Host phylogeny, but not diet diversity, influences gut microbiome composition in breeding

wood-warblers

Running title: warbler gut microbiomes

Marcella D. Baiz[1*], Andrea Benavides C.[1], Eliot T. Miller[2], Andrew W. Wood[1], David P. L.

Toews[1]

[1]Pennylvania State University, Dept. of Biology, 208 Mueller Laboratory, University Park, PA

16802

[2]Cornell Lab of Ornithology, 159 Sapsucker Woods Rd., Ithaca, NY 14850

[*]Corresponding Author: Marcella D. Baiz, marcelladbaiz@gmail.com

Abstract

Understanding the factors that shape microbiomes can provide insight on the importance of host-

symbiont interactions and on co-evolutionary dynamics. Unlike for mammals, previous studies

have found little or no support for an influence of host evolutionary history on avian gut

microbiome diversity and instead have suggested a greater influence of the environment or diet.

Because effects of different factors may be conflated by captivity and sampling design,

examining natural variation using large sample sizes is important. Our goal was to overcome

these limitations by sampling wild birds to compare environmental, dietary, and evolutionary

influences on gut microbiome structure. We performed fecal metabarcoding to characterize both

the gut microbiome and diet of fifteen wood-warbler species across a four-year period and from

two geographic localities. We find host taxonomy generally explained ~10% of the variation

between individuals, which is ~6-fold more variation of any other factor considered, including

diet diversity. Further, gut microbiome similarity was more congruent with the host phylogeny

than with host diet similarity, and diet diversity was not associated with microbiome diversity.

Together, our results suggest evolutionary history is the strongest predictor of gut microbiome

differentiation among wood-warblers. Although the phylogenetic signal of the warbler gut

microbiome is not very strong, our data suggest a stronger influence of diet (as measured by diet

diversity) does not account for this pattern. The mechanism underlying this phylogenetic signal

is not clear, but we argue host traits may filter colonization by microbes despite small host body

size (and fast gut turnover) which is characteristic of wood-warblers.

*Keywords***:** phylosymbiosis, metabarcoding, 16S, COI, Parulidae, birds

Introduction

Microorganisms that form intimate associations with their hosts can take part in important physiological functions. In particular, the gut microbiome—the community of microbes that colonize the gastrointestinal tract—has been linked to host behavior, immune function, metabolism, and disease (Sommer & Backhed 2013, Suzuki 2017).

The taxonomic composition of the gut microbiome can vary, sometimes dramatically within and between host species (Loo et al. 2019, Grond et al. 2019, Song et al. 2020), as well as within-individuals over short timescales (Videvall et al. 2019, Skeen et al. 2021). However, when host-microbe associations are long-term, gut microbiomes are expected to be species-specific and their assembly to be dependent on host divergence (Brooks et al. 2016). Consistent with this, host evolutionary history, in addition to diet, has been implicated as one of the strongest factors driving vertebrate gut microbiome dissimilarity (Youngblut et al. 2020).

Recent studies have strongly supported a positive correlation between host species divergence and gut microbiome divergence—known as "phylosymbiosis"—particularly for insects and non-flying mammals (Brooks et al. 2016, Song et al. 2020). However, in birds, differences in gut microbiome structure between species are less pronounced (Song et al. 2020). Despite species-level differences in gut microbiota of New Guinean passerines, Bodawatta et al. (2021a) did not find an influence of host phylogeny on gut microbiome structure. This is in contrast, however, to a study on passerines breeding in the Czech Republic (Kropáková et al. 2017) and a study on cranes in captivity which fond a weak influence of host phylogeny, and only when examining female individuals (Trevelline et al. 2020).

A favorable hypothesis to explain this marked difference in phylosymbiosis between bird and non-flying mammal gut microbiota is that because birds evolved a reduced and simplified

gastrointestinal tract as an adaptation to flight, they have highly reduced gut retention times from

consumption of food to defecation. This reduced retention time and simplified gut environment

may favor high turn-over in the avian gut microbiome, and a larger role of the diet and

environment over host taxonomy in the structuring of the gut microbiome.

In Darwin's finches, gut microbiome communities cluster more strongly by host habitat

than by host species (Loo et al. 2019). Host diet in this group, which is known for adaptive

divergence in beak morphology that is linked to foraging ecology, shows a similar influence on

gut microbiome variation as does host phylogeny (Loo et al. 2019). Further, the gut microbiome

of the vampire finch, a diet specialist, is highly divergent from other species (Michel et al. 2018).

Similarly, captive birds tend to have distinct gut microbiota from their wild counterparts (San

Juan et al. 2021). These studies support a strong role of the environment, including diet, in

shaping the avian gut microbiome.

Although many studies have detected effects of diet on the avian gut microbiome (Xiao et

al. 2021, Bodawatta et al. 2021a, Davidson et al. 2020, Knutie 2020, Teyssier et al. 2020), few

have analyzed host diet beyond broad categorizations of diet type (e.g., omnivore versus

insectivore) and/or included birds that were fed standardized and non-natural diets. Further,

many studies that have assessed species-specific differences in gut microbiome structure have

had limited sample sizes including only one or a few individuals per species or included data

collected and sequenced at different times or in different ways. To gain a holistic picture of the

effects of host diet, evolutionary history, and geography on gut microbiome structure, it will be

necessary to sample natural populations using standardized methods. Understanding the factors

that shape the avian gut microbiome is important for understanding host-symbiont interactions

and co-evolutionary dynamics, and how these dynamics may differ from other taxonomic groups

of animals (i.e., mammals). The role of the gut microbiome in host evolutionary processes is

largely unexplored and its potential role in facilitating and responding to avian host adaptive

radiation—where species diversification is tied to ecological differentiation—is a major

outstanding question (Bodawatta et al. 2021b).

Here, we characterize the gut microbiome of parulid wood-warblers breeding in sympatry

in Eastern North America across a 4-year period and examine factors that may play a role in

shaping gut microbiome structure. Parulidae is a passerine radiation of >100 insectivorous

species that evolved rapidly in the last 7 MY (Lovette et al. 2010, Barker et al. 2015), and is a

classic model for studies of ecological differentiation, including diet niche partitioning

(MacArthur 1958). In the current study, we use 16S fecal metabarcoding to examine gut

microbiomes of 15 species representing 7 genera (Figure 1a). Our aims are to characterize the

"core" parulid gut microbiota (a common set of microbes across individuals) and to quantify

differences in gut microbiome composition between hosts. We predict that due to genetic and

ecological differentiation among host species, variation in the gut microbiome will be largely

explained by host taxonomy. We also examine the relationship between gut microbiome

diversity and diet diversity by analyzing COI metabarcoding sequences amplified from fecal

samples of these same individuals. With the presumption that a diet characterized by a high

diversity of arthropods will incur ingestion of a greater diversity of bacteria—either associated

with arthropod hosts, or the environments in which they are found—we predict that diversity of

the warbler gut microbiome and diet will be positively correlated. Further, we also explicitly test

the prediction of phylosymbiosis, where host phylogenetic relatedness should correlate with gut

microbiome similarity. Finally, we test for an environmental signal in the structuring of host gut

microbiomes by examining effects of sampling year, locality, and diet specialization.

Materials and Methods

Sample collection and DNA extraction

We used mist nets to capture birds during four consecutive breeding seasons (May-July

2017-2020). In all years, we targeted sampling locations in Adirondack Park, New York, and in

2019 and 2020, we also sampled birds in central Pennsylvania (Figure S1, Table 1). Upon

capture, we held individuals inside a brown paper bag for up to 10 minutes to allow ample time

for excretion inside the bag before removal and subsequent banding. We removed feces by

scraping it from the inside of the bag directly into a sample tube containing lysis buffer (100 mM

Tris pH 8; 100 mM Na $_2$ EDTA, 10 mM NaCl; 0.5% sodium dodecyl sulfate; White & Densmore

1992), and froze samples at -20 °C within two weeks of collection. Because we were interested

in variation among individuals, we chose a single sample at random to include in our analyses

from individuals that were recaptured in the same or subsequent years. In total, we sequenced

samples from 408 individuals.

