

1 **Timely sleep coupling: spindle-slow wave synchrony is linked to**
2 **early amyloid- β burden and predicts memory decline**

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18 **ABSTRACT**

19 Sleep alteration is a hallmark of ageing and emerges as a risk factor for Alzheimer's disease (AD). While
20 the fine-tuned coalescence of sleep microstructure elements may influence age-related cognitive
21 trajectories, its association with AD processes is not fully established. Here, we investigated whether
22 the coupling of spindles and slow waves is associated with early amyloid-beta (A β) brain burden, a
23 hallmark of AD neuropathology, and cognitive change over 2 years in 100 healthy individuals in late-
24 midlife (50-70y; 68 women). We found that, in contrast to other sleep metrics, earlier occurrence of
25 spindles on slow-depolarisation slow waves is associated with higher medial prefrontal cortex A β
26 burden ($p=0.014$, $r^2_{\beta^*}=0.06$), and is predictive of greater longitudinal memory decline ($p=0.032$,
27 $r^2_{\beta^*}=0.07$). These findings unravel early links between sleep, AD-related processes and cognition and
28 suggest that altered coupling of sleep microstructure elements, key to its mnemonic function, contributes to
29 poorer brain and cognitive trajectories in ageing.

30 INTRODUCTION

31 Alterations in sleep quality are typical of the ageing process with a more fragmented and less
32 intense (or shallower) sleep detected as early as the fifth decade of life¹. Beyond healthy ageing,
33 alterations in sleep are predictive of the risk of developing Alzheimer's disease (AD) over the next 5 to
34 10 years^{2,3}. Similarly, sleep disorders such as insomnia and obstructive sleep apnoea syndrome are
35 associated with increased odds for AD diagnosis^{4,5}. Brain burdens of aggregated amyloid- β (A β) and
36 tau proteins, hallmarks of AD pathophysiology, have been linked with a reduced sleep intensity, as
37 indexed by the overall production of slow waves during sleep, but also to worse objective sleep
38 efficiency and subjective sleep quality, in healthy and cognitively normal older individuals aged > 70y⁶⁻
39 ¹¹. Sleep alteration may in turn contribute to the aggregation of AD proteins: experimental sleep
40 deprivation and sleep fragmentation (disturbance in the production of slow wave during sleep) lead to
41 increased concentration of A β in the cerebrospinal fluid (CSF), both in animal models and in healthy
42 human populations^{7,9,12,13}. Overall, a bidirectional detrimental relationship between sleep quality and the
43 neuropathology of AD is emerging in the literature. Sleep may therefore constitute a modifiable risk
44 factor which one could act upon to prevent or delay the neuropathological processes associated to AD
45 and favour successful cognitive trajectories over the lifespan¹⁴⁻¹⁶. Hitherto, however, sleep is not yet
46 widely recognised as an independent risk factor for AD and the mechanistic associations between sleep
47 and early AD neuropathology are not yet fully established.

48 Sleep microstructure elements, such as sleep spindles and slow waves (SW), are essential
49 correlates of cognitive function of sleep, as higher densities of both elements during post-learning sleep
50 have been linked to a better overnight memory consolidation¹⁷⁻²¹. Furthermore, SW activity (i.e. a power
51 measure combining the density and amplitude of sleep SW over sleep cycles in the 0.75 to 4 Hz band)
52 was reported to modulate the regression between prefrontal cortex A β burden and a lower overnight
53 memory consolidation in cognitively normal older individuals⁶. The fine-tuned coupling of spindles and
54 SW has further been reported to be altered in ageing, with an earlier occurrence of the spindle relative
55 to the SW depolarisation phase in the older compared to younger individuals, and to predict overnight
56 memory retention²². Whether this spindle-SW coupling in ageing is associated to AD-pathological

57 processes and cognitive trajectories is not fully established, however. A study in 31 individuals, aged
58 around 75y, found a link between the brain deposit of tau protein and the coupling of spindles and SW²³.
59 By contrast, another research failed to find a link between this coupling and A β brain burden²⁴. Here,
60 we argue that, on top of potential statistical power issues, the difficulty to detect this link may be due to
61 the fact that the assessments were carried out late over the lifespan (i.e. > 70y), when subtle associations
62 may be masked by concurrent brain alterations, and by the heterogeneity of sleep SW.

63 The low frequency oscillations of the electroencephalography (EEG) have been divided in slow
64 oscillations (≤ 1 Hz) and delta waves (1-4 Hz) for decades in humans, notably based on the theoretical
65 framework of the generation of SW²⁵⁻²⁷. As one of the main features of the SW resides in their transition
66 from down- to up-state, reflecting synchronised depolarisation, a recent work proposed the transition
67 frequency of the down-to-up state as a way of distinguishing between *slow* and *fast* switcher SWs²⁸.
68 Compared to young adults, on top of exhibiting a typical overall lower density of SW, older individuals
69 reportedly exhibit higher probabilities of producing slow as compared to fast switcher SWs, providing
70 important insights into age-related changes in sleep microstructure.

