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1	First description of a Yersinia pseudotuberculosis clonal outbreak in France, confirmed
2	using a new core genome multilocus sequence typing method
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- 26 **Running Title**
- 27 French *Yersinia pseudotuberculosis* clonal outbreak
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31 Abstract (150 words)

32	Yersinia pseudotuberculosis is an enteric pathogen causing mild enteritis that can lead to
33	mesenteric adenitis and septicemia in elderly patients. Most cases are sporadic, but outbreaks
34	have already been described in different countries. We report for the first time a Y.
35	pseudotuberculosis clonal outbreak in France, that occurred in 2020. An epidemiological
36	investigation pointed towards the consumption of tomatoes as the likely source of
37	contamination. The Yersinia National Reference Laboratory (YNRL) developed a new
38	cgMLST scheme with 1,921 genes specific to Y. pseudotuberculosis that identified the
39	clustering of isolates associated to the outbreak and allowed to perform molecular typing in
40	real time. In addition, this method allowed to retrospectively identify isolates belonging to this
41	cluster from earlier in 2020. This method, which does not require specific bioinformatic skills,
42	is now used systematically at the YNRL and proves to display an excellent discriminatory
43	power and is available to the scientific community.

44 Introduction

The Yersinia genus encompasses 26 different species. Two of them are 45 enteropathogenic for humans: Yersinia enterocolitica and Yersinia pseudotuberculosis (1). 46 47 Occurring predominantly in children, this latter species can cause mild enteritis characterized by fever, abdominal pain, and sometimes diarrhea that can lead to mesenteric adenitis (2). Y. 48 pseudotuberculosis (Yptb) can cause an invasive infection, leading to bacteremia in elderly 49 patients or in individuals presenting underlying medical disorders (diabetes, cirrhosis, iron 50 overload) (3). Most of the Yptb associated-cases are sporadic, but some outbreaks have been 51 reported in different parts of the world, including Japan (4), Canada (5), Europe (6), Russia 52 53 (7) and more recently in New Zealand (8). The reservoir of *Yptb* is mostly wild mammals (particularly rodents, lagomorphs, wild boars) and birds. The pathogen can enter the food 54 chain and outbreaks caused by consumption of contaminated iceberg lettuce (9), carrots (10) 55 or raw milk (11) have been described. 56

57 In case of outbreak suspicion, epidemiological investigations are of key importance to establish a link between patients and to identify a common exposure. Molecular investigation 58 methods allowing the establishment of a genetic link between bacterial isolates have been 59 essential in many outbreak investigations to confirm a genetic link between clinical isolates or 60 between clinical and environmental isolates. Pulsed-field gel electrophoresis (PFGE) used to 61 be the gold standard technique (9). However, this method is time-consuming and labor-62 intensive. Its lack of reproducibility and resolution led to its replacement by multilocus 63 variable-number tandem repeat analysis (MLVA) which has a better discriminatory power, 64 but is still time-consuming (12). Recent advances in sequencing methods have made whole-65 genome sequencing a rapid and affordable approach, available to surveillance laboratories. 66 This has led to the development of core-genome Single Nucleotide Polymorphism (cgSNP) 67 analyses to determine the genetic distance between bacterial isolates, with an excellent 68

discriminatory power (8, 13). Nevertheless, cgSNP analyses require advanced bioinformatic
skills and is not yet standardized between laboratories.

In France, the surveillance of enteric versiniosis is conducted by the Yersinia National 71 72 Reference Laboratory (YNRL) and Santé publique France (SpF), the national public health 73 agency. The routine procedure at the YNRL includes whole-genome sequencing of all bacterial isolates, followed by a bioinformatic analysis using a 500-gene core genome 74 75 multilocus sequence typing (cgMLST) dedicated to the Yersinia genus (14), which allows to identify the species and eventually the lineage. Due to its relative low number of genes, this 76 77 technique is not used to detect clusters. Therefore, the YNRL developed a new and easy-to-78 use public tool for their identification. This method is as powerful as other bioinformatic tools 79 based on whole-genome sequencing such as cgSNP analysis and does not require specific bioinformatic skills. It is based on a cgMLST of 1,921 genes shared by most Yptb strains. We 80 hereby describe this tool and highlight its usefulness in the investigation of the first described 81 82 Yptb outbreak in France.

