Long-term balancing selection and the genetic load linked to the self-incompatibility locus in *Arabidopsis halleri* and *A. lyrata.*

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1   **Abstract**

Balancing selection is a form of natural selection maintaining diversity at the sites it targets and at

linked nucleotide sites. Due to selection favouring heterozygosity, it is generally expected to facilitate

the accumulation of a "sheltered" genetic load of linked deleterious mutations. However, precisely

evaluating the extent of these effects has remained challenging. Taking advantage of plant self-

incompatibility as one of the best-understood examples of long-term balancing selection, we provide

a highly resolved picture of the genomic extent of balancing selection on the sheltered genetic load.

We used targeted genome resequencing to reveal polymorphism of the genomic region flanking the

self-incompatibility locus in three sample sets in each of the two closely related plant species

Arabidopsis halleri and *A. lyrata,* and used 100 control regions from throughout the genome to factor

out differences in demographic histories and/or sample structure. Nucleotide polymorphism

increased strongly around the *S*-locus in all sample sets, but only over a limited genomic region, as it

became indistinguishable from the genomic background beyond the first 25-30kb. Genes in this

chromosomal interval exhibited no excess of mutations at 0-fold degenerated sites relative to

putatively neutral sites, suggesting that recombination is strong enough to prevent relaxation of the

efficacy of purifying selection even for these most tightly linked genes. Overall, our results clarify

how natural selection in one genomic region affects the evolution of the adjacent genomic regions,

and shed light on the processes by which sex- or mating-type determining regions may expand along

the chromosomes that contain them.

Keywords: balancing selection, linked selection, sheltered genetic load, deleterious mutations, S-locus,

Arabidopsis, self-incompatibility.

Introduction

Balancing selection refers to a variety of selective regimes maintaining advantageous genetic diversity within populations (Delph and Kelly 2014). Notable examples include heterozygote advantage, negative frequency-dependent selection, and spatial heterogeneity. In contrast to genetic linkage to genomic sites subject to either positive or negative selection that generally tends to eliminate surrounding genetic variation (Smith and Haigh 1974; Charlesworth et al. 1993), linkage to loci under balancing selection is expected to locally promote the long-term persistence of variation in surrounding sites (Hudson et al. 1987; Charlesworth 2006). Besides the strength of balancing selection and the local rate of recombination, the magnitude of the local diversity increase and its extent along the chromosome critically depend on details of the exact form of balancing selection (Strobeck 1983, Charlesworth et al. 1997, Hudson and Kaplan 1988, Takahata and Satta 1998, Wiuf et al. 2004). An important feature of this form of natural selection is that the extended time over which the balanced allelic lineages are maintained (Takahata and Nei 1990; Vekemans and Slatkin 1994) also means more time for recombination to decouple them from their linked sites, such that the extent of the region affected may end up being quite narrow (Strobeck 1980; Nordborg 1997; Schierup et al. 2001). Genome resequencing studies have revealed that balancing selection can be a potent force throughout the genome (DeGiorgio et al. 2014), but because of inherent technical challenges related to the often high levels of polymorphism in chromosomal regions affected by this force (Vekemans et al. 2021) it is still unclear how much of the genome is affected (Asthana et al. 2005; Fijarczyk and Babik, 2015).

In addition to the sheer increase of polymorphism, balancing selection often promotes heterozygosity. As a consequence, deleterious mutations that are recessive may be sheltered from expression and purging in tightly linked regions. This has been proposed to diminish the efficacy of purifying selection and facilitate the local accumulation of a genetic load, referred to as the "sheltered load" (Uyenoyama 1997, 2005). Deterministic models by Strobeck (1980) and Leach et al. (1986) showed that even lethal mutations can be effectively sheltered, provided they are tightly linked to strongly balanced allelic lines. More recently, Tezenas et al. (2022) observed that linkage to a mating-type locus, such as found in some fungi (where typically two strongly balanced allelic lines segregate), decreases the probability and increases the time for purging of linked deleterious mutations. Stochastic simulations by Llaurens et al. (2009) further predicted that the sheltering of deleterious recessive mutations should be related to the number of balanced allelic lines, with higher probabilities of fixation of deleterious alleles within an allelic line when the number of alleles increases. This accumulation of deleterious mutations has been considered as the "evolutionary

cost" of balancing selection (Lenz et al. 2016), and in humans a large number of diseases are indeed associated with variants at genes linked to one of the classical examples of balancing selection in the human genome, the Major Histocompatibility Complex (*MHC*; e.g. Lenz et al. 2016; Matzaraki et al. 2017). In support of the sheltered load hypothesis, Lenz et al. (2016) observed a specific accumulation of putatively deleterious mutations (missense variants) in genes that are located inside the human *MHC* region but have no function in immunity and just happen to be linked to the *MHC* alleles. In this particular case, the sheltered load was mostly due to an increase in the mean population frequency of deleterious mutations, as compared to genes in a series of "control" regions, but not to an elevation of their overall number, suggesting that the selection process at play for the human *MHC* region elevates polymorphism locally by distorting the frequency of deleterious mutations, rather than by increasing their density. More generally, however, the effect of balancing selection on polymorphism of the linked chromosomal regions, in particular regarding the accumulation of a sheltered load, remains poorly documented.

Self-incompatibility (SI) in plants is perhaps the best understood case of long-term balancing selection (Castric and Vekemans, 2004). This widespread genetic mechanism allows recognition and rejection of self-pollen, thereby preventing inbreeding and promoting outcrossing in hermaphrodites (Nettancourt, 2001). Pollination between partners expressing identical haplotypes at the *S*-locus leads to rejection of the pollen, thus enforcing outcrossing and promoting higher heterozygosity than expected under random mating. In addition, as noted by Wright (1939), pollen produced by individuals carrying rare *S*-alleles will more rarely land on incompatible pistils than pollen produced by individuals carrying *S*-alleles that are more frequent. This results in strong negative frequency-dependent selection, which allows the stable maintenance of a large number of *S*-alleles within populations. Moreover, the *S*-alleles are maintained over very long evolutionary times (Vekemans and Slatkin, 1994), and theoretical models predict that a local increase of nucleotide polymorphism should be observed in the linked genomic region (Uyenoyama 1997; Schierup et al. 2000). In gametophytic SI (GSI), pollen SI specificity is determined by its own haploid genome (as found e.g. in Solanaceae), whereas in sporophytic SI (SSI), the pollen recognition phenotype is determined by the male diploid parent (as found e.g. in Brassicaceae). In the Brassicaceae, SSI is controlled by a single genomic region, the *S*-locus (Schopfer, Nasrallah, and Nasrallah 1999; Kusaba et al. 2001), composed of two linked genes, *SCR* (encoding the *S*-locus cysteine-rich protein) and *SRK* (encoding the *S*-locus receptor kinase protein), encoding the male and female specificity determinants, respectively.

