

1 **ModA phasevarions regulate adherence of non-typeable *Haemophilus***
2 ***influenzae* to the host airway in a tissue-specific manner**

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17 **Key words:** *ModA phasevarion, NTHi, adherence, respiratory tract substrates*

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19

20 **Abstract:**

21 Adherence of non-typeable *Haemophilus influenzae* (NTHi) to the host airway is an
22 essential initial step for asymptomatic colonization of the nasopharynx, as well as
23 development of disease. NTHi relies on strict regulation of multiple adhesins for its
24 pathogenesis. The ModA phasevarion is a bacterial regulatory system important for
25 virulence of NTHi. However, the role of the ModA phasevarion in adherence of NTHi to
26 the host airway is not understood well. This study addressed the role of the ModA
27 phasevarion in the regulation of adherence of NTHi to multiple substrates of the host
28 airway. Assessment of adherence of the *modA* variants of four clinical isolates of NTHi
29 showed that ModA phasevarions regulated adherence of NTHi to mucus, middle ear
30 epithelial cells, and vitronectin in a substrate-specific manner. The adhesins Protein E
31 and P4 were found to contribute to the ModA-regulated adherence of NTHi to distinct
32 substrates. A better understanding of such tissue-specific regulation of NTHi adherence
33 by the ModA phasevarion will allow identification of virulent NTHi populations at the site
34 of disease within the host airway and facilitate more directed development of vaccines
35 or therapeutics.

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37

38 **Introduction:**

39 Non-typeable *Haemophilus influenzae* (NTHi) is a host-adapted mucosal pathogen
40 that colonizes the human nasopharynx asymptotically from early childhood (1-3).
41 As a predominant pathogen of the human respiratory tract, NTHi causes infections at
42 multiple sites within the airway. These include otitis media (OM), an inflammatory
43 disease of the middle ear (4), and exacerbations in the lungs of patients with chronic
44 obstructive pulmonary disease (COPD) and cystic fibrosis (CF) (5, 6). Additionally,
45 NTHi causes bronchitis, sinusitis and community acquired pneumonia (7-9).
46 Approximately 10% of the human population (over 700 million people) is affected by
47 OM, which can result in hearing loss and thereby affect learning. The complications
48 associated with OM lead to the death of more than 20,000 people per year globally,
49 with the highest morbidity and mortality in children under the age of 5 (10). On the
50 other hand, COPD is the third leading cause of deaths worldwide (11, 12). There are
51 currently no vaccines available against NTHi.

52 Adherence of NTHi to the airway epithelium is the primary step in colonization of the
53 human nasopharynx as well as in the development of disease (13-18). For instance,
54 ascension of NTHi from the nasopharynx to the middle ear during the development of
55 OM depends on the adherence of NTHi to mucus present within the Eustachian tube
56 (19). Therefore, the regulation of adherence of NTHi to different host substrates found
57 within the respiratory tract is essential during both commensalism and disease.

58 NTHi expresses multiple surface associated adhesins that specifically bind to cognate
59 host receptor proteins, extracellular matrix components (ECM) or mucus in order to

60 adhere to different parts of the host airway (19-23). Because NTHi lack a capsule,
61 adhesins are more accessible on the surface of these bacterial cells than their
62 encapsulated counterparts. This accessibility also makes these surface-exposed
63 adhesins good vaccine candidates for protection against NTHi infections (24-26).

64 NTHi, like several other host-adapted mucosal pathogens, encodes a DNA methyl
65 transferase, ModA that is phase-variable (27-31). Phase variation is the random and
66 reversible switching of expression of a protein. In the case of the encoding *modA*
67 gene, this is due to simple DNA sequence repeats (SSRs) within its open-reading
68 frame. Variation in length of this SSR tract results in the expression (status 'ON') or the
69 absence (status 'OFF') of the ModA protein. The ModA protein can bind to and
70 methylate multiple sites within the bacterial genome, resulting in genome wide
71 methylation differences commensurate with methyltransferase expression. This
72 differentially regulates the expression of multiple genes via epigenetic mechanisms.
73 Therefore, the status of ModA can affect the expression of a distinct set of genes
74 forming a unique **phase variable regulon** or phasevarion (31). Of the 22 *modA* allelic
75 variants reported for NTHi so far, *modA2* is the most prevalent amongst multiple
76 clinical isolate collections (27, 32, 33). Analysis of phase-variable *modA* allele
77 distribution across multiple NTHi strain collections revealed that two-thirds of the OM
78 isolates encode just one of the following 5 alleles - *modA2*, *modA4*, *modA5*, *modA9*,
79 and *modA10* (33), whereas the majority of the COPD isolates contained a *modA2*,
80 *modA4*, or *modA5* allele (32). Each ModA phasevarion regulates a unique set of
81 genes, many of which encode adhesins or potential vaccine antigens (32, 33).

82 The ModA2 phasevarion has been shown to regulate multiple disease-related
83 phenotypes including resistance to oxidative stress (34), biofilm formation (35) and
84 virulence in the chinchilla model of experimental OM (36, 37). The ModA4 and ModA5
85 phasevarions are known to play distinct roles in the pathogenesis of NTHi such as
86 evasion from opsonization and susceptibility to antibiotics (33). However, the role of
87 the ModA phasevarions in adherence of NTHi to the host airway has not been
88 investigated. This study addressed the roles of the ModA2, ModA4, ModA5, and
89 ModA9 phasevarions in the adherence of NTHi to various cellular and non-cellular
90 substrates encountered by NTHi within the airway. Distinct phasevarions regulated the
91 adherence of NTHi to specific host airway substrates.

92

93 **Results:**

94 **ModA2 regulates adherence of NTHi to mucus**

95 The NTHi clinical strains 723, C486, 477 and 1209 contain the unique *modA* alleles
96 *modA2*, *modA4*, *modA5* and *modA9*, respectively (33), and were therefore selected for
97 this study to represent each of these phasevarions. These strains were genetically
98 modified to permanently lock the expression of ModA in the OFF or ON status,
99 resulting in *modA* locked OFF and *modA* locked ON variants of each strain (Table 1).
100 The use of these locked variants, hereafter referred to as *modA2* OFF and *modA2* ON
101 variants, allowed the assessment of the direct effects of each *modA* status on the
102 adherence of NTHi.

