# Sorting of mitochondrial and plastid heteroplasmy in Arabidopsis is extremely rapid and depends on MSH1 activity

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The authors declare no competing interest.

Key words:

Heteroplasmy, mitochondria, plastid, MutS homolog 1 (MSH1), germline bottleneck

### 1 Abstract:

2 The fate of new mitochondrial and plastid mutations depends on their ability to persist and 3 spread among the numerous organellar genome copies within a cell (heteroplasmy). The extent 4 to which heteroplasmies are transmitted across generations or eliminated through genetic bottlenecks is not well understood in plants, in part because their low mutation rates make these 5 6 variants so infrequent. Disruption of MutS Homolog 1 (MSH1), a gene involved in plant 7 organellar DNA repair, results in numerous de novo point mutations, which we used to 8 quantitatively track the inheritance of single nucleotide variants in mitochondrial and plastid 9 genomes in Arabidopsis. We found that heteroplasmic sorting (the fixation or loss of a variant) 10 was rapid for both organelles, greatly exceeding rates observed in animals. In *msh1* mutants, plastid variants sorted faster than those in mitochondria and were typically fixed or lost within a 11 12 single generation. Effective transmission bottleneck sizes for plastids and mitochondria were N  $\approx$  1 and 4, respectively. Restoring MSH1 function further increased the rate of heteroplasmic 13 sorting in mitochondria ( $N \approx 1.3$ ), potentially due to its hypothesized role in promoting gene 14 15 conversion as a mechanism of DNA repair, which is expected to homogenize genome copies 16 within a cell. Heteroplasmic sorting also favored GC base pairs. Therefore, recombinational repair and gene conversion in plant organellar genomes can potentially accelerate the 17 elimination of heteroplasmies and bias the outcome of this sorting process. 18

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### 20 Significance statement:

21 Mitochondria and plastids play essential roles in eukaryotic life; thus, mutations in these 22 organellar genomes can have severe consequences. In animals, early germline sequestration 23 creates genetic "bottlenecks" providing cell-to-cell variance in mitochondrial mutations upon 24 which selection can act. However, the dynamics of organellar mutations in plants and other 25 organisms that lack early germline segregation remain unclear. Here, we show that sorting of 26 mutations in plant organellar genomes proceeds very rapidly – much faster than in animals. In mitochondria, this process is accelerated by MSH1, a gene involved in recombination and repair 27 28 of organellar genomes. This suggests that in plants, recombinational repair creates cell-to-cell 29 variance in the frequency of organellar mutations, facilitating selection in the absence of a 30 classical germline bottleneck.

#### 31 Introduction:

32 In plants, the genetic system is housed in three different compartments: the nucleus, the 33 mitochondria and the plastids. The products of mitochondrial and plastid genomes perform 34 functions critical for cellular metabolism, including oxidative phosphorylation and photosynthesis. Because organellar genomes are present at high cellular copy numbers, 35 multiple alleles can coexist within a cell, a situation known as heteroplasmy. These variants can 36 37 create opportunities for selfish competition within cells (1-4) and are of great interest because 38 they are often associated with human disease phenotypes, including inherited disorders due to germline transmission and age-related disorders due to heteroplasmies in somatic tissues (5-7). 39 40 Because of their important health consequences, the dynamics by which de novo mitochondrial 41 point mutations in mammals spread from initially low frequencies to eventually reach fixation 42 (homoplasmy) within a cell have been investigated in detail. Mammalian mitochondrial genomes 43 undergo physical and genetic bottlenecks that increase variance in heteroplasmic alleles among cells, providing a basis for selection (8-10). Bottlenecks in this sense result from a reduction in 44 45 the effective population size of organellar genomes, which can be due to processes such as 46 drift, preferential organellar DNA amplification, organellar dynamics and/or gene conversion (11-47 13). The relative size of these bottlenecks can be calculated by comparing variance in 48 heteroplasmic frequencies between mother and progeny (14, 15), and effective mitochondrial 49 bottleneck size (i.e., modelling the heteroplasmy variance generated between generations as a 50 single sampling event) ranges from ~10-30 segregating units in humans (16-19). In contrast to the fairly detailed understanding of mitochondrial heteroplasmy in animal 51 52 systems, there are fundamental gaps in our knowledge of how mitochondrial and plastid 53 mutational variants sort out in plants. Studies of heteroplasmic frequency in plants have mostly 54 been done with species that show biparental organelle inheritance (20-23), presumably because the exceedingly low point mutation rates in plant organellar genomes (24-26) limit the supply of 55 de novo mutations to study. In addition, spontaneous organellar mutations that lead to visible 56 57 phenotypes in plants (i.e., plastid mutations causing chlorosis or variegation) tend to be severe, 58 and heteroplasmic lines can often only be maintained by vegetative propagation (27, 28). These 59 factors have hampered the study of heteroplasmy dynamics in plants, including the extent to

60 which heteroplasmies are transmitted across generations.

The process by which heteroplasmies arise and spread is expected to differ markedly from the accumulation of mutations in the nuclear genome because of three distinguishing characteristics: mode of inheritance, copy number per cell and mutation rate. Organellar genomes show non-Mendelian inheritance, typically characterized by maternal transmission as

opposed to the biparental inheritance of nuclear genomes in sexual organisms. Strict 65 66 uniparental inheritance does not allow for the generation of novel combinations of alleles through recombination and has historically been expected to result in a buildup of deleterious 67 68 mutations, through a process known as Muller's ratchet (29, 30). However, the impact of Muller's ratchet will depend upon the number of genes, which tends to be low in organelle 69 genomes (for example, there are 57 genes in Arabidopsis mitochondria (31)), and the rate of 70 71 deleterious mutation. In addition, there is accumulating evidence that biparental inheritance of 72 organellar genomes (sometimes referred to as paternal leakage) is more common than previously appreciated (22, 23, 32, 33). Even infrequent biparental inheritance of organellar 73 74 DNA could represent a pathway for recombination, slowing or preventing the 'mutational 75 meltdown' associated with Muller's ratchet (34). Alternatively, recent theory posits that the 76 bottlenecking associated with uniparental inheritance of organellar genomes may actually 77 provide a benefit by increasing cell-to-cell variability and improving the efficiency of selection at 78 higher organizational levels (35-38). This benefit may explain why uniparental inheritance of 79 organellar genomes has been retained across eukaryotic lineages.

80 The number of genome copies per cell is another major difference between the nucleus 81 and organelles that impacts the spread of new mutations. In contrast to the single nuclear 82 genome copy that is passed to the next generation in each gamete, cells can contain numerous 83 mitochondria and plastids, and genome copies within each organelle can reach high numbers. 84 As such, a mutation arising in organellar DNA initially constitutes only a single member of a larger population of non-mutant genome copies in the cell. The size of this population is 85 86 expected to influence the subsequent genetic dynamics. In Arabidopsis, only a few plastids are 87 present in meristematic cells, while over 50 plastids can be found in cells of mature leaves, and the number of plastid genome copies per cell ranges from around 80 in meristematic tissue to 88 >3,000 in mature leaves (39). Mitochondrial genomes are present at ~50-100 copies in both egg 89 cells and leaf cells of Arabidopsis (40-42). However, in both cell types, the number of 90 91 mitochondria per cell exceeds 300 suggesting that many or most mitochondria do not contain a 92 full mitochondrial genome copy (41). The number of organellar genome copies in female 93 gametes of plants varies between species but is typically low, not exceeding 100 mitochondrial genome copies (42). In comparison, a single mouse oocyte contains over 200,000 mitochondrial 94 95 genomes, the result of proliferation from ~200 mitochondrial DNA copies found in progenitor 96 germ cells of the embryo (8).