84 Methods

85 *Y. pseudotuberculosis* isolates and taxonomic assignment

Yersinia isolates, together with some clinical and demographic data, are regularly sent 86 to the French YNRL for enteric versiniosis by medical laboratories for complete 87 characterization. Isolation and taxonomic assignments are performed as described by Savin et 88 al. (14) based on a 500-gene cgMLST scheme designed to identify all the species of the 89 Yersinia genus, as well as the lineage. A total of 359 isolates of Yptb received at the YNRL 90 between 1991 and 2020 for complete characterization were genotypically assigned. In 91 addition, genomic data of 9 isolates of *Yptb* lineage 16 with a clinical origin (1969 to 1990) 92 were extracted from our database for comparison of their genetic relatedness. 93

94 A novel cgMLST as a tool for identification of Y. pseudotuberculosis clusters

From our 1,346 *Yersinia* reference genomes dataset, constituted for the phylogenetic analysis of the *Yersinia* genus (14), 485 genomes representative of the *Yptb* species diversity were selected. Selection of the genes was performed as described previously (14) and led to the selection of 1,421 *Yptb* core genes to which the 500 genes of the *Yersinia* spp cgMLST scheme (14) were added, resulting in 1,921 core genes deemed suitable for cgMLST analysis and molecular investigation (Table S1).

101 A database was created for *Yptb* in the Institut Pasteur's MLST and whole-genome MLST resource (https://bigsdb.pasteur.fr), which uses the BIGSdb software tool (15, 16). All 102 de novo assembled genomes were uploaded into the isolates database, and the reference 103 104 alleles of the 1,921 cgMLST loci were defined in the linked database of reference sequences and profiles ('seqdef'). Within BIGSdb, a scan of the genome sequence was performed for 105 each isolate using parameters (min 80% identity, min 80% alignment, blastn word size of 20 106 nt) to check for the presence of each core gene and to determine its allele number. The 107 BIGSdb-108

109	<i>Yptb</i> database of cgMLST profiles is accessible at <u>https://bigsdb.pasteur.fr/yersinia/</u> . A
110	comparison of the allelic profiles can be performed either with the 'Genome comparator'
111	plugin or by the construction of a minimum spanning tree (MST) with GrapeTree (17) using
112	the corresponding BIGSdb plugin.
113	Since 2018 at the YNRL, each isolate identified as <i>Yptb</i> is also submitted routinely to
114	this new cgMLST to evaluate its genetic distance with other isolates from the database. When
115	a cluster of isolates (\leq 5 allelic difference) is identified, the YNRL alerts SpF who determines
116	whether an epidemiological investigation is required.
117	Core-genome SNP analysis
118	Genome sequences of all the isolates and paired-end quality-filtered FASTQ files were
119	obtained as described by Savin et al. (14). Variant calling was performed using the IP32953
120	reference strain (accession number: NC_006155) with Snippy version 4.6.0 and core-SNPs
121	were extracted using snp-sites (https://github.com/tseemann/snippy). A comparison of the
122	isolates using the core-SNPs was performed by the construction of a MST with GrapeTree
123	(17).
124	Discriminatory power determination
125	The discriminatory power of the molecular typing method was determined using the
126	Simpson's index of diversity (ID). It calculates the probability of a technique to attribute the
127	same profile to epidemiologically unrelated isolates. The higher the index is, the better the
128	discriminatory power is (18).
129	Epidemiological, trace-back, and environmental investigations
130	The YNRL alerts SpF of any unusual signal of <i>Yptb</i> from the microbiological
131	surveillance, including clusters, to determine whether an epidemiological investigation is
132	required. For this outbreak, all patients corresponding to the outbreak case definition were
133	contacted by SpF and queried about their previous exposition to animals, visits in natural

- 134 areas (sea, lake, forest, river, farms), drinking water supply and food consumption (dairy
- products, meats, fresh vegetables), using a standard trawling questionnaire. The questionnaire
- 136 covered the 10 days before the onset of the symptoms. Places of travel (holiday period) were
- also recorded. Historical records of tap water quality were verified by the regional Health
- 138 Agency (ARS de Corse) and trace-back investigation of suspected foods were performed by
- the French Directorate General for Food (DGAl) and the General Directorate for Competition
- 140 Policy, Consumer Affairs and Fraud Control (DGCCRF).