We extracted total DNA from fecal samples using an SPRI-bead fecal DNA extraction

method modified from Vo & Jedlicka (2014). Samples were processed in two sets: those

collected in 2017-2019 and in 2020. After thawing fecal samples at room temperature, we

centrifuged sample tubes and used bleach-sterilized laboratory spatulas and/or pipetting to

transfer ~5 mg of fecal material into 2mL screw-cap microcentrifuge tubes each containing 0.25g

of 0.1mm and 0.25g of 0.5mm zirconia-silica beads. For samples that amounted to <5 mg of

fecal material, we supplemented with a suitable volume of storage buffer from inside the sample

tube as necessary. We immediately added 818uL of warmed (65°C) lysis buffer (Vo & Jedlicka

2014) and homogenized samples using a Precellys 24 Tissue Homogenizer (Bertin Instruments)

set to 3 cycles of 6800rpm for 30s with a 30s pause between cycles. After transferring the

supernatant to clean microfuge tubes, we incubated samples with Qiagen Solution C3 (Qiagen

DNeasy PowerSoil 12888-100-3) to remove PCR inhibitors. Next, we removed DNA from the

supernatant using homemade solid phase reversible immobilization (SPRI) magnetic beads

("Serapure" beads) (Rohland & Reich 2012). Serapure beads were added at a 1.9x bead-to-

supernatant volume ratio and, after cleaning with 80% ethanol, we eluted DNA in 10mM Tris-

HCL. Extracted DNA was stored at -20°C before proceeding with library preparation. We also

included negative extraction controls that followed the same procedure described above for

which the input was sample storage buffer taken from tubes that were transported to the field, but

were not used for collecting fecal material.

16S and COI amplicon sequencing

As with DNA extractions, we prepared and sequenced metabarcoding libraries in two

separate batches: (1) samples collected between 2017-2019, and (2) samples collected in 2020.

We used a two-step multiplex dual-index amplicon approach to separately prepare 16S libraries

and COI libraries for sequencing again following Vo & Jedlicka (2014) with some adjustments.

We first used universal 515F/806R primers to amplify the V4 region of the bacterial 16S rRNA

gene (Caporaso et al. 2012) and the "ANML" general arthropod COI mitochondrial primers

LCOI-1490/COI-CFMRa described in Jusino et al. (2019). Each primer pair was modified with

overhanging Illumina adapter sequences. Prior to PCR, we randomized the order of samples to

be amplified to avoid within-plate batch effects during amplification. Negative PCR controls

were included on each plate. In addition to our fecal samples, we sequenced four negative

controls per primer pair in each library pool, with the exception of the first batch COI library

pool which did not contain any negative controls. Negatives included two "extraction controls"

amplified and sequenced from DNA extractions made from sample tubes containing only buffer

(and no feces) as well as two negative PCR controls.

We performed initial 16S PCR amplification for each sample in triplicate in 30uL reactions

comprising 0.2 uL Platinum II *Taq* Hot Start DNA Polymerase (Invitrogen 14966005), 5 uL 5X

Buffer (Invitrogen 14966005), 1.25 uL of each primer (10uM concentration), 13.5 uL molecular

grade water, and 0.5 uL 10mM dNTP mix (Promega U151A) and 3.3 uL of fecal DNA. Reaction

conditions were: 94°C for 2m, followed by 34 cycles of 98°C for 5s, 68°C for 15s, followed by a

final extension at 68°C for 5m, and hold at 12°C. We performed initial COI PCR amplification

in 30uL reactions comprising 0.24uL Platinum II *Taq* Hot Start DNA Polymerase, 6uL 5X

Buffer, 1.5uL of each primer (10uM concentration), 16.16uL molecular grade water, and 0.6uL

10mM dNTP mix, and 4uL of fecal DNA. Reaction conditions were: 94°C for 2m, followed by 5

cycles of 94°C for 15s, 45°C for 15s, 68°C for 15s, followed by 35 cycles of 98°C for 5s, 68°C

for 15s, followed by a final extension at 68°C for 5m, and hold at 12°C. We cleaned initial PCR

products by incubating with a 1x volume of serapure beads and eluting the bound DNA in 10mM

Tris-HCL. Triplicate 16S reactions were pooled before this cleaning step. Then we evaluated

amplification success by visualizing cleaned product on a 1.5% agarose gel.

Next, we appended dual P5 and P7 Illumina indexes to each library via PCR. Reactions

were 30uL and contained 15uL KAPA HiFi HotStart ReadyMix (Roche 7958935001), 3uL of

each primer (10uM concentration), and 9uL DNA (cleaned initial PCR product). Reaction

conditions were: 98°C for 45s, followed by 7 cycles of 98°C for 15s, 60°C for 15s, 72°C for 15s,

followed by a final extension at 72°C for 1m, and hold at 12°C . We then cleaned the indexed

PCR product using a double-sided serapure bead procedure. We first removed potential high-

molecular weight contamination by incubating PCR product with a 0.75x volume of serapure

beads. After placing the samples on the magnet, we transferred the supernatant to fresh tubes and

incubated it with a 1x volume of serapure beads to remove potential low-molecular weight

contamination. DNA was eluted in 10mM Tris-HCL, and we evaluated amplification success as

for the initial PCR.

We quantified DNA in our final PCR products with a Qubit 4.0 Fluorometer (Invitrogen).

We then normalized library concentrations and pooled libraries to a final pool concentration of at

least 2nM. We submitted the final pool to the Penn State Genomics Core Facility to perform

final quality assessment on a Bioanalyzer Tape Station and confirm pool concentration with

qPCR. Samples were then sequenced with Illumina MiSeq using the 600-cycle kit run as

250x250 paired-end sequencing.

For the first batch of samples, 16S and COI libraries were independently pooled and each

pool was sequenced in a single lane of Illumina sequencing. The second batch included a smaller

number of samples, so to achieve a similar depth of sequencing as the first batch, we pooled and

sequenced 16S libraries and COI libraries together in the same sequencing lane.

16S amplicon sequence processing

We used *QIIME 2* (Bolyen et al. 2019) to process 16S sequencing reads and obtain a table

of counts of amplicon sequence variants (ASVs), or amplicon sequences representing microbial

taxonomic units, across samples. For each sequencing run, we imported demultiplexed paired-

end sequences, used the function *qiime dada2 denoise-paired* to trim primer sequences from the

3' ends of reads, and to trim five bases from the 5' ends of reads before merging read pairs and

detecting ASVs. We then assigned taxonomic classification to ASVs using the Silva database

(v138 SSURef NR99, Quast et al. 2013).

Upon classification, we removed mitochondrial, chloroplast, unassigned, and eukaryotic

ASVs. We also identified and removed possible contaminant ASVs by contrasting the

presence/absence of ASVs in our negative controls with their prevalence in positive fecal

samples using the R package *decontam* (Davis et al. 2018). We used the "prevalence" method to

identify and remove ASVs more prevalent in negative controls than in positive samples using a

probability threshold of 0.5. We also manually removed ASVs present in negative controls, but

absent in positive samples, as these were also likely contaminants.

At this point, we used qiime2 to merge the feature table, representative sequences, and

taxonomy files from the two separate sequencing runs. We finally generated a phylogenetic tree

from the merged set of ASV sequences for downstream diversity analyses. We used *qiime*

phylogeny align-to-tree-mafft-fasttree to perform multiple sequence alignment, mask highly

variable positions, and first generate an unrooted tree and finally a tree rooted at the midpoint of

the longest tip-to-tip distance of the unrooted tree.

Finally, we applied several additional filtering steps to achieve a high quality representation

of warbler gut microbiomes. Because we were interested in examining species-differences in gut

microbiome structure, we excluded individuals from species represented by fewer than 5

individuals in our dataset. Because very low depth and uneven depth of sequencing among

samples can affect diversity estimates, we next generated a rarefied dataset by randomly

downsampling ASVs to a minimum threshold to standardize total read counts across samples.

We determined the minimum acceptable ASV count threshold by examining rarefaction curves

constructed using the *rarecurve* function in *vegan* (Oksanen et al. 2020) using a step size of 50.

Based on this analysis, we determined a library size of 4,000 reads to be an acceptable threshold

since the number of observed ASVs appears to plateau beyond this point (Figure S2a).

Because we detected a significant effect of sequencing batch on our diversity estimates (i.e.,

a "batch effect", see Results), we also performed analyses on a subset of the data that only

included the first batch of samples (collected between 2017-2019). For these analyses, we

performed the same sequence processing steps as above except for merging-in data from the

samples collected in 2020.

COI amplicon sequence processing

We used the AMPtk (v1.5.3) pipeline to analyze COI metabarcoding data by applying the

default clustering algorithm (VESEARCH v2.17.1) for operational taxonomic units (OTUs) and

assigned taxonomy by pulling from the chordates and arthropods in the BOLDv4 database. We

rooted the OTU phylogeny output from AMPtk on a randomly chosen arachnid OTU, as

arachnids split from the common arthropod ancestor prior to insects. We then imported the COI

metabarcoding data into phyloseq for downstream analyses and applied a similar framework as

we did with our 16S data. We first removed OTUs assigned to phylum Chordata as this

represents off-target amplification, then rarefied depth to 15,000 reads per individual (full

dataset), and 8,500 reads per individual (subset 2017-2019) (Figure S2b).

For analyses where we directly investigated the effect of diet on the microbiome at the

individual level, we only analyzed individuals with data that passed filtering steps in both

microbiome and diet datasets. This included 216 individuals in the full dataset representing 15

species (mean 14 individuals per species) and 130 individuals in the 2017-2019 subset

representing 14 species (mean 9 individuals per species).