97 Mutation rates also differ among cellular compartments. In land plants, mitochondria
98 have the lowest mutation rate (as inferred from synonymous substitutions), followed by plastids

and then nuclei at a ratio of approximately 1:3:10 (24-26). By comparison, mammalian
mitochondrial sequence mutation rates greatly exceed those in the nucleus (26). Although plant
mitochondria and plastids have low rates of point mutation, their genomes undergo frequent
recombination between repeated sequences, resulting in populations of alternative structures
(43-45). Thus, reversible structural heteroplasmies can exist in plant mitochondria and have
been associated with cytoplasmic male sterility and other types of phenotypic variation (43, 46,
47).

106 Our previous work found that the nuclear-encoded protein MutS Homolog 1 (MSH1) 107 reduces plant organellar mutation rates (48), in addition to its previously characterized role in 108 suppression of ectopic recombination (49, 50). MSH1 is part of the larger MutS family of genes 109 involved in mismatch repair and has a unique architecture that includes both mismatch 110 recognition and endonuclease domains (51). It has been hypothesized that MSH1 initiates 111 double strand breaks (DSBs) at mismatched or damaged bases to facilitate repair through 112 homologous recombination (48, 52, 53). The high ploidies frequently associated with organelles 113 provide multiple genome copies for homologous recombination which plays a major role in DNA 114 replication and repair processes in plant organellar genomes (44, 54). Gene conversion is a 115 common outcome of DSBs and homologous recombination, resulting in homogenization of 116 genome copies within a cell (44, 54). It has been hypothesized that gene conversion could act 117 as an alternative mechanism to increase cell-to-cell variance in heteroplasmic frequencies in 118 eukaryotic lineages such as plants that may lack a physical bottleneck associated with germline development (37). Thus, we predict the action of MSH1 and other genes involved in 119 120 homologous recombination may accelerate the sorting of heteroplasmies.

121 We previously identified numerous mitochondrial and plastid heteroplasmies in 122 Arabidopsis *msh1* mutant lines and showed that some of these could be transmitted through meristematic and reproductive tissues to subsequent generations (48). Having this unique 123 genetic material provided us the opportunity to study the dynamics of *de novo* heteroplasmies in 124 125 plants, both within individuals and across generations in msh1 mutants and wild type backgrounds. We find that heteroplasmic sorting is rapid in plants – particularly in plastids – and 126 127 that MSH1 function in mitochondria increases the speed of heteroplasmic sorting. For 128 heteroplasmic variants, we also found that GC base pairs preferentially increased in frequency 129 over AT base pairs. These results imply that gene conversion contributes to a high rate of 130 heteroplasmic sorting in plants and potentially biases the outcome of this sorting process. 131

132 **Results:** 

#### 133 Identification of heteroplasmic variants in msh1 mutants

134 The *msh1* mutant background afforded us the opportunity to explore the dynamics of heteroplasmy in Arabidopsis. We selected ten high frequency single nucleotide variants (SNVs) 135 resulting from de novo mutations that were identified in organellar DNA pools of msh1 mutant 136 plants (Table 1). Each SNV was associated with a specific msh1 family line. All of the SNVs 137 were transitions (GC $\rightarrow$ AT or AT $\rightarrow$ GC mutations), and the majority were in intergenic regions. 138 The frequencies for each SNV measured by allele-specific droplet digital PCR (ddPCR) were 139 repeatable and similar to those obtained from sequencing read counts (Table 1). 140 We used this ddPCR assay to identify heteroplasmy in individual plants by screening 141 young leaves from the siblings and/or progeny of the plants used in the initial identification of 142

143 SNVs (48). After adjusting for organellar genome copy number and numts (see Methods), only

- 144 four of the ten SNVs were found to be heteroplasmic in any of the screened individuals; the
- other six variants had either reached fixation or were not detectable in the individuals screened
- 146 (Table 1, Table S1). Therefore, we proceeded with these four heteroplasmic SNVs (two
- 147 mitochondrial and two plastid) for all subsequent experiments and analyses. The number of
- 148 heteroplasmic individuals identified was higher for the mitochondrial SNVs tested (46.5% of
- individuals) versus those from the plastid (3.7%) (Table S1), which is why a greater number of
- 150 markers and total plants were screened for plastid versus mitochondrial SNVs.

SNV Target (nucleotide position)	Reference Nucleotide	Variant Nucleotide	Genetic Context	Variant Frequency (sequencing)*	Variant Frequency (ddPCR)*	Heteroplasmy Identified
Plastid (26553)	А	G	intergenic	0.076	0.0926 (0.0080)	Yes
Plastid (29562)	Т	С	intergenic	0.032	0.026	No
Plastid (36873)	А	G	intergenic	0.059	0.032 (0.0024)	Yes
Plastid (48483)	А	G	intergenic	0.028	0.033	No
Plastid (61599)	А	G	intergenic	0.068	0.090	No
Plastid (72934)	А	G	psbB - CDS	0.023	0.017	No
Plastid (118559)	т	С	ndhG - CDS	0.014	0.015 (0.0082)	No
Mito (91017)	А	G	intergenic	0.147	0.180	Yes
Mito (143184)	т	С	<i>rm</i> 26 - rRNA	0.014	0.012	No
Mito (334038)	С	Т	intergenic	0.033	0.019 (0.0018)	Yes

 Table 1. Characteristics of SNV targets.

\*Variant frequencies are calculated as the count of variant alleles out of the total copy number in the sample. Variants were initially identified from Duplex Sequencing of purified organellar DNA from a pool of ~60 F3 plants (48). These same samples were used to estimate variant frequency with ddPCR. Standard deviation for ddPCR experiments is shown in parentheses for the SNVs used in subsequent studies, and seven to ten technical replicates were used for calculation of standard deviations.

### 151 Intergenerational heteroplasmic sorting occurs more rapidly in plastids than

#### 152 *mitochondria in an msh1 mutant background*

153 To determine the frequency with which heteroplasmies are transmitted across generations, we used *msh1* individuals that were each heteroplasmic for one of the four 154 identified SNVs as mothers to generate selfed progeny. We expected that variant frequencies in 155 the mother would influence the distribution of heteroplasmy in the progeny, so we used mothers 156 157 with a wide range of starting allele frequencies. Both mitochondria and plastid SNVs showed 158 rapid sorting over a single generation, as many progeny were fixed for either the wild type or 159 alternative (SNV) allele (Figure 1, Table S2). This trend was particularly striking in plastids: very 160 few heteroplasmic progeny were identified for plastid SNVs, regardless of the heteroplasmic 161 frequency in the mother. For mitochondrial SNVs, progeny showed a tighter and more 162 continuous distribution of heteroplasmy roughly distributed around the allele frequency of the 163 mother, with many progeny retaining a heteroplasmic state.