The prediction that the *S*-locus could shelter a substantial genetic load (Uyenoyama 1997; Glémin et al. 2001) prompted a series of experimental studies to try and quantify its magnitude, relying on

controlled crosses to isolate the specific fitness effect of homozygosity at the *S*-locus. Such

experiments have been performed in a number of plant species: *Papaver rhoea*s (Lane and Lawrence

1995), *Solanum carolinense* (Stone 2004), *Arabidopsis halleri* (Llaurens et al. 2009), *A. lyrata* (Stift et

al. 2013) and *Rosa* (Vieira et al. 2021), revealing a measurable genetic load linked to the *S*-locus in

several cases. The fact that this load was detectable at the phenotypic level, in spite of the inherently

limited experimental power of these studies, suggests that the *S*-locus does indeed shelter a

substantial load of deleterious mutations. However, a limitation is that these phenotypic studies

could not evaluate the length of the chromosomal tract causing the observed phenotypic differences

(potentially extending beyond the strictly linked regions), and thus provided no indication about the

genomic architecture of the load. In *A. halleri* and *A. lyrata*, the *S*-locus has been sequenced entirely

in multiple haplotypes, revealing that the non-recombining *S*-locus region contains no protein-coding

genes besides the ones controlling the SI machinery itself (*SCR* and *SRK*; Guo et al. Goubet et al.

2012). The load detected phenotypically must therefore have been caused by mutations in the

partially linked flanking regions rather than in the non-recombining *S*-locus region itself, which is

puzzling given the prediction that only very tightly linked regions are expected to accumulate a

substantial load. A series of empirical studies have set out to determine the genomic extent of the

flanking region over which polymorphism is altered by linkage to the *S*-locus in *A. halleri* and *A. lyrata*

(Kamau and Charlesworth 2005; Kamau et al. 2007; Ruggiero et al. 2008; Roux et al. 2013). These

studies sequenced short fragments of a small subset of the genes immediately flanking the *S*-locus,

as well as more distant genes, and compared their polymorphism to that of a handful of "control"

coding sequences from across the genome. However, the sparse sampling of genes and the

sequencing of small gene fragments only did not allow these previous studies to reach solid

conclusions on the true genomic extent of this increase, and to precisely evaluate the accumulation

of "sheltered" deleterious mutations as compared to the genomic background.

In this study, we combined whole genome sequencing data with a targeted resequencing approach

to comprehensively analyse all genes and intergenic sequences within 75kb on either side of the *S*-

locus in three sample sets each of *A. halleri* and *A. lyrata.* We compared the observed patterns of

polymorphism in these regions with those of 100 unlinked randomly chosen regions used as genomic

controls. The use of internal genomic controls provides a powerful way to factor out differences in

demographic histories and/or sample structure. We consistently observed an increase of total

nucleotide polymorphism within the first 25-30 kb region immediately flanking the *S*-locus only, with

no detectable effect further along the chromosome. However, this elevated overall polymorphism

was not associated with a detectable increase of the frequencies at which putatively deleterious

mutations segregate in these natural populations. Hence, even though linkage to the S-locus causes

the accumulation of more total variation, including putatively deleterious mutations, recombination

seems to be strong enough to prevent purifying selection from being effectively reduced even for the

most tightly linked genes.

126   **Results**

Sequencing the S-locus flanking regions and control regions in large sample sets

To comprehensively evaluate the impact of balancing selection on the genomic regions flanking the

S-locus, we re-sequenced the entirety of the chromosomal interval containing the S-locus over 75 kb

on each side. We divided this region into three consecutive non-overlapping windows of 25kb (-25, -

50 and -75kb on one side and +25, +50 and +75kb on the other side; Fig. 1). Together, these regions

contained 33 annotated protein-coding genes in the *A. lyrata* genome (Hu et al. 2011), eleven of

which were within the two 25kb windows closest to the *S*-locus, nine within the next upstream and

downstream 25-50kb windows together, and thirteen within the most distant 50-75kb regions (Fig.

1). To compare these regions to the background level of nucleotide polymorphism, we also included

in the analysis one hundred 25kb "control" regions unlinked to the *S*-locus. These control regions

were randomly chosen across the *A. halleri* genome (Legrand et al. 2019) and selected to closely

match the density of protein-coding sequences and transposable elements found at the *S*-locus

flanking regions (proportion of CDS within the interval = 0.23 +/-0.0023, Fig. 1; proportion of TEs =

0.28 +/-0.0028). We also verified that the genes in the control regions had comparable lengths,

number of introns and GC contents as the *S*-linked genes, Fig. S1). Because the extreme level of

sequence divergence of the non-recombining interval containing the *S*-locus itself precludes mapping

of short reads among *S*-haplotypes (Goubet et al. 2012), we excluded this region from further

analysis and focused on the flanking regions only (Fig. 1).

Figure 1: Schematic representation of the S-locus and its flanking regions. *Protein-coding genes in the flanking regions are represented as filled blue rectangles. The genomic regions studied are distributed between positions 9,264,458 and 9,451,731 along chromosome 7 of the A. lyrata genome assembly (Hu et al. 2011). The S-locus (in grey) contains two protein-coding genes only, SRK and SCR (white rectangles) and is flanked by the ARK3 and Ubox genes (in the 5' and 3' directions, respectively). The S-locus region itself was not analysed in the present study. The percentage of CDS in each 25kb window is given on top of the figure.*

To provide a comprehensive picture of the indirect effects of balancing selection, we analysed two
closely related species that share the same orthologous SI system and show extensive trans-specific
polymorphism at the *S*-locus, *A. halleri* and *A. lyrata* (Castric et al. 2008). In order to evaluate the
robustness of our conclusions to different demographic histories, we analysed nucleotide sequence
polymorphism (including invariant and biallelic SNPs) from two natural populations of *A. halleri*
(Nivelle, n=25, and Mortagne, n=27, that have been recently introduced in the North of France in
association with industrial activities) and two natural populations of *A. lyrata* (Plech, n=18, from the
core of the species range and Spiterstulen, n=23, from the edge of the species range, Table S1). To
evaluate the robustness of our conclusions to different sampling strategies, we also included samples
from more extended geographic regions of *A. lyrata* (North America, n=27, distributed across three
distinct populations) and *A. halleri* ssp. *gemmifera* (Japan, n=47, distributed across six distinct
populations). For the Nivelle, Mortagne and North American samples, we developed a dedicated
sequence capture protocol specifically targeting the control and *S*-locus flanking regions. For the
Japan, Plech and Spiterstulen samples, we took advantage of published whole-genome resequencing
datasets, but analysed only polymorphism of the S-locus and control regions included in the capture
protocol.