Diet diversity and its relationship with gut microbiome diversity

We estimated within-individual diversity (alpha diversity) of the diet and gut microbiome

using the Shannon index, which we calculated using the *diversity* function in *vegan*. We

estimated between-individual differences between microbiomes (beta diversity) using three

different metrics: Bray-Curtis, UniFrac, and weighted UniFrac, calculated using the *distance*

function in *phyloseq* (McMurdie & Holmes 2013).

We used three approaches to examine the relationship between diet and the gut microbiome.

With the prediction that a generalized diet, characterized by a high diversity of arthropod taxa,

supports a high gut microbiome diversity, we tested for a positive correlation between individual

diet alpha diversity and gut microbiome alpha diversity using a Kendall's rank correlation test.

Second, at the species level, we tested whether gut microbiome structure differs among

species with a more specialized, less diverse diet and species with a more generalized and more

diverse diet using permutational multivariate analysis of variance (PERMANOVA) of beta

diversity distances using the *adonis* function in *vegan*. For this analysis, we categorized each

species as either "low diversity" diet, "high diversity" diet , or "intermediate" using an index of

diet specialization (Figure 1a). To calculate this index, we summed mean individual within-

species diet alpha diversity (Shannon index) and within-species diet beta diversity with the

assumption that (1) more specialized diets are characterized by a lesser diversity of food items

(low alpha diversity) and individuals within more specialized species eat a similar diet (low beta

diversity), and (2) more generalized diets are characterized by a high diversity of food items

(high alpha diversity), and individuals within more generalized species may have highly

divergent diets depending on local food availability (high beta diversity). Thus, a low score

276 reflects a less diverse and more specialized diet, and a high score reflects a more diverse and

277 more generalized diet. The diet index scores were very similar when using different measures of

278 beta diversity (Figure S3) and diet type classification of each species was consistent across

279 metrics. Thus, for simplicity we report the index of diet specialization using the Bray-Curtis

280 metric to estimate beta diversity.

$$index\ of\ diet\ specialization = diet\ \alpha_{Shannon} + diet\ \beta_{Bray-Curtis}$$

Finally, we used topological congruence analysis to determine whether similarity in gut

microbiome structure among host species reflects diet similarity with the expectation that if diet

directly shapes host gut microbiomes, then clustering of species by diet similarity will mirror

clustering of species by gut microbiome similarity. To do this, we generated a new ASV table—

each for rarefied COI sequence counts and rarefied 16S sequence counts—grouped by host

species by averaging ASV counts within each species, re-calculated dissimilarity matrices and

constructed dendrograms by clustering distance matrices using the UPGMA method in the *hclust*

function in R. We then compared the observed 16S dendrogram to the observed COI dendrogram

using *TreeCmp* (Bogdanowicz et al. 2012) to compute the matching cluster metric of topological

congruence (Bogdanowicz & Giaro 2013). Following Brooks et al. (2016), we then compared the

observed 16S dendrogram with 10,000 dendrograms with randomized topology and calculated a

normalized congruence score, which is the observed matching cluster score divided by the

maximum congruence score between the observed dendrogram and one of the random

dendrograms. Finally, we evaluated significance and report a p-value by dividing the number of

randomized dendrograms with equal or more congruent scores to the observed 16S dendrogram

than the score between the two observed dendrograms by 10,000.

Gut microbiome diversity and topological analyses

We identified a "core" wood-warbler gut microbiome as the collection of ASVs present

across a large number of individuals using the rarefied dataset. Because most ASVs had a low

prevalence among individuals, we report the core microbiome as ASVs present in >30% of

individuals. We also report taxa at high relative abundance across samples at phylum level.

To quantify the effect of host taxonomy on the gut microbiome, we took two approaches.

First, we estimated gut microbiome divergence (beta diversity) among individuals using three

measures of community dissimilarity: Bray Curtis distance, and weighted and unweighted

UniFrac distances. We then used PERMANOVA tests to determine the effect of host species on

community dissimilarity. Because our samples were collected across four breeding seasons, from

two geographic localities, and were sequenced in two different batches we also tested for effects

of these factors. Because a homogeneous dispersion among groups is an assumption for

PERMANOVA tests, we also calculated multivariate homogeneity of group dispersions for

significant variables using *vegan::betadisper* and assessed deviations from this expectation using

vegan::permutest. We visualized beta distances between gut microbiota using the principal

coordinate analysis (PCoA) method of *phyloseq::ordinate.*

Our second approach was to test for topological congruence between the host phylogeny

and microbiota dendrogram, as phylosymbiosis predicts host relatedness and microbiome

community similarity to exhibit a positive relationship (Brooks et al. 2016). To do this, we used

the same topological congruence approach as described above, but used the topology from and

Baiz et al. (2021) for *Setophaga* species, and from Lovette et al. (2010) for outgroup taxa in

place of the diet dendrogram (Figure 1a).

Because we found a significant influence of sequencing batch on gut microbiome diversity,

we separately performed all analyses on the subset of samples sequencing in the first batch

(collected between 2017-2019) as this batch included a larger subset of samples than the second

batch. For topology analyses, we also subset our data to explore effects of (1) geographic locality

by only analyzing samples collected in New York between 2017-2019 and (2) sampling year by

only analyzing samples collected in 2020.

RESULTS

16S sequencing output and composition of the warbler gut microbiome

The number of ASVs yielded by our first 16S sequencing run was 6,412 while our second

16S sequencing run yielded 10,590 ASVs. This discrepancy is likely explained by a higher

average depth of sequencing across individuals in the second sequencing run (Figure S4), despite

our attempt at normalization. Taxa that were detected in both sequencing runs represented a

small proportion of the total number of ASVs across runs (6%), contributing to the gut

microbiome differentiation we observed for individuals sampled in 2020 (see below).

After merging our 16S datasets, applying our filtering steps and rarefication, our dataset

consists of 270 individuals representing 15 species (mean 18 individuals per species, with 95%

of individuals being male, 1% female, and 4% of unknown sex). Among these samples, we

detected 12,048 ASVs from 39 bacterial phyla with the top phylum, Proteobacteria, representing

60% of the total reads (Figure 1b). Firmicutes was the next most abundant phylum, representing

13% of the total reads, followed by Actinobacteriota, representing 6.5% of the total reads. The

remaining phyla each represented <5% of the total reads. Despite low overlap in ASV identity

between sequencing runs, composition and relative abundance of prevalent phyla was very

similar across host species when we separately examined samples that were sequenced in

different batches (Figure S5).

Most ASVs were present in <10% of individuals, and only 39 ASVs were represented in

>30% of individuals (Figure S6, Table S1 and S2). The most prevalent ASV was a

Gammaproteobacteria of the family Yersiniaceae and was represented across ~60% of samples

in both the full dataset and the 2017-2019 subset, and occurred in all 15 host species. Gut

microbiome alpha diversity did not differ among host species (Kruskal-Wallis rank sum test: full

dataset d.f.=14, χ^2=14.68, P=0.400; 2017-2019 d.f.=13, χ^2 =16.354, P=0.231).

COI sequencing output, diet diversity and its relationship with gut microbiome diversity

Our first COI sequencing run yielded 3,235 OTUs, while the second yielded 2,668 OTUs.

In contrast to the 16S dataset, there was moderate overlap in OTU identity between sequencing

runs (37% of OTUs are represented in both batches).

Our analyses revealed 4,397 OTUs in the full COI dataset, which was reduced to 3,227 after

filtering and rarefaction. Among warbler species, ~70% or greater relative abundance of diet taxa

consisted of insects with orders Diptera and Lepidoptera at highest abundance (Figure 1c). The

majority of other diet taxa included Arachnids in the family Araneae. These results were

consistent between analyses that included all individuals and only individuals sequenced in the

first batch.

Warbler species fell into three natural partitions along our index of diet specialization, thus

we used these partitions to classify species according to diet type (Figure 2a). We classified 2-3

warbler species with low diversity diets depending on the dataset being analyzed (2017-2019:

AMRE, CSWA; full dataset: AMRE, CSWA, WEWA, see Table 1 for species codes), 2-4

species with high diversity diets (2017-2019: BTNW, CAWA; full dataset: BAWW, CAWA,

COYE, HOWA), and the remainder of species as intermediate (Figure 1a).