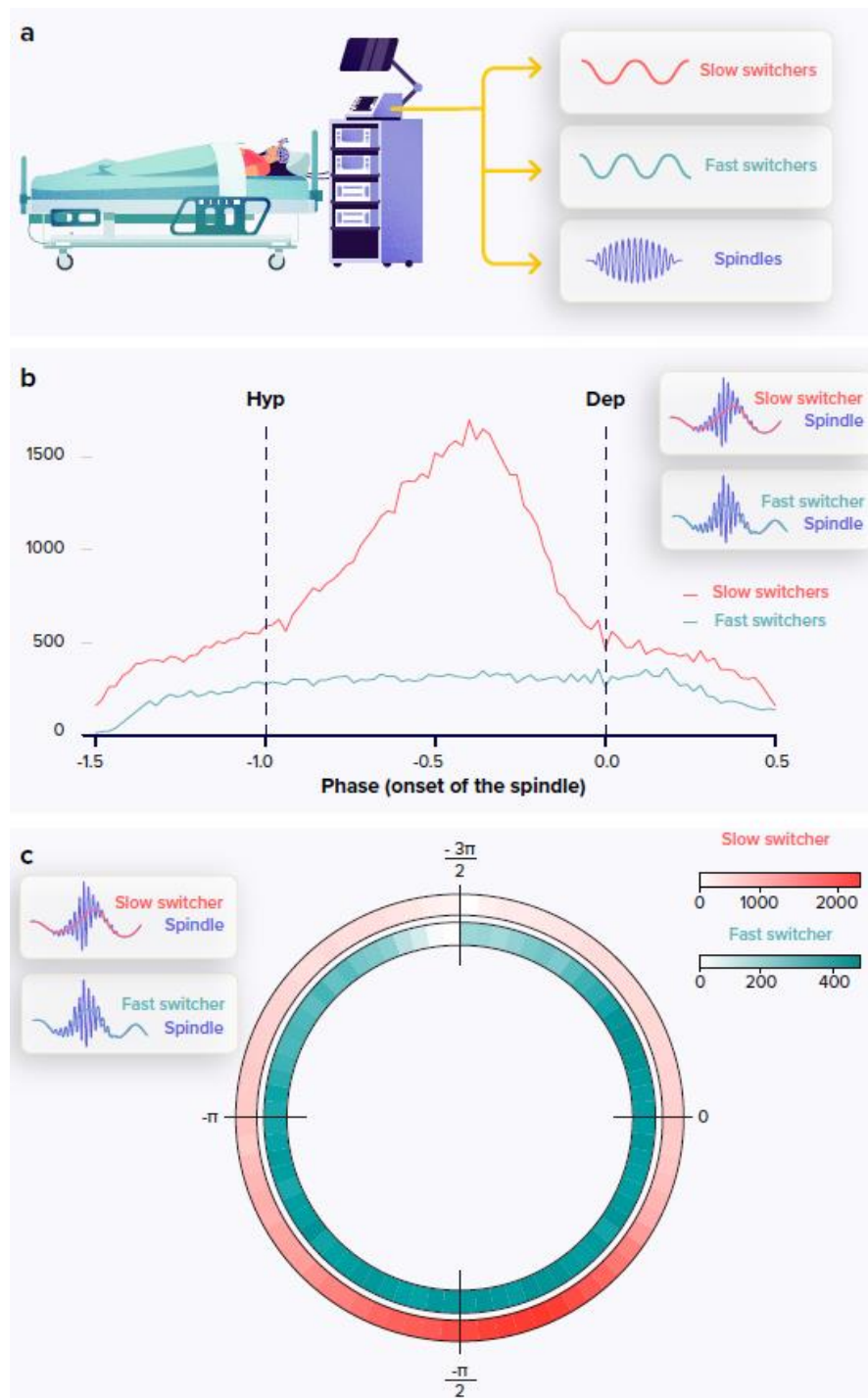
71 Investigating the coupling of spindles and SW, appropriately split between the slow and fast
72 switchers, in late middle-aged healthy adults may be the best approach to gain insight into the biology
73 underlying the early relationship between sleep and AD-related processes. In a longitudinal study, we
74 therefore tested whether the coupling of spindles with the slow and the fast switcher SWs is associated
75 with the early brain burden of A β and memory performance in a large sample (N=100) of healthy and
76 cognitively normal individuals in late midlife (50-70y). We recorded habitual sleep in these individuals
77 devoid of sleep disorders of both sexes (59.5 \pm 5y; 68 woman) under EEG and extracted the density and
78 coupling of spindle and fast and slow switcher SWs over frontal derivations. The burden of A β was
79 assessed using Positron Emission Tomography (PET) tracers (^{18F}Flutemetamol, N=96; ^{18F}Florbetapir,
80 N=4) over the medial prefrontal areas, known to be an early site for A β deposits and the most important
81 generator of SWs during sleep^{6,29-31}. Performance to the Mnemonic Similarity Task (MST), a memory
82 task highly sensitive to early signs of cognitive decline^{32,33}, was assessed in all participants
83 concomitantly to EEG and PET measurements (N=100) as well as at follow-up, 2 years later, in a
84 substantial subsample (N=66). We hypothesised that our large sample of individuals, positioned

85 relatively early in the ageing process, would allow to detect subtle associations between the impaired
86 fine-tuned coupling of spindles and slow and fast switcher SWs, and both the early A β burden and
87 memory performance decline over 2 years.

88 **RESULTS**

89 *Slow but not fast switcher SW show preferential coupling with spindles*

90 We first assessed whether spindles were specifically associated with a particular phase on the
91 down-to-up state transition of the SWs, considering the two types of SWs (slow and fast switchers). Of
92 the 341.836 detected slow switcher SWs, 75.910 co-occurred with a spindle (22%); while of the 78.235
93 fast switcher SWs, 26.912 (34%) were found to co-occur with a spindle. Regarding spindles, 563.928
94 spindles were detected over all the recordings, of which 102.822 were coupled to a SW (18%), 75.910
95 to slow switcher SWs (13%) and 26.912 to fast switcher SWs (5%). Statistical analysis with Watson's
96 U^2 test showed that the distribution of spindle onset was significantly different between slow and fast
97 switcher SWs (**Figure 1B**) ($U^2 = 71.143$, $p < 0.001$). Indeed, spindles were preferentially anchored only
98 onto slow switchers, as spindles were most often initiated on the ascending phase of the depolarised
99 state of the slow switcher SWs, while no such preferred coupling was detected for fast switcher SWs
100 (**Figure 1C**). As the slow switcher SWs are the only SW to exhibit a preferential coupling with spindles,
101 they remained our main focus of interest for the remaining analyses.



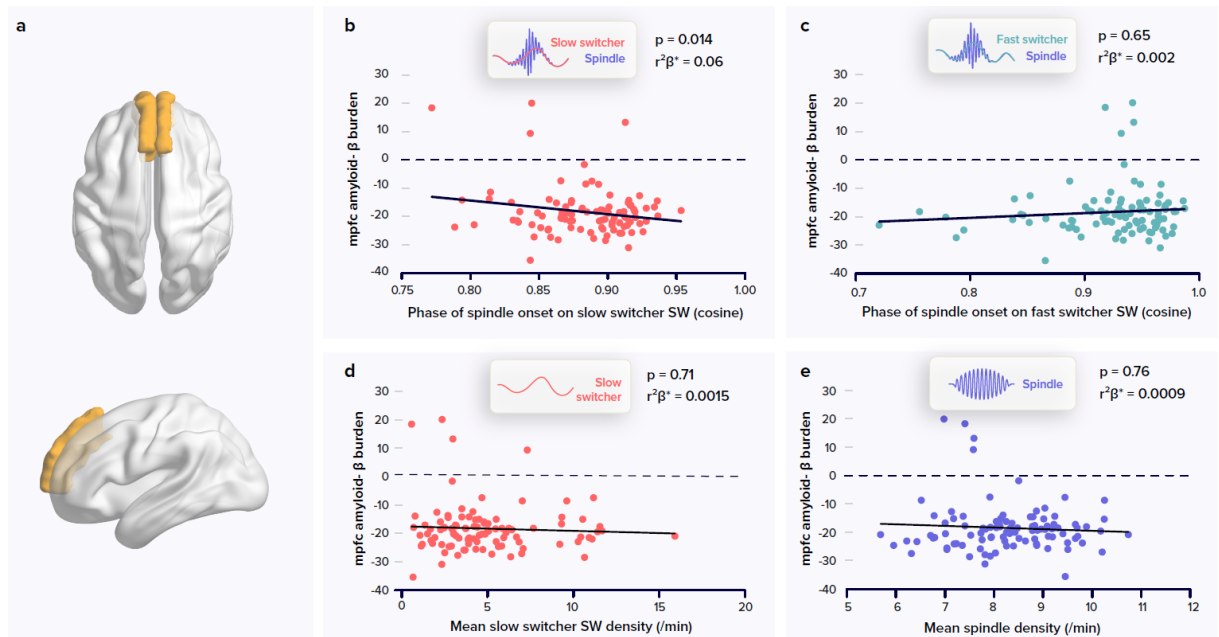
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103 *Figure 1. Following a screening night and a regular sleep-wake schedule for 1 week, the participants (N=100;*
 104 *age +; 68 women) slept in the lab at their habitual times under EEG recording. We extracted the density and*
 105 *coupling of spindles and fast and slow switcher SWs over frontal derivations during N2 and N3 sleep stage from*
 106 *EEG recordings (panel A). Analysis of the anchoring of the spindles onto the SWs showed a preferential*
 107 *coupling phase only for slow (red) but not fast switcher SWs (light blue) (the y axis represents the number of*
 108 *spindles starting at a specific SW phase) (panel B). Circular representation of the anchoring of the spindles*
 109 *onto the SW phase: $-\pi/2$ represents the hyperpolarisation (down state), 0 represents the depolarisation of the*

