Chromosomal integration of *bla*CTX-M genes in diverse *Escherichia coli* isolates recovered from river water in Japan

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ABSTRACT

Occurrence of extended-spectrum β-lactamase (ESBL)-producing *Escherichia coli* (ESBLEC)

in environmental waters is of great concern. However, unlike clinical ESBLEC, their genetic

characteristics, in particular the genetic contexts of ESBL genes, are not well understood. In

this study, we sequenced and analyzed the genomes of CTX-M-producing *E. coli* isolates

recovered from river water to fully characterize the genetic contexts of bla_{CTX-M} genes. Among

the 14 isolates with completed genomes, bla_{CTX-M} genes were detected on the chromosome in

nine isolates. All but one chromosomal bla_{CTX-M} genes were associated with IS*Ecp1* and were

carried on different transposition units (TPU) ranging in size from 2,855 bp to 11,093 bp; the

exception, $bla_{CTX-M-2}$, was associated with IS*CR1*. In many cases, the TPU were directly

inserted into chromosomes. However, in one case, the TPU was part of a 36-kbp

multiresistance region bracketed by IS*26*, and the whole multiresistance region was inserted

into the chromosome. We also found a case where a chromosomally-located TPU and an

adjacent region had transposed into another chromosomal location, leading to duplication of

bla_{CTX-M}. The remaining five isolates carried bla_{CTX-M} genes on epidemic IncI1 plasmids of

different STs (ST3, ST16, ST113, and ST167) (n = 4) or on an IncB/O/K/Z plasmid (n = 1).

This study revealed that environmental *E. coli* carry bla_{CTX-M} genes in diverse genetic contexts.

Apparent high prevalence of chromosomal bla_{CTX-M} potentially indicates that some

environmental *E. coli* can stably maintain bla_{CTX-M} genes in the absence of antibiotic selection

pressure, though further studies are needed to confirm this.

INTRODUCTION

Occurrence of antibiotic-resistant bacteria (ARB) in surface waters is a public health concern.

Among ARB, extended-spectrum-β-lactamase (ESBL)-producing *Escherichia coli* (ESBLEC)

represent a major threat to public health, because ESBLEC can be important causes of

community-onset infections for which treatment options are limited (1, 2). ESBL enzymes are

divided into several families (e.g., TEM, SHV, and CTX-M), among which CTX-M enzymes

have been recognized as the most common ESBL type (3). ESBL genes are often found on

plasmids and associated with mobile genetic elements such as transposons or insertion

sequences, enabling their movement within or between DNA molecules (3, 4). However, some

previous studies also detected ESBL genes on chromosomes and suggested that integration of

ESBL genes into chromosomes could contribute to the maintenance of ESBL genes in the

absence of antibiotic selection pressure (5-7). Although previous studies have reported the

occurrence of ESBLEC in environmental waters, limited information exists regarding the

locations (chromosome or plasmid) and genetic contexts of ESBL genes in environmental

ESBLEC (8-11).

In our previous work, we employed Illumina short-read sequencing technologies and

characterized 155 *E. coli* isolates obtained from the Yamato River in Japan (12). Among the

sequenced isolates, 18 carried *bla*CTX-M genes. However, the locations and genetic contexts of

the *bla*CTX-M genes could not be determined due to (i) the presence of repeat sequences in the

genomes and (ii) the presence of multiple dead ends in the short-read assembly graphs. Here,

the genomes of those 18 *E. coli* isolates with *bla*CTX-M genes were sequenced again with

Illumina short-read sequencing technology and also with Oxford Nanopore Technologies

(ONT) long-read sequencing platforms, aiming to elucidate the locations and genetic contexts

of the *bla*CTX-M genes. The genetic contexts of chromosomal *bla*CTX-M genes in the genomes of

environmental *E. coli* retrieved from the NCBI Reference Sequence Database (RefSeq) were

also characterized.

MATERIALS AND METHODS

Bacterial isolates.

We previously collected 531 *E. coli* isolates from the Yamato River in Japan between 2011

and 2013, and sequenced a subset of isolates (n = 155) using Illumina short-read sequencing

technology (12). Among the 155 isolates, 18 found to carry *bla*CTX-M genes were subjected to

the following analysis.

Antibiotic susceptibility testing.