141 **Results**

142 Historical diversity of *Y. pseudotuberculosis* isolates in France

- According to the French YNRL database, among the 324 *Yptb* isolates received
- between 1991 and 2019, seventeen lineages currently circulate in France: isolates from
- lineages 15 and 10 are the most frequent (76 and 70 isolates respectively), followed by
- lineages 17, 5, 7, 2 and 16 (Figure S1). Even if the number of isolates has been quite stable
- over time (11.2 \pm 5.2 per year since 1991), very few strains were reported in 1997 and 2002 (2
- isolates each year) while a peak was observed in 2005 (28 isolates) (Figure S1).

149 Suspicion of a *Y. pseudotuberculosis* outbreak in France during 2020

150 In 2020, enteric yersiniosis surveillance by the YNRL led to the identification of 35

isolates of *Yptb*. Their geographical distribution indicates that, for almost all the lineages,

isolates originated from different French departments (Figure 1.A). The 20 ones identified in

the first semester belonged to 9 different lineages, lineage 10 being the most frequently

isolated (5 isolates) whereas 3 specimens of each lineage 15 and 16 were found (Figure 1.B).

155 At the end of July, 3 additional lineage 16 isolates were identified. The isolation of these

specimens by a single medical laboratory in Porto-Vecchio (Corse-du-Sud) led the YNRL to

alert SpF (see below) of a potential outbreak concerning *Yptb* lineage 16 (Figure S2). In

August 2020, 4 more lineage 16 isolates were identified, from the same laboratory, together

with 3 other isolates (lineage 10 and 15). In September 2020, 2 lineage 16 isolates were

160 identified but they originated from a laboratory located in Lyon (Rhône). Afterwards, no more

isolates from lineage 16 were reported in 2020. Interestingly, in addition to the 8 lineage 16

isolates identified during the summer, 3 lineage 16 specimens were found, 2 by the same

163 laboratory in Corse-du-Sud, and one in Dijon (Côte d'Or) at the beginning of the year.

164 Whereas no *Yptb* were identified in October and November, 4 isolates belonging to 3 different

165 lineages (7, 10 and 15) appeared in December (Figure S2).

166 Epidemiological, trace back and environmental investigations

On August 12, 2020, the YNRL informed SpF of the identification of 3 patients 167 infected by *Yptb* lineage 16 as determined by a cgMLST 500 genes, isolated in the same week 168 (week 30) in a single medical laboratory in Corsica (Figure S2). By comparison, 0 to 2 169 isolates belonging to lineage 16 had been isolated per year in France since 1991 (Figure S1). 170 Moreover, no Yptb had been isolated the previous years by the medical laboratory, while 171 already using the same identification method. This unusual temporal and geographical group 172 of cases, combined with the potential for invasive infections by *Yptb*, instigated an 173 epidemiological investigation led by SpF and local public health authorities, to identify a 174 potential common source of contamination and to implement control measures. 175 Cases were defined as any patient with identification of a *Yptb* lineage 16 isolate in the 176 YNRL national database, from any type of specimen sampled from July 1st in metropolitan 177 France. In total, 8 cases were identified with sampling dates between July 23rd and September

178 1st. The 8 Yptb specimens were recovered from stool samples in a laboratory in Porto-Vecchio 179 (Corse-du-Sud department) for 6 patients and in a laboratory in Lyon (Rhône department) for 180 2 patients. The median age of the patients was 25 years old, with 4 patients between 5 to 15, 3 181 patients between 30 to 60 and 1 patient older than 90. The patients sex ratio was 1.7 (three 182 women and five men). The 8 cases were interviewed: the onset of the symptoms covered a 183 period from July 10th to August 26th. Most patients have managed their symptoms at home, 184 one 10-year-old child was admitted to the hospital during one night for observation. Two 185 patients were residents of Corsica and 6 were in holidays in Corsica during the incubation 186 period. Moreover, 7 of them were located (residency or holidays) in a 10km radius area in 187 Northeast of Corsica (Haute-Corse department). 188

Food queries pointed towards the consumption of tomatoes from the same grocerystore in Northeast of Corsica (6 cases). No other common consumption of food nor leisure

activity was identified. Seven of the cases resided in an area supplied by the same water
distribution network. No contamination episodes of the water distribution network covering
the area were identified in the historical records (15 campaigns in 2019).