110 *SW. Heatmap represents the density of the spindles with their onset on specific slow wave phase in 5° bins*
111 *across all participant nights, for slow (red) and fast switcher SWs (light blue)(panel C).*

112 *Spindle onset on slow switcher SW is linked to prefrontal A β burden*

113 Statistical analysis revealed that the anchoring of the spindle onset onto slow switcher SWs was
114 significantly linked to the burden of A β over the medial prefrontal cortex (MPFC) (**Figure 2A**) (main
115 effect of A β PET uptake: $F_{1,96}=6.2$, **$p=0.014$** , $r^2_{\beta^*}=0.06$), where earlier onset of the spindle relative to
116 the SW phase was associated with higher A β PET uptake (**Figure 2B**). This effect was detected while
117 controlling for the differences between sexes (main effect of sex: $F_{1,96}=5.01$, $p=0.028$, $r^2_{\beta^*}=0.05$), as a
118 later spindle onset was found in men relative to women, and controlling for age (main effect of age,
119 $F_{1,96}=0.04$, $p=0.85$). We assessed the specificity of this association and show that the anchoring of the
120 spindle onset onto fast switcher SWs was not linked to the A β burden over the MPFC (main effect of
121 A β PET uptake: $F_{1,96}=0.20$, $p=0.65$), after controlling for age (main effect of age: $F_{1,96}=0.41$, $p=0.53$)
122 and sex (main effect of sex: $F_{1,96}=0.48$, $p=0.49$) (**Figure 2C**). In addition, the density of slow switcher
123 SWs (main effect of A β PET uptake: $F_{1,96}=0.14$, $p=0.71$) or of spindles (main effect of A β PET uptake:
124 $F_{1,96}=0.09$, $p=0.76$) was not associated with the MPFC A β burden (**Figure 2D-E**), further reinforcing
125 the idea that it is the coupling of sleep microstructure elements that matters rather than their individual
126 occurrence. Likewise, unlike previous reports^{6,23}, we did not find any association between the MPFC
127 A β burden and several characteristics of the SWs (SW density - per min of NREM sleep - $F_{1,95}=1.18$,
128 $p=0.28$; spindle density – per min of NREM sleep - $F_{1,95}=0.06$, $p=0.80$; cumulated power generated in
129 the delta band - or slow wave energy (SWE) - $F_{1,95}=0.84$, $p=0.36$; the proportion of slower oscillations
130 (0.5-1 Hz) over the delta power (1.25-4 Hz) - SO/delta proportion - $F_{1,95}=2.82$, $p=0.10$), after correcting
131 for age, sex and total sleep time (SW density: age: $F_{1,95}=5.49$, $p=0.02$, $r^2_{\beta^*}=0.05$; sex: $F_{1,95}=10.26$,
132 $p=0.002$, $r^2_{\beta^*}=0.1$; TST: $F_{1,95}=0.5$, $p=0.48$; spindle density: age: $F_{1,95}=0.56$, $p=0.46$, sex: $F_{1,95}=0.42$,
133 $p=0.52$, TST: $F_{1,95}=0.36$, $p=0.55$; SWE: age: $F_{1,95}=4.2$, $p=0.04$, $r^2_{\beta^*}=0.04$; sex: $F_{1,95}=6.63$, $p=0.01$; TST:
134 $F_{1,95}=0.9$, $p=0.34$; SO/delta proportion: age: $F_{1,95}=3.28$, $p=0.07$, sex: $F_{1,95}=0.0$, $p=0.96$, TST: $F_{1,95}=2.7$,
135 $p=0.10$) (**Suppl. Fig. 1**).



136

137 **Figure 2. Relationships between spindles and slow waves metrics and the amyloid-β (Aβ) burden.** PET
138 signal uptake was measured over the medial prefrontal cortex (MPFC) depicted in yellow (panel A). Significant
139 negative association between the MPFC Aβ burden and spindle-slow switcher SW coupling (panel B). No
140 association between the MPFC Aβ burden and spindle-fast switcher SW coupling (panel C). No association
141 between the MPFC Aβ burden and slow switcher SW density (panel D). No association between the MPFC Aβ
142 burden and spindle density (panel E). P values and $r^2\beta^*$ were computed from the GLMMs referred to in the text.
143 Simple regressions were used only for a visual display and do not substitute the GLMM outputs. We used the
144 cosine value of the phase of coupling in the GLMMs (see methods).