We obtained an average of 59 million reads mapped for the samples sequenced by sequence capture
and 1,310 million reads for the WGS samples. After stringent filtering, we were able to interrogate
with confidence an average of 960,368 positions in control regions, 48,014 of which were variable
and biallelic (5%, Table S2). As expected, the number of variable sites across the control regions

differed among sample sets, reflecting their different demographic histories (Table 1). The Japanese

A. halleri sample set was the least polymorphic, with nucleotide polymorphism π=0.00128. At the

other extreme, the *A. lyrata* Plech population was the most polymorphic, with π=0.00758. These

estimations of the background level of nucleotide polymorphism in each sample set were used as

internal genomic controls for the study of polymorphism in the *S*-flanking regions, where we were

able to interrogate an average of 74,866 sites, containing 4,497 variable biallelic positions (6%, Table

S2).

Detection of the footprints of ancient balancing selection on the S-linked regions

Based on these comprehensive polymorphism data, we combined different approaches to

characterise the impact of balancing selection. As a first step, we excluded two potential confounding

effects. First, we compared the mean levels of divergence between the *A. thaliana* and *A. lyrata*

reference genomes, and found no evidence for increased divergence in the windows flanking the *S*-

locus, as would be expected if they tended to accumulate more mutations per unit time. Instead,

these two regions tended to exhibit a slight (non-significant) reduction rather than an increase of

divergence (Fig. S2), making our analysis conservative. This observation is in line with the repeated

introgression observed at the *S*-locus between *A. halleri* and *A. lyrata* (Castric et al. 2008), causing

divergence between the two species to be more recent for the *S*-alleles than for the genomic

background. Second, we used the recombination map of Hämälä et al. (2017), and confirmed that

the recombination rate in the genomic interval containing the *S*-locus did not differ from those of the

100 control regions (Fig. S3).

These two confounding factors being excluded, we first followed a multilocus Hudson-Kreitman-

Agade (HKA) approach to compare nucleotide polymorphism within *A. lyrata* and *A. halleri* sample

sets, taking into account divergence from the outgroup *A. thaliana*. The test showed a significantly

higher polymorphism of the *S*-flanking genes compared with the control loci (mean k=1.46, X^2=821,

df=33, P=0; Fig. S4, Tables S3-S5). This increase was more pronounced for linked genes closer to the

S-locus, although the magnitude of this pattern varied across samples and also between the 5' and 3'

flanking regions (Fig. S4, Tables S4 and S5).

We then used the new powerful approach of Cheng and Degiorgio (2020) that is robust to

demographic variations to detect distortions of the site frequency spectrum along the chromosomal

fragments and determine the maximum likelihood position of putative targets of balancing selection.

We found signals of balancing selection in some of the control regions, particularly on chromosomes

3 and 4, but even though some of these signals were strong, they were not consistent across sample

sets (Fig. 2). In contrast, the *S*-locus flanking regions carried consistent signals of balancing selection

that were detected across all sample sets, even though the individual peak corresponding to the *S*-

locus was not always the most extreme (Fig. 2). Overall, these results show that the *S*-locus stands

out from the genomic background as the chromosomal location with the most consistent footprint of

balancing selection.

Figure 2: Manhattan plots for signals of balancing selection (B$_{2,MAF}$ scores) in the 100 control regions (black and grey dots) and the S-locus region (red dots and arrows) along the A. lyrata genome. *Chromosomes of the A. lyrata genome, with the 100 control regions distributed on successive chromosomes are represented by an alternation of grey and black dots. The horizontal grey solid line represents the median B$_{2,MAF}$ scores across SNPs in the genome, and the black horizontal dashed lines represent the top 5, 2.5 and 1% percentiles.*

The increased polymorphism of the S-linked regions is mostly caused by an increase of the proportion

of polymorphic sites

Then we sought to describe in more detail how polymorphism of the *S*-locus flanking regions differs

from the genomic background. To do so, we compared the values of several summary statistics of

polymorphism from the *S*-locus flanking regions to their distribution across the 100 control regions. A

significant excess of total nucleotide polymorphism as compared to control regions was found for all

sample sets in the two 25kb windows immediately flanking the *S*-locus (π was increased by a factor

ranging from 1.6-fold in Plech to 5.8-fold in Japan; Fig. 3, Table S6). In stark contrast, the second and

third consecutive 25kb windows on either side of the *S*-locus showed no excess polymorphism as

compared to control regions in any sample set. To verify that the effect we observed was not specific

to the particular window size we chose, we first repeated the comparison for the window

immediately flanking the *S*-locus, increasing its size from 15kb to 75kb. The increased polymorphism

was consistently observed when the window was below 30kb, but never when it was above 50kb (Fig

S6). Second, we repeated the comparison again, but on a gene-by-gene basis. Again, many of the

genes with elevated nucleotide polymorphism were within the first 25kb window (Fig S7A). Finally, to

avoid defining arbitrary bins altogether, we used linear models to test whether π of individual sites of

the *S*-flanking regions (considered as the response variable) declined with physical distance away

from the *S*-locus. A highly significant negative effect of distance to the *S*-locus was observed overall

(Table S7), confirming the effect of proximity to the *S*-locus on polymorphism of sites in the flanking

regions.

