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A thermal radiator underlies plasticity to extreme

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temperatures in the world's largest bird

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17 **Abstract**

18 Organisms inhabiting extreme thermal environments, such as desert birds, have evolved various
19 adaptations to thermoregulate during hot days and cold nights. However, our knowledge of
20 selection acting on thermoregulatory traits and their evolutionary potential is limited,
21 particularly for large organisms experiencing extreme temperature fluctuations. Here we show,
22 using thermal imaging that the featherless neck of the ostrich (*Struthio camelus*) acts as a
23 ‘thermal radiator’, protecting the head from overheating during hot conditions and conserving
24 heat during cool conditions. We found substantial individual variation in thermal plasticity of
25 the neck to dissipate heat away from the head that was associated with increased egg-laying
26 rates during high ambient temperatures. Combined with low, but significant, heritability
27 estimates of individual thermal profiles, these findings suggest that the ostrich neck functions
28 as an adaptive thermal radiator with evolutionary potential. There were also signatures of past
29 selection, since ostriches originating from more volatile climatic regions and females that
30 incubate during hot daytime conditions exhibited especially high thermal plasticity. Taken
31 together our results indicate that morphological adaptations involved in ostrich
32 thermoregulation, such as the neck, are experiencing ongoing selection and are crucial for
33 successfully reproducing under fluctuating climatic conditions.

34 **Introduction**

35 Organisms need to manage heat and cold stress to survive and reproduce in variable
36 environments¹. The universal challenge of coping with thermal stress²⁻⁵ has promoted the
37 evolution of morphological adaptations that regulate temperature⁶⁻⁸. For example, the ears of
38 the elephant (*Loxodonta africana*)⁹, the extended bill of the Toco Toucan (*Ramphastos*
39 *toco*)^{10,11} and the featherless head patches of the Zebra Finch (*Taeniopygia guttata*)¹² all
40 function to reduce thermal stress. These structures effectively work as ‘thermal radiators’^{12,13},
41 emitting excess heat to the surrounding environment during hot conditions and reducing heat
42 loss during cold conditions¹³. However, whether such thermal radiators vary among individuals,
43 are heritable and are targets of selection is unclear. Consequently, our understanding of the
44 ecological and evolutionary processes shaping such thermal adaptations is limited³.

45
46 The evolutionary capacity of populations to cope with short-term thermal stressors, such as
47 heatwaves, requires genetic variance in thermoregulatory traits^{2,5,14}. Yet empirical findings
48 suggest that genetic variation in thermal and climatic adaptations is often low^{15,16}. Body
49 temperatures and thermal adaptations are also often strongly phylogenetically conserved^{17,18}
50 and seem to evolve slowly compared to other traits¹⁹. Williams²⁰ even questioned if
51 endothermic body temperatures could evolve, suggesting there will be evolutionary stasis in
52 thermal adaptations. The challenges associated with quantifying genetic variance in
53 thermoregulatory traits have hindered progress in assessing whether thermal adaptations can
54 evolve at a sufficient pace to keep up with climate change. This has, in turn, made it difficult to
55 assess the possible elevated extinction risks caused by increasing temperatures²¹⁻²⁵.

56
57 An important factor influencing selection for thermoregulation is body size. Large bodies can
58 cause higher thermal inertia, and a slower rate of body temperature change, compared to small

59 bodies^{1,26}. Thermal inertia can help maintain body temperatures during cold conditions, but can
60 jeopardise survival and reproduction when extreme temperatures cause heat stress^{2,4,5,14,23,27}.
61 Large animals might be particularly vulnerable to rapid changes in climatic conditions as their
62 rate of adaptation is predicted to be lower due to their longer generation times and lower
63 population sizes^{24,25}. Understanding how large-bodied animals cope with thermal stress in
64 fluctuating and stressful thermal environments therefore requires particular attention.

65

66 Here we study thermoregulation and thermal plasticity in the largest surviving bird species in
67 the world: the flightless ostrich (*Struthio camelus*) (Fig. 1). We have recently shown that there
68 is heritable variation in thermal tolerance, and that both heat and cold stress reduce reproductive
69 success in female ostriches^{4,27}. However, the specific phenotypic adaptations that underlie these
70 differences in reproductive thermal tolerance are unknown, as well as the possible role of
71 thermal plasticity and genetic variation in plasticity. To link individual variation in
72 thermoregulation with reproductive success, we combined a large-scale thermal imaging
73 dataset ($n_{\text{images}}=5531$, $n_{\text{individuals}}=794$) with daily weather records and measures of individual
74 reproductive success in the Klein Karoo, South Africa. This hot and dry area shows some of
75 the highest fluctuations in temperature in Africa and on Earth, with ambient temperatures
76 ranging from -5 to 45°C ⁴. Additive genetic variance in thermoregulation was estimated using a
77 nine-generation pedigree. With this data we examined: 1) if there are morphological features
78 that act as thermal radiators that enable heat to be dissipated when hot and conserved when
79 cold; 2) how variation in the efficiency of thermal radiators influence reproductive success,
80 measured as egg-laying rates; 3) levels of genetic variation in thermal radiators within
81 populations, and 4) if the efficiency of thermal radiators differs between populations from
82 environments which have experienced different levels of past climatic fluctuations during their
83 evolutionary history.

84

85 **Results**

86 **The neck as a thermal radiator**

87 We found evidence for extensive thermal plasticity in both the head and neck, with surface
88 temperatures (T) rising with air temperatures (T_{Head} (credible interval, CI) = 10.1 (9.1, 11.0),
89 $p\text{MCMC} = 0.001$; slope T_{Neck} (CI) = 12.3 (11.2, 13.4), $p\text{MCMC} = 0.001$; Fig. 2A; Tables S1-
90 S2) and declining as air temperatures decreased (T_{Head} (CI) = -6.1 (-7.8, -4.3), $p\text{MCMC} = 0.001$;
91 T_{Neck} (CI) = -6.3 (-8.4, -4.4), $p\text{MCMC} = 0.001$; Fig. 2B; Tables S1-S2). The neck exhibited
92 significantly more thermal plasticity (a steeper slope) compared to the head (Increasing air T_{Neck}
93 vs Increasing air T_{Head} (CI) = 1.5 (1.3, 1.8), $p\text{MCMC} = 0.001$; Decreasing air T_{Neck} vs
94 Decreasing air T_{Head} (CI) = -2.2 (-2.9, -1.4), $p\text{MCMC} = 0.001$, Fig. 2C; Table S3). The greater
95 thermal plasticity of the neck suggests that it may function as a thermal radiator to get rid of
96 excess heat to protect the head and brain. This was supported by neck and head differences
97 being small at benign temperatures (air temperatures $> 20^{\circ}\text{C}$ & $< 30^{\circ}\text{C}$), where the need for
98 thermoregulation is reduced, but large at low (air temperatures $\leq 20^{\circ}\text{C}$) and high temperatures
99 (air temperatures $\geq 30^{\circ}\text{C}$) (Fig. 2D).