Antibiotic susceptibility was assessed by microdilution using the dry plate Eiken assay (Eiken,

Tokyo, Japan) as described previously (see **Table S1** for antimicrobial agents used) (12). The

results were interpreted using CLSI criteria (13). ESBL production was confirmed following

the CLSI guidelines (13).

Genome sequencing and assembly.

DNA was extracted from each isolate using a DNeasy PowerSoil Pro Kit (Qiagen, Hilden,

Germany). The short-read sequencing library was prepared using the Illumina DNA Prep

(Illumina, San Diego, CA), and the library was sequenced on an Illumina NovaSeq 6000 system

for 500 cycles (250-bp paired-end reads). The long-read sequencing library was prepared using

the SQK-LSK109 kit (Oxford Nanopore Technologies, Oxford, UK), and the prepared library

was sequenced on the MinION with a FLO-MIN106 flow cell.

Illumina short reads were subsampled using seqtk (v1.3, https://github.com/lh3/seqtk), and the

subsampled reads were trimmed with fastp (v0.20.0) (14). ONT long reads were filtered with

Filtlong (v0.2.0, https://github.com/rrwick/Filtlong) to remove reads with low quality or short

length. Hybrid assembly of Illumina short reads and ONT long reads was performed by

employing a short-read-first approach, i.e., Unicycler (v0.4.8) with the --no_correct option (15).

Hybrid assembly was also performed using a long-read-first approach, i.e., long-read assembly

by Flye (v2.9) (16) followed by long-read polishing using Medaka (v1.4.4,

https://github.com/nanoporetech/medaka) and short-read polishing using Polypolish (v0.4.3)

(17). Assembly graphs of both hybrid assemblies were visualized using Bandage (v0.8.1) for

each isolate (18), and a better assembly was chosen as the final assembly.

Genomic analysis.

Genomes were annotated using the RAST server (19), ISfinder (20), ResFinder 4.1 (21), and

the BLAST algorithm (https://blast.ncbi.nlm.nih.gov/Blast.cgi). Plasmid replicons were

detected using PlasmidFinder 2.1 (22). Relaxase types, mate-pair formation types, and

transferability of plasmids were determined using MOB-typer (23). IncI1 plasmids were

annotated using plasmids R64, ColIb-P9, and R621a as references (24).

Characterization of environmental *E. coli* genomes with *bla*$_{\text{CTX-M}}$ genes in RefSeq.

RefSeq *E. coli* genomes with an assembly level of "complete genome" (n = 1903) were

downloaded in January 2022. Metadata information, such as collection date and isolation

source, was extracted from the GenBank files using an in-house Python script, and genomes of

E. coli from environmental waters were retrieved (n = 53). Antibiotic resistance genes were

detected using ABRicate (https://github.com/tseemann/abricate), and genomes carrying

bla$_{\text{CTX-M}}$ on the chromosome were analyzed as described above.

Data availability.

The complete genomes and sequence read files were deposited in GenBank and the NCBI SRA

under BioProject PRJNA800231 (also see **Table S2** for the accession number of each replicon

for the completed genomes and **Table S3** for SRA accession numbers of the remaining

genomes).

RESULTS AND DISCUSSION

Basic characteristics of *E. coli* isolates sequenced in this study.

All 18 *bla*$_{CTX-M}$-carrying isolates characterized in the present study were non-susceptible to

various antimicrobial agents such as ampicillin, cefpodoxime, and cefotaxime (**Table S1**).

ESBL production was confirmed in all the isolates. The 18 isolates were highly diverse,

comprising 16 different STs (see **Table S2** and **Table S3**). The *bla*$_{CTX-M}$ genes detected were

also diverse, namely, *bla*$_{CTX-M-14}$ (n = 8), *bla*$_{CTX-M-2}$ (n = 2), *bla*$_{CTX-M-15}$ (n = 2), *bla*$_{CTX-M-24}$ (n

= 2), *bla*$_{CTX-M-55}$ (n = 2), *bla*$_{CTX-M-1}$ (n = 1), *bla*$_{CTX-M-3}$ (n = 1), and *bla*$_{CTX-M-8}$ (n = 1). One isolate

(KTa008) carried two copies of the *bla*$_{CTX-M-14}$ gene.

Of the 18 isolates, the genomes of 14 could be completed. These genomes carried 0 to 8

plasmids (see **Table S2** for details). The genomes of the remaining four isolates could not be

completed, probably due to the presence of long repeats in the genomes (see **Table S3** for

information on the draft assemblies of these genomes).