Figure 3: Mean π around the S-locus and across the control regions from throughout the genome.
Each barplot represents the mean value of π obtained in non-overlapping regions of 25kb around the S-locus. The distributions (count) of π mean in the 100 control regions are represented by a vertical histogram on the right. The 95% percentile of the distributions is represented by dashed lines. The median value of the distribution in control regions is represented by black lines. *** = observed value above the 99% percentile of control regions, ** = observed value above the 97,5% of control regions, * = observed value above the 95% of control regions.

Then, we decomposed the nucleotide polymorphism (π) into its two components; i.e., the proportion
of polymorphic sites (number of observed polymorphic sites divided by the total number of sites
considered) and the mean frequency of the minor allele (*MAF*) at polymorphic sites. While the
proportion of polymorphic sites was clearly increased relative to controls (by 1.6-fold in Plech to 3.9-
fold in Japan, Table S6), the *MAF* of polymorphic sites was no different than the genomic background
(Fig. S5B, Table S6). Very similar patterns were observed when considering the 4-fold degenerate
sites only (Table S8). Overall, our results thus show elevated nucleotide polymorphism at the most-
closely linked *S*-locus region, which is caused by a larger number of polymorphic sites rather than by
an increased frequency at which the polymorphic sites segregate.

 No evidence for relaxed purifying selection in the S-linked regions

To test whether the indirect effect of balancing selection described above is associated with the

accumulation of a "sheltered" genetic load, we compared the accumulation of 0-fold degenerate

sites, assuming that the majority of amino-acid polymorphisms are deleterious to some extent (Eyre-

Walker and Keightley 2007) to that of 4-fold degenerate sites. As for total and 4-fold degenerate

polymorphisms above, we observed an increase of nucleotide polymorphism π at 0-fold degenerate

sites, which was also predominantly due to an increased proportion of polymorphic sites in the first

25kb surrounding the *S*-locus (Table S9) but no change in *MAF*. The magnitude of these increases was

comparable to that observed for total and 4-fold polymorphisms (Table S6 and S8 respectively), and

a linear model restricted to 0-fold sites confirmed the effect of proximity to the *S*-locus (Table S7). In

line with this observation, the ratio $\pi_{0\text{-fold}}/\pi_{4\text{-fold}}$ in the *S*-locus flanking regions did not depart from its

genomic distribution obtained from the controls, with the exception of the -50kb window in the

North American *A. lyrata* (Fig. 4). Hence, in spite of their predicted more pronounced effects on

fitness, polymorphisms at the 0-fold degenerate sites did not tend to accumulate differently from

those at 4-fold sites, suggesting that linkage to the *S*-locus was not associated with a relaxation of

purifying selection.

Figure 4: $\pi_{0\text{-fold}}/\pi_{4\text{-fold}}$ ratio around the S-locus and across the control regions from throughout the genome. The bars represent the $\pi_{0\text{-fold}}/\pi_{4\text{-fold}}$ ratio of sites in non-overlapping regions of 25kb around the S-locus. The distributions of the $\pi_{0\text{-fold}}/\pi_{4\text{-fold}}$ ratio in the 100 control regions are represented by a vertical histogram on the right. The 95% percentile of the distributions is represented by dashed lines. The median value of the distribution in control regions is represented by black lines. * = Observed value above the 95% percentile of control regions.

Finally, we explored the functional annotations of the eleven genes in the *S*-flanking regions where
total nucleotide polymorphism was elevated (i.e. the two most tightly linked -25kb and +25kb
intervals, Fig. 1). Four of these genes are receptor-like serine/threonine-protein kinases (*AT4G21410*,
AT4G21400, *AT4G21390*, *AT4G21380/ARK3*), one is an ubiquitination protein (*AT4G21350/Ubox*),
two are transcription factors (*AT4G21340*, *AT4G21330*), one a peptidase (*AT4G21323*), one a
transmembrane protein (*AT4G21310*), one a tetratricopeptide repeat (TPR)-like superfamily protein
(*AT4G21300*), and the last one is a subunit of Photosystem II (*AT4G21280*). Moreover, some
individual genes further away from the *S*-locus had elevated polymorphism at 0-fold degenerate sites
in several sample sets (Fig. S7B; Table S10), including a tetratricopeptide repeat (TPR)-like
superfamily protein (*AT4G21170;* elevated for all populations with the exception of Japan and
Spiterstulen), a DnaJ / Sec63 Brl domain-containing protein (*AT4G21180;* only elevated for the
Japanese population), one cytochrome C oxidase (*AT4G21192;* elevated for the Mortagne and Nivelle
populations), a LPxA enzyme involved in lipid metabolism (*AT4G21220;* elevated for the Mortagne,
North America and Plech populations) and a F-box protein (*AT4G21240;* only elevated for the Plech
population).

Discussion

A limited extent of the genomic region affected by linkage to *the S-locus*

Our analysis across several replicate populations and geographic regions in two closely related plant
species is the most comprehensive study so far to reveal the extent of the genomic region affected
by linkage to the *S*-locus. A salient feature of our results is the limited extent of the genomic region
over which the effect of linkage to the *S*-locus can be detected, essentially spanning over the
immediately 25-30 kb flanking regions only. We find qualitatively very similar results across species

and sampling regions, in spite of the different demographic histories, sampling structures and
sequencing technologies used. However, we also note interesting quantitative differences. In
particular, the North American *A. lyrata* and the Japanese *A. halleri* samples are the least diverse in
their respective species, possibly reflecting their recent colonisation history (Clauss and Mitchell-
Olds, 2006; Ross-Ibarra et al. 2008). The relative elevation of polymorphism at the *S*-locus seems to
be more pronounced in these populations with lower baseline levels of diversity across the genome.
This is consistent with the prediction that balancing selection is a powerful force effectively
maintaining diversity at the *S*-locus, making it less sensitive to even strong genetic bottlenecks that
can reduce polymorphism across the rest of the genome (Glémin et al. 2001). Some North American
populations have experienced a loss of SI, and have shifted to partial or complete selfing (Mable et
al. 2005). Selfing is generally expected to reduce the effective rate of recombination, and might thus
expand the footprint of balancing selection (Nordborg 1997). However, the populations considered
here were specifically chosen because they are predominantly outcrossing (Foxe et al. 2010), and so
we expect this effect to be minor. Interestingly, Hasselmann & Beye (2006) found similar level of
genomic extent (<20 kb) of the region showing elevated polymorphism around the *csd* gene in
honeybee, a gene subject to multiallelic balancing selection of the same order of magnitude as that
acting on the S-locus (Hasselmann et al. 2008), although local recombination rates are higher in
honeybee.