100

101 The amount of thermal plasticity differed between males and females (Figs. 1, 2C). Males were
102 less plastic than females, with a slower increase in neck surface temperature at high
103 temperatures (Males vs females_{Neck} (CI) = -1.5 (-2.0, -0.9), $p\text{MCMC} = 0.001$; Fig. 2C; Table
104 S2; Table S1 for similar results for the head). This result was not due to body mass differences
105 between males and females (Table S5; Fig. S1-S2, Table S4 for similar results for the head),
106 making it unlikely that sex differences in thermal plasticity are explained by the larger males
107 having more thermal inertia.

108

109 **The importance of the thermal radiator for reproduction**

110 To test if the neck is functionally important as a thermal radiator, we quantified its efficiency
111 in regulating head temperature, measured by the individual temperature difference between the
112 neck and the head (neck-head temperature). We found that neck-head temperature was
113 significantly related to egg-laying rates (Fig. 3A). This buffering effect of the neck temperature
114 on head temperature was positively related to female egg-laying rates when hot, but not when
115 benign ($\text{Hot}_{\text{Neck-Head}}$ (CI) = 0.16 (0.01, 0.31); pMCMC = 0.039; Fig. 3A; Table S7).

116
117 Neck-head temperature differences also increased significantly when females incubated during
118 hot afternoons, but not cold mornings (Fig. 3B-C). Furthermore, the neck showed a stronger
119 plastic response compared to the head when females were incubating during the hot afternoons
120 (paired t-test: $t_{1,11} = 2.3$, $P = 0.041$). Monitoring the thermal profile of an incubating female
121 further illustrated that thermal stress increased as incubation progressed (Fig. 3D-E). After 35
122 minutes, the female halted incubation, moved into shade, and opened her wings. This reduced
123 her surface temperature to the level of non-incubating (standing) females (Fig. 3D). These
124 results suggest that head temperature regulation, via heat dissipation from the neck, has benefits
125 for female egg production as well as for their ability to successfully incubate these eggs (Fig.
126 3A; Table S7). The differences in thermal plasticity between the sexes may therefore reflect
127 sex-specific adaptations to their different reproductive roles: whereas females incubate during
128 the hot hours of the day, males primarily incubate during the night²⁸.

129

130 **Quantitative genetics and the heritability of thermal radiator efficiency**

131 When investigating the potential for the neck as a thermal radiator to evolve, we found that the
132 repeatability of the neck-head temperature among individuals ranged from 0.09 to 0.15 at cold,
133 benign and hot temperatures (Fig. 4, Table S8). Repeatability is expected to be relatively low
134 as thermal profiles can be influenced by many factors, such as air temperature, microhabitat

135 and activity prior to measurement (Figs. 1-2). Nevertheless, heritability estimates were
136 significant, ranging from 0.04 to 0.06, in the neck-head temperature (Fig. 4, Table S8, see also
137 Table S9-S10 for separate models of head and neck). These heritability estimates are low,
138 suggestive of limited evolutionary potential, but it should be noted that they are capped by low
139 repeatabilities. With our current data it is difficult to know the exact magnitude of these
140 heritabilities, other than that they are significantly greater than zero.

141

142 Further support for a genetic basis of the neck as thermal radiator was evident from a significant
143 negative genetic correlation (r_g) between the intercept (at 20°C) and the slope of the thermal
144 reaction norms to increasing temperatures (r_g (CI) = -0.60 (-0.79, -0.27); Table S11).
145 Individuals that under benign conditions (low intercept at 20°C) had relatively little heat loss
146 through their neck compared to their head, emitted more heat through their necks as
147 temperatures increased (steeper positive slopes). In contrast, individuals with a relatively high
148 neck heat loss at benign temperatures (high intercepts at 20°C) exhibited little change with
149 increasing temperatures (shallower slopes ~ 0 . Fig. S4).

150

151 **Thermal plasticity differs between populations from different climatic regions**

152 We compared the efficiency of the neck at regulating head temperatures across three different
153 ostrich populations, all kept at the study site in South Africa (Fig. 5). These populations, South
154 African Blacks (SAB: *Struthio camelus*), Zimbabwean Blues (ZB: *S. c. australis*) and Kenyan
155 Reds (KR: *S. c. massaicus*) differ in their geographic origin and evolved under different climatic
156 regimes (Fig. 5A-B). East African regions, where the KR naturally occurs, is less seasonal,
157 exhibiting lower fluctuations in temperature and precipitation, than the regions where ZB and
158 SAB populations occur (Fig. 5B). Ostriches from these populations also differed in

159 morphology, particularly size and shape (Fig. 5C-D, Tables S12-S13), with KR and ZB having
160 longer necks than SAB (Fig. 5C-D).

161
162 We investigated whether variation in morphology and climatic conditions across populations
163 corresponded to differences in the thermoregulatory properties of the neck. Morphological
164 differences between the three populations did not explain variation in neck and head thermal
165 plasticity. There were nonetheless pronounced differences between populations in plasticity
166 that matched with the climatic stability of the environments they originated from. The KR, that
167 occurs in more stable natural environments, displayed little plasticity, i.e. showed a constant
168 neck-head temperature when temperatures increased from benign to hot (Fig. 5E). This was not
169 the case for the SAB and ZB that originated from the more seasonal environments of southern
170 Africa. They showed higher thermal plasticity, with the neck-head temperature increasing from
171 benign to hot (Fig. 5E). This suggests that the population differences in adjustments in the neck
172 to stabilize head temperatures have been shaped by past and current climatic conditions in
173 different regions across Africa.

174

175 **Discussion**

176 This study shows that the ostrich neck functions as a thermal radiator, dissipating heat away
177 from the head under high temperatures and reducing heat loss under cooler conditions (Fig. 2).
178 The efficiency of this thermal radiator appears to have a genetic basis and promotes
179 reproduction under a greater range of temperatures (Fig. 3-4). Ostriches from populations that
180 experience greater temperature changes were also more efficient at dissipating heat through
181 their necks. These results suggest that the ostrich neck is an efficient thermal radiator with the
182 potential for further adaptation that appears to have partly evolved in response to fluctuating
183 climates (Figs. 4-5).

184

185 Previous mechanistic studies have identified various morphological structures as potential
186 thermal radiators, including bare skin patches¹² and external appendages, such as the ears of
187 elephants⁹, the enlarged claws of fiddler crabs²⁹ and the beaks of birds^{10,11,30}. In addition,
188 secondary sexual signaling characters involving melanin or structural iridescent colors can also
189 have cascading effects on body temperature, and be either beneficial in cold environments or
190 to decrease risk of overheating in hot environments^{31–33}. While these previous mechanistic
191 studies have provided compelling evidence for the thermoregulatory consequences of various
192 morphological traits, they have not provided direct evidence for their fitness consequences,
193 plasticity, and underlying genetic variation. In this study, the results of sex differences (Fig. 2),
194 population differences (Fig. 5) and significant additive genetic variance in thermal plasticity
195 (Fig. 4) jointly suggest that past and present climatic conditions have shaped the evolution of
196 the ostrich neck as a thermal radiator.

