the dorsal and ventral striatum of the mice. Insets on the bottom left corner of the image showed high magnification images of the clusters for dorsal (pink, top) and ventral (purple, bottom) striatum for each genotype. Note the absence of clusters in the ventral striatum in all mice except the Aux-KO/SJ1-KI^{RQ} mice. Bottom right inset of the Aux-KO tiling image depicts the dorsal (pink) and ventral (purple) mouse striatum.

Supplementary figure 6. EM immunogold labelling of onion-like membrane structure in dorsal striatum of Aux-KO/SJ1-KI^{RQ} mice.

Anti-TH immunogold labelling (10 nm gold particles) of an ultrathin frozen sections of Aux-KO/SJ1-KI^RQ dorsal striatum. The multilayered membrane structures are positive for TH immunoreactivity.

Supplementary figure 7. Various other markers examined with TH/DAT-positive clusters.

(A-B) Representative images for immunoreactivity of anti-DAT or TH with (A) anti-AADC and (B) anti-SV2C in Aux-KO and SJ1-KI^RQ mice.

(C) Immunostaining of DAT with Syt1 showed partial colocalization in Aux-KO/SJ1-KI^RQ double mutant striatum.

(D-G) Double immunofluorescence of synapsin, GFAP, Iba1 and Darpp32 with TH/ DAT showed no colocalization in the striatum of Aux-KO/SJ1-KI^RQ mice.

Supplementary figure 8. Immunofluorescence analysis of SNAP25 in different brain regions.

(A) Immunostaining of SNAP25 (green) showed partial colocalization with DAT positve clusters (red) in the striatum of Aux-KO, SJ1-KI^RQ and Aux-KO/SJ1-KI^RQ mice.

(B) Immunoreactivity of anti-SNAP25 in the cortex of WT, Aux-KO, SJ1-KI^RQ and Aux-KO/SJ1-KI^RQ mice. No clusters of SNAP25 were observed in the cortex.

Supplementary figure 9. Properties of striatal THINs and ChINs in the striatum of Aux-KO/SJ1-KI^RQ mice.

(A) Immunoreactivity of anti-TH with anti-Darpp32 showed that THINs is a distinct group of neurons from Darpp32-positive MSNs.

(B) Double staining of anti-TH and anti-GABA showed that THINs are GABAergic striatal interneurons.

(C-D) Immunostaining of SV2C using a different anti-SV2C antibody (host species: Rabbit) labelled the same structures: (c) ChAT-positive ChINs and (d) SV2C/DAT-positive clusters in Aux-KO/SJ1-KI^RQ.

(E-F) A large microscope field of view showed the distribution of (e) SV2C-positive and (f) ChAT-positive interneurons in the striatum of WT and Aux-KO/SJ1-KI^RQ mice.

Supplementary figure 10. More severe DA dystrophic phenotype in 7-month-old Aux-KO/SJ1-KI^RQ mice.

Representative images for double immunofluorescence of TH/DAT, AADC/DAT and SV2C/DAT for all 4 genotypes at 7-month-old. The only Aux-KO/SJ1-KI^RQ that managed to survive till this age showed increased number of clusters, THINs and ChINs compared to the other 3 genotypes.

Supplementary Video Legends

Supplementary Video 1 Tonic-clonic epileptic seizures in a 5-month-old Aux-KO mouse.

Supplementary Video 2 Balance beam test in 2-month-old (A) Ctrl and (B) Aux-KO mice.

Supplementary Video 3 Balance beam test in 4-month-old (A) Ctrl, (B) Aux-KO/SJ1-WT,

(C) Aux-Ht/SJ1-KI^{RQ} and (D) Aux-KO/SJ1-KI^{RQ} mice. This 4-month-old Aux-KO/SJ1-KI^{RQ}

mouse is the same mouse that managed to survive till 7-month-old.

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1014

Fig 1

A

1 2 3 4

Synaptic vesicle fusion

5 SJ1/PARK20 dephosphorylate PI(4,5)P₂ to allow shedding of adaptor proteins

Auxilin/PARK19 recruits Hsc70 to destabilize the clathrin coat

8 Synaptic vesicle pool

7 Refilling of vesicles with neurotransmitters

Clathrin   Adaptor protein   Dynamin   PI(4,5)P₂-enriched membrane

B

Aux-KO/SJ1-KI^RQ Survival Curve

Survival (%) vs Days

— WT (n=23)
— Aux-KO (n=35)
— SJ1-KI^RQ (n=38)
— Aux-KO/ SJ1-KI^RQ (n=36)

C

CLC   AP2   SJ1   Amphiphysin 2   Amphiphysin 1

Ctrl

Aux-KO

SJ1-KI^RQ

Aux-KO/ SJ1-KI^RQ

5 µm

D

Ctrl
Aux-KO
SJ1-KI^RQ
Aux-KO/ SJ1-KI^RQ

Normalised level vs Intensity (×5)

E

Ctrl   Aux-KO   SJ1-KI^RQ   Aux-KO/ SJ1-KI^RQ

Amph1

Gad65

Amph1/ Gad65

5 µm

Fig 2

Fig 3

Fig 4

Fig 5

Fig 6

Fig 7

P21 H&E 10x

Supplementary Fig 1

Supplementary Fig 2

Supplementary Fig 3

Supplementary Fig 5

Supplementary Fig 5

Aux-KO/SJ1-KI^{RQ}

Anti-TH immunogold

Supplementary Fig 6

Supplementary Fig 7

A

WT Aux-KO

SJ1-KI^{RQ} Aux-KO/SJ1-KI^{RQ}

20 µm

Striatum

SNAP25/DAT

B

WT Aux-KO

SJ1-KI^{RQ} Aux-KO/SJ1-KI^{RQ}

20 µm

Cortex

SNAP25

Supplementary Fig 8

Supplementary Fig 9

7M

Supplementary Fig 10