While our results provide a quantitative assessment of the size of the genomic region affected by
linkage to the S-locus, developing a predictive framework would require a number of assumptions on
the rate of recombination, the number of S-alleles and their dominance interactions that each come
with considerable uncertainty. First, while the S-locus seems to lie in a chromosomal interval whose
recombination rate is no different from the genomic background, the recombination map available is
still relatively coarse. Obtaining precise estimates of the local recombination rates around the S-locus
thus will require denser genetic maps. Second, precisely estimating the number of S-alleles in a
population remains challenging. While more reliable and higher throughput S-allele genotyping
procedures have become available recently (Genete et al. 2019), the typically very large number of S-
alleles segregating requires correspondingly large sample sizes. In our study, we decided to increase
the number of populations compared and make comparisons across species, rather than focusing on
larger sample sizes within a single population. Third, the species we studied (*A. halleri* and *A. lyrata*)
have sporophytic SI, whereas most of the theoretical models predicting the effects of linkage are
based on gametophytic SI. Sporophytic systems are characterised by a complex dominance hierarchy
(Prigoda et al. 2005; Llaurens et al. 2008), which would alter expectations for the strength of
selection. For example, balancing selection acts more strongly on dominant S-alleles than on

recessive S-alleles (Billiard et al. 2007), an important asymmetry that is not taken into account in

current models. Furthermore, the dominance interactions between S-alleles have only been

documented for a small set of the total number of possible pairs (Prigoda et al. 2005; Durand et al.

2014), and thus remain considerably uncertain.

No evidence that linked genes experience relaxed purifying selection

Our results suggest that the *S*-flanking regions do accumulate more potential deleterious mutations

than the control regions, but these deleterious mutations segregate at population frequencies that

are indistinguishable from those at control genes, and the $\pi_{0\text{-}fold}/\pi_{4\text{-}fold}$ ratio is also unchanged. Hence,

the main factor causing the elevated polymorphism seems to be the deeper coalescence time among

allelic lineages, allowing the accumulation of both neutral and deleterious mutations. Strikingly, our

results are almost the mirror image of the pattern seen at the human *MHC*, where Lenz et al (2016)

observed that the elevated polymorphism in the linked regions was caused by mutations that

segregate at higher population frequencies than the genomic background, but not by an overall

increased abundance of polymorphic sites across the region. For the *MHC*, balancing selection is

believed to be driven by pathogen-mediated selection (although the exact mechanism remains

controversial; see Spurgin and Richardson 2010), which is very different from the negative frequency

dependent selection maintaining diversity at the *S*-locus. It is currently not clear which specific

feature of the balancing selection mechanism acting at the *S*-locus and the *MHC* causes these sharply

distinct genomic signatures. The contrasted genomic effects detected in the *S*-flanking regions as

compared to the *MHC*, reinforce the idea that the different evolutionary processes causing balancing

selection can result in different genomic signatures.

One possible explanation for the fact that the distribution of allele frequencies in the linked regions

are indistinguishable from those at the control regions can be related to the model proposed by

Takahata (1990). This model shows that the genealogical relationships among distinct *S*-allele

lineages under gametophytic SI are expected to be identical to those of neutral genes, except that

they are expanded by a scaling factor f_S. If the number of *S*-alleles in the studied sample sets was

extremely large and every chromosome we sampled corresponded to a different *S*-allele lineage,

then the only difference between the genealogies of sequences around the *S*-locus and those in the

control regions would lie in the different time scales, hence overall polymorphism. Testing this

hypothesis would require phasing the flanking sequences for each *S*-allele to obtain haplotypes.

However, *S*-allele frequencies have been estimated in several of the population samples studied here

(Llaurens et al. 2008; Genete et al. 2020; Takou et al. 2021), and it is already clear that randomly

sampled chromosomes would be very unlikely to systematically correspond to distinct *S*-allele

lineages. In addition, the asymmetry introduced by the dominance interactions under the

sporophytic system (driving recessive *S*-alleles to high population frequencies, whereas dominant *S*-

alleles remain relatively rarer) could diminish the contrast between the *S*-locus and the genomic

background as compared to the expectation under gametophytic SI.

Mapping the causal genes

A series of previous studies aimed at experimentally revealing the phenotypic effect of S-linked

mutations (Lane and Lawrence 1995; Stone 2004; Llaurens et al. 2009 ; Stift et al. 2013; Vieira et al.

2021). Such S-linked load affected different phenotypic traits across the different studies, as would

be expected given that the *S*-locus lies in different genomic environments in distant species, and

given that deleterious mutations are expected to hit different flanking genes in a random manner. In

line with these observations, we also found that different genes exhibited an excess polymorphism at

0-fold degenerate sites as compared to the genomic background across the different populations

analysed. Identifying more precisely the mutations causing these phenotypic effects would require

fine mapping, and the present study suggests that they are most likely to be found in very close

proximity to the *S*-locus (<25-30 kb). Several of the genes found in this narrow chromosomal interval

were previously shown to be associated with deleterious phenotypic traits in *A. thaliana (Ubox, ARK3*

and *AT4G21390*, Cheng et al. 2013). For instance, mutants of the transcription factor *AT4G21330*

exhibit abnormal anther morphology at the beginning of stage four (Zhang et al. 2006) and the gene

ARK3 has been shown to be implicated in root development (Dwyer et al. 1994). Hence, it is clear

that some of these genes have important functions, and are obvious candidates for the future

dissection of the genetic architecture of the mutation load sheltered by the *S*-locus.

In this context, a limitation of our population genetics approach is that it was designed to detect the

collective accumulation of mutations rather than individual high-impact mutations. However, it is

possible that a low number of high-impact mutations, rather than a collection of small-effect

mutations, are causing the load. Indeed, the selective dynamics of lethal mutations *vs.* slightly

deleterious mutations can be sharply different (e.g. Clo et al., 2020), and in the latter case finely

dissecting the load at the genetic level will remain challenging. In addition, while our sequence

capture approach also includes the intergenic sequences, we quantified the load based on coding

sequences only. Previous studies demonstrated that polymorphism on intergenic regions could be

under purifying selection (Mattila et al. 2019, for an example in *A. lyrata*), so it is also possible that

besides the coding sequences, mutations in intergenic sequences contribute to the load, hence

making our estimation of the sheltered load an underestimate. Structural variants in particular may

have strong deleterious effects (Hämälä et al. 2021), but are entirely ignored in the present study.