197

198 The low estimates of heritability we found raises the question if further evolutionary responses
199 to selection for thermal plasticity are possible. Previous studies of thermal adaptation across
200 different organisms have also shown heritability to be low, suggesting that the genetic basis of
201 thermal plasticity may just be difficult to quantify^{15,16}. Alternatively, it may be that thermal
202 plasticity is nonadaptive or maladaptive, a contention supported by recent empirical research<sup>34–
203 37</sup>. For example, plasticity in both core body temperature, and the temperatures of external body
204 parts can be maladaptive in both ectotherms, like insects³⁴ and reptiles³⁸, and endotherms, like
205 birds³⁹. Such maladaptive thermal plasticity may result from the costs of maintaining
206 homeostasis and stable body temperatures under thermally stressful conditions, leading to
207 selection for reduced plasticity and increased thermal canalization^{34,38}.

208

209 We found that ostriches that experienced the lowest heat loss from the neck at benign
210 temperatures also had the greatest plastic responses to increasing temperatures. This was
211 mirrored by a negative genetic correlation between the slope and the intercept of the thermal
212 reaction norm, revealing that there is additive genetic variation for thermoregulation via the
213 neck, i.e. the presence of genetic variation in thermal plasticity. One interpretation of this result
214 is that individuals operating at their maximum thermoregulatory capacity under benign
215 temperatures have reduced scope for heat dissipation as temperatures increase. This negative
216 genetic correlation is also consistent with a trade-off between the thermal slope and intercept,
217 that is, the ability to buffer against heat stress might conflict with the ability to warm up during
218 cold conditions. Such trade-offs have been discussed for a long time in the thermal adaptation
219 literature, but there is little previous empirical evidence for their existence in endotherms⁴⁰⁻⁴³.
220 Alternatively, the negative relationship between slope and intercept may simply reflect that the
221 expression of additive genetic variance is higher at benign conditions compared to hot
222 conditions.

223
224 Our findings raise general questions about the evolutionary origins of novel thermoregulatory
225 traits beyond the ostrich neck. In particular, has the neck of the ostrich evolved to be long to
226 cope with thermal stress, or is it an example of a so-called "exaptation"⁴⁴, where a pre-existing
227 trait became co-opted for a new purpose? The bills of birds^{10,11,30} and sexual signaling traits
228 such as coloration in various invertebrates^{29,31,32,34} can also influence thermoregulation, and
229 illustrate how traits with originally non-thermal functions can subsequently be modified and
230 maintained by selection pressures that differ from those that drove original spread of the traits⁴⁵.
231 A classic example of a putative exaptation is the long neck of the giraffe (*Giraffa*
232 *camelopardalis*), where the original explanation by Darwin was that the neck became extended
233 because of natural selection for foraging efficiency, fueled by interspecific competition⁴⁶. Later

234 work questioned this evolutionary origin by revealing that the neck is also important in male-
235 male competition over access to females⁴⁶. In the case of the ostrich, its long neck probably
236 serves multiple functions, including foraging, vigilance and amplification of male mating
237 sounds^{28,47} but currently it also functions in thermoregulation. There are signs that other such
238 co-opted thermoregulatory traits are currently rapidly evolving, due to the increasing
239 temperatures of recent and ongoing climate change, consistent with "Allen's Rule"³⁰.
240 Specifically, the relative length of appendices and bird beaks that function as thermal radiators
241 have increased during recent decades³⁰. Given these recent trends in other animals, it is possible
242 that the neck length of the ostrich will increase in the future to improve the ability to get rid of
243 excess heat.

244

245 Decades of avian research in the temperate zone has focused on food availability in altricial
246 birds as a major limiting factor for reproduction^{48,49}. However, for precocial birds inhabiting
247 tropical and subtropical areas, like the ostrich, temperature stress during reproduction might
248 pose a more severe challenge than food limitation¹⁴. Morphological traits can maintain non-
249 lethal body temperatures^{10-13,29,50}, but linking such traits to reproductive success and
250 quantifying their evolutionary potential has proven to be difficult. Recent research from several
251 taxa suggest that climate-mediated local extinctions might already be common^{21,22}, with signs
252 of collapse of some desert bird communities being documented⁵¹. Whether genetic variation in
253 adaptations underlying thermal plasticity, such as the ostrich neck, are sufficient to enable rapid
254 and large evolutionary responses to increasingly hot and fluctuating conditions remains an open
255 question. While challenging, combining analyses of the genetics of thermal tolerance with long-
256 term population monitoring of reproduction and survival is key to forecasting the potential
257 damage caused by climate change, especially for vulnerable species such as large, tropical
258 endotherms like the ostrich.

259

260 **Methods**

261 **1. Study site and study populations**

262 The study was conducted at the Oudtshoorn Research Farm in the arid Klein Karoo region of
263 South Africa (GPS: 33° 38' 21.5"S, 22° 15' 17.4"E). Fenced enclosures (N=181) were used to
264 monitor the reproductive success of ostriches that included 156 (~0.25 ha) for male-female pairs
265 ⁵², 11 for solitary males (0.03 ha) and 22 for groups (~0.47 ha). All individuals had access to
266 *ad libitum* food and water. The ostrich individuals in this study belong to three different
267 subspecies, hereafter referred to as populations: 1) the Masai ostrich (*Struthio camelus*
268 *massaicus*), sometimes referred to as the Kenyan Red (KR), 2) the Southern African ostrich,
269 (*S. c. australis*), sometimes referred to as the Zimbabwean Blue (ZB) because of its origin in
270 Namibia and Zimbabwe, and 3) the South African Blacks (SAB), that is thought to be of mixed
271 origin, but is genetically very similar to ZB (Davies et al 2012; unpublished data). SAB are also
272 referred to as *S. c. var. domesticus*. Individuals that had less than 85% expected relatedness to
273 one population, as determined by the pedigree (see below), were considered hybrids. Breeding
274 birds were recruited from surviving chicks from previous years, and parentage data were used
275 to compile a 9-generation pedigree with 139 founding individuals. Ethical clearance was
276 obtained from the Western Cape Department of Agriculture (DECRA R12/48).

277

278 **2. Thermal imaging data**

279 From 2012 to 2018 we took thermal images of ostriches in the enclosures using an infrared
280 thermography camera (H2640, NEC Avio Infrared Technologies). Pictures were usually taken
281 from distances between 2m and 25m. We used the software InfRec Analyzer to draw separate
282 polygons within the head and neck of the ostrich in each image, and the average temperature of
283 these polygons were extracted as individual head and neck surface temperatures, respectively.

284 We used the same procedures and same default settings of the software as in our previous work,
285 assuming an emissivity of 1^{31,34}. As a measure of ambient temperature, we used estimates of
286 hourly temperatures from a weather station positioned 600 m from the field site. We fitted a
287 cubic spline to the hourly temperature estimates of each day using the R-package *mgcv* v.1.8⁵³,
288 from which we extracted the predicted ambient temperature at the time-points when thermal
289 images were taken.