194 Food investigation established that the suspected tomatoes originated from a local production unit, based in the same geographical area. On-site inspection did not identify any 195 non-conformity potentially leading to contamination of the tomatoes during production, 196 197 harvest, storage, packaging, or transport, nor any problem with traceability. Three other 198 companies commercialized tomatoes from the suspected batch, but no trace back could be performed as no sales records were kept. The tomatoes were not rinsed before distribution and 199 200 the water used for irrigation came from the public distribution network for agricultural use. 201 No verification of the quality of this water system was conducted.

202 A new Y. pseudotuberculosis cgMLST confirmed the cluster of isolates

As our newly developed 1,921 genes cgMLST scheme specific to the species *Yptb* is used in routine at the YNRL, identification of the cluster of isolates associated to this pseudotuberculosis outbreak was possible. This new typing method was also applied to the *Yptb* strains isolated in France in 2020 and their genetic relatedness was determined (Table S2 and Figure 2).

The observed distance between isolates within each lineage (0 to 140 alleles) is much lower than distances observed between isolates from different lineages (269 to 1267 alleles), confirming that the lineages are well demarcated from each other using this novel cgMLST (Figure 2).

Whereas the distances between isolates from lineages 10 and 15 are higher, lineages 7 and 16 display isolates more closely related to each other, suggesting more clonality. Lineage 7 isolates (4 specimens) have 1 to 3 allele differences and may be considered belonging to the same cluster. However, no interviews of these patients were conducted to identify a potential common exposure and their distant isolation dates (Figure 1 and 2) weaken the hypothesis ofa common source of contamination.

Interestingly, among the 11 lineage 16 isolates, 10 of them showed close genetic 218 219 relatedness (between 0 and 3 differences) and may be considered as belonging to the same cluster. Whereas isolates IP43304 and IP43492 were recovered at the beginning of 2020, the 8 220 other specimens were isolated within 38 days (from 28th of July to 3rd of September 2020) and 221 222 correspond to the cases investigated during the summer (see above). The close genetic relatedness of the 8 isolates, together with their close geographical and temporal isolation, 223 confirmed a cluster of cases due to a *Yptb* lineage 16 infection. Interestingly, the 2 isolates 224 225 IP43304 and IP43492 were also recovered from the laboratory in Porto-Vecchio in February and March 2020. No interviews of these two patients from early 2020 on the exposures were 226 227 conducted, given the distance to onset of symptoms. The high allele difference number 228 between isolate IP43278 and the other isolates (\geq 140) from lineage 16 (Figure 2) excludes IP43278 from the cluster. 229

Performance comparison between the novel *Y. pseudotuberculosis* cgMLST and classical cgSNP analysis

Taking advantage of our novel, easy-to-use *Yptb* cgMLST scheme, we compared the performance of both methods on 39 lineage-16 clinical isolates from our French database (1969 – 2020). Minimum spanning trees (MST) were reconstructed with both cgMLST data and cgSNP analyses (Figure 3).

cgMLST-based MST allows to determine the genetic distance in terms of allelic
distance. Among the 39 studied isolates, 37 cgMLST profiles were identified. Only 3 isolates
belonged to the same cgMLST profile, and they corresponded to specimens from the 2020
Corsica outbreak (Figure 3.A). Pairwise allelic distances between the 39 isolates (table S3)

confirm that 3 isolates have the same cgMLST profile (IP43304, IP43952 and IP44154)

240

241	whereas other isolates have allelic distances between 1 and 157 (table S3).
242	The cgSNP-based MST (Figure 3.B) allows to determine the genetic distance in terms
243	of point mutations (SNPs). On this tree, we can observe 31 different SNP profiles: 2 isolates
244	from 2005 have the same SNP profile and 8 isolates (IP43304, IP43951, IP43952, IP43989,
245	IP44094, IP44110, IP44154 and IP44180) from the 2020 outbreak have the same profile.
246	Pairwise SNP comparisons (Table S3) confirm the null distance between those isolates,
247	whereas other isolates have between 1 and 802 SNP distances.
248	This lower number of profiles obtained with the cgSNP-based MST indicates that
249	some of the isolates with different cgMLST profiles have merged into a single cgSNP profile.
250	Simpson's index of diversity estimation for cgMLST is 0.996, whereas for cgSNP analysis is

251 0.96. Our cgMLST proved to have a better discriminatory power than cgSNP.