When considering within-individual diversity, we found a slight positive association

between diet alpha diversity and microbiome alpha diversity (Figure 2b), but this relationship

was not significant (Kendall's rank correlation 2017-2019: τ=0.029, P=0.619, full dataset:

τ=0.005, P=0.906). Alpha diversity of the microbiome was generally lower for individuals of

species that were diet specialists, and higher for individuals of species that were diet generalists

(Figure 2c), but alpha diversity of the microbiome did not significantly differ by species diet type

(Kruskall-Wallis d.f.=2: 2017-2019: χ^2=2.8, P=0.242; full dataset χ^2=0.014, P=0.993), even

when only comparing low diversity diets to high diversity diets (Kruskall-Wallis d.f.=1: 2017-

2019: χ^2=1.934, P=0.1643; full dataset: χ^2=0.049, P=0.825).

Factors accounting for warbler gut microbiome structure

When analyzing the full dataset which included microbiomes sequenced in two different

sequencing runs, there was a very clear and strong batch effect where microbiomes sequenced in

one run were more similar to each other than to microbiomes sequenced in the other run (Figure

S7). Yet, principal coordinates analysis of gut microbiome dissimilarity matrices revealed a high

degree of overlap among hosts of different species and among hosts from different geographic

localities (Figure 3a). There was little clustering of microbiomes by diet type of host species as

defined by our index of diet specialization (Figure 3b).

Our PERMANOVA tests (Table 2) revealed that sequencing run explained a relatively high

degree of variation in Bray-Curtis distances (15.4%, P=0.001) and UniFrac distances (7.4%,

P=0.001). This strong batch effect likely confounded tests of other variables, since the second

sequencing run only contained samples collected in a single year (2020) and included an

additional species (WEWA, Worm-eating warbler) that is not represented in the first sequencing

run. Thus, we analyzed the subset of data from 2017-2019 separately to examine the effect of

biological factors on microbiome structure in the absence of the sequencing batch effect, because

of the two sequencing runs this batch included the largest sample size of individuals. This

analysis revealed sampling locality had a significant effect when using all three distance metrics,

although the effect size was small (~1-2% of variation explained; Table 2). Similarly, year

explained a small amount of variation (1.6%, P=0.027) when using UniFrac distances. In the

absence of the sequencing batch effect, host species identity accounts for the highest degree of

variation in microbiome structure when using Bray-Curtis (9.3%, P=0.022) and UniFrac

distances (10.6%, P=0.001), generally explaining ~6-fold the of variation of any other factor

considered. Permutation tests indicated that dispersion among species UniFrac distances is not

homogenous, which could account for the significant PERMANOVA result. However, this does

not seem to be the case because species' centroids are largely non-overlapping in PCoA space of

both Bray-Curtis and UniFrac distances (Figure S8), reflecting true gut microbiome structuring

among species.

In line with our findings of no correlation between individual diet diversity and gut

microbiome diversity, host species diet type did not significantly explain variation between

microbiomes in the full dataset, nor in the single sequencing batch subset, with the exception of

using UniFrac distance, in which case diet type explained a small amount of variation (~2%;

Table 2).

Topological congruence analyses

Normalized matching cluster congruence scores for the gut microbiome-host phylogeny

topological comparisons were between ~0.4-0.8. As congruence scores of zero indicate complete

topological congruence, and scores of 1 indicate complete incongruence, these scores reflect

intermediate congruences. When analyzing all individuals in the full dataset, and within each

subset, the observed warbler gut microbiome dendrogram was significantly more congruent with

the host phylogeny than with randomized dendrograms using two of the three distance metrics

considered (N=8 of 12 comparisons; Table 3), usually Bray-Curtis distances and weighted

UniFrac distances. As these metrics are weighted by ASV counts, this may indicate relative

abundances of microbial taxa contribute to the phylogenetic signal in the warbler gut

microbiome.

To determine whether gut microbiome similarity better reflects host evolutionary history or

host diet similarity, we repeated the topological analyses above instead using a dendrogram

clustered from the diet OTU distances in place of the host phylogeny (Table 3). Among

comparisons, congruence scores were generally lower for microbiome-host phylogeny

comparisons than for microbiome-diet comparisons (Figure 3c), although there are some

exceptions. Importantly, only three of twelve microbiome-diet comparisons were significantly

more congruent than random (full dataset, 2020 subset, 2017-2019 subset from NY only).

Further, in two of these three datasets, congruence scores for the microbiome-host phylogeny

comparison were lower than for the microbiome-diet comparison (2020 subset, 2017-2019 subset

from NY only) (Figure S9). Collectively, these results suggest a closer association between gut

microbiome structure and host evolutionary history than with host diet.

Discussion

We performed fecal metabarcoding to examine environmental and evolutionary influences

on the microbiome structure in breeding wood warblers. Our analyses collectively support host

taxonomy as the strongest driver of gut microbiome structure while environmental factors,

including diet diversity, showed lesser effects. Further, more closely related species tended to

harbor more similar gut microbiomes, but gut microbiome similarity was not closely associated

with diet similarity, suggesting host evolutionary history may play a large role in shaping host-

microbe interactions in this clade. We also detected a relatively strong batch effect of sequencing

run on gut microbiome diversity, and by analyzing within-batch subsets of our data we saw this

had obscured the signal of the biological factors we considered in our analyses. Thus, these

results highlight caution for other researchers about whether or not to divide samples across

sequencing lanes and this should be a serious consideration in future metabarcoding studies.

The wood warbler gut microbiome

Wood warbler gut microbiomes were dominated by Proteobacteria and Firmicutes, which is

consistent with other studies of other free-living passerines (e.g., Hird et al. 2015, Bodawatta et

al. 2021a). The most prevalent ASV, a Proteobacteria in the family Yersiniaceae, was observed

in ~60% of individuals and occurred in all host species examined, but only a very small

proportion of ASVs were represented in >30% of the individuals sequenced. These results may

reflect a shared signature of the passerine gut microbiome in wood warblers at higher taxonomic

levels, yet a high level of variability among individuals, especially for lower abundance taxa.

The most dominant bacterial phyla in the current study were also identified as highly

abundant in the only migratory cycle study of re-captured warblers to-date, which focused on

Kirtland's warblers (*Setophaga kirtlandii*; Skeen et al. 2021), a species that does not breed in our

study areas. Although arrival on the breeding grounds was accompanied by a shift from a

Kirtland's warbler gut microbiome dominated by Firmicutes, to one dominated by

Proteobacteria, both phyla were highly abundant across the migratory cycle. The most prevalent

taxonomic classes in the current study (Gammaproteobacteria, Alphaproteobacteria, and Bacilli)

also dominated gut microbiomes of breeding Kirtland's warblers (Skeen et al. 2021). However,

Clostridia was one of the most abundant taxa in Kirtland's warblers but was found at low

prevalence among individuals in the current study and made up only <2% of the total reads

sequenced. This may suggest that Kirtland's warblers, an endangered Caribbean migrant with

highly specialized habitat requirements, differ in gut microbiome structure from closely related

parulids breeding nearby. This differentiation would be consistent with our findings of a

relatively strong role of host taxonomy and evolutionary history, and/or associated

environmental factors that we were unable to resolve with our dataset, in shaping the parulid gut

microbiome (see below).

In this study, sampling locality consistently explained 1-2% of variation between

microbiomes across datasets and distance metrics considered. Samples were collected from two

forested localities in Eastern North America roughly 400 km apart, a distance that is likely not

large enough to generate significant population genetic structure within warbler host species

(Toews et al. 2016). However, our results suggest this distance may be sufficient in scale to

affect subtle changes in gut microbe communities. Interestingly, the amount of variation

explained by sampling locality here is similar to that reported in other passerine studies (San

Juan et al. 2021, Teyssier et al. 2020), despite this study encompassing a larger geographical

area. For example, habitat type explained ~4% of variation between passerine microbiomes

within a 43 km agricultural study area in Costa Rica (San Juan et al. 2021), suggesting habitat

features may be more important than geographic distance between sites. Although we did not

include habitat features as a factor in our analyses, notable differences between our study sites

include an abundance of *Rhododenron* (*R. maximum*) and mountain laurel (*Kalmia latifolia*) in

the understory at our Pennsylvania localities, whereas these shrubs do not occur in our New York

localities. This and other habitat differences could conceivably contribute to the differences we

observed in gut microbiota between our sites.

When analyzing a subset of samples from a single sequencing run, sampling year explained

a similar proportion of variation between microbiomes as did sampling locality, but tended not to

be significant. This may indicate that wood warbler microbiomes are stable across breeding

seasons, despite annual long-distance migration to-and-from tropical wintering grounds, which is

likely associated with changes in foraging strategies. This is consistent with other passerine

studies which found no difference in gut microbiome diversity across consecutive breeding

seasons (Escallón et al. 2019, Benskin et al. 2015), but it is important to note that in our dataset,

each year represents a different cohort of individuals. In migratory species, it will be desirable to

re-sample the same individuals on the wintering and breeding grounds across multiple cycles to

disentangle temporal effects from those of habitat and geographic locality (Skeen et al. 2021).