290

291 **3. Datasets and analyses**

292 *3.1) Quantifying thermal plasticity of head and neck surface temperatures*

293 From 2012 to 2017, we took 5586 pictures of 794 individuals from early morning till late
294 afternoon, giving on average of seven pictures per individual. This dataset was designed with
295 the aim of having a high number of individuals with repeated sampling within and across years,
296 such that each individual was monitored in different thermal environments, but with little
297 repeated sampling within days (8% of the pictures).

298

299 With this dataset we modelled the thermal plasticity in surface temperatures of the head and
300 neck in response to air temperature using generalized linear mixed models (GLMMs). A
301 previous investigation showed reproductive success of ostriches is highest at a daily maximum
302 temperature of ~20°C⁵⁴. We therefore defined 20°C as the optimum temperature for ostriches,
303 and calculated absolute *temperature change* away from this optimum, defining the factor
304 *direction* to denote whether the change in temperature was due to a decrease or increase from
305 the optimum. To make the intercept of statistical models represent the most benign temperature,
306 we set 20°C to 0 and calculated deviations above (increases) and below (decreases) this value.
307 The variance of slopes (see below) depends on the scale of the environmental parameter, and
308 we therefore standardized our data by dividing it by the maximum of the temperature range,

309 resulting in 1 being the maximum temperature change. Head and neck temperatures were
310 modeled as Gaussian traits in separate models. Models included the fixed effects of temperature
311 change (ranging from 0 to 1) and direction (decreases or increases). The interaction between
312 temperature change and direction was modelled with a common intercept for decreases and
313 increases, as the way temperature change was calculated dictated that the intercepts were
314 identical. We included the fixed effects of population (SAB, ZB, KR or hybrids), sex (male or
315 female) and both the linear and quadratic terms of time of day (scaled and centered to a mean
316 of zero and unit variance). We included interactions between population, temperature change
317 and direction, as well as between sex, temperature change and direction.

318

319 We accounted for environmental effects that varied across years, such as diet, by including year
320 as a random effect. Photographs were taken across 48 days, and we therefore included date as
321 a random effect. We also added enclosure as a random effect as the enclosures varied in
322 vegetation cover, potentially impacting on the local climatic conditions, and were repeatedly
323 used across years. Temperature change and direction were interacted with individual ID, to
324 allow independent rates of change in surface temperature of each individual. This was modelled
325 as a 3x3 unstructured variance-covariance matrix.

326

327 GLMMs were run in R v.3.6.0⁵⁵ using the Bayesian framework implemented in the R-package
328 MCMCglmm v.2.29⁵⁶. For random terms we used the weakly informative inverse-Gamma
329 distribution (scale = 0.001, shape = 0.001, i.e. $V = \text{diag}(n)$, $\nu = n-1+0.002$, with n being the
330 *dimension of the matrix*) as priors. Each model was run for 5,100,000 iterations of which the
331 initial 100,000 were discarded and only every 4,000th iteration was used for estimating
332 posterior probabilities. The number of iterations was based on inspection of autocorrelation
333 among posterior samples in preliminary runs. Convergence of the estimates was checked by

334 running the model three times and inspecting the overlap of estimates in trace plots and the
335 level of autocorrelation among posterior samples. Posterior mode and 95% credible intervals
336 are reported for random effects.

337

338 *3.2) Determining the impact of body mass on thermal plasticity*

339 To test if differences in thermal plasticity were caused by differences in body mass, we ran a
340 separate set of models including individual body mass as an additional fixed effect. We had
341 records of body mass for 792 individuals and when multiple records were available for one
342 individual we used the record closest to the time of the thermal image. Models for surface
343 temperature of the head and neck were implemented using the model structure described in
344 **Methods 3.1**, but with body mass (scaled and centered to a mean of zero and unit variance)
345 interacted with all the previously described fixed effects.

346

347 *3.3) Comparing thermal plasticity of the neck with the head*

348 We compared the level of thermal plasticity in surface temperatures between the neck and head.
349 This was done by running a model that included body part (neck or head) as a fixed effect. The
350 models were implemented using the model structure described in **Methods 3.1**, but with body
351 part interacted with all the previously described fixed effects. We also added image as an
352 additional random effect because head and neck surface temperatures were derived as pairs
353 from the same image.

354

355 *3.4) Investigating the neck as a thermal window*

356 Results from previous analyses (**methods 3.1**) indicated that the neck functions as a thermal
357 window emitting excess heat during hot periods and conserved heat during cold periods. To
358 investigate this further, we defined the efficiency of the thermal window as the difference

359 between neck and head surface temperatures. Positive values therefore indicated that the neck
360 was warmer than the head and negative values when the head was warmer than the neck. To
361 test if the neck-head temperature at high air temperatures differs from benign and cold air
362 temperatures, we grouped air temperature into three categories: Cold (air temperatures $\leq 20^{\circ}\text{C}$,
363 $n_{\text{images}} = 1683$), Benign (air temperatures $> 20^{\circ}\text{C}$ & $< 30^{\circ}\text{C}$, $n_{\text{images}} = 2152$) and Hot (air
364 temperatures $\geq 30^{\circ}\text{C}$, $n_{\text{images}} = 1696$). The grouping of air temperature into these three
365 categories was based on the thermal neutral zone of the emu⁵⁷, and to ensure roughly equal
366 replication within the cold and hot categories. These categories do not capture the effects of
367 small deviations in air temperature, and we therefore added a continuous measure of the
368 deviation from the mean ambient air temperature in each category. This variable was
369 constructed by centering and scaling the air temperature records within each air temperature
370 category. We modelled the response of the neck-head temperature (Gaussian) to air temperature
371 category by following the same general approach in **Methods 3.1**. The major difference was
372 that air temperature category was included as a fixed factor (instead of air temperature change
373 and direction) and interacted with female ID to generate a 3x3 unstructured variance-covariance
374 matrix composed of the cold, benign and hot temperature categories. We also estimated the
375 residual variance separately for each air temperature category.

376

377 *3.5) Effect of neck and head surface temperatures on reproductive success*

378 To test if the efficiency of the neck as a thermal window influences reproductive success we
379 analyzed its relationship with rates of egg-laying. We connected thermal measurements of
380 individual females to their egg-laying records. From previous investigations we know that when
381 daily maximum temperature exceeds 20°C ostrich egg laying-rate starts to slowly decrease two
382 to four days later, possibly because this is the time it takes for the egg to travel down the
383 oviduct⁵⁴. We therefore monitored whether any eggs were laid two to four days after females

384 were thermal imaged at days reaching more than 20°C. If females with higher neck- than head
385 surface temperatures have a higher probability of laying an egg during this time window, this
386 would indicate that the thermoregulatory capacity of the neck is indeed important for
387 reproductive success. We are, however, careful with inferring causation, as it is possible that a
388 third confounding factor, such as metabolic rate, may generate an autocorrelation between heat
389 emitted via the neck and laying rates. To overcome this, we grouped the data into photographs
390 taken during hot and benign times of the days (**methods 3.4**). If an elevated neck to head
391 temperature ratio reflects increased tolerance to heat, then its positive relationship with egg-
392 laying should be most pronounced when hot.