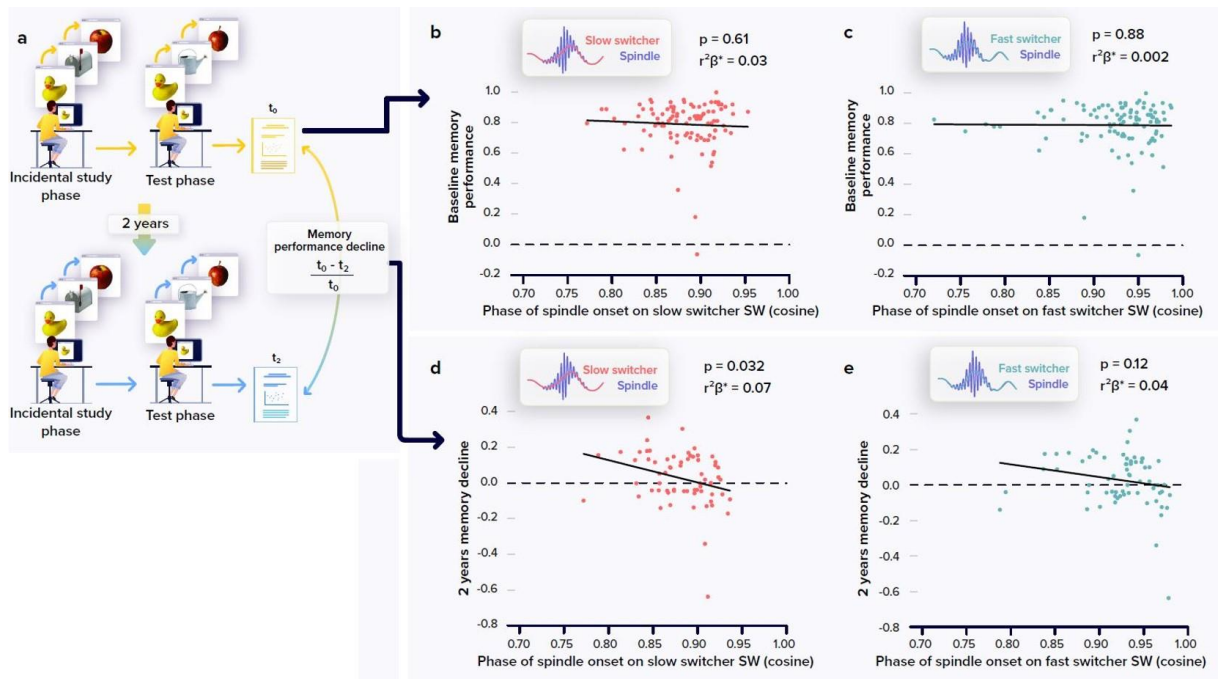
145 To test the apparent difference in the association between the coupling of spindles onto slow
146 and fast switcher SWs with the accumulation of Aβ protein, we further computed a statistical model
147 with spindle-SW coupling as the dependent variable, while including the SW type together with the Aβ
148 MPFC burden as independent variables. Statistical analysis yielded a significant interaction between the
149 burden of Aβ in the MPFC and the type of SWs (Aβ burden by SW type interaction: $F_{1,96}=7.05$, $p=0.009$;
150 $r^2_{\beta^*}=0.07$), while *post-hoc* tests indicated that the link between the coupling of the spindle onto the SW
151 and the MPFC Aβ burden was significant for the slow switcher type ($t_{149,8}=-2.00$, $p=0.047$) and not for
152 the fast switcher type ($t_{149,8}=0.56$, $p=0.57$). This finding reinforces the idea that slow and fast switcher
153 SWs constitute distinct realisations of NREM oscillations that are differently associated with brain
154 aggregation of Aβ during the ageing process. This has likely contributed to previous failures to detect
155 links between the coupling of spindles and SW and the deposit of Aβ. In fact, when testing the
156 association between the coupling of spindles and SWs, irrespective of the type of SWs, and PET Aβ

157 burden over the MPFC, the statistical analysis only yields a weak negative association between the phase
158 of the coupling of the spindle onto the SW and A β burden (main effect of A β uptake: $F_{1,96}=3.96$,
159 $p=0.049$, $r^2_{\beta^*}=0.04$; main effect of sex: $F_{1,96}=4.33$, $p=0.04$, $r^2_{\beta^*}=0.04$; main effect of age: $F_{1,96}=0.05$,
160 $p=0.83$), which could arguably go undetected in a smaller or different sample.

161 *Slow switchers spindle phase coupling is associated to memory change over two years*

162 We tested whether the coupling of spindles with slow switcher SWs was associated with
163 memory performance and its decline over 2 years using the mnemonic similarity task (MST) (**Figure**
164 **3A**). The MST consists in a pattern separation task targetting the ability to distinguish between highly
165 resembling memory events, a hippocampus dependent task which is very sensitive to early cognitive
166 decline^{32,33}. Across the sample, we observed an overall decline in performance between the baseline and
167 follow-up performance at the MST ($t_{65} = 2.19$, $p=0.032$). We found no significant link between the
168 coupling of the spindles onto both SW types and the performance on the task at baseline (i.e. assessed
169 at the same time as the sleep measures) (slow switchers: *main effect of spindle-SW coupling*: $F_{1,96}=0.26$,
170 $p=0.61$; main effect of age: $F_{1,96}=0.36$, $p=0.55$, main effect of sex: $F_{1,96}=0.41$, $p=0.52$, main effect of
171 education: $F_{1,96}=0.47$, $p=0.50$; fast switchers: *main effect of spindle-SW coupling*: $F_{1,96}=0.02$, $p=0.88$;
172 main effect of age: $F_{1,96}=0.34$, $p=0.56$, main effect of sex: $F_{1,96}=0.54$, $p=0.46$, main effect of education:
173 $F_{1,96}=0.40$, $p=0.53$) (**Figure 3B-C**). By contrast, statistical analyses revealed a significant negative link
174 between the relative change in memory performance and the phase of spindle anchoring onto slow
175 switcher SWs, indicating that an earlier spindle onset is predictive of a memory worsening over two
176 years (main effect of spindle-slow switcher SW coupling: $F_{1,61}=4.80$, $p=0.032$, $r^2_{\beta^*}=0.07$), after
177 correcting for age (main effect of age: $F_{1,61}=0.25$, $p=0.62$), sex (main effect of sex: $F_{1,61}=0.20$, $p=0.66$)
178 and education (main effect of education: $F_{1,61}=0.25$, $p=0.62$) (**Figure 3D-E**). No such association was
179 detected when considering spindle coupling to fast switcher SWs (main effect of spindle-fast switcher
180 SW coupling: $F_{1,61}=2.51$, $p=0.12$; main effect of age: $F_{1,61}=0.33$, $p=0.57$, main effect of sex: $F_{1,61}=0.18$,
181 $p=0.68$, main effect of education: $F_{1,61}=1.11$, $p=0.30$). Further statistical analyses show that the memory
182 performance change over the 2-year is not significantly related to the MPFC A β burden (main effect of

183 $A\beta$ burden: $F_{1,60}=2.33$, $p=0.13$; main effect of age: $F_{1,60}=1.27$, $p=0.26$, main effect of sex: $F_{1,60}=0.03$,
 184 $p=0.87$, main effect of education: $F_{1,60}=0.41$, $p=0.53$).



185

186 **Figure 3. Relationships between memory performance and coupling between spindles and SWs.** Memory
 187 performance was assessed through the Mnemonic Similarity Task (MST) where participants have to recognized
 188 previously encoded images in series of new or lure images (see methods) (panel A). No association between the
 189 baseline MST performance and spindle-slow switcher SW coupling (panel B). No association between the baseline
 190 MST performance and spindle-fast switcher SW coupling (panel C). Significant negative association between the
 191 2 years relative change in MST performance and spindle-slow switcher SW coupling (panel D). No association
 192 between the 2 years relative changes in MST performance and spindle-fast switcher SW coupling (panel D). P
 193 values and $r^2\beta^*$ were computed from GLMMs referred to in the text. Simple regressions were used only for a visual
 194 display and do not substitute the GLMM outputs. We used the cosine value of the phase of coupling in the GLMMs
 195 (see methods).