Diet diversity is not tightly linked to gut microbiome diversity in wood warblers

By sequencing arthropod COI metabarcoding libraries from the same fecal samples we

amplified bacterial 16S libraries, we were able to directly examine the relationship between

natural diet diversity and gut microbiome diversity. Our strategy revealed that although

individuals of species with low diversity diets tended to have reduced gut microbiome diversity

and individuals of species with high diversity diets tended to have increased microbiome

diversity, this pattern was not significant (Figure 2). In contrast to our prediction, diversity of the

diet generally did not explain variation in the gut microbiome. This may suggest a high diversity

diet either does not provide wood warblers an increased availability of potential gut colonists, or

gut microbe colonization is not affected by diet diversity. Similarly, in a study of two species of

freshwater fish, Bolnick et al. (2014) found the relationship between diet diversity and gut

microbiome diversity was not linear and fish with a specialized diet actually harbored a more

diverse gut microbiome.

Despite our finding of no relationship between diet diversity and gut microbiome diversity,

many studies have shown host diet indeed influences the avian gut microbiome. Broad

categorization of natural feeding guild and diet type explain differences in the gut microbiomes

of wild passerines in New Guinea and of zoo and farm birds in China, respectively (Bodawatta et

al. 2021a, Xiao et al. 2021). Further, experimental manipulations of passerine diets have been

associated with shifts in gut microbiome diversity and composition (Davidson et al. 2020,

Tyssier et al. 2020, Knutie 2020, Perkarsky et al. 2021). In the current study, we analyzed natural

diets of breeding wood warblers, which are known to primarily eat insects (MacArthur 1958).

Our metabarcoding results indicate a substantial portion of the diet is also Arachnid-based.

However, diet alpha diversity did not differ among species and relative proportions of arthropod

classes in the diet were similar (Figure 1c). The lack of species with a highly specialized diet (at

the scale analyzed here) that were included in this study may make these a poor system for

untangling the effect of diet diversity on gut microbiome diversity, and future dual diet-

microbiome metabarcoding studies could also include birds with clear distinctions in dietary

guild for comparison (e.g., extreme diet specialists, aerial insectivores). We note that we did not

consider fine-scale spatial partitioning of the feeding niche as an explanatory variable in this

study, something wood-warblers are well known for (MacArthur 1958). Further, it is possible

that because we examined broad-scale patterns in diet diversity at the OTU level, we were not

able to identify components of the diet (e.g., nutritional values of arthropods) that possibly

underlie gut microbiome structure. Nevertheless, our results suggest diet diversity does not scale

directly with gut microbiome diversity in breeding wood-warblers.

Host taxonomy as the main driver of wood-warbler gut microbiome structure

Amongst the biological factors considered in this study, host species stands out as the

variable that explains the largest amount of variation between microbiomes. Further, species-

level 16S dendrograms were more concordant with the host phylogeny than with COI

dendrograms (Figure 3c, Table 3). Together with our findings of little environmental influence

on the wood warbler microbiome, this may suggest host evolutionary history rather than

differences in species' ecological niche, is the main driver of microbiome differentiation between

wood-warbler species.

This phylogenetic signal of gut microbiome structure—known as phylosymbiosis—is well-

supported in non-flying mammals and insects, but has been less well-supported in birds. Avian

gut microbiome studies generally support differences between host species (Hird et al. 2015, San

Juan et al. 2020, Capunitan et al. 2020, but see Hird et al. 2014), but phylosymbiosis was not

supported in New Guinean passerines (Bodawatta et al. 2021a) and the signal was weak among

captive cranes and in two passerine studies (Trevelline et al. 2020, Kropáčková et al. 2019, Loo

et al. 2019). In the current study, concordance between the wood warbler phylogeny and gut

microbiome dendrogram was moderate and similar to that reported for cranes in captivity

(Trevelline et al. 2020) and passerines in the Czech Republic (Kropáčková et al. 2019). Thus, our

results support the view that phylosymbiosis is weaker in birds than in mammals (Song et al.

2020, Youngblut et al. 2019) and uniquely demonstrate that in wood-warblers, a stronger

influence of diet (as measured by diet diversity) does not account for this discrepancy.

A phylogenetically conserved gut microbiome may provide the opportunity for co-

adaptation between hosts and their gut microbes, which could implicate microbiomes in complex

host evolutionary processes, including speciation (Brucker & Bordenstein 2012). Long-term

coevolution between hosts and microbiota could explain phylosymbiosis, but this pattern could

also arise under ecological filtering. Mazel et al. (2018) used simulations to show that under

ecological filtering, the strength of phylosymbiosis is determined by the strength of the

phylogenetic signal in the host trait underlying microbe colonization. It has been hypothesized

that convergence of bat and avian gut microbiomes is due to reduced gut length, an adaptation to

powered flight, which may favor rapid turnover in gut microbiota thus accounting for the

weakened phylogenetic signal in gut microbiomes compared to non-flying mammals (Song et al.

2020). Consistent with this, Bodawatta et al. (2021a) found a negative association between

passerine body mass—a proxy for gut length—and both gut microbiome richness and

divergence. This might lead to the prediction that phylogenetic signal of the gut microbiome

should be strongest in large-bodied birds, and weakest in small-bodied birds. However, the

current data do not support this, as is highlighted by the results presented here. The strength of

phylosymbiosis reported here for wood-warblers—small-bodied species weighing ~6-20 g—is

similar to that reported for cranes (Trevelline et al. 2020), which are several hundred times

heavier. Thus, additional study is necessary to elucidate the effect of gut retention time on gut

microbiome structure, and of other phylogenetically conserved avian traits or habitat preferences

that may mediate the colonization of gut microbes.

Further study is also necessary to understand the biological relevance of taxonomic

differences and of phylogenetic signal in gut microbiome structure between hosts. Experimental

studies have shown antibiotic treatment administered to nestlings results in faster growth rates

(Coates et al. 1963, Potti et al. 2002, Kohl et al. 2018), and caeca of germ-free chickens exhibit

altered gene expression and notably do not express immunoglobulins (Volf et al. 2017). Thus, it

is clear gut microbiota impose constraints on host development and immune function but how

species-differences in natural gut microbiota composition might impact host fitness is unknown.

It is important to note that although we observed an effect of host taxonomy on gut microbiome

structure, this does not necessarily imply functional differences in gut microbiota between hosts.

However, due to microbiome differentiation between host species we may predict disruption of

these communities for admixed individuals upon hybridization (Brucker & Bordenstein 2012).

Wood-warblers are well known to hybridize and occasionally even form intergeneric hybrids

(Toews et al. 2018, 2020), making this clade an excellent system that can be used to tease apart

evolutionary from ecological influences on the gut microbiome as well as the potential role of

the microbiome in hybrid dysfunction.

Caution against sequencing batch effects

We prepared and sequenced our 16S libraries in two different batches and the resulting

yields were quite different (Figure S4). This strategy was desirable because it allowed us to

process samples as they became available and it increased our overall sample sizes. However, we

found the technical artifacts this introduced were not trivial (Figure S7), and it obscured the

signal of the biological factors we tested (Table 2). Our topological congruence analysis seemed

to be robust to the batch effect, although it is possible that batch effects obscured the signal of

phylosymbiosis in previous avian gut microbiome studies that analyzed data from samples

collected at different times and processed and sequenced in different ways. It is possible that

batch effects are less of a concern for mammalian and other systems, where the signal of host

phylogeny on gut microbiome structure is stronger than in birds. Similarly, the batch effect was

less strong in the COI data which we also processed and sequenced in two batches (37% of total

arthropod OTUs detected in both batches compared to 6% for bacterial ASVs). This may be due

to a more rapid saturation of the accumulation curve for arthropod taxa than for bacterial taxa,

that are present in the warbler gut (Figure S2).

Future methodological study of the consequences of batch effects is warranted. We

recommend metabarcoding studies to report on sequences of technical replicates (PCRs

amplified from the same sample within a batch) and positives (same sample sequenced across

batches) which may help clarify when it is appropriate to make direct comparison of data

sequenced in different batches.

Concluding remarks

Our data highlight many outstanding questions about avian microbiomes and the ongoing

need to characterize microbiomes of wild birds (Hird 2017). Wood-warbler gut microbiomes are

dominated by Proteobacteria and Firmicutes, and closely related host species share more similar

gut microbiomes. We found little influence of sampling year, geographic locality, or diet

diversity on gut microbiome structure and thus the majority of the variation between microbiota

was left unexplained. Our results may suggest the phylogenetic signal in gut microbiome

structure is tied more closely to host traits than to host ecology, yet the mechanisms driving this

signal and possible functional consequences for hosts are not clear.