393

394 Egg laying rates were analysed using the model structure described in **Methods 3.1**, but with
395 the following modifications: a) the probability of laying (binary, model type: “threshold”) was
396 used as the response variable, b) the fixed effects included were neck-head temperature
397 difference (scaled and centered to a mean of zero and unit variance), ambient air temperature
398 category (hot or benign), and population (KR, SAB, ZB or Hybrid), as well as the interaction
399 between neck-head temperature and temperature category, c) as females of two years of age lay
400 fewer eggs than older females⁵⁴, a factor of age (2 versus >2) was also included as a fixed
401 effect, and d) year, date, enclosure and individual ID were included as random effects. The first
402 45 days of the breeding season were removed as this is the average time it takes for pairs to
403 acclimate to each other and their enclosure⁵⁴. We also removed females that laid fewer than ten
404 eggs per year to avoid including females from incompatible pairs, and individuals that did not
405 enter the breeding state. Each model was run for 31,500,000 iterations of which the initial
406 1,500,000 were discarded and only every 10,000th iteration was used for estimating posterior
407 probabilities.

408

409 *3.6 Thermoregulation during incubation*

410 In 2017, we obtained thermal images of six females incubating in the morning (<12.00 am)
411 when air temperatures are typically lower, and of 11 individuals incubating in the afternoon
412 (>12.00 am) when the air temperatures were substantially higher. To test if their surface
413 temperatures increased during incubation during each of these two time periods, we used paired
414 t-tests to compare the surface temperatures of each of these individuals with the mean surface
415 temperatures of two standing individuals, one photographed shortly before and one
416 photographed shortly after the sitting individual. Tests were done separately for the surface
417 temperatures of the head and neck. One of the incubating individuals was photographed twice
418 in sequence and we therefore used the mean surface temperatures of the two observations. In
419 three cases, two incubating individuals were paired with the same standing individuals and
420 instead of using the mean surface temperatures of two standing individuals, each incubating
421 individual was paired to the closest standing individual. We also tested if the temperature
422 differences between incubating and standing individuals were more pronounced in either the
423 head or neck than in the other. For this we used paired *t*-tests to compare temperature differences
424 of the head with temperature differences of the neck. Finally, we closely monitored the change
425 in surface temperature for one female during prolonged incubation sessions when she was
426 standing after incubating. Eighteen pictures of this female were taken over 82 minutes, each
427 followed by at least one picture of another standing individual to create a background reference
428 image for comparison. Due to the nature of this dataset, we did not perform any statistical
429 analyses, but present the data as an observation of the dynamics of thermoregulation during
430 incubation.

431

432 *3.7) Quantifying the evolutionary potential of thermal plasticity*

433 Using the model of the change in neck-head temperature in each air temperature category
434 (**methods 3.4**), we estimated the repeatability (R) of the neck-head temperature under different
435 temperature conditions. This was done using the estimates of permanent individual variances
436 (pe) estimated in the variance-covariance matrix of individual ID by air temperature category:

$$437 \quad (1) R = \frac{\sigma^2_{pe}}{\sigma^2_{pe} + \sigma^2_{year} + \sigma^2_{enclosure} + \sigma^2_{res}}.$$

438 The individual variance and covariance in neck-head temperatures may originate from both
439 environmental and genetic factors. To partition the among-individual variance that is due to
440 additive genetic effects we added a second 3x3 unstructured variance-covariance matrix of
441 individual ID linked to the pedigree (a). With these variance components, we estimated the
442 narrow sense heritability (h^2) of the neck-head temperature in each air temperature category as
443 the proportion of phenotypic variance attributable to additive genetic variance:

$$444 \quad (2) h^2 = \frac{\sigma^2_a}{\sigma^2_{pe} + \sigma^2_a + \sigma^2_{year} + \sigma^2_{camp} + \sigma^2_{res}}$$

445 We also estimated evolvability (I_A)⁵⁸:

$$446 \quad (3) I_A = \frac{\sigma^2_a}{\text{trait mean}^2} * 100$$

447 One characteristic of evolvability is that it increases vary fast as trait mean approaches zero.
448 When we initially used the posterior of the trait mean to estimate evolvability, this caused near
449 infinity estimates of evolvability for some of the samples in the posterior, causing biased
450 estimates of the posterior mode and mean of evolvability. To avoid this, we used the posterior
451 mode of trait mean in the denominator, such that only the uncertainty of additive genetic
452 variance is included in the reported estimate of evolvability. We also ran identical models with
453 head or neck surface temperature as the response variable. The outcome of these analyses is
454 available in the supplementary materials (**Tables S9-S10**).

455

456 Finally, we modelled neck-head temperature in a random regression model, using the model
457 structure described in **methods 3.1**. This approach was taken to investigate if the neck-head
458 temperature at the optimum temperature (the intercept) influences the rate of change in neck-
459 head temperatures as air temperatures increase or decrease (the slopes). To test if such a
460 relationship is driven by different families, we added a second 3x3 unstructured variance-
461 covariance matrix of individual ID linked to the pedigree and interacted with temperature
462 change and direction. The genetic variance and co-variance was then used to estimate the
463 genetic correlation between the slopes and intercepts ($\text{correlation} = \text{covariance}_{\text{trait1,trait2}} /$
464 $\text{sqrt}(\text{var}_{\text{trait1}} * \text{var}_{\text{trait2}})$) (**Table S11**).

465

466 3.8) Current distribution and morphology of ostrich populations

467 We obtained estimates of current distributions of *S. c. massaicus* (KR) and *S. c. australis* (ZB).
468 This was done by downloading region-based presence/absence data from Avibase
469 (<https://avibase.bsc-eoc.org>, September, 2020) and plotting these using the R-package
470 “rnaturalearth” v. 0.1.0. To identify climatic differences in their distributions we downloaded
471 19 bioclimatic variables (10min) from WorldClim v. 2⁵⁹. We performed a Principal Component
472 Analyses (PCA) and inspected the loadings of the first four principal components after varimax
473 transformation (**Table S14**). Based on this inspection we described each principal component
474 by one or two bioclimatic variables to characterize climatic differences.

475

476 We estimated population differences in neck morphology between SAB ($n_{\text{individuals}} = 23$), ZB
477 ($n_{\text{individuals}} = 16$) and KR ($n_{\text{individuals}} = 21$) by measuring height and neck length. Neck length was
478 measured from from the cranium to the point where the neck enters the body. Height was
479 measured as the distance from the ground to the cranium. We modelled neck length as a
480 Gaussian response variable in a linear model including population (SAB, KR or ZB), sex (male

481 or female) and height (centred and scaled) as fixed effects. We also included the interaction
482 between height and sex and between height and population. The model was run in MCMCglmm
483 v.2.2 for 31,500,000 iterations of which the initial 1,500,000 were discarded and only every
484 10,000th iteration was used for estimating posterior probabilities. Model diagnostics were
485 performed as described in **methods 3.1**. To examine population differences in the relative neck
486 length we ran an identical model with neck length/height as Gaussian response variable. In this
487 model height was not included as a fixed effect.