164 The distribution of heteroplasmy in progeny derived from a heteroplasmic mother can be 165 used to calculate an effective transmission bottleneck size (*N*). Although this measure has often 166 been (incorrectly) equated with the number of organellar genomes transmitted to the next generation, it is more appropriately thought of as a relative metric to compare the cumulative 167 168 biological sampling variance in genome transmission throughout development and across 169 generations (14, 15). A common way of estimating bottleneck size is by taking the reciprocal normalized sample variance,  $\hat{N}_{sv} \simeq \bar{h}$  (1-  $\bar{h}$ )/ $s^2$ , as an estimate for the number of effective 170 segregating units (14), where  $\bar{h}$  is the sample mean heteroplasmy and  $s^2$  is the sample variance 171 172 in heteroplasmic frequencies for a set of tissue or progeny samples. However, the use of this 173 statistic is problematic for moderate samples of highly segregated cases, where the sample 174 variance approach yields estimates and uncertainties that are incompatible with the concept of segregating units (for example, inferring  $\hat{N}_{sv} < 1$  with confidence intervals that include zero) (55). 175 176 We took two approaches here to estimate bottleneck size. First, for comparison with previous 177 work, we used the above equation to calculate  $\hat{N}_{sv}$ , but we employed the population variance 178 (without Bessel's correction) for  $s^2$ . This approach yields a slightly biased estimate but one that respects heteroplasmy constraints ( $\hat{N}_{sv} \ge 1$ ). More rigorously, we also used a maximum 179 180 likelihood approach based on the Kimura distribution (55) that captures these constraints in 181 order to identify the most likely value ( $\hat{N}$ ) of the bottleneck parameter as well as the confidence interval (CI) of this measure given a full set of observations. We also used this Kimura-based 182 183 approach to perform hypothesis testing (see Methods). Both values ( $\hat{N}_{sv}$  and  $\hat{N}$ ) are reported in tables for comparison, but we refer to the more rigorous Kimura estimates in the text. 184

185 Using data from seven plastid families and five mitochondrial families we found that, 186 over a single generation, plastids typically have a smaller transmission bottleneck size than 187 mitochondria (Table 2). Plastid transmission bottlenecks were extreme, approximating a value of one ( $\hat{N}$  = 1.06, 95% CIs: 1.03-1.13), in accordance with observations that the vast majority of 188 offspring were homoplasmic for one allele or the other (Figure 1). The average mitochondrial 189 transmission bottleneck size in msh1 mutants ( $\hat{N} = 4.20, 95\%$  CIs: 4.15-4.25) was significantly 190 larger than in plastids ( $p = 3.2 \times 10^{-55}$ , likelihood ratio test, Table 2). Therefore, the number of 191 effective genome copies passed throughout development and from mother to progeny is larger 192 193 for mitochondria than for plastids. However, the transmission bottleneck values for both 194 organelles reflect relatively rapid heteroplasmic sorting.



**Figure 1. Distribution of heteroplasmy across generations in an** *msh1* **mutant background**. Heteroplasmy (alternative allele frequency) was evaluated using ddPCR of leaf tissue in progeny of maternal lines with different levels of heteroplasmy, indicated by dotted vertical lines and numbers in parentheses on each graph. Histograms indicate the number of individuals showing different levels of heteroplasmy. Mitochondrial families (orange) are depicted in the top row, plastid families (green) are shown on the bottom row. For the sake of comparison with the five mitochondrial families, data for plastid families 6 and 7 in not shown but is included in Table 2.

Table 2. Effective transmission bottlenecks in organellar genomes in the <i>msh1</i> mutant background calculated for plastid and
mitochondrial markers over single generations in <i>msh1</i> mutant plants. Confidence intervals for Kimura estimates are noted in
parentheses below calculated bottleneck value. Likelihood ratio test (see methods) show that plastid bottlenecks are significantly
smaller than mitochondrial bottlenecks ( $p = 3.2 \times 10^{-55}$ ).

Family name	SNV target	Maternal sample % alternative allele	Progeny mean % alternative allele (h)	Number progeny ( <i>n</i> )	Variance in heteroplasmy (s <sup>2</sup> )	Bottleneck size estimate from $s^2$ ( $\hat{N}_{sv}$ )	Bottleneck size estimate from Kimura fit ( <i>Ñ</i> , 95% Cls)
Mito Family 1	Mito 91017	7	2	24	0.0052	3.54	2.81 (1.38-9.71)
Mito Family 2	Mito 334038	21	8	26	0.0186	3.79	3.18 (2.04-5.54)
Mito Family 3	Mito 91017	58	61	47	0.0573	4.14	4.68 (3.52-6.37)
Mito Family 4	Mito 91017	61	68	25	0.0822	2.65	3.33 (3.11-3.57)
Mito Family 5	Mito 91017	83	89	22	0.0356	2.69	6.14 (5.69-6.62)
						Mito mean 3.36	Joint mito 4.20 (4.15-4.25)
Plastid Family 1	Plastid 26553	10	33	21	0.2222	1.00	1 (1-1)
Plastid Family 2	Plastid 26553	14	31	24	0.1934	1.10	1.11 (1.03-1.35)
Plastid Family 3	Plastid 36873	30	38	8	0.2344	1.00	1 (1-1)
Plastid Family 4	Plastid 26553	44	79	28	0.1684	1.00	1 (1-1)
Plastid Family 5	Plastid 26553	70	86	10	0.0986	1.25	1.15 (1.02-2.25)
Plastid Family 6	Plastid 26553	7	16	20	0.1034	1.29	1.22 (1.06-1.76)
Plastid Family 7	Plastid 36873	7	0	20	0	NA	NA
						Plastid mean 1.07	Joint plastid 1.06 (1.03-1.13)

### 195 Heteroplasmic sorting in vegetative and floral tissues occurs more rapidly in plastids

197 Although reproductive tissue is one location where heteroplasmic sorting can happen, it can also occur within cells and tissues as they grow. This may be particularly important in 198 organisms like plants that do not exhibit early germline specification (56, 57), a hypothesis that 199 200 is supported by recent theory predicting ongoing segregation in plant tissues (37). We sampled 201 multiple leaf and inflorescence tissues from selected progeny of *msh1* heteroplasmic mothers 202 and quantified heteroplasmic rates (Figure 2, Table S3). Two plastid family lines (six individuals) 203 initially selected for analysis showed no within-plant heteroplasmy and were either fixed for the 204 wild-type or alternative SNV allele. The other family line showed high variance in heteroplasmy 205 rates across tissues, indicative of rapid within-plant sorting. Using rates of heteroplasmy in the 206 tissue samples from each plant, we found that within-plant bottleneck sizes were significantly

<sup>196</sup> than mitochondria in an msh1 mutant background

- smaller on average for plastids ( $\hat{N}$  = 5.92, 95% CIs: 3.85-9.51) than for mitochondria ( $\hat{N}$  = 12.00,
- Cls: 11.95-12.05,  $p = 3.4 \times 10^{-29}$ , likelihood ratio test; Table 3). Within-plant bottleneck size was
- larger than the transmission bottleneck size seen between generations (plastids, p = 2.4227 x
- 210  $10^{-29}$ ; mitochondria,  $p = 1.6 \times 10^{-30}$ , likelihood ratio tests), suggesting that sorting during
- 211 vegetative growth indeed contributes to heteroplasmic variance, but the full reproductive cycle
- and transmission to the next generation involves a tighter effective bottleneck.



Figure 2. Distribution of heteroplasmy within individual plants in an *msh1* background. Progeny from mothers with varying levels of heteroplasmy (experiment shown in Figure 1) were selected for further analysis. Dotted lines indicate levels of maternal heteroplasmy. Levels of heteroplasmy (alternative allele percentage) for leaf and inflorescence tissues were determined using ddPCR.