196 DISCUSSION

197 In order to unravel early associations between the microstructure of sleep and the burden of $A\beta$
 198 in the brain, and their cognitive implications, we collected polysomnography, PET and behavioral data
 199 in a relatively large sample of individuals without cognitive impairments or sleep disorders. To this end,
 200 we recruited individuals in late middle age (50-70y), that could in most cases only present limited age-
 201 related alterations in sleep and accumulation of $A\beta$ protein in the brain³⁴. We investigated whether the
 202 coupling of spindles onto SWs, showing a slower and a faster frequency of transition from the down to

203 the up states (slow and fast switcher SWs) was associated to the accumulation of A β over the medial
204 prefrontal cortex. We further probed whether the coupling of spindles onto SWs was associated with the
205 performance to a sensitive memory test, assessed at the time of the sleep and PET recordings and,
206 longitudinally, 2 years later. The coupling of spindles onto the slow, but not the fast, switcher SWs was
207 significantly associated with the A β PET signal assessed over the MPFC. Moreover, this coupling
208 between spindles and slow switcher SW was significantly linked to the memory performance change
209 detected 2 years after the initial assessment. Overall, our results provide compelling evidence that the
210 link between sleep and the accumulation of A β over the MPFC, an early AD-related brain features,
211 involves the precise and timely coupling of two key elements of NREM sleep, spindles and SWs, and
212 that this coupling bears a predictive value for the subsequent decline in memory performance. Our study
213 does not indicate, at least not in these healthy and relatively young older adults, that the amount of
214 spindles or SW generated overnight is associated with the accumulation of A β over the MPFC.

215 Sleep SWs provide a readout of the homeostatic sleep pressure and are more prevalent at the
216 beginning relative to the end of the night³⁵. In addition, both the density of spindles and SWs have
217 separately been related to overnight consolidation of memory^{21,36}. They actively take part in information
218 transfer from hippocampic to neocortical networks and in synaptic plasticity^{17,21}. Recent research has
219 put forward the importance of their precise phase coupling during sleep, and reported an age-related
220 difference in that coupling²². In the younger individuals, spindles tend to reach their maximum around
221 the cortical up-state of the SWs, whereas in older individuals, spindles occur earlier on the depolarisation
222 phase of the SWs. This earlier coupling between spindles and SWs is related to a poorer overnight
223 memory retention²², suggesting a sub-optimal neuronal interplay for the exchange of information during
224 sleep in older individuals. In line with a presumed suboptimal coupling between spindles and SWs, we
225 find that, when controlling for age, individuals for which the spindles occur earlier during the transition
226 phase of the slow switcher SWs, show higher A β burden over the MPFC and a worse memory change
227 over time. We did not find any significant effect of the age of participants on the phase of the coupling
228 between spindles and SWs. This is likely due in part to the restricted age range of our participants, but
229 also to the variability existing between the individuals in the changes they undergo in their sleep during

230 ageing. Importantly, the relationship we observed between the phase of the spindle onset onto the SW
231 and the MPFC A β burden shows the same directionality (i.e. earlier spindles onto SW) as the changes
232 previously reported in older individuals.

233 SWs were previously characterised according to the frequency of their transition from the down-
234 to the up-state, which reflects the relative synchronisation of the depolarisation of the neurons when
235 generating a SW²⁸. Beyond the well-known decrease in the production of SWs in aging, the slow
236 switcher SWs were relatively preserved in the older individuals compared to the fast switcher SWs. This
237 finding suggests that the two populations of SWs constitute distinct elements of sleep microstructure.
238 Three present results confirm that the two types of SW –slow and fast switchers – behave differentially.
239 First, sleep spindles show a preferential coupling only with the transition period from down-to-up state
240 of the slow switcher SWs, while spindles occurring concomitantly to fast switchers SWs do not occur
241 at a specific phase of the SWs. Furthermore, only the coupling of spindles onto slow switcher SWs was
242 significantly associated to the early accumulation of A β in the brain. Finally, only the coupling of
243 spindles and slow switcher SWs was predictive of the memory change after 2 years. Our results support
244 that slow switcher SWs, the type previously reported to be relatively spared during aging²⁸, is important
245 to the development of AD-related pathological changes, at least in the form of A β protein accumulation,
246 and for the subsequent development of subtle alteration in the cognitive abilities, leastways over the
247 memory domain.

248 Sleep spindles are considered as thalamic events. They are generated through the interplay
249 between the inhibitory reticular nucleus and the excitatory thalamocortical neurons, which project to the
250 cortical neurons that feedback in turns to the thalamus¹⁷. In contrast, SWs are intrinsically cortical. They
251 consist in the spontaneous alternations between down (hyperpolarized) and up (depolarized) neuronal
252 states³⁷. The SWs undergo, however, an influence from the thalamus reticular nucleus that contributes
253 to the synchronization of distant neuronal populations^{26,37}. Hence, although the exact neurophysiological
254 origin of the functional associations between spindles and SWs remain to be established, the thalamus
255 reticular neurons could arguably be involved. Our results would therefore indicate that the early
256 aggregation of A β protein in the medial prefrontal cortex could disturb the thalamocortical interplay

257 driving the coalescence of spindles and SWs. This chronic disturbance would then trigger the cognitive
258 changes detectable after 2 years. The cross-sectional nature of our imaging data does not, however, allow
259 to make inferences regarding the directionality of the relationship between the spindle-slow switcher
260 SW coupling and the burden of A β protein. Evidence accumulates to show that if AD-neuropathological
261 hallmarks can affect the quality of sleep, sleep can also impinge onto these hallmarks^{14,38}. Specifically
262 relevant in the context of this study, the occurrence of the SWs has been associated with a transient
263 increase in the glymphatic flow related to local variations in neuromodulator concentrations³⁹. It is
264 therefore possible that the changes in the density of SWs occurring during ageing affect both their
265 coupling with spindles and the early accumulation of A β protein.