103 Mucus coats the surface of the airway epithelium, and as such serves as an initial
104 substrate for adherence by NTHi that can further facilitate movement of the pathogen
105 to different sites within the airway (19, 20, 22, 23, 38). Therefore, adherence to mucus
106 is crucial for NTHi colonization and pathogenesis within the respiratory tract. The
107 respiratory tract epithelium is composed of a variety of cells, which includes goblet
108 cells that produce mucus, and ciliated cells that propel the mucus through the airway
109 (39). Normal human primary bronchial-tracheal epithelial cells (nhPBTEs) were grown
110 at an air-liquid interface to induce formation of differentiated polarized cells that mimic
111 the pseudostratified epithelium of the respiratory tract (40). Mucus produced by these
112 polarized nhPBTEs was then collected and used to assess the adherence of each
113 *modA* variant. Bacteria were incubated in mucus-coated wells for 1 hour and the
114 adherent bacteria were enumerated. The *modA2* ON variant of strain 723 adhered to
115 mucus significantly more than the *modA2* OFF variant (Fig. 1, $p < 0.001$). However,
116 there was no significant difference between the *modA* variants of strains C486, 477 or
117 1209. Interestingly, strain 477 adhered the least and strain 1209 had the greatest
118 overall adherence to mucus irrespective of *modA* status (Fig. 1). Thus, ModA2
119 regulates adherence of NTHi to mucus, indicating an important role of the ModA2
120 phasevarion in adherence of the pathogen to the airway epithelium.

121

122 **Adherence of NTHi to polarized and monolayer human bronchial-tracheal**
123 **epithelial cells is not regulated by the ModA phasevarion**

124 To assess the adherence of NTHi strains to pseudostratified respiratory tract
125 epithelium, mucus was rinsed from the apical surface of polarized nhPBTEs and
126 individual *modA* variants were allowed to adhere to the polarized cells for 1 hour.
127 There was no significant difference between the adherence of any *modA* variant pairs.
128 However, a ModA-independent difference was observed between the strains tested,
129 as strains C486 and 1209 appeared to adhere better to the surface of polarized
130 nhPBTEs in comparison to strains 723 and 477 (Fig. 2A).

131 The complex pseudostratified structure of the polarized epithelium does not allow
132 NTHi to access the basal epithelial cells underneath an intact airway epithelium (39).
133 However, damage to the airway incurred during disease, or by environmental factors,
134 can expose the basal epithelial layer to bacterial infections (41-43). Therefore,
135 nhPBTEs were grown as monolayers submerged in culture medium to mimic the basal
136 epithelial cells of the airway (44) and the adherence of the *modA* variants to these
137 monolayers was assessed. Each strain adhered to a different extent, of which strain
138 1209 adhered the least (Fig. 2B), possibly due to general reduced expression of
139 adhesins critical to adherence to these cells (33). This contrasted with the observation
140 that strain 1209 adhered the greatest to polarized nhPBTEs, suggesting that different
141 adhesins are required to adhere to submerged and polarized nhPBTEs. There was no
142 ModA-dependent statistically significant difference observed between variant pairs of
143 any of the strains (Fig. 2B). Therefore, ModA2, ModA4, ModA5 and ModA9 likely do
144 not regulate the adherence of NTHi to pseudostratified or undifferentiated nhPBTEs.

145

146 **ModA2 and ModA9 regulate adherence of NTHi to middle ear epithelial cells**

147 As the middle ear is a major site for NTHi infection, the middle ear epithelium is a
148 common substrate for adherence by NTHi. Since the chinchilla is an established
149 animal model to study the course of acute OM (45) and human middle ear cell lines
150 are not readily available, chinchilla middle ear epithelial cells (CMEEs) were selected
151 to study the adherence of NTHi to middle ear epithelium. CMEEs were cultured
152 submerged in medium until confluent monolayers were formed and then adherence of
153 the *modA* variants to CMEEs was assessed. The ability of all 4 strains to adhere to
154 CMEEs (Fig. 3) was similar to that seen with submerged nhPBTEs (Fig. 2), as strain
155 1209 adhered the least in comparison to the other 3 strains. However, a ModA-
156 dependent difference was observed between the variants of 723 and 1209. The
157 *modA2* OFF variant adhered to CMEEs significantly more than the *modA2* ON variant
158 (Fig. 3, $p < 0.0001$), the reverse phenotype was demonstrated with adherence to
159 mucus, whereas the *modA9* ON variant adhered significantly more than the *modA9*
160 OFF variant (Fig. 3, $p < 0.05$). The status of *modA* did not affect the adherence of
161 strains C486 (*modA4*) or 477 (*modA5*) to CMEEs. Thus, ModA2 and ModA9 regulated
162 the adherence of NTHi to middle ear epithelium in distinct ways.

163

164 **ModA2 and ModA9 regulate adherence of NTHi to vitronectin**

165 Adherence of NTHi to extracellular matrix (ECM) components is known to be important
166 for adherence to host epithelial cells and survival of the pathogen during disease (46-
167 49). Therefore, adherence of the *modA* variants to the ECM components fibronectin,

168 laminin and vitronectin was assessed. While the *modA* status did not affect the
169 adherence of any of the *modA* variant pairs to fibronectin or laminin (Fig 4A and B),
170 significant differences in adherence to vitronectin were observed between the *modA*
171 variant pairs of strains 723 (*modA2*) and 1209 (*modA9*). The *modA2* OFF variant
172 adhered significantly better to vitronectin than the *modA2* ON variant (Fig. 4C,
173 $p=0.02$). Similarly, the *modA9* OFF variant adhered significantly more than the *modA9*
174 ON variant (Fig. 4C, $p=0.001$) which is in contrast to what we observed when relative
175 adherence to CMEEs was assessed (see Fig. 3). Noticeably, strain 1209 adhered the
176 least to all 3 ECM components whereas strain C486 adhered the most (Fig. 4A, B and
177 C). Overall, these results suggest that ModA2 and ModA9 regulate the adherence of
178 NTHi to vitronectin, possibly via the same adhesin(s).

179

180 **ModA2 regulates NTHi adherence dependent on adhesins PE and P4 in a** 181 **substrate-specific manner**

182 Of the various substrates tested, a clear correlation was observed in adherence of the
183 NTHi strain 723 variants to vitronectin and CMEEs where the *modA2* OFF variant
184 adhered significantly better than the *modA2* ON variant. Therefore, the contribution of
185 NTHi adhesins required for adherence to vitronectin and epithelial cells in ModA2-
186 dependent regulation was investigated.