Genetic contexts of chromosomal *bla*$_{CTX-M}$ genes.

The location and genetic contexts of the bla_{CTX-M} genes were determined for the 14 isolates

with completed genomes. Of these 14, nine carried bla_{CTX-M} genes on chromosomes and five

carried bla_{CTX-M} genes on plasmids.

In eight of the nine isolates which carried bla_{CTX-M} genes on chromosomes, the bla_{CTX-M} genes

were associated with IS*Ecp1* and carried on transposition units (TPU) of different sizes (2,855

bp to 11,093 bp) (**Figure 1**). These TPU were flanked by AT-rich 5-bp target site duplications

(TSD). None of the TPU were identical, and the insertion sites of the TPU were different in all

cases, indicating that different transposition events contributed to the chromosomal integration

of the TPU. Notably, the TPU in KFu023 contained multiple resistance genes, including bla_{CTX-}

$_{M-15}$, *aac(6′)-Ib-cr*, bla_{OXA-1}, and *ΔcatB3*. This seems to highlight the ability of IS*Ecp1* to

capture sequences of different lengths in different transposition events and thus simultaneously

mobilize antibiotic resistance genes of different origins (4). In most cases, these TPU were

directly inserted into chromosomes. However, in KKa019, the TPU was part of a 35,625 bp

multiresistance region bracketed by two copies of IS*26* (annotated as IS*15DI* in the ISFinder

database), and the whole multiresistance region was flanked by 8-bp TSD (CGTTGCCG) in

the chromosome (**Figure S1**). KTa008 carried two copies of $bla_{CTX-M-14}$, which were separated

by ~1,169 kbp in the chromosome. Analysis of the genetic contexts of these $bla_{CTX-M-14}$ genes

indicated transposition of an already chromosomally-located TPU and an adjacent region into

another chromosomal location (**Figure S2**). This type of 'recurrent' transposition was

previously reported in clinical ESBLEC, though the TPU and insertion sites were different (25).

We searched for *E. coli* genomes in the public database which carry the same TPU in the same

chromosomal location as those identified in the present study. BLASTN searches were

performed against the nucleotide collection (nr/nt) database in January 2022 using the TPU and

surrounding sequences identified in the present study as query sequences. Three of the nine

TPU/insertion site combinations were found in the database, while the remaining six were not

found, potentially corresponding to novel integration events (**Table S4**).

In KOr019, $bla_{CTX-M-2}$ was associated with IS*CR1* and situated within a complex class 1

integron, which is congruent with previous studies (4, 26). This complex class 1 integron was

closely related to a recently reported transposon Tn*7337* (**Figure S3**) (27). KOr019 carried the

IRt (inverted repeat at *tni* end)-IS*6100*-IRt structure, but this structure was truncated by IS*26*

in Tn*7337*. The 17,747 bp structure from IRi (inverted repeat at *intI*1 end) to IRt was inserted

into the chromosome of KOr019, creating 5-bp TSD of AAATG. This insertion seems to have

been mediated by Tni proteins provided *in trans*, since the *tni* genes in the complex class 1

integron were absent (4).

Genetic contexts of bla_{CTX-M} genes on plasmids.

The five completed plasmids with bla_{CTX-M} genes belonged to IncI1 (n = 4) and IncB/O/K/Z (n

= 1) (**Figure 2a**). IncI1 plasmids were typed as four different STs, namely ST3, ST16, ST113,

and ST167, by IncI1 pMLST (22). TPU were inserted into plasmid backbones in the IncI1/ST3,

IncI1/ST16, and IncI1/ST167 plasmids. Interestingly, the IncI1/ST16 and IncI1/ST167

plasmids carried the same TPU (except for insertion of IS*1* in the IncI1/ST16 plasmid) inserted

into the same location. IncI1/ST167 is a single-locus variant of IncI1/ST16, which indicates

the TPU was inserted into the plasmid backbone before the ST diversification. In the

IncI1/ST113 plasmid, an IS26-ΔIS*10R*-*bla*$_{\text{CTX-M-8}}$-IS*26* structure was inserted into the plasmid.