266 We found that the density of either spindles or SWs is not related to the early accumulation of
267 the A β protein in the medial prefrontal cortex. This suggests a specific role for the coupling of both
268 elements of sleep microstructure. Moreover and as previously reported in an intermediate analysis of a
269 subsample of the same study⁴⁰, we do not find significant associations between more macroscopic
270 measures, which are typically used to characterize sleep, and the accumulation of A β protein. The
271 cumulated power of the oscillations generated in the delta band (i.e. the slow wave energy - SWE), and
272 the proportion of slower oscillations over the delta power were not associated with PET measures. This
273 finding argues against the idea that these rougher measures of sleep disruption constitute the earliest
274 manifestations of the association between sleep and AD-related processes and contrasts with previous
275 reports in a smaller sample of individuals older (> 70y) than our sample. Altogether, these discrepancies
276 reinforce the idea that the alteration in the microstructure of sleep, consisting in the coupling of the
277 spindles onto a specific subpopulation of SWs, as reported here, but also in the occurrence of micro-
278 arousals during sleep we previously reported based on the same sample⁴¹, shows a prior association with
279 AD-related processes compared with the amount of slow brain oscillations generated during overnight
280 sleep. The latter may only be significantly associated at a later age, when the pathophysiological changes
281 are already more substantial. In addition, the coupling of spindles onto slow switcher SWs is predictive
282 of the future change in memory performance. Sleep microstructure could therefore constitute a
283 promising early marker of future cognitive and brain ageing trajectory⁴¹. We did not evaluate whether

284 distinct links between the SW types and slow and fast spindles are observed. As some reports describe
285 that fast spindles are rather coupled to the up-state of the SWs and slow spindles tend to occur on the
286 waning depolarisation phase of the SWs⁴², we could hypothesise that the associations we observe are
287 probably rather driven by fast spindles. Future investigations are, however, needed to confirm this
288 hypothesis

289 One should bear in mind the potential limitations of our study. First, although we collected data
290 in a relatively large sample, we may have insufficient power to detect some associations with other sleep
291 measures. One can nevertheless frame our findings in relative terms such that association between
292 spindle-SWs coupling and the early accumulation of A β protein is at least stronger than the association
293 with the coupling of spindles onto fast switchers SW, the density of SWs and spindles, the SWE, etc.
294 Also, the longitudinal aspect of our study is relatively short-termed and only concerned the performance
295 to a sensitive mnesic task while it did not include sleep EEG and the PET assessments. Further studies
296 should evaluate the predictive value of such parameters on longer longitudinal protocols, and the
297 evolution of the sleep EEG and the PET parameters as well as their generalisability over other
298 precociously impacted cognitive abilities. Finally, given that our protocol does not include manipulation
299 of the coupling of the spindles onto the SWs, it precludes any inference on the causality of one aspect
300 onto the other.

301 Together, our findings reveal that the timely occurrence of spindles onto a specific type of SWs
302 showing a relative preservation in ageing seems to play a determining role in ageing trajectory, both at
303 the cognitive level and with regards to structural brain integrity. These findings may help to unravel
304 early links between sleep, AD-related pathophysiology and cognitive trajectories in ageing and warrants
305 future clinical trials attempting at manipulating sleep microstructure or A β protein accumulation.

306

307 **MATERIALS AND METHODS**

308 *Study design and participants*

309 101 healthy participants aged from 50 to 70 y (68 women; mean \pm SD = 59.4 \pm 5.3 y) were
 310 enrolled between 15 June 2016 and 2 October 2019 for a multi-modal cross-sectional study taking place
 311 at the GIGA-Cyclotron Research Centre/In Vivo Imaging of the University of Liège (Cognitive fitness
 312 in aging – COFITAGE – study) which has already led to several scientific publications [e.g. ^{41,43}]. One
 313 participant was excluded from analyses due to lack of PET imaging data. The exclusion criteria were as
 314 follows: clinical symptoms of cognitive impairment (Mattis Dementia Rating Scale >130 ; Mini-Mental
 315 State Evaluation (MMSE) >27) ; recent history psychiatric history or severe brain trauma ; self-reported
 316 or clinically diagnosed sleep disorder ; Body Mass Index (BMI) \leq 18 and \geq 29; use of medication
 317 affecting sleep or the central nervous system; smoking; excessive alcohol (>14 units/week) or caffeine
 318 (>5 cups/day) consumption; shift work in the 6 months or transmeridian travel in the 2 months preceding
 319 the study. All participants gave their written informed consent prior to their participation. The study was
 320 registered with EudraCT 2016-001436-35. All procedures were approved by the Hospital-Faculty Ethics
 321 Committee of ULiège. All participants signed an informed consent prior to participating in the study.

322 **Table 1: Sample characteristics**

	Baseline (N=100)	Follow up (N=66)
Sex	68 ♀ / 32 ♂	44 ♀ / 22 ♂
Age (years)	59.4 \pm 5.3 [50-69]	59.9 \pm 5.4 [50-69]
Education (years)	15.2 \pm 3.0 [9 - 25]	14.9 \pm 3.3 [9-25]
Total sleep time (TST) (minutes, EEG)	392.8 \pm 45.9 [229 – 495.5]	390.4 \pm 45.9 [264.0 – 495.5]
Time spent in N1 sleep stage (% of TST, EEG)	6.2 \pm 2.8 [0.6 - 15.6]	6.4 \pm 3.0 [0.6 – 15.6]
Time spent in N2 sleep stage (% of TST, EEG)	51.6 \pm 8.9 [31.4 - 75.7]	50.3 \pm 8.5 [32.8 – 75.7]
Time spent in N3 sleep stage (% of TST, EEG)	19.2 \pm 6.4 [7.2 - 38.3]	19.7 \pm 6.5 [8.2 – 38.3]
Time spent in REM sleep (% of TST, EEG)	23.1 \pm 6.8 [6.5 – 39.8]	23.6 \pm 7.4 [6.5 – 39.8]
Mean SW density (number/minute of N2/N3)	7.1 \pm 4.3 [0.8 – 19.2]	6.9 \pm 4.0 [1.0 – 19.2]
Slow switchers	4.9 \pm 3.1 [0.6 - 15.9]	4.9 \pm 3.1 [0.6 – 15.9]

Fast switchers	2.1 ± 1.6 [0.1 - 8.8]	2.0 ± 1.3 [0.3 – 5.7]
Mean SW amplitude (μV)	101.5 ± 12.4 [76.0 - 128.2]	101.0 ± 12.3 [76.0 – 125.2]
Slow switchers	104.5 ± 13.7 [77.7 - 131.3]	104.0 ± 13.5 [77.7 – 130.5]
Fast switchers	94.0 ± 11.0 [73.0 - 130.1]	93.0 ± 10.1 [73.5 – 113.7]
Mean SW frequency	1.2 ± 0.1 [1.0 - 1.6]	1.2 ± 0.1 [1.1 – 1.6]
Slow switchers	1.1 ± 0.1 [1.0 - 1.3]	1.1 ± 0.1 [1.0 – 1.2]
Fast switchers	1.5 ± 0.1 [1.3 - 1.8]	1.5 ± 0.1 [1.3 – 1.8]
Mean SW transition frequency	1.4 ± 0.1 [1.1 - 1.8]	1.4 ± 0.1 [1.1 – 1.8]
Slow switchers	1.1 ± 0.0 [1.0 - 1.2]	1.1 ± 0.0 [1.0 – 1.2]
Fast switchers	2.0 ± 0.1 [1.9 - 2.2]	2.0 ± 0.1 [1.9 – 2.2]
Mean spindle density (number/minute of N2/N3)	8.3 ± 1.1 [5.7 – 10.7]	8.1 ± 1.0 [6.0 – 10.2]