Table 3. Within-plant bottlenecks in organellar genome transmission in msh1 mutant backgrounds. Confidence intervals for Kimura
estimates are noted in parentheses below calculated bottleneck value. Not applicable (NA) indicates that there was no variation
within the tissues tested. Likelihood ratio test (see methods) show that within plant plastid bottlenecks are significantly smaller than
mitochondria bottlenecks ( $p = 3.4 \times 10^{-29}$ ).

Individual name	SNV target	Mean within- plant % alternative allele ( $\overline{h}$ )	Number tissue samples ( <i>n</i> )	Variance in heteroplasmy (s²)	Within-plant Bottleneck size estimate from s <sup>2</sup> (Ñ <sub>sv</sub> )	Within-plant bottleneck size from Kimura fit (Ñ, 95% Cls)
Mt 3 - 2	Mito 334038	4	11	0.0024	16.87	18.82 (9.75-37.28)
Mt 3 - 1	Mito 334038	8	13	0.0004	192.46	195.83 (190.48-201.32)
Mt 9 - 1	Mito 91017	29	9	0.0105	22.19	23.06 (18.5-28.81)
Mt 3 - 3	Mito 334038	37	11	0.0066	30.88	32.28 (29.65-35.15)
Mt 9 - 3	Mito 91017	47	9	0.0085	29.27	28.17 (22.17-35.88)
Mt 9 - 2	Mito 91017	94	11	0.0090	6.37	4.17 (2.08-10.33)
					Mito mean 49.72	Joint mito 12.00 (11.95-12.05)
Pt 2a - 1	Plastid 26553	0	5	0	NA	NA
Pt 2a - 2	Plastid 26553	0	6	0	NA	NA
Pt 3 - 2	Plastid 36873	0	6	0	NA	NA
Pt 3 - 3	Plastid 36873	0	4	0	NA	NA
Pt 2b - 2	Plastid 26553	8	10	0.0069	10.91	12.48 (6.75-23.94)
Pt 2b - 3	Plastid 26553	58	5	0.0513	4.76	5.18 (2.28-14.65)
Pt 2b - 1	Plastid 26553	69	10	0.0475	4.50	5.22 (2.93-10.22)
Pt 2a - 3	Plastid 26553	100	5	0	NA	NA
Pt 3 - 1	Plastid 36873	100	6	0	NA	NA
					Plastid mean 6.72	Joint plastid 5.92 (3.85-9.51)

### 213 MSH1 activity accelerates heteroplasmic sorting in mitochondria

- Because MSH1 is hypothesized to introduce DSBs and promote recombinational repair (48, 52,
- 53), we predicted that a functional copy of the *MSH1* gene would speed up heteroplasmic
- sorting by homogenizing genome copies through gene conversion, as predicted by theoretical
- 217 modeling (37). To test this hypothesis, we transferred heteroplasmic variants to a wild-type
- background by crossing heteroplasmic *msh1* female plants with wild-type males and analyzing
- heteroplasmy levels in both F1 and F2 (selfed) progeny. All plants were genotyped at the MSH1
- locus, and only individuals that were heterozygous or homozygous wild type were included in

221 the heteroplasmy analysis (the *msh1* mutation is recessive). Due to the extremely low number 222 of individuals that were heteroplasmic for plastid SNVs and the rapid plastid heteroplasmic 223 sorting rates, this backcrossing method was only successful in generating lines to study mitochondrial heteroplasmy. For mitochondrial SNVs in the wild-type background, we saw 224 extremely rapid sorting of heteroplasmies (Figure 3, Table S2, Table S4), akin to our 225 observations in plastids under msh1 mutant backgrounds (Figure 1). The average mitochondrial 226 transmission bottleneck size for wild-type plants ( $\hat{N} = 1.33, 95\%$  CIs: 1.20-1.54) was significantly 227 reduced from that in the msh1 mutant background ( $\hat{N} = 4.20, 95\%$  CIs: 4.15-4.25,  $p = 8.1 \times 10^{-1}$ 228 229 <sup>49</sup>, likelihood ratio test; Table 2, Table 4). The standing number of organellar genomes per 230 nuclear genome copy in leaf tissue did not differ significantly between msh1 and wild type 231 backgrounds (Figure S1), suggesting that the differences we identified in heteroplasmic sorting were more likely due to differences in MSH1 activity rather than changes in the physical number 232

233 of organellar DNA copies per cell.



**Figure 3. Distribution of mitochondrial heteroplasmy across generations in a wild-type background.** Mitochondrial heteroplasmies were backcrossed into a wild-type *MSH1* background. Heteroplasmy (alternative allele frequency) was evaluated using ddPCR of leaf tissue in progeny of maternal lines with different levels of heteroplasmy, indicated by vertical dotted lines and numbers in parentheses on each graph. Histograms indicate the number of individuals showing different levels of heteroplasmy. All three F2 mothers were progeny from F1 Cross 2.

**Table 4.** Effective transmission bottlenecks in organellar genomes in wild type background. Effective transmission bottleneck size was calculated for mitochondrial markers over single generations in wild type plants. Confidence intervals for Kimura estimates are noted in parentheses below calculated bottleneck value. Not applicable (NA) indicates that there was no variation within the progeny – they were all fixed for one allele or the other. Note that bottleneck size estimates for F1 cross 1 are imprecise because they are based on a family in which only one of 17 progeny remained heteroplasmic and that individual had a low allele frequency (2%). The large point estimates for that family weigh heavily when taking a mean of  $\hat{N}_{sv}$  estimates but do not bias the joint maximum-likelihood estimate of  $\hat{N}$ .

Cross type	SNV target	Maternal sample % alternative allele	Progeny mean % alternative allele ( $\overline{h}$ )	Number progeny ( <i>n</i> )	Variance in heteroplasmy (s <sup>2</sup> )	Bottleneck size estimate from $s^2$ ( $\hat{N}_{sv}$ )	Bottleneck size estimate from Kimura fit ( <i>Ñ</i> , 95% Cls)
F1 cross 1	Mito 334038	38	0.14	17	0.00003	46.13	18.18 (2.45-204.07)
F1 cross 2	Mito 334038	31	8	10	0.05867	1.63	1.94 (1.26-4.45)
F1 cross 3	Mito 91017	22	5	20	0.03708	1.15	1.58 (1.13-3.67)
F2 cross 1	Mito 334038	8	18	32	0.13963	1.05	1.26 (1.11-1.62)
F2 cross 2	Mito 334038	18	0	37	0.00000	NA	NA
F2 cross 3	Mito 334038	81	36	24	0.21511	1.07	1.24 (1.11-1.54)
						Mean 10.21	Joint estimate 1.33 (1.20-1.54)

### 234 GC-biased inheritance in organellar genomes

The fact that the SNVs used in our analysis were  $GC \rightarrow AT$  or  $AT \rightarrow GC$  transitions 235 236 created the opportunity to search for GC or AT bias in the inheritance of SNVs in plant 237 organellar genomes. Using the allele frequency data from our heteroplasmic mothers and 238 progeny, we found that, even though angiosperm organelle genomes are typically AT rich (58), 239 there is evidence of a GC bias during heteroplasmic sorting in both plastids and mitochondria 240 (Figure 4, Table S5). The frequency of the GC allele increased in the progeny relative to the mother in 14 of 17 families (two-sided binomial test, p = 0.0127). Although modest increases in 241 the frequency of the GC allele were found in most lines, five showed that mean progeny GC 242 frequency increased over 20% compared to the mother (Figure 4B). The mean increase in 243 244 frequency for the GC allele was 14.1%, which differed significantly from zero (two-sided t-test, p 245 = 0.0039). The magnitude of this increase was nearly identical for mitochondrial SNVs (14.0%) 246 and plastid SNVs (14.2%).