The level of phylogenetic signal in gut microbiome structure we detected is similar to that

detected for larger-bodied birds (Trevelline et al. 2020), suggesting small body size is not a

hinderance for phylosymbiosis. Further study is necessary to understand the relationship between

host body size, gut retention time, and gut microbe colonization. Although we found broad-scale

measures of diet diversity are not closely related to gut microbiome diversity, future studies

should explore how components of the diet (e.g., dominant arthropod taxa, energetic values of

food items) might influence the gut microbiome, including by way of their influence on host

traits (e.g., gut pH). Wood-warblers represent a promising system to continue addressing

outstanding ecological and evolutionary questions about the avian microbiome, including how

microbiomes may influence and respond to adaptive radiation (Bodawatta et al. 2021b).

Acknowledgements

We thank Brian Trevelline and Emily Davenport for helpful discussion and advice on data

analyses, and we thank Laura Porturas and Lan-Nhi Phung for assistance in the field. This

material is based upon work supported by the NSF Postdoctoral Research Fellowships in

Biology Program under Grant No. 2010679. This work was also supported by the Department of

Biology and the Huck Institute for Life Sciences at Penn State University, and by the Cornell

Lab of Ornithology.

References

Baiz, M. D., Wood, A. W., Brelsford, A., Lovette, I. J., & Toews, D. P. (2021). Pigmentation

genes show evidence of repeated divergence and multiple bouts of introgression in Setophaga

warblers. *Current Biology*, *31*(3), 643-649. https://doi.org/10.1016/j.cub.2020.10.094

Barker, F. K., Burns, K. J., Klicka, J., Lanyon, S. M., & Lovette, I. J. (2015). New insights into

New World biogeography: An integrated view from the phylogeny of blackbirds, cardinals,

sparrows, tanagers, warblers, and allies. *The Auk: Ornithological Advances*, *132*(2), 333-348.

https://doi.org/10.1642/AUK-14-110.1

Benskin, C. M. H., Rhodes, G., Pickup, R. W., Mainwaring, M. C., Wilson, K., & Hartley, I. R.

(2015). Life history correlates of fecal bacterial species richness in a wild population of the blue

tit Cyanistes caeruleus. *Ecology and evolution*, *5*(4), 821-835. https://doi.org/10.1002/ece3.1384

Bodawatta, K. H., Koane, B., Maiah, G., Sam, K., Poulsen, M., & Jønsson, K. A. (2021a).

Species-specific but not phylosymbiotic gut microbiomes of New Guinean passerine birds are

shaped by diet and flight-associated gut modifications. *Proceedings of the Royal Society*

B, *288*(1949), 20210446. https://doi.org/10.1098/rspb.2021.0446

Bodawatta, K. H., Hird, S. M., Grond, K., Poulsen, M., & Jønsson, K. A. (2021b). Avian gut

microbiomes taking flight. *Trends in microbiology*. https://doi.org/10.1016/j.tim.2021.07.003

Bogdanowicz, D., Giaro, K., & Wróbel, B. (2012). TreeCmp: comparison of trees in polynomial

time. *Evolutionary Bioinformatics*, *8*, EBO-S9657. https://doi.org/10.4137/EBO.S9657

Bogdanowicz, D., & Giaro, K. (2013). On a matching distance between rooted phylogenetic

trees. *International Journal of Applied Mathematics and Computer Science*, *23*(3).

https://doi.org/10.2478/amcs-2013-0050

Bolnick, D. I., Snowberg, L. K., Hirsch, P. E., Lauber, C. L., Knight, R., Caporaso, J. G., &

Svanbäck, R. (2014). Individuals' diet diversity influences gut microbial diversity in two

freshwater fish (threespine stickleback and Eurasian perch). *Ecology letters*, *17*(8), 979-987.

https://doi.org/10.1111/ele.12301

Bolyen, E., Rideout, J. R., Dillon, M. R., Bokulich, N. A., Abnet, C. C., Al-Ghalith, G. A., ... &

Caporaso, J. G. (2019). Reproducible, interactive, scalable and extensible microbiome data

science using QIIME 2. *Nature biotechnology*, *37*(8), 852-857. https://doi.org/10.1038/s41587-

019-0209-9

Brooks, A. W., Kohl, K. D., Brucker, R. M., van Opstal, E. J., & Bordenstein, S. R. (2016).

Phylosymbiosis: relationships and functional effects of microbial communities across host

evolutionary history. *PLoS biology*, *14*(11), e2000225.

https://doi.org/10.1371/journal.pbio.1002587

Brucker, R. M., & Bordenstein, S. R. (2012). Speciation by symbiosis. *Trends in ecology &*

evolution, *27*(8), 443-451. https://doi.org/10.1016/j.tree.2012.03.011

686 Caporaso, J. G., Lauber, C. L., Walters, W. A., Berg-Lyons, D., Huntley, J., Fierer, N., ... &

687 Knight, R. (2012). Ultra-high-throughput microbial community analysis on the Illumina HiSeq

688 and MiSeq platforms. *The ISME journal*, *6*(8), 1621-1624. https://doi.org/10.1038/ismej.2012.8

690 Capunitan, D. C., Johnson, O., Terrill, R. S., & Hird, S. M. (2020). Evolutionary signal in the gut

691 microbiomes of 74 bird species from Equatorial Guinea. *Molecular ecology*, *29*(4), 829-847.

692 https://doi.org/10.1111/mec.15354

694 Coates, M. E., Fuller, R., Harrison, G. F., Lev, M., & Suffolk, S. F. (1963). A comparison of the

695 growth of chicks in the Gustafsson germ-free apparatus and in a conventional environment, with

696 and without dietary supplements of penicillin. *British journal of nutrition*, *17*(1), 141-150.

697 https://doi.org/doi:10.1079/BJN19630015

699 Davidson, G. L., Wiley, N., Cooke, A. C., Johnson, C. N., Fouhy, F., Reichert, M. S., ... &

700 Quinn, J. L. (2020). Diet induces parallel changes to the gut microbiota and problem solving

701 performance in a wild bird. *Scientific reports*, *10*(1), 20783. https://doi.org/10.1038/s41598-020-

702 77256-y

704 Davis, N. M., Proctor, D. M., Holmes, S. P., Relman, D. A., & Callahan, B. J. (2018). Simple

705 statistical identification and removal of contaminant sequences in marker-gene and

706 metagenomics data. *Microbiome*, *6*(1), 226. https://doi.org/10.1186/s40168-018-0605-2

Escallón, C., Belden, L. K., & Moore, I. T. (2019). The cloacal microbiome changes with the

breeding season in a wild bird. *Integrative Organismal Biology*, *1*(1), oby009.

https://doi.org/10.1093/iob/oby009

Grond, K., Santo Domingo, J. W., Lanctot, R. B., Jumpponen, A., Bentzen, R. L., Boldenow, M.

L., ... & Sandercock, B. K. (2019). Composition and drivers of gut microbial communities in

Arctic-breeding shorebirds. *Frontiers in microbiology*, *10*, 2258.

https://doi.org/10.3389/fmicb.2019.02258

Hird, S. M. (2017). Evolutionary biology needs wild microbiomes. *Frontiers in microbiology*, *8*,

725. https://doi.org/10.3389/fmicb.2017.00725

Hird, S. M., Carstens, B. C., Cardiff, S. W., Dittmann, D. L., & Brumfield, R. T. (2014).

Sampling locality is more detectable than taxonomy or ecology in the gut microbiota of the

brood-parasitic Brown-headed Cowbird (Molothrus ater). *PeerJ*, *2*, e321.

https://doi.org/10.7717/peerj.321

Hird, S. M., Sánchez, C., Carstens, B. C., & Brumfield, R. T. (2015). Comparative gut

microbiota of 59 neotropical bird species. *Frontiers in microbiology*, *6*, 1403.

https://doi.org/10.3389/fmicb.2015.01403

Jari Oksanen, F. Guillaume Blanchet, Michael Friendly, Roeland Kindt, Pierre Legendre, Dan

McGlinn, Peter R. Minchin, R. B. O'Hara, Gavin L. Simpson, Peter Solymos, M. Henry H.

Stevens, Eduard Szoecs and Helene Wagner (2020). vegan: Community Ecology Package. R

package version 2.5-7.