187 The adhesin Protein E (PE) is known to mediate adherence of NTHi to vitronectin and
188 thereby to respiratory epithelial cells (47, 49). The gene *ompE* that codes for PE was
189 deleted from the genomes of the *modA2* locked variants. Deletion of *ompE*

190 significantly reduced adherence of the *modA2* OFF variant to vitronectin (Fig. 5A,
191 $p < 0.05$) but did not change the adherence of the *modA2* ON variant (Fig. 5A, $p = 0.85$).
192 Since loss of *ompE* only affected the *modA2* OFF variant, PE may contribute to
193 ModA2-dependent regulation of adherence to vitronectin. However, deletion of *ompE*
194 from the *modA2* OFF variant did not completely reduce adherence to that of the
195 *modA2* ON variant. Therefore, PE is likely not the only factor involved in ModA2-
196 regulated adherence to vitronectin. A surface associated lipoprotein and adhesin, P4
197 (or outer membrane protein 4), encoded by the gene *hel* in NTHi, is also known to
198 mediate adherence of NTHi to vitronectin and required for survival of NTHi in the
199 middle ear (48). Deletion of *hel* significantly reduced the adherence of both variants to
200 vitronectin (Fig. 5B). However, the percent adherence of the *modA2* OFF variant was
201 reduced by 47% (Fig. 5B, 13.6% to 7.3%, $p < 0.001$), whereas that of the *modA2* ON
202 variant reduced by only 35% (Fig. 5B, 5.5% to 3.6%, $p < 0.05$). This suggested that
203 deletion of *hel* affected the *modA2* OFF variant to a greater extent than the *modA2* ON
204 variant. Therefore, P4 may contribute to ModA2-dependent regulation of adherence of
205 NTHi to vitronectin. The role of the adhesin Hap was also investigated in ModA2-
206 regulated adherence to vitronectin and CMEEs by comparing the adherence of the
207 *hap* deletion mutant variants with that of the wild-type variants. Deletion of *hap* did not
208 affect adherence to vitronectin (Fig. 5C), which is not surprising as Hap is known to
209 bind to the ECM components fibronectin, laminin and collagen IV but not vitronectin
210 (46, 48).

211 Next, adherence of the adhesin mutant variants to CMEEs was assessed and
212 compared with that of the wild-type variants. Interestingly, there was no effect of *ompE*

213 deletion on the adherence of either variant to CMEEs (Fig. 5D). Therefore, PE may not
214 be essential for adherence of strain 723 to CMEEs. In contrast, deletion of *hel*
215 significantly reduced adherence of the *modA2* OFF variant to CMEEs but did not affect
216 the *modA2* ON variant (Fig. 5E), suggesting that P4 may contribute to ModA2-
217 dependent regulation of adherence to CMEEs. There was no significant difference
218 between adherence of the *hap* mutant variants and the wild-type variants to CMEEs
219 (Fig. 5F). Therefore, Hap may not contribute to the ModA2-dependent regulation of
220 adherence to CMEEs. Taken together, both PE and P4 are likely involved in the
221 ModA2-dependant regulation of adherence to vitronectin. Additionally, P4 may
222 contribute to the ModA2-dependent regulation of adherence to CMEEs.

223

224 **Discussion:**

225 Adherence of NTHi to the host airway is critical for colonization as a commensal as well
226 as for pathogenesis. Since the ModA phasevarion regulates the expression of surface
227 associated proteins, including adhesins (33), and affects various aspects of
228 pathogenesis of NTHi (33-36), this study aimed to address the role of ModA
229 phasevarions in the adherence of NTHi to host airway components. The *modA* alleles
230 *modA2*, *modA4*, *modA5*, *modA9*, and *modA10* are the most prevalent alleles in clinical
231 isolates of NTHi collected from the nasopharynx of healthy individuals, and middle ears
232 of OM patients, whereas the *modA2*, *modA4*, and *modA5* alleles are highly prevalent in
233 the lungs of COPD patients (32, 33). Since the role of ModA10 is already reported in the
234 adherence of NTHi to respiratory epithelial cells (50), the ModA2, ModA4, ModA5 and

235 ModA9 phasevarions were selected for this study. The NTHi clinical strains 723, C486,
236 477 and 1209 were used to represent the ModA2, ModA4, ModA5 and ModA9
237 phasevarions, respectively. Variants of each of the 4 strains with the *modA* status
238 locked to either OFF or ON allowed for assessment of the direct effect of each specific
239 *modA* status. The adherence of the variant pairs of all 4 strains to different cellular and
240 non-cellular substrates that are commonly encountered by NTHi within the airway was
241 assessed. Epithelial cells from the middle ear of chinchillas (CMEEs) and normal human
242 bronchial tracheal epithelial cells (nhPBTEs) were used as cellular models. Additionally,
243 mucus and ECM components were used to represent non-cellular substrates of the
244 respiratory tract. Adherence of all 4 strains varied based on the substrate either due to
245 the inherent properties of the strains or the status of *modA*. This indicated the presence
246 of a strict mode of regulation of adherence of NTHi that may favor a specific phenotypic
247 variant to adapt better at different sites within the host airway.

248 This study demonstrated that the status of *modA2*, the most prevalent *modA* allele (33),
249 significantly affected the adherence of NTHi to diverse respiratory tract substrates. The
250 *modA2* ON variant of strain 723 adhered better to mucus, whereas the *modA2* OFF
251 variant adhered better to CMEEs and vitronectin. However, ModA9 phasevarion
252 regulated adherence of strain 1209 to CMEEs and vitronectin in an opposite manner.
253 Strain 1209 adhered more to mucus and polarized epithelial cells than to submerged
254 epithelial cells and ECM components, irrespective of *modA9* status. This could be due
255 to the lack of expression of major adhesins like Hia and HMW in strain 1209 (33) that
256 may be required to bind to cognate receptors on submerged epithelial cells. Moreover,
257 pseudostratified epithelial cells secrete mucus unlike the submerged epithelial cells (44)

258 and therefore can be adhered to better by strain 1209. Hence, cellular composition of
259 the airway epithelium can dictate the ability of NTHi to adhere and cause disease. For
260 example, strain 1209 may adhere more to the nasopharynx than to the middle ear
261 epithelium due to the abundance of mucus producing goblet cells in the nasopharynx
262 (51), whereas the reverse may occur in case of strain 723. Adherence of NTHi to
263 submerged nhPBTEs also differed depending on *modA4*, *modA5* and *modA9* status but
264 these differences were not statistically significant. Further, the NTHi *modA10* OFF
265 variant has been reported to adhere better to human middle ear epithelial cells and
266 bronchial epithelial cells compared to the *modA10* ON variant (50). Therefore, different
267 ModA phasevarions affect the adherence of NTHi to different respiratory substrates in a
268 unique manner, suggesting tissue- and niche-specific advantages conferred by the
269 particular genes regulated by each phasevarion.