252 **Discussion**

We report here for the first time a *Yptb* clonal outbreak in France, with 8 cases identified during the summer 2020. All cases had been exposed in the same area in Corsica and consumption of local tomatoes was the suspected source of contamination. A new cgMLST confirmed that the 8 cases belonged to the same cluster. Moreover, two earlier cases (February and March 2020, both detected in Corsica) were also identified as belonging to the same cluster (although they could not be interviewed on their exposures and no common exposure with the summer cases could be identified).

The number of cases reported during the outbreak is low. However, incidence of 260 261 pseudotuberculosis is also low in France, with an average number of 11 isolates per year (Figure S1). This incidence is probably underestimated: all symptomatic patients do not visit 262 their doctor and they rarely prescribe stool examinations in case of diarrhea with no 263 264 complications. In addition, notification of *Yptb* or transmission of the isolates to the YNRL are not mandatory. Furthermore, detection and isolation of Yptb in medical laboratories is 265 difficult: a slower growth rate as compared to other enterobacteria and the presence of 266 267 competitive microbiota renders Yersinia spp. isolation complex (19, 20). Growth of some Yptb strains is impaired on semi-selective CIN agar (21). The recent implementation of panel-268 269 based testing systems (i.e. multiplex PCR) targeting enterobacteria could alleviate this issue, leading to stool culture only when a PCR positive signal is obtained (22, 23). However, some 270 PCR kits target only Y. enterocolitica specific chromosomal genes, reinforcing the low 271 identification rate of *Yptb*. 272

Epidemiological investigation pointed tomatoes as the suspected source of
contamination source for the summer cases. However, as no sampling of tomatoes was
performed, this suspected source could not be confirmed. Contaminated vegetables were also
suspected in previously reported *Yptb* outbreaks, with iceberg lettuce in 1998 (9) and carrots

in 2006 (10) in Finland were confirmed as sources of contamination. As wildlife is considered 277 278 the Yptb reservoir, feces of carrier animals may contaminate environmental water, soil, and grass (24). Contamination of vegetables in the fields can be direct (feces) or indirect 279 (irrigation with contaminated water). Wild boars and pigs are recognized as reservoirs of *Yptb* 280 (25): as Corsica hosts a large population of wild boars and allows the wandering of pigs, it is 281 possible to hypothesize a contamination of vegetables in the fields from this reservoir. Wild 282 283 rodents may also contaminate vegetables in the fields or during storage. Carrier animals may contaminate their environment as long as they host the pathogen, possibly leading to several 284 episodes or sources of contamination (26). 285

An increase in *Yptb* cases had been observed and investigated in 2005 in France (Figure S1 and 1). However, the epidemiological investigation identified a high genetic diversity in the isolates as well as the absence of a geographically defined cluster. This increase in clinical cases has been linked to an increase in prevalence in rodent reservoirs (3).

Identification of outbreak-related isolates and trace-back investigations to identify a 290 291 potential source of contamination were difficult when techniques such as PFGE (9) or MLVA (12) were used. Depending on the pathogen, the discriminatory power of PFGE may differ 292 and not be optimal (27-29) and its lack of reproducibility between laboratories restricts its use 293 294 to retrospective epidemiology (30, 31). PFGE has often been replaced by MLVA, which has proven to display a better discriminatory power (29, 32) but is still labor-intensive and time-295 consuming. Development of whole-genome-based typing methods alleviates these issues and 296 allows rapid detection of clusters as well near-real time alerts of public health authorities. The 297 298 confirmation of genetic relatedness of clinical and food samples remains a strong lever for 299 recalling food products from the market. Rapid trace-back investigation strengthens the possibility to identify a common source of contamination and to remove it from the food 300 chain (33). 301