Jusino, M. A., Banik, M. T., Palmer, J. M., Wray, A. K., Xiao, L., Pelton, E., Barber, J. R.,

Kawahara, A. Y., Gratton, C., Peery, M. Z., & Lindner, D. L. (2019). An improved method for

utilizing high☐throughput amplicon sequencing to determine the diets of insectivorous

animals. *Molecular Ecology Resources*, *19*(1), 176-190. https://doi.org/10.1111/1755-

0998.12951

Knutie, S. A. (2020). Food supplementation affects gut microbiota and immunological resistance

to parasites in a wild bird species. *Journal of Applied Ecology*, *57*(3), 536-547.

https://doi.org/10.1111/1365-2664.13567

Kohl, K. D., Brun, A., Bordenstein, S. R., Caviedes-Vidal, E., & Karasov, W. H. (2018). Gut

microbes limit growth in house sparrow nestlings (*Passer domesticus*) but not through

limitations in digestive capacity. *Integrative zoology*, *13*(2), 139-151.

https://doi.org/10.1111/1749-4877.12289

Kropáčková, L., Těšický, M., Albrecht, T., Kubovčiak, J., Čížková, D., Tomášek, O., Martin, J.,

Bobek, L., Králová, T., Procházka, P., & Kreisinger, J. (2017). Codiversification of

gastrointestinal microbiota and phylogeny in passerines is not explained by ecological

divergence. *Molecular Ecology*, *26*(19), 5292-5304. https://doi.org/10.1111/mec.14144

Loo, W. T., García-Loor, J., Dudaniec, R. Y., Kleindorfer, S., & Cavanaugh, C. M. (2019). Host

phylogeny, diet, and habitat differentiate the gut microbiomes of Darwin's finches on Santa Cruz

Island. *Scientific Reports*, *9*(1), 18781. https://doi.org/10.1038/s41598-019-54869-6

Lovette, I. J., Pérez-Emán, J. L., Sullivan, J. P., Banks, R. C., Fiorentino, I., Córdoba-Córdoba,

S., Echeverry-Galvisa, M., Barker, F. K., Burns, K. J., Klicka, J., Lanyon, S. M., & Bermingham,

E. (2010). A comprehensive multilocus phylogeny for the wood-warblers and a revised

classification of the Parulidae (Aves). *Molecular Phylogenetics and Evolution*, *57*(2), 753-770.

https://doi.org/10.1016/j.ympev.2010.07.018

MacArthur, R. H. (1958). Population ecology of some warblers of northeastern coniferous

forests. *Ecology*, *39*(4), 599-619. https://doi.org/10.2307/1931600

Mazel, F., Davis, K. M., Loudon, A., Kwong, W. K., Groussin, M., & Parfrey, L. W. (2018). Is

host filtering the main driver of phylosymbiosis across the tree of life?. *Msystems*, *3*(5), e00097-

18.

https://doi.org/10.1128/mSystems.00097-18

McMurdie, P. J., & Holmes, S. (2013). phyloseq: an R package for reproducible interactive

analysis and graphics of microbiome census data. *PloS one*, *8*(4), e61217.

https://doi.org/10.1371/journal.pone.0061217

Michel, A. J., Ward, L. M., Goffredi, S. K., Dawson, K. S., Baldassarre, D. T., Brenner, A.,

Gotanda, K. M., McCormack, J. E., Mullin, S. W., O'Neill, A., Tender, G. S., Uy, J. A. C., Yu,

K., Orphan V. J., & Chaves, J. A. (2018). The gut of the finch: uniqueness of the gut microbiome

of the Galápagos vampire finch. *Microbiome*, *6*(1), 1-14. https://doi.org/10.1186/s40168-018-

0555-8

Pekarsky, S., Corl, A., Turjeman, S., Kamath, P. L., Getz, W. M., Bowie, R. C., Markin, Y., &

Nathan, R. (2021). Drivers of change and stability in the gut microbiota of an omnivorous avian

migrant exposed to artificial food supplementation. *Molecular Ecology*, *30*(19), 4723-4739.

https://doi.org/10.1111/mec.16079

Potti, J., Moreno, J., Yorio, P., Briones, V., García Borboroglu, P., Villar, S., & Ballesteros, C.

(2002). Bacteria divert resources from growth for magellanic penguin chicks. *Ecology*

Letters, *5*(6), 709-714. https://doi.org/10.1046/j.1461-0248.2002.00375.x

Quast, C., Pruesse, E., Yilmaz, P., Gerken, J., Schweer, T., Yarza, P., Peplies, J., & Glöckner, F.

O. (2012). The SILVA ribosomal RNA gene database project: improved data processing and

web-based tools. *Nucleic acids research*, *41*(D1), D590-D596.

https://doi.org/10.1093/nar/gks1219

Rohland, N., & Reich, D. (2012). Cost-effective, high-throughput DNA sequencing libraries for

multiplexed target capture. *Genome research*, *22*(5), 939-946.

https://doi.org/10.1101/gr.128124.111

San Juan, P. A., Hendershot, J. N., Daily, G. C., & Fukami, T. (2020). Land-use change has host-

specific influences on avian gut microbiomes. *The ISME journal*, *14*(1), 318-321.

https://doi.org/10.1038/s41396-019-0535-4

San Juan, P. A., Castro, I., & Dhami, M. K. (2021). Captivity reduces diversity and shifts

composition of the Brown Kiwi microbiome. *Animal microbiome*, *3*(1), 1-8.

https://doi.org/10.1186/s42523-021-00109-0

Skeen, H. R., Cooper, N. W., Hackett, S. J., Bates, J. M., & Marra, P. P. (2021). Repeated

sampling of individuals reveals impact of tropical and temperate habitats on microbiota of a

migratory bird. *Molecular ecology*, *30*(22), 5900-5916. https://doi.org/10.1111/mec.16170

Sommer, F., & Bäckhed, F. (2013). The gut microbiota—masters of host development and

physiology. *Nature reviews microbiology*, *11*(4), 227-238. https://doi.org/10.1038/nrmicro2974

Song, S. J., Sanders, J. G., Delsuc, F., Metcalf, J., Amato, K., Taylor, M. W., ... & Knight, R.

(2020). Comparative analyses of vertebrate gut microbiomes reveal convergence between birds

and bats. *MBio*, *11*(1), e02901-19. https://doi.org/10.1128/mBio.02901-19

Suzuki, T. A. (2017). Links between natural variation in the microbiome and host fitness in wild

mammals. *Integrative and comparative biology*, *57*(4), 756-769.

https://doi.org/10.1093/icb/icx104

Teyssier, A., Matthysen, E., Hudin, N. S., De Neve, L., White, J., & Lens, L. (2020). Diet

contributes to urban-induced alterations in gut microbiota: experimental evidence from a wild

passerine. *Proceedings of the Royal Society B*, *287*(1920), 20192182.

https://doi.org/10.1098/rspb.2019.2182

Toews, D. P., Brelsford, A., Grossen, C., Milá, B., & Irwin, D. E. (2016). Genomic variation

across the Yellow-rumped Warbler species complex. *The Auk: Ornithological Advances*, *133*(4),

698-717. https://doi.org/10.1642/AUK-16-61.1

Toews, D. P., Streby, H. M., Burket, L., & Taylor, S. A. (2018). A wood-warbler produced

through both interspecific and intergeneric hybridization. *Biology letters*, *14*(11), 20180557.

https://doi.org/10.1098/rsbl.2018.0557

Toews, D. P., Kramer, G. R., Jones, A. W., Brennan, C. L., Cloud, B. E., Andersen, D. E.,

Lovette, I. J., & Streby, H. (2020). Genomic identification of intergeneric hybrids in New World

wood-warblers (Aves: Parulidae). *Biological Journal of the Linnean Society*, *131*(1), 183-191.

https://doi.org/10.1093/biolinnean/blaa085

Trevelline, B. K., Sosa, J., Hartup, B. K., & Kohl, K. D. (2020). A bird's-eye view of

phylosymbiosis: weak signatures of phylosymbiosis among all 15 species of cranes. *Proceedings*

of the Royal Society B, *287*(1923), 20192988. https://doi.org/10.1098/rspb.2019.2988

Videvall, E., Song, S. J., Bensch, H. M., Strandh, M., Engelbrecht, A., Serfontein, N., Hellgren,

O., Olivier, A., Cloete, S., Knight, R., & Cornwallis, C. K. (2019). Major shifts in gut microbiota

during development and its relationship to growth in ostriches. *Molecular Ecology*, *28*(10),

2653-2667. https://doi.org/10.1111/mec.15087

Vo, A. T., & Jedlicka, J. A. (2014). Protocols for metagenomic DNA extraction and Illumina

amplicon library preparation for faecal and swab samples. *Molecular Ecology Resources*, *14*(6),

1183-1197. https://doi.org/10.1111/1755-0998.12269

Volf, J., Polansky, O., Sekelova, Z., Velge, P., Schouler, C., Kaspers, B., & Rychlik, I. (2017).

Gene expression in the chicken caecum is dependent on microbiota composition. *Veterinary*

research, *48*(1), 85. https://doi.org/10.1186/s13567-017-0493-7

White, P.S., and L. D. Densmore. (1992). Mitochondrial DNA isolation. In A.R. Hoelzel (ed.),

Molecular genetic analysis of populations: a practical approach. (pp. 50-51) IRL Press at Oxford

University Press, Oxford, England, New York, U.S.A.