270 NTHi frequently adhere to mucus and ciliated epithelium within the nasopharynx (19).
271 Although ModA2 regulated adherence to mucus, none of the ModA phasevarions
272 affected adherence to pseudostratified epithelium. Since the ModA2, ModA4 and
273 ModA9 phasevarions are reported to be prevalent in nasopharyngeal isolates
274 recovered from healthy individuals (33), they may play a role in asymptomatic
275 colonization of the nasopharynx. Although adherence of NTHi to ciliated epithelium
276 was not regulated by these phasevarions, they may nonetheless affect colonization by
277 alternate mechanisms. The movement of NTHi from the nasopharynx to the middle ear
278 occurs via adherence to mucus within the Eustachian tube lumen when the upper
279 airway is compromised by viral infection (19). Thus, multiple ModA phasevarions may
280 play a role in adaptation of NTHi in the nasopharynx during environmental stress

281 conditions such as viral infections. Such adaptation may further enable NTHi to reach
282 different sites within the airway, leading to disease. As the *modA2* ON variant adhered
283 to mucus better than the *modA2* OFF variant, the *modA2* ON status may be more
284 advantageous for NTHi during initial colonization as well as ascension from the
285 nasopharynx to the middle ear.

286 The ECM component vitronectin is reported to be detected in different parts of the
287 airway (52-55). Since the *modA2* OFF variant adhered better than the *modA2* ON
288 variant to vitronectin as well as CMEEs, ModA2 may regulate adhesins involved in the
289 adherence to these two substrates. Although PE and P4 are both known to mediate
290 adherence to vitronectin (48), each of these adhesins played a different role in the
291 ModA2-dependent regulation of adherence. While ModA2-regulated adherence to
292 vitronectin depended on PE and partially on P4, only P4 contributed to the ModA-
293 regulated adherence to CMEEs. P4 is also known to play role in the virulence of NTHi in
294 the middle ear (48). Hence, P4 may participate in ModA2-dependent regulation of
295 adherence of NTHi to vitronectin as well as middle ear epithelium. On the other hand,
296 PE may only contribute to the ModA2- dependent regulation of adherence to vitronectin.
297 Therefore, ModA2 seems to affect adherence differently based on the type of host
298 substrates. Interestingly, the transcription of *ompE* and *hel* has been found to be
299 unaffected by the status of *modA2* (33), suggesting a role of ModA2 in the presentation
300 or accessibility of these adhesins on the surface of NTHi. PE and P4 are also known to
301 bind to fibronectin and laminin (48). However, ModA2 expression did not alter
302 adherence of NTHi to fibronectin or laminin, indicating that the ModA2-regulated
303 adherence to CMEEs may function independently of these 2 ECM components.

304 Nevertheless, adherence to vitronectin may not be the only mechanism NTHi use to
305 adhere to CMEEs because *ModA9* regulated the adherence to CMEEs and vitronectin
306 with opposite trends. Since *modA9* is prevalent in OM isolates and not in COPD isolates
307 (32, 33), the regulation of adherence to vitronectin by *ModA9* may be important in the
308 pathogenesis of NTHi during OM.

309 Vitronectin is also known to contribute to the repair of damaged airway epithelium
310 during respiratory diseases (53-55). Studies have shown that certain clinical isolates of
311 NTHi can associate better with damaged respiratory epithelium (56, 57). Therefore, the
312 regulation of adherence to vitronectin by *ModA2* could be important for adaptation of
313 NTHi to the damaged or inflamed airway during diseases like COPD and CF. Although
314 PE is known to be required for adherence of NTHi to bronchial epithelial cells via
315 binding to vitronectin (49), the status of *modA2* did not affect adherence to submerged
316 or polarized nhPBTEs. This could be due to the involvement of other bacterial and host
317 factors, yet to be identified effects of *ModA2* phasevarion, or limitations of the air-liquid
318 interface (ALI) culture. For example, the Type IV pilus (Tfp) binds to the host receptor
319 ICAM-1 (21) and high molecular weight (HMW) protein binds to various host
320 proteoglycans (58). The HMW protein is also reported to be expressed more in the
321 *modA2* ON variant than the *modA2* OFF variant (33). Therefore, it is possible that
322 higher expression of adhesins other than PE may compensate for reduced adherence
323 of the *modA2* ON variant to vitronectin on the surface of nhPBTEs.

324 Since *modA2* is the most prevalent *modA* allele found in clinical isolates of NTHi
325 obtained from OM patients (33), the *ModA2*-dependent regulation of adherence to
326 mucus, vitronectin and middle ear epithelial cells likely contributes to NTHi

327 pathogenesis during OM. Greater adherence to mucus may provide the *modA2* ON
328 variant with an advantage in ascending the Eustachian tube into the middle ear (19).
329 We have previously reported that the *modA2* ON status is selected for within middle ear
330 fluids during a chinchilla model of experimental otitis media, and that a shift from *modA2*
331 OFF to ON status occurs within the middle ear that leads to a more severe disease
332 pathology than when the middle ear is initially challenged with a predominantly *modA2*
333 ON population (33, 36). Overall, these data suggest that NTHi may enter the middle ear
334 predominantly in the *modA2* ON status following ascension from the nasopharynx.
335 However, once in the middle ear, NTHi encounter niche-specific microenvironmental
336 conditions and host defenses. Regulation by ModA2 results in a population that consists
337 of bacteria in both the *modA2* ON status and the *modA2* OFF status at varied
338 proportions. Each of the sub-populations express a unique set of virulence factors and
339 is thus primed to survive different stressors. We have shown herein that the *modA2*
340 OFF variant is better able to adhere to the middle ear epithelium and vitronectin, which
341 may cause this variant to attach to the epithelial surface more than the *modA2* ON
342 variant. The *modA2* ON variant may instead remain predominantly in the effusion. The
343 presence of NTHi within the middle ear will also lead to infiltration of immune cells, like
344 neutrophils and macrophages (37). The ability of the *modA2* ON variant to resist killing
345 by macrophages could further contribute to the selection of the *modA2* ON population in
346 the middle ear fluids (37). On the other hand, the *modA2* OFF variant is known to better
347 resist neutrophil-mediated killing and oxidative stress (34, 37) and form more stable
348 biofilms under environmental conditions found during OM (35), all factors that may
349 result in better survival of the *modA2* OFF variant at the mucosal surface and within the

350 mucosal biomass that is predominantly composed of neutrophils and extracellular traps
351 (NETs). Future studies to determine the localized variant selection within the middle ear
352 during disease are of interest, however, are technically challenging due to the robust
353 immune response within the mucosal biomass. Overall, the compartmentalization of
354 adherence by the *modA2* variants may assist in niche-specific adaptation of NTHi
355 during the disease course.