**Figure 4**. **Changes in frequency of GC alleles between generations.** A) Norm of reaction plot showing the frequency of GC alleles (the SNVs from this study) in mothers and progeny (mean value). The reference (wild type) allele was AT and the variant GC in all cases except for mt334038 in which the inverse was true (Table 1). Means for each group are shown with horizontal gray bars. B) Histogram showing the change in percentage of GC alleles for tested family lines. A two-sided t-test shows bias toward GC alleles, p = 0.0039.

### 247 Discussion:

### 248 **Potential causes of rapid heteroplasmic sorting in plant organelles**

249 In Arabidopsis, we found that both plastid and mitochondrial heteroplasmies sorted out

to homoplasmy within one to a few generations and exhibited tight effective bottlenecks,

- regardless of whether they were found in wild type or *msh1* mutant backgrounds (*msh1* plastid
- 252  $\hat{N} \approx 1$ , msh1 mitochondria  $\hat{N} \approx 4$ , wild-type mitochondria  $\hat{N} \approx 1.3$ ). In contrast, effective
- 253 mitochondrial germline bottlenecks are estimated to be substantially larger in most animal
- 254 systems: ~5-10 in *Daphnia* (59), ~9 in macaques (60), ~10-30 in humans (16-19), ~30 in
- 255 Drosophila (61), ~60 in Caenorhabditis elegans (62), ~80 in salmon (63), 5-100 in mice (9, 55),
- and 170 in zebrafish (64). Thus, in animals, heteroplasmy is often retained over multiple
- 257 generations.

258 Germline bottlenecks are well established as drivers of heteroplasmic variance in

- animals, but the mechanism is still not completely understood (8, 9, 14, 18). The bottleneck is
- thought to be due, at least in part, to the physical reduction of mitochondrial DNA copies during
- 261 female germline development (65, 66). However, other lines of evidence suggest that selection
- 262 (67-69), mitochondrial dynamics (12), and preferential genome amplification (11, 13) can also
- 263 play roles in reducing the effective population size of mitochondrial genomes.

264 In plants, where the germline is segregated later in development (56, 57, 70), the way in 265 which heteroplasmic variance increases is even less clear. However, the relatively modest 266 number of mitochondrial and plastid genome copies in meristematic and reproductive tissues is a likely contributor to rapid heteroplasmic sorting. In plants, all aboveground tissues, including 267 reproductive organs, are derived from the shoot apical meristem (SAM). Arabidopsis SAM cells 268 269 contain only ~80 copies of plastid DNA housed within 4-10 proplastids (39). The number of 270 mitochondrial genome copies in SAM cells is not well established, but these values are typically 271 <100 in both vegetative tissues and egg cells (40-42). Additional mechanisms, including 272 selection could reduce the effective population size of organelle genomes by acting as an 273 effective germline bottleneck. The frequent recombination associated with plant organellar 274 genomes (44, 54) could also act as an effective bottleneck by homogenizing genomes through 275 gene conversion without requiring a physical reduction in organelle DNA copy number, as 276 predicted by recent theory (37). The rapid heteroplasmic sorting in Arabidopsis organelles, as 277 well as our finding that MSH1 activity further accelerates the rate of sorting (see below), 278 supports this hypothesis.

279 Although the rate of heteroplasmic sorting has not been studied extensively in plants, 280 there are supporting lines of evidence that this process may be rapid in other angiosperms. For 281 example, controlled crosses of Silene vulgaris and Daucus carota have shown that 282 mitochondrial heteroplasmy is maternally transmitted at only low levels across generations (20, 283 21). Mitochondrial variants produced by repeat-mediated recombination have been observed to 284 rapidly rise from mean cellular copy numbers under one to high frequencies or even 285 homoplasmy across cells, through a process called substoichiometric shifting (SSS) (43, 46). Because of the role of *MSH1* in recombination surveillance, these structural variants arise more 286 287 frequently in *msh1* mutants (50), but the causes of rapid SSS have remained less clear. Studies of variegation mutants derived from biparental plastid inheritance show that sorting occurs 288 rapidly at the level of whole organelles and is frequently complete within a single generation (15, 289 290 28, 71). When plastid DNA is modified using a transgenic approach, homoplasmy is typically 291 reached after a few rounds of antibiotic selection (72, 73). The rapid sorting of transgenic plastid mutations has also been observed in the absence of antibiotics (74). It is currently unclear 292 293 whether rapid sorting of plastid transgenes occurs in all plants as it has been extremely difficult 294 to obtain homoplasmic transgenic lines of various species, particularly monocots (75-77). 295 However, this may be due to the general recalcitrance of these species to plastid transformation 296 or inefficient selection that makes variants difficult to detect (75, 76). The approach we have 297 used here would be valuable to test heteroplasmic sorting in other species because most

298 intergenic SNVs are unlikely to be under strong selective pressure, as opposed to the entire 299 genes that are introduced with transgenic approaches or the large structural rearrangements 300 associated with SSS. The rapid sorting we have identified could readily explain these diverse 301 instances of segregation and occasional amplification of rare variants in organellar genomes, but characterization from diverse species is needed because the developmental and genetic 302 303 mechanisms of heteroplasmic sorting may vary across plant lineages. However, the elevated 304 germline expression of recombination machinery including MSH1 appears to be conserved 305 across several angiosperms (37), suggesting a similar genetic basis may exist for generating 306 heteroplasmic variance.

307

#### 308 Differences in heteroplasmic sorting between mitochondria and plastids

309 In the *msh1* mutant background, we found that plastids had tighter bottlenecks than mitochondria, both across generations ( $\hat{N} = -1$  versus -4, respectively) and within individuals ( $\hat{N}$ 310 =  $\sim 6$  versus  $\sim 12$ , respectively). This result may seem surprising because the much greater 311 312 relative copy number of plastid versus mitochondrial DNA typically seen in most aboveground 313 tissues (39, 41) would be expected to result in lower levels of heteroplasmic variance in plastids 314 (i.e., a wider bottleneck size). However, as noted above, mitochondria and plastids both 315 possess low genome copy numbers in the SAM and/or reproductive tissues (39-42), presenting 316 similar potential for physical bottlenecks. One key difference between the two types of 317 organelles is that mitochondria can experience both full and transient fusion events over the plant life cycle allowing for genetic exchange, whereas plastids rarely if ever fuse (15, 78-82). 318 319 Mitochondrial fusion is particularly prevalent in the SAM, where it is estimated that 80% of the mitochondrial volume is fused into a dynamic tentaculate cage-like structure, creating the 320 321 opportunity for sharing of genome copies between formerly distinct mitochondrial compartments (79). Here, gene conversion is predicted to homogenize the mitochondrial genomes within a cell 322 leading to increased heteroplasmic variance between cells (37, 79, 83). Thus, all else being 323 324 equal, existing theory would predict that fusion results in more rapid heteroplasmic sorting in 325 mitochondria than plastids – the opposite of what we observed. This suggests that other 326 differences in organelle biology can impact the rate of variability in organellar DNA populations. 327 Additional factors are expected to influence the speed of heteroplasmic sorting, but the 328 extent to which they differ between plastids and mitochondria is often unclear. These processes 329 include the number of organelles per cell, rates of organellar DNA replication and degradation, 330 rates of organelle turnover, the physical partitioning of organellar DNA during organelle 331 replication, and the partitioning of whole organelles during cell division (15). In addition, plastids

and mitochondria house distinct versions of many DNA replication, recombination and repair proteins (54), which could influence relative rates of gene conversion. It is also possible that dual-targeted proteins involved in these pathways, such as MSH1, may differentially impact gene conversion rates between organelles. All of these factors likely vary based on cell type and developmental stage, and they may work in combination. Models of heteroplasmic sorting have tried to integrate many of these factors, but much of the relevant biological data required for parameterization is still lacking, particularly in plant systems (9, 14, 18, 37, 84).