488

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507

508 **Author Contributions**

509 Conceptualization: E.I.S, C.K.S., M.F.S.

510 Data curation: E.I.S., C.K.C., M.F.S., A.E., Z.B., S.C.

511 Formal analysis: M.F.S.

512 Funding acquisition: C.K.C, E.I.S., M.F.S, S.C.

513 Investigation: E.I.S., C.K.C., J.M., J.W., M.F.S., S.C., Z.B

514 Methodology: E.I.S., C.K.C., M.F.S.

515 Project administration: E.I.S., C.K.C., M.F.S.

516 Writing- original draft E.I.S, C.K.C., M.F.S.

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518

519 **Competing interests**

520 Authors declare that they have no competing interests.

521

522 **Data and materials availability**

523 All data extracted from thermal images are available at <https://osf.io/fu2wx/>. The remaining
524 data used support the findings of this study are available from the Western Cape Department of
525 Agriculture in South Africa (WCDA). Restrictions apply to the use of some of these data, which
526 are thus not publicly available. These data are however available from the WCDA
527 upon reasonable request.

528

529 **Code availability**

530 Code for analyses is available on Github: [www.github.com/abumadsen/thermal-images-](https://www.github.com/abumadsen/thermal-images-ostrich/tree/main)
531 [ostrich/tree/main](https://www.github.com/abumadsen/thermal-images-ostrich/tree/main)

532

533 **Supporting Information**

534 Tables S1-S16

535 Figures S1-S5

536

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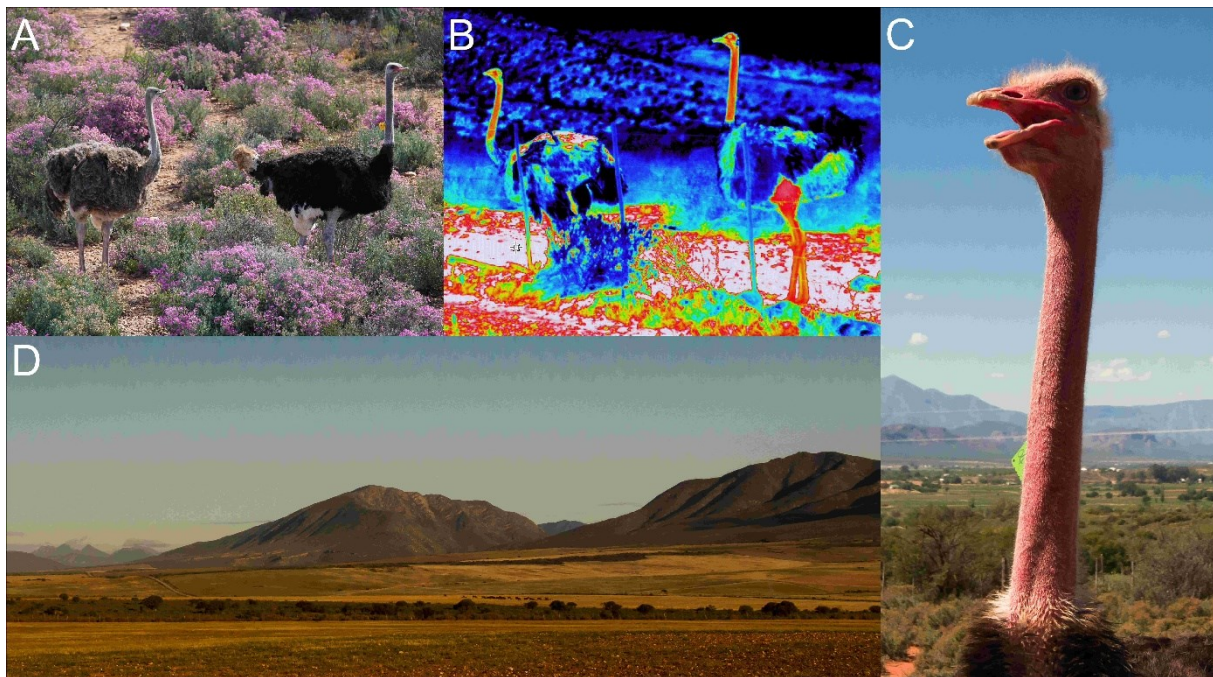
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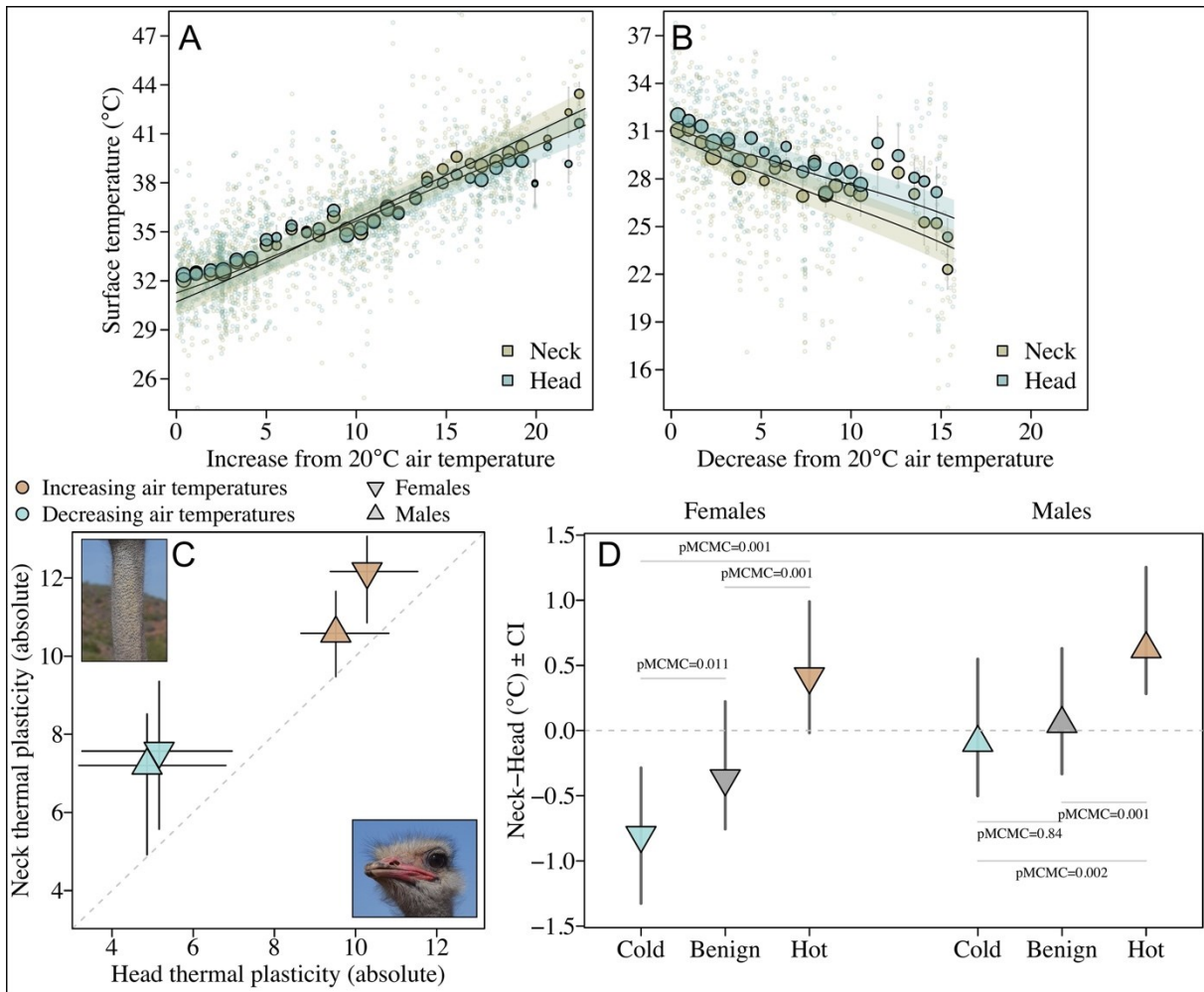
675 **Figures and captions**