356 In conclusion, this study established that the clinically prevalent ModA phasevarions
357 regulate adherence of NTHi to specific host airway substrates. These findings are
358 important because adhesins are well known vaccine candidates against NTHi (59-63).
359 Adhesins have also been useful in the diagnosis of NTHi-induced respiratory infections
360 (64) and can be targeted for eradication of adherent NTHi population from the site of
361 disease (65). Since each *modA* variant has a certain advantage over the other, the
362 switch from one *modA* status to another may enable NTHi to evade recognition and
363 clearance by the host immune response or therapeutic agents. Therefore,
364 understanding the regulation of adherence by the ModA phasevarion at the site of
365 colonization and disease is necessary to increase the potential use of adhesins as
366 vaccine candidates, diagnostic tools, and therapeutic agents against NTHi.

367

368 **Materials and methods:**

369 ***Bacterial strains and growth conditions***

370 NTHi strains 723, 477 and 1209 were received from the Finnish Otitis Media study
371 group (66) and strain C486 was isolated from a child with otitis media (67). The *modA*
372 locked variants of each of these strains were constructed as described previously
373 (Brockman et al., 2017), so that these strains were unable to switch the status of *modA*.
374 NTHi strains were cultured at 37°C and 5% CO₂ on chocolate agar or in brain heart
375 infusion (BHI) broth supplemented with hemin (2 µg/mL) and β-NAD (2 µg/mL). All
376 strains used are listed in Table 1.

377

378 ***Generation of mutants***

379 DNA fragments containing a kanamycin resistance gene flanked by sequences
380 homologous to the sequences flanking the target genes were designed and then
381 synthesized (Integrated DNA Technologies). Each fragment was ligated into a
382 pJET1.2 blunt end cloning vector at the EcoRV restriction site using a CloneJET PCR
383 cloning kit (Thermo Scientific). *E. coli* DH10B competent cells were transformed with
384 the ligation products and transformant colonies were selected on LB agar plates
385 containing ampicillin. Plasmids isolated from these transformant colonies were used as
386 templates for amplifying the inserts using the pJET1.2 forward and reverse sequencing
387 primers (Table 2). NTHi 723 *modA2* locked OFF and *modA2* locked ON variant strains
388 were transformed with the amplified inserts using the M-IV method (68). NTHi
389 transformants were selected on chocolate agar plates supplemented with kanamycin.
390 The mutants were confirmed by sequencing as well as by PCR using kanamycin

391 resistance cassette internal primers and primers for flanking sequences of the target
392 gene. All primers used for cloning and PCR are listed in Table 2.

393

394 ***Isolation and culturing of CMEEs***

395 Chinchilla middle ear tissues were aseptically harvested from naive animals and
396 cultured in explant medium (69) containing DMEM (Mediatech), Ham's F12
397 (Mediatech), Glutamine (Mediatech), Hydrocortisone (STEMCELL TECHNOLOGIES),
398 Isoproterenol (Sigma) and fetal bovine serum (FBS) (Mediatech). Epithelial cells
399 (CMEEs) generated from the tissues were maintained in explant medium containing
400 epidermal growth factor (EGF) (Sigma).

401

402 ***Adherence assays with CMEEs***

403 CMEEs were seeded into wells of 96-well plates (Costar, flat bottom) and maintained
404 in culture medium until the formation of a tightly packed monolayer. Bacterial inoculum
405 was prepared from log phase cultures of NTHi variant pairs and added to wells
406 containing the CMEEs at an MOI of 100. The cells were incubated at 37°C and 5%
407 CO₂ for 1 hour to allow the bacteria to adhere. The medium was removed and the
408 CMEEs were washed 3 times with 1X DPBS to remove non-adherent bacteria. 10X
409 TrypLE (Gibco) was used to detach the adherent bacteria which were then collected in
410 1X DPBS, serially diluted and plated on chocolate agar. Percent adherence was
411 determined from the CFU values of the adherent bacteria and the inoculum.

412

413 ***Adherence assays with submerged nhPBTEs***

414 Normal human primary bronchial-tracheal epithelial cells (nhPBTEs) obtained from
415 healthy human lungs (ATCC PCS-300-010) were seeded into the wells of 96-well
416 plates (Costar, flat bottom) and maintained in Pneuma-Cult Expansion medium (PC-
417 Ex) (STEMCELL TECHNOLOGIES) till confluency. Bacterial inoculum was prepared
418 from log phase cultures of NTHi and added to wells containing the nhPBTEs at an MOI
419 of 100. The cells were incubated for 1 hour at 37°C and 5% CO₂. Medium was
420 removed and cells were washed 3 times with 1X DPBS to remove non-adherent
421 bacteria. 10X TrypLE (Gibco) was used to detach the adherent bacteria which were
422 then collected in 1X DPBS and plated on chocolate agar. Percent adherence was
423 determined as described above.

424

425 ***Adherence assays with polarized nhPBTEs***

426 nhPBTEs were seeded in 6.5 mm Transwells (Corning Transwells) and maintained in
427 PC-Ex expansion medium. Upon reaching confluency, media was removed from the
428 apical surface and cells were fed basolaterally with Pneuma-Cult ALI (Air Liquid
429 Interface) differentiation medium (STEMCELL TECHNOLOGIES) for 5-8 weeks to
430 allow differentiation of cells at the air-liquid interface. For the assay, the apical surface
431 was washed with 1X DPBS to remove mucus produced by these cells. Bacterial
432 inoculum was prepared from a log phase culture of NTHi, added to the cells at an MOI

433 of 100 and incubated at 37°C, and 5% CO₂ for 1 hour. After the incubation period, the
434 supernatant was removed and the apical surface was washed 3 times with 1X DPBS
435 to remove non-adherent bacteria. 10X TrypLE (Gibco) was added to the apical surface
436 and 1X TrypLE was added to the basolateral surface to dissociate the adherent
437 bacteria. Samples were collected in 1X DPBS and plated on chocolate agar. Percent
438 adherence was determined as described above.

439

440 ***Mucus collection and quantification***

441 nhPBTEs were differentiated into polarized cells at the air-liquid interface as described
442 above and cultured until mucus production was observed. The apical surface was
443 incubated with 1X DPBS at 37°C for 15 min and the mucus was collected by pipetting.
444 Mucus was quantified using a Qubit protein assay kit and then added into the wells of
445 Nunc MaxiSorp flat bottom 96-well plates at a concentration of 10 µg/well and
446 incubated overnight at 37°C.