- 339
- 340

### The role of MSH1 in heteroplasmic sorting

341 We found that mitochondrial heteroplasmic sorting was faster in wild type Arabidopsis plants than in msh1 mutants ( $\hat{N} = \sim 1.3$  versus  $\sim 4$  respectively), with the majority of wild type 342 progeny reaching fixation for either the reference or alternative allele within a single generation. 343 344 This result lends support to the hypothesized repair mechanism in which MSH1 identifies mismatches and initiates DSBs followed by template-based recombinational repair [(48, 52, 53), 345 346 Figure 5A]. Under this model, MSH1 would increase rates of gene conversion, thereby 347 homogenizing genome copies within cells and increasing variance among cells [(37), Figure 348 5B]. Somewhat confusingly, MSH1 is primarily known as a recombination suppressor because it 349 performs organellar genome surveillance, preventing *illegitimate* recombination between small 350 repeats that can result in genome rearrangements and instability (49, 50). However, this role is 351 not contradictory to the hypothesis that MSH1 activity increases the overall rate of homologous 352 recombination. Indeed, these patterns may well reflect the same mechanism of action, in which 353 MSH1 promotes homologous recombination by introducing DSBs at any mismatched bases. 354 regardless of whether they were generated by strand invasion between short/imperfect repeats 355 or by DNA replication errors. Although we might predict that this same mechanism would increase heteroplasmic sorting rates in plastids, we were unable to generate wild type plants 356 that were heteroplasmic for plastid markers - thus the role of MSH1 in plastid heteroplasmic 357 358 sorting remains an open question.

Another way in which MSH1 could alter sorting dynamics is through changing the physical interactions and fusion events between mitochondria. Hypocotyl cells of *msh1* mutants show increased mitochondrial connectivity over wild type (85), suggesting an increased capacity for genome mixing and homogenization. Under existing models (14, 37), increased rates of fusion are predicted to accelerate mitochondrial sorting, the opposite of what we see in *msh1* mutants. However, if the lack of functional MSH1 results in lower rates of gene conversion, higher rates of mitochondrial fusion may not be sufficient to increase cell to cell variance. This

- 366 observation, in combination with the finding that plastids sort faster than mitochondria even
- though they do not undergo fusion, suggests that the relationship between fusion and
- 368 heteroplasmic sorting may be more complex than suggested by current models.



**Figure 5. Hypothesized model for role of MSH1 in accelerating heteroplasmic sorting.** A) Previously hypothesized mechanism (48, 52, 53) through which MSH1 promotes homologous recombination and repair. The mismatch repair (MMR) domain of dimeric MSH1 slides along organellar DNA until it reaches a mismatch, inducing a conformational change in the protein such that the GIY-YIG endonuclease domain creates a double strand break in the DNA. This break is then repaired via homologous recombination (gene conversion). B) Hypothesized process (37) through which MSH1-induced gene conversion increases rate of heteroplasmic sorting in mitochondria. A heteroplasmic progenitor population contains two different alleles (green and blue). When MSH1 is present (left), it promotes gene conversion events (arrows), resulting in faster homogenization of gene copies within populations and increased variance among populations. In the absence of MSH1 (right) these gene conversion events are less common and variation in heteroplasmic frequencies accumulates more slowly.

### 369 Evidence for GC-biased gene conversion in plant organelles

370 Organellar genomes, including those of Arabidopsis (31, 86), are typically AT rich but 371 also exhibit a wide range in nucleotide composition (58). The reasons for these biases are not 372 fully understood, but most organisms across the tree of life experience an AT-biased mutation spectrum (87-89). However, in nuclear genomes, this mutation bias may be offset to varying 373 374 extents by GC-biased gene conversion (90, 91). The possibility for biased gene conversion in 375 plant organellar genomes is largely unexplored, and the few studies that have investigated this 376 in plastids have come to differing conclusions about GC versus AT bias (92-94). In the SNVs 377 studied here, we found evidence for GC-biased changes in allele frequency in both plastids and 378 mitochondria, as well as in *msh1* and wild-type backgrounds. Notably, we observed this bias regardless of whether the mutant allele was AT (Mito 334038) or GC (Mito 91017, Plastid 379 380 26553, and Plastid 36873). Thus, it appears to be directly related to nucleotide composition and 381 not a systematic preference for or against the reference allele. All four SNVs that were tracked 382 in this study were in intergenic regions (Table 1), reducing the likelihood that the variants alter 383 organellar or cellular function. In human mitochondria, variants in the non-coding D-loop did not 384 show significant selection against pathogenic alleles; however, a broad population study 385 showed a notable absence of variants in sites involved in gene transcription and mitochondrial 386 DNA replication, in addition to other non-coding sites with no known function (10). Thus, a bias 387 due to selection on functional effects of the variants studied here cannot be ruled out, especially 388 given the incomplete characterization of regulatory elements and non-coding RNAs in plant 389 organelle genomes (95-98).

390 If the observed GC bias is driven by gene conversion, it would suggest that the GC allele is favored during homologous recombination between heterogeneous DNA copies. In 391 392 mitochondria, gene conversion could happen within heterogeneous organelles, but we expect it to be a particularly powerful actor during fusion in the SAM. In plastids, which do not undergo 393 fusion, gene conversion is only expected to take place within organelles (not between 394 395 organelles). This raises an important point about our sampling design. The SNVs we tracked 396 are inferred to have arisen in the F2 generation, but we sampled mothers from later generations (F3 to F5 in plastids, Table S1). Therefore, we did not analyze the initial dynamics of plastid 397 398 variants at their inception when they were present at low frequency among the genome copies 399 within a single plastid. It is possible that some or even all of the plastids in our sampled mothers 400 had already reached homogeneity for one allele or another, meaning that heteroplasmy 401 dynamics were playing out among rather than within plastids. However, if the observed trend 402 towards increasing frequency of GC alleles is the result of gene conversion bias, it would

suggest that the mother plants in our study still contained plastids with copies of both alleles.

This may be the case, but our data suggest extremely rapid heteroplasmic sorting, which might

405 be expected if it were happening at the level of the small number of whole plastids within a cell.

406 On the other hand, if the sets of genomes within each plastid had already reached homogeneity,

407 it would suggest some unknown mechanism favoring GC alleles. These uncertainties

408 emphasize the importance of determining how the rate of sorting among the genome copies

409 within a plastid compares to the sorting process among the multiple plastids within a cell.