676

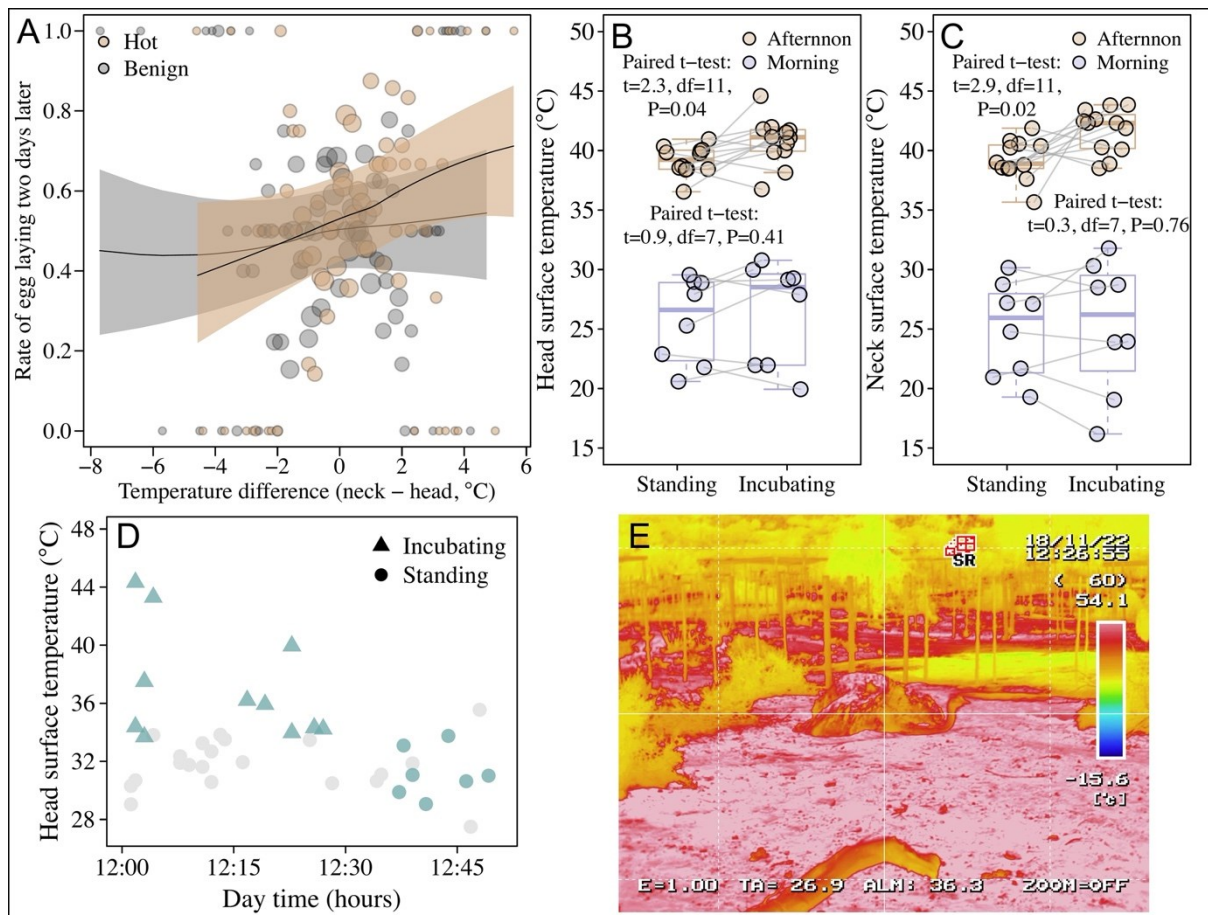
677 **Fig. 1. Thermoregulation in the world's largest living bird in the Karoo desert environment in South Africa.**

678 **A.** The ostrich (*Struthio camelus*) is the world's largest living bird with a feathered body and featherless neck and
679 head. *Left:* female. *Right:* male (photograph by C. K. Cornwallis in Karoo National Park, Western Cape Province
680 of South Africa). **B.** Thermal image of a female (left) and male (right) ostrich in our study population at Oudtshoorn
681 (photograph by E. I. Svensson). Note how the long neck is hot and emits excess heat (red, warm colour). **C.** Close-
682 up photo of a male ostrich of East African origin ("Kenyan Red"; KR) from our individually-marked study
683 population in Oudtshoorn during the heat of the day (photograph by E. I. Svensson). The open bill is due to panting
684 behaviour that gets rid of excess heat when ambient temperatures are high. **D.** The dry and tree-less semi-desert
685 environment around the study site is characterized by extensive temperature fluctuations ranging from -5°C to
686 45°C , causing extreme thermal stress⁴ (photograph by E. I. Svensson).



687

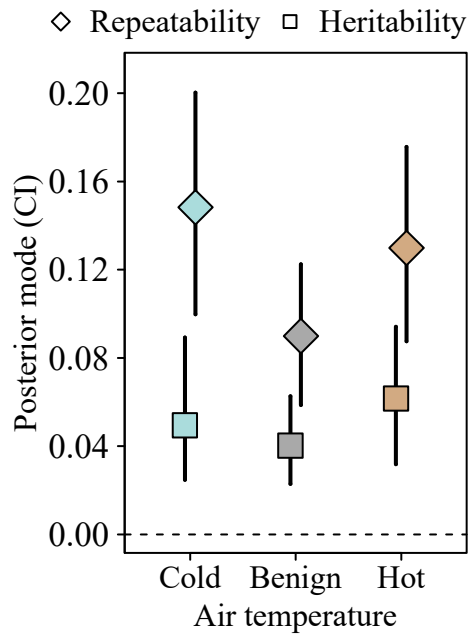
688 **Fig. 2. The ostrich neck acts as a thermal radiator regulating head temperature. (A-B)** The surface
 689 temperatures of the head and neck were sensitive to increases ($n_{\text{images}} = 3848$) and decreases ($n_{\text{images}} = 1683$) in air
 690 temperatures (Tables S1-S2). Only females are shown with five extreme datapoints in A removed for graphical
 691 purposes (see Fig. S3 which shows very similar patterns for males). **(C)** The rate of surface temperature change
 692 was steeper for the neck compared to the head (Table S3). This difference in thermal plasticity between the two
 693 body parts was consistent across both decreasing and increasing temperatures, and in both males ($n_{\text{individuals}} = 371$)
 694 and females ($n_{\text{individuals}} = 423$). **(D)** The difference in thermal plasticity between the head and neck led to higher
 695 discrepancy between neck to head surface temperatures during hot ambient conditions (air temperatures $\geq 30^{\circ}\text{C}$,
 696 $n_{\text{images}} = 1696$) and cold (air temperatures $\leq 20^{\circ}\text{C}$, $n_{\text{images}} = 1683$) but not under benign (air temperatures $> 20^{\circ}\text{C}$
 697 $\& < 30^{\circ}\text{C}$, $n_{\text{images}} = 2152$) ambient conditions (Table S6).