447

448 ***Adherence assays with mucus***

449 Mucus was collected, quantified, and used to coat Nunc MaxiSorp flat bottom 96-well
450 plates as described above. Prior to the assay, mucus-coated wells were washed 4
451 times with 1X DPBS to remove excess mucus. Bacterial inoculum was prepared from
452 log phase cultures of NTHi in 1X DPBS and added at a density of 5x10⁶ CFU/well.
453 After 1 hour of incubation at 37°C and 5% CO₂, the supernatant was removed and

454 wells were washed 4 times with 1X DPBS to remove non-adherent bacteria. 1X DPBS
455 (100 uL) was then added to each well and the adherent bacteria were dislodged and
456 collected by vigorous pipetting and scraping of the wells. Dilutions of the collected
457 samples were plated on chocolate agar. Percent adherence was determined using
458 CFU values as described above.

459

460 ***Adherence assays with ECM components***

461 Flat bottom 96-well tissue culture treated plates (Costar) were coated with fibronectin
462 (Sigma-Aldrich), laminin (Sigma-Aldrich) or vitronectin (Sigma-Aldrich) according to
463 manufacturer protocols. Briefly, working solutions of vitronectin (1.5 ug/ml) and laminin
464 (6 ug/ml) were prepared in 1X DPBS, whereas fibronectin was reconstituted in water
465 (15 ug/ml). 100 ul of the working stock of vitronectin was added per well of 96 well
466 plate and incubated at 37°C for 2 hours followed by overnight incubation at 4°C.
467 Alternatively, 100 ul of the working stocks of laminin and fibronectin were added per
468 well on the day of the assay, removed immediately and allowed to dry. The coated
469 wells were washed twice with 1X DPBS just prior to the assay. Bacterial inoculum was
470 prepared from log phase cultures of NTHi and added to coated wells at a density of
471 5×10^6 CFU/well. After incubation at 37°C and 5% CO₂ for 1 hour, the supernatant was
472 removed, and wells were washed 4 times with 1X DPBS to remove any non-adherent
473 bacteria. Adherent bacteria were collected in 100 ul 1X DPBS with vigorous pipetting
474 and scraping of the wells. Dilutions of the collected sample were serially diluted and
475 plated on chocolate agar. Percent adherence was determined as described above.

476

477 ***Statistical analysis***

478 Statistical significance was assessed by Student's unpaired t-test using GraphPad
479 Prism software, version 8.4.2. A *p*-value less than or equal to 0.05 was considered as
480 statistically significant. Each experiment was carried out at least 3 times with a
481 minimum of 3 biological replicates each time.

482

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489

490 |

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709

711 **Table 1: Bacterial strains**

Strain	Reference
NTHi 723 <i>modA2</i> locked OFF	(34)
NTHi 723 <i>modA2</i> locked ON	(34)
NTHi C486 <i>modA4</i> locked OFF	This study
NTHi C486 <i>modA4</i> locked ON	This study
NTHi 477 <i>modA5</i> locked OFF	This study
NTHi 477 <i>modA5</i> locked ON	This study
NTHi 1209 <i>modA9</i> locked OFF	This study
NTHi 1209 <i>modA9</i> locked ON	This study
NTHi 723 $\Delta ompE$ <i>modA2</i> locked OFF	This study
NTHi 723 $\Delta ompE$ <i>modA2</i> locked ON	This study
NTHi 723 Δhel <i>modA2</i> locked OFF	This study
NTHi 723 Δhel <i>modA2</i> locked ON	This study
NTHi 723 Δhap <i>modA2</i> locked OFF	This study
NTHi 723 Δhap <i>modA2</i> locked ON	This study

712

713

714

715 **Table 2: Primers**

Primer name	Sequence
pJET1.2 fwd	5' CGACTCACTATAGGGAGAGCGGC 3'
pJET1.2 rev	5' AAGAACATCGATTTTCCATGGCAG 3'
<i>ompE</i> confirmatory fwd	5' CCTAGAAGGTTATGGGCACACTG 3'
<i>ompE</i> confirmatory rev	5' GCCAGCAGTAAAATAGCAATAACTGC 3'
<i>hel</i> confirmatory fwd	5' CGACCTGCCGCATAAACATTTGG 3'
<i>hel</i> confirmatory rev	5' GACGAAGACCCAATTCACGAGC 3'
<i>hap</i> confirmatory fwd	5' ACCGCAGACTGGATTGTGATC 3'
<i>hap</i> confirmatory rev	5' GCAATAATGCCATCGCCCACAC 3'
Kan ^r cassette internal fwd	5' GCACCTGATTGCCCGACATTATC 3'
Kan ^r cassette internal rev	5' GGACGAGTCGGAATCGCAGAC 3'

716

718 **Figure legends:**

719 **Fig. 1. Adherence of NTHi *modA* locked variants to mucus.** Data are shown as
720 percentage of adherent bacteria relative to inoculum after 1 hour. The *modA2* ON
721 variant adhered significantly better than the *modA2* OFF variant. There was no
722 significant difference between the variants of *modA4*, *modA5* or *modA9*. *** $p < 0.001$,
723 Student's t test.

724

725 **Fig. 2. Adherence of NTHi *modA* locked variants to normal human primary**
726 **bronchial-tracheal epithelial cells (nhPBTEs).** Percentage of adherence to A)
727 polarized nhPBTEs or B) submerged nhPBTEs after 1 hour was plotted. Percent
728 adherence varied amongst strains; however, there was no significant difference
729 between the *modA* variant pairs of any of the strains.

730

731 **Fig. 3. Adherence of NTHi *modA2* locked variants to chinchilla middle ear**
732 **epithelial cells (CMEEs).** Data are shown as percentage of adherent bacteria relative
733 to inoculum after 1 hour. The *modA2* OFF variant adhered significantly better than the
734 *modA2* ON variant and the *modA9* ON variant adhered significantly better than the
735 *modA9* OFF variant. * $p < 0.05$ and *** $p < 0.001$, Student's t test.

736

737 **Fig. 4. Adherence of NTHi *modA* locked variants to extracellular matrix**
738 **components.** Percentage of adherence to A) fibronectin, B) laminin and C) vitronectin
739 after 1 hour was plotted. Strain-specific difference in adherence were observed for all

740 ECM components. There were no ModA-specific differences in adherence to
741 fibronectin or laminin for any of the strains. Adherence to vitronectin was significantly
742 different between the *modA2* and *modA9* variant pairs. * $p < 0.05$ and ** $p < 0.01$,
743 Student's t-test.