410

# 411 Conclusions

412 The mechanisms of organellar genome maintenance and transmission are 413 fundamentally different in plants and animals, but very little is known about how this affects the 414 fate of variants arising from de novo mutations. We found that heteroplasmies in Arabidopsis 415 organelles sort very rapidly which is likely due to a combination of low genome copy numbers in 416 germline/progenitor cells and the recombinational nature of plant genomes. Our work supports a 417 role for gene conversion as an important mechanism facilitating rapid sorting of heteroplasmic 418 variants in Arabidopsis, which has been hypothesized to be a key mechanism for increasing 419 variance in eukaryotic systems without early germline sequestration (37). Notably, the 420 recombination surveillance and DNA repair gene MSH1, which is absent from most eukaryotic 421 systems including animals (48), may play a key role in this process.

422

423

# 424 Methods:

# 425 Plant material

426 Two homozygous *msh1* (At3g24320) mutant lines containing point mutations that result in either a nonsense mutation (CS3372: chm1-1) or an aberrant splice site (CS3246: chm1-2) 427 were obtained from the Arabidopsis Biological Resource Center (50). Crossing design and 428 429 techniques used for identification of de novo mutations are described previously (48). Briefly, 430 homozygous mutants were used as males in crosses onto wild-type plants. The resultant F1 431 plants were allowed to self-pollinate, seed was planted and homozygous msh1 lines (F2 432 families) were identified. The F2 lines were allowed to self-pollinate, and mitochondria and 433 chloroplast were isolated from their progeny (pools of F3 plants). Organellar DNA was extracted and analyzed with Duplex Sequencing (99) to identify de novo mutations. High frequency SNVs 434 435 identified in F3 organellar DNA pools from *msh1* lines were used in this study (Table 1).

436 In initial experiments, seeds were vernalized in water for 3 days at 4 °C, planted directly 437 into 3-inch pots containing Pro-Mix BX media and grown on light shelves under short day 438 conditions. Heteroplasmic individuals were moved to long (16 h light) day conditions when they began to bolt. Once siliques were ripe, all seeds were harvested in bulk. Seeds of heteroplasmic 439 440 mothers from lines selected for further analysis were sterilized and plated on MS-agar (100), vernalized for 3 days at 4 °C and placed on light shelves to germinate. When seedlings had two 441 true leaves, they were transferred to 1-inch pots filled with Pro-Mix BX media and placed in a 442 443 growth chamber under short (10 h light) day conditions. Plants were transferred to long day 444 conditions upon bolting.

445

#### 446 **DNA extraction and heteroplasmy analysis**

Tissue samples were disrupted using the TissueLyser (Qiagen), and total cellular DNA
was extracted using the Qiagen Plant DNeasy kit. In cases where tissue was limiting (e.g.,
inflorescences), DNA was extracted by grinding tissue in 200 mM Tris-HCl pH 9.0, 250 mM
NaCl, 25 mM EDTA, and 1% SDS, followed by precipitation in isopropanol. gDNA was
quantified using Qubit (concentrations ranged from 0.5 - 30 ng/µL), and gDNA integrity of
selected samples was checked by agarose gel electrophoresis.

Heteroplasmy analysis was performed with allele-specific ddPCR assays essentially as
described in Wu et al. 2020 (48). Primers and probes for ddPCR were designed to ten different
high-frequency SNV targets, seven in the plastid and three in the mitochondria (Table 1).
Primers (Table S6) were designed to amplify fragments of 130 – 250 bp, with the SNV in the
middle of the amplified sequence. Probes (Table S7) were designed to either the reference
sequence or the variant sequence with the target SNV in the center. All primers and probes
were synthesized by Integrative DNA Technologies.

ddPCR reactions were composed of: Bio-Rad ddPCR Super Mix for Probes (no dUTP), 460 250 nM final concentration of each (reference and variant) probe, 900 nM final concentration of 461 462 each primer (F and R), 1 µL of the restriction enzyme BgIII (which is used to fragment template 463 DNA but is not predicted to cut within any of the amplified products), and 5  $\mu$ L of an appropriate 464 dilution of DNA in a 20 µL total reaction. Droplet generation was performed using a Bio-Rad 465 QX200 Droplet Generator as per the manufacturer's instructions, and PCR was performed in a 466 Bio-Rad C1000 with a deep-well block under the following thermal cycling conditions: enzyme activation at 95 °C for 10 min, 40 cycles of 94 °C for 30 sec, annealing/extension temperature 467 468 (see Table S6) for 1 min, and deactivation of the polymerase and restriction enzyme at 98 °C for 469 10 min – with a ramp speed of 2 °C per sec for all steps. Droplets were read on the Bio-Rad 470 QX200 Droplet Reader and analyzed using QuantaSoft Analysis Software (Bio-Rad). 471 Dilutions of mutant (msh1) organellar DNA (48) were used to verify the presence of the SNV in the original mutant F3 organellar extractions alongside paired wild type samples to 472 473 check for probe specificity. Wild type and mutant organellar samples and no template controls were used to determine appropriate annealing temperatures for each primer probe set to 474 475 minimize off target binding in wild type and increase separation of positive and negative droplets 476 in both channels. Positive and negative controls were always run alongside experimental samples to ensure assay fidelity and verify appropriate settings for channel thresholds. 477 478 Background rates of the variant probe binding to wild type samples were typically very low < 479 0.05%. Experimental samples with variant calls falling at or under wild type values were set to 480 zero for further analyses.

481

### 482 Organellar genome copy number

Evagreen ddPCR was performed, essentially as described previously (101) to determine the number of mitochondrial and plastid genomes per nuclear genome copy. The reason for this was two-fold. First, it was important to determine whether the *msh1* mutant background altered the relative numbers of organellar genome copies. Secondly, numts (nuclear mitochondrial DNA, i.e., copies of mitochondrial DNA inserted into the nuclear genome) are known to be present in the *A. thaliana* nuclear genome (102-104) and we wanted to correct for this in our heteroplasmy analysis.

490 Two primer sets were used per genome to determine copy number (Table S1). Nuclear genome markers were single copy and located on chromosomes 1 and 2. Organellar markers 491 492 were designed to single-copy mitochondrial (rps12 and cox2) and plastid (clpP1 and psaA) genes. For the nuclear genome, values from each primer set were averaged and used to 493 494 calculate the number of organellar genome copies per nuclear genome for each organellar 495 primer set. For plastids, values from each primer set were averaged to generate a final number 496 of plastid copies per nuclear genome. For mitochondria, both primer sets amplify numts, so the values were adjusted based on the number of numt copies. The amplified regions of cox2 and 497 498 rps12 are present at one and three numt copies, respectively, in the Arabidopsis nuclear 499 genome (104). These values were subtracted from the calculated values of mitochondrial copies per genome before averaging to obtain a final value. Our mitochondrial SNVs of interest 500 501 (mt91017 and mt334038) are both present in three copies in the Arabidopsis nuclear genome 502 (104).

503 The number of organellar genomes per nuclear genome were determined for paired wild 504 type and *msh1* mutants that were grown in parallel. Leaves were sampled when plants were 8 505 weeks old. For tissue specific analyses of mitochondrial genomes, samples consisted of whole inflorescences (n = 13) and 8-week-old leaf tissue: old leaves harvested from the base of the 506 507 rosette (n = 12), and young leaves harvested from the top of the rosette (n = 16). A smaller subset of these was used to determine tissue specific amounts of plastid genomes. For each 508 509 tissue, an average value was calculated for mitochondrial and plastid genomes per nuclear 510 genome.