Different cgMLST schemes have been developed for foodborne pathogen 302 303 identification. They have proven to be useful in public health surveillance and have provided 304 tools allowing international collaboration (13, 34, 35). Discriminatory power comparisons 305 between cgMLST and cgSNP analysis have shown a very high discriminatory power for both methods, thus arguing for the use of whole-genome-based methods for epidemiological 306 307 investigation (Figure 3) (13). The comparison confirmed the better performance and 308 resolution power of our novel cgMLST specific to Yptb. cgSNP analysis has already been used in the investigation of an outbreak due to *Yptb* infection. This tool allowed the 309 identification of a point-source contamination in the food chain (8). However, it requires 310 311 advanced bioinformatic skills not widely available in National Reference laboratories 312 worldwide. In this framework, we developed a new cgMLST for *Yptb* that proved to be more discriminant than cgSNP analysis (Figure 3 and Table S2). Here, allelic distance identification 313 314 was very useful as it confirms that the 8 summer isolates belong to the same cluster with 0 to 3 alleles difference and suggests a persistent or recurrent contamination of the food chain as 2 315 316 isolates were identified in February and March 2020. Interestingly, lineage 16 specimens are absent in previous years samplings (Figure 3.A) suggesting that this clone emerged recently. 317

Our new cgMLST does not require additional laboratory manipulation and is usable in real-time after the identification of the bacterial species, as it only requires the genome assembly of the isolate. Furthermore, it relies on the simple comparison of allelic profiles and should help future international collaboration to determine whether a clone is circulating in several countries.

We report, for the first time in France, an outbreak of *Yptb* infections due to the same clone. Epidemiological and microbiological investigations established a link between the patients and identified the consumption of tomatoes from a unique grocery store as the suspected source of contamination. Our recently developed cgMLST (available for the

- 327 community at <u>https://bigsdb.pasteur.fr/yersinia/</u>) exhibits an excellent discriminatory power
- 328 and allows epidemiological investigation in real-time.

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444 Figures

- 445 Figure 1: Geographical and temporal distribution of the 35 Y. pseudotuberculosis isolated in
- 446 France in 2020. (A) Map of France with the departments. Size of the circle depends on the
- 447 number of isolates. Colors of the circles depends on the isolates' lineages. (B) Number of
- strains per month. Colors of the squares depends on the isolates' lineages.
- 449 Figure 2: Minimum spanning tree obtained using the allelic profiles of the cgMLST (1921
- 450 genes) on the 35 *Y. pseudotuberculosis* isolates in France in 2020. The branch lengths are
- 451 based on a logarithmic scale. Numbers close to the branches reveals the alleles differences.
- 452 Colors of the circles depends on the isolates' lineages.
- 453 Figure 3: Minimum spanning tree reconstructed on the 39 Y. pseudotuberculosis belonging to
- 454 the lineage 16 isolated in France, 1969-2020. (A) MST cgMLST-based (B) MST SNP-based.
- 455 The branch lengths are based on a linear scale. Numbers close to the branches reveals the
- alleles differences (A) or SNP differences (B). Colors of the circles depends on the isolates'
- 457 lineages.

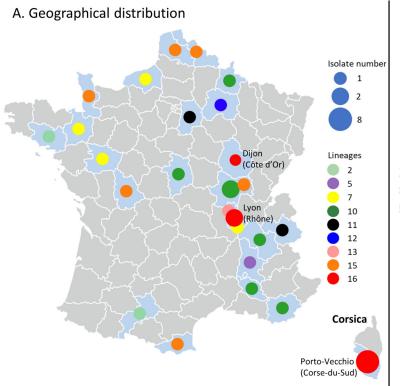
458 Supplemental Material

459 Table S1: List of the 1,921 genes used for this cgMLST.

460 Table S2: Allelic profiles of the 35 isolates from 2020 in France.

461 Table S3: Pairwise distance matrix cgMLST-based and SNP-based obtained comparing the 39

- 462 *Y. pseudotuberculosis* isolates belonging to the lineage 16.
- 463 Figure S1: Repartition of the different *Y. pseudotuberculosis* lineages in France according to464 the year of isolation.
- Figure S2: Timeline of the lineage 16 isolates during summer 2020. Number between bracketscorrespond to the isolation month.



B. Temporal distribution