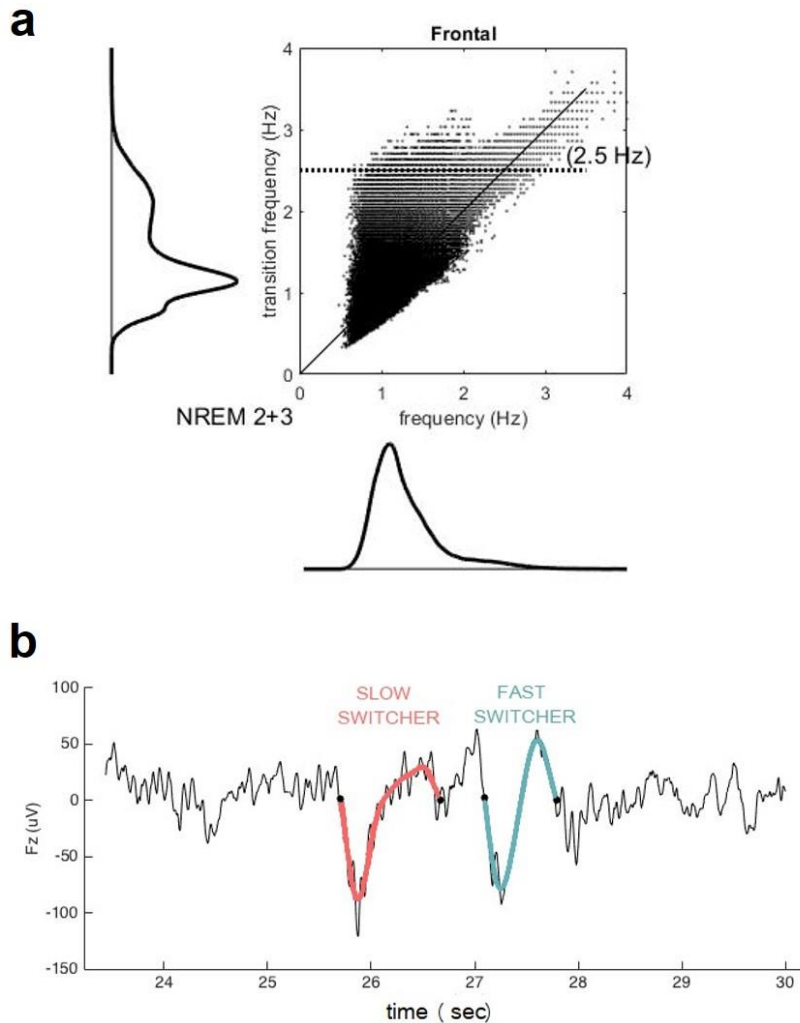
323 *BMI, alcohol consumption, anxiety & depression scores as well as sleepiness levels of the sample can be found*
 324 *in* ⁴¹

325 *Sleep assessment*

326 A first night of sleep was recorded at the laboratory under full polysomnography to avoid
 327 potential first night effects and exclude volunteers with sleep apnoea (AHI ≥15/h). A second night of
 328 sleep was recorded with electroencephalography (EEG), following one week of regular sleep-wake
 329 schedule based on each participant's preferred bed and wake up time (compliance was verified by
 330 actimetry and sleep diary - Actiwatch©, Cambridge Neurotechnology, UK). Sleep was recorded with
 331 N7000 amplifiers (EMBLA, Natus, Planegg, Germany). The recording comprised 11 EEG derivations,
 332 placed according to the 10-20 system (F3, Fz, F4; C3, Cz, C4; P3, Pz, P4; O1, O2), 2 bipolar
 333 electrooculogram (EOGs), and 2 bipolar submental electromyogram (EMG) electrodes. Sampling was
 334 set at 200 Hz, and the signal was re-referenced to the mean of the two mastoids. Recordings were scored
 335 for sleep stages in 30s windows using a validated automatic algorithm (ASEEGA, Physip, Paris, France)
 336 ^{44,45}. Automatic arousal and artefact detection ^{46,47} was performed in order to remove EEG segments
 337 containing artefacts and arousals from further analysis.

338 *Slow waves and spindle detection*

339 Only the frontal electrodes were considered because the frontal cortex is an early site showing
340 $A\beta$ deposit and is the primary generator of the SWs during sleep^{6,29-31} as well as to facilitate
341 interpretations of future large-scale studies using headband EEG restricted to frontal electrodes⁸. SWs
342 were automatically detected during N2 and N3 epochs of NREM sleep devoid of artefacts/arousals >5s
343 long, using a previously developed algorithm⁴⁸. Data were first band-filtered between 0.3 and 4.0Hz
344 with a linear phase Finite Impulse Response (FIR) filter. Following recent work, SW detection criteria
345 were adapted for age and sex⁴⁸: peak to peak amplitude $\geq 70\mu\text{V}$ (resp. $\geq 60.5\mu\text{V}$) and negative amplitude
346 $\leq -37\mu\text{V}$ (resp. $\leq -32\mu\text{V}$) was used for women (resp. for men), instead of the standard $\geq 75\mu\text{V}$ and $\leq -$
347 $40\mu\text{V}$). The duration of the negative deflection had to fit in the range 125-1500ms, and the duration of
348 the positive deflection could not exceed 1000ms. The SWs were sorted according to their transition
349 frequency²⁸ (inverse of the duration between the hyperpolarised and depolarised state) into either slow
350 or fast switchers (the critical value for distinguishing between the two types being the intersection
351 between two gaussians, around 1.2Hz²⁸). Sleep spindles were also automatically detected over the same
352 N2 and N3 epochs with a previously published method⁴⁹⁻⁵¹. The EEG signal was bandpass filtered
353 between 10 and 16Hz with a linear phase finite impulse response filter (-3dB at 10 and 16Hz). After
354 detection of SW and spindles, analysis of their coincidence was performed. A coincidence was defined
355 as a co-occurrence of both the ignition and the maximum amplitude of a spindle over the phase of a slow
356 wave. This criterion was used on slow and fast switchers.