Long-read sequencing would be required to achieve a more detailed analysis of these types of
polymorphisms.

An important difference between our population genetics approach and the previous phenotypic
approaches is that here we integrate over all S-allele genotypic combinations present in our samples.
In contrast, phenotypic studies are logistically limited to the analysis of a small number of S-alleles.
For instance, Llaurens et al. (2009) and Stift et al. (2013) examined the effect of mutations linked to
only three and four S-alleles respectively, inherently limiting the generality of their conclusions given
the large number of S-alleles typically segregating in natural populations (Castric & Vekemans 2004).
This strength of our integrative approach is also a limitation, since we could not investigate the
differential accumulation of mutations linked to different S-alleles. Llaurens et al. (2009) predicted a
higher fixation probability of deleterious variants linked to dominant than to recessive *S*-alleles. Their
phenotypic approach verified this prediction in *A. halleri,* but Stift et al. (2013) did not confirm it in *A.*
lyrata. An exciting perspective to solve this discrepancy will be to compare the number and identity
of deleterious mutations associated with dominant *vs.* recessive alleles, a task that will require
phasing polymorphisms on a large number of S-linked haplotypes.

Consequences for the (lack of) extension of the S-linked region

The segregation of deleterious mutations linked to the *S*-locus is expected to have important
consequences for mating system evolution, affecting the maintenance of SI itself (Porcher & Lande
2005, Gervais et al. 2014) and the conditions for diversification of SI lineages (Uyenoyama 2003). As
compared to other sex- or mating-type determining regions, however, the Brassicaceae S-locus has a
remarkably limited size of the strictly linked genomic regions, containing no other gene beyond the SI
determinants themselves. This is in strong contrast to the large chromosomal regions associated with
sex-determining regions of many sex-chromosomes (Charlesworth 2017) or some mating-type loci in
fungi (Hartmann et al. 2021). This cessation of recombination is believed to result from the
progressive extension of successive inversions that can ultimately capture a large number of genes,
eventually expanding across most of the length of a chromosome (Charlesworth et al. 2005 ; Otto et
al. 2011). The classical models for this process entailed sexual antagonism, whereby the inversions
selectively fix mutations that are favorable in one sex in the appropriate genetic combination, and
the accumulation of deleterious mutations follows from the action of Müller's ratchet once
recombination has ceased (Rice, 1987). However, recent models have shown that the successive
fixation of inversions can still take place even in the absence of sexual antagonism, as a result of the
effective masking of recessive deleterious mutations accumulated in the flanking regions of the sex-
determining loci (Jay et al. 2022; see also Lenormand and Roze, 2022). We speculate that the reason

why the *S*-linked regions in the Brassicaceae do not undergo this process of inversion, recombination arrest and degeneration may be due to the limited size of the linked region upon which polymorphism is affected and the lack of effective sheltering, preventing the region from extending further efficiently. It would be now interesting to investigate genomic patterns of the linked load in other SI systems, in particular in the non-self recognition SI system observed in Solanaceae, as the size of the *S*-locus is much larger in those systems and includes functional genes unrelated to SI (Wu et al. 2020).

Material and methods

Source plant material

We worked on natural accessions from two closely related species, *A. halleri* and *A. lyrata*, each represented by samples from three regions named Japan, Mortagne (France) and Nivelle (France) for *A. halleri*, and Plech (Germany), Spiterstulen (Norway) and North America for *A. lyrata* (Table S1). For the Japan, Spiterstulen and Plech samples, we used available whole genome sequencing (WGS) data obtained by Kubota et al. (2015) and Takou et al. (2021). The Japanese sample set was composed of 47 individuals of *A. halleri*, subsp. *gemmifera* originating from six different populations (17 individuals from Fujiwara, 17 from Ibuki, 2 from Inotani, 3 from Itamuro, 4 from Minoo and 4 from Okunikkawa; Kubota et al. 2015); the Spiterstulen (23 individuals) and Plech (18 individuals) sample sets were from single locations (Takou et al. 2021). For the three other sample sets, we collected individuals and developed a dedicated targeted enrichment capture approach to sequence the genomic regions of interest. The North American sample set of *A. lyrata* was composed of 26 individuals from three highly outcrossing populations from the Great Lakes region, named IND (Indiana Dunes National Lakeshore in Michigan, n=8), PIN (Pinery Provincial Park in Ontario, n=10) and TSS (Tobermory Provincial Park in Ontario, n=8) (Foxe et al. 2010). We collected 25 individuals from the Nivelle population (50°47'N, 3°47'E, France) and 27 individuals from the closely related Mortagne population (50°47'N, 3°47'E, France). In total, we complemented the 88 individuals with whole genome data available with an additional 78 newly sampled individuals that we sequenced with the targeted sequence capture approach described below.

S-locus flanking regions and control regions

To evaluate the effect of balancing selection on the *S*-locus, we developed an original approach based on the comparison between the patterns of polymorphism of the two flanking regions on either side of the *S*-locus to those of a set of 100 randomly chosen control regions. The *S*-locus region can be poorly represented in whole-genome assemblies, so we first sequenced them using two *A. halleri* BAC clones that we newly obtained following the approach of Goubet et al. (2008) from a BAC library constructed from a mixture of several *A. halleri* individuals from Italy. These two BAC clones were chosen so as to cover entirely the 5' and 3' regions on either side of the *S*-locus (BAC clones 37G17 and 21E5, respectively; 10.6084/m9.figshare.16438908). We computed the proportion of coding sequences (CDS) and transposable elements (TEs) on the first 75kb sequences immediately flanking the *S*-locus on these two BAC clones (but excluding the non-recombining region within the *S*-locus itself), and we used these two statistics to select a set of matched control regions from across the *A. halleri* genome (Legrand et al. 2019). To do this, we used bedtools (Quinlan and Hall, 2010) to randomly select 25 kb contiguous genomic intervals. The genomic intervals retained were characterised by a density of CDS and TEs closely matching that of the actual *S*-locus flanking regions (within 10%). The region with proportions of CDS and/or TEs departed from those values was discarded and a new region was picked until a total of 100 genomic intervals was included. The genomic coordinates of the control regions are given in Supplementary Table S11, and their sequences in fasta format are available at 10.6084/m9.figshare.16438908. Because the control regions were defined initially on the *A. halleri* reference, we used sequence similarity (using YASS, Noé and Kucherov 2005) to identify orthologous regions along the *A. lyrata* genome.

Library preparation, sequence capture and sequencing

For the 78 newly sequenced individuals, we purified DNA from 15 mg of dried leaves of each sample with Chemagic beads (PerkinElmer) following Holtz et al (2016), using the manufacturer's instructions but with an additional Agencourt AMPure beads (Beckman) purification. DNA was quantified by Qubit and 50 ng of DNA was fragmented mechanically with Bioruptor (Diagenode) to obtain fragments of around 300bp, which we verified using a BioAnalyzer (Agilent) with a DNA HS chip. We prepared indexed genomic libraries using the Nextflex Rapid DNA Seq kit V2.0 (PerkinElmer) using the manufacturer's instructions. Briefly, extremities of sequences were repaired and tailed, ligated with universal adaptors P5/P7 containing multiplexing unique dual index (PerkinElmer), and amplified by five cycles of PCR. We then selected fragments between 150 and 300pb with AMPures beads and pooled all libraries in equimolar proportions.

The pooled libraries then proceeded to a sequence capture protocol using the MyBaits v3 (Ann Arbor, Michigan, USA) approach. Briefly, 120bp RNA probes were designed by MyBaits and synthesised to target the complete set of one hundred 25kb control regions as well as the 75kb regions flanking the *S*-locus on either side, with an average tiling density of 2X (a total of 48,127 probes). In addition to the *S*-locus flanking regions and the control region, the capture array also contained a set of additional probes that were not used in the frame of the present project but are detailed in Supplementary Information. The indexed genomic libraries were hybridised to the probes overnight at a temperature of 65°C, and were finally sequenced by Illumina MiSeq (300bp, paired-end) by the LIGAN-MP Genomics platform (Lille, France).

Read mapping and variant calling

Raw reads from sequence-capture or WGS datasets (see Supplementary table S1) were mapped onto the complete *A. lyrata* reference genome (V1.0.23, Hu et al. 2011) using Bowtie2 v2.4.1 (Langmead and Salzberg, 2012). File formats were then converted to BAM using samtools v1.3.1 (Li et al. 2009) and duplicated reads were removed with the MarkDuplicates program of picard-tools v1.119 (http://broadinstitute.github.io/picard). These steps were performed by the custom Python script sequencing_genome_vcf.py available in https://github.com/leveveaudrey/analysis-of-polymorphism-*S*-locus. We retained only reads which mapped to the *S*-locus flanking or control regions. For the sake of consistency, we followed the same procedure for samples sequenced by WGS. Biallelic SNPs in these regions were called using the Genome Analysis Toolkit v. 3.8 (GATK, DePristo et al. 2011) with the option GVCF and a quality score threshold of 60 using vcftool v0.1.15 (Danecek et al. 2011). For each sample independently, we computed the distribution of coverage depth across control regions using samtools depth (Li et al. 2009). We excluded sites with either less than 15 reads aligned or coverage depth above the 97.5 % percentile, as the latter are likely to correspond to repeated sequences (e.g. transposable elements or paralogs). Sites covered by at least 15 reads but containing no SNP were considered as monomorphic. To exclude the possibility of spurious heterozygosity induced by the presence of paralogs, we verified that no SNP was systematically heterozygous across all individuals. The final number of sites in each sample set is summarised in Table 1. Finally, we excluded the sites covered by at least 15 reads but containing more than two SNPs (2.8%; table S2).

Because recombination rate is an important factor to explain variation of polymorphism, we used the recombination map of *A. lyrata* (Hämälä et al. 2017) to compare the recombination rate in *S*-flanking regions and in the control regions.

Footprints of balancing selection

For each sample set, we first evaluated the distribution of the $B_{2,MAF}$ statistic across all SNPs, which

was designed to capture the distortion of the site frequency spectrum along chromosomes caused by

linkage to a site under balancing selection (Cheng and Degiorgio 2020). We then compared the $B_{2,MAF}$

distribution in control regions with the *S*-flanking regions, and considered a significant difference

when the mean $B_{2,MAF}$ value was outside the 95% percentile of the distribution in control regions.

To control for a possible difference in mutation rates between genes in the *S*-locus flanking regions

and genes in the control regions, we then compared their pattern of molecular divergence between

A. lyrata and *A. thaliana* (TAIR10 genome) at the sites retained for the polymorphism analysis (i.e.

having passed the coverage filters). We identified orthologs as the best hits in the *A. thaliana*

genome using YASS, retaining alignments with a maximum e-value of 0.01 and an identity above

70%. Pairs of sequences were then aligned with clustalOmega (Sievers et al. 2011) and the

proportion of divergent sites was determined using a custom Python script

(https://github.com/leveveaudrey/analysis-of-polymorphism-*S*-locus).

We further compared the ratio of within-species polymorphism to between-species divergence

(Hudson et al. 1987) using the multilocus maximum likelihood HKA framework developed by Wright

and Charlesworth (2004) and available at https://github.com/rossibarra/MLHKA. The algorithm is

currently limited to only one hundred genes, so we tested the 33 *S*-locus flanking genes and a

randomly chosen subset of *n*=67 of the 100 control genes. Specifically, we compared a model with

free mutation at each locus and no selection against a model with free mutation but where each of

the 33 *S*-locus flanking genes are allowed to have their own selection coefficient (*k*). This parameter

corresponds to the relative increase of polymorphism of the *S*-linked genes compared to genes in the

control regions, taking into account differences in divergence between *A. lyrata* and *A. thaliana*

across loci. We used a log-likelihood ratio test with 33 degrees of freedom to compare the likelihood

of these two nested models. Chain length was set to 100,000 and separate analyses were performed

for each sample set independently. Before using the MLHKA framework, we compared the mean GC

content (%) and the mean size of all exons cumulated by gene between the 33 *S*-flanking and 67

control genes by permutation tests (ten thousand reiterations). The mean size of all exons cumulated

by gene was not significantly different between the *S*-flanking and control genes (p value=0.47). The

mean size of all exons cumulated by gene was not significantly different between the *S*-flanking and

control genes (p value=0.47). The mean GC content by gene was significantly higher in control genes

compared with the *S*-flanking (+1.8, p value=0.01). This increase was explained by four *S*-flanking

genes with a low GC content (Fig. S1), but no increase of polymorphism was detected on these

genes.

Decomposing the signals of balancing selection

We then decomposed the signal of balancing selection across the *S*-locus flanking regions into a series of elementary statistics. For each site, we estimated the minor allele frequency (*MAF*) and we calculated π at each position using the vcftools –site-pi option (Danecek et al. 2011). When a position of the *A. lyrata* genome was covered but not polymorphic, the *MAF* and π statistics were set to 0. For each statistic, we binned SNPs flanking the *S*-locus into 25kb intervals and compared the distribution of the mean value obtained for sites within non-overlapping windows of 25kb in the *S*-locus flanking regions with the distribution of the mean obtained across the 100 control regions. Moreover, we used General Linear Models (function *glmer* of the R package *lme4*, Bates et al. 2015) to test for a linear correlation between the exact distance of each SNP to the *S*-locus along the chromosome and each of the polymorphism statistics listed above, with the sample sets treated as a random effect. We also compared the mean π obtained in the two *S*-flanking windows of different sizes (15, 25, 30, 35, 45, 55, 65 and 75kb) with the π obtained in the control regions in each dataset independently to define the minimum size of *S*-flanking regions with a significant excess of polymorphism compared with the genomic background. Then, we compared the mean π obtained in each gene with the π obtained in the control regions in each dataset independently to determine the genes in the *S*-flanking regions with a significant excess of polymorphism compared with the genomic background.

Quantifying the sheltered load of deleterious mutations

To determine the extent to which the *S*-locus flanking regions accumulate deleterious mutations, we first reiterated the same analysis with the previous parameters (*MAF*, π), but for the 0-fold degenerate sites only (determined using the script NewAnnotateRef.py; Williamson et al. 2014). We assumed that all nonsynonymous changes are deleterious. Because all mutations at 0-fold degenerate sites alter the sequence of the encoded protein, we assumed that these mutations are deleterious (neglecting the rare cases where balancing selection could favour amino acid changes). In contrast, mutations at the 4-fold degenerate sites never alter the encoded amino acid, so we used them as neutral references. For the sake of simplicity, we discarded mutations at 2- or 3-fold degenerate sites.

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Supplementary data include Fasta and Bed files of *A. halleri* regions and probes used for the sequence capture available online in figshare database at 10.6084/m9.figshare.16438908. All original sequence data are available in the NCBI Short Read Archive (SRA; https://www.ncbi.nlm.nih.gov/sra) with accession codes: PRJNA744343. All scripts developed are available in Github (https://github.com/leveveaudrey/analysis-of-polymorphism-S-locus).

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Table 1: Variation of the median π and proportion of polymorphic sites in all positions and 0-fold degenerate sites in control regions in each dataset.

					All positions			0-fold degenerate sites		
Species	Sample names	Sequencing method [a]	Nb of populations	Sample size	Nb of positions considered	π^b	Proportion of polymorphic sites[b]	Nb of positions considered	π^b	Proportion of polymorphic sites[b]
A. halleri	Japan	WGS	6	47	953,242	1.28	8.8	224,953	0.9	7.27
	Nivelle	Capture	1	25	1,037,607	4.08	13.1	259,398	2.78	9.27
	Mortagne	Capture	1	27	1,059,569	4.24	14.6	265,238	2.71	10.3
A. lyrata	Plech	WGS	1	18	1,190,287	7.58	29.9	210,156	5.8	23.02
	Spiterstulen	WGS	1	23	1,017,504	5.33	19.2	173,857	4.72	16.71
	North America	Capture	3	27	503,976	4.97	17.9	85,165	3.82	13.71

[a] The nucleotide sequence polymorphism data obtained by whole genome sequencing (WGS) came from published datasets. [b] π and the proportion of polymorphic sites have been multiplied by 1000.

Figures legends

Figure 1: Schematic representation of the *S*-locus and its flanking regions. Protein-coding genes in the flanking regions are represented as filled blue rectangles. The genomic regions studied are distributed between positions 9,264,458 and 9,451,731 along chromosome 7 of the *A. lyrata* genome assembly (Hu et al. 2011). The *S*-locus (in grey) contains two protein-coding genes only, SRK and SCR (white rectangles) and is flanked by the ARK3 and Ubox genes (in the 5' and 3' directions, respectively). The *S*-locus region itself was not analysed in the present study. The percentage of CDS in each 25kb window is given on top of the figure.

Figure 2: Manhattan plots for signals of balancing selection ($B2_{,MAF}$ scores) in the 100 control regions (black and grey dots) and the *S*-locus region (red dots and arrows) along the A. lyrata genome. Chromosomes of the A. lyrata genome, with the 100 control regions distributed on successive chromosomes are represented by an alternation of grey and black dots. The horizontal grey solid line represents the median $B2_{,MAF}$ scores across SNPs in the genome, and the black horizontal dashed lines represent the top 5, 2.5 and 1% percentiles.

Figure 3: Mean π around the *S*-locus and across the control regions from throughout the genome. Each barplot represents the mean value of π obtained in non-overlapping regions of 25kb around the *S*-locus. The distributions (count) of π mean in the 100 control regions are represented by a vertical histogram on the right. The 95% percentile of the distributions is represented by dashed lines. The median value of the distribution in control regions is represented by black lines. *** = observed value above the 99% percentile of control regions, ** = observed value above the 97,5% of control regions, * = observed value above the 95% of control regions.

Figure 4: $\pi_{0\text{-fold}}/\pi_{4\text{-fold}}$ ratio around the S-locus and across the control regions from throughout the genome. The bars represent the $\pi_{0\text{-fold}}/\pi_{4\text{-fold}}$ ratio of sites in non-overlapping regions of 25kb around the S-locus. The distributions of the $\pi_{0\text{-fold}}/\pi_{4\text{-fold}}$ ratio in the 100 control regions are represented by a vertical histogram on the right. The 95% percentile of the distributions is represented by dashed lines. The median value of the distribution in control regions is represented by black lines. * = Observed value above the 95% percentile of control regions.