511 We used experimentally determined values of organellar genome copy number along 512 with the deduced number of numt copies for each mitochondrial SNV (*Nu* = 3 in both instances) 513 to correct our heteroplasmy values by computing a correction factor as described below:

514

515 Correction factor for numts in heteroplasmy data, M = (O + Nu) / O

516

517 Where O = organellar genomes per nuclear genome copy, and Nu = nuclear genome copies of 518 the SNV. The alternative allele frequency for a sample was multiplied by the correction factor *M*. 519

### 520 Heteroplasmy sampling across generations

To understand the extent to which heteroplasmy is transmitted across generations, we identified heteroplasmic individuals in an initial screen and then analyzed the distribution of allele frequencies in their progeny using ddPCR. Initial screens to identify heteroplasmic mothers were conducted on F3 and/or F4 *msh1* mutant individuals. Leaves were sampled after 4-6 weeks of growth. Seeds from heteroplasmic individuals were sown and an initial leaf sample was taken from each offspring after 4 weeks of growth.

527

### 528 Heteroplasmy sampling within plants

To understand how heteroplasmy is distributed within individuals, we selected three plants from each heteroplasmic mother (described above) for further tissue sampling. Three fully expanded rosette leaves were harvested from each plant at 5 weeks of growth. At 8 weeks of growth, leaves from the top (young) and base of the rosette (old) were harvested. Once plants began to bolt, entire single inflorescences were harvested. Selected tissue samples (8week-old leaves and inflorescences) from this experiment were used to determine organellar genome copy number in experiments described above. This initial experiment did not lead to the

identification of individuals heteroplasmic for plastid markers, so additional plastid SNV lines
were grown and tissues were sampled in a subsequent experiment of the same design.

538

#### 539 **Bottleneck calculations**

540 We used two methods for estimating bottleneck size. First, we applied the common approach 541 based on measures of heteroplasmic variance:

542 543

$$\hat{N}_{\rm sv} = \bar{h} (1 - \bar{h}) / s^2$$

544

where  $s^2$  is the variance of heteroplasmic frequency in progeny (or within a plant),  $(1/n) \sum (h_i - \bar{h})^2$ , and  $\bar{h}$  and  $h_i$  are the sample mean and individual heteroplasmic frequencies of offspring (or tissues), respectively. In our intergenerational analysis, we used the offspring mean as an approximation of the maternal heteroplasmic frequency, even though we obtained experimental estimates of maternal heteroplasmy levels. This approach was chosen because we saw bias towards GC alleles (Figure 4), suggesting that maternal values would not be an effective estimate of average offspring values.

552 The second approach we took to estimate the bottleneck size was using a Kimura model 553 (55). Here we maximized the joint likelihood of a set of heteroplasmy measurements under the 554 Kimura model, which takes two parameters: mean heteroplasmy (p) and bottleneck parameter b. is related to the effective "bottleneck size" N by N = 1/(1-b). Previous work has proposed 555 simply setting the population parameters to match the sample statistics p and b (55), but as this 556 557 does not in general yield the maximum likelihood parameter estimates, it can give misleading 558 results and does not support hypothesis testing. Instead, we used the kimura package in R 559 (https://github.com/lbozhilova/kimura) to compute likelihoods and optimization using Nelder-560 Mead and Brent algorithms (105) to explicitly find the maximum likelihood parameters and the 561 Fisher information matrix, from which we derive 95% confidence intervals. For homoplasmic 562 cases, numerical issues challenged the Fisher approach, and bootstrap resampling with 200 563 resamples was instead used to estimate confidence intervals.

For hypothesis testing regarding the bottleneck size in two different groups of sample sets, we considered two statistical models. First, each set of samples is generated from the Kimura distribution with a set-specific p and a group-specific b. Second, each set of samples is generated with a set-specific p and a b common to both groups. For example, consider a comparison between mitochondrial and plastid intergenerational bottlenecks. In the first model, each family would have its own p, mitochondrial families would have one b value, and plastid

570 families would have another *b* value. In the second model, each family would have its own *p* 

- and a *b* common to all families. We then maximize the joint likelihood over all observations for
- the two models and conduct a likelihood ratio test with one degree of freedom, reflecting the
- additional *b* parameter in the first model. When reporting bottleneck size across samples in a
- 574 given group (for example, across *msh1* mitochondrial families), we give the maximum likelihood
- estimate ( $\hat{N}$ ) and confidence intervals from this within-group inference. All code is freely
- 576 available at https://github.com/StochasticBiology/plant-odna-sorting/.
- 577

## 578 *Impact of* MSH1 *on heteroplasmy transmission*

579 To determine whether MSH1 influences the spread of heteroplasmy across generations,

580 we backcrossed *msh1/msh1* mutant females heteroplasmic for an SNV (either mt334038 or

- 581 pt26553) to wild-type males. A minimum of 20 F1 plants were tested for heteroplasmy.
- 582 Heteroplasmic plants were self-pollinated, and then F2 seedlings were planted and screened for
- heteroplasmy. Both F1 and F2 seedlings were genotyped at the *MSH1* locus as described in
- 584 Wu *et al.* 2020 (48). F2 plants that were homozygous for the *msh1* mutant allele were removed 585 from subsequent analyses.
- 586

# 587 Acknowledgements:

This work was supported by a grant from the National Institutes of Health (R01 GM118046 to

589 DBS). This project has received funding from the European Research Council (ERC) under the

- 590 European Union's Horizon 2020 research and innovation programme (Grant agreement No.
- 591 805046 (EvoConBiO) to IGJ).
- 592
- 593

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- 595 AKB: Conceptualization, Investigation, Formal analysis, Validation, Visualization, Writing –
- 596 Original Draft Preparation, Writing Review and Editing
- 597 LK: Investigation, Formal analysis, Validation, Writing Review and Editing
- 598 MFG: Investigation, Formal analysis, Writing Review and Editing
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- 601 Editing
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- 604
- 605

### 606 Supporting Information:

- Figure S1. MSH1 does not significantly alter organellar genome copy number.
- Figure S2. Organellar genome copy number varies within and between tissues.
- Table S1. Distribution of SNVs over multiple generations of *msh1* mutant lines.
- Table S2. Values of heteroplasmy for mother and progeny.
- 611 Table S3. Within plant heteroplasmy.
- Table S4. Distribution of SNVs over two generations in wild type background.
- Table S5. GC bias.
- Table S6. Primers for ddPCR heteroplasmy assays and genome copy analysis.
- Table S7. Allele-specific probes for ddPCR heteroplasmy assays.

### Supporting information:



**Figure S1.** MSH1 does not significantly alter organellar genome copy number. The number of mitochondrial and plastid genome copies per nuclear genome copy was determined using ddPCR, corrected for numts and compared between *msh1* mutant lines (n = 4) and wild type plants (n = 4). Organellar genome copies between mutant and wild type lines were not significantly different (mitochondria, p = 0.910; plastid, p = 0.098).



**Figure S2.** Organellar genome copy number varies within and between tissues. The number of mitochondrial and plastid genome copies per nuclear genome copy was determined using ddPCR and corrected for numts. For mitochondrial primers, tissue samples consisted of whole inflorescences (n = 13), old leaves harvested from the base of the rosette (n = 12), and young leaves harvested from the top of the rosette (n = 16). A subset of samples was chosen for analysis using plastid primers (inflorescences, n = 6; young leaf, n = 6). Plastid copies were significantly lower in inflorescences versus leaves (p = 0.00028); mitochondrial copies were not significantly different between tissue types. Mitochondrial values were adjusted for numt copies.

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