357

358 *Figure 4. Distribution of the mean frequency of the slow waves (x axis) versus their transition frequency (y*
359 *axis) for both NREM2 and NREM3 sleep stages (panel A) in the entire study sample. One can observe a double*
360 *distribution of the frequency of transition but not in the overall frequency. Example of slow switcher (red) and*
361 *fast switcher SW (light blue) extracted from the EEG signal.*

362 *MRI data*

363 Quantitative multi-parametric MRI acquisition was performed on a 3-Tesla MR scanner
364 (Siemens MAGNETOM Prisma, Siemens Healthineers, Erlangen, Germany). Quantitative maps were
365 obtained by combining the images using different parameters sensitive to distinct tissue properties. The
366 multi-parameter mapping was based on multi-echo 3D fast low angle shot at 1 mm isotropic resolution⁵².
367 This included three datasets with T1, proton density (PD), and magnetization transfer (MT)-weighted
368 contrasts imposed by the choice of the flip angle (FA = 6° for PD & MT, 21° for T1) and the application
369 of an additional off-resonance Gaussian-shaped RF pulse for the MT-weighted acquisition. MRI multi-

370 parameter maps were processed with the hMRI toolbox⁵³ (<http://hmri.info>) and SPM12 (Welcome Trust
371 Centre for Neuroimaging, London, UK) to obtain notably a quantitative MT, which was segmented into
372 grey matter, white matter, and CSF using unified segmentation⁵⁴. Flow-field deformation parameters
373 obtained from DARTEL spatial normalisation of the individual MT maps were applied to the averaged
374 co-registered PET images⁵⁵. The volumes of interest were determined using the automated anatomical
375 labelling (AAL) atlas⁵⁶.

376 *PET-scan*

377 A β PET imaging was performed using [¹⁸F]Flutemetamol, except for 3 volunteers for which
378 [¹⁸F]Florbetapir was used. PET-scans were performed on an ECAT EXACT+ HR scanner (Siemens,
379 Erlangen, Germany). Participants received a single dose of the radioligand in the antecubital vein (target
380 dose 185 \pm 10% MBq); image acquisition started 85min after the injection and consisted of 4 frames of
381 5 minutes, followed by a 10 minutes transmission scan using ⁶⁸Ge line sources. Images were
382 reconstructed using a filtered back-projection algorithm including corrections for the measured
383 attenuation, dead time, random events, and scatter using standard software (Siemens ECAT – HR +
384 V7.1, Siemens/CTI Knoxville, TN, USA). Individual PET average images were produced using all
385 frames and were then manually reoriented according to MT-weighted structural MRI volumes and
386 coregistered to the individual space structural MT map. Standardised uptake value ratio (SUVR) was
387 computed using the whole cerebellum as reference region⁵⁷. As images were acquired using 2 different
388 radioligands, their SUVR values were converted into Centiloid Units⁵⁷ (the validation of the procedure
389 in our sample was previously published⁵⁸). The A β burden was averaged over a mask covering the
390 medial prefrontal cortex previously reported to undergo the earliest aggregation sites for A β pathology³⁴.

391 *Cognitive assessments*

392 As part of an extensive neuropsychological assessment, participants were administered the
393 Mnemonic Similarity Task (MST)⁵⁹, a visual recognition memory task. After an incidental encoding
394 phase during which participants were randomly presented 128 common objects for a period of 2s, and
395 were instructed to determine whether the object presented on the screen was rather an ‘indoor’ or

396 ‘outdoor’ item, the recognition memory phase consisted in the presentation of 192 objects (64 old,
397 presented previously – target items; 64 similar but not identical to the previously presented stimuli –
398 lure; and 64 new objects – foil items). In this phase, participants were instructed to determine whether
399 the presented object was new (foil), previously presented (old), or similar but not perfectly identical
400 (lure). For statistical analyses, the recognition memory score was used (RM), computed as the difference
401 between the rate of calling a target item “old” minus the rate of calling a foil item “old” [$P(\text{“old”}|\text{target})-$
402 $P(\text{“old”}|\text{foil})$]^{32,43}.

403 The MST was administered at two timepoints: the first time, the day preceding the baseline
404 night, during a cognitive evaluation performed ~ 6.5h before habitual bedtime. The second
405 neuropsychological evaluation was carried out ~24 months after the first one (mean 767±54 days). The
406 memory decline score was computed as the baseline performance minus the follow-up performance,
407 divided by the baseline performance, so that a higher score indicates a higher decline over the 2 years.

$$408 \quad \text{memory decline} = \frac{\text{RM baseline} - \text{RM follow-up}}{\text{RM baseline}}$$

409 *Statistics*

410 Statistical analyses were performed using Generalised Linear Mixed Models (GLMMs) in SAS
411 9.4 (SAS Institute, Cary, NC). The distribution of dependent variables was verified in MATLAB 2013a
412 and the GLMMs were adapted accordingly. Subject was treated as a random factor and each model was
413 corrected for age and sex effects. Kenward-Roger’s correction was used to determine the degrees of
414 freedom. Cook’s distance was used to assess the potential presence of outliers driving the associations,
415 and as values ranged below 0.45 no datapoint was excluded from the analyses (a Cook’s distant > 1 is
416 typically considered to reflect outlier value). Our main analysis concerned the coupling between SW
417 types and spindles, and as two analyses were performed (one with slow switcher and one with fast
418 switcher SW), the significance threshold is set at $p < 0.025$ for these analysis, to account for multiple
419 comparisons. The reader should note that we performed a separate statistical test with $A\beta$ burden as
420 dependent variable with both SW-spindle coupling and SW type as independent variables, which
421 confirmed the associations reported in the separate models. The remaining analysis were exploratory as

422 they arise from the main analyses and do not require correction for multiple comparisons. Semi-partial
423 R^2 ($R^2_{\beta^*}$) values were computed to estimate the effect sizes of significant fixed effects and statistical
424 trends in all GLMMs⁶⁰. P-values in post-hoc contrasts (difference of least square means) were adjusted
425 for multiple testing using Tukey's procedure. Watson's non-parametric two-sample U^2 test for circular-
426 normal data was performed in MATLAB 2019 to assess the difference between the distribution of
427 spindle onset on the phase of slow waves for slow and fast switcher SW. For analyses using the phase
428 of spindle onset on the slow waves, as the phase of all subjects were in the quarter between the zero
429 crossing ($-\pi/2$) and depolarisation, the cosine of the phase was used instead of the phase, in order to
430 perform linear statistics. Statistics with the phase yielded the same results.

431 Optimal sensitivity and power analyses in GLMM remains under investigation [e.g. ⁶¹]. We nevertheless
432 computed a prior sensitivity analysis to get an indication of the minimum detectable effect size in our
433 main analyses given our sample size. According to G*Power 3 (version 3.1.9.4)⁶² taking into account a
434 power of .8, an error rate α of .025 (corrected for 2 tests), a sample size of 100 allowed us to detect small
435 effect sizes $r > .29$ (2-sided; absolute values; confidence interval: .1 – .46; $R^2 > .08$, R^2 confidence
436 interval: .01 – .21) within a linear multiple regression framework including 1 tested predictor ($A\beta$) and
437 2 covariates (age, sex).

438

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456 D.C. and G.V. drafted the first version of the manuscript. All authors revised the manuscript and had
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458

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