698

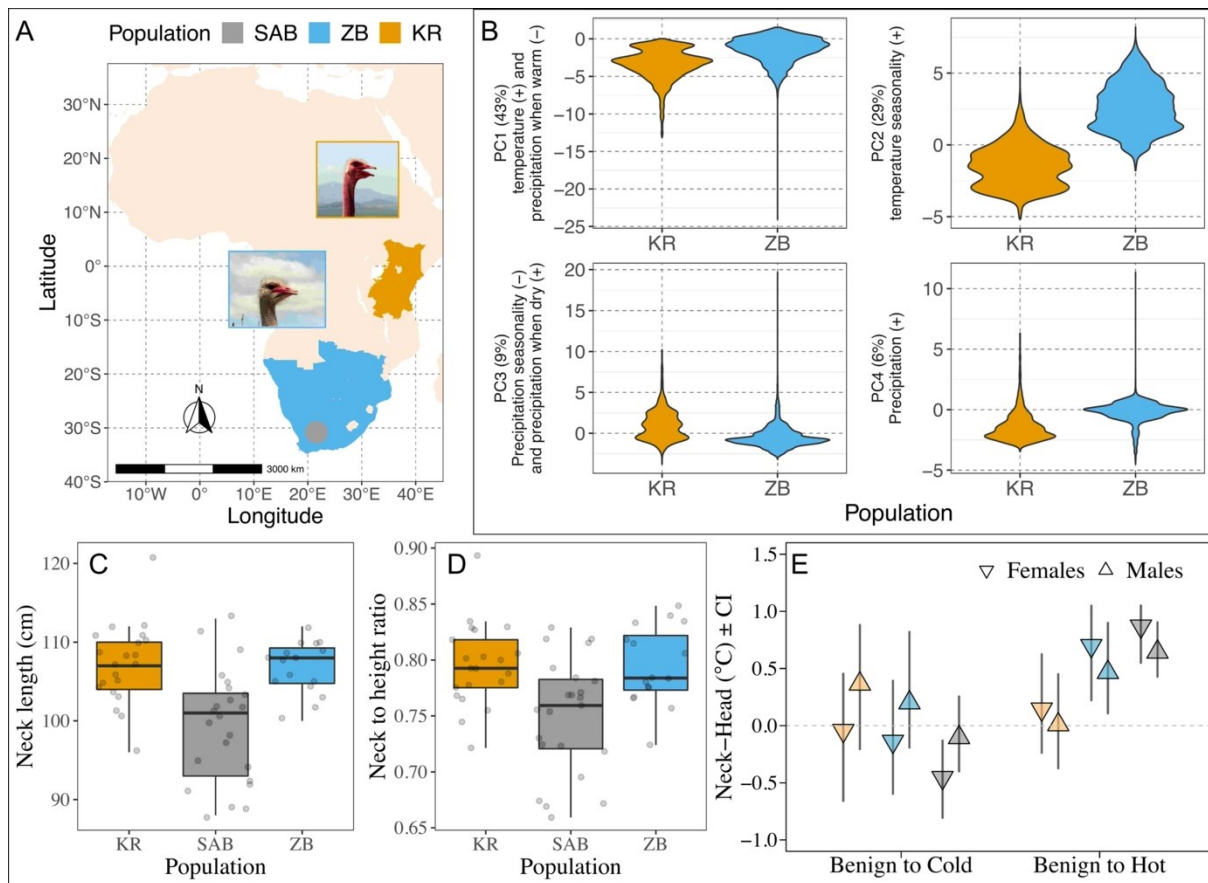
699 **Fig 3: The reproductive benefits of the neck as a thermal radiator. (A)** A high temperature difference between
 700 neck and head is associated with a higher egg-laying rate two days later during hot temperatures (air temperatures
 701 $\geq 30^{\circ}\text{C}$, $n_{\text{images}} = 471$, $n_{\text{females}} = 228$), but not at benign temperatures (air temperatures = $20\text{--}30^{\circ}\text{C}$, $n_{\text{images}} = 615$,
 702 $n_{\text{females}} = 220$) (Tables S7). **(B-C)** During hot afternoons incubating females have significantly higher head and
 703 neck temperatures than standing females, revealing high thermal costs of incubation that were not evident during
 704 colder mornings. **(D)** A female ostrich was monitored during incubation under natural conditions. Her head
 705 temperatures were elevated compared to other standing individuals ($n_{\text{individuals}} = 21$). When she halted incubation
 706 and moved to the shade of a tree, her head temperature quickly dropped, becoming similar to those of other
 707 standing individuals. **(E)** Thermal image of the incubating female in the sun (warmer colours indicate higher
 708 temperatures). These findings are consistent with the dissipation of heat through the neck having reproductive
 709 benefits for females.

710



711

712 **Fig. 4. Evolutionary potential of thermal radiator efficiency.** The efficiency of the neck in regulating head
713 temperatures was measured as the difference between neck and head temperatures for each individual.
714 Repeatability and heritability were estimated at different air temperatures (cold < 20°C, benign = 20-30°C and hot
715 >= 30°C) and were generally low, but significantly different from zero (Table S8). Note that the low repeatabilities
716 may result in an underestimation of our heritability measures.



717

718 **Fig. 5. Ostrich populations originating from more variable climatic regions exhibit greater thermal**

719 **plasticity. (A-B)** Kenyan Reds (KR) inhabit eastern Africa that is less seasonal and has lower temperature

720 fluctuations compared to Southern Africa, where Zimbabwean Blues (ZB) and South African Blacks (SAB) occur.

721 Distribution ranges were estimated from regional presence/absence data from Avibase (<https://avibase.bsc->

722 [eoc.org](https://avibase.bsc-eoc.org)) and climatic data was obtained from WorldClim⁵⁹ (Table S14). **(C-D)** These three populations also differ

723 significantly in morphology: SAB ($n_{\text{individuals}} = 23$) have shorter necks and lower neck to height ratio than KR

724 ($n_{\text{individuals}} = 21$) and ZB ($n_{\text{individuals}} = 16$) (Tables S12-S13). **(E)** For both the SAB ($n_{\text{individuals}} = 556$) and ZB ($n_{\text{individuals}}$

725 $= 71$) populations the neck-head temperature difference increased from benign to hot temperatures, but this was

726 not the case for KR ($n_{\text{individuals}} = 55$) (Tables S6).