Xiao, K., Fan, Y., Zhang, Z., Shen, X., Li, X., Liang, X., Bi, R., Wu, Y., Zhai, J., Dai, J., Irwin,

D. M., Chen, W., & Shen, Y. (2021). Covariation of the gut microbiome with diet in

nonpasserine birds. mSphere 6:e00308-21. https://doi.org/10.1128/ mSphere.00308-21

Youngblut, N. D., Reischer, G. H., Walters, W., Schuster, N., Walzer, C., Stalder, G., Ley, R. E.,

& Farnleitner, A. H. (2019). Host diet and evolutionary history explain different aspects of gut

microbiome diversity among vertebrate clades. *Nature communications*, *10*(1), 2200.

https://doi.org/10.1038/s41467-019-10191-3

Data accessibility and benefits-sharing

Data accessibility

The sequencing data and metadata analyzed in this study will be deposited into the NCBI Short

Read Archive upon acceptance of this manuscript. Scripts will be deposited to GitHub

(github.com/baizm).

Benefits-sharing

Benefits from this research accrue from the sharing of our data on public resources as described

above.

Author contributions

MDB and DPLT designed the study with input from all authors. DPLT, ETM, MDB, and AWW

collected samples, and AWW processed samples and prepared sequencing libraries. All authors

took part in data analysis. MDB and ABC wrote the manuscript, and all authors revised and

approved the final version.

891 **Tables**

892 **Table 1.** Sampling information for species included in this study. NY=New York,
893 PA=Pennsylvania. Subset batch 1 includes samples collected between 2017-2019, which were
894 sequenced together in a single sequencing run.

		2017		2018		2019		2020		Total	
Species code	Latin name	NY	PA	NY	PA	NY	PA	NY	PA	Subset batch 1	Full dataset
AMRE	*Setophaga ruticilla*	2	0	3	0	5	6	4	4	16	23
BAWW	*Mniotilta varia*	0	0	7	0	1	1	4	9	9	22
BLBW	*Setophaga fusca*	1	0	4	0	3	1	5	6	9	20
BTBW	*Setophaga caerulescens*	2	0	4	0	2	5	5	10	13	28
BTNW	*Setophaga virens*	3	0	4	0	4	3	5	7	14	26
CAWA	*Cardellina canadensis*	2	0	4	0	3	3	4	1	12	17
COYE	*Geothlypis trichas*	1	0	4	0	3	1	3	2	9	14
CSWA	*Setophaga pensylvanica*	5	0	2	0	1	5	3	3	13	18
HOWA	*Setophaga citrina*	0	0	0	0	0	6	0	5	6	11
MAWA	*Setophaga magnolia*	1	0	6	0	4	1	4	1	12	17
MYWA	*Setophaga coronata*	4	0	5	0	5	1	5	0	15	20
NAWA	*Leiothlypis ruficapilla*	2	0	4	0	5	0	0	0	11	11
NOPA	*Setophaga americana*	2	0	4	0	5	0	5	0	11	16
OVEN	*Seiurus aurocapilla*	2	0	4	0	4	1	5	6	11	22
WEWA	*Helmitheros vermivorum*	0	0	0	0	0	0	0	5	0	5
								Total individuals		161	270

902 **Table 2.** Results of permutational multivariate analysis of variance (PERMANOVA) tests and
903 permutation tests of dispersion on beta distances between gut microbiomes. Diet type reflects
904 categorization based on our index of diet specialization (i.e., high diversity, low diversity,
905 intermediate). Asterisks denote significant results at the $P<0.05$ level.

| Distance matrix | Variable | d.f. | R^2 | *P* | PERMANOVA | Permutation test on dispersion |
					F	*P*
Full dataset (2017-2020)						
Bray-Curtis	Species	14	**0.064**	**0.009***	2.461	**0.004***
	Locality	1	**0.024**	**0.001***	13.186	**0.001***
	Year	3	**0.164**	**0.001***	52.964	**0.001***
	Sequencing run	1	**0.154**	**0.001***	171.570	**0.001***
	Diet type	2	0.009	0.435		
UniFrac	Species	14	**0.069**	**0.001***	3.189	**0.001***
	Locality	1	**0.016**	**0.001***	0.098	0.761
	Year	3	**0.103**	**0.001***	8.033	**0.001***
	Sequencing run	1	**0.094**	**0.001***	21.312	**0.001***
	Diet type	2	0.010	0.191		
Weighted UniFrac	Species	14	0.061	0.202		
	Locality	1	**0.011**	**0.018***	2.155	0.146
	Year	3	**0.024**	**0.029***	8.524	**0.001***
	Sequencing run	1	0.008	0.074		
	Diet type	2	0.013	0.190		
Batch 1 (2017-2019)						
Bray-Curtis	Species	13	**0.093**	**0.022***	0.703	0.762
	Locality	1	**0.019**	**0.001***	0.759	0.397
	Year	2	0.015	0.081		
	Diet type	2	0.020	0.062		
UniFrac	Species	13	**0.106**	**0.001***	3.049	**0.002***
	Locality	1	**0.012**	**0.005***	0.002	0.971
	Year	2	**0.016**	**0.027***	2.212	0.099
	Diet type	2	**0.022**	**0.013***	1.375	0.230
Weighted UniFrac	Species	13	0.084	0.414		
	Locality	1	**0.017**	**0.048***	0.183	0.655
	Year	2	0.016	0.292		
	Diet type	2	0.021	0.246		

914 **Table 3.** Summary of topological congruences between species-level gut microbiome
915 dendrograms and the host phylogeny (left), and between species-level diet dendrograms (right).
916 N spp.=number of species analyzed, and matching cluster congruence scores are normalized
917 where 0=complete congruence, and 1=complete incongruence. Asterisks denote significant
918 results at the *P*<0.05 level.

	N spp.	Distance metric	Microbiome-host phylogeny		Microbiome-diet	
			Matching cluster congruence score	*P*	Matching cluster congruence scores	*P*
Full dataset	15	Bray-Curtis	**0.52**	**<0.001***	0.57	0.058
		UniFrac	0.68	0.060	0.74	0.407
		Weighted UniFrac	**0.58**	**0.004***	**0.51**	**0.005***
Batch 1 (2017-2019)	14	Bray-Curtis	**0.45**	**<0.001***	0.70	0.74
		UniFrac	0.71	0.493	0.64	0.344
		Weighted UniFrac	**0.57**	**0.006***	0.61	0.077
Batch 1-NY (2017-2019)	13	Bray-Curtis	**0.44**	**<0.001***	**0.53**	**0.041***
		UniFrac	0.73	0.454	0.69	0.144
		Weighted UniFrac	**0.52**	**0.003***	0.74	0.340
Batch 2 (2020)	14	Bray-Curtis	**0.56**	**0.004***	**0.62**	**0.041***
		UniFrac	**0.59**	**0.017***	0.64	0.494
		Weighted UniFrac	0.79	0.701	0.73	0.592

 Figure captions

Figure 1. A) Phylogenetic relationships between host species in this study. Upside down
triangles indicate low diversity diet, triangles indicate high diversity diet, and squares indicate
intermediate diet diversity based on our COI diet index. 2017-2019 represents the batch 1 subset
of the data, and 2017-2020 represents the full dataset. Illustrations © Lynx Edicions. **B)** Relative
abundance of bacterial phyla in the full 16S dataset, and **C)** Relative abundance of arthropod
orders in the full COI dataset. See Table 1 for host species codes.
936
Figure 2. Relationship between diet diversity and gut microbiome diversity. **A)** Distribution of
diet index scores by host species, where a low score is reflective of low diet diversity or diet
specialization. Color indicates assignment to diet type and is consistent with part C, **B)**
Individual diversity of the gut microbiome is not correlated with diet diversity. Dashed line is a
linear model fit to the data. Point color reflects warbler species (see Table 1 for species codes).
C) Microbiome alpha diversity does not differ among diet types, as classified by diet index. In
each panel, data shown are from sequencing batch 1.
944
Figure 3. Gut microbiome dissimilarity is most strongly explained by host taxonomy and
evolutionary history. **A)** Principal coordinate analysis (PCoA) of Bray-Curtis distance between
host gut microbiomes sequenced in batch 1. Point color represents host species, and shape
represents geographic locality. See Table 1 for species codes. **B)** PCoA of Bray-Curtis distance
between host gut microbiomes sequenced in batch 1 for individuals that passed both diet and
microbiome data filters. Point color represents species diet type as defined by our index of diet
specialization. Ellipses are drawn at 50% confidence level. **C)** Mean congruence scores between
the species-averaged gut microbiome (GM) dendrogram and the host species phylogeny (blue)
and the species-average host diet dendrogram (gray). Each mean congruence score represents the
mean across three analyses using Bray-Curtis, UniFrac, and Weighted UniFrac metrics, where
low scores reflect high congruence. See Table 2 for statistical results of each test.