744

745 **Fig. 5. Role of adhesins PE (*ompE*), P4 (*hel*) and Hap (*hap*) in ModA2-dependent**
746 **adherence of NTHi to vitronectin and CMEEs.** Wild-type and adhesin mutants of the
747 *modA2* OFF and the *modA2* ON variants of NTHi 723 were compared for adherence
748 to vitronectin and CMEEs. Deletion of *ompE* significantly reduced the adherence of the
749 *modA2* OFF variant to vitronectin but did not affect the *modA2* ON variant (A). Deletion
750 of *hel* reduced the adherence of the *modA2* OFF variant to vitronectin more
751 significantly than that of the *modA2* ON variant (B). Deletion of *ompE* did not affect the
752 adherence of either variants to CMEEs (D), whereas deletion of *hel* significantly
753 reduced the adherence of the *modA2* OFF variant and not the *modA2* ON variant (E).
754 Deletion of *hap* did not affect the adherence of the *modA2* variants to vitronectin (C)
755 and CMEEs (F). * p -value < 0.05 , ** p -value < 0.01 , *** p -value < 0.001 and **** p -
756 value < 0.0001 , Student's t test.

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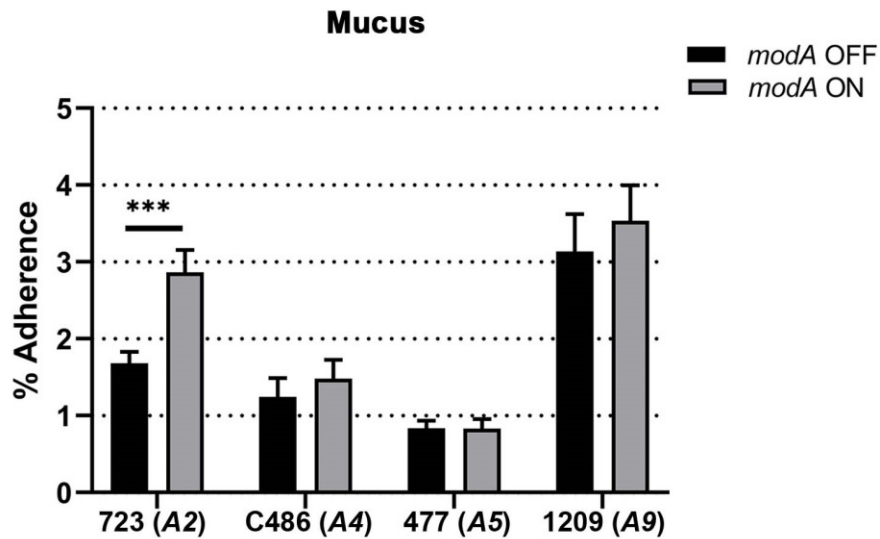


Fig. 1. Adherence of NTHi *modA* locked variants to mucus. Data are shown as percentage of adherent bacteria relative to inoculum after 1 hour. The *modA2* ON variant adhered significantly better than the *modA2* OFF variant. There was no significant difference between the variants of *modA4*, *modA5* or *modA9*. *** $p < 0.001$, Student's t test.

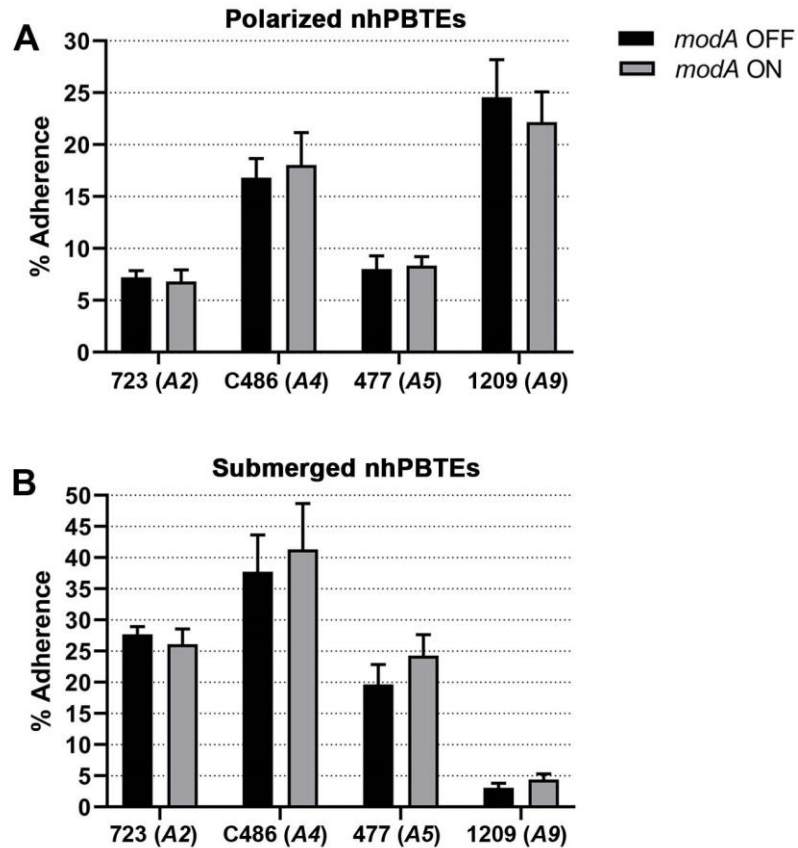


Fig. 2. Adherence of NTHi *modA* locked variants to normal human primary bronchial-tracheal epithelial cells (nhPBTEs). Percentage of adherence to A) polarized nhPBTEs or B) submerged nhPBTEs after 1 hour was plotted. Percent adherence varied amongst strains; however, there was no significant difference between the *modA* variant pairs of any of the strains.