BLASTN analysis identified IncI1 plasmids belonging to the same IncI1-STs and carrying the

same TPU/IS*26* structures inserted into the same locations from different countries (e.g.,

MH847453 from France for *bla*$_{\text{CTX-M-1}}$-carrrying IncI1/ST3, CP056650 from the UK for

bla$_{\text{CTX-M-55}}$-carrrying IncI1/ST16, KY964068 from Portugal for *bla*$_{\text{CTX-M-8}}$-carrrying

IncI1/ST113, CP021208 from China for *bla*$_{\text{CTX-M-55}}$-carrrying IncI1/ST167), which indicates

circulation of epidemic CTX-M IncI1 plasmids in geographically distant countries.

Comparison of IncI1 plasmids revealed the replication region, the leading region, and the

transfer regions in the IncI1 reference plasmid R64 were well conserved in all IncI1 plasmids

detected in this study, while many genes in the stability region were lacking (**Figure 2b**). In

the IncB/O/K/Z plasmid, *bla*$_{\text{CTX-M-14}}$ was linked to ΔTn*1721* and a class 1 integron. Similar

genetic contexts were previously reported (e.g., JF701188).

Chromosomal location of *bla*$_{\text{CTX-M}}$ in the RefSeq assemblies.

Among the 53 RefSeq environmental *E. coli* genomes, 20 carried *bla*CTX-M. Sixteen carried

*bla*CTX-M on plasmids and four carried *bla*CTX-M on chromosomes (**Table S5**). The four genomes

with chromosomal *bla*CTX-M belonged to ST38 (n = 2), ST130 (n = 1) and ST648 (n = 1) and

originated from various countries (Japan, New Zealand, Norway, and Switzerland), which

indicates diverse *E. coli* isolates carrying chromosomal *bla*CTX-M are also present in

environmental waters in other countries. In all four genomes, *bla*CTX-M was associated with

TPU ranging from 2,971 bp to 13,552 bp. A TPU containing multiple resistance genes besides

*bla*CTX-M and a TPU inserted into a multiresistance region were detected, again highlighting the

importance of these mechanisms in the emergence of multidrug resistant *E. coli* in the

environment (see **Figure S4** for the analysis of the genetic contexts of *bla*CTX-M in these

genomes).

ST38, which was detected in two RefSeq genomes containing chromosomal *bla*CTX-M, was also

detected in two isolates with chromosomal *bla*CTX-M sequenced in this study. Interestingly,

ST38 was also found to be prevalent in some previous studies reporting chromosomal *bla*CTX-

M (6, 28, 29). The reason for the prevalence of ST38 among *E. coli* with chromosomal *bla*CTX-

M is unknown. However, multiple integration events seem to have been involved in the

acquisition of chromosomal *bla*CTX-M in ST38 (see **Figure 1**, **Figure S1**, and **Figure S4**), which

potentially indicates ST38 has genetic mechanisms that can promote chromosomal integration

of *bla*CTX-M.

Conclusions.

Here, we demonstrated that the genetic contexts of bla_{CTX-M} genes in environmental *E. coli* are

highly diverse in terms of the associated mobile elements (various TPU, IS*CR1*, and IS*26*) and

integration sites. This study also revealed that the chromosomal locations of bla_{CTX-M} genes

were unexpectedly frequent in environmental ESBLEC in Japan. Chromosomal integration of

bla_{CTX-M} might allow *E. coli* to stably maintain bla_{CTX-M} in the absence of antibiotic selection

pressure in environmental waters, though further studies are needed to confirm this.

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FIGURES

313   **Figure 1**. Genetic contexts of chromosomal *bla*CTX-M genes. Red arrows indicate antibiotic

314   resistance genes, gray arrows indicate mobile elements, and white arrows indicate other

315   genes. The blue shaded box indicates the regions with 100% nucleotide identity. The entire

316   resistance regions of KKa019 and KOr019 are shown in **Figure S1** and **Figure S3**,

317   respectively. 5-bp TSD are shown next to each TPU. hp: hypothetical protein.

Figure 2. (a) Genetic contexts of *bla*CTX-M genes on plasmids. (b) Mauve comparison of IncI1

plasmids (30). IncI1 reference plasmid R64 is shown at the top. Four major regions in R64

321   (replication, stability, leading, and conjugative transfer) are indicated. Homologous segments

322   are shown as colored blocks. Locations of *bla*CTX-M genes are indicated with arrows.