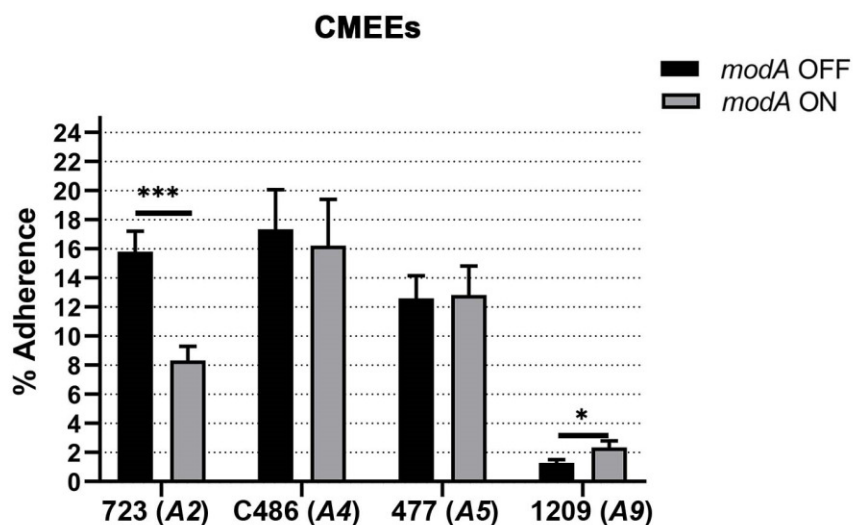


Fig. 3. Adherence of NTHi *modA* locked variants to chinchilla middle ear epithelial cells (CMEEs). Data are shown as percentage of adherent bacteria relative to inoculum after 1 hour. The *modA2* OFF variant adhered significantly better than the *modA2* ON variant and the *modA9* ON variant adhered significantly better than the *modA9* OFF variant. * $p < 0.05$ and *** $p < 0.001$, Student's t test.

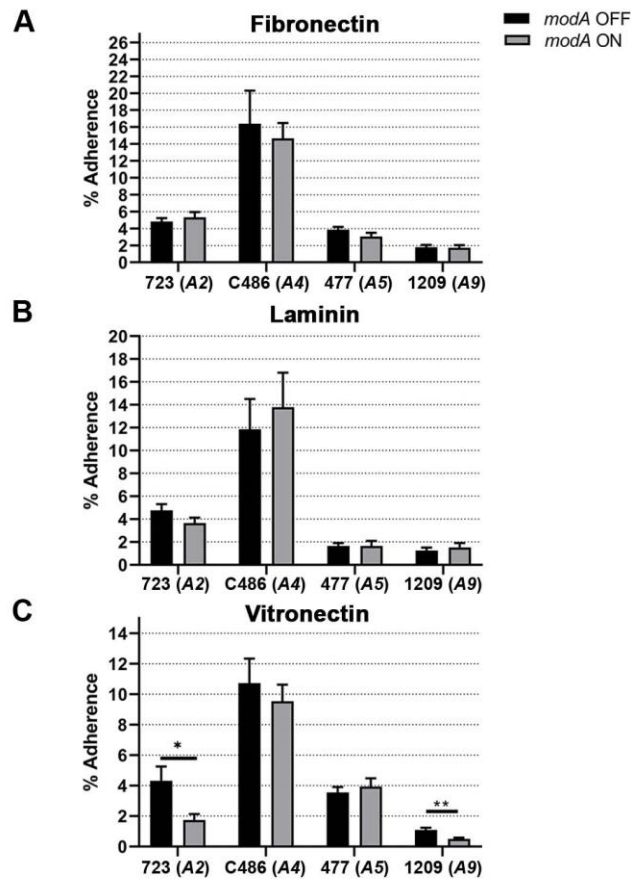


Fig. 4. Adherence of NTHi *modA* locked variants to extracellular matrix components. Percentage of adherence to A) fibronectin, B) laminin and C) vitronectin after 1 hour was plotted. Strain-specific difference in adherence were observed for all ECM components. There were no *ModA*-specific differences in adherence to fibronectin or laminin for any of the strains. Adherence to vitronectin was significantly different between the *modA2* and *modA9* variant pairs. * $p < 0.05$ and ** $p < 0.01$, Student's t-test.

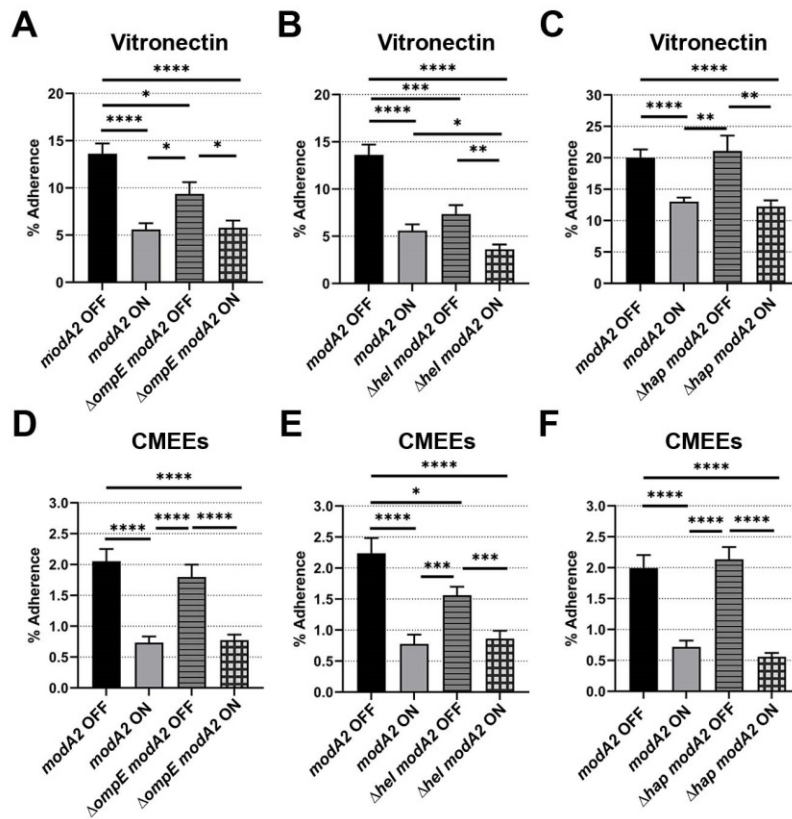


Fig. 5. Role of adhesins PE (*ompE*), P4 (*hel*) and Hap (*hap*) in ModA2-dependent adherence of NTHi to vitronectin and CMEEs. Wild-type and adhesin mutants of the *modA2* OFF and the *modA2* ON variants of NTHi 723 were compared for adherence to vitronectin and CMEEs. Deletion of *ompE* significantly reduced the adherence of the *modA2* OFF variant to vitronectin but did not affect the *modA2* ON variant (A). Deletion of *hel* reduced the adherence of the *modA2* OFF variant to vitronectin more significantly than that of the *modA2* ON variant (B). Deletion of *ompE* did not affect the adherence of either variants to CMEEs (D), whereas deletion of *hel* significantly reduced the adherence of the *modA2* OFF variant and not the *modA2* ON variant (E). Deletion of *hap* did not affect the adherence of the *modA2* variants to vitronectin (C) and CMEEs (F). * *p*-value < 0.05, ** *p*-value < 0.01, *** *p*-value < 0.001 and **** *p*-value < 0.0001